

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation Y & H Soda Foundation		A Employer identification number 94-1611668
Number and street (or P O box number if mail is not delivered to street address) 1635 School Street	Room/suite	B Telephone number (see instructions) (925) 631-1133
City or town, state or province, country, and ZIP or foreign postal code Moraga, CA 94556		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>119,430,723</u>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	3,310,227	3,310,227		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-4,202,568			
b	Gross sales price for all assets on line 6a 9,791,584				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	76,015	12,500		
12	Total. Add lines 1 through 11	-816,326	3,322,727		
13	Compensation of officers, directors, trustees, etc	221,083			221,083
14	Other employee salaries and wages	858,078	138,446		719,632
15	Pension plans, employee benefits	234,419	37,299		197,120
16a	Legal fees (attach schedule)	966			
b	Accounting fees (attach schedule)	30,165			30,165
c	Other professional fees (attach schedule)	998,215	985,212		13,003
17	Interest				
18	Taxes (attach schedule) (see instructions)	1,698			1,698
19	Depreciation (attach schedule) and depletion	124,779			
20	Occupancy	42,011			42,659
21	Travel, conferences, and meetings	15,824	4,652		11,004
22	Printing and publications	680			680
23	Other expenses (attach schedule)	1,874,216	1,787,289		81,403
24	Total operating and administrative expenses. Add lines 13 through 23	4,402,134	2,952,898		1,318,447
25	Contributions, gifts, grants paid	4,442,589			4,901,500
26	Total expenses and disbursements. Add lines 24 and 25	8,844,723	2,952,898		6,219,947
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-9,661,049			
b	Net investment income (if negative, enter -0-)		369,829		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	819,952	1,247,328	1,247,328
	2 Savings and temporary cash investments	16,525	48	48
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	46,464	43,470	43,470
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	132,372,008	115,167,603	115,167,603
	14 Land, buildings, and equipment basis ▶ _____ 3,268,983 Less accumulated depreciation (attach schedule) ▶ _____ 1,546,709	1,832,977	1,722,274	1,722,274
15 Other assets (describe ▶ _____)	1,250,000	1,250,000	1,250,000	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	136,337,926	119,430,723	119,430,723	
Liabilities	17 Accounts payable and accrued expenses	84,238	85,493	
	18 Grants payable	717,000	258,089	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)	227,484	160,271	
	23 Total liabilities (add lines 17 through 22)	1,028,722	503,853	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	135,309,204	118,926,870	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	135,309,204	118,926,870		
31 Total liabilities and net assets/fund balances (see instructions) .	136,337,926	119,430,723		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	135,309,204
2 Enter amount from Part I, line 27a	2	-9,661,049
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	125,648,155
5 Decreases not included in line 2 (itemize) ▶ _____	5	6,721,285
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	118,926,870

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a Sale of Publicly Traded Securities	P	2000-01-01	2018-12-31
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,791,584		13,994,152	-4,202,568
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-4,202,568
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-4,202,568
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	6,457,148	128,726,236	0 05016
2016	5,905,446	125,380,441	0 04710
2015	6,349,022	127,591,942	0 04976
2014	6,604,925	128,852,741	0 05126
2013	6,379,497	127,663,263	0 04997

2 Total of line 1, column (d)	2	0 248252
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 049650
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	123,968,149
5 Multiply line 4 by line 3	5	6,155,019
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,698
7 Add lines 5 and 6	7	6,158,717
8 Enter qualifying distributions from Part XII, line 4	8	6,236,295

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and total credits. Total amount owed is 28,943.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political activities, unrelated business income, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 1635 School Street Moraga CA ZIP+4 94556

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.			5b		No
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>			6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b		No
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert H Uyeki 1635 School Street Moraga, CA 94556	CEO 40 00	330,000	54,174	
Kappy L Dye 1635 School Street Moraga, CA 94556	CFO 40 00	232,000	50,138	
Mary A Doyle 1635 School Street Moraga, CA 94556	Sr Prog Officer 40 00	122,850	34,053	
Deborah L Floyd 1635 School Street Moraga, CA 94556	Accountant 40 00	80,508	26,069	
Luis M Arteaga 1635 School Street Moraga, CA 94556	Sr Prog Officer 40 00	81,250	17,199	

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
PIMCO 840 Newport Center Drive Newport Beach, CA 92660	Investment Manager	271,177
GMO 40 Rowes Wharf Boston, MA 02210	Investment Manager	216,695
Pension Consulting Alliance Inc 411 NW Park Avenue Suite 401 Portland, OR 97209	Investment Consult	105,833
PanAgora Asset Management Inc 470 Atlantic Avenue Boston, MA 02210	Investment Manager	72,043
AQR Capital Management LLC Two Greenwich Plaza 3rd Floor Greenwich, CT 06830	Investment Manager	236,993
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 _____ _____	
2 _____ _____	
3 _____ _____	
4 _____ _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____ _____	
2 _____ _____	
All other program-related investments. See instructions	
3 _____ _____	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	125,481,783
b	Average of monthly cash balances.	1b	374,206
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	125,855,989
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	125,855,989
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,887,840
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	123,968,149
6	Minimum investment return. Enter 5% of line 5.	6	6,198,407

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	6,198,407
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	3,698
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,698
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	6,194,709
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	6,194,709
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	6,194,709

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	6,219,947
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	16,348
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	6,236,295
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	3,698
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,232,597

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				6,194,709
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2018				
a From 2013. 128				
b From 2014. 294,936				
c From 2015. 27,551				
d From 2016.				
e From 2017. 45,518				
f Total of lines 3a through e.	368,133			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 6,236,295				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				6,194,709
e Remaining amount distributed out of corpus	41,586			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	409,719			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	128			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	409,591			
10 Analysis of line 9				
a Excess from 2014. 294,936				
b Excess from 2015. 27,551				
c Excess from 2016.				
d Excess from 2017. 45,518				
e Excess from 2018. 41,586				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
 Y H Soda Foundation
 1635 School Street
 Moraga, CA 94556
 (925) 631-1133

b The form in which applications should be submitted and information and materials they should include
 See Statement 17

c Any submission deadlines
 See Statement 17

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
 See Statement 17

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Statement 18 See Statement 18 See Statement, CA 94556	N/A	PC	Charitable	4,901,500
<p style="text-align: right;">Total ▶ 3a</p>				
b <i>Approved for future payment</i> De La Salle Cristo Rey High School 1530 34th Ave Oakland, CA 94601	N/A	PC	General Operating Support	143,089
Rising Sun Energy Center 2998 San Pablo Ave Berkeley, CA 94702	N/A	PC	GETS Core Training Program	40,000
Freedom for Immigrants 1322 Webster St Suite 300 Oakland, CA 94612	N/A	PC	East Bay Detention Outreach and Advocacy	75,000
<p style="text-align: right;">Total ▶ 3b</p>				

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Alfred Dossa 1635 School Street Moraga, CA 94556	Treasurer 5 00	40,000		
Judith A Murphy 1635 School Street Moraga, CA 94556	Chairman 5 00	40,000		
James Dye 1635 School Street Moraga, CA 94556	Vice President 5 00	38,900		
William McLeod 1635 School Street Moraga, CA 94556	Vice Chair 5 00	40,000		
Rosemary Soda 1635 School Street Moraga, CA 94556	Secretary 5 00	39,200		
Diana Bermudez 1635 School Street Moraga, CA 94556	Director 5 00	22,983		

TY 2018 Accounting Fees Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Auditing	24,500	0	0	24,500
Tax Preparation	5,665	0	0	5,665

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Amortization Schedule

Name: Y & H Soda Foundation

EIN: 94-1611668

Software ID: 18007218

Software Version: 2018v3.1

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
Website	2014-12-31	984	820	3 0000	164			984
Website	2015-06-01	12,646	10,538	3 0000	2,108			12,646

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: Y & H Soda Foundation

EIN: 94-1611668

Software ID: 18007218

Software Version: 2018v3.1

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Building	2005-11-21	1,415,577	749,077	SL	20 0000	70,779			
Building Renovation	2006-11-30	996,254	527,187	SL	20 0000	49,813			
Building Crawl Space	2013-12-16	1,618	324	SL	20 0000	81			
Building Crawl Space	2014-02-01	8,296	2,603	SL	20 0000	415			
HVAC Work	2014-09-01	7,443	1,239	SL	20 0000	372			
Gutters	2014-02-01	5,550	4,440	SL	5 0000	1,110			
Bathroom Vanity Lights	2015-08-01	11,046	5,522	SL	5 0000	2,209			

TY 2018 General Explanation Attachment

Name: Y & H Soda Foundation

EIN: 94-1611668

Software ID: 18007218

Software Version: 2018v3.1

General Explanation Attachment

Identifier	Return Reference	Explanation	
1		General Explanation Supplemental Information for Form 990-PF	<p>Statement 17 Form 990-PF Part XV, Line 2(a)-(d) How do you apply?</p> <p>Step 1 Determine your eligibility The Y&H Soda Foundation supports organizations whose work qualifies as charitable, according to the IRS definition. This includes organizations with a 501(c)3 status, public agencies and projects sponsored by public charities. Additionally, the work must serve the residents of Alameda and Contra Costa Counties. We do not make grants to individuals.</p> <p>Step 2 Determine your projects fit with our goals Review our strategic priorities and funding strategies within those areas, key elements of a successful application and a list of previous grants to determine whether your efforts align with our strategies and achieve our desired outcomes.</p> <p>Step 3 Contact the Foundation Please send us an email (program-assistant@yhsodafoundation.org) with the name of your organization, your contact information and identify which of our strategic priorities and funding strategies that you feel is aligned with your programming. We try to respond to inquiries within 10 business days. If you do not have a response from us after 2 weeks, please contact us by phone at (925) 631-1133. If we determine that your project aligns with our strategies, then we will invite you to submit an application for funding.</p> <p>Step 4 Submit a Grant Application (by invitation only) If selected, program staff will invite you to submit a grant application. Grant applications are submitted online through our password protected grantee portal. Please note that program staff perform detailed due diligence which may include but is not limited to program and financial assessment, a site visit, and follow-up meetings with key staff. The due diligence process can take 2-3 months to conduct before bringing a funding recommendation to our Board of Directors. Foundation staff will keep in touch with you during this process to keep you informed of the status of your request.</p> <p>Step 5 Grant application review and funding determination Program staff will notify you if your grant request will be presented to the Board of Directors and when to expect a decision. The Board meets monthly to make final funding decisions.</p> <p>Key Elements of Successful Grant Applications In reviewing applications from prospective grantees, the Y&H Soda Foundation looks for a broad array of key elements. These are typical characteristics that encompass most projects, though the Foundation recognizes that each project may not have every element present due to the nature of its scope of work.</p> <p>East Bay Impact Central to the mission of the Y&H Soda Foundation is a commitment to supporting the underserved in Alameda and Contra Costa Counties. Projects and programs must significantly impact the underserved in the East Bay. Clear Outcomes and Accountability The Foundation expects to find the following elements in proposed projects: Strategies incorporate and reflect learning from related projects and the research base. Existence of accurate baseline data on the defined problem. A statement of the anticipated impact and outcomes of the program and detail on how progress will be measured. Demonstration of how East Bay residents will benefit as a result of the work. Organizational Practices Organizational processes and procedures are transparent, accountable and effective. Organizations working with the richly diverse population of the East Bay value and understand different cultures, languages and needs. A well-articulated work plan and budget that fits the projects stage of development. Committed Partners Alleviating poverty cannot be done in isolation. We look for opportunities to value and build upon a wide range of partnerships addressing the work. Evidence of collaboration and cooperation. In these instances, projects draw on the expertise, resources and capabilities of each of the partners. Financial commitment from the partners is particularly compelling.</p>

TY 2018 Investments - Other Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AQR Gibraltar Fund	FMV	15,168,082	15,168,082
PanAgora	FMV	19,640,734	19,640,734
GMO	FMV	22,490,536	22,490,536
PIMCO Bravo Fund II, LP	FMV	9,195,992	9,195,992
Silver Creek	FMV	8,458,582	8,458,582
AQR Style Premia Fund	FMV	14,425,371	14,425,371
PIMCO Short Asset Investment Inst	FMV	6,224,480	6,224,480
Tortoise MLP & Pipeline Fund	FMV	2,176,052	2,176,052
Welton Paragon	FMV	17,387,774	17,387,774

**TY 2018 Land, Etc.
Schedule****Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	99,192	95,878	3,314	3,314
Machinery and Equipment	45,761	29,413	16,348	16,348
Buildings	2,429,189	1,401,888	1,027,301	1,027,301
Land	675,311		675,311	675,311
Miscellaneous	19,530	19,530		

TY 2018 Legal Fees Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Legal Fees	966	0	0	0

TY 2018 Other Assets Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Prog Related Investment ICA	1,250,000	1,250,000	1,250,000

TY 2018 Other Expenses Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Amortization	2,272			
Board Education	86			86
Board Meeting Exp	4,437			4,157
Community Networking	6,495			6,608
Direct Program Expenses	492			492
Dues and Memberships	29,920			29,920
Equipment	372			553
Hardware	1,831			88
Insurance	13,851			13,851
Licenses and Filing Fees	10,195			10,195

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Maintenance - Computer	1,705			581
Payroll Fees	4,979			4,584
Portfolio Expenses	1,787,289	1,787,289		
Supplies	5,887			5,883
Telephone & Internet	4,213			4,213
Website Maintenance	192			192

TY 2018 Other Income Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Adj Provision Excise Tax	63,515		
PRI Interest	12,500	12,500	

TY 2018 Other Liabilities Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred Excise Tax Liability	227,484	160,271

TY 2018 Other Professional Fees Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Consulting/Investment	105,833	105,833	0	0
Investment Management Fees	879,379	879,379	0	0
Operational Consultants	6,515	0	0	6,515
Other Consultants	6,488	0	0	6,488

TY 2018 Taxes Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 18007218**Software Version:** 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Property Taxes	1,698			1,698