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Form 9	31	U-	Г	Г

Department of the Treasury

## **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.

So to www irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

OMB No 1545-0052

		Tresterinde del vide	9000 00000000 100 1000				_	
		alendar year 2017 or tax year beginning	01	3/01 <b>, 20<u>17</u></b>	, and endin			02/28, <b>20</b> 18
١	Name	of foundation				A Employer id	entific	cation number
_	ASN	ESS FAMILY FOUNDATION				81-4745	59 <u>4</u> (	<u> </u>
Number and street (or P O box number if mail is not delivered to street address)  Room/suite				B Telephone n	ıumbe	er (see instructions)		
_		MARCUM LLP 10 MELVILLE PARK				(631)	41	4-4000
(	City o	r town, state or province, country, and ZIP or foreign pos	stal code					
						C If exemption a pending, check		
_		VILLE, NY 11747				_		
G	Che	eck all that apply Initial return	Initial return	•	ublic charit	D 1 Foreign org	anızatı	ons, check here
		Final return	X Amended re					ions meeting the ere and attach
_	<u> </u>	Address change	Name chang					▶ □
H		eck type of organization X Section 501				E If private foun	dation	status was terminated
÷		Section 4947(a)(1) nonexempt charitable trust				under section	507(b)	(1)(A), check here . D
ı		C	unting method X C		ruai	1		in a 60-month termination
			ther (specify) column (d) must be on ca			- under section :	507(0)(	(1)(B), check here . •
F		Analysis of Revenue and Expenses (The		isi basis /		<u> </u>		(d) Disbursements
	ait	total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)	(a) Revenue and expenses per books	(b) Net inve		(c) Adjusted net income	:	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received (attach schedule)	29,357,775.					
Ì	2	Check ► if the foundation is not required to attach Sch B						1
	3	Interest on savings and temporary cash investments.	14,022.	l	4,022.			ATCH 1
	4	Dividends and interest from securities	724,154.	72	4,154.		$\dashv$	ATCH 2
	5 a	Gross rents					$\dashv$	]
	b	Net rental income or (loss)	16 504					
ne	6a	Net gain or (loss) from sale of assets not on line 10	-16,594.					
Revenue	U	Gross sales price for all 2,623,862. assets on line 6a			0.		ÀĒ₹	CEÏVED
é	7	Capital gain net income (from Part IV, line 2)	<del></del>		<u> </u>		-	78
_	8	Net short-term capital gain			<del>-</del>	<u>                                      </u>	-	
	9 10 a	Income modifications				<del>- 1=1 A</del> l	JG	101
		and allowances		+-				
		Gross profit or (loss) (attach schedule)				1.0	G	<del>)LN, UT 📑</del>
	11	Other income (attach schedule)						
i	12	Total. Add lines 1 through 11	30,079,357.	73	8,176.			<u></u>
٦	13	Compensation of officers, directors, trustees, etc	0.				$\dashv$	
nses	14	Other employee salaries and wages						
ş	4 5	Pension plans, employee benefits						
Expe	16 a	Legal fees (attach schedule) ATCH 3	20,638.					20,638
إين	þ	Accounting fees (attach schedule)ATCH 4	15,450.		7,725.		[	7,725
ĭ	c 17 18 19 20 21 22 23 24	Other professional fees (attach schedule). [5]	13.		13.			<u> </u>
림	17	Interest . ATCH .6	20.		20.		$\dashv$	<u> </u>
E	18	Taxes (attach schedule) (see instructions)[ 7, ].	7,255.					<del></del>
Ē	19	Depreciation (attach schedule) and depletion.					_	
P	20	Occupancy						
اع	21	Travel, conferences, and meetings					$\dashv$	
ā	22	Printing and publications	2,766.		226.		$\dashv$	2,540
Ĕ	23	Other expenses (attach schedule) ATCH .8.	2,700.		220.	<del> </del>	$\dashv$	2,340
티	24	Total operating and administrative expenses.	46,142.		7,984.			30,903.
힖		Add lines 13 through 23	2,663,550.	<del></del>	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		$\rightarrow$	2,663,550
- 1		Contributions, gifts, grants paid	2,709,692.		7,984.		0.	2,694,453.
	26 27	Total expenses and disbursements Add lines 24 and 25	2,,00,002.		.,,,,,,,,		<del>- +</del>	
	27	Subtract line 26 from line 12	27,369,665.					
		Excess of revenue over expenses and disbursements Not investment income (if penative enter .0.)	27,309,003.	7.3	0,192.	<del></del>	+	<u></u>
	0	Net investment income (if negative, enter -0-)	<u></u>	, 5	-, -, -,		$\rightarrow$	<u></u> <u>t</u>

JSA For Paperwork Reduction Act Notice, see instructions. 7E1410 1 000 3312MG M831 8/4/2020 1:31:

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Form **990-PF** (2017)
PAGE 5

1	Do-4 II	Balanca Charte	Attached schedules and amounts in the	Beginning of year	Enc	d of year
2 Savogs and temporary cash investments	Part II	Dalatice Streets	amounts only (See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
2 Savings and control of clustrial accounts ▶  Less allowance for doubful accounts ▶  Less allowance for doubful accounts ▶  S Grants receivable ▶  Less allowance for doubful accounts ▶  1 Grants receivable →  Less described persons (status schedule)   Execution of the control of the contr	1	Cash - non-interest-bear	ing			
a Accounts receivable   Less allowance for doubtful accounts      Priedges receivable	2	Savings and temporary	cash investments	12,460,473.	434,923	434,923
Priesgas receivable   Less allowance for doubtful accounts   Less accounts accoun	3					_
Less allowance for doubtful accounts ▶  5 Grants receivable.  6 Receivables due from officers, directors, trustees, and other disqualified persons (latach schedule) [see instructions).  7 Other notes and loans receivable (attach schedule) ▶  8 Investments or sale or use.  9 Prepaid expenses and deferred charges.  10a Investments - corporate bonds (attach schedule) ↑  10b Investments - corporate bonds (attach schedule) ↑  10b Investments - corporate bonds (attach schedule) ↑  11c Investments - officer (attach schedule) ↑  11c Investments - off		Less allowance for dou	btful accounts ▶			
S Grants receivable	4	Pledges receivable ▶				_
6 Recewables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) 7 Other notes and loans receivable (attach schedule) ▶ 8 Inventiones for sale or use 9 Prepaid expenses and deferred charges 10 livestments - Us and state government obligations (attach schedule) 10 livestments - Us and state government obligations (attach schedule) 11 Investments - Corporate stock (attach schedule) 12 Investments - corporate stock (attach schedule) 13 Investments - corporate stock (attach schedule) 14 Investments - order (attach schedule) 15 Investments - order (attach schedule) 16 Investments - order (attach schedule) 17 Investments - order (attach schedule) 18 Investments - order (attach schedule) 19 Investments - order (attach schedule) 10 Investments - order (attach schedule) 10 Investments - order (attach schedule) 11 Investments - order (attach schedule) 12 Investments - order (attach schedule) 13 Investments - order (attach schedule) 14 Investments - order (attach schedule) 15 Other assets (ascrabe ▶ 16 Total assets (to be completed by all filers - see the instructions Also, see page 1, tem II) - 25, 012, 763. 43,058,086. 56,522,199 17 Accounts payable and accrued expenses 18 Grants payable and accrued expenses 19 Deferred revenue. 19 Deferred revenue. 10 Loans from officers, directors, flustees, and sher disqualified persons, directors (attach schedule) 10 Investments that follow SFAS 117, check here   X   25,012,763. 43,058,086. 25,012,763. 43,058		Less allowance for dou	btful accounts ▶	_		
6 Recewables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) 7 Other notes and loans receivable (attach schedule) ▶ 8 Inventiones for sale or use 9 Prepaid expenses and deferred charges 10 livestments - Us and state government obligations (attach schedule) 10 livestments - Us and state government obligations (attach schedule) 11 Investments - Corporate stock (attach schedule) 12 Investments - corporate stock (attach schedule) 13 Investments - corporate stock (attach schedule) 14 Investments - order (attach schedule) 15 Investments - order (attach schedule) 16 Investments - order (attach schedule) 17 Investments - order (attach schedule) 18 Investments - order (attach schedule) 19 Investments - order (attach schedule) 10 Investments - order (attach schedule) 10 Investments - order (attach schedule) 11 Investments - order (attach schedule) 12 Investments - order (attach schedule) 13 Investments - order (attach schedule) 14 Investments - order (attach schedule) 15 Other assets (ascrabe ▶ 16 Total assets (to be completed by all filers - see the instructions Also, see page 1, tem II) - 25, 012, 763. 43,058,086. 56,522,199 17 Accounts payable and accrued expenses 18 Grants payable and accrued expenses 19 Deferred revenue. 19 Deferred revenue. 10 Loans from officers, directors, flustees, and sher disqualified persons, directors (attach schedule) 10 Investments that follow SFAS 117, check here   X   25,012,763. 43,058,086. 25,012,763. 43,058	5	Grants receivable	<del></del> [		-	
Tother notes and loans receivable (attach schedule)   Less allowance for doubtful accounts   Less allowance   Less accountated depreciation   Less accountates accountated depreciation   Le	6					
To Other notes and loans recewable (attach schedule)   Less allowance for doubtful accounts    8 Inventiones for sale or use.    9 Prepaid expenses and deferred charges.    10 Investments - Us and sate government obligations (attach schedule).    10 Investments - Corporate stock (attach schedule).    11 Investments - Lorg holdings, and equipment basis (attach schedule).    12 Investments - Lorg holdings, and equipment basis (attach schedule).    13 Investments - Lorg holdings, and equipment basis (attach schedule).    14 Land, busings, and    15 Cher assets (lose to completed by all filers - see the mistructions Also, see page 1, item 1).    16 Total assets (to be completed by all filers - see the mistructions Also, see page 1, item 1).    17 Accounts payable and accrued expenses.    18 Grants payable.    19 Deferred revenue.    20 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from officers, develors, tustees, and other disqualified persons.    10 Lotans from offi			, , , , , , , , , , , , , , , , , , , ,			
8 Inventiones for sale of use. 9 Repaid expenses and deferred charges. 10 Investments - US and state government obligations (attach schedule). 11 Investments - Corporate bonds (attach schedule). 12 Investments - Corporate bonds (attach schedule). 13 Investments - Corporate bonds (attach schedule). 14 Investments - Corporate bonds (attach schedule). 15 Investments - Corporate bonds (attach schedule). 16 Investments - Corporate bonds (attach schedule). 17 Investments - Corporate bonds (attach schedule). 18 Investments - Corporate bonds (attach schedule). 19 Investments - Corporate bonds (attach schedule). 10 Investments - Corporate bonds (attach schedule). 11 Investments - Corporate bonds (attach schedule). 12 Investments - Corporate bonds (attach schedule). 13 Investments - Corporate bonds (attach schedule). 14 Industrial based depreciation   Corporate bonds   Cor	1 7					
Inventiones for sale or use			,			
Dinvestments - corporate stock (attach schedule)   12,552,290.   42,623,163.   56,087,276	മി		<del></del> -			
Dinvestments - corporate stock (attach schedule)   12,552,290.   42,623,163.   56,087,276	S SE				-	
Dinvestments - corporate stock (attach schedule)   12,552,290.   42,623,163.   56,087,276	ر ا ا	·	-			
c Investments - corporate bonds (etach schedule).  Investments - mort publishings.  Investments - mort page loans.  12 Investments - mort page loans.  13 Investments - mort page loans.  14 Land, buildings, and equipment basis  15 Coltar assets (describe ►  16 Other assets (describe ►  17 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1).  18 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1).  19 Deferred revenue.  10 Loans from officers, directors, trustees, and other disqualified persons.  10 Investments - other completed by all filers - see the instructions Also, see page 1, item 1).  10 Coltar assets (describe ►  11 Accounts payable and accrued expenses.  12 Investments - other completed by all filers - see the instructions Also, see page 1, item 1).  12 Coltar from officers, directors, trustees, and other disqualified persons.  13 Total labilities (describe ►  14 Deferred revenue.  15 Total labilities (describe ►  16 Deferred revenue -  17 Capital stock, trust principal, or current funds.  18 Deferred revenue -  19 Deferred revenue -  20 Unrestricted -  21 Total labilities (and lines 17 through 22) -  22 Other liabilities (describe ►  23 Total interstricted -  24 Unrestricted -  25 Temporarily restricted -  26 Permanently restricted -  27 Experiments from 27 through 31.  28 Pead-in or capital surples, irend, bligt, and equipment fund -  29 Retained earnings, accumulated income, endowment, or other funds -  20 Permanently restricted -  21 Total Intelligence -  22 Permanently restricted -  23 Total near assets or fund balances (see instructions) -  25 Permanently restricted -  26 Permanently restricted -  27 Total real labilities and net assets fund balances (see instructions) -  28 Pead-in or capital surples, irend, bligt, and equipment fund -  29 Retained earnings, accumulated income, endowment, or other funds -  20 Deferment or capital surples, irend, bligt, and equipment fund -  29 Retained earnings, accumulated income,				12,552,290.	42,623,163	56,087,276.
Investments - land, buildings, and equipment biasis of depreciation (affatch schedule) (		·	·			
Less accumulated depreciation		Investments - land, building:			· · · · · · · · · · · · · · · · · · ·	
12 Investments - other (attach schedule) 13 Investments - other (attach schedule) 14 Land, buildings, and 15 Other assets (describe ► ) 16 Total assets (to be completed by all filers - see the 17 Instructions Also, see page 1, item 1)		Less accumulated deprecia	ation >			
13   Investments - other (attach schedule)   14   Land, buildings, and equipment basis (class of schedule)   16   Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)   25, 012, 763   43, 058, 086   56, 522, 199     17   Accounts payable and accrued expenses   25   Control of the file of the instructions Also, see page 1, item 1)   25, 012, 763   43, 058, 086   56, 522, 199     18   Grants payable and accrued expenses   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons   20   Loans from officers, directors, fursies, and other disqualified persons	12		loans			
15 Other assets (describe   16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item   17 Accounts payable and accrued expenses   18 Grants payable   18 Grants payable   19 Deferred revenue   10 Deferred revenue   19 Deferred revenue   19 Deferred revenue   10 Deferred						
Less accumulated depreciation   (altach schedule)   15 Other assets (describe	14		<b>_</b>			_
15 Other assets (describe ►		Less accumulated deprecia				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item II)	15	• • • • • • • • • • • • • • • • • • • •	)			
Instructions Also, see page 1, item   1)   25, 012, 763   43, 058, 086   56, 522, 199		•				
17 Accounts payable and accrued expenses		·		25,012,763.	43,058,086	56,522,199.
18 Grants payable 19 Deferred revenue 20 Loans from officers, directors, trustees, and other disqualified persons 21 Mortgages and other notes payable (attach schedule) 22 Other liabilities (describe ▶) 23 Total liabilities (add lines 17 through 22)	17	Accounts payable and a	accrued expenses			
19 Deferred revenue  10 Loans from officers, directors, trustees, and other disqualified persons  21 Mortgages and other notes payable (attach schedule)  22 Other liabilities (describe ▶			-			
Total liabilities (add lines 17 through 22)						
Total liabilities (add lines 17 through 22)	20					_ ↓
Total liabilities (add lines 17 through 22)	21	•				$\neg$
Total liabilities (add lines 17 through 22)	3 22				·	$\neg$
Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31  4 Unrestricted  5 Temporarily restricted  6 Permanently restricted  7 Capital stock, trust principal, or current funds  8 Paid-in or capital surplus, or land, bldg and equipment fund  9 Retained earnings, accumulated income, endowment, or other funds  30 Total net assets or fund balances (see instructions)  1 Total liabilities and net assets/fund balances (see instructions)  25,012,763  43,058,086.   27,012,763  43,058,086.   28 Paid-in or capital surplus, or land, bldg and equipment fund  29 Retained earnings, accumulated income, endowment, or other funds  30 Total net assets or fund balances (see instructions)  25,012,763  43,058,086.   25,012,763  43,058,086.   25,012,763  43,058,086.   25,012,763  43,058,086.   25,012,763  43,058,086.  27,012,763  43,058		(				□
Foundations that follow SFAS 117, check here	23	Total liabilities (add line	es 17 through 22)	0.	(	).
and complete lines 24 through 26, and lines 30 and 31  24 Unrestricted		Foundations that follow	w SFAS 117, check here	-		7
Temporarily restricted  Foundations that do not follow SFAS 117, check here ► X  and complete lines 27 through 31.  Capital stock, trust principal, or current funds  Retained earnings, accumulated income, endowment, or other funds  Total net assets or fund balances (see instructions)  Total liabilities and net assets/fund balances (see instructions)  Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)  Enter amount from Part I, line 27a  Other increases not included in line 2 (itemize) ► ATCH 10	တ္ဆ					1
Temporarily restricted  Foundations that do not follow SFAS 117, check here ► X  and complete lines 27 through 31.  Capital stock, trust principal, or current funds  Retained earnings, accumulated income, endowment, or other funds  Total net assets or fund balances (see instructions)  Total liabilities and net assets/fund balances (see instructions)  Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)  Enter amount from Part I, line 27a  Other increases not included in line 2 (itemize) ► ATCH 10	اکا	Unrestricted	-			
26 Permanently restricted	<u>m</u> 25				-	¬
Part III Analysis of Changes in Net Assets or Fund Balances  1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).  2 Enter amount from Part I, line 27a.  3 Other increases not included in line 2 (itemize) ▶  4 Add lines 1, 2, and 3.  5 Decreases not included in line 2 (itemize) ▶  ATCH 10  4 3,058,086.  1 25,012,763.  2 27,369,665.  3 4 52,382,428.  5 9,324,342		Permanently restricted				
Part III Analysis of Changes in Net Assets or Fund Balances  1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).  2 Enter amount from Part I, line 27a.  3 Other increases not included in line 2 (itemize) ▶  4 Add lines 1, 2, and 3.  5 Decreases not included in line 2 (itemize) ▶  ATCH 10  4 3,058,086.  1 25,012,763.  2 27,369,665.  3 4 52,382,428.  5 9,324,342	בן בי					7 1
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Part III Analysis of Changes in Net Assets or Fund Balances  1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).  2 Enter amount from Part I, line 27a.  3 Other increases not included in line 2 (itemize) ▶  4 Add lines 1, 2, and 3.  5 Decreases not included in line 2 (itemize) ▶  ATCH 10  4 3,058,086.  1 25,012,763.  2 27,369,665.  3 4 52,382,428.  5 9,324,342	98 29					7 1
Part III Analysis of Changes in Net Assets or Fund Balances  1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).  2 Enter amount from Part I, line 27a.  3 Other increases not included in line 2 (itemize) ▶  4 Add lines 1, 2, and 3.  5 Decreases not included in line 2 (itemize) ▶  ATCH 10  4 3,058,086.  1 25,012,763.  2 27,369,665.  3 4 52,382,428.  5 9,324,342	۲ ع		I I	25,012,763.	43,058,086	<u>,                                    </u>
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Part III Analysis of Changes in Net Assets or Fund Balances  1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).  2 Enter amount from Part I, line 27a	ء. ا			25,012,763.	43,058,086	j.
1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).       1 25,012,763         2 Enter amount from Part I, line 27a.       2 27,369,665         3 Other increases not included in line 2 (itemize) ▶       3 4 52,382,428         4 Add lines 1, 2, and 3.       4 52,382,428         5 Decreases not included in line 2 (itemize) ▶       ATCH 10       5 9,324,342	Part II				<u>.</u> ,	
end-of-year figure reported on prior year's return).					nust agree with	
2 Enter amount from Part I, line 27a.       2 27,369,665         3 Other increases not included in line 2 (itemize) ▶       3         4 Add lines 1, 2, and 3.       4 52,382,428         5 Decreases not included in line 2 (itemize) ▶       ATCH 10       5 9,324,342						25,012,763.
3 Other increases not included in line 2 (itemize) ▶  4 Add lines 1, 2, and 3		•			· · · · · · · · · <del>  -</del>	07 060 665
4 Add lines 1, 2, and 3					· · · · · · · · · · · · · · · · · · ·	
5 Decreases not included in line 2 (itemize) ► ATCH 10 5 9,324,342			· · · · · · · · · · · · · · · · · · ·			
5 Decreases not moraded in line 2 (norm25)*						0 204 242
				line 5) - Part II, column /h		10 050 006

<b>.</b>	_	
-aa	ıe	

Part IV Capital Gain	s and Losses for Tax on Inv	vestment Income			
(a) List and d	escribe the kind(s) of property sold (for	example, real estate,	(b) How acquired P - Purchase	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
COR DADE TV COU	brick warehouse, or common stock, 200	U SRS WILC CO)	D - Donation	(110 , 40), 31 /	(   125), ). )
1 a SEE PART IV SCH	SDOPE		<del> </del>		-
<u>b</u>			<del>                                     </del>		
<u> </u>	-		<del> </del>		
d			<del>  -</del>		
e	(f) Depreciation allowed	(g) Cost or other basis		(h) Gain or (lo	(SS)
(e) Gross sales price	(i) Depreciation allowed (or allowable)	plus expense of sale		((e) plus (f) min	
a		-			
b					
<u>c</u>			<del> </del>		<del></del>
d		<del></del>		<u> </u>	<u> </u>
Complete only for assets	showing gain in column (h) and owne	d by the foundation on 12/31/69		Counce (Col. (b) ar	our minus
Complete only for decide	(j) Adjusted basis	(k) Excess of col (i)		Gains (Col (h) ga (k), but not less t	
(i) FMV as of 12/31/69	as of 12/31/69	over col (j), if any		Losses (from co	
a			<del></del>		
b		<del></del>		<del></del>	
<u>c</u>		-			
<u>d</u>					<del></del>
	, If a	also enter in Part I, line 7			
2 Capital gain net income	a or (not capital loss)	(loss), enter -0- in Part I, line 7	2		-16,594.
3 Net short-term capital	gain or (loss) as defined in section		<del></del>		
		instructions If (loss), enter -0- in $)$			
<u>-</u>		ſ	3		0.
		educed Tax on Net Investment I	ncome	-	·· <del></del> ·
	r the section 4942 tax on the distri	butable amount of any year in the b	ase perior	J2	Yes X No
	sn't qualify under section 4940(e)			<u>.</u>	<del></del>
1 Enter the appropriate a	T	ear, see the instructions before mak	ing any en T	(d)	
Base period years	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets		Distribution ra (col (b) divided by	
Calendar year (or tax year beginning in)	,,	4,694,698.		(cor (b) divided by	COI (C))
2016 2015		1,021,000			
2014					
2013					
2012					
	<u> </u>				
2 Total of line 1, column	(d)		2		0.
		de the total on line 2 by 5 0, or by			
		e if less than 5 years	3		
•					
4 Enter the net value of r	noncharitable-use assets for 2017	from Part X, line 5	4	33,	720,324.
5 Multiply line 4 by line 3	3		5		
					7,302.
		)			
7 Add lines 5 and 6, , .			7		7,302.
8 Enter qualifying distribu	utions from Part XII, line 4		8		694,453.
If line 8 is equal to or Part VI instructions	greater than line 7, check the bo	ox in Part VI, line 1b, and complete	tnat par	using a 1% ta	ax rate See th

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Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	instru	ction	s)	
1a	Exempt operating foundations described in section 4940(d)(2), check here  and enter "N/A" on line 1			ĺ	
	Date of ruling or determination letter (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check		7,3	302.	
	here $\blacktriangleright$ $X$ and enter 1% of Part I, line 27b				
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of	····	·		
	Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)				
3	Add lines 1 and 2		7,3	302.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			0.	
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0		/,:	302.	
6	Credits/Payments			- {	
а	2017 estimated tax payments and 2016 overpayment credited to 2017 6a 7,000.			1	
b	Exempt foreign organizations - tax withheld at source				
С	Tax paid with application for extension of time to file (Form 8868) 6c 302.				
d	Backup withholding erroneously withheld				
7	Total credits and payments Add lines 6a through 6d			302.	
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed				
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid				
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ Refunded ▶ 11				
	t VII-A Statements Regarding Activities	Γ		Γ	
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it	4.	Yes	No X	
	participate or intervene in any political campaign?	1a		<del>  ^</del>	
Ь	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the	1ь		l <sub>x</sub>	
	instructions for the definition	10		1	
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials				
	published or distributed by the foundation in connection with the activities	1c	N/A		
	Did the foundation file Form 1120-POL for this year?	<del>ان</del>	N/A		
а	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  (1) On the foundation ▶ \$				
	e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed				
е	on foundation managers \$				
_	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X	
2	If "Yes," attach a detailed description of the activities				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of				
,	incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X	
42	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		Х	
→a h	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N/A		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		Х	
•	If "Yes," attach the statement required by General Instruction T				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
•	By language in the governing instrument, or				
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that				
	conflict with the state law remain in the governing instrument?	6_	Х	<u> </u>	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	L	
	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ CT,				
	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General				
-	(or designate) of each state as required by General Instruction G <sup>2</sup> If "No," attach explanation	8b	Х	<u> </u>	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or				
•	4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV if "Yes,"				
	complete Part XIV	9		Х	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their				
	names and addresses	10		X	
	F	m 99	0-PF	(2017)	

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Pai	t VII-A Statements Regarding Activities (continued)	MA. 3 %	-	
	•		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11	<u></u>	, X
12				
	person had advisory privileges? If "Yes," attach statement See instructions	12	X	<u> </u>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► N/A	_		
14	The books are in care of ► MARCUM LLP  Telephone no ► 631-414-	-4000	)	
	Located at ▶10 MELVILLE PARK ROAD MELVILLE, NY ZIP+4 ▶ 11747			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here		🕨	$\cdot$
	and enter the amount of tax-exempt interest received or accrued during the year			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority		Yes	No
	over a bank, securities, or other financial account in a foreign country?	16		Х
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of			
	the foreign country ▶			題
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly)		# 7 . · · ·	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a	* X	\$	
	disqualified person?			70.2
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			26.5.
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	整沙		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for		N. W.	
	the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			E. 1
	foundation agreed to make a grant to or to employ the official for a period after			<b>第</b>
	termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	3.5	<b>3</b>	
	section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance, check here	##\?		
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			
	were not corrected before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private	法法	<b>秦</b> 经	
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))		壁	27.4
а	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2017?			
	If "Yes," list the years	E V	and a	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer "No" and attach statement - see instructions )	2b		X
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	※ 袋		
	<b>&gt;</b>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise		N. Y.	10
	at any time during the year?	100 TO	£2	
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or	<b>沙型</b>	實家	
	disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the		<b>E</b>	
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of	暴門	學學	
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the		1	2.1
	foundation had excess business holdings in 2017 )	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its	30.2	<u> </u>	
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		Х
		m 990	)-PF	(2017)

Pai	t VII-B	Statements Regarding Activities	for Which Form	4720 May Be Rec	uired (continued)	
5a	During the	e year, did the foundation pay or incur any am	ount to			Yes No
	(1) Carry	on propaganda, or otherwise attempt to influe	ence legislation (section	on 4945(e))?	. Yes X N	
	(2) Influe	nce the outcome of any specific public ele	ection (see section	4955), or to carry or	າ,	
	dırecti	y or indirectly, any voter registration drive?			. Yes X N	
	(3) Provid	le a grant to an individual for travel, study, or o	other similar purposes	7	Yes X N	
		le a grant to an organization other than a				
		n 4945(d)(4)(A)? See instructions				
		e for any purpose other than religious, cl				
	purpo	ses, or for the prevention of cruelty to children	or animals?		. Yes X No	
b	If any an	swer is "Yes" to 5a(1)-(5), did any of the	transactions fail to	qualify under the e	xceptions described in	
	Regulation	ns section 53 4945 or in a current notice regar	dıng dısaster assıstan	ice? See instructions.		. 5b N/A
•	Organizati	ons relying on a current notice regarding disa	ster assistance, check	here , , ,		
С	If the ans	swer is "Yes" to question 5a(4), does the	foundation claim e	exemption from the t	ax	
	because it	maintained expenditure responsibility for the	grant?		. Yes No	
	If "Yes," a	ttach the statement required by Regulations s	ection 53 4945-5(d)			
6a	Did the fo	oundation, during the year, receive any fur	nds, directly or indir	ectly, to pay premiur	ms	
	on a perso	onal benefit contract?			. Yes X No	
b	Did the for	undation, during the year, pay premiums, dire	ectly or indirectly, on a	personal benefit contra	act?	. 6b X
		6b, file Form 8870				
7a		e during the tax year, was the foundation a p				
		d the foundation receive any proceeds or har Information About Officers, Directo				
		and Contractors	•			pioyees,
_1_	List all of	ficers, directors, trustees, foundation r				
		(a) Name and address .	(b) Title, and average hours per week	(c) Compensation (if not paid,	(d) Contributions to employee benefit plans	(e) Expense account, , other allowances
	<u> </u>		devoted to position	enter -0-)	and deferred compensation	
ATC	H 13			0.	0.	0.
					-	
_						
						<u> </u>
		ation of five highest-paid employees	(other than thos	se included on line	e 1 - see instructi	ons). If none, enter
<del></del> -	"NONE."		(b) Title, and average	<del></del>	(d) Contributions to	
(a)	Name and a	ddress of each employee paid more than \$50,000	hours per week	(c) Compensation	employee benefit plans and deferred	<ul><li>(e) Expense account, other allowances</li></ul>
			devoted to position		compensation	
	NONE		•			
	NONE	<u> </u>		<u> </u>		
		<del></del>				
					-	<del></del>
				,		
		<del></del>	·· ··			<del></del>
		<del></del>				
		<del></del>				
Total	number of	f other employees paid over \$50,000.				· ———————
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3 NONE

All other program-related investments. See instructions

Total. Add lines 1 through 3...

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Par	t X	Minimum Investment Return (All domestic foundations must complete this part. Fore see instructions.)	ıgn fou	ndations,
1	Fair	market value of assets not used (or held for use) directly in carrying out charitable, etc.		
	purp	oses		
а	Aver	age monthly fair market value of securities	1a	32,724,234.
b	Aver	age of monthly cash balances	1b	1,509,597.
C	Fair	market value of all other assets (see instructions)	1c	
d	Tota	I (add lines 1a, b, and c)	1d	34,233,831.
е	Redu	iction claimed for blockage or other factors reported on lines 1a and		
	1c (a	attach detailed explanation)		
2		uisition indebtedness applicable to line 1 assets	2	
3	Subt	tract line 2 from line 1d	3	34,233,831.
4	Cash	deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see		
	ınstrı	uctions)	4	513,507.
5	Net	value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	33,720,324.
6	Mini	mum investment return. Enter 5% of line 5	6	1,686,016.
Pai	t XI	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating four and certain foreign organizations, check here ▶ and do not complete this part)	ndations	
1	Mınır	mum investment return from Part X, line 6	1	1,686,016.
2 a		on investment income for 2017 from Part VI, line 5		
	Incor	me tax for 2017 (This does not include the tax from Part VI) 2b		
		lines 2a and 2b	2c	7,302.
3		butable amount before adjustments Subtract line 2c from line 1	3	1,678,714.
4		overies of amounts treated as qualifying distributions	4	
5		lines 3 and 4	5	1,678,714.
6		uction from distributable amount (see instructions)	6	
7		ributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
•		1	7	1,678,714.
Pai	t XII	Qualifying Distributions (see instructions)		
1		unts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	•	enses, contributions, gifts, etc total from Part I, column (d), line 26	1a	2,694,453.
b		ram-related investments - total from Part IX-B	1b	
2	Amo	unts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purp	oses	2	
3	Amo	unts set aside for specific charitable projects that satisfy the		
а	Suita	ability test (prior IRS approval required)	3a	
b	Cash	n distribution test (attach the required schedule)	3b	
4		fying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,694,453.
5	Four	ndations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
		r 1% of Part I, line 27b See instructions	5	7,302.
6	Adju	sted qualifying distributions. Subtract line 5 from line 4	6	2,687,151.
	Note	e: The amount on line 6 will be used in Part V, column (b), in subsequent years when ca	lculatin	g whether the foundation
		qualifies for the section 4940(e) reduction of tax in those years		

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## ASNESS FAMILY FOUNDATION

For	n 990-PF (2017)				Page 9
Pa	rt XIII Undistributed Income (see insti	ructions)			
1	Distributable amount for 2017 from Part XI,	(a) Corpus	(b) Years prior to 2016	(c) 2016	( <b>d)</b> 2017
	line 7				1,678,714.
2	Undistributed income, if any, as of the end of 2017				
	• •			45,281.	ľ
t	Enter amount for 2016 only				
	Excess distributions carryover, if any, to 2017				-
	From 2012				,
t	From 2013	1			
	From 2014	]			,
	From 2015				
	From 2016	]			!
f	Total of lines 3a through e	0.			
	Qualifying distributions for 2017 from Part XII,				,
	line 4 ▶ \$2,694,453.				,
а	Applied to 2016, but not more than line 2a			45,281.	į.
ŀ	Applied to undistributed income of prior years				
	(Election required - see instructions)				
c	Treated as distributions out of corpus (Election				
	required - see instructions)				· · · · · · · · · · · · · · · · · · ·
c	Applied to 2017 distributable amount				1,678,714.
e	Remaining amount distributed out of corpus	970,458.			1
5	Excess distributions carryover applied to 2017				
	(If an amount appears in column (d), the same				,
6	amount must be shown in column (a) )  Enter the net total of each column as				
	indicated below:				
а	Corpus Add lines 3f, 4c, and 4e Subtract line 5	970,458.			
t	Prior years' undistributed income Subtract				
	line 4b from line 2b				
C	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				,
d	Subtract line 6c from line 6b Taxable				
	amount - see instructions				i
е	Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see				
	Instructions		·····		
f	Undistributed income for 2017 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				
7	Amounts treated as distributions out of corpus				1
•	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (Election may be				
	required - see instructions)				
8	Excess distributions carryover from 2012 not			_	
-	applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2018.				
	Subtract lines 7 and 8 from line 6a	970,458.			
10	Analysis of line 9				
а	Excess from 2013				
b	Excess from 2014				,

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c Excess from 2015 . . . d Excess from 2016 . . .

e\_Excess from 2017 . . .

970,458.

Pa	rt XIV Private Op	erating Foundations	(see instructions a	nd Part VII-A, questi	on 9)	NOT APPLICABLE
	If the foundation has					
ıa	foundation, and the ruling				- 1	
h	Check box to indicate v	=				)(3) or 4942(j)(5)
	Official box to indicate t	Tax year	To a private operating	Prior 3 years		
2 a	Enter the lesser of the ad-	(a) 2017	(b) 2016	(c) 2015 ·	(d) 2014	(e) Total
	justed net income from Part  I or the minimum investment		(5) 2010	(0) 2013	(0) 2014	<del>                                     </del>
	return from Part X for each					
	year listed					
b	85% of line 2a				<del></del>	
С	Qualifying distributions from Part					
	XII, line 4 for each year listed .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made					
·	directly for active conduct of					
	exempt activities Subtract line					
3	2d from line 2c					
•	alternative test relied upon					1
а	"Assets" alternative test - enter					
	(1) Value of all assets					<del></del>
	(2) Value of assets qualifying under section					
	4942(j)(3)(B)(i)					
b	"Endowment" alternative test-					
	enter 2/3 of minimum invest-					
	ment return shown in Part X, line 6 for each year listed					
С	"Support" alternative test - enter					
	(1) Total support other than					
	gross investment income					
	(interest, dividends, rents, payments on securities					
	loans (section 512(a)(5)),					
	or royalties)					<del></del>
	(2) Support from general public and 5 or more					İ
	exempt organizations as					
	(j)(3)(B)(III)					
	(3) Largest amount of sup-				'	
	port from an exempt organization					
	(4) Gross investment income.					<u> </u>
Рa				only if the founda	tion had \$5,000 o	r more in assets at
	any time d	uring the year - see	instructions.)			
1	Information Regarding	g Foundation Manage	rs:			
а	List any managers of before the close of any	the foundation who he tax year (but only if the	nave contributed more ley have contributed n	e than 2% of the tota nore than \$5,000) (S	al contributions receiv ee section 507(d)(2))	ved by the foundation
	CLIFFORD S.					
b	List any managers of	the foundation who	own 10% or more o	f the stock of a corp	oration (or an equall	y large portion of the
	ownership of a partner	rship or other entity) of	which the foundation	has a 10% or greater	interest	
	N/A				•	
2	Information Regarding	g Contribution, Grant	, Gift, Loan, Scholarsh	ip, etc., Programs:	•	
	Check here ► X if t	he foundation only i	makes contributions	to preselected char	itable organizations	and does not accept
	unsolicited requests for	or funds If the found	ation makes gifts, gra	ints, etc., to individua	ls or organizations u	nder other conditions,
	complete items 2a, b,					
a	The name, address, a	nd telephone number	or email address of th	ne person to whom app	olications should be add	dressed
b	The form in which app	lications should be sub	omitted and information	on and materials they	snoula include	
С	Any submission deadli	nes			-	
Ч	Any restrictions or li	mitations on awards	such as by geogra	aphical areas, charita	able fields, kinds of	institutions, or other
_	factors		33"			•

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Part XV Supplementary Information (continued)									
3 Grants and Contributions Paid During the Year or Approved for Future Payment  Recipient If recipient is an individual, show any relationship to any foundation manager or substantial contribution  Name and address (home or business) Purpose of grant or contribution  Amount  Amount									
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount					
Name and address (home or business)	any foundation manager or substantial contributor	recipient	contribution						
a Paid during the year	i	İ							
SEE	NONE	PC	SEE ATTACHMENT	2,663,550.					
ATTACHMENT									
•									
		İ							
		1							
		<u></u>							
Total			▶ 3a	2,663,550.					
<b>b</b> Approved for future payment									
ATCH 14									
		İ							
Total	<u> </u>	1		9,400,000.					
Total	<u></u>	<u> </u>	<del>-</del> 30	57 1007 000 PE (0017					

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Part	XVI-A	Analysis of Income-Prod	ucing Acti	ivities			
		ints unless otherwise indicated		ated business income	Excluded by	section 512, 513, or 514	(e) Related or exempt
1 Pr	ogram servi	Ce tevenile	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	function income (See instructions)
a	ogram scrvi						
b							
c							
ď							
e							
f				,			
g	Fees and co	ontracts from government agencies					
•	embership d	dues and assessments					
	•	ngs and temporary cash investments			14	14,022.	
		Interest from securities			14	724,154.	
		ome or (loss) from real estate			<u> </u>		
а	Debt-finan	ced property			ļ		
b	Not debt-fi	nanced property				<u></u>	
6 Ne	t rental incor	ne or (loss) from personal property					
7 Ot	her investm	nent income			1.0	16 504	
8 Ga	ain or (loss) fr	rom sales of assets other than inventory			18	-16,594.	
9 Ne	et income or	(loss) from special events					
<b>10</b> Gr	oss profit o	r (loss) from sales of inventory					
11 Ot	her revenue	a			_		
b							
С							<u> </u>
d							
e						721,582.	<del></del>
		columns (b), (d), and (e)		V		<del> </del>	721,582.
		e 12, columns (b), (d), and (e) n line 13 instructions to verify calcu					,21,0001
	XVI-B	Relationship of Activities		ccomplishment of Ex	cempt Puri	ooses	
Line		plain below how each activity complishment of the foundation					
_							
					<del></del>		
_							
				<u> </u>	(	<u> </u>	
		<u> </u>					
				·			

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Form **990-PF** (2017)

	Information R	egarding Trai	refere to and Tra	nonatio	ne and l	Deletie ne b	ina Mith Nanc	harit	- 1-1-	
	<b>Exempt Organ</b>		isieis to allu IIa	IISACUC	nis anu i	Kelationsii	ips with Nonc	marii	able	
1 Did the o	rganization direct	ly or indirectly e	ngage in any of the f	following	with any	other organiz	ation described		Yes	No
in section	n 501(c) (other	than section 5	501(c)(3) organizatio	ons) or	in section	527, relati	ng to political	-		İ
organizati										
	•	-	noncharitable exem	. •						
								1a(1)	-	X
• •					• • • • •			1a(2)		<del>  ^</del>
b Other tran			-4					16/1)		X
			pt organization le exempt organization							Х
			ssets					1b(3)		Х
										Х
										Х
			or fundraising solicita							X
_		_	, other assets, or paid							X
			" complete the follo							
value of t	he goods, other a	assets, or service	es given by the repo ment, show in colun	rting tou	ndation if	the goods of	on received less	tnan	rair m	ıarke:
	any transaction of		ncharitable exempt organiza				transactions, and shall			
<u> </u>	N/A	(c) Name of no	Terramable exempt organiza	ation	N/A	otton or transiers,	transactions, and sna	ing and	ngeme	110
	.,	-		<u> </u>						
						•				
			·				<del></del>			
						<del></del>				
						-				
			<u> </u>							
				•						
described	in section 501(c)	(other than section	ated with, or related on 501(c)(3)) or in se				_	Ye	s X	No
	complete the follow  Name of organization		(b) Type of organ	nization		(c) [	Description of relations	hip		
			····						<u> </u>	
	- No. of account deals	15-1 1 5	d the setup and day access		adulas and ata	tomonto and to th	a host of my knowledge	o and h	oliof it	us tous
			d this return, including accompayer) is based on all information					e and b	ener, it	15 1100
Sign			1	ì	TRUSTE	E	May the IRS			
Here Signate	e of officer or trustee	<del>-</del>	Date	!	Title		with the pre	_	Yes	below? No
Signal	yo or ornor or trustee		Date				Coe manacion		J . V.5 L	
Pr	int/Type preparer's nai	me	Preparer's signature	-1		Date / /	Check If F	NIT		
Paid CA	AROLYN MAZZI		(arolen )	Kaco	41	8/5/202	self-employed	P001		3
Preparer Fu	rm's name MA	RCUM LLP			OX	/ / Fi	m's EIN ▶ 11-19	98632	23	

Form **990-PF** (2017)

631 414-4000

MELVILLE, NY

Use Only Firm's address ► 10 MELVILLE PARK RD

Phone no

11747

2017 FORM 990-PF ASNESS FAMILY FOUNDATION 81-4745940
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

	CAPITAL GAINS AND LOSSES FOR TAX ON INVEST  Kind of Property  Description			or '	Date acquired	Date sold		
Gross sale price less expenses of sale	Deprectation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	D	Gain or (loss)	
2,623,862.		GS SHORT DUI PROPERTY TY 2,640,456.	RATION MUTUA	AL FUND		P	VAR -16,594.	VA
OTAL GAIN(LO	oss)						-16,594.	

## Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service Name of the organization

## **Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF. ► Go to www.irs.gov/Form990 for the latest information. OMB No 1545-0047

**2017** 

Employer identification number

ASNESS FAMILY FOUNDA	TION	81-4745940			
Organization type (check one	)	<u> </u>			
Filers of:	Section:				
Form 990 or 990-EZ	501(c)( ) (enter number) organization				
	4947(a)(1) nonexempt charitable trust not treated as a private for	undation			
	527 political organization				
Form 990-PF	X 501(c)(3) exempt private foundation				
	4947(a)(1) nonexempt charitable trust treated as a private founda	ition			
	501(c)(3) taxable private foundation	•			
· =	covered by the <b>General Rule</b> or a <b>Special Rule</b> .  (8), or (10) organization can check boxes for both the General Rule and a	Special Rule See			
	filing Form 990, 990-EZ, or 990-PF that received, during the year, contributer property) from any one contributor. Complete Parts I and II. See instructiontributions.				
Special Rules					
regulations under se 13, 16a, or 16b, and	described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 fections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 d that received from any one contributor, during the year, total contributions of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1	or 990-EZ), Part II, line s of the greater of (1)			
For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III					
For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year					
990-EZ, or 990-PF), but it mus	isn't covered by the General Rule and/or the Special Rules doesn't file Schoot answer "No" on Part IV, line 2, of its Form 990, or check the box on line certify that it doesn't meet the filing requirements of Schedule B (Form 990)	H of its Form 990-EZ or on its			

JSA

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF

Name of organization ASNESS FAMILY FOUNDATION

Employer identification number 81-4745940

Part I	Contributors (see instructions) Use duplicate copies	s of Part I if additional space is no	eeded
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CLIFFORD S. ASNESS  C/O MARCUM LLP 10 MELVILLE PARK RD  MELVILLE, NY 11747	\$ 29,357,775.	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<del></del>		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
,		\$	Person Payroll Noncash (Complete Part II for noncash contributions)

Name of organization ASNESS FAMILY FOUNDATION

Employer identification number 81-4745940

D 4 II	Names & Dunnard	- (	Llas duninasta asr	area of Dort II if a	dditional space is needed
Fairu	Noncash Property	(566 11150 0000015)	ose uupiicale cop	DIES OFFAILII II a	dullional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_1_	837,000 GS ACTIVEBETA EMG MK EQ		
		\$\$	12/19/2017
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		     \$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		   \$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received

Employer identification number

Name of O	Igamization ASNESS FAMILI FOUNDATI	OIN		81-4745940						
	Entertain Inc.	4-!4								
Part III	Exclusively religious, charitable, etc. (10) that total more than \$1,000 for the following line entry. For organizate contributions of \$1,000 or less for the Use duplicate copies of Part III if addit	the year from any lons completing Par e year. (Enter this in	one contributor. ( t III, enter the total formation once. S	Complete columns (a) through (e) and of exclusively religious, charitable, etc.						
(a) No from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held						
		<u> </u>								
			· <del></del>							
		(e) Transf	er of gift							
	Transferee's name, address, ar	nd ZIP + 4	Relatio	nship of transferor to transferee						
		<del> </del>								
	<del></del>	<del></del>								
		· · · · · · · · · · · · · · · · · · ·		····						
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held						
		-								
	(e) Transfer of gift									
	(e) Transier of gire									
	Transferee's name, address, ar	nd ZIP + 4	Relatio	nship of transferor to transferee						
			·- ·- ·-							
(a) No from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held						
		<del></del>								
		(e) Transfer of gift								
	Transferee's name, address, ar	nd ZIP + 4	Relation	nship of transferor to transferee						
				-						
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held						
		<del></del> -								
			<del></del>							
		(a) Transf	er of gift							
	(e) Transfer of gift									
	Transferee's name, address, ar	nd ZIP + 4	Relatio	nship of transferor to transferee						

ATTACHMENT 1

- INTEREST ON TEMPORARY CASH INVESTMENTS PART I FORM 990PF,

INVESTMENT INCOME EXPENSES PER BOOKS REVENUE AND

28. 13,994. TOTAL 14,022.

INTEREST INCOME -A/C 633-3 INTEREST INCOME -A/C 634-1

DESCRIPTION

28. 13,994.

14,022.

172950

ATTACHMENT 1 PAGE 23

# FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

	NET	INVESTMENT	INCOME			218,086.	.54.	   
REVENUE	. AND	EXPENSES	PER BOOKS	331,9	174,1	218,0	724,154	
							TOTAL	
			DESCRIPTION	ACT EMRG	DIVIDEND INCOME- GS ACT INTL EQ	SHT		

ASNESS FAMILY FOUNDATION

	I
FEES	
LEGAL	
1	
ĭ	
PART	
990PF,	
FORM	

CHARITABLE PURPOSES	20,638.	20,638.
ADJUSTED NET INCOME		
NET INVESTMENT INCOME		
REVENUE AND EXPENSES PER BOOKS	20,638.	20,638.
		TOTALS
DESCRIPTION	LEGAL FEES	

## FORM 990PF, PART I - ACCOUNTING FEES

CHARITABLE PURPOSES	7,725.	7,725.
ADJUSTED NET INCOME		
NET INVESTMENT INCOME	7,725.	7,725.
REVENUE AND EXPENSES PER BOOKS	15,450.	15,450.
		TOTALS
DESCRIPTION	ACCOUNTING FEES	

ATTACHMENT 4 PAGE 26 81-4745940

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

	NET	INVESTMENT	INCOME
REVENUE	AND	EXPENSES	PER BOOKS
			DESCRIPTION

TINCOME	13.	13.
FEN BOOMS	13.	13.
DESCRIPTION	INVESTMENT MANAGEMENT FEE	TOTALS

81-4745940

ATTACHMENT 6

ASNESS FAMILY FOUNDATION

## FORM 990PF, PART I - INTEREST EXPENSE

	NET	INVESTMENT	INCOME	20.	20.
REVENUE	AND	EXPENSES	PER BOOKS	20.	20.
					TOTALS
			DESCRIPTION	INTEREST EXPENSE	

ATTACHMENT 7

FORM 990PF, PART I - TAXES

REVENUE AND

EXPENSES

PER BOOKS

FEDERAL EXCISE TAXES

DESCRIPTION

7,255.

TOTALS

81-4745940

## - OTHER EXPENSES PART I FORM 990PF,

CHARITABLE	PURPOSES	850.	190.	1,500.	2,540.
NET INVESTMENT					226.
REVENUE AND EXPENSES	PER BOOKS 226.	850.	190.	1,500.	2,766.
					TOTALS
	DESCRIPTION BANK CHARGES	IRS USER FEE	MISCELLANEOUS EXPENSE	FUND REGISTRATION FEES	

X.	
STOCK	
CORPORATE	
I	
ΙI	
PART	
990PE,	
FORM	

ENDING <u>FMV</u>	8,262,800. 30,466,800. 9,827,676. 7,530,000.	56,087,276.
ENDING BOOK VALUE	6,276,913. 20,033,243. 10,037,630. 6,275,377.	42,623,163.
BEGINNING BOOK VALUE	6,276,913.	12,552,290.
DESCRIPTION	GS ACTIVEBETA EMERG MKTS GS ACTIVEBETA EMERG MKTS- D GS SHORT DURATION GS ACTIVEBETA INT'L EQ	TOTALS

81-4745940

ATTACHMENT 10

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

ADJUSTMENT TO FUND BALANCE FOR DONATED

STOCK

9,324,342.

TOTAL

9,324,342.

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR QUALIFYING DISTRIBUTION

DISTRIBUTION TO DONOR ADVISED FUND FORM 990-PF; PART VII-A, LINE 12-

DURING FISCAL YEAR FEBRUARY 28, 2018, THE ASNESS FAMILY FOUNDATION HAD DISTRIBUTIONS TO A DONOR ADVISED FUND IN WHICH A DISQUALIFED PERSON HAD ADVISORY PRIVILEGES. THE DISTRIBUTIONS TO THE DONOR ADVISED FUND WERE TREATED BY THE FOUNDATION AS QUALIFYING DISTRIBUTIONS SINCE ALL FUNDS DISTRIBUTED WERE USED TO ACCOMPLISH CHARITABLE PURPOSES UNDER IRC SEC. 170(C)(2)(B).

## ATTACHMENT 12

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR SECTION 170 C 2B

DISTRIBUTION TO DONOR ADVISED FUND

SEE ATTACHED

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES	CERS, DIRECTORS, AND TRUSTEES		ATTACH	ATTACHMENT 13
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CLIFFORD S. ASNESS C/O MARCUM LLP 10 MELVILLE PARK RD MELVILLE, NY 11747	TRUSTEE 5.00	Ö	.0	O
	GRAND TOTALS	0.	0.	0

ATTACHMENT 13 PAGE 35

990-PF
FORM
2017

81-4745940

ASNESS FAMILY FOUNDATION

FORM 990PE, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 14

AMOUNT	200,000	1,000,000	800,000.	5,000,000	2,400,000	9,400,000.
PURPOSE OF GRANT OR CONTRIBUTION	HIGH STANDARD EDUCATIONAL PROGRAMS	SUPPORT FOR A FREER SOCIETY	HEALTH CARE SERVICES	EDUCATIONAL	THINK TANK FOR PUBLIC POLICY	TOTAL CONTRIBUTIONS APPROVED
RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	NONE PC	NONE PC	NONE PC	NONE PC	PC	
RECIPIENT NAME AND ADDRESS	GREENWICH COUNTRY DAY SCHOOL PO BOX 623 GREENWICH, CT 06836	INSTITUTE FOR HUMANE STUDIES 3434 WASHINGTON BLVD MS 1C5 ARLINGTON, VA 22201	NANTUCKET COTTAGE HOSPITAL 57 PROSPECT STREET NANTUCKET, MA 02554	THE UNIVERSITY OF CHICAGO 5235 S HARPER COURTH CHICAGO, IL 60615	AMERICAN ENTERPRISE INSTITUTE 1789 MASSACHUSETTS AVENUE NW WASHINGTON DC,, DC 20036	

3312MG M831 8/4/2020