1806 KPMG

Form **990-T** Department of the Treasury Internal Revenue Service

Exempt Organization Business Income Tax Return (and proxy tax under section 6033(e))

For calendar year 2017 or other tax year beginning 07/01, 2017, and ending 06/30, 2018

▶ Go to www.irs.gov/Form990T for instructions and the latest information.

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3)

OMB No 1545-0687

2017

Open to Public Inspection for 501(c)(3) Organizations Only

A [X] Check box if address changed

B Exempt under section [X] 501(C)(3) [ ] 408(e) [ ] 220(e) [ ] 408A [ ] 530(a) [ ] 529(a)

C Book value of all assets at end of year 2300879608.

Print or Type

Name of organization ([ ] Check box if name changed and see instructions)
UNIVERSITY OF CALIFORNIA, BERKELEY FOUNDATION

Number, street, and room or suite no If a P O box, see instructions
1995 UNIVERSITY AVENUE SUITE 401

City or town, state or province, country, and ZIP or foreign postal code
BERKELEY, CA 94704-1508

D Employer identification number (Employees' trust, see instructions)
94-6090626

E Unrelated business activity codes (See instructions)
525990

F Group exemption number (See instructions) ▶

G Check organization type ▶ [X] 501(c) corporation [ ] 501(c) trust [ ] 401(a) trust [ ] Other trust

H Describe the organization's primary unrelated business activity ▶ PARTNERSHIP INVESTMENTS

I During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? ▶ [ ] Yes [X] No

If "Yes," enter the name and identifying number of the parent corporation ▶

J The books are in care of ▶ LORAINE BINION Telephone number ▶ 510-642-1212

Part I Unrelated Trade or Business Income

			(A) Income	(B) Expenses	(C) Net
1a	Gross receipts or sales				
b	Less returns and allowances / c Balance ▶	1c			
2	Cost of goods sold (Schedule A, line 7)	2			
3	Gross profit Subtract line 2 from line 1c	3			
4a	Capital gain net income (attach Schedule D)	4a	300,184.		300,184.
b	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b	-96,165.		-96,165.
c	Capital loss deduction for trusts	4c			
5	Income (loss) from partnerships and S corporations (attach statement)	5	-71,429.	ATCH 1	-71,429.
6	Rent income (Schedule C)	6			
7	Unrelated debt-financed income (Schedule E)	7			
8	Interest, annuities royalties, and rents from controlled organizations (Schedule F)	8			
9	Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)	9			
10	Exploited exempt activity income (Schedule I)	10			
11	Advertising income (Schedule J)	11			
12	Other income (See instructions, attach schedule)	12			
13	**Total.** Combine lines 3 through 12	13	132,590.		132,590.

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions.) (Except for contributions, deductions must be directly connected with the unrelated business income.)

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14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance	16	
17	Bad debts	17	
18	Interest (attach schedule)	18	
19	Taxes and licenses	19	5,452.
20	Charitable contributions (See instructions for limitation rules) ATTACHMENT 2	20	12,264.
21	Depreciation (attach Form 4562) 21		
22	Less depreciation claimed on Schedule A and elsewhere on return 22a	22b	
23	Depletion	23	
24	Contributions to deferred compensation plans	24	
25	Employee benefit programs	25	
26	Excess exempt expenses (Schedule I)	26	
27	Excess readership costs (Schedule J)	27	
28	Other deductions (attach schedule) ATTACHMENT 3	28	4,500.
29	**Total deductions.** Add lines 14 through 28	29	22,216.
30	Unrelated business taxable income before net operating loss deduction Subtract line 29 from line 13	30	110,374.
31	Net operating loss deduction (limited to the amount on line 30)	31	110,374.
32	Unrelated business taxable income before specific deduction Subtract line 31 from line 30	32	
33	Specific deduction (Generally $1,000, but see line 33 instructions for exceptions)	33	
34	**Unrelated business taxable income.** Subtract line 33 from line 32 If line 33 is greater than line 32, enter the smaller of zero or line 32	34	0.

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Part III Tax Computation

35 **Organizations Taxable as Corporations.** See instructions for tax computation Controlled group members (sections 1561 and 1563) check here ▶ ☐ **See instructions and**

a Enter your share of the $50,000, $25,000, and $9,925,000 taxable income brackets (in that order) (1) $ (2) $ (3) $

b Enter organization's share of (1) Additional 5% tax (not more than $11,750) $

(2) Additional 3% tax (not more than $100,000) $

c Income tax on the amount on line 34 ▶ 35c

36 **Trusts Taxable at Trust Rates.** See instructions for tax computation Income tax on the amount on line 34 from ☐ Tax rate schedule or ☐ Schedule D (Form 1041) ▶ 36

37 **Proxy tax.** See instructions ▶ 37

38 Alternative minimum tax 38

39 **Tax on Non-Compliant Facility Income.** See instructions 39

40 **Total.** Add lines 37, 38 and 39 to line 35c or 36, whichever applies 40

Part IV Tax and Payments

41 a	Foreign tax credit (corporations attach Form 1118, trusts attach Form 1116)	41a			
b	Other credits (see instructions)	41b			
c	General business credit Attach Form 3800 (see instructions)	41c			
d	Credit for prior year minimum tax (attach Form 8801 or 8827)	41d			
e	**Total credits** Add lines 41a through 41d			41e	
42	Subtract line 41e from line 40			42	
43	Other taxes Check if from ☐ Form 4255 ☐ Form 8611 ☐ Form 8697 ☐ Form 8866 ☐ Other (attach schedule)			43	
44	**Total tax.** Add lines 42 and 43			44	0.
45 a	Payments A 2016 overpayment credited to 2017	45a			
b	2017 estimated tax payments	45b			
c	Tax deposited with Form 8868	45c			
d	Foreign organizations Tax paid or withheld at source (see instructions)	45d			
e	Backup withholding (see instructions)	45e			
f	Credit for small employer health insurance premiums (Attach Form 8941)	45f			
g	Other credits and payments ☐ Form 2439 ____ ☐ Form 4136 ____ ☐ Other ____ Total ▶	45g			
46	**Total payments** Add lines 45a through 45g			46	
47	Estimated tax penalty (see instructions) Check if Form 2220 is attached ▶ ☐			47	
48	**Tax due.** If line 46 is less than the total of lines 44 and 47, enter amount owed ▶			48	
49	**Overpayment** If line 46 is larger than the total of lines 44 and 47, enter amount overpaid ▶			49	
50	Enter the amount of line 49 you want Credited to 2018 estimated tax ▶ Refunded ▶			50	

Part V Statements Regarding Certain Activities and Other Information (see instructions)

		Yes	No
51	At any time during the 2017 calendar year, did the organization have an interest in or a signature or other authority over a financial account (bank, securities, or other) in a foreign country? If YES, the organization may have to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts If YES, enter the name of the foreign country here ▶		X
52	During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If YES, see instructions for other forms the organization may have to file		X
53	Enter the amount of tax-exempt interest received or accrued during the tax year ▶ $		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer: [signature] Date: 05/14/2019 Title: Treasurer

May the IRS discuss this return with the preparer shown below (see instructions)? X Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
VALERIE J BALL	[signature]	5/8/19		P00178114
Firm's name ▶ KPMG LLP			Firm's EIN ▶ 13-5565207	
Firm's address ▶ 3975 FREEDOM CIR DR STE 100, SANTA CLARA, CA 95054			Phone no 408-367-5764	

Form **990-T** (2017)

Schedule A - Cost of Goods Sold. Enter method of inventory valuation ▶

1	Inventory at beginning of year	1		6	Inventory at end of year	6		
2	Purchases	2		7	Cost of goods sold. Subtract line 6 from line 5 Enter here and in Part I, line 2	7		
3	Cost of labor	3						
4a	Additional section 263A costs (attach schedule)	4a		8	Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization?		Yes	No
b	Other costs (attach schedule)	4b						X
5	Total Add lines 1 through 4b	5						

Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property)
(see instructions)

1. Description of property

(1)
(2)
(3)
(4)

2 Rent received or accrued		3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)
(a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)	(b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)	
(1)		
(2)		
(3)		
(4)		
Total	Total	(b) Total deductions Enter here and on page 1, Part I, line 6, column (B) ▶
(c) Total income. Add totals of columns 2(a) and 2(b) Enter here and on page 1, Part I, line 6, column (A) ▶		

Schedule E - Unrelated Debt-Financed Income (see instructions)

1 Description of debt-financed property	2 Gross income from or allocable to debt-financed property	3 Deductions directly connected with or allocable to debt-financed property	
		(a) Straight line depreciation (attach schedule)	(b) Other deductions (attach schedule)
(1)			
(2)			
(3)			
(4)			

4 Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	5. Average adjusted basis of or allocable to debt-financed property (attach schedule)	6. Column 4 divided by column 5	7 Gross income reportable (column 2 x column 6)	8 Allocable deductions (column 6 x total of columns 3(a) and 3(b))
(1)		%		
(2)		%		
(3)		%		
(4)		%		
			Enter here and on page 1, Part I, line 7, column (A)	Enter here and on page 1, Part I, line 7, column (B)
Totals ▶				
Total dividends-received deductions included in column 8 ▶				

Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations (see instructions)

1 Name of controlled organization	2 Employer identification number	Exempt Controlled Organizations 3 Net unrelated income (loss) (see instructions)	4. Total of specified payments made	5 Part of column 4 that is included in the controlling organization's gross income	6 Deductions directly connected with income in column 5
(1)					
(2)					
(3)					
(4)					

Nonexempt Controlled Organizations

7. Taxable Income	8. Net unrelated income (loss) (see instructions)	9 Total of specified payments made	10 Part of column 9 that is included in the controlling organization's gross income	11 Deductions directly connected with income in column 10
(1)				
(2)				
(3)				
(4)				
Totals			Add columns 5 and 10 Enter here and on page 1, Part I, line 8, column (A)	Add columns 6 and 11 Enter here and on page 1, Part I, line 8, column (B)

Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization (see instructions)

1 Description of income	2 Amount of income	3 Deductions directly connected (attach schedule)	4 Set-asides (attach schedule)	5 Total deductions and set-asides (col 3 plus col 4)
(1)				
(2)				
(3)				
(4)				
Totals	Enter here and on page 1, Part I, line 9, column (A)			Enter here and on page 1, Part I, line 9, column (B)

Schedule I - Exploited Exempt Activity Income, Other Than Advertising Income (see instructions)

1 Description of exploited activity	2 Gross unrelated business income from trade or business	3 Expenses directly connected with production of unrelated business income	4 Net income (loss) from unrelated trade or business (column 2 minus column 3) If a gain, compute cols 5 through 7	5 Gross income from activity that is not unrelated business income	6 Expenses attributable to column 5	7 Excess exempt expenses (column 6 minus column 5, but not more than column 4)
(1)						
(2)						
(3)						
(4)						
Totals	Enter here and on page 1, Part I, line 10, col (A)	Enter here and on page 1, Part I, line 10, col (B)				Enter here and on page 1, Part II, line 26

Schedule J - Advertising Income (see instructions)

Part I Income From Periodicals Reported on a Consolidated Basis

1. Name of periodical	2 Gross advertising income	3 Direct advertising costs	4 Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5 Circulation income	6 Readership costs	7 Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)						
(2)						
(3)						
(4)						
Totals (carry to Part II, line (5))						

Part II Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.)

1 Name of periodical	2 Gross advertising income	3 Direct advertising costs	4 Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5 Circulation income	6 Readership costs	7 Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)						
(2)						
(3)						
(4)						
Totals from Part I						
Totals, Part II (lines 1-5)	Enter here and on page 1, Part I, line 11, col (A)	Enter here and on page 1, Part I, line 11, col (B)				Enter here and on page 1, Part II, line 27

Schedule K - Compensation of Officers, Directors, and Trustees (see instructions)

1 Name	2 Title	3 Percent of time devoted to business	4 Compensation attributable to unrelated business
(1)		%	
(2)		%	
(3)		%	
(4)		%	
Total. Enter here and on page 1, Part II, line 14			

Form **990-T** (2017)

UNIVERSITY OF CALIFORNIA, BERKELEY FOUNDATION

94-6090626

ATTACHMENT 6

FORM 990-T, PAGE 1, PART II, NOL

YEAR ENDING	AMOUNT GENERATED	AMOUNT UTILIZED 6/30/2018	TOTAL NOLS UTILIZED	YEAR UTILIZED	CARRYOVER
6/30/2007	20,337		20,337	6/30/2015	-
6/30/2008	57,874		57,874	6/30/2015	-
6/30/2009	335,007		335,007	6/30/2015	-
6/30/2010	339,500		339,500	2015/2016	-
6/30/2011	110,539		110,539	6/30/2016	-
6/30/2012	122,452	40,332	122,452	6/30/2016/2018	-
6/30/2013	342,022	70,042	70,042	6/30/2018	271,980
6/30/2014	252,921				524,900
6/30/2015	-				524,900
6/30/2016	-				524,900
6/30/2017	124,702				649,602
6/30/2018	-				649,602
	1,705,354		1,055,751		
NET OPERATING LOSS UTILIZED 6/30/2018		110,374			
NET OPERATING LOSS CARRYOVER TO 6/30/2019					649,602

UNIVERSITY OF CALIFORNIA, BERKELEY FOUNDATION 94-6090626

ATTACHMENT 1

FORM 990T - LINE 5 -INCOME (LOSS) FROM PARTNERSHIPS

CA RESOURCES FUND (US TAX EXEMPT) LP - 26-1962451	5,190.
CHARLESBANK EQUITY FUND VII LP 32-0280123	-88,160.
CHICAGO PACIFIC FOUNDERS FUND-A LP 47-1350163	-72,848.
HORSLEY BRIDGE IX LP 26-1516885	-57.
HORSLEY BRIDGE INTERNATIONAL IV LP 20-5535232	120.
HORLSEY BRIDGE INTERNATIONAL V LP 26-3072050	-44.
KAYNE ANDERSON ENERGY FUND V (QP) LP 26-3294026	-163,654.
LEGACY VENTURE IV LLC 20-4468805	29,636.
MAKENA CAPITAL ASSOCIATES (US) LP 20-4310816	-13,750.
METROPOLITAN REAL ESTATE PTRS INTL II LP 26-03266	5,707.
METROPOLITAN REAL ESTATE PARTNERS IV-B LP 65-12657	-10,563.
METROPOLITAN REAL ESTATE PTRS V LP 20-8395095	-5,185.
OCAPITA CONSUMER OPPORTUNITIES FUND II LP 98-13099	-471.
PARK STREET CAPITAL NATL RESOURCES 20-5033090	51,072.
PARK ST CPTL NATL RESOURCE FUND III AIV LP 26-046	-1,857.
TPG BLUEGRASS V AIV 2 LP 20-4914588	-422.
TPG PARTNERS V LP 20-4578637	-145.
ENERVEST ENERGY INSTITUTIONAL FUND XIII-C 80-08547	-145.
TPG V VE-AIV2 LP 27-1363255	-4,365.
THE VARDE FUND IX LP 26-1594327	28.
PARK ST CPTL NATLL RESOURCE FUND V LP 45-3001979	-266,083.
VINTAGE V LP 26-2950708	237,380.
METROPOLITAN REAL ESTATE PTRS INTL III LP 26-20852	-3,252.
TCV VII 75-3257856	128,069.
THE VARDE FUND IX-A 26-3542356	-6.
BLACKSTONE CAPITAL PARTNERS VI NQ/NF LP 38-3909	282.
CMNFND CPTL NATL RESOURCES PTRS VI, LP 25-1910076	-196.
CMNFND CPTL NATL RESOURCES PTRS VII, LP 51-060577	45,662.
CMNFND CPTL PVT EQUITY PTR VI, LP 16-1720029	28,698.
CMNFND CPTL PVT EQUITY PTR VII, LP 20-8306306	27,845.
CMNFND CPTL VENTURE PTRS VI, LP 06-1605325	-73.
CMNFND CPTL VENTURE PTRS VI, LP 06-1605325	-62.
CMNFND CPTL VENTURE PTRS VIII, LP 11-3814030	-12.
ENDOWMENT VENTURE PARTNERS V LP 06-1563332	-82.
THE VARDE FUND IX-A LP 26-3542356	-6.
VINTAGE FUND GSVA V LP 30-0673634	320.
INCOME (LOSS) FROM PARTNERSHIPS	-71,429.

FORM 990T - PART II - LINE 20 - CHARITABLE CONTRIBUTIONS

UNRELATED TRADE OR BUSINESS INCOME	132,590.
ADD: DOMESTIC PRODUCTION ACTIVITIES DEDUCTION	0.
LESS: DEDUCTIONS WITHOUT CHARITABLE CONTRIBUTIONS AND DPAD	9,952.
	* 10%
CHARITABLE CONTRIBUTION LIMITATION (10%)	12,264.
CHARITABLE CONTRIBUTION	12,264.
CHARITABLE CONTRIBUTION DEDUCTION (SMALLER OF THE ABOVE TWO)	12,264.

FORM 990T - PART II - LINE 28 - TOTAL OTHER DEDUCTIONS

DOMESTIC PRODUCTION ACTIVITIES DEDUCTION UNDER SECTION 199

TAX PREPARATION FEES	4,500.
PART II - LINE 28 - OTHER DEDUCTIONS	4,500.

SCHEDULE D (Form 1120)
Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.
▶ Go to www irs gov/Form1120 for instructions and the latest information

OMB No 1545-0123
2017

Name	Employer identification number
UNIVERSITY OF CALIFORNIA, BERKELEY FOUNDATION	94-6090626

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				46,812.

4 Short-term capital gain from installment sales from Form 6252, line 26 or 37	4	
5 Short-term capital gain or (loss) from like-kind exchanges from Form 8824	5	
6 Unused capital loss carryover (attach computation)	6	( )
7 Net short-term capital gain or (loss) Combine lines 1a through 6 in column h	7	46,812.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	ATCH 4			253,372.

11 Enter gain from Form 4797, line 7 or 9	11	
12 Long-term capital gain from installment sales from Form 6252, line 26 or 37	12	
13 Long-term capital gain or (loss) from like-kind exchanges from Form 8824	13	
14 Capital gain distributions (see instructions)	14	
15 Net long-term capital gain or (loss) Combine lines 8a through 14 in column h	15	253,372.

Part III Summary of Parts I and II

16 Enter excess of net short-term capital gain (line 7) over net long-term capital loss (line 15)	16	46,812.
17 Net capital gain Enter excess of net long-term capital gain (line 15) over net short-term capital loss (line 7)	17	253,372.
18 Add lines 16 and 17 Enter here and on Form 1120, page 1, line 8, or the proper line on other returns If the corporation has qualified timber gain, also complete Part IV	18	300,184.

Note: If losses exceed gains, see **Capital losses** in the instructions

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Go to www.irs.gov/Form8949 for instructions and the latest information.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2017

Attachment Sequence No **12A**

Name(s) shown on return: UNIVERSITY OF CALIFORNIA, BERKELEY FOUNDATION

Social security number or taxpayer identification number: 94-6090626

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a, you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- [ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- [X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed of (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). *See the separate instructions* (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
LEGACY VENTURE IV LLC, 20-4468805							1,718
MAKENA CAPITAL ASSOCIATES (US) LP							11,207
TPG BLUEGRASS V AIV 2 LP, 20-4914							-13
VINTAGE V LP, 26-2950708							1,352
COMMONFUND CAPITAL NATURAL RESOUR							52
COMMONFUND CAPITAL NATURAL RESOUR							32,475
COMMONFUND CAPITAL PRIVATE EQUITY							-63
COMMONFUND CAPITAL PRIVATE EQUITY							84
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶							46,812

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2017)

JSA
7X2615 2 000

Name(s) shown on return. Name and SSN or taxpayer identification no. not required if shown on other side: UNIVERSITY OF CALIFORNIA, BERKELEY FOUNDATION

Social security number or taxpayer identification number: 94-6090626

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note: You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 8a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- [ ] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- [X] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
FROM SCHEDULE K-1(1065)							253,372
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶							253,372

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

UNIVERSITY OF CALIFORNIA BERKELEY FOUNDATION **94-6090626**

ATTACHMENT 4

Capital Gains/Losses are presented as they are reported per the individual K-1s

FORM 990-T, FORM 8949, PART II, LINE 1

CA RESOURCES FUND (US TAX EXEMPT) LP - SERIES BAIN EUROPE III 26-1962451	1,527
MAKENA CAPITAL ASSOCIATES (US) LP 20-4310816	25,235
METROPOLITAN REAL ESTATE PARTNERS V LP 20-8395095	(2,990)
TPG BLUEGRASS V AIV 2 LP 20-4914588	(40)
TPG PARTNERS V LP 20-4578637	(722)
ENERVEST ENERGY INSTITUTIONAL FUND XIII-C 80-0854716	(722)
VINTAGE V LP 26-2950708	102,939
BLACKSTONE CAPITAL PARTNERS VI 26-2855384	10,099
METROPOLITAN REAL ESTATE PARTNERS INTERNATIONAL III 26-2085270	(281)
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VI LP 25-1910076	(1,065)
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VII LP 51-0605779	12,707
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VI LP 16-1720029	47,028
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VII LP 20-8306306	59,651
COMMONFUND CAPITAL VENTURE PARTNERS VI LP 06-1605325	6
Net Long Term Capital Gain	253,372