

Form **990-PF**

Department of the Treasury
 Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
 Do not enter social security numbers on this form as it may be made public.
 Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning , and ending

Name of foundation
SAMUEL N. & MARY CASTLE FOUNDATION
C/O BANK OF HAWAII

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
P.O. BOX 3170, DEPT. 715

City or town, state or province, country, and ZIP or foreign postal code
HONOLULU, HI 96802

A Employer identification number
99-6003321

B Telephone number
808-694-4525

C If exemption application is pending, check here **6**

D 1 Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

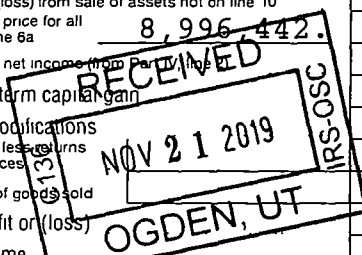
H Check type of organization: Section 501(c)(3) exempt private foundation **04**
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 43,438,918.** (Part I, column (d) must be on cash basis.)
 J Accounting method: Cash Accrual
 Other (specify)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	9,720.	9,720.		STATEMENT 1
4 Dividends and interest from securities	1,003,363.	1,002,587.		STATEMENT 2
5a Gross rents	15,530.	15,530.		STATEMENT 3
b Net rental income or (loss)	4,663.			STATEMENT 4
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a	8,996,442.			
7 Capital gain net income from Part IV		1,483,752.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	2,512,365.	2,511,589.		
13 Compensation of officers, directors, trustees, etc	172,074.	0.		172,074.
14 Other employee salaries and wages				
15 Pension plans, employee benefits	57,598.	0.		57,598.
16a Legal fees STMT 5	728.	728.		0.
b Accounting fees STMT 6	14,136.	5,916.		8,220.
c Other professional fees STMT 7	239,498.	168,549.		70,949.
17 Interest				
18 Taxes STMT 8	13,910.	1,910.		0.
19 Depreciation and depletion				
20 Occupancy	26,285.	0.		26,285.
21 Travel, conferences, and meetings	14,978.	0.		14,978.
22 Printing and publications	1,549.	0.		1,549.
23 Other expenses STMT 9	106,911.	66,384.		40,527.
24 Total operating and administrative expenses. Add lines 13 through 23	647,667.	243,487.		392,180.
25 Contributions, gifts, grants paid	1,984,475.			1,984,475.
26 Total expenses and disbursements. Add lines 24 and 25	2,632,142.	243,487.		2,376,655.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-119,777.			
b Net investment income (if negative, enter -0-)		2,268,102.		
c Adjusted net income (if negative, enter -0-)			N/A	

SCANNED JAN 08 2020

REVENUE NOV 14 2019 COSTS MARK D.A.



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		877,562.	663,549.	663,549.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock				
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶	59,415.			
	Less accumulated depreciation ▶		63,985.	59,415.	1,456,000.	
12	Investments - mortgage loans					
13	Investments - other	STMT 10		36,698,160.	36,796,966.	41,319,369.
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			37,639,707.	37,519,930.	43,438,918.
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)			0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26, and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		37,639,707.	37,519,930.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.		
30	Total net assets or fund balances		37,639,707.	37,519,930.		
31	Total liabilities and net assets/fund balances		37,639,707.	37,519,930.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	37,639,707.
2	Enter amount from Part I, line 27a	2	-119,777.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	37,519,930.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	37,519,930.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P	VARIOUS	12/31/18
b PUBLICLY TRADED SECURITIES	P	VARIOUS	12/31/18
c FEE SIMPLE INT KINALAU PL #1607	P	VARIOUS	01/10/18
d LITIGATION PROCEEDS	P	VARIOUS	12/31/18
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 1,922,187.		1,937,796.	-15,609.
b 6,677,802.		5,567,724.	1,110,078.
c 100,000.		7,070.	92,930.
d 402.		100.	302.
e 296,051.			296,051.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a			-15,609.
b			1,110,078.
c			92,930.
d			302.
e			296,051.

2 Capital gain net income or (net capital loss)	2	1,483,752.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2017	2,602,901.	47,240,774.	.055099
2016	2,359,127.	44,132,426.	.053456
2015	2,406,407.	46,171,200.	.052119
2014	1,979,906.	47,333,027.	.041829
2013	1,983,762.	44,711,576.	.044368

2 Total of line 1, column (d)	2	.246871
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.049374
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	47,610,866.
5 Multiply line 4 by line 3	5	2,350,739.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	22,681.
7 Add lines 5 and 6	7	2,373,420.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	2,376,655.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	22,681.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	22,681.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	22,681.
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	20,876.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	8,500.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments Add lines 6a through 6d	7	29,376.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,695.
11	Enter the amount of line 10 to be Credited to 2019 estimated tax <input checked="" type="checkbox"/> 6,695. Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b	N/A	
5		X
6	X	
7	X	
8a		
b		
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ HTTP://FOUNDATIONCENTER.ORG/GRANTMAKER/CASTLE/	X	
14 The books are in care of ▶ BANK OF HAWAII Telephone no. ▶ 808-694-4525 Located at ▶ 111 SOUTH KING STREET, HONOLULU, HI ZIP+4 ▶ 96813		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15	N/A	
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ▶	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A <input type="checkbox"/>	5b	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALFRED L. CASTLE C/O P.O. BOX 3170 HONOLULU, HI 96802	TREASURER/EXEC DIRECTOR 40.00	0.	0.	0.
CYNTHIA QUISENBERRY C/O P.O. BOX 3170 HONOLULU, HI 96802	SECRETARY/TRUSTEE 0.00	0.	0.	0.
DR. KITTREDGE BALDWIN C/O P.O. BOX 3170 HONOLULU, HI 96802	VICE PRESIDENT/TRUSTEE 0.00	0.	0.	0.
DR. ROBERT PETERS C/O P.O. BOX 3170 HONOLULU, HI 96802	PRESIDENT/TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	46,465,765.
b	Average of monthly cash balances	1b	485,640.
c	Fair market value of all other assets	1c	1,384,500.
d	Total (add lines 1a, b, and c)	1d	48,335,905.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	48,335,905.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	725,039.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	47,610,866.
6	Minimum investment return. Enter 5% of line 5	6	2,380,543.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,380,543.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	22,681.
b	Income tax for 2018 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	22,681.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,357,862.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,357,862.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,357,862.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,376,655.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,376,655.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	22,681.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,353,974.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2,357,862.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			1,872,632.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 2,376,655.				
a Applied to 2017, but not more than line 2a			1,872,632.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				504,023.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				1,853,839.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

SAMUEL N. & MARY CASTLE FOUNDATION

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5).

	Tax year		Prior 3 years		(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:
SEE GRANTS INFORMATION STATEMENT ATTACHED.

b The form in which applications should be submitted and information and materials they should include:
SEE GRANTS INFORMATION STATEMENT ATTACHED.

c Any submission deadlines.
SEE GRANTS INFORMATION STATEMENT ATTACHED.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.
SEE GRANTS INFORMATION STATEMENT ATTACHED.

SAMUEL-N. & MARY CASTLE FOUNDATION

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SEE 2018 GRANT LIST ATTACHED		PC		1,984,475.
Total			▶ 3a	1,984,475.
b <i>Approved for future payment</i>				
NONE				
Total			▶ 3b	0.

Form 990-PF (2018)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF HAWAII M28563	9,720.	9,720.	
TOTAL TO PART I, LINE 3	9,720.	9,720.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF HAWAII ACCT. M28563	1,299,414.	296,051.	1,003,363.	1,002,587.	
TO PART I, LINE 4	1,299,414.	296,051.	1,003,363.	1,002,587.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
775 KINALAU PLACE, HONOLULU, HI	1	15,530.
TOTAL TO FORM 990-PF, PART I, LINE 5A		15,530.

FORM 990-PF RENTAL EXPENSES STATEMENT 4

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
INSURANCE		159.	
GENERAL EXCISE TAX		594.	
REAL ESTATE MGMT FEES		7,114.	
LEASE RENEGOTIATION		3,000.	
- SUBTOTAL -	1		10,867.
TOTAL RENTAL EXPENSES			10,867.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			4,663.

FORM 990-PF	LEGAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	728.	728.		0.	
TO FM 990-PF, PG 1, LN 16A	728.	728.		0.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
AUDIT FEES	8,377.	4,188.		4,189.	
TAX PREPARATION FEES	5,759.	1,728.		4,031.	
TO FORM 990-PF, PG 1, LN 16B	14,136.	5,916.		8,220.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FUND MANAGEMENT	236,498.	165,549.		70,949.	
LEASE RENEGOTIATION	3,000.	3,000.		0.	
TO FORM 990-PF, PG 1, LN 16C	239,498.	168,549.		70,949.	

FORM 990-PF	TAXES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES WITHHELD	1,316.	1,316.		0.	
FEDERAL EXCISE TAXES	12,000.	0.		0.	
GENERAL EXCISE TAX	594.	594.		0.	
TO FORM 990-PF, PG 1, LN 18	13,910.	1,910.		0.	

FORM 990-PF OTHER EXPENSES STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE - LIABILITY	3,452.	0.		3,452.
INVESTMENT ADVISORY FEES	58,981.	58,981.		0.
WAGES - SECRETARIAL SUPPORT	23,939.	0.		23,939.
ANNUAL FILING FEE	6.	0.		6.
OTHER INVESTMENT EXP	130.	130.		0.
OPERATING EXPENSES	13,130.	0.		13,130.
INSURANCE	159.	159.		0.
REAL ESTATE MGMT FEES	7,114.	7,114.		0.
TO FORM 990-PF, PG 1, LN 23	106,911.	66,384.		40,527.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE INV-1 ATTACHED	COST	36,796,966.	41,319,369.
TOTAL TO FORM 990-PF, PART II, LINE 13		36,796,966.	41,319,369.



GRANTMAKING POLICIES

Regardless of priority status, there are certain policies with which applicant organizations must comply.

Organizations submitting proposals must be tax exempt, publicly supported and charitable as determined by the Internal Revenue Service. The Trustees will not review proposals from organizations that cannot confirm their 501(c)(3) status.



The Foundation's focus and chief priority is on early education of children 0-5.

Grants are primarily awarded to organizations located within the state of Hawaii, for programs and projects benefiting the people of Hawaii.

Programs or projects for which funds are requested must be in response to a documented community need, and not solely an organizational need.

Grants may be awarded for innovative programs, demonstration projects and "start-up" funding. Program and project support does not generally exceed three years, and funding must be applied for on a yearly basis.

Programs improving access of low-income families to high quality early education are preferred.

Grants are awarded for partial funding of a program, as the Foundation rarely serves as the sole funder of an organization or project. Support rarely exceeds 30-40% of the program or project budget.

Special priority is given to pre-K teacher training.

Grants are generally not made to individual government-funded entities, such as individual public and charter schools.

Grants are generally not made to endowment funds.

Grants are generally not made to third party regranting organizations.

All grants are made directly to applicant organizations serving the public.

An organization is generally not awarded more than one grant in any calendar year.

The deadlines are typically scheduled for the Winter, early Summer and early Fall and are announced well in advance. Complete applications may be hand-delivered, mailed regular mail or submitted electronically. They should be received by 5:00 pm on the announced due date.

If additional information is required because the original proposal is incomplete, the proposal may be deferred to the next meeting of the Trustees.

All funded organizations are required to submit a final grant report within a year of the grant award date. This report should include a financial statement for the period in which grant monies were spent, and a brief narrative section describing the outcome of the funded program or project. Organizations not submitting such reports will be disqualified from future grants consideration.

Generally, organizations will not be eligible for program or project support beyond 3 years. Subsequent to a 3-year grant, an organization should not apply for additional support for at least one calendar year.

Because of the often prohibitive cost of constructing new pre-schools, the Foundation prefers to fund existing schools and encourages strategic use of existing space and structures.

The Foundation prefers funding existing pre-schools, but will consider grants to start-up pre-schools which have demonstrated broad-based sources of financial support and a solid business plan for sustainability. In general, a new pre-school should have raised at least 50% of construction costs from internal or external funds before being considered by the Foundation.

Successful accredited, or in the process of obtaining accreditation, pre-K schools.

Currently, the Foundation works with the following accreditation agencies: WASC, HAIS (Hawaii Association of the Independent Schools), ACSI (Association of Christian Schools International), NAEYC and NECPA.

The trustees of the Samuel N. & Mary Castle Foundation strongly prefer NAEYC accreditation for pre-school applicants. Applicants with NAEYC accreditation will be given high priority status.

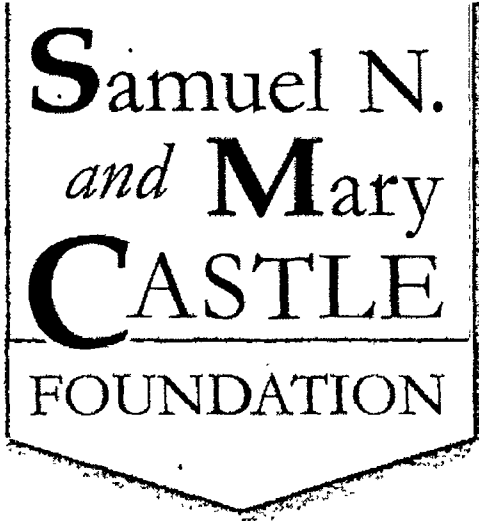
Start-Up Pre-Schools & Kindergartens

Capital Project Proposals

YE: 12/31/2018

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Grants Information



SUBMITTING A PROPOSAL

Before funds are sought from the Samuel N. and Mary Castle Foundation, the applicant organization should review the Foundation's priorities and policies to determine whether it is eligible to be considered for funding and whether the type of activity proposed is in one of the Foundation's funding areas.

The Foundation receives many more applications than it can fund. To assist applicants in deciding whether an application will be worth submitting, we require applicants to contact the Foundation's Executive Director by letter, e-mail, phone or a personal visit before making fund application. A site visit may be required if the organization has not applied before or in many years.



All proposals passing the pre-screen process must be received in the Foundation office by e-mail attachment, fax, regular postal mail or walk-in delivery by 5:00 p.m. of the due date.

The deadlines are **January 15** for the February trustee meeting, **May 15** for the July/August meeting, and **September 5** for the December meeting.

The Samuel N. and Mary Castle Foundation is not an international funder and applications are not accepted outside the U.S. The Foundation only rarely funds in the U.S. outside Hawaii. All mainland U.S. applications must be invited by the Trustees to be considered for funding.

The following materials should be included in any funding request submitted for review by the Trustees. Click the tabs below for detailed information:

Cover Letter

Proposal (two copies)

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Grants Information

Additional Materials (one copy)

Internal Revenue Service determination letter for the organization dated after 1969

charter and bylaws for the organization if a proposal has not been submitted to the Foundation within the past five years or has had changes in governance

most recently completed audited financial statements for the organization's operations showing the year's income and expenses, final balances at year's end and an explanation for anything unusual reported in the statements. The Trustees prefer two years of audited statements if available. Exceptions to the audited financial statement are made on a case-by-case basis, especially for church-related preschools or start-up organizations serving children 0-5

a copy of the organization's current operating budget

a cover letter, including the signatures of the presiding officer of the board and the executive director (or person in the equivalent role) of the organization to indicate that both the board and the chief staff person have approved the proposal being submitted to the Foundation

name and phone number of contact person with whom Foundation staff may make arrangements for an interview or site visit, or from whom they may request additional information

One-Page Executive Summary (two copies)

Project + Current Operating Budget (two copies)

Audit (one copy)

Board of Directors List (two copies)

Organizations that have submitted their charters, bylaws and 501(c)(3) before, need not submit them again.

Applicant organizations should not bind their proposals or submit videos or other items which have not been requested by the Foundation.

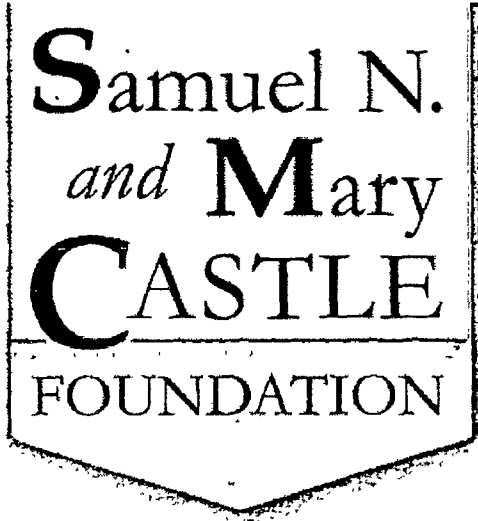
Please address all correspondence and the proposal to **Mr. Al Castle**, Executive Director at the Samuel N. & Mary Castle Foundation.

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Grants Information



LOW-INCOME TUITION ASSISTANCE FOR ACCREDITED PRE-SCHOOLS

2019 Request for Proposal for Calendar Year 2020

2020 Scholarship Program and Invitation to Apply for Tuition Support for Three-Year-Old Students

Like most states, Hawaii is attempting to build, over a period of many years, a universal preschool system for all four-year-olds. This voluntary program is now located in the Department of Education (EOEL) and complements the many excellent private preschool providers across the state. Neither Pre-School Open Doors, nor the DOE will cover three-year-old pre-school students. It is estimated that about 15% of preschool students are three years of age at the point they start instruction.

The trustees of the Samuel N. and Mary Castle Foundation are mindful of our long history of serving all of Hawaii's families with educational opportunities in the early years of growth and socio-emotional development. To that end, we are inviting select pre-schools to apply for up to \$10,000 to support low-income or modest-income children who are three-years-old at the time the award is made. As always, the pre-school should develop the process for identifying eligible children and provide families information of how they may apply for this tuition assistance. Please publicize the existence of these scholarships in any way you choose. Twelve months after receipt of the funds, send us a report on how many three-year-olds were assisted and how the pilot project assisted, if it did, the school's ability to serve low to moderate-income families.

All applications for tuition assistance are due in the office of the Samuel N. and Mary Castle Foundation no later than Monday, September 4, 2019. Trustees will consider all requests for up to \$10,000 at our December meeting and awards will be made by the end of December 2019.

Organizations awarded grants would be able to award the tuition funds in January of 2020 demonstration project we are partnering with fourteen well respected and accredited pre-school centers across the state.

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Grants Info

News of the award, if made, may be shared with the media and in-house newsletters, etc. Successful applicants should inform parents of children receiving an award that the funds came from the Samuel N. & Mary Castle Foundation. The total budget for this competition is \$125,000.

Click the tabs below for detailed application and reporting procedures.

List of Eligible Pre-Schools

A Full Application Should Include

Deadline & Submission

Reporting Guidelines for Final Report

We look forward to learning from your progress, challenges and successes. The report must be signed by the School Head and Board Chair/President. Feel free to contact us if you have questions or require further clarification of our reporting procedures. The final report should be submitted 12 months after the receipt of the award. Any unused funds should be returned to the Foundation. Please consult with Foundation staff should the school have any questions about the award.

The following must also be provided in the report narrative:

One page narrative about the scholarship/tuition aid program. How were students selected and by whom?

Number of students assisted from Samuel N. & Mary Castle Foundation and amount of awards for each student (students should remain anonymous).

A copy of the document the student is given that indicates notification of the awarding foundation and the dollar amount.

HOW TO APPLY

Submitting Proposals

Grant Contracts

Post-Grant Evaluations

Family Support – RFP

Samuel & Mary Castle Foundation

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2018 Grant List

<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
Ae Kamali'i Pre-School Taketa, Penni 2943 Kress Street, Suite B Lihue, HI 96766-1324 <i>Tuition Assistance for Low-Income Families</i>	12/14/2018	\$10,000.00
American Red Cross Chun Matayoshi, Coralie 4155 Diamond Head Road Honolulu, HI 96816 <i>Disaster Preparedness Programs for Youth</i>	7/12/2018	\$25,000.00
Catholic Charities Walsh, Terry 1822 Ke'eaumoku Street Honolulu, HI 96822 <i>Child and Family Programs</i>	7/12/2018	\$50,000.00
Catholic Charities Walsh, Terry 1822 Ke'eaumoku Street Honolulu, HI 96822 <i>Mary Tenney Castle Emergency Fund for Families with Young Children</i>	12/14/2018	\$50,000.00
Catholic Diocese of Honolulu - Hawaii Catholic Schools Rockers, Mike 6301 Pali Highway Kaneohe, HI 96744 <i>Tuition Assistance for Low-Income Families in Catholic Pre-Schools</i>	12/14/2018	\$50,000.00
Chaminade University of Honolulu Babington, Lynn 3140 Waialae Avenue Honolulu, HI 96816-1578 <i>Early Childhood Education Projects</i>	7/12/2018	\$20,000.00

Samuel & Mary Castle Foundation

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<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
Child & Family Service Tan, Karen 91-1841 Ft. Weaver Rd. Ewa Beach, HI 96706 <i>"Transition to Success" Program Support</i>	7/12/2018	\$75,000.00
Child & Family Service Tan, Karen 91-1841 Ft. Weaver Rd Ewa Beach, HI 96706 <i>Writing and Publication of Children's Booklet which Addresses Trauma Issues</i>	12/14/2018	\$25,000 00
Colorado State University Foundation Gill, Ann Colorado State University College of Liberal Arts Clark C-138 Fort Collins, CO 80523-1701 <i>Castle Endowment for Early Education</i>	12/14/2018	\$25,000.00
Erikson Institute Nagle, Geoffrey 451 North LaSalle Street Chicago, IL 60654-4510 <i>Early Mathematics Teacher Education Program and Early Literacy Teacher</i>	9/11/2018	\$215,000.00
Family Hui Hawaii Shiinoki, Cheryl P.O. Box 22596 Honolulu, HI 96823 <i>Second Year "Hui at School" Family Engagement Program</i>	12/14/2018	\$25,000.00
Family Support Hawaii Wofford, Ray 75-127 Lunapule Rd., #11 Kailua-Kona, HI 96740 <i>Support of Programs Strengthening the Wellbeing of Young Children: "Enhanced Group Socialization" Project</i>	7/12/2018	\$35,000.00

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<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
The Foundation Center Smith, Bradford 79 Fifth Avenue/ 16th Street New York, NY 10003-3076 <i>Operating Support</i>	7/12/2018	\$5,000.00
Friends of the D.T. Fleming Arboretum at Pu'u Mahoe Vockrodt-Moran, Martha P.O. Box 101 Makawao, HI 96768 <i>Environmental Education Program</i>	12/14/2018	\$10,000.00
Good Shepherd Pre-School Tamwat, Shiuking Rita 638 North Kuakini Street Honolulu, HI 96817 <i>Tuition Assistance for Low-Income Families</i>	12/12/2018	\$10,000.00
Hanalani Schools Sugimoto, Mark 94-294 Anania Drive Mililani, HI 96789 <i>Playground Upgrades</i>	12/14/2018	\$10,000.00
HANO (Hawaii Alliance of Nonprofit Organizations) Maruyama, Lisa 1020 S. Beretania Street, 2nd Floor Honolulu, HI 96814 <i>Two Training Workshops in 2018 for Castle Colleagues Pre-School Directors</i>	6/25/2018	\$15,720.00
Hawaii Arts Alliance Liu, Terence P.O. Box 3948 Honolulu, HI 96812-3948 <i>Pre-K Arts Program</i>	12/14/2018	\$20,000.00

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2018 Grant List

<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
Hawaii Association of Independent Schools Bossert, Philip 1585 Kapiolani Blvd., Suite 1212 Honolulu, HI 96814-4527 <i>Support for Speaker Fees at the 2018 HAIS "Schools of the Future" Conference</i>	6/22/2018	\$5,000.00
Hawaii Children's Action Network Zysman, Deborah 850 Richards Street, Suite 201 Honolulu, HI 96813 <i>Partial Support to Organize and Prepare a Comprehensive Application to the Federal Government for Funds to Expand Hawaii's Public Pre-School System</i>	9/14/2018	\$10,000.00
Hawaii Children's Action Network Zysman, Deborah 850 Richards Street, Suite 201 Honolulu, HI 96813 <i>Operating Support (\$5,000 for support of the Pre-School Directors Conference in 2018)</i>	12/14/2018	\$105,000.00
Hawaii Community Foundation Rapp, Kevin 827 Fort Street Mall Honolulu, HI 96813 <i>Kauai Relief & Recovery Fund - Emergency Tuition Assistance and/or Emergency School Recovery for Aloha School in Hanalei.</i>	4/24/2018	\$25,000.00
Hawaii Montessori School Wheaton, Maya 64-1058 Mamalahoa Highway Kamuela, HI 96743 <i>Tuition Assistance to Children from Low- Income Families</i>	12/14/2018	\$15,000.00
Hawaii Preparatory Academy Mckendry, Robert 65-1692 Kohala Mtn. Rd. Kamuela, HI 96743 <i>Pre-School Arts Program</i>	12/14/2018	\$15,000.00

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<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
Hawaii Theatre Center Dunn, Gregory 1130 Bethel Street Honolulu, HI 96813 <i>Theatrical Programs for Elemetary School Children</i>	2/28/2018	\$15,000 00
Helping Hands Hawaii Harada, Jan 2100 N. Nimitz Hwy. Honolulu, HI 96819 <i>Strengthening Existing Family Support</i>	7/12/2018	\$30,000.00
Honolulu Theatre for Youth Dunning, Rebecca 1149 Bethel Street, Suite 700 Honolulu, HI 96813 <i>Support for 2018 Season Theatrical</i>	2/28/2018	\$10,000 00
Hui No'eau Visual Arts Center Killhour, Caroline 2841 Baldwin Avenue Makawao, HI 96768 <i>Pre-School Arts Program</i>	12/14/2018	\$17,000.00
Imua Family Services Wong, Dean 161 S Wakea Avenue Kahului, HI 96732 <i>To Sustain and Improve Early Childhood</i>	7/12/2018	\$55,000 00
Ka Hale O Na Keiki Preschool Seguerta, Paula 45-3668 Honoka'a-Waipio Road Honoka'a, HI 96727 <i>Tuition Assistance to Children from Low-Income Families</i>	12/14/2018	\$10,000.00

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2018 Grant List

<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
Kahului Baptist Preschool Nishita, Melanie 309 South Puunene Avenue Kahului, HI 96732-2910 <i>Playground Improvement</i>	2/28/2018	\$15,000.00
The Kaimuki Christian School Ahn, Robyn 1117 Koko Head Avenue Honolulu, HI 96816 <i>Playground Upgrade</i>	12/14/2018	\$25,000.00
Kama`aina Care (Kama'aina Kids) Sanborn, Raymond 156 Hamakua Drive #C Kailua, HI 96734 <i>Tuition Assistance to Children from Low- Income Families</i>	12/14/2018	\$10,000.00
KCAA Pre-Schools of Hawaii Cox, Christina 2707 South King Street Honolulu, HI 96826 <i>Capital Improvements and Teacher Training</i>	12/14/2018	\$175,000.00
KCAA Pre-Schools of Hawaii Cox, Christina 2707 South King Street Honolulu, HI 96826 <i>Tuition Assistance for Students from Low- Income Families</i>	12/14/2018	\$10,000.00
Maui Economic Opportunity Cabebe, Debra P.O. Box 2122 Kahului, HI 96733 <i>Currcilum and Staff Training in the Infant Care and Early Childhood Education</i>	2/28/2018	\$5,000.00
Maui Family Support Services Baguio-Larena, Edeluisa 1844 Wili Pa Loop Wailuku, HI 96793 <i>Program Support for Early Head Start and Family Strengthening</i>	7/12/2018	\$50,000.00

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2018 Grant List

<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
Mid-Pacific Institute Cordova, Gary 2445 Kaala Street Honolulu, HI 96822-2299 <i>Professional Development for Pre-School and Elementary School Teachers</i>	7/9/2018	\$10,800 00
Na Kamali'i Hoaloha Bennett, Katherine P.O Box 1527 Kuanakakai, HI 96748 <i>Tuition Assistance to Children from Low- Income Families</i>	12/14/2018	\$10,000.00
Parents and Children Together (P.A.C.T.) Kusumoto, Ryan 1485 Linapuni Street, Suite 105 Honolulu, HI 96819 <i>Homeless Children Pre-School Project</i>	7/12/2018	\$50,000.00
Partners in Development Foundation Dill, Jan Hanohano 2040 Bachelot Street Honolulu, HI 96817 <i>Support for Existing Pre-School and Family Support Programs</i>	7/12/2018	\$45,000 00
Rainbow School Barley, Cynthia 56-463 Kamehameha Hwy. Kahuku, HI 96731-2201 <i>Playground Improvement Project</i>	2/28/2018	\$15,000.00
Rainbow School Barley, Cynthia 56-463 Kamehameha Hwy. Kahuku, HI 96731-2201 <i>Tuition Assistance for Low-Income Families</i>	12/14/2018	\$10,000 00
Saint Mark Lutheran Church and School Gaudi, David 45-725 Kamehameha Highway Kaneohe, HI 96744 <i>Equipment, Furniture and Fixtures for the Early Learning Center</i>	12/14/2018	\$100,000.00

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2018 Grant List

<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
The Salvation Army Chamness, John PO Box 620 Honolulu, HI 96809-0620 <i>Training in Child-Parent Psychotherapy</i>	7/12/2018	\$50,000.00
Seagull Schools Cornston, Megan 1300 Kailua Road Kailua, HI 96734 <i>Tuition Assistance for Low-Income Families</i>	12/14/2018	\$10,000 00
St. Andrew's Priory School Fletcher, Ruth 224 Queen Emma Square Honolulu, HI 96813 <i>Pre-School Renovations</i>	12/14/2018	\$50,000.00
Trinity Christian School Marshall, Rodney L. 875 Auloa Road Kailua, HI 96734 <i>Capital Improvements</i>	12/14/2018	\$50,000.00
TSNE Missionworks (formerly Third Sector New England) Rudisill, Shannon Non-Profit Center 89 South Street, Suite 700 Boston, MA 02111-2680 <i>15,000 for Two Years Operating Support for Programs by Early Education Funders Collaborative for 2018 and 2019 and \$5,000 for Dinner with Hawaii Funders and Other Costs for the Hawaii Study Tour</i>	2/28/2018	\$20,000 00

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2018 Grant List

<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
University of Hawaii Foundation Vuchnich, Donna 2444 Dole Street, Bachman Hall 105 Honolulu, HI 96822 <i>Summer Bridge Kindergarten Pilot Project with the EOEL, Pre-K and the D.O.E.</i>	2/28/2018	\$30,000.00
University of Hawaii Foundation Vuchnich, Donna 2444 Dole Street, Bachman Hall 105 Honolulu, HI 96822 <i>Early Education Scholarships for Current and Prospective Pre-School Teachers</i>	12/14/2018	\$125,000.00
Waikiki Community Center Hayashi, Caroline 310 Paoakalani Avenue Honolulu, HI 96815 <i>Pre-School Renovations</i>	12/14/2018	\$23,742.00
Waikiki Community Center Hayashi, Caroline 310 Paoakalani Avenue Honolulu, HI 96815 <i>Tuition Assistance to Children from Low- Income Families</i>	12/14/2018	\$10,000.00
Wailuku Union Church Pre-School Kan Hai, Kanani 327 South High Street Wailuku, HI 96793 <i>Tuition Assistance to Children from Low- Income Families</i>	12/14/2018	\$5,000.00
YMCA of Honolulu Broderick, Michael 1441 Pali Highway Honolulu, HI 96813 <i>Kindergarten Readiness Program</i>	7/12/2018	\$50,000.00
YWCA of Hawaii Island McGilvray, Kathleen 1382 Kilauea Avenue Hilo, HI 96720 <i>Pre-school Program Enhancements, Teacher Professional Development</i>	7/12/2018	\$22,213.00

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2018 Grant List

<u>Payee Organization</u>	<u>Date Paid</u>	<u>Amount</u>
YWCA of Hawaii Island McGilvray, Kathleen 1382 Kilauea Avenue Hilo, HI 96720 <i>Tuition Assistance for Students from Low- Income Families</i>	12/14/2018	\$20,000 00
	Grand Total	<u><u>\$1,984,475.00</u></u>