EXTENDED TO NOVEMBER 16, 2020 Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Form 990-PF ▶ Do not enter social security numbers on this form as it may be made public. Department of the Treasury
Internal Revenue Service

For calendar year 2019 or tax year beginning ► Go to www.irs.gov/Form990PF for instructions and the latest information.

	For	r calendar year 2019 or tax year beginning		, and ending		
	Na	ame of foundation		A Employer identification number		
		HAROLD K.L. CASTLE FOUNDAT		99-6005445	5	
		umber and street (or PO box number if mail is not delivered to street 1197 AULOA ROAD	address)	Room/suite	B Telephone number (808) 263 – 7	1072
	_	ty or town, state or province, country, and ZIP or foreign p	ostal code		C If exemption application is p	
		KAILUA, HI 96734	03.00.00		o ir exemption application is p	sending, check here
	_	Check all that apply: Initial return	Initial return of a f	ormer public charity	D 1. Foreign organizations	s, check here
		Final return	Amended return		2 5	
		Address change	Name change	- 45	Foreign organizations me check here and attach co	enting the 85% test,
202 0	H (Check type of organization: X Section 501(c)(3) ex		()A	E If private foundation sta	
	<u> </u>	Section 4947(a)(1) nonexempt charitable trust fair market value of all assets at end of year J Accounting	Other taxable private found no method: Cash	X Accrual	under section 507(b)(1)	•
3 1		· —	her (specify)	Accidal	F If the foundation is in a under section 507(b)(1)	
-		\$ 193,192,899. (Part I, colum		SIS.)	under 30000 007 (b)(1)	(L), CHOOK HOLD
	Pa	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
POSTMARK DATE		1 Contributions, gifts, grants, etc., received		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	N/A	Parties of the same of
X		2 Check X if the foundation is not required to attach Sch. 8 Interest on savings and temporary	24 11 11	5 14 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		TEREST 181 40
A		3 cash Investments	373,555. 3,012,945.	450,513. 3,021,028.		STATEMENT, 1
		4 Dividends and interest from securities 5a Gross rents	3,012,945.	3,021,020.		STATEMENT 2
Ö		b Net rental income or (loss)	See	J. P. LINE GAR.	3 ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	ø.	63 Nat rain or (loss) from sale of assets not on line 10	13,590,566.	TO SUBSTITUTE	J. C. 10 70 70 1 190	4 1 1 1 1 1 1 1 1
	Š	b Gross sales price for all 73,603,395.		e,, e.	, '''	
	Revenue	7 Capital gain net Income (from Part IV, line 2)	25.00	13,590,566.		
		8 Net snort-term capital gain				, ,
		9 Income modifications 10a and allowances	12 3	*		•
		b Less Cost of goods sold	14-31 TH		·	
		c Gross profit or (loss)				
		11 Other income	6,673.	6,673.		STATEMENT 3
_		12 Total. Add lines 1 through 11	16,983,739.	17,068,780.		400 701
<i>א</i>		13 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages	548,874. 426,749.	148,173. 39,665.		400,701. 387,084.
POANNE		15 Pension plans, employee benefits	187,163.	31,447.		155,716.
Ź	ses	16a Legal fees STMT 4	3,896.	750.		3,145.
	penses	b Accounting fees STMT 5	39,267.	7,560.		31,707.
=	Ĕ		820,584.	469,020.		352,744.
MAY 06	Administrative	17 Interest 18 Taxes STMT 7	366,418.	68,047.	· · · · · · · · · · · · · · · · · · ·	47 740
0	stra	18 Taxes STMT 7	52,024.	00,047.		47,748.
	min	20 Occupancy	11,644.	0.		11,644.
2021	Adi	21 Travel, conferences, and meetings	102,623.	19,758.		82,864.
21	and	22 Printing and publications				
	ing	23 Other expenses STMT 8	268,772.	15,037.		260,867.
	Operating	24 Total operating and administrative	2 020 014	700 457		1 724 222
	ð	expenses. Add lines 13 through 23 25 Contributions, gifts, grants paid	2,828,014. 7,617,460.	799,457.		1,734,220. 7,617,460.
	Ì	26 Total expenses and disbursements.	7,017,400.	, " 1, -		1,011,400.
		Add lines 24 and 25	10,445,474.	799,457.		9,351,680.
•		27 Subtract line 26 from line 12;				
		Excess of revenue over expenses and disbursements	6,538,265.	16 060 000		•
	ł		Note that the state of the stat	16,269,323.	NT / A	·
_		C Adjusted net income (if negative, enter -0-)	AND THE BUSINESS.		N/A	4 4 2 4 ,

3

923501 12-17-19 LHA For Paperwork Reduction Act Notice, see instructions.

Page 2

-		30-11 (2013) IIMCOLD R.D. CADILLE FOOR			OOOJ445 rage 2
Part II: Balance Sheets Attached schedules and amounts in the description column should be for end-of-war amounts not		Beginning of year	End End	of year	
L	art	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
_	11	Cash - non-interest-bearing	431,761.	591,977	591,977.
	1	Savings and temporary cash investments	5,493,978.	8,487,325	
				parameter and and a son make	OFT - 17 76 1 - 17 1 1
	3	· · · · · · · · · · · · · · · · · · ·		86,780	0.5
		Less: allowance for doubtful accounts	211,075.	86,780	86,780.
	4	Pledges receivable >		PACCELLA CALLANT	
	ľ	Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	"				l
	1 _	disqualified persons			
	7	Other notes and loans receivable		(R) (B) (1000 - 100)	S. James
		Less; allowance for doubtful accounts			
छ	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges	50,588.	51,987	51,987.
As		Investments - U.S. and state government obligations		, , , , , , , , , , , , , , , , , , , ,	†
			112 011 210	110 036 303	125,368,528.
	1	•	112,911,210.	110,030,392	123,300,320.
	٩	Investments - corporate bonds			<u> </u>
	11	Investments - land, buildings, and equipment basis		After Sent the sent of the sen	80 ()
	1	Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	45,697,389.	52,043,954.	57,348,337.
	1		14 74 7 11 7	32,013,331	37,7310,73371
	'4		1,301,506.	1 057 005	1 257 065
		Less accumulated depreciation \blacktriangleright 507,865.	1,301,506.	1,257,965.	1,257,965.
	15	Other assets (describe)			
	16	Total assets (to be completed by all filers - see the			
		instructions. Also, see page 1, item I)	166,097,507.	172,556,380,	193,192,899.
	17		5,589.		† · · · · · · · · · · · · · · · · · ·
	18	Grants payable			2 - 1 - 1 - 1 - 1 - 1
Liabilities	19	Deferred revenue			- 4 berns A 11
≝	20	Loans from officers, directors, trustees, and other disqualified persons			2 32.7
iab	21	Mortgages and other notes payable			12.
_	22	Other liabilities (describe STATEMENT 11)	228,987.	318,399.	
				· · ·	1.7
	23	Total liabilities (add lines 17 through 22)	234,576.	318,399.	
_		Foundations that follow FASB ASC 958, check here	201,3.00	3207333	7 1 2 2 2 4
		•			
alances		and complete lines 24, 25, 29, and 30.			
Ĕ	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			<u>.</u>
Net Assets or Fund B		Foundations that do not follow FASB ASC 958, check here			
5		and complete lines 26 through 30.			1
F	26	Capital stock, trust principal, or current funds	165 862 931	172,237,981.	
8	27	· · · · · · · · · · · · · · · · · · ·	0.	0.	-
Set	ł	Paid-in or capital surplus, or land, bldg , and equipment fund	. .		
As	28	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
e	29	Total net assets or fund balances	165,862,931.	172,237,981.	A STATE OF THE PARTY OF THE PAR
Z	l				1" : " ' ' '
	30	Total liabilities and net assets/fund balances	166,097,507.	172,556,380.	
=					
ĮP,	art	Analysis of Changes in Net Assets or Fund B	aiances		
_	Total	not accepte or fund halanage of housening of years. Don't handle or (2) for	20	· · · · · · · · · · · · · · · · · · ·	
		net assets or fund balances at beginning of year - Part II, column (a), line	Zä		165 060 004
		t agree with end-of-year figure reported on prior year's return)		1	165,862,931.
2	2 Enter amount from Part I, line 27a			2	6,538,265.
3	Other	increases not included in line 2 (itemize) CHANGE IN U	NREALIZED GAIL	N/LOSS 3	8,862,212.
		ines 1, 2, and 3		4	181,263,408.
		eases not included in line 2 (itemize) CHANGE IN FMV	ADJUSTMENT	5	9,025,427.
		· · · · · · · · · · · · · · · · · · ·			172,237,981.
<u> </u>	ıvlal	net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	numm (0), line 29	6	
					Form 990-PF (2019)

_			K.L. CASTLE						9	9-600	5445	Page 3
	Part IV Capital Gains	and Lo	osses for Tax on Ir	rvestmen	t Income							
							acquired day, yr.)		te sold lay, yr.)			
1				_								
_	SEE ATTACHED	STA	TEMENTS									
_	C					<u> </u>						
_	1					<u> </u>						
	<u> </u>			1		<u> </u>					<u></u>	
_	(e) Gross sales price	(f)	Depreciation allowed (or allowable)	1 17	st or other basis expense of sale					Sain or (loss s (f) minus		
_	<u> </u>											
_!		<u> </u>					<u> </u>					
)	ļ		 			ļ					
	72 602 205				0 012 02	<u></u>				1	2 500	<u> </u>
_		20.00.00	column (b) and owned by		0,012,82	9.	 				3,590	,500.
_	Complete only for assets showing						ł	(I) COL) Gains (((k), but	Col. (h) gain not less thai	minus n -0-) or	
_	(i) FMV as of 12/31/69		(i) Adjusted basis as of 12/31/69		cess of col. (i)					(from col. (
_8			· · · · · · · · · · · · · · · · · · ·				ļ					
b)											
				_								
											2 500	
e	<u></u>						<u> </u>				3,590	,566.
2	Capital gain net income or (net ca	ipital loss	If gain, also enter If (loss), enter -0-	in Part I, line in Part I, line	7 7	}	2			13,590,566.		
3	Net short-term capital gain or (los	ss) as defi	ned in sections 1222(5) an	d (6):		`	ĺ					
	If gain, also enter in Part I, line 8,	column (c).				_			37 / 3		
_	If (loss), enter -0- in Part I, line 8 Part V Qualification U	-do- 6	Pastion 4040/a) for	Doduos	Tow on Not	4	3	oont Inc		N/A		
ш				· · · · · · · · · · · · · · · · · · ·				Territ IIIC	Onie			
(۲0	or optional use by domestic private	e toundati	ons subject to the section 4	1940(a) tax on	i net investment ini	com	e.)					
If s	section 4940(d)(2) applies, leave th	his part bl	ank.									
		•									<u></u>	च्च
	as the foundation liable for the sec				•	iod?					Yes	X No
	Yes," the foundation doesn't qualif											
1	Enter the appropriate amount in (each colui		istructions be	fore making any er						(d)	
	Base periód years	,	(b)	ributions	Net value of nor	(C)		uca accate		Distrib	ution ratio	
	Calendar year (or tax year beginnii	ng in)	Adjusted qualifying dist							(col. (b) div		
	2018			1,597.				2,426				49343
	2017			9,480.				4,832				47028
	2016			9,316.	1	<u> </u>	, 61	8,328	<u></u>			54045 49265
	2015			7,765.	1	31	<u>, / /</u>	4,569	<u></u>			
	2014		5,05	1,406.	1.	90	, / 0	4,647	-		. 0	<u> 28730</u>
_	T-4-1-41 4 4 4 D										2	20111
	Total of line 1, column (d)								2		• 4	28411
3	Average distribution ratio for the !	-	•	in line 2 by 5.0	0, or by the numbe	er of	years				0	<i>AEC</i> 02
	the foundation has been in exister	nce if less	than 5 years						3			45682
4	4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5				4	18	4,044	,091.				
5	5 Multiply line 4 by line 3					5		8,407	,502.			
6	Enter 1% of net investment income (1% of Part I, line 27b)				6_		162	<u>,693.</u>				
7	Add lines 5 and 6								7		8,570	195
	Enter qualifying distributions from			4b and	laka khaka a a a a a a a		N 4a	-4-	8		9,360	,163.
	If line 8 is equal to or greater than See the Part VI instructions.	iine /, ch	eck the box in Part VI, line	io, and comp	iete that part using	j a 1º	% tax r	ate.				



HAROLD K.L. CASTLE FOUNDATION 99-6005445 PAGE OF Part IV. | Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation MORGAN A17113001 SEE ATTACHED STATEMENT 1a J.P. P VARIOUS VARIOUS MORGAN A17113001 b J.P. SEE ATTACHED STATEMENT P VARIOUS VARIOUS MORGAN cJ.P. A17113001 SEE ATTACHED STATEMENT P VARIOUS VARIOUS MORGAN A17123000 d J.P. SEE ATTACHED STATEMENT P 01/30/1912/09/19 e **J.P.** MORGAN A17123000 SEE ATTACHED STATEMENT P 03/20/14VARIOUS f J.P. MORGAN A17124008 SEE ATTACHED STATEMENT P 08/30/19|10/15/19 g J.P. MORGAN A17124008 SEE ATTACHED STATEMENT P VARIOUS 10/15/19 h J.P. MORGAN A17124008 SEE ATTACHED STATEMENT P 11/02/0910/15/19 J.P. MORGAN A17126003 SEE ATTACHED P STATEMENT 11/22/1608/30/19 J.P. MORGAN A17127001 SEE ATTACHED STATEMENT \overline{P} VARIOUS 07/19/19 k J.P. A17128009 SEE P MORGAN ATTACHED STATEMENT 03/15/16/10/15/19 MORGAN 04/20/1010/15/19 ıJ.P. A17128009 SEE ATTACHED STATEMENT P A17129007 m J.P. MORGAN SEE ATTACHED STATEMENT P VARIOUS VARIOUS n J.P. MORGAN ATTACHED A17131003 SEE STATEMENT P VARIOUS VARIOUS o J.P. MORGAN A31866006 SEE ATTACHED STATEMENT P 12/15/15VARIOUS (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 438,038. а 403,877 34,161. 748,025. 445,050. 302,975. b 204,801. C 52,827. 151,974. 12,950. d 1,812,950. ,800,000 4,621,367. 4,829,221 е -207,854. 5,680,916. 5,549,213. 131,703. 12,938,233. 8,517,181 4,421,052. g 6,667,963. 2,322,751 4,345,212 h 238,342. 3,700,000. 3,461,658. ŧ 6,956.6,956. 2,247,115. 64,972. 2,182,143 k 3,394,362. 3,452,885. 58,523. 11,705,859. 10,950,872. 75**4**,987. m 6,392,590. 6,784,467. -391,877. n 4,370,550. 4,239,266. 131,284. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 34,161. а 302,975. b 151,974. C 12,950. d -207,854. f 131,703. 4,421,052. g 4,345,212. h 238,342. 6,956. 64,972. k 58,523. ١ 754,987. m -391,877. n 0 131,284. { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss)

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 1a J.P. MORGAN A51324001 - SEE ATTACHED STATEMENT 01/28/14/12/09/19 P b J.P. MORGAN N95810003 -SEE ATTACHED STATEMENT P 10/21/1412/09/19 MORGAN N96259002 SEE ATTACHED STATEMENT P 11/21/14VARIOUS cJ.P. _ d J.P. A17121004 (CAPITAL GAIN DISTRIBUTIONS P VARIOUS VARIOUS MORGAN e J.P. MORGAN A17126003 (CAPITAL GAIN DISTRIBUTIONS P VARIOUS VARIOUS A51324001 P MORGAN (CAPITAL GAIN DISTRIBUTIONS VARIOUS VARIOUS J.P. g J.P. N95810003 (CAPITAL DISTRIBUTIONS P VARIOUS MORGAN GAIN VARIOUS N96259002 (CAPITAL GAIN DISTRIBUTIONS P MORGAN VARIOUS VARIOUS h J.P. OTHER GAINS P AND LOSSES VARIOUS VARIOUS P OTHER GAINS AND LOSSES VARIOUS VARIOUS P k OTHER GAINS AND LOSSES VARIOUS VARIOUS GAINS AND LOSSES P VARIOUS OTHER VARIOUS P VARIOUS m OTHER GAINS AND LOSSES VARIOUS n OTHER GAINS AND LOSSES P VARIOUS VARIOUS o OTHER GAINS AND LOSSES P VARIOUS VARIOUS (g) Cost or other basis (f) Depreciation allowed (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 1,000,000 741,709 258,291. а 1,000,000. 641,004. 358,996. b 3,683,789 3,650,000. -33,789. C 277,678. 277,678. d 146,050. 146,050. е 1,086,575. 1,086,575. 270,915. 270,915. g 271,499. 271,499. h 13,439 -13,439. 4,978. 4.978. 9,248. 9,248. 70,990. 70,990. 39,692. 39,692. m 15,262. 15,262. п 81,923. 81,923. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 258,291. a 358,996. b -33,789. C 277,678. đ 146,050. e 1,086,575. 270,915. g 271,499. h -13,439. 4,978. 9,248. 70,990. ١ 39,692. m 15,262. п 81,923. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss) 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

	LE FOUNDATION		99-60054	45 P	AGE 3	OF 3
	sses for Tax on Investment Income					
2-story be	d describe the kind(s) of property so rick warehouse; or common stock, 2	old, e.g., real estate, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a OTHER GAINS AN					VARIOUS	VARIOUS
b OTHER GAINS AN				P	VARIOUS	VARIOUS
c OTHER GAINS AN	D LOSSES			P	VARIOUS	VARIOUS
<u>d</u>						
<u>e</u>						
<u>f</u>		·····				
<u>g</u>		.		-		
<u>h</u>						
1						
<u>k</u>						
ī						
m						
n					•	
0						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other ba plus expense of sa		(h) (e) pl	Gain or (loss) us (f) minus (g)	
a 94,256.						94,256.
b 534,847.						534,847. 61,234.
c 61,234.						61,234.
d		ļ				
<u>e</u>						
<u>f</u>	· · · · · · · · · · · · · · · · · · ·	<u> </u>				
g h						
1						
<u> </u>			- 			
k						
m						
n						
Complete ask for exacts shown		h - 6 d-10 40				
Complete only for assets snowin	g gain in column (h) and owned by	· · · · · · · · · · · · · · · · · · ·		(I) Loss	es (from col. (h)) f col. (h) gain over	col (k)
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i over col. (j), if any		but no	t less than "-0-")	
<u>a</u>				 		94,256.
b c	······································					534,847. 61,234.
d						01,234.
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m n		<u> </u>		.		
0		<u>.</u>				
·			 - 			
2 Capital gain net income or (net cap	- 11 (1033), cinci 0		2		13,	590,566.
3 Net short-term capital gain or (loss if gain, also enter in Part I, line 8, of if (loss), enter "-0-" in Part I, line 8	s) as defined in sections 1222(5) and column (c).	d (6):	} 3		N/A	
					/	

For			600544		Page	4
P	art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 49	948 -	 see inst 	ructio	ons)	_
1	a Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.	3700		<u>, *. * </u>	,5	₹
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)	i		٠		
	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1%	1	1	62,	593	
	of Part I, line 27b	7.1				_
	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)	· *:	1 1 1 1 1 1	-		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2		-	0	
	Add lines 1 and 2	3	1	62,0	593	-
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4			0	_
5		5	1	62,0	593	-
6	Credits/Payments:	. J. 11	F. 1 / 1 / 1 . 2	Eg :	·- /	
,	a 2019 estimated tax payments and 2018 overpayment credited to 2019 6a 190,937.	5 5			,	
	b Exempt foreign organizations - tax withheld at source 6b 0.	44	1136.5	٠,		٠٠,
	c Tax paid with application for extension of time to file (Form 8868) 6c 0.	宗士	A 1 2 2 2 4 4 5 4 5 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6			: 1
	d Backup withholding erroneously withheld 6d 0.	2 m				
7	Total credits and payments. Add lines 6a through 6d	7	1	ĎÓ,	337	
8		8			0	-
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9				_
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		28,2	244	-
	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 28,244. Refunded ▶	11			0.	_
Pá	art:VII-A: Statements Regarding Activities					_
18	a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in	1	- प्र	Yes	No	-
	any political campaign?		1a	1	X	
ŧ	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	юп	1b	†	X	_
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or		- 3	1.	 	-
	distributed by the foundation in connection with the activities.			1	1	
C	Did the foundation file Form 1120-POL for this year?		10		X	
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			1	†	-
	(1) On the foundation. \blacktriangleright \$ 0 • (2) On foundation managers. \blacktriangleright \$ 0 •					
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation			1		
	managers.▶\$ 0.			1 '.		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		Х	
	If "Yes," attach a detailed description of the activities.		7.7	1		-
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or		1	,	1	
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	X	1	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		X	-
b	olf "Yes," has it filed a tax return on Form 990-T for this year?	N/	/A 4b			-
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		X	-
	If "Yes," attach the statement required by General Instruction T					-
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		}	ĺ		
	By language in the governing instrument, or			1 .	ļ -	
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state la	lW			ŀ	
	remain in the governing instrument?		6	X	ļ	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		7	X		•
			7	11.	1	-
8a	Enter the states to which the foundation reports or with which it is registered. See instructions.		ŀ	٠٠٠ جـ ا	1	
	HI		.	_	[
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)		_	-		
	of each state as required by General Instruction G? If "No," attach explanation		8b	Х	[
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendary	ar				์ ว
	year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		9	<u></u>	X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10		X	_

		00544	5	Page !
P	art VII-A Statements Regarding Activities (continued)			
		3.5 -	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of		1	1
	section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?	,		
	If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► WWW.CASTLEFOUNDATION.ORG			
14	The books are in care of ► CARLTON K.C. AU Telephone no ► (80)			0
	Located at ► 1197 AULOA_ROAD, KAILUA, HI ZIP+4	▶ 9673	4	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here		•	-
	and enter the amount of tax-exempt interest received or accrued during the year		N/A	
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the	1 6.	1 11/2	13.64
	foreign country			
Ρř	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1	a During the year, did the foundation (either directly or indirectly):		1	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	No	Ι'.	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)		.	1
	a disqualified person?			d
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		۱ ج	Ι.
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	No		[
	(5) Transfer any income or assets to a disqualified person (or make any of either available		1 .	i
	for the benefit or use of a disqualified person)?	No	W 350]; ·
	(6) Agree to pay money or property to a government official? (Exception. Check "No"	} ` `	1	
	if the foundation agreed to make a grant to or to employ the official for a period after			^
	termination of government service, if terminating within 90 days.)	No	١.	'
ł	b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations		1.	
	section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	<u>1b</u>		X
	Organizations relying on a current notice regarding disaster assistance, check here	_ [
(c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2019?	10		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):			1
á	a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines	'-,	1.	
	6d and 6e) for tax year(s) beginning before 2019?	No		
	If "Yes," list the years \blacktriangleright ,,,	j	1 .	1 .
t	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			1
	statement - see instructions.) N/.	A 2b		
(c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	,	1	

36

4a

4b

Yes X No

N/A

during the year?

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

Schedule C, to determine if the foundation had excess business holdings in 2019.)

had not been removed from jeopardy before the first day of the tax year beginning in 2019?

b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720,

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that

	•	
•	,	

Form 990-PF (2019) HAROLD K.L. CASTLE FOUNI Part VII-B Statements Regarding Activities for Which		Poguirod (seets	99-6005	445	Page 6
5a During the year, did the foundation pay or incur any amount to:	FORTH 4720 Way be	nequired (contin	T	. FC Y 6	s No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	n 4045(a)\2	□ v	es 🗶 No		10
(2) Influence the outcome of any specific public election (see section 4955);	·		63 (22) (10)		
any voter registration drive?	or to carry on, uncomy or man		es X No	ا [ا	·; ·
(3) Provide a grant to an individual for travel, study, or other similar purpose:	:2		es X No		1115
(4) Provide a grant to an organization other than a charitable, etc., organization				4.	1:,
4945(d)(4)(A)? See instructions	,,, dogo,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	XY	es No	. ∰,	4.00
(5) Provide for any purpose other than religious, charitable, scientific, literary	or educational purposes, or			독실근	
the prevention of cruelty to children or animals?	, or oddownorm purposas, or		es X No	4.5	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un	der the exceptions described				
section 53.4945 or in a current notice regarding disaster assistance? See insti	· · · · · · · · · · · · · · · · · · ·			5b	X
Organizations relying on a current notice regarding disaster assistance, check			▶□ ੈ		1.
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption i		ined		- A-	, '``
expenditure responsibility for the grant?		XY	es 🔲 No 📗	5시 .	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				-	.
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on			1	. .
a personal benefit contract?		Y	es X No	·	1
b Did the foundation, during the year, pay premiums, directly or indirectly, on a	personal benefit contract?			6b	x
If "Yes" to 6b, file Form 8870.			Ī	. 6.	
7a At any time during the tax year, was the foundation a party to a prohibited tax s	shelter transaction?	Y	es 🗶 No	5.5	4 500
b If "Yes," did the foundation receive any proceeds or have any net income attrib	utable to the transaction?		N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than 3	\$1,000,000 in remuneration o			**** T	٠.
excess parachute payment(s) during the year?		Y	es X No		
Part VIII Information About Officers, Directors, Trust	ees, Foundation Ma	ınagers, Highl	y		
Paid Employees, and Contractors List all officers, directors, trustees, and foundation managers and	their companyation				
Elst all officers, directors, dustees, and foundation managers and	(b) Title, and average	(c) Compensation	(d) Contributions to	(a) F	xnense
(a) Name and address	hours per week devoted	(If not paid,	employee benefit plans and deferred	accou	xpense int, other wances
	to position	enter -0-)	compensation	allu	Walles
	-	}			
SEE STATEMENT 12	1	548,874.	84,870		0.
		, , , , , , ,		1	
		i			
· · · · · · · · · · · · · · · · · · ·					
7	1				
]	ĺ			
	<u> </u>			<u> </u>	
2 Compensation of five highest-paid employees (other than those inc		enter "NONE."	178		
(a) Name and address of each employee paid more than \$50,000	' 'hour's per week	(c) Compensation	employee benefit plans	accou	nt, other
	devoted to position		compensation		vances
2 Compensation of five highest-paid employees (other than those inc. (a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	and deferred compensation	ačcóu allov	wances

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."										
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances						
DENNIS ERIC CO	SR PGM OFFICE	R FOR OCE	AN RESIL	IENCY						
1197 AULOA ROAD, KAILUA, HI 96734	40.00	142,045.	38,599.	0.						
GEORGIANNA DECOSTA	PGM OFFICER F	OR WINDWA	RD OAHU							
1197 AULOA ROAD, KAILUA, HI 96734	40.00	_83,943.	16,345.	0.						
ANN MATSUKADO	CONTROLLER &	GRANTS MA	NAGER							
1197 AULOA ROAD, KAILUA, HI 96734	20.00	79,330.		0.						
JUDY GUERRERO	ADMINISTRATIV	E ASSISTA	NT							
1197 AULOA ROAD, KAILUA, HI 96734	32.00	67,814.	20,300.	0.						
LINDA MEKARU	ACCOUNTANT									
1197 AULOA ROAD, KAILUA, HI 96734	20.00	53,616.	8,654.	0.						
Total number of other employees paid over \$50,000				0						

Form 990-PF (2019) HAROLD K.L. CASTLE FOUNDATION	99-600	5445 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundat Paid Employees, and Contractors (continued)	ion Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
J.P. MORGAN CHASE BANK, N.A 2029 CENTURY		1
PARK EAST, 39TH FLOOR, LOS ANGELES, CA 90067	INVESTMENT ADVISORY	274,007.
ALEXANDER HARRIS		404 005
226 KAHA STREET, KAILUA, HI 96734	CONSULTING	131,937.
STEELE DYNAMICS - 2375 SCOTT MILL TERRACE, JACKSONVILLE, FL 32257	CONCILI MENO	90 000
UACKSONVILLE, FL 32237	CONSULTING	89,000.
	-	
Total number of others receiving over \$50,000 for professional services		0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistic number of organizations and other beneficiaries served, conferences convened, research papers produ		Expenses
1		
SEE STATEMENT 13		4,020.
2		
3		
_ 		
4	 	
Part IX-B Summary of Program-Related Investments		· · · · · · · · · · · · · · · · · · ·
Describe the two largest program-related investments made by the foundation during the tax year on lii	nes 1 and 2.	Amount
1N/A		
2		
		· · · · · · · · · · · · · · · · · · ·
All other program-related investments. See instructions.	· ·	
3		

Total. Add lines 1 through 3

Ţ	Part X Minimum Investment Return (All domestic foundations	must complete th	nis part. Foreign fou	ndations	s, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charita	ble etc. purposes		5. 7.	
	Average monthly fair market value of securities	,, p., p.,		1a	179,751,584.
	Average of monthly cash balances			1b	6,757,583.
	Fair market value of all other assets			1c	337,626.
	Total (add lines 1a, b, and c)			1d	186,846,793.
	Reduction claimed for blockage or other factors reported on lines 1a and			5375	
	1c (attach detailed explanation)	1e	0.	3	
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	186,846,793.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amou	nt. see instructions)		4	2,802,702.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and	•		5	184,044,091.
6	Minimum investment return. Enter 5% of line 5			6	9,202,205.
F	art;XI: Distributable Amount (see instructions) (Section 4942(j)(3)	and (i)(5) private op	erating foundations ar	nd certain	
_	foreign organizations, check here and do not complete this pai		• • • • • • • • • • • • • • • • • • • •		
1	Minimum investment return from Part X, line 6			1	9,202,205.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	162,693.	- 3	· · · · · · · · · · · · · · · · · · ·
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b		ارینے ہو ایکا کی	
C	Add lines 2a and 2b			2c	162,693.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	9,039,512.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	9,039,512.
6	Deduction from distributable amount (see instructions)			6	0.
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Pa	rt XIII, line 1		7	9,039,512.
[P	art XII Qualifying Distributions (see instructions)			· · · · · ·	
1.	Amounts paid (including administrative expenses) to accomplish charitable, etc., pu	irposes:			0 251 600
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26			1a	9,351,680.
	Program-related investments - total from Part IX-B			1b	0.403
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charit	able, etc., purposes		2	8,483.
3	Amounts set aside for specific charitable projects that satisfy the:				
	Suitability test (prior IRS approval required)			3a	
	Cash distribution test (attach the required schedule)	15		3b	0 360 163
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8;	•		4	9,360,163.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net inv	resiment		_	160 600
	Income. Enter 1% of Part I, line 27b			5	162,693. 9,197,470.
6	Adjusted qualifying distributions. Subtract line 5 from line 4			6	
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years 4940(e) reduction of tax in those years.	wnen calculating wh	etner the foundation o	lualities fo	r the section

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7	and the same			9,039,512.
2 Undistributed income, if any, as of the end of 2019	A Barreland			
a Enter amount for 2018 only		•	9,246,007.	-
b Total for prior years:	·, · · · ·		·	
		0.		
3 Excess distributions carryover, if any, to 2019:	الله الله الله الله الله الله الله الله			
a From 2014	, , , , , , , , , , , , , , , , , , ,		,,	
b From 2015	A Control of the Cont			
c From 2016				
d From 2017				
e From 2018			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
f Total of lines 3a through e	0.			·
4 Qualifying distributions for 2019 from				,
Part XII, line 4: ▶\$ 9,360,163.				
a Applied to 2018, but not more than line 2a			9,246,007.	
b Applied to undistributed income of prior	· - "! • "		•	
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus		,		
(Election required - see instructions)	0.		·	
d Applied to 2019 distributable amount				114,156.
e Remaining amount distributed out of corpus	0.	P. Cr		
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below;				
2 Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.		·	
b Prior years' undistributed income. Subtract				
line 4b from line 2b	•	0.		
c Enter the amount of prior years'				
undistributed income for which a notice of	•			
deficiency has been issued, or on which the section 4942(a) tax has been previously				
assessed	2*	0.		
d Subtract line 6c from line 6b. Taxable	1. 1.			
amount - see instructions		0.		
e Undistributed income for 2018. Subtract line	 ;			
4a from line 2a. Taxable amount - see instr.		i	0.	
f Undistributed income for 2019. Subtract	3. 3.			
lines 4d and 5 from line 1. This amount must	, ,	*		
be distributed in 2020				8,925,356.
7 Amounts treated as distributions out of		······································		
corpus to satisfy requirements imposed by		,	į	
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2014			, ,	
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020.		- , ,,,,		
Subtract lines 7 and 8 from line 6a	0.			
O Analysis of line 9:		<u>ئە ۋاسدىدى يا</u> د		<u> </u>
a Excess from 2015	• -]		
b Excess from 2016		ļ		
c Excess from 2017	9			
d Excess from 2018	, , , , ,	,		
		·	,	
e Excess from 2019				

	K.L. CASTLE				05445 Page 1
Rart XIV. Private Operating			I-A, question 9)	N/A	
1 a If the foundation has received a ruling					
foundation, and the ruling is effective f	•	•	▶		
b Check box to indicate whether the four		ng foundation described		4942(j)(3) or4	942(1)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					/
c Qualifying distributions from Part XII,					
line 4, for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					ĺ
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the				<u> </u>	
alternative test relied upon; a "Assets" alternative test - enter:					
(1) Value of all assets					
, ,			 / 	 - ·	
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter				 	
2/3 of minimum investment return					
shown in Part X, line 6, for each year					
listed					
c "Support" alternative test - enter:			Ì		
(1) Total support other than gross investment income (interest,					
dividends, rents, payments on					
securities loans (section	/				
512(a)(5)), or royalties)					
(2) Support from general public			1	}	
and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info			if the foundation	had \$5,000 or mo	ore in assets
at any time during	the year-see instr	ructions.)			
1 Information Regarding Foundation	on Managers:				
a List any managers of the foundation wh	no have contributed more	than 2% of the total cont	ributions received by the	foundation before the clos	se of any tax
year (but only if they have contributed i	more than \$5,000). (See s	ection 507(d)(2).)	•		•
NONE					
b List any managers of the foundation wh	o own 10% or more of th	e stock of a corporation (or an equally large portion	on of the ownership of a pa	artnership or
other entity) of which the foundation ha	is a 10% or greater interes	st.			-
NONE					
2 Information Regarding Contribut	ion, Grant, Gift, Loan,	Scholarship etc. Pr	ograms:	 	
				ot accept unsolicited requ	ests for funds. If
the foundation makes gifts, grants, etc.	, to individuals or organiza	itions under other conditi	ons, complete items 2a,	b, c, and d.	30.0 .00
a The name, address, and telephone num					
ANN MATSUKADO, (808)		o poroon to unom applic			
1197 AULOA ROAD, KAI		34			
b The form in which applications should I			— — — — — — — — — — — — — — — — — — —		
	TTACHMENT	ion and materials they SI	iouiu iiiciuuc.		
c Any submission deadlines;	LINCIMENT				
•	מינים עניו או מוח .				
SEE STATEMENT 14 - A d Any restrictions or limitations on award	TTACHMENT	l areae abardable fields	kinds of inotitutions	ther feeters:	
SEE STATEMENT 14 - A		ii ai cas, chahadhe heids,	พ.ศ. พ.ศ. พ.ศ. พ.ศ. พ.ศ. พ.ศ. พ.ศ. พ.ศ.	mici iaciols.	

Part XV Supplementary Informat				
3 Grants and Contributions Paid During th	e Year or Approved for Future	Payment		
Recipient Name and address (ferrors as houseas)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		!
a Paid during the year			,	
			:	
				l
SEE ATTACHED STATEMENT 15				_
				7,617,460
	·			
		į		
Total			▶ 3a	7,617,460
b Approved for future payment		•		7,027,400,
SEE ATTACHED STATEMENT 15				
			•	5,664,060
		-		
			ļ	
Total		· · · · · · · · · · · · · · · · · · ·	▶ 3b	5,664,060.
			F	orm 990-PF (2019)

Analysis of Income-Producing Activities Part XVI-A

Enter gross amounts unless otherwise indicated.	Unrelat	ed business income		ided by section 512, 513, or 514	(e)
1 Program service revenue:	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income
a	Couc		1		
	•	 	 		
b	-			 	
			 		
0	•		 		
<u> </u>	·		 -		
	·		-		
g Fees and contracts from government agencies	ļ		-		
2 Membership dues and assessments			ļ		
3 Interest on savings and temporary cash			1 1 4	272 555	
investments			14		
4 Dividends and interest from securities				3,012,945.	· · · · · · · · · · · · · · · · · · ·
5 Net rental income or (loss) from real estate:	Signar.		-8, "1	2 2 2	A Committee of the Comm
a Debt-financed property			ļ		
b Not debt-financed property			.		
6 Net rental income or (loss) from personal			1		
property			<u> </u>		
7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory			18	13,590,566.	
9 Net income or (loss) from special events	L				
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:	1		1		
a OTHER INCOME			01	6,673.	
b					
C					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		16,983,739.	0
13 Total. Add line 12, columns (b), (d), and (e)		-		13	16,983,739.
(See worksheet in line 13 instructions to verify calculations.)					
Part XVI-B Relationship of Activities	to the Acc	omplishment of Ex	emp	t Purposes	
Line No. Explain below how each activity for which income			contrib	outed importantly to the accom	plishment of
the foundation's exempt purposes (other than	by providing fu	nds for such purposes).			
NOT APPLICABLE					
		<u> </u>			
				· · · · · · · · · · · · · · · · · · ·	
	_				
		 			
		 			
200001 40 47 40					Form 990-PF (2019)

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Page 13

19) HAROLD K.L. CASTLE FOUNDATION 99-6005445 Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations Part XVII

1 Did th	e organization directly or indi	rectly engage in any	of the follows	ng with any other organizati	ion described in sec	ction 501(c)	Yes No
	than section 501(c)(3) organ						Silv 27
	fers from the reporting found	•	-	• •	•		
(1) C				· g-···			1a(1) X
	ther assets						1a(2) X
	transactions:						(35.5 55 75
	ales of assets to a noncharita	hle exempt organiz:	ition				1b(1) X
	urchases of assets from a no						1b(2) X
	ental of facilities, equipment,		organization				1b(3) X
	eimbursement arrangements						1b(4) X
	pans or loan guarantees						
	erformance of services or me	mharchin ar fundra	icina colimitati				
	g of facilities, equipment, ma	•	-	•			
					huana aham tha fair	market value of the seeds	
	inswer to any of the above is						
	vices given by the reporting for n (d) the value of the goods, i				ue in any transactio	n or snaring arrangement, s	inow in
(a)Line no	(b) Amount involved				(4) -		
(a)LINB NO	(b) Amount myolyeu	(c) Name o		e exempt organization	(0) Description	on of transfers, transactions, and	sharing arrangements
			N/A			·	
							
			·				
							<u> </u>
							
			•				
2a Is the f	oundation directly or indirect	ly affiliated with, or	related to, one	or more tax-exempt organi	izations described		
	on 501(c) (other than section			or more and enough or gam		Г	Yes X No
	" complete the following sche						
	(a) Name of orga			(b) Type of organization	1	(c) Description of relations!	nio
	N/A			(-) -)p- or or g-manum	 	(*)	··· ·
						-	
							
					 		
					 		
Ún	der penalties of perjury, I declare to	hat I have examined thi	s return, includin	g accompanying schedules and	statements and to the	hest of my knowledge	
Sign and	belief, it is true, correct, and com	plete Declaration of pr	eparer (other than	n taxpayer) is based on all inform	nation of which prepare	w has any knowledge Ma)	y the IRS discuss this irn with the preparer
Here	X () 193	- W C	.Ch	- 1. / . /	GEO	sho	wn below? See instr
1	ignature of officer or trustee			11/12/2020			XLiYes LINo
		ma	Dranarar'a a	Date	Title	Chook Try of LOYIN	
	Print/Type preparer's nai	HG	Preparer's si	gnature (Date	Check X if PTIN	
Paid	TON 1/2 1/2 0/2	7TD 3		7	1	self- employed	
_	JON M. YAST		JON M.	YASUDA ´	11/10/20		0167025
Prepare	Thursday P 2000	лх ГГС		J		Firm's EIN ► 99-02	292355
Use Onl	T			<u> </u>	·		-
	Firm's address ► 745						<u> </u>
	l HOI	NOLULU, H	I 9681	3		Phone no. 808-52	
					-	Fo	rm 990-PF (2019)

FORM 990-PF INTER	EST ON SAVING	SS AND I	EMPORA	RY CASH	INVESTMENTS	STATEMENT	1
SOURCE			(A) EVENUE R BOOKS		(B) INVESTMENT INCOME	(C) ADJUSTED NET INCOM	
FROM K-1 CORE SENIO OFFSHORE FUND, L.P. VARIOUS	_		373,55	0. 5.	131,943. 318,570.		
TOTAL TO PART I, LI	INE 3		373,55	5.	450,513.		
FORM 990-PF	DIVIDENDS	AND INT	EREST	FROM SEC	URITIES	STATEMENT	2
SOURCE	GROSS AMOUNT	CAPIT GAIN DIVIDE	IS	(A) REVENUE PER BOOK			
FROM K-1 CORE SENIOR LENDING OFFSHORE FUND, VARIOUS	0.3,012,945.		0.	3,012,94	0. 8,08 5. 3,012,94		
TO PART I, LINE 4	3,012,945.		0.	3,012,94	3,021,02	28.	
FORM 990-PF		OTHER	INCOM	E		STATEMENT	3
DESCRIPTION			REV	A) ENUE BOOKS	(B) NET INVEST- MENT INCOME		
OTHER INCOME				6,673.	6,673	3.	
TOTAL TO FORM 990-F	F, PART I, I	JINE 11		6,673.	6,673		

FORM 990-PF	LEGAL	FEES	STATEMENT 4		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	3,896.	750.		3,145.	
TO FM 990-PF, PG 1, LN 16A =	3,896.	750.		3,145.	
FORM 990-PF	ACCOUNTI	NG FEES	S	TATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	39,267.	7,560.		31,707.	
TO FORM 990-PF, PG 1, LN 16B	39,267.	7,560.		31,707.	
FORM 990-PF O	THER PROFES	SIONAL FEES	S	FATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER PROFESSIONAL FEES	351,564.	0.		352,744.	
MANAGEMENT FEES - INVESTMENTS	469,020.	469,020.		0.	
TO FORM 990-PF, PG 1, LN 16C	820,584.	469,020.		352,744.	
FORM 990-PF	TAX	ES	s	FATEMENT 7	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAX HAWAII GENERAL EXCISE TAX FOREIGN TAXES PAYROLL TAXES	250,622. 50. 56,586. 59,160.	0. 50. 56,586. 11,411.		0. 0. 0. 47,748.	
TO FORM 990-PF, PG 1, LN 18	366,418.	68,047.		47,748.	

FORM 990-PF	OTHER E	OTHER EXPENSES				
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE MENT INC		(C) ADJUSTEI NET INCOI		
INSURANCE - FIRE INSURANCE - LIABILITY OFFICE EXPENSE TEMP HELP	7,367. 55,443. 302. 2,415.	10,	0. 674. 0. 0.		45,4 3	05. 28. 02.
MEALS & ENTERTAINMENT SUPPLIES AUTO & TRUCK DUES & SUBSCRIPTIONS	11,568. 18,536. 3,004. 77,211.	2,	227. 0. 0. 0.		9,3 18,5 3,0 77,2	41. 36. 04. 11.
EVENTS REPAIRS & MAINTENANCE SERVICE FEES OTHER EXPENSES (FROM K-1 CORE SENIOR LENDING OFFSHORE	500. 88,225. 4,201.		0. 0. 809.		5 88,2 7,8	
FUND, L.P.)	0.	1,	327.	· · · · · · · · · · · · · · · · · · ·		0.
TO FORM 990-PF, PG 1, LN 23	268,772.	15,	037.			67.
FORM 990-PF	CORPORAT	E STOCK			STATEMENT	9
DESCRIPTION			вос	K VALUE	FAIR MARKE VALUE	T
CORPORATE STOCK AND MUTUAL FU	NDS		110	,036,392.	125,368,5	28.
TOTAL TO FORM 990-PF, PART II	, LINE 10B		110	,036,392.	125,368,5	28.
FORM 990-PF	OTHER INVI	ESTMENTS			STATEMENT	10
DESCRIPTION		LUATION ETHOD	воо	K VALUE	FAIR MARKE VALUE	т
LIMITED PARTNERSHIPS HEDGE FUNDS PRIVATE EQUITY	I	FMV FMV		335,552. ,898,165. ,810,237.	337,6 39,560,1 17,450,5	29.
INITANID DOOTII	•			,010,20,1	17,430,3	02.

FORM 990-PF	OTHER LIABILITIES		STAT	EMENT 11
DESCRIPTION		BOY AMOUN	T EOY	AMOUNT
OTHER LIABILITIES FEDERAL EXCISE TAX PAYABLE	E	79,7 149,2		83,507. 234,892.
TOTAL TO FORM 990-PF, PAR	T II, LINE 22	228,9	87.	318,399.
	II - LIST OF OFFICERS, D TEES AND FOUNDATION MANA		STAT	EMENT 12
NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	
JAMES C. MCINTOSH 1197 AULOA ROAD KAILUA, HI 96734	DIRECTOR 0.50	0.	0.	0.
DR. CLAIRE L. ASAM 1197 AULOA ROAD KAILUA, HI 96734	DIRECTOR 0.50	0.	0.	0.
DR. KITTREDGE A. BALDWIN 1197 AULOA ROAD KAILUA, HI 96734	DIRECTOR 0.50	0.	0.	0.
CORBETT A.K. KALAMA 1197 AULOA ROAD KAILUA, HI 96734	DIRECTOR 0.50	0.	0.	0.
ERIC K. YEAMAN 1197 AULOA ROAD KAILUA, HI 96734	DIRECTOR 0.50	0.	0.	0.
DEE JAY A. MAILER 1197 AULOA ROAD KAILUA, HI 96734	DIRECTOR 0.50	0.	0.	0.
HENRY M. D'OLIER 1197 AULOA ROAD KAILUA, HI 96734	DIRECTOR/CHAIRM 2.00	MAN 0.	0.	0.
TERRENCE R. GEORGE 1197 AULOA ROAD KAILUA, HI 96734	PRESIDENT/CEO 40.00	374,519.	58,924.	0.

99-6005445

KAILUA, HI 96734	5.00	17,929.	2,849.	0.
CARLTON K.C. AU 1197 AULOA ROAD KAILUA, HI 96734	VP/TREASURER/CFO 8.00	97,692.	13,954.	0.
ALEXANDER HARRIS 1197 AULOA ROAD KAILUA, HI 96734	VICE PRESIDENT OF 40.00	PROGRAMS 58,734.		0.
TOTALS INCLUDED ON 990-PF, PAGE 6,	PART VIII	548,874.	84,870.	0.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 13

ACTIVITY ONE

THE IWI KUAMO'O PROJECT IS DESIGNED AS A COMMUNITY-BASED CAPACITY BUILDING EFFORT FOR 24 AINA-BASED EDUCATION ORGANIZATIONS IN WINDWARD O'AHU. BY INVESTING IN THEIR CAPACITY, THE FOUNDATION IS STRENGTHENING THE BACKBONE OF THESE ORGANIZATIONS. THIS IN TURN CREATES STRONGER PROGRAMS AND LIFE-LONG LEARNING OPPORTUNITIES FOR OUR YOUTH IN WINDWARD O'AHU. THESE SITES PROVIDE RELEVANCE AND REAL-LIFE PROBLEM-BASED LEARNING FOR EDUCATORS AND STUDENTS OF ALL LEVELS. THE GOALS OF THE INVESTMENT ARE THREE-FOLD - TO INSTILL A MALAMA 'AINA CULTURAL AND ENVIRONMENTAL STEWARDSHIP VALUE AND SKILL SET IN ALL AREA YOUTH, TO CREATE AND DELIVER HIGH QUALITY EDUCATIONAL AND STEM PROGRAMMING, AND TO STRENGTHEN THE COMMUNITY SAFETY-NET FOR YOUTH IN THE REGION.

EXPENSES	5
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TO FORM 990-PF, PART IX-A, LINE 1

4,020.