

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2018

Department of the Treasury Internal Revenue Service

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1911

Open to Public Inspection

For calendar year 2018 or tax year beginning DEC 1, 2018, and ending NOV 30, 2019

Name of foundation THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION
A Employer identification number 95-6080374
B Telephone number (562) 435-8444
C If exemption application is pending, check here
D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation
E If private foundation status was terminated under section 507(b)(1)(A), check here
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here
G Check all that apply: Initial return, Final return, Address change, Initial return of a former public charity, Amended return, Name change
H Check type of organization: Section 501(c)(3) exempt private foundation
I Fair market value of all assets at end of year \$ 246,954,037.
J Accounting method: Cash

Table with 4 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

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**THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION**

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
<b>Assets</b>	1	Cash - non-interest-bearing	85,259.	53,980.	53,980.
	2	Savings and temporary cash investments	4,538,462.	10,613,562.	10,613,562.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use		0.	0.
	9	Prepaid expenses and deferred charges		157,901.	157,901.
	10a	Investments - U.S. and state government obligations <b>STMT 13</b>	2,058,231.	1,049,458.	1,099,870.
	b	Investments - corporate stock <b>STMT 14</b>	38,170,412.	29,181,436.	165,394,451.
	c	Investments - corporate bonds <b>STMT 15</b>	37,109,757.	32,012,976.	32,740,334.
	11	Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶				
12	Investments - mortgage loans				
13	Investments - other <b>STMT 16</b>	3,848,086.	15,744,637.	36,891,114.	
14	Land, buildings, and equipment: basis ▶ <b>96,394.</b>				
	Less: accumulated depreciation <b>STMT 12 ▶ 95,640.</b>	754.	754.	754.	
15	Other assets (describe ▶ <b>SECURITY DEPOSIT</b> )	2,071.	2,071.	2,071.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)	85,813,032.	88,816,775.	246,954,037.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses	10,727,525.	10,857,810.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ <b>WHITEHALL STREET R</b> )	26,967.	29,327.	
23	<b>Total liabilities</b> (add lines 17 through 22)	10,754,492.	10,887,137.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26, and lines 30 and 31				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31				
	27	Capital stock, trust principal, or current funds	0.	0.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds	75,058,540.	77,929,638.		
30	<b>Total net assets or fund balances</b>	75,058,540.	77,929,638.		
31	<b>Total liabilities and net assets/fund balances</b>	85,813,032.	88,816,775.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	75,058,540.
2	Enter amount from Part I, line 27a	2	-3,003,490.
3	Other increases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 10</b>	3	10,727,525.
4	Add lines 1, 2, and 3	4	88,789,555.
5	Decreases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 11</b>	5	10,859,917.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	77,929,638.

THE KENNETH T. AND EILEEN L. NORRIS  
FOUNDATION

Form 990-PF (2018)

95-6080374

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**Part IV Capital Gains and Losses for Tax on Investment Income** SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e	15,917,780.	6,818,992.	9,098,788.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			9,098,788.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7                 }	2	9,098,788.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
 If section 4940(d)(2) applies, leave this part blank.  
 Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2017	10,110,151.	223,813,106.	.045172
2016	9,336,269.	203,926,091.	.045783
2015	9,291,494.	186,714,469.	.049763
2014	8,919,425.	187,914,734.	.047465
2013	8,419,154.	184,385,453.	.045661

2 Total of line 1, column (d)	2	.233844
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.046769
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	227,686,438.
5 Multiply line 4 by line 3	5	10,648,667.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	142,794.
7 Add lines 5 and 6	7	10,791,461.
8 Enter qualifying distributions from Part XII, line 4	8	11,111,243.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

- 1a Exempt operating foundations described in section 4940(d)(2), check here [ ] and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary-see instructions)
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here [X] and enter 1% of Part I, line 27b
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)
3 Add lines 1 and 2
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-

Table with 2 columns: Line number, Amount. Rows 1-11 showing tax calculations and totals.

- 6 Credits/Payments.
a 2018 estimated tax payments and 2017 overpayment credited to 2018
b Exempt foreign organizations - tax withheld at source
c Tax paid with application for extension of time to file (Form 8868)
d Backup withholding erroneously withheld
7 Total credits and payments Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax Check here [X] if Form 2220 is attached
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax Refunded

Table with 2 columns: Line number, Amount. Rows 6a-6d showing credit and payment amounts.

Part VII A Statements Regarding Activities

- 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV
8a Enter the states to which the foundation reports or with which it is registered. See instructions. CA
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Table with 3 columns: Question number, Yes, No. Rows 1a-10 showing responses to activity statements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.NORRISFOUNDATION.ORG
14 The books are in care of WALTER ZANINO, TRUSTEE-EXEC. DIR. Telephone no. (562) 435-8444 Located at 11 GOLDEN SHORE, SUITE 450, LONG BEACH, CA ZIP+4 90802
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  Yes  No  
Organizations relying on a current notice regarding disaster assistance, check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d).  N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  Yes  No

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		60,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KTN ENTERPRISES, INC. - 11 GOLDEN SHORE, STE 440, LONG BEACH, CA 90802	MANAGEMENT FEES	1197486.

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions	

Total. Add lines 1 through 3 ▶ 0.

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	225,167,283.
b	Average of monthly cash balances	1b	5,983,636.
c	Fair market value of all other assets	1c	2,825.
d	Total (add lines 1a, b, and c)	1d	231,153,744.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	231,153,744.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,467,306.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	227,686,438.
6	Minimum investment return. Enter 5% of line 5	6	11,384,322.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,384,322.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	142,794.
b	Income tax for 2018 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	142,794.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,241,528.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	11,241,528.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,241,528.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	11,111,243.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,111,243.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	142,794.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	10,968,449.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				11,241,528.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			10,727,525.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 11,111,243.				
a Applied to 2017, but not more than line 2a			10,727,525.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				383,718.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d) the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				10,857,810.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				



**Part XV** Supplementary Information *(continued)*

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE ATTACHED STATEMENT	NONE	PUBLIC CHARITIES	GENERAL	10,029,900.
<b>Total</b>			<b>▶ 3a</b>	10,029,900.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>▶ 3b</b>	0.





**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 500,000 UNITS CALIFORNIA ST		10/15/09	10/01/19
b 500,000 UNITS USC		02/22/10	01/01/19
c 500,000 UNITS MORGAN STANLEY		07/26/10	09/23/19
d 500,000 UNITS GENERAL ELECTRIC CO		08/29/11	08/07/19
e 425,000 UNITS ANHEUSER-BUSCH IN		02/26/13	11/12/19
f 308,000 UNITS ANHEUSER-BUSCH IN		02/26/13	10/29/19
g 1,000,000 UNITS FHLB		04/12/16	10/12/19
h 1,000,000 UNITS ROYAL BANK OF CA		05/21/18	07/29/19
i 1,000,000 UNITS THE TORONTO-DOM		05/21/18	11/05/19
j 11,312 SHS HOME DEPOT INC		VARIOUS	VARIOUS
k 45,456 SHS MICROSOFT CORP		VARIOUS	VARIOUS
l 19,969 SHS CANADIAN NATL RAILWY		02/23/05	VARIOUS
m AMERICAN FD EUROPACIFIC			12/21/18
n AMERICAN FD NEW PERSPECTIVE			12/24/18
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 500,000.		500,000.	0.
b 500,000.		500,000.	0.
c 500,000.		500,000.	0.
d 500,000.		500,000.	0.
e 433,411.		420,771.	12,640.
f 314,259.		304,935.	9,324.
g 1,000,000.		1,000,000.	0.
h 1,000,000.		1,000,000.	0.
i 1,000,000.		1,000,000.	0.
j 2,497,962.		88,551.	2,409,411.
k 5,750,691.		694,157.	5,056,534.
l 1,746,715.		310,578.	1,436,137.
m 10,175.			10,175.
n 164,567.			164,567.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0.
b			0.
c			0.
d			0.
e			12,640.
f			9,324.
g			0.
h			0.
i			0.
j			2,409,411.
k			5,056,534.
l			1,436,137.
m			10,175.
n			164,567.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	9,098,788.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CITY NATIONAL BANK	127.	127.	
CITY NATIONAL BANK - MONEY MARKET	93,996.	93,996.	
TOTAL TO PART I, LINE 3	94,123.	94,123.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ACCRETION - CORPORATE BONDS	20,440.	0.	20,440.	20,440.	
CORPORATE BOND - AMORTIZATION PREMIUM & DISCOUNTS	<168,195.>	0.	<168,195.>	<168,195.>	
DIVIDEND INCOME - COMMON STOCK	3,773,921.	0.	3,773,921.	3,773,921.	
DIVIDEND INCOME - MUTUAL FUNDS	40,974.	0.	40,974.	40,974.	
DIVIDEND INCOME -EXCHANGE-TRADED FUNDS	552,406.	0.	552,406.	552,406.	
INTEREST INCOME - CORPORATE BONDS	1,316,305.	0.	1,316,305.	1,316,305.	
INTEREST INCOME - MORTGAGE BACKED SECURITIES	3,510.	0.	3,510.	3,510.	
TO PART I, LINE 4	5,539,361.	0.	5,539,361.	5,539,361.	

FORM 990-PF	OTHER INCOME		STATEMENT 3
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WHITEHALL STREET R/E LTD XI - PARTNERSHIP INCOME/LOSS	<52.>	<52.>	
WHITEHALL STREET R/E LTD XII - PARTNERSHIP INCOME/LOSS	<227.>	<227.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<279.>	<279.>	

FORM 990-PF	LEGAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	307.	0.		307.
TO FM 990-PF, PG 1, LN 16A	307.	0.		307.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	42,734.	29,914.		12,820.
TO FORM 990-PF, PG 1, LN 16B	42,734.	29,914.		12,820.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	130,512.	130,512.		0.
TO FORM 990-PF, PG 1, LN 16C	130,512.	130,512.		0.



## FORM 990-PF

## TAXES

## STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PERSONAL PROPERTY TAXES	205.	21.		185.
EXCISE TAX	164,701.	0.		0.
STATE FILING FEE	10.	0.		10.
TO FORM 990-PF, PG 1, LN 18	164,916.	21.		195.

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE	1,197,486.	261,096.		936,389.
PARKING	1,740.	870.		870.
INSURANCE	5,267.	0.		5,267.
EDUCATION	1,395.	0.		1,395.
TELEPHONE	1,930.	0.		1,930.
OFFICE SUPPLIES	1,608.	804.		804.
MISCELLANEOUS	825.	660.		165.
CONTRIBUTION-NONDEDUCTABLE	1,600.	0.		1,600.
REPAIRS & MAINTENANCE	10,431.	0.		10,431.
TO FORM 990-PF, PG 1, LN 23	1,222,282.	263,430.		958,851.

## FOOTNOTES

STATEMENT 9

WALTER ZANINO WAS INDIRECTLY COMPENSATED BY THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION DURING THE TAXABLE YEAR AS EMPLOYEE OF KTN ENTERPRISES, INC., THE CORPORATION WHICH PROVIDES MANAGEMENT SERVICES TO THE FOUNDATION. THE AMOUNT OF HIS RELATED COMPENSATION FROM THE CORPORATION FOR THE PERIOD DECEMBER 1, 2018 THROUGH NOVEMBER 30, 2019 WAS \$570,080.

THE COMPENSATION PAID DIRECTLY OR INDIRECTLY TO THE TRUSTEE WAS REASONABLE AND NECESSARY TO CARRY OUT THE EXEMPT PURPOSE OF THE FOUNDATION AND WAS NOT EXCESSIVE. THEREFORE, THE PAYMENTS OF COMPENSATION WERE NOT ACTS OF SELF-DEALING WITHIN THE MEANING OF REG. 53-4941(D) - (3)(C).

THE KENNETH T. AND EILEEN L. NORRIS FOUN

95-6080374

SEC 1.263(A)-1(F) DE MINIMIS SAFE HARBOR ELECTION

THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION  
11 GOLDEN SHORE, SUITE 450  
LONG BEACH, CA 90802

EMPLOYER IDENTIFICATION NUMBER: 95-6080374

FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2019

THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION IS  
MAKING THE DE MINIMIS SAFE HARBOR ELECTION UNDER  
REG. SEC. 1.263(A)-1(F).

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
DESCRIPTION		AMOUNT
	REVERSAL OF ACCRUAL OF UNPAID GRANTS AT 11/30/18	10,727,525.
	TOTAL TO FORM 990-PF, PART III, LINE 3	10,727,525.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 11
DESCRIPTION		AMOUNT
	ACCRUAL OF UNPAID GRANTS 11/30/19	10,857,809.
	WHITEHALL STREET REAL ESTATE LIMITED PARTNERSHIP - BOOK/TAX DIFFERENCE	2,108.
	TOTAL TO FORM 990-PF, PART III, LINE 5	10,859,917.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT			STATEMENT 12
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
LEASEHOLD IMPROVEMENTS	56,322.	56,322.	0.	0.
LEASEHOLD IMPROVEMENTS	8,708.	8,708.	0.	0.
LEASEHOLD IMPROVEMENTS	857.	857.	0.	0.
FURNITURE & FIXTURES	16,753.	16,753.	0.	0.
PAINTINGS	754.	0.	754.	754.
COMPUTER SOFTWARE	13,000.	13,000.	0.	0.
TO 990-PF, PART II, LN 14	96,394.	95,640.	754.	754.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT 13
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	FAIR MARKET VALUE
STATE AND MUNICIPAL OBLIGATIONS - SEE ATTACHED DETAIL		X	1,049,458.
TOTAL U.S. GOVERNMENT OBLIGATIONS			
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			1,049,458.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,049,458.

FORM 990-PF	CORPORATE STOCK		STATEMENT 14
DESCRIPTION			FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED DETAIL			29,181,436.
TOTAL TO FORM 990-PF, PART II, LINE 10B			29,181,436.

**THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION**

**95-6080374**

**FORM 990-PF, PAGE 2, PART II, LINE 10A - INVESTMENT - U.S. STATE GOVERNMENT OBLIGATIONS  
STATEMENT 13**

<b>DESCRIPTION</b>	<b>BOOK VALUE</b>	<b>FAIR MARKET VALUE</b>
1,000 INDUSTRY CA SLS	1,049,458	1,099,870
<b>TOTAL FORM 990-PF, PAGE 2, PART II, LINE 10A</b>	<b>1,049,458</b>	<b>1,099,870</b>

**THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION**

**95-6080374**

**FORM 990-PF, PAGE 2, PART II, LINE 10B - INVESTMENT - CORPORATE STOCK  
STATEMENT 14**

	<b>DESCRIPTION</b>	<b>BOOK VALUE</b>	<b>FAIR MARKET VALUE</b>
500	1/100 BERKSHIRE HTWY	381,055	1,652,476
1,200	1/100 BERKSHIRE HTWY	228,150	3,965,941
1,300	1/100 BERKSHIRE HTWY	333,593	4,296,436
500	1/100 BERKSHIRE HTWY	162,750	1,652,476
40,000	A T & T INC	1,137,960	1,495,200
10,000	A T & T INC	309,500	373,800
10,000	ABBOTT LABORATORIES	271,801	854,500
10,000	ABBOTT LABORATORIES	289,473	854,500
20,000	ABBOTT LABORATORIES	468,410	1,709,000
20,000	ABBVIE INC	507,950	1,754,600
10,000	ABBVIE INC	313,908	877,300
10,000	ABBVIE INC	294,746	877,300
12,000	AMGEN INC	376,625	2,816,640
8,000	AMGEN INC	268,290	1,877,760
10,000	AMGEN INC	607,544	2,347,200
14,000	APPLE INC	517,911	3,741,500
35,000	APPLE INC	1,177,344	9,353,750
16,592	APPLE INC	631,403	4,434,212
120,000	CANADIAN NATL RAILWY	231,560	10,930,800
31	CANADIAN NATL RAILWY	482	2,824
7,700	CHEVRON CORP	295,291	901,901
18,480	CHEVRON CORP	392,165	2,164,562
4,620	CHEVRON CORP	194,908	541,141
5,000	CHEVRON CORP	331,462	585,650
4,200	CHEVRON CORP	272,706	491,946
20,000	COLGATE-PALMOLIVE CO	656,612	1,356,400
10,000	COLGATE-PALMOLIVE CO	292,500	678,200
16,000	COLGATE-PALMOLIVE CO	105,991	1,085,120
16,000	COLGATE-PALMOLIVE CO	127,053	1,085,120
8,000	COLGATE-PALMOLIVE CO	154,685	542,560
10,000	COLGATE-PALMOLIVE CO	330,528	678,200
48	COLGATE-PALMOLIVE CO	1,592	3,255
2,137	CORTEVA INC	38,288	55,596
3,907	CORTEVA INC	73,638	101,651
4,273	CORTEVA INC	72,933	111,192
4,273	CORTEVA INC	70,260	111,192
6,410	CORTEVA INC	90,228	166,788
3,907	DOW INC	143,790	208,499
6,410	DOW INC	176,184	342,102
4,273	DOW INC	137,194	228,068
4,273	DOW INC	142,413	228,068
2,137	DOW INC	74,763	114,034
2,137	DUPONT DE NEMOURS INC	109,724	138,477
3,907	DUPONT DE NEMOURS INC	211,029	253,191

**THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION**

95-6080374

**FORM 990-PF, PAGE 2, PART II, LINE 10B - INVESTMENT - CORPORATE STOCK  
STATEMENT 14**

	DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
4,273	DUPONT DE NEMOURS INC	209,008	276,955
4,273	DUPONT DE NEMOURS INC	201,348	276,955
6,410	DUPONT DE NEMOURS INC	258,571	415,432
5,000	EXXON MOBIL CORP	400,084	340,650
4,000	EXXON MOBIL CORP	130,564	272,520
6,400	EXXON MOBIL CORP	138,250	436,032
1,600	EXXON MOBIL CORP	34,563	109,008
4,000	EXXON MOBIL CORP	86,406	272,520
4,000	EXXON MOBIL CORP	86,406	272,520
15,000	EXXON MOBIL CORP	732,050	1,021,950
200	GARRETT MOTION INC	1,261	2,314
1,000	GARRETT MOTION INC	6,207	11,570
15,000	HOME DEPOT INC	79,550	3,307,650
18,000	HOME DEPOT INC	103,734	3,969,180
23,261	HOME DEPOT INC	146,854	5,129,283
10,000	HONEYWELL INTL INC	553,326	1,785,500
2,000	HONEYWELL INTL INC	112,436	357,100
10,000	IBM CORP	1,044,537	1,344,500
24,000	INTEL CORP	96,938	1,393,200
32,000	INTEL CORP	233,750	1,857,600
40,000	INTEL CORP	280,000	2,322,000
4,000	INTEL CORP	31,000	232,200
10,000	INTEL CORP	232,990	580,500
16,000	JOHNSON & JOHNSON	87,905	2,199,840
8,000	JOHNSON & JOHNSON	81,875	1,099,920
8,000	JOHNSON & JOHNSON	88,675	1,099,920
18,000	JOHNSON & JOHNSON	228,640	2,474,820
2,000	JOHNSON & JOHNSON	131,620	274,980
8,000	JOHNSON & JOHNSON	512,080	1,099,920
15,000	MC DONALDS CORP	1,064,303	2,917,200
10,000	MERCK & CO INC	378,253	871,800
10,000	MERCK & CO INC	384,200	871,800
48,000	MICROSOFT CORP	172,875	7,266,240
46,182	MICROSOFT CORP	241,157	6,991,031
48,852	NORTHERN TRUST CORP	200,000	5,238,888
5,148	NORTHERN TRUST CORP	33,290	552,072
18,000	NORTHERN TRUST CORP	149,100	1,930,320
8,000	NORTHERN TRUST CORP	96,500	857,920
10,000	ORACLE CORP	325,337	561,400
30,000	ORACLE CORP	819,849	1,684,200
12,778	PROCTER & GAMBLE	639,938	1,559,683
13,946	PROCTER & GAMBLE	650,944	1,702,249
6,054	PROCTER & GAMBLE	272,760	738,951
8,000	PROCTER & GAMBLE	47,441	976,480

**THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION**

95-6080374

**FORM 990-PF, PAGE 2, PART II, LINE 10B - INVESTMENT - CORPORATE STOCK  
STATEMENT 14**

	<b>DESCRIPTION</b>	<b>BOOK VALUE</b>	<b>FAIR MARKET VALUE</b>
32,000	PROCTER & GAMBLE	204,020	3,905,920
1,667	RESIDEO TECHNOLOGIES	16,634	16,300
333	RESIDEO TECHNOLOGIES	3,380	3,260
521	ROYAL DUTCH SHELL	35,777	30,015
3,447	ROYAL DUTCH SHELL	181,032	198,582
5,172	ROYAL DUTCH SHELL	158,952	297,959
7,758	ROYAL DUTCH SHELL	211,425	446,938
5,172	ROYAL DUTCH SHELL	113,568	297,959
2,586	ROYAL DUTCH SHELL	55,437	148,979
10,344	ROYAL DUTCH SHELL	76,549	595,918
8,000	T ROWE PRICE GROUP	55,596	988,480
48,000	T ROWE PRICE GROUP	174,723	5,930,880
24,000	T ROWE PRICE GROUP	159,375	2,965,440
5,000	TC ENERGY CORP	73,266	254,650
5,000	TC ENERGY CORP	73,230	254,650
20,000	TC ENERGY CORP	323,126	1,018,600
10,000	TC ENERGY CORP	301,581	509,300
10,000	TC ENERGY CORP	144,668	509,300
8,000	THE COCA-COLA CO	24,843	427,200
48,000	THE COCA-COLA CO	173,971	2,563,200
16,000	THE COCA-COLA CO	79,490	854,400
24,000	THE COCA-COLA CO	137,864	1,281,600
16,000	THE COCA-COLA CO	106,994	854,400
8,800	THE COCA-COLA CO	61,020	469,920
20,000	WALMART INC	995,438	2,381,800
10,000	WALMART INC	426,513	1,190,900
<b>TOTAL FORM 990-PF, PAGE 2, PART II, LINE 10</b>		<b>29,181,436</b>	<b>165,394,451</b>



FORM 990-PF	CORPORATE BONDS	STATEMENT 15	
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHED DETAIL		32,012,976.	32,740,334.
TOTAL TO FORM 990-PF, PART II, LINE 10C		32,012,976.	32,740,334.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 16	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS - SEE ATTACHED DETAIL	COST	15,744,637.	36,891,114.
TOTAL TO FORM 990-PF, PART II, LINE 13		15,744,637.	36,891,114.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 17	
DESCRIPTION		BOY AMOUNT	EOY AMOUNT
WHITEHALL STREET REAL ESTATE LP XII - EXCESS BASIS		26,967.	29,327.
TOTAL TO FORM 990-PF, PART II, LINE 22		26,967.	29,327.

THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION

95-6080374

FORM 990-PF, PAGE 2, PART II, LINE 10C - INVESTMENT - CORPORATE BONDS  
STATEMENT 15

	DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
1,000	DR PEPPER SNAPPLE G	1,000,000	999,657
1,000	THE BANK OF NEW Y	1,009,536	1,002,151
1,000	JPMORGAN CHASE	1,008,856	1,008,192
650	BANK OF AMERICA	665,740	663,553
1,000	JPMORGAN CHASE	1,011,473	1,015,341
1,000	JPMORGAN CHASE	1,012,993	1,015,341
1,000	BARCLAYS PLC	1,011,408	1,023,497
1,000	WALMART INC	1,007,841	1,013,753
1,000	THE TORONTO-DOMI	985,330	1,005,154
500	WELLS FARGO & CO	514,234	516,247
1,000	BANK OF MONTREAL	998,065	1,015,014
1,000	ROYAL BK CDA GLOB	999,911	1,017,527
1,000	BANK OF AMERICA COR	1,011,197	1,041,982
1,000	LOCKHEED MARTIN	1,011,936	1,024,592
1,000	TOYOTA MOTOR CRED	1,006,923	1,028,043
500	THE GOLDMAN SACH	525,351	536,711
1,000	A T & T INC	998,600	1,020,204
1,000	COLGATE-PALMOLIVE	975,160	1,011,921
450	BP CAPITAL MARK	450,803	464,075
1,500	FHLB	1,490,820	1,516,838
525	MUFG AMERICAS HOL	534,738	543,016
267	ANHEUSER-BUSCH IN	264,343	271,405
308	ANHEUSER-BUSCH IN	-	-
425	ANHEUSER-BUSCH IN	-	-
1,000	CME GROUP INC	1,012,896	1,027,768
500	THE WALT DISNEY	504,191	506,316
1,250	METLIFE INC	1,250,000	1,294,664
1,250	OCCIDENTAL PETROL	1,260,198	1,256,449
1,000	CHUBB CORP	1,007,270	1,019,942
500	FARM CREDIT SYST	487,995	518,897
1,000	FEDERAL NTNL MOR	1,000,000	999,184
250	DUKE ENERGY CORP	255,588	264,169
500	FHLB	501,552	532,900
1,000	FHLB	1,000,000	1,064,184
500	APPLE INC	519,141	530,599
1,000	FARM CREDIT SYST	895,240	1,029,036
1,000	ASSOCIATED BANC	1,011,465	1,058,154
750	ORACLE CORP	747,750	777,599
750	MCDONALD'S CORP	757,526	791,774
1,000	FHLB	-	-
250	DUKE ENERGY CORP	306,905	314,489
<b>TOTAL FORM 990-PF, PAGE 2, PART II, LINE 10C</b>		<b>32,012,976</b>	<b>32,740,334</b>

**THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION**

**95-6080374**

**FORM 990-PF, PAGE 2, PART II, LINE 13 - INVESTMENTS - OTHER**

**STATEMENT 16**

	<b>DESCRIPTION</b>	<b>BOOK VALUE</b>	<b>FAIR MARKET VALUE</b>
16,119	AMERICAN FD EUROPACIFIC	250,000	884,134
200	AMERICAN FD EUROPACIFIC	9,026	10,970
46,555	AMERICAN FD NEW PERSPECTIVE	500,000	2,201,136
19,142	AMERICAN FD NEW PERSPECTIVE	250,000	905,040
538	AMERICAN FD NEW PERSPECTIVE	19,052	25,437
1,993	AMERICAN FD NEW PERSPECTIVE	70,651	94,230
10,000	INVESCO QQQ TRUST	460,466	2,051,000
5,000	INVESCO QQQ TRUST	231,170	1,025,500
10,000	INVESCO QQQ TRUST	571,000	2,051,000
8,000	INVESCO QQQ TRUST	505,200	1,640,800
20,000	INVESCO QQQ TRUST	800,000	4,102,000
20,000	INVESCO QQQ TRUST	837,600	4,102,000
5,000	INVESCO QQQ TRUST	238,218	1,025,500
2,000	INVESCO QQQ TRUST	117,427	410,200
10,000	ISHARES DOW JONES US	528,726	1,562,535
10,000	ISHARES DOW JONES US	562,342	1,562,535
5,000	ISHARES IBOXX HIGH YI	435,077	434,700
10,000	ISHARES IBOXX INVT GR	1,164,736	1,279,700
5,000	ISHARES SELECT DIVIDE	250,200	518,700
8,000	ISHARES SELECT DIVIDE	439,589	829,920
12,000	ISHARES SELECT DIVIDE	632,496	1,244,880
10,000	ISHARES SELECT DIVIDE	562,800	1,037,400
20,000	ISHARES SELECT DIVIDE	917,008	2,074,800
5,000	ISHARES SELECT DIVIDE	241,450	518,700
9,000	ISHARES TIPS BOND ETF	992,520	1,047,420
1,000	ISHARES TIPS BOND ETF	118,773	116,380
39,960	ISHRS 0 TO 5 *(mb)*	2,000,515	2,042,355
25,332	VANGUARD SHORT *(mb)*	2,000,371	2,053,918
	WHITEHALL XI 0.885% K-1	38,224	38,224
<b>TOTAL FORM 990-PF, PAGE 2, PART II, LINE 13</b>		<b>15,744,637</b>	<b>36,891,114</b>

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 18

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LISA HANSEN 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE & CHAIRMAN 5.00	10,000.	0.	0.
WALTER ZANINO 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE & EXECUTIVE DIRECT 35.00	10,000.	0.	0.
BRADLEY K. NORRIS 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	10,000.	0.	0.
JAMES MARTIN 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	10,000.	0.	0.
KIMBERLEY PRESLEY 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	10,000.	0.	0.
BROOKS NORRIS 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	10,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		60,000.	0.	0.

2018 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	LEASEHOLD IMPROVEMENTS	VARIOUS	SL	.000		16	56,322.				56,322.	56,322.	0.	0.	56,322.
2	LEASEHOLD IMPROVEMENTS	10/01/86	SL	10.00		16	18,708.				18,708.	18,708.	0.	0.	18,708.
3	LEASEHOLD IMPROVEMENTS	12/15/86	SL	10.00		16	857.				857.	857.	0.	0.	857.
4	FURNITURE & FIXTURES	09/01/86		5.00		16	16,753.				16,753.	16,753.	0.	0.	16,753.
5	PAINTINGS	09/01/86		.000		16	754.				754.	754.	0.	0.	754.
6	COMPUTER SOFTWARE	12/23/98	SL	3.00		16	13,000.				13,000.	13,000.	0.	0.	13,000.
	* TOTAL 990-PF PG 1 DEPR						96,394.				96,394.	96,640.	0.	0.	95,640.

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

**The Kenneth T. & Eileen L. Norris Foundation**

I.D. #95-6080374

**PART XV, Supplementary Information (Continued)**

**Charitable Donation Summary - Year End November 30, 2019**

<b>Organization Name &amp; Address</b>	<b>Deductible Portion</b>	<b>Project Title</b>
1736 Family Crisis Center 2116 Arlington Avenue, Suite 200 Los Angeles, CA 90018	\$ 25,000 00	Emergency Shelter and Youth Program
A Noise Within 3352 E Foothill Blvd Pasadena, CA 91107	\$ 20,000 00	ANW Education Programs
A Place Called Home 2830 South Central Avenue Los Angeles, CA 90011	\$ 30,000 00	APCH General Operating Support
AltaSea at the Port of Los Angeles 222 West 6th Street, Suite 1010 San Pedro, CA 90731	\$ 100,000 00	Warehouse 58 Improvements to Support Dr. Ballard's Interactive Outreach Center and LA Waterfront STEM Network Classroom 4 Year Pledge
American Film Institute 2021 North Western Avenue Los Angeles, CA 90027	\$ 80,000 00	General Operating, Conservatory & Scholarships
American Red Cross Los Angeles Region 11355 Ohio Avenue Los Angeles, CA 90025	\$ 100,000 00	2018 Wildfire Response
Angel Flight West 3161 Donald Douglas Loop S Santa Monica, CA 90405	\$ 20,000 00	Southern California Programs
Angels Gate Cultural Center 3601 S Gaffey St Box 1 San Pedro, CA 90731-6969	\$ 20,000 00	Sustaining Physical Space
Aquarium of the Pacific 100 Aquarium Way Long Beach, CA 90802	\$ 15,000 00	Ocean Science Education Program
ARC - Activities, Recreation and Care 6456 Whitsett Ave North Hollywood, CA 91606	\$ 10,000 00	Wellness for Developmentally-Disabled Adults
ArtCenter College of Design 1700 Lida St Pasadena, CA 91103	\$ 10,000 00	ArtCenter/City of Long Beach Collaboration
Athletes in the Making 3000 South Hill Street Los Angeles, CA 90007	\$ 10,000 00	AIM Team Sports training
Aulry Museum of the American West 4700 Western Heritage Way Los Angeles, CA 90027	\$ 50,000 00	Masters of the American West
Aulry Museum of the American West 4700 Western Heritage Way Los Angeles, CA 90027	\$ 250,000 00	Capital Enhancements, Education Outreach Programs 2 Year Pledge (Total pledged is \$500,000)
Balboa Park Cultural Partnership 1549 El Prado, Suite 4 San Diego, CA 92101	\$ 15,000 00	Community Access Pass Program
Barry R. Kirshner Wildlife Foundation 4995 Durham-Pentz Road Oroville, CA 95965	\$ 5,000 00	Unrestricted/General Support
Best Buddies California 3731 Stocker Street, Suite 212 Los Angeles, CA 90008	\$ 20,000 00	Best Buddies High School Project
Blind Children's Center, Inc 4120 Marathon St Los Angeles, CA 90029-3584	\$ 70,000 00	Literacy Program
Boy Scouts of America-Long Beach Area Council 401 E 37th St Long Beach, CA 90807	\$ 10,000 00	ScoutReach Program Support 2019
Boys & Girls Club of Pasadena 3230 E Del Mar Blvd Pasadena, CA 91107	\$ 15,000 00	Project Learn
Boys & Girls Clubs of the Los Angeles Harbor 1200 S. Cabrillo Avenue San Pedro, CA 90731	\$ 100,000 00	Expanding our Footprint & Impact 5 Year Pledge (Total pledged is \$500,000)
Boys to Men Mentoring Network, Inc 9587 Tropic Drive La Mesa, CA 91941	\$ 25,000 00	BTM In-School Group Mentoring Program
Cabrillo Festival of Contemporary Music 147 South River Street, Suite 232 Santa Cruz, CA 95060	\$ 10,000 00	Cabrillo Festival of Contemporary Music
California Academy of Mathematics and Science 1000 E Victoria Street Carson, CA 90747	\$ 35,000 00	CAMS Robotics Program
California Institute of Technology Caltech Office of the President M/C 204-31 Pasadena, CA 91125	\$ 50,000 00	Scholarship Support in STEM Education

**The Kenneth T. & Eileen L. Norris Foundation**

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**PART XV, Supplementary Information (Continued)**

**Charitable Donation Summary - Year End November 30, 2019**

<b>Organization Name &amp; Address</b>	<b>Deductible Portion</b>	<b>Project Title</b>
California Institute of the Arts 24700 McBean Parkway Valencia, CA 91355	\$ 10,000 00	Herb Alpert School of Music
California Science Center Foundation 700 Exposition Park Drive Los Angeles, CA 90037	\$ 100,000 00	Samuel Oschin Air and Space Center Mission 26 ET Comes Home 5 Year Pledge
Carnegie Observatories of the Carnegie Institution for Science 813 Santa Barbara Street Pasadena, CA 91101	\$ 25,000 00	Carnegie Observatories Astronomy Lecture Series
Catalina Island Conservancy 320 Golden Shore, Suite 220 Long Beach, CA 90802	\$ 100,000 00	Conservation/NatureWorks and Complementary Programs
Catalina Island Museum P O Box 366 Avalon, CA 90704	\$ 15,000 00	Cultural Exhibition Fund
Catalina Marine Society 15954 Leadwell St Lake Balboa, CA 91406	\$ 7,500 00	Catalina Dynamic Ocean Chemistry
Cedars-Sinai Medical Center 8700 Beverly Blvd Suite 2416 Los Angeles, CA 90048	\$ 250,000 00	Total Joint Arthroplasty Fellowship
Center Theatre Group 601 West Temple Street Los Angeles, CA 90012	\$ 15,000 00	General Operating Support
Chamber Orchestra of the South Bay P O Box 2095 Palos Verdes Peninsula, CA 90274	\$ 20,000 00	Soloist Funding for COSB 2019
Children's Hospital Los Angeles 4650 Sunset Boulevard, MS #29 Los Angeles, CA 90027	\$ 200,000 00	Novel cellular therapies for neuroblastoma
City of Hope 1500 E Duarte Road Duarte, CA 91010	\$ 500,000 00	Phase-I-Trial HER2-specific CAR-T cell therapy
College Track 2130 E First Street, #2700 Los Angeles, CA 90033	\$ 15,000 00	Building a College Going Culture
Constitutional Rights Foundation Orange County 4101 Westery Place Suite 101 Newport Beach, CA 92660	\$ 2,500 00	Peer Court
Council on Foundations P O Box 75661 Baltimore, MD 21275-5661	\$ 19,600 00	2019 Membership Renewal
CSU Dominguez Hills Philanthropic Foundation 1000 E Victoria Street, WH 425 Carson, CA 90747	\$ 20,000 00	Faculty Mini-Grants Program
Deaf West Theatre Company 10563 Amberwood Lane Northridge, CA 91326	\$ 5,000 00	Dramatic Gestures Workshops
Devil Pups, Inc PO Box 1540 Wilmington, CA 90748	\$ 18,000 00	Devil Pups Encampment 2019
Dientes Community Dental Care 1830 Commercial Way Santa Cruz, CA 95065	\$ 100,000 00	Specialty Care for Underserved Patients
Dramatic Results 3310 Lime Avenue Signal Hill, CA 90755	\$ 15,000 00	STEAM for Low-Income Gifted Students
El Camino College Foundation 16007 Crenshaw Blvd Rolling Hills Estates, CA 90274	\$ 20,000 00	South Bay Promise
EngAGE, Inc 240 E Verdugo Ave Suite 100 Burbank, CA 91502	\$ 15,000 00	EngAGE in Creativity
Escondido History Center P O Box 263 Escondido, CA 92033	\$ 10,000 00	Restoration Santa Fe railroad car
Exceptional Children's Foundation 5350 Machado Road Culver City, CA 90230	\$ 20,000 00	Kayne Eras School
Fellowship of Christian Athletes P O Box 2429 Carlsbad, CA 92018	\$ 5,000 00	Bonsall and Fallbrook Chapters
Fern Street Community Arts, Inc P O Box 5864 San Diego, CA 92165	\$ 15,000 00	Building Staff for Effective Programming

The Kenneth T & Eileen L Norris Foundation

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PART XV, Supplementary Information (Continued)

Charitable Donation Summary - Year End November 30, 2019

Organization Name & Address	Deductible Portion	Project Title
Five Acres-The Boys' and Girls' Aid Society of Los Angeles County 760 W Mountain View Street Altadena, CA 91001	\$ 25,000 00	Short-Term Residential Therapeutic Program
Fleet Science Center PO Box 33303 San Diego, CA 92163	\$ 20,000 00	Brainy Exhibitions at the Fleet
For Inspiration and Recognition of Science and Technology 200 Bedford Street Manchester, NH 03101-1132 Manchester, New Hampshire 03101	\$ 10,000 00	FIRST Los Angeles Regional 2020
FosterAll 1544 W Glenoaks Blvd Glendale, CA 91201	\$ 10,000 00	Foster Care Program
Friends of Banning Museum PO Box 1927 Wilmington, CA 90748	\$ 25,000 00	Banning Heritage Week
Friends of Bee Cave Public Library 4000 Galleria Parkway Bee Cave, TX 78738	\$ 5,000 00	Children's Services
Friends of Orange County's Homeless Pets 26423 Scott Road Menifee, CA 92584	\$ 5,000 00	Unrestricted/General Support
Friends of Rancho San Pedro 18127 Alameda St Rancho Dominguez, CA 90220-5708	\$ 15,000 00	Education and Outreach Programs
Friends of the Girls Academic Leadership Academy 1067 West Blvd Los Angeles, CA 90019	\$ 15,000 00	Launch Her Future Girls STEM
Geffen Playhouse 10886 Le Conte Avenue Los Angeles, CA 90024	\$ 35,000 00	Veterans Writing and Performance Program
Geffen Playhouse 10886 Le Conte Avenue Los Angeles, CA 90024	\$ 20,000 00	High School Partnerships Program
GRAMMY Museum 888 S Figueroa St, Ste 600 Los Angeles, CA 90017	\$ 50,000 00	GRAMMY Museum in-museum education programs
Grand Performances 350 S Grand Ave Suite A4 Los Angeles, CA 90071	\$ 10,000 00	Grand Performances Amplified 2019 Season
Grand Vision Foundation 434 W 6th Street San Pedro, CA 90731	\$ 10,000 00	Meet the Music
Greater Los Angeles Zoo Association 5333 Zoo Drive Los Angeles, CA 90027	\$ 50,000 00	Species Conservation Action Network Initiative 5 Year Pledge
Harbor Community Clinic 593 W 6th Stree San Pedro, CA 90731	\$ 100,000 00	Diabetes Program
Hathaway-Sycamores Child and Family Services 100 W Walnut Street, Suite 375 Pasadena, CA 91124	\$ 25,000 00	Learning Lab & Youth Leadership
Hillsides 940 Avenue 64 Pasadena, CA 91105	\$ 50,000 00	Creating Lasting Change Capital Campaign 5 Year Pledge
House of Blues Music Forward Foundation 7060 Hollywood Boulevard, Floor 2 Los Angeles, CA 90028	\$ 10,000 00	Bringing Down the House 2019/2020
Huntington Library, Art Collections, and Botanical Gardens 1151 Oxford Road San Marino, CA 91108-1218	\$ 125,000 00	Education Programs
Inner-City Arts 720 Kohler Street Los Angeles, CA 90021	\$ 10,000 00	LATA Program
International City Theatre 67 Long Beach Boulevard Long Beach, CA 90802	\$ 10,000 00	General Operating Support
International Trade Education Programs, Inc 2417 E Carson Street, Suite 200 Carson, CA 90810	\$ 10,000 00	Preparng the Next Generation Workforce
Joe's House 505 East 79th Street, Suite 17E New York, NY 10075	\$ 5,000 00	Unrestricted/General Support
Just in Time for Foster Youth PO Box 601627 San Diego, CA 92160	\$ 100,000 00	Just in Time Sustainable Impact



**The Kenneth T & Eileen L. Norris Foundation**

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**PART XV, Supplementary Information (Continued)**

**Charitable Donation Summary - Year End November 30, 2019**

<b>Organization Name &amp; Address</b>	<b>Deductible Portion</b>	<b>Project Title</b>
Keck Graduate Institute of Applied Life Sciences 535 Watson Drive Claremont, CA 91711	\$ 60,000 00	Summer Undergraduate Research Experience (SURE)
Kidspace Children's Museum 480 North Arroyo Blvd Pasadena, CA 91103	\$ 20,000 00	Physics on the Move
Las Floristas, Inc P O Box 579 Whittier, CA 90608	\$ 25,000 00	Las Floristas, Inc Programs
Liberty Painting Corporation 108 W Miner St Yreka, CA 96097	\$ 15,000 00	Community Exhibition Support
Library Foundation of Los Angeles 630 W 5th Street Los Angeles, CA 90071	\$ 30,000 00	We Read Together
Little Wishes P O Box 2208 San Anselmo, CA 94979	\$ 20,000 00	Funding of Little Wishes
Long Beach Museum of Art 2300 East Ocean Blvd Long Beach, CA 90803	\$ 20,000 00	The Artful Book Exhibition
Long Beach Public Library Foundation 101 Pacific Avenue Long Beach, CA 90822	\$ 35,000 00	General Operating Support
Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center 1124 West Carson Street Torrance, CA 90502	\$ 200,000 00	The Microbiome's Relationship to Melanoma
Los Angeles Bioscience Hub 448 S Hill Street, Suite 1105 Los Angeles, CA 90026	\$ 10,000 00	Biotech Leaders Academy
Los Angeles Chamber Orchestra Society, Inc 350 S Figueroa St, Suite 183 Los Angeles, CA 90071	\$ 10,000 00	Meet the Music
Los Angeles Conservancy 523 West Sixth Street, Suite 826 Los Angeles, CA 90014	\$ 20,000 00	LA Conservancy Educational Programs 2019
Los Angeles Conservation Corps 1400 North Spring Street Los Angeles, CA 90012	\$ 10,000 00	Leadership development, environmentalism, case management
Los Angeles County High School for the Arts 1149 S Hill Street, Suite H-100 Los Angeles, CA 90015	\$ 15,000 00	Dance Education 2019-2020
Los Angeles County Museum of Art 5905 Wilshire Boulevard Los Angeles, CA 90036	\$ 40,000 00	LACMA Exhibition Fund 2019-20
Los Angeles County Museum of Art 5905 Wilshire Boulevard Los Angeles, CA 90036	\$ 15,000 00	Evenings for Educators 2019-20
Los Angeles Maritime Institute Berth 73, Suite 2 San Pedro, CA 90731	\$ 30,000 00	TopSail STEM Program
Los Angeles Master Chorale Association 135 North Grand Avenue Los Angeles, CA 90012	\$ 10,000 00	30th High School Choir Festival
Los Angeles Opera Company 135 N Grand Ave Los Angeles, CA 90012	\$ 15,000 00	LA Opera's Education Programs
Los Angeles Philharmonic Association 151 S Grand Avenue Los Angeles, CA 90012	\$ 10,000 00	Symphonies for Schools
Los Angeles Waterkeeper 120 Broadway Suite 105 Santa Monica, CA 90401	\$ 10,000 00	Watershed Training Program
Mama's Kitchen 3960 Home Avenue San Diego, CA 92015	\$ 20,000 00	Children's Nutritional Health Program
Manne Corps Scholarship Foundation 909 N Washington Street, Suite 400 Alexandria, Virginia 22314	\$ 15,000 00	Scholarship Support for California Children
Meals-on-Wheels Greater San Diego, Inc 2254 San Diego Ave Suite 200 San Diego, CA 92110	\$ 15,000 00	Rural Initiative
Memorial Medical Center Foundation 2801 Atlantic Avenue Long Beach, CA 90806	\$ 70,000 00	Children's Village at Miller Children's

The Kenneth T. & Eileen L. Norris Foundation

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PART XV, Supplementary Information (Continued)

Charitable Donation Summary - Year End November 30, 2019

Organization Name & Address	Deductible Portion	Project Title
Mount Wilson Institute C/O Carnegie Observatories 813 Santa Barbara Street Pasadena, CA 91101	\$ 50,000 00	Mount Wilson Observatory STEM Programs
Museum of Jurassic Technology 9341 Venice Boulevard Culver City, CA 90232-2621	\$ 20,000 00	MJT Field Trip Program
Museum of Latin American Art 628 Alamitos Avenue Long Beach, CA 90802	\$ 10,000 00	APRENDE 2019
Museum of Making Music, a division of the NAMM Foundation 5790 Armada Drive Carlsbad, CA 92008	\$ 100,000 00	The Museum Renovation Project 4 Year Pledge
My Stuff Bags Foundation 5347 Sterling Center Dr Westlake Village, CA 91361	\$ 25,000 00	Southern California My Stuff Bags
National Center for Family Philanthropy 1667 K Street, NW, Suite 550 Washington, District of Columbia 20006	\$ 4,900 00	2019 Membership
Natural History Museum of Los Angeles County 900 Exposition Blvd Los Angeles, CA 90007	\$ 250,000 00	Center for Nature and Culture 2 Year Pledge (Total pledged is \$500,000)
Natural History Museum of Los Angeles County 900 Exposition Blvd Los Angeles, CA 90007	\$ 50,000 00	K-12 School and Teacher Programs
No Limits for Deaf Children 9801 Washington Blvd , 2nd Fl Culver City, CA 90232	\$ 20,000 00	No Limits Educational Center
Occidental College 1600 Campus Road Los Angeles, CA 90041	\$ 35,000 00	Norris Science Scholars Program
Ocean Institute 24200 Dana Point Harbor Drive Dana Point, CA 92629	\$ 10,000 00	Watershed Program
Oceanographic Teaching Stations - Roundhouse Aquarium PO Box 1 Manhattan Beach, CA 90266	\$ 15,000 00	Free Marine Science Field Trips
Old Globe Theatre P O Box 122171 San Diego, CA 92112	\$ 15,000 00	Globe for All
Old Town Music Hall, Inc 140 Richmond Street El Segundo, CA 90245	\$ 20,000 00	PROJECT UPGRADE 2019
Orthopaedic Institute for Children Foundation 403 West Adams Boulevard Los Angeles, CA 90007	\$ 75,000 00	OICare for Kids Fund
P F Bresee Foundation 184 S Bimini Place Los Angeles, CA 90004	\$ 25,000 00	Programs for 1,000 Low-income Youth
Pacific Battleship Center (Battleship IOWA) 250 S Harbor Blvd SAN PEDRO, CA 90731	\$ 50,000 00	STEAM at Sea STEM Education
Palos Verdes Art Center 5504 W Crestridge Road Rancho Palos Verdes, CA 90275	\$ 50,000 00	Glass Studio Residency and Exhibitions
Palos Verdes Peninsula Education Foundation P O Box 2632 Palos Verdes Peninsula, CA 90274	\$ 35,000 00	PVPSD College and Career Centers
Palos Verdes Peninsula Land Conservancy P O Box 3427 Palos Verdes Peninsula, CA 90275	\$ 30,000 00	Junior Naturalist Environmental Education Program
Palos Verdes Performing Arts 27570 Norris Center Drive Rolling Hills Estates, CA 90274	\$ 250,000 00	Norris Theatre, Pavilion, Conservatory Operations
Para Los Niños 5000 Hollywood Blvd Los Angeles, CA 90027	\$ 25,000 00	After-School & Summer School STEM
Pasadena State Theater of California, Inc (The Pasadena Playhouse) 39 S El Molino Ave Pasadena, CA 91101	\$ 10,000 00	Community@Play
PBS SoCal 3080 Bristol Street, Suite 400 Costa Mesa, CA 92626	\$ 35,000 00	PBS SoCal Early Learning Initiatives
Pediatric Therapy Network 1815 W 213th St Suite 100 Torrance, CA 90501	\$ 40,000 00	Core Operations—Quality of Care

The Kenneth T. & Eileen L. Norris Foundation

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PART XV, Supplementary Information (Continued)

Charitable Donation Summary - Year End November 30, 2019

Organization Name & Address	Deductible Portion	Project Title
Peninsula Friends of the Library PO Box 2361 Palos Verdes Peninsula, CA 90274	\$ 45,000 00	Sunday Hours Peninsula Center
Peninsula Symphony Association PO Box 2602 PALOS VERDES PENINSULA, CA 90274	\$ 15,000 00	Norris Foundation Concert
Performing Arts Center of Los Angeles County/The Music Center 135 N Grand Avenue Los Angeles, CA 90012	\$ 100,000 00	A New Plaza for All
Petersen Automotive Museum Foundation 6060 Wilshire Los Angeles, CA 90036	\$ 10,000 00	Accelerated K-12 Education Project
Port of Los Angeles High School 250 W 5th Street San Pedro, CA 90731	\$ 20,000 00	POLAHS School Lunch Program
PowerMyLearning, Inc 800 Wilshire Boulevard, Suite 200 Los Angeles, CA 90017	\$ 10,000 00	Personalized Learning in Science Education
Public Counsel 610 S Ardmore Avenue Los Angeles, CA 90005	\$ 45,000 00	Vulnerable Children Program
Racers Who Care P O Box 473 Hohenwald, Tennessee 38462	\$ 10,000 00	FIELD TRIPS FOR KIDS
Reading is Fundamental of Southern California, Inc 7250 Bandini Blvd , Suite 209 Los Angeles, CA 90040	\$ 15,000 00	General Operating Support
Reef Check Foundation 13723 Fiji Way, Suite B-2 Marina del Rey, CA 90292	\$ 10,000 00	EMBARC
Ronald McDonald House Charities of San Diego 2929 Children's Way San Diego, CA 92123	\$ 30,000 00	More Than a Meal Campaign
San Diego Air & Space Museum 2001 Pan American Plaza San Diego, CA 92101	\$ 10,000 00	Fly Your Ride STEM Challenge
San Diego Children's Choir 1620 5th Avenue, Suite 300 San Diego, CA 92101	\$ 10,000 00	San Diego Children's Choir
San Diego Natural History Museum 1788 El Prado, Balboa Park Mailing Address P O Box 121390 San Diego, CA 92112-1390	\$ 10,000 00	Environmental Science Education Programs
San Diego Public Library Foundation PO Box 120391 San Diego, CA 92112	\$ 15,000 00	Library NExT/IDEA Lab Expansion
San Diego Rescue Mission P O Box 80427 San Diego, CA 92138-0427	\$ 20,000 00	General Support Women and Children
San Diego Symphony Orchestra Association 1245 Seventh Avenue San Diego, CA 92101	\$ 15,000 00	Music Learning and Community Engagement
Santa Cecilia Orchestra 2759 West Broadway Los Angeles, CA 90041	\$ 15,000 00	Discovering Music
Save the Children 501 Kings Highway East, Suite 400 Fairfield, CT 06825	\$ 5,000 00	Unrestricted/General Support
SCGA Foundation 3740 Cahuenga Blvd Studio City, CA 91604	\$ 15,000 00	Player & Youth Development Program
School on Wheels, Inc 3150 N San Fernando Road, Suite B Los Angeles, CA 90065	\$ 30,000 00	Delivering and Improving Core Programs
SCS Noonan Scholars 606 S Olive Street, Suite 2150 Los Angeles, CA 90014	\$ 15,000 00	Career Development & Mentorship
Shakespeare by the Sea 777 Centre St San Pedro, CA 90731	\$ 10,000 00	2019 Admission FREE Community Shakespeare
Sharp Mary Birch Hospital for Women & Newborns 8695 Spectrum Center Boulevard San Diego, CA 92123	\$ 100,000 00	The Neonatal Research Institute
Shoes That Fit 1420 N Claremont Blvd Suite 204A Claremont, CA 91711	\$ 30,000 00	Los Angeles Shoe Project

**The Kenneth T. & Eileen L. Norris Foundation**

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**PART XV, Supplementary Information (Continued)**

**Charitable Donation Summary - Year End November 30, 2019**

<b>Organization Name &amp; Address</b>	<b>Deductible Portion</b>	<b>Project Title</b>
Solutions for Change, Inc 722 W California Avenue Vista, CA 92083	\$ 50,000 00	Solutions University Transforming Homeless Families
South Bay Center for Counseling (Previously Known As Bring Me A Book Foundation) 607 Ruby Street Redondo Beach, CA 90277	\$ 20,000 00	Developing Early Literacy Proficiency
South Coast Botanic Garden 26300 Crenshaw Blvd Palos Verdes Peninsula, CA 90274	\$ 40,000 00	Hide and Seek Interpretive Programs
Southern California Conservatory of Music 6671 Birchton Ave West Hills, CA 91307	\$ 5,000 00	Free Access Music Education
Southern California Grantmakers 1000 North Alameda Street Suite 230 Los Angeles, CA 90012	\$ 10,400 00	2019 Membership Renewal
Southern California Institute of Architecture 960 East Third Street Los Angeles, CA 90013	\$ 15,000 00	Scholarships for Low-Income Students
Southern California Public Radio 474 South Raymond Avenue Pasadena, CA 91105	\$ 15,000 00	SCPR's Education Coverage
Stable Hands PO Box 1852 Yreka, CA 96097	\$ 12,000 00	Equine Assisted Activities Program
Stone Soup Child Care Programs 15910 Ventura Boulevard, Suite 1420 Encino, CA 91436	\$ 25,000 00	Tuition Assistance and Staff Training
Students Run LA 5252 Crebs Avenue Tarzana, CA 91356	\$ 40,000 00	In it for Long Run
Susan G Komen Breast Cancer Foundation - San Diego 4699 Murphy Canyon Road Suite 102 San Diego, CA 92123	\$ 100,000 00	Low-Income Breast Cancer Services
Switzer Learning Center 2201 Amapola Court, Torrance, CA 90501 Torrance, CA 90501	\$ 50,000 00	Switzer Programs Safety Upgrades
Teach for America - Los Angeles 606 S Olive Street, Suite 300 Los Angeles, CA 90014	\$ 25,000 00	Teach For America Los Angeles
Team Prime Time, Inc 3740 Overland Ave Suite F Los Angeles, CA 90034	\$ 35,000 00	Norris Groups Prime Time Games
The Board Fund 11 Golden Shore, Suite 450 Long Beach, CA 90802	\$ 100,000 00	Unrestricted/General Support
The Board Fund 11 Golden Shore, Suite 450 Long Beach, CA 90802	\$ 150,000 00	Unrestricted/General Support
The Board Fund 11 Golden Shore, Suite 450 Long Beach, CA 90802	\$ 50,000 00	Unrestricted/General Support
The Colburn School 200 S Grand Avenue Los Angeles, CA 90012	\$ 20,000 00	Jumpstart Young Musicians Program
The Da Camera Society of Mount St Mary's University 10 Chester Place Los Angeles, CA 90007	\$ 20,000 00	Chamber Music in Historic Sites
The Enamelist Society P O Box 920220 Norcross, Georgia 30010	\$ 20,000 00	2019 Conference, Workshops and Exhibitions
The Gabriella Foundation 222 E 16th Street Los Angeles, CA 90015	\$ 15,000 00	Everybody dance!
The Jester & Pharley Phund P O Box 817 Palos Verdes Estates, CA 90274	\$ 25,000 00	Smiles For Kids With Cancer
The Museum of Contemporary Art 250 S Grand Ave Los Angeles, CA 90012	\$ 10,000 00	Contemporary Art Start
The Painted Turtle 1300 4th St Ste 300 Santa Monica, CA 90401	\$ 20,000 00	Medical Specialty Camp Program
The Salvation Army - San Pedro Sage House 180 East Ocean Boulevard, Suite 500 Long Beach, CA 90802	\$ 20,000 00	Long Beach Community Center/Sage House

The Kenneth T & Eileen L. Norris Foundation

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PART XV, Supplementary Information (Continued)

Charitable Donation Summary - Year End November 30, 2019

Organization Name & Address	Deductible Portion	Project Title
The Salvation Army - Sierra del Mar Division 6605 University Avenue San Diego, CA 92115	\$ 10,000 00	Shelter/Transitional Employment Program Services (STEPS)
The Western Museum of Flight 3315 Airport Drive Torrance, CA 90505-6152 Torrance, CA 90505-6152	\$ 10,000 00	Kids Love Aviation Science Program
Thelonious Monk Institute of Jazz 2539 Schoenberg Music Building, 445 Charles E Young Drive East Los Angeles, CA 90098	\$ 10,000 00	2019-2020 Jazz in the Classroom
Torrance Memorial Medical Center Health Care Foundation 3330 Lomita Blvd Torrance, CA 90505	\$ 650,000 00	Comprehensive Stroke Center
TreePeople, Inc 12601 Mulholland Drive Beverly Hills, CA 90210	\$ 15,000 00	School Greening
United States Adaptive Recreation Center Post Office Box 2897 Big Bear Lake, CA 92315-2897	\$ 20,000 00	Children with Disabilities Recreate OUTSIDE
United Through Reading 1455 Frazee Road, Suite 500 San Diego, CA 92108	\$ 20,000 00	United Through Reading - SoCal
USC - Classical KUSC Radio 1149 S Hill Street, Suite H100 Los Angeles, CA 90015	\$ 15,000 00	General Operating Support
USC - Flora L. Thornton School of Music 840 West 34th Street MUS 408 Los Angeles, CA 90089-0851	\$ 10,000 00	Harlyne J. Norris Competition Fund
USC - Flora L. Thornton School of Music 840 West 34th Street MUS 408 Los Angeles, CA 90089-0851	\$ 30,000 00	USC Thornton Community Engagement Program
USC - Heather Anne Barnes Endowed Scholarship Fund 1150 South Olive Street, Suite 2400 Los Angeles, CA 90015	\$ 25,000 00	Heather Anne Barnes Scholarship Fund
USC - Men's Crew USC Lyons Center 1026 West 34th Street Los Angeles, CA 90089-2500	\$ 10,000 00	USC Men's Crew Repairs Replacement
USC - Physically Challenged Athletes Scholarship Fund USC Heritage Hall 203B 3501 Watt Way Los Angeles, CA 90089 0602	\$ 20,000 00	Physically Challenged Athletes Scholarship Fund
USC - The Center on Philanthropy and Public Policy 650 Childs Way, RGL 210 Los Angeles, CA 90089-0626	\$ 25,000 00	Donor Education for Strategic Giving
USC - Town and Gown 620 West McCarthy Way, Suite 10 Los Angeles, CA 90089	\$ 50,000 00	Eileen Norms Scholarship Program
USC - Viterbi School of Engineering 1150 S Olive Street, Suite 1700 Los Angeles, CA 90015	\$ 25,000 00	USC Women in Engineering Program
USC Shoah Foundation 650 W McCarthy Way Suite #401 Los Angeles, CA 90089	\$ 275,000 00	Facing Survival
USC/Norris Comprehensive Cancer Center - Norris Healthcare Consultation Center 1441 Eastlake Avenue Ezralow Tower, Suite 8302L Los Angeles, CA 90089-9181	\$ 1,500,000 00	Norris Healthcare Consultation Center 10 Year Pledge
USO San Diego 2790 Truxtun Road San Diego, CA 92106	\$ 200,000 00	USO San Diego Mobile
Verbum Dei High School 11100 South Central Avenue Los Angeles, CA 90059	\$ 20,000 00	Corporate Work Study Program
Wayfinder Family Services 5300 Angeles Vista Boulevard Los Angeles, CA 90043	\$ 30,000 00	Children's Services
Workshops for Warriors 2970 Main Street San Diego, CA 92113	\$ 65,000 00	Advanced Manufacturing Program for Veterans
YMCA - Ketchum/Downtown 401 South Hope Street Los Angeles, CA 90071	\$ 50,000 00	YFH&WI

**The Kenneth T. & Eileen L. Norris Foundation**

I.D. #95-6080374

**PART XV, Supplementary Information (Continued)**

**Charitable Donation Summary - Year End November 30, 2019**

<b>Organization Name &amp; Address</b>	<b>Deductible Portion</b>	<b>Project Title</b>
YMCA - Siskiyou 350 North Foothill Dr Yreka, CA 96097	\$ 15,000 00	YMCA Parking Lot Improvements
YMCA - Torrance/South Bay 2900 W Sepulveda Blvd Torrance, CA 90505	\$ 20,000 00	Before-and-After School Y's Child Care
YMCA - West Valley 18810 Vanowen Street Reseda, CA 91335	\$ 10,000 00	Youth & Teen Development Programs
YMCA - Wilmington 1127 N Avalon Blvd Wilmington, CA 90744	\$ 15,000 00	YOUTH ENRICHMENT PROGRAMS
Young Musicians Foundation 1844 Cypress Avenue Los Angeles, CA 90065	\$ 10,000 00	YMF Music Education Programming
YWCA Seattle-King-Snohomish 1118 Fifth Avenue Seattle, Washington 98101	\$ 5,000 00	Unrestricted/General Support
Zoological Society of San Diego P O Box 120551 San Diego, CA 92112-0551	\$ 10,000 00	Exploring Conservation Science Program
<b>Total</b>	<b>\$ 10,029,900 00</b>	