

*CASE STUDIES: RECENT
NCAA INFRACTIONS*



SAs received impermissible extra benefit of entertainment during summer vacation period when they played paintball at a representative's house.

16.02.3 Extra Benefits - SA ineligible until repayment and reinstatement!



Member of the football coaching staff gave interview while at a broadcast of PSAs' athletics contest.

13.10.3.1 Announcer for Broadcast of Prospective Student-Athlete's Athletics Contest *Impermissible-Involved player personnel coordinator suspended for two weeks without pay*



SAs made long distance telephone calls from their hotel room during a road trip

16.11.2.2.2 Telephones and Credit Cards.

It is not permissible to allow a student-athlete to use a telephone or credit card for personal reasons without charge or at a reduced cost.



Parents of SA traveled on team bus
to two away contests at no cost

Bylaw 16.11.1.1

*SAs required to repay value of
impermissible benefit to charity. Travel
party/itinerary required thereafter.*



Institution posted video containing footage
of PSA on team's Facebook page prior to
PSA signing NLI with institution

Bylaw 13.10.5 – Publicity Prohibited



PSAs interviewed by campus newspaper reporter while on official visit to institution without prior approval of SID

Bylaw 13.10.5 – Publicity Prohibited



A coaching staff member mistakenly endorsed a recruiting or scouting service involving PSAs & also inserted link to scouting service on their Facebook page

Bylaw 11.3.2.4 and 11.3.2.5-Athletics department staff members may not endorse, serve as consultants or participate on advisory panels for any recruiting or scouting service involving PSA's.



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Some More NCAA Basics....

- Divided into three main divisions (Divisions I, II and III).
- Each NCAA division has a separate governing structure.
- Many believe the national office staff makes the rules.
- Actually it is the membership that proposes and adopts the rules.



Indiana State University Sycamores

- Member of NCAA Division I in all sports
- Football is FCS (not FBS)
- Member of the Missouri Valley Conference
- Sponsors 14 intercollegiate sports
- Has over 300 student athletes



What is “Compliance?”

- **Institutional Control:** NCAA Bylaw 2.1- *It is the responsibility of ISU’s president to control its athletics program and ensure it is in compliance with all rules and regulations of the NCAA.*
- **Compliance Office** – *solely responsible for ensuring institutional control...part of that is rules education across campus and community.*



“Who” is Compliance at ISU?



Katie Gaal *4040
Compliance Asst

Me! *4086
Asst AD

Tonya Gimbert *4173
Compliance Coordinator



What Compliance Does

Interpretation, monitoring, enforcement, documentation and education of NCAA, MVC and institutional rules and regulations, including, but not limited to:

- Ethical conduct/drug testing/sports wagering (Rule 10.1 applies to EVERYONE)
- Employment issues
- Amateurism-agents, promotions, donations
- Recruiting
- Eligibility
- Financial Aid
- Awards, Benefits & Expenses
- Playing & Practice Seasons



UNETHICAL CONDUCT NCAA Bylaw 10.1

Unethical conduct by a **prospective or enrolled student-athlete or a current or former institutional staff member, which includes any individual who performs work for the institution** (whether compensated or not) may include, but is not limited to, the following:

- Refusal to furnish information relevant to an investigation of a possible violation of an NCAA regulation when requested to do so by the NCAA or the individual's institution;
- Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective or an enrolled student-athlete;
- Knowing involvement in offering or providing a prospective or an enrolled student-athlete an improper inducement or extra benefit or improper financial aid;
- Knowingly furnishing or knowingly influencing others to furnish the NCAA or the individual's institution false or misleading information concerning an individual's involvement in or knowledge of matters relevant to a possible violation of an NCAA regulation;
- Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor or a representative of an agent or advisor (e.g., "runner");
- Failure to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or an institution's admissions office regarding an individual's academic record (e.g., schools attended, completion of coursework, grades and test scores);
- Fraudulence or misconduct in connection with entrance or placement examinations;
- Engaging in any athletics competition under an assumed name or with intent to otherwise deceive

A finding of “unethical conduct” may jeopardize an employee’s employment at ISU and render a PSA or current student athlete ineligible for NCAA participation!



Initial Eligibility-Incoming Freshmen

- All incoming freshmen and transfers MUST register with the NCAA Eligibility Center
- www.eligibilitycenter.org
- 16 Core Classes (9th – 12th Grade)
- Sliding Scale GPA-Test Score
- Diploma
- Amateur Status
- Qualifier or Non-Qualifier



NCAA Eligibility Center

- PSA's are "activated" on our ISU Institutional Request List ("IRL") by Compliance via NCAA EC website.
- After all documents are received and processed, PSA and ISU notified of certification status.
- Coaches, Registrar and Compliance use for eligibility certification
- Int'l PSA's are not issued I-20's from ISU until after Qualifier and Amateur status certified!



TRANSFER STUDENTS

- ❖ **General Rule:** Sit out one academic year/two full time semesters
- ❖ 2-4 transfers - Qualifier status
- ❖ 4-4 transfers - Permission received?
- ❖ 4-2-4 transfers - AA? One year elapsed
- ❖ Progress towards degree?



Financial Aid/Admissions Scholarships

- SA's may not always be able to keep
- Outside scholarships...don't just keep the money!
- Counters vs Non-Counters
- Head Count vs Equivalency Sport
- NCAA Exemption Criteria
 - Freshman awards
 - Transfer awards
 - Continuing student awards



Admissions Office Points to Remember

An institution shall not waive, pay in advance or guarantee payment of the following expenses for a PSA, unless such benefits generally conform to institutional policy as it applies to other non-athlete prospective students:

- (a) The institution's processing fee required prior to the admissions office's evaluation of the prospective student-athlete's application;
- (b) The orientation-counseling tests fee required of all incoming freshmen;
- (c) The preadmission academic testing fee;
- (d) Advance tuition payment or room deposit;
- (e) Damage deposits for dormitory rooms;
- (f) ROTC deposits for military equipment; or
- (g) Any other pre-enrollment fees required of prospective student-grantees.

Admissions Brochures: An admissions office may not produce a brochure devoted solely to the institution's intercollegiate athletics teams, athletics facilities and intramural and recreational programs; rather, official academic admissions or student services items published by the institution and available to all students must be general in nature, with no special emphasis placed on athletics programs. (NCAA Staff Interp, 8/1989)

Recruiting “Dead Periods:” Reminders sent out in advance. No face to face contact with coaches whatsoever!

Student Athlete Employees: Can work for regular wage/hours, but can't call prospective students and parents (Bylaw 13.1.3.5.2.1)



Admissions May....

- ✓ Provide athletics team posters and pocket schedules to prospective student athletes if they are made available for all students
- ✓ Contact ISU compliance for group attendance at athletic events without a pass list
- ✓ Provide shirts and other “gifts” to PSA’s as long as ALL non-athletes receive same
- ✓ Call PSA’s without limitation (but not to solicit athletic participation);
- ✓ Admit a student athlete under a special exception to the institution's normal entrance requirements if the discretionary authority of the president or chancellor (or designated admissions officer or committee) to grant such exceptions is set forth in an official document published by the university (e.g., official catalog) that describes the institution's admissions requirements
- ✓ Provide benefits and services that are available to the general student population or prospective students generally
- ✓ Call, text, Facebook and instant messaging prospects generally
- ✓ Send mailings (e.g., program invitations, brochures, visit reminders) to prospective student athletes, but in a manner and frequency that is consistent with general practice
- ✓ Encourage prospects to make contact with coaches and/or secretarial staff regarding their interest in participation in NCAA sports. DO NOT indicate likelihood for scholarships, team placement or try to evaluate prospect’s talent.



The Basic Rules

- NCAA “institutional control” is a *team* effort.
- Never give anything to or do anything for an ISU student athlete that you wouldn’t do for a non-student athlete!
- If you have any doubts or questions, always ask before you act!
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Thanks for Attending!

