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EXTENDED TO NOVEMBER 15, 2019

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

2018

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2018 or tax year beginning

, and ending

Name of foundation

JAMES P. GEISS AND
MARGARET Y. HSU FOUNDATION INC.

A Employer identification number

80-0005459

Number and street (or P.O. box number if mail is not delivered to street address)

12 ROSZEL ROAD STE A104

Room/suite

B Telephone number

609-795-7250

City or town, state or province, country, and ZIP or foreign postal code

PRINCETON, NJ 08540

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☒ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 3,037,426.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2,500,000.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

8,026.

8,026.

STATEMENT 1

4 Dividends and interest from securities

31,065.

31,065.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

-15.

0.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 552,669.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss)

11 Other income

229.

0.

STATEMENT 3

12 Total Add lines 1 through 11

2,539,305.

39,091.

13 Compensation of officers, directors, trustees, etc

10,000.

0.

10,000.

14 Other employee salaries and wages

22,300.

0.

22,300.

15 Pension plans, employee benefits

16a Legal fees

STMT 4

3,035.

0.

3,035.

b Accounting fees

STMT 5

6,489.

0.

6,489.

c Other professional fees

STMT 6

8,643.

6,243.

2,400.

17 Interest

18 Taxes

STMT 7

2,448.

320.

2,128.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 8

8,817.

0.

8,817.

24 Total operating and administrative expenses Add lines 13 through 23

61,732.

6,563.

55,169.

25 Contributions, gifts, grants paid

38,050.

38,050.

26 Total expenses and disbursements Add lines 24 and 25

99,782.

6,563.

93,219.

27 Subtract line 26 from line 12.

2,439,523.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

32,528.

c Adjusted net income (if negative, enter -0-)

N/A

G27 15

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			6,914.	6,812.	6,812.
	2 Savings and temporary cash investments			67,188.	1,700,257.	1,700,257.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges				1,022.	1,022.
	10a Investments - U S and state government obligations					
	b Investments - corporate stock STMT 10			263,993.	1,040,110.	1,040,110.
	c Investments - corporate bonds STMT 11			88,597.	185,978.	185,978.
	Liabilities	11 Investments land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 12				45,594.	101,554.	101,554.
14 Land, buildings, and equipment basis ▶ 1,693.						
Less accumulated depreciation ▶				452.	1,693.	1,693.
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)				472,738.	3,037,426.	3,037,426.
17 Accounts payable and accrued expenses				86.	91.	
18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			86.	91.		
Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.	24 Unrestricted			472,652.	3,037,335.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg, and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances			472,652.	3,037,335.	
31 Total liabilities and net assets/fund balances			472,738.	3,037,426.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	472,652.
2 Enter amount from Part I, line 27a	2	2,439,523.
3 Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	3	301,942.
4 Add lines 1, 2, and 3	4	3,214,117.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED GAIN(LOSS) ON INVESTMENTS	5	176,782.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,037,335.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 552,669.		552,684.	-15.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-15.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-15.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	24,350.	755,552.	.032228
2016	16,750.	743,657.	.022524
2015	26,093.	708,418.	.036833
2014	13,444.	730,328.	.018408
2013	27,470.	734,189.	.037415

2 Total of line 1, column (d)	2	.147408
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.029482
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,856,824.
5 Multiply line 4 by line 3	5	54,743.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	325.
7 Add lines 5 and 6	7	55,068.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	93,219.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	AVANOS MEDICAL INC. - 25 SHS	P	12/30/04	07/08/18
b	FIRST REP BK SF	P	02/23/18	04/12/18
c	GARRETT MOTION INC. - .50 SHS	P	05/02/03	09/28/18
d	KEY BANK NATL ASSN OH C/D	P	02/23/18	03/29/18
e	PIMCO FDS TOTAL RETURN	P	VARIOUS	07/09/18
f	PIONEER HIGH YIELD	P	VARIOUS	07/09/18
g	RESIDEO TECHNOLOGIES INC.	P	05/02/03	10/26/18
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,453.		543.	910.
b 249,000.		248,988.	12.
c 8.		1.	7.
d 249,000.		248,988.	12.
e 19,728.		20,895.	-1,167.
f 31,389.		33,266.	-1,877.
g 21.		3.	18.
h 2,070.			2,070.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			910.
b			12.
c			7.
d			12.
e			-1,167.
f			-1,877.
g			18.
h			2,070.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-15.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	}	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	325.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	325.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	325.
6 Credits/Payments			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	0.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	450.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments Add lines 6a through 6d	7	450.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	125.	
11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input checked="" type="checkbox"/> 125. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input checked="" type="checkbox"/> NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address GEISSFOUNDATION.US	X	
14 The books are in care of DORA CHING, TREASURER Telephone no 609-258-3795 Located at 12 ROSZEL ROAD STE A104, PRINCETON, NJ ZIP+4 08540		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country.		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here.	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		10,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]**Total number of others receiving over \$50,000 for professional services**

Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	N/A	
2		
	All other program-related investments See instructions	
3		
Total. Add lines 1 through 3		0.

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Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,287,335.
b	Average of monthly cash balances	1b	597,766.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,885,101.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,885,101.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	28,277.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,856,824.
6	Minimum investment return. Enter 5% of line 5	6	92,841.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	92,841.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	325.
b	Income tax for 2018. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	325.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	92,516.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	92,516.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	92,516.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	93,219.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	93,219.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	325.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	92,894.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2018)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				92,516.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4. ► \$ 93,219.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				92,516.
e Remaining amount distributed out of corpus	703.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	703.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	703.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018	703.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	1				1
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter					
(1) Value of all assets	1				1
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 16

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MODERN LANGUAGE ASSOCIATION OF AMERICA 85 BROAD STREET, SUITE 500 NEW YORK, NY 10004-2434	NONE	NC	SUBVENTION AWARD FOR APPROACHES TO TEACHING THE PLUM IN THE GOLDEN VASE	5,000.
UNIVERSITY OF BRITISH COLUMBIA 404-1871 WEST MALL VANCOUVER, BRITISH COLUMBIA, CANADA	NONE	NC	GRANT IN SUPPORT OF CONFERENCE	13,050.
CHINESE UNIVERSITY OF HONG KONG ART MUSEUM SHATIN, N.T., HONG KONG, HONG KONG	NONE	NC	GRANT TO SUPPORT ART EXHIBIT	20,000.
Total			3a	38,050.
b Approved for future payment				
UNIVERSITY OF WASHINGTON PRESS BOX 359570 SEATTLE, WA 98195	NONE	NC	SUBVENTION AWARD FOR JESUITS AND MATRIARCHS WORKSHOP	4,500.
UNIVERSITY OF WASHINGTON PRESS BOX 359570 SEATTLE, WA 98195	NONE	NC	SUBVENTION AWARD - ARCHITECTURE AND EMPIRE IN THE REIGN OF YONGLE	4,500.
CAMBRIDGE UNIVERSITY PRESS UNIVERSITY PRINTING HOUSE, SHAFTESBURY ROAD CAMBRIDGE, UNITED KINGDOM CB2 8BS	NONE	NC	SUBVENTION AWARD - THE CITY OF BLUE AND WHITE CHINESE PORCELAIN AND THE EARLY MODERN WORLD	4,000.
Total	SEE CONTINUATION SHEET(S)			3b 68,433.

JAMES P. GEISS AND
MARGARET Y. HSU FOUNDATION INC.

80-0005459

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLUMBIA UNIVERSITY PRESS 61 W 62 STREET, 3RD FLOOR NEW YORK, NY 10023	NONE	NC	SUBVENTION AWARD - BECOMING GUANYIN: WOMEN'S ARTISTIC DEVOTION IN LATE IMPERIAL CHINA	4,500.
COLUMBIA UNIVERSITY PRESS 61 W 62 STREET, 3RD FLOOR NEW YORK, NY 10023	NONE	NC	SUBVENTION AWARD - EXILE TO THE STAGE COSTUMING AND PERSONHOOD IN EARLY QING DRAMA	4,500.
PRINCETON UNIVERSITY, EAST ASIAN STUDIES DEPARTMENT JONES HALL, PRINCETON UNIVERSITY PRINCETON, NJ 08544	NONE	NC	MAPPING THE EMPIRE'S WATERY WAYS. THE CHINESE GRAND CANAL IN HISTORY, LITERATURE, AND ART	5,475.
UC IRVINE, REGENTS OF THE UC IRVINE 120 THEORY, SUITE 200 IRVINE, CA 92697-1050	NONE	NC	A CHINESE-ENGLISH DICTIONARY OF MING GOVERNMENT OFFICIAL TITLES	35,958.
SOCIETY FOR MING STUDIES, LAWRENCE UNIVERSITY 711 E BOLDT WAY APPLETON, WI 54911	NONE	NC	THE NEXT GENERATION OF MING SCHOLARS: A BRIEF RESEARCH PRESENTATION	5,000.
Total from continuation sheets				55,433.

823635
04-01-18

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
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13
2018.04030 JAMES P. GEISS AND MARGARE 15961 1

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

JAMES P. GEISS AND
MARGARET Y. HSU FOUNDATION INC.

Employer identification number

80-0005459

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990 PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

JAMES P. GEISS AND
MARGARET Y. HSU FOUNDATION INC.

Employer identification number

80-0005459

Part II**Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF MARGARET Y. HSU C/O COZEN O'CONNOR, 457 HADDENFIELD ROAD, SUITE 300 CHERRY HILL, NJ 08002	\$ 2,500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Employer identification number

**JAMES P. GEISS AND
MARGARET Y. HSU FOUNDATION INC.**

80-0005459

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

**JAMES P. GEISS AND
MARGARET Y. HSU FOUNDATION INC.**

80-0005459

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info. once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
RBC CAPITAL MARKETS LLC	8,026.	8,026.	
TOTAL TO PART I, LINE 3	8,026.	8,026.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RBC CAPITAL MARKETS	25,941.	2,070.	23,871.	23,871.	
VANGUARD	7,194.	0.	7,194.	7,194.	
TO PART I, LINE 4	33,135.	2,070.	31,065.	31,065.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS	229.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	229.	0.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL SERVICES	3,035.	0.		3,035.
TO FM 990-PF, PG 1, LN 16A	3,035.	0.		3,035.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,489.	0.		6,489.
TO FORM 990-PF, PG 1, LN 16B	6,489.	0.		6,489.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OUTSIDE CONTRACT SERVICES	2,400.	0.		2,400.
INVESTMENT FEES	6,243.	6,243.		0.
TO FORM 990-PF, PG 1, LN 16C	8,643.	6,243.		2,400.

FORM 990-PF	TAXES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	320.	320.		0.
PAYROLL TAXES	2,128.	0.		2,128.
TO FORM 990-PF, PG 1, LN 18	2,448.	320.		2,128.

FORM 990-PF	OTHER EXPENSES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	2,579.	0.		2,579.
INSURANCE	4,700.	0.		4,700.
LICENSES, FEES, DUES	281.	0.		281.
WEBSITE/TECHNOLOGY	1,257.	0.		1,257.
TO FORM 990-PF, PG 1, LN 23	8,817.	0.		8,817.

FOOTNOTES

STATEMENT 9

THE FOUNDATION FAILED TO QUALIFY AS AN OPERATING FOUNDATION FOR 2018 BECAUSE IT DID NOT MEET THE INCOME TEST. THEREFORE THE FORM 990-PF HAS BEEN PREPARED AS A NON-OPERATING FOUNDATION.

FORM 990-PF	CORPORATE STOCK	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
RBC - US EQUITIES	639,833.	639,833.
RBC - INTERNATIONAL EQUITIES	157,314.	157,314.
VANGUARD - EQUITIES	242,963.	242,963.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,040,110.	1,040,110.

FORM 990-PF	CORPORATE BONDS	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
RBC - FIXED INCOME FUNDS	33,230.	33,230.
VANGUARD - BONDS	152,748.	152,748.
TOTAL TO FORM 990-PF, PART II, LINE 10C	185,978.	185,978.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
RBC - MIXED & OTHER ASSETS	FMV	101,554.	101,554.
TOTAL TO FORM 990-PF, PART II, LINE 13		101,554.	101,554.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT 13
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EXPLANATION

THE STATE OF NEW JERSEY DOES NOT REQUIRE ORGANIZAIONS WITH CONTRIBUTIONS FROM OTHER THAN THE FOUNDER OF LESS THAN \$10,000 TO REGISTER WITH THE STATE.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS	STATEMENT 14
	PART VII-A, LINE 10	
1	NAME OF CONTRIBUTOR	AMOUNT
2	ADDRESS	
3	CITY AND STATE	
4	ZIP CODE	
5	DATE OF CONTRIBUTION	
6	TYPE OF CONTRIBUTION	
7	REMARKS	
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<u>NAME OF CONTRIBUTOR</u>	<u>ADDRESS</u>
ESTATE OF MARGARET Y. HSU	C/O COZEN O'CONNOR, 457 HADDONFIELD ROAD, SUITE 300 CHERRY HILL, NJ 08002

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 15
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DAVID ROBINSON 12 ROSZEL ROAD STE A104 PRINCETON, NJ 08540	PRESIDENT 3.00	0.	0.	0.
SUSAN NAQUIN 12 ROSZEL ROAD STE A104 PRINCETON, NJ 08540	VICE PRESIDENT 3.00	2,500.	0.	0.
DORA CHING 12 ROSZEL ROAD STE A104 PRINCETON, NJ 08540	TREASURER 3.00	2,500.	0.	0.
MARTIN HEIJDR 12 ROSZEL ROAD STE A104 PRINCETON, NJ 08540	SECRETARY 3.00	2,500.	0.	0.
KATHLENE BALDANZA 12 ROSZEL ROAD STE A104 PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
PIETER KEULEMANS 12 ROSZEL ROAD STE A104 PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
CHRISTIAN MURCK 12 ROSZEL ROAD STE A104 PRINCETON, NJ 08540	DIRECTOR 3.00	2,500.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		10,000.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 16

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CHRISTINE MINERVA
12 ROSZEL ROAD, SUITE A104
PRINCETON, NJ 08540

TELEPHONE NUMBER

NAME OF GRANT PROGRAM

609-795-7250

PUBLICATION SUBVENTIONS

EMAIL ADDRESS

APPLICATIONS@GEISSFOUNDATION.US

FORM AND CONTENT OF APPLICATIONS

PUBLISHERS' APPLICATIONS SHOULD INCLUDE THE FOLLOWING IN UNLOCKED PDF FORMAT: (1) A COMPLETED APPLICATION FORM; (2) A PUBLISHER'S LETTER OF NOMINATION THAT PROVIDES A SUMMARY OF THE PROPOSED BOOK'S IMPORTANCE IN MING AND CHINESE STUDIES, THE AMOUNT OF MONEY REQUESTED, AND TO WHAT PURPOSE AN AWARD WOULD BE USED, PARTICULARLY AS IT RELATES TO VISUAL ENHANCEMENTS SUCH AS MAPS AND ILLUSTRATIONS; (3) AN ABSTRACT, TABLE OF CONTENTS, AND A SAMPLE SELECTION OF 25-30 PAGES OF THE PROPOSED BOOK. REPRESENTATIVE ILLUSTRATIONS, IF RELEVANT, SHOULD ALSO BE SUBMITTED; (4) READER REPORTS OF THE PROPOSED BOOK, AS ALREADY SUBMITTED TO THE PUBLISHER. IF AVAILABLE, PLEASE INCLUDE THE AUTHOR'S RESPONSE TO THOSE REPORTS; (5) A LIST OF GRANTS AND AWARDS THAT HAVE ALREADY BEEN RECEIVED OR ARE PENDING.

ANY SUBMISSION DEADLINES

SEPTEMBER 1ST AND MARCH 1ST.

RESTRICTIONS AND LIMITATIONS ON AWARDS

MING AND CHINESE STUDIES.

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CHRISTINE MINERVA
12 ROSZEL ROAD, SUITE A104
PRINCETON, NJ 08540

TELEPHONE NUMBER NAME OF GRANT PROGRAM

609-795-7250 PROJECT AWARDS

EMAIL ADDRESS

APPLICATIONS@GEISSFOUNDATION.US

FORM AND CONTENT OF APPLICATIONS

- (1) A COMPLETED APPLICATION FORM.
- (2) AN APPLICATION NARRATIVE THAT: (1) DESCRIBES THE PROJECT; (2) EXPLAINS ITS RELEVANCE TO THE FOUNDATION'S MISSION AND DESCRIBES THE PROJECT'S RATIONALE (HOW IT IS EMPIRICALLY GROUNDED, THEORETICALLY INFORMED, AND METHODOLOGICALLY EXPLICIT); (3) EXPLAINS ITS GOALS OR INTENDED OUTCOMES;
- (4) INCLUDES A TIMELINE FOR PROJECT COMPLETION OR THE DATE(S) OF THE PROPOSED ACTIVITY; (5) IF RELEVANT, DISCUSSES ANY PARTNERS OR COLLABORATORS AND HOW THEY INTEND TO WORK TOGETHER ON THE PROJECT AND ITS MANAGEMENT; AND
- (6) DESCRIBES HOW IT WILL REACH A WIDER AUDIENCE OR ENHANCE CONNECTIONS BETWEEN SCHOLARS AND INSTITUTIONS FROM DIFFERENT FIELDS OR REGIONS;
- (3) CVS OF THE PROJECT LEAD(S) AND ANY KEY TEAM MEMBERS;
- (4) A BUDGET STATEMENT;
- (5) A LIST OF GRANTS THAT HAVE ALREADY BEEN RECEIVED OR ARE PENDING.

ANY SUBMISSION DEADLINES

SEPTEMBER 1ST AND MARCH 1ST.

RESTRICTIONS AND LIMITATIONS ON AWARDS

U.S. NOT-FOR-PROFITS OR INTERNATIONAL ORGANIZATIONS THAT MEET THEIR COUNTRIES' DEFINITIONS OF REGISTERED CHARITIES OR ACADEMIC INSTITUTIONS.