

Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2018

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending , 20

Name of foundation Albert and Margaret Alkek Foundation		A Employer identification number 76-0491186
Number and street (or P O box number if mail is not delivered to street address). 1100 Louisiana St	Room/suite 5250	B Telephone number (see instructions) (713) 652-6601
City or town, state or province, country, and ZIP or foreign postal code Houston TX 77002		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation 04 <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 225,570,705.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,563,036.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	115,304.	115,304.		
	4 Dividends and interest from securities	1,177,129.	1,177,129.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,747,107.			
	b Gross sales price for all assets on line 6a 51,302,651.		L-6a Stmt		
	7 Capital gain net income (from Part IV, line 2)		10,511,877.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) <i>See Stmt</i>	5,632,686.	2,192,950.			
12 Total. Add lines 1 through 11	19,235,262.	13,997,260.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	717,997.	435,041.		231,917.
	14 Other employee salaries and wages	17,550.	785.		16,673.
	15 Pension plans, employee benefits	43,137.	29,238.		10,470.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	32,325.	15,597.		8,069.
	c Other professional fees (attach schedule)	605,640.	604,632.		
	17 Interest	12,966.	12,966.		
	18 Taxes (attach schedule) <i>(see instructions)</i>	320,000.			
	19 Depreciation (attach schedule) <i>and depletion</i>				
	20 Occupancy	1,644.	608.		965.
	21 Travel, conferences, and meetings	1,113.	850.		92.
	22 Printing and publications	1,267.	771.		405.
	23 Other expenses (attach schedule) <i>See Stmt</i>	4,727,309.	957,159.		3,991.
	24 Total operating and administrative expenses. Add lines 13 through 23	6,480,948.	2,057,647.		272,582.
	25 Contributions, gifts, grants paid	11,550,000.			11,550,000.
26 Total expenses and disbursements. Add lines 24 and 25	18,030,948.	2,057,647.		11,822,582.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1,204,314.				
b Net investment income (if negative, enter -0-)		11,939,613.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	306.	306.	306.
	2	Savings and temporary cash investments	3,549,995.	15,737,295.	15,743,300.
	3	Accounts receivable ▶ 1,655,298.			
		Less: allowance for doubtful accounts ▶ 0.	736,858.	1,655,298.	1,655,298.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	51,138,189.	57,728,134.	71,016,220.
	c	Investments—corporate bonds (attach schedule)	525,000.		
	11	Investments—land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	117,456,986.	100,947,215.	137,125,324.	
14	Land, buildings, and equipment basis ▶ 207,104.				
	Less: accumulated depreciation (attach schedule) ▶ 287,104.	0.	0.	30,100.	
15	Other assets (describe ▶)	0.	0.	157.	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item l)	173,407,334.	176,068,248.	225,570,705.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted	173,407,334.	176,068,248.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	173,407,334.	176,068,248.		
31	Total liabilities and net assets/fund balances (see instructions)	173,407,334.	176,068,248.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 173,407,334.
2	Enter amount from Part I, line 27a	2 1,204,314.
3	Other increases not included in line 2 (itemize) ▶ <u>Book/tax differences in investments</u>	3 5,023,205.
4	Add lines 1, 2, and 3	4 179,634,853.
5	Decreases not included in line 2 (itemize) ▶ <u>Book/tax differences in investments</u>	5 3,566,605.
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 176,068,248.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See attached schedule		P	Various	Various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 51,067,421.	0.	40,555,544.	10,511,877.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col (i) over col (j), if any		
a 0.	0.	0.	10,511,877.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	10,511,877.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	11,862,650.	236,042,537.	0.050256
2016	10,684,878.	224,549,081.	0.047584
2015	12,055,287.	237,282,784.	0.050806
2014	12,087,257.	237,855,551.	0.050818
2013	11,254,688.	222,324,491.	0.050623
2 Total of line 1, column (d)			2 0.250087
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.050017
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 239,533,593.
5 Multiply line 4 by line 3			5 11,980,752.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 119,396.
7 Add lines 5 and 6			7 12,100,148.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 11,822,582.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

Table with 11 rows for excise tax calculations. Includes sub-sections for credits and payments (6a-6d) and total tax due/overpayment (7-11).

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, expenditures, and foundation status.

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.alkek.org</u>	X	
14 The books are in care of ▶ <u>Scott Seaman</u> Telephone no. ▶ <u>(713) 652-6601</u> Located at ▶ <u>1100 Louisiana, Ste 5250 Houston TX</u> ZIP+4 ▶ <u>77002</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>		
1b		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		
1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)		
2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)		
3b		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X
4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Yes No

Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See attached statement				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Iridian Asset Management LLC 276 Post Road West Westport CT 06880	investment management	183,293.
Eagle Capital Management, LLC 499 Park Avenue New York NY 10022	investment management	145,638.
Polen Capital Management 1825 NW Corporate Blvd., Suite 300 BOCA RATON FL 33431	investment management	111,146.
Wells Capital Management 525 Market Street, 12th Floor San Francisco CA 94105	investment management	85,149.
State Street Corporation 801 Pennsylvania Ave Kansas City MO 64105	custody services	80,414.
Total number of others receiving over \$50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

		Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

		Amount
1	
2	
3	All other program-related investments See instructions	
Total. Add lines 1 through 3 ▶		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	79,924,990.
b	Average of monthly cash balances	1b	13,564,275.
c	Fair market value of all other assets (see instructions)	1c	149,692,048.
d	Total (add lines 1a, b, and c)	1d	243,181,313.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	243,181,313.
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	3,647,720.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	239,533,593.
6	Minimum investment return. Enter 5% of line 5	6	11,976,680.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,976,680.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	238,792.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	50,000.
c	Add lines 2a and 2b	2c	288,792.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,687,888.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	11,687,888.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,687,888.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	11,822,582.
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	11,822,582.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,822,582.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				11,687,888.
2 Undistributed income, if any, as of the end of 2018:				
a Enter amount for 2017 only			2,115,315.	
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2018:				
a From 2013	0.			
b From 2014	0			
c From 2015	0.			
d From 2016	0.			
e From 2017	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 11,822,582.				
a Applied to 2017, but not more than line 2a			2,115,315.	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2018 distributable amount				9,707,267.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				1,980,621.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2014	0.			
b Excess from 2015	0.			
c Excess from 2016	0.			
d Excess from 2017	0.			
e Excess from 2018	0.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 **Information Regarding Foundation Managers:**
 - a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
None
 - b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
None
- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

 - a The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Supplementary Information Statement
 - b The form in which applications should be submitted and information and materials they should include:
 - c Any submission deadlines:
 - d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i> See attached statement</p>				11,550,000.
Total ▶ 3a				11,550,000.
<p>b <i>Approved for future payment</i> See attached statement</p>				6,045,700.
Total ▶ 3b				6,045,700.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	115,304.	
4 Dividends and interest from securities			14	1,177,129.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	525990	235,230.	18	10,511,877.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a <u>Passthrough K-1 income</u>	525990	3,439,736.	14	2,192,800.	
b <u>Oil and gas royalty revenue</u>			15	150.	
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		3,674,966.		13,997,260.	
13 Total. Add line 12, columns (b), (d), and (e)			13		17,672,226.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|---|-----|----|
| 1a(1) Cash | X | |
| 1a(2) Other assets | X | |
| 1b(1) Sales of assets to a noncharitable exempt organization | X | |
| 1b(2) Purchases of assets from a noncharitable exempt organization | X | |
| 1b(3) Rental of facilities, equipment, or other assets | X | |
| 1b(4) Reimbursement arrangements | X | |
| 1b(5) Loans or loan guarantees | X | |
| 1b(6) Performance of services or membership or fundraising solicitations | X | |
| 1c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | X | |
- a Transfers from the reporting foundation to a noncharitable exempt organization of:
- (1) Cash
- (2) Other assets
- b Other transactions:
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No
- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
Alkek & Williams Foundation	501(c)(3)	common directors

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [Signature] Date: 11-13-19 Title: Executive Director

May the IRS discuss this return with the preparer shown below? See instructions Yes No

Paid Preparer Use Only

Print/Type preparer's name: Cynthia G. Matthews Preparer's signature: [Signature] Date: 11/12/19 Check if self-employed PTIN: P60286341

Firm's name ▶ Ham, Langston & Brezina, LLP Firm's EIN ▶ 26-3922905

Firm's address ▶ 11550 Fugua St Ste 475 Phone no (713) 621-1177

Schedule of Contributors

2018

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
 ▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization <u>Albert and Margaret Alkek Foundation</u>	Employer identification number <u>76-0491186</u>
---	---

Organization type (check one):

Filers of:

Section:

- Form 990 or 990-EZ 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- Form 990-PF 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Albert and Margaret Alkek Foundation	Employer identification number 76-0491186
---	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Margaret Alkek Charitable Lead Annuity Trust 1100 Louisiana St., Suite 5250 Houston TX 77002	\$ 1,563,036.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

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Page 1, Part I, Line 6a and Page 3, Part IV, Line 1, Column h – Net Gain or Loss from Sale of Assets,
Page 1, Part I, Line 6b and Page 3, Part IV, Line 1, Column e – Gross Sales Price for All Assets:

	<u>Net Gain or Loss From Sale of Assets</u>	<u>Gross Sales Price</u>
Publicly Traded Securities	5,393,581	38,347,600
Capital Gain Dividends	1,279,206	1,279,206
Pass-through Investments: K-1 Capital Gains	3,642,808	3,642,808
Pass-through Investments: K-1 Capital Losses	-1,907,420	0
Non-US Hedge Funds	-479,117	5,214,988
Non-US Gains in Excess of Basis	2,582,819	2,582,819
Total Page 3, Part IV, Columns h and e	<u>10,511,877</u>	<u>51,067,421</u>
Pass-through Investments: K-1 UBTI	235,230	235,230
Total Page 1, Part I, Lines 6a and 6b	<u><u>10,747,107</u></u>	<u><u>51,302,651</u></u>

Page 1, Part I, Line 11 – Other Income:

	<u>(a) Revenue Per Books</u>	<u>(b) Net Investment Income</u>
Pass-through Investments: K-1 Income	2,178,493	2,178,493
Pass-through Investments: Section 965(a) Inclusion	14,307	14,307
Pass-through Investments: K-1 UBTI	3,439,736	0
Oil and Gas Royalty Income	150	150
	<u>5,632,686</u>	<u>2,192,950</u>

Page 1, Part I, Line 16a, b and c – Legal, Accounting & Other Professional Fees:

	<u>(a) Expenses Per Books</u>	<u>(b) Net Investment Income</u>	<u>(d) Charitable Purposes</u>
<u>16b – Accounting Fees</u>			
Audit Services	21,770	13,251	6,964
Tax Services	10,555	2,346	1,105
	<u>32,325</u>	<u>15,597</u>	<u>8,069</u>
<u>16c – Other Professional Fees</u>			
Investments: Management Fees	525,226	525,226	0
Investments: Custody Fees	80,414	79,406	0
	<u>605,640</u>	<u>604,632</u>	<u>0</u>

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Page 1, Part I, Line 18 – Taxes:

	(a) Expenses Per Books	(b) Net Investment Income
Excise Tax Payments (Form 990-PF)	270,000	0
Income Tax Payment (Form 990-T)	50,000	0
	320,000	0

Page 1, Part I, Line 23 – Other Expenses:

	(a) Expenses Per Books	(b) Net Investment Income	(d) Charitable Purposes
Pass-through Investments:			
K-1 Deductions	950,941	950,941	0
K-1 Deductions – Sec 965(c)	9,377	0	0
K-1 Deductions – UBTI	3,756,018	0	0
General and Administrative	10,973	6,218	3,991
	4,727,309	957,159	3,991

Page 2, Part II, Line 3 – Accounts Receivable

	(b) Book Value	(c) Fair Market Value
Liquidation Receivable from Investment Partnerships	1,655,298	1,655,298
	1,655,298	1,655,298

Page 2, Part II, Line 10b – Investments – Corporate Stock:

	(b) Book Value	(c) Fair Market Value
Directly Held	19,504,968	25,696,909
Managed Accounts	38,223,166	45,319,312
	57,728,134	71,016,221

Page 2, Part II, Line 13 – Investments – Other:

	(b) Book Value	(c) Fair Market Value
Non-Marketable Corporate Equity Securities	3,055,400	863,826
US Partnerships, Corporations and Trusts	48,543,745	63,809,096
Non-US Partnerships and Corporations	49,348,070	72,452,402
	100,947,215	137,125,324

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Page 2, Part II, Line 14 – Description of Land, Buildings, and Equipment:

	<u>Cost Basis</u>	<u>Accumulated Depreciation</u>	<u>(b) Book Value</u>	<u>(c) Fair Market Value</u>
Furniture	265,537	265,537	0	29,000
Equipment	21,567	21,567	0	1,100
	<u>287,104</u>	<u>287,104</u>	<u>0</u>	<u>30,100</u>

Page 3, Part IV, Line 1 – Capital Gains and Losses for Tax on Investment Income:

	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
a	Publicly Traded Securities	Purchase	Various	Various
b	Capital Gain Dividends	Purchase	Various	Various
c	Pass-through K-1 Capital Gains	Purchase	Various	Various
d	Pass-through K-1 Capital Losses	Purchase	Various	Various
e	Non-US Hedge Funds	Purchase	Various	Various
f	Non-US Gains in Excess of Basis	Purchase	Various	Various

	<u>(e) Gross Sales Price</u>	<u>(f) Depreciation</u>	<u>(g) Cost Basis</u>	<u>(h) Gain or (loss)</u>
a	38,347,600		32,954,019	5,393,581
b	1,279,206		0	1,279,206
c	3,642,808		0	3,642,808
d	0		1,907,420	-1,907,420
e	5,214,988		5,694,105	-479,117
f	2,582,819		0	2,582,819
	<u>51,067,421</u>		<u>40,555,544</u>	<u>10,511,877</u>

	<u>(i) FMV 12/31/69</u>	<u>(j) Adj Basis 12/31/69</u>	<u>(k) Excess</u>	<u>(l) Gain or (loss)</u>
a				5,393,581
b				1,279,206
c				3,642,808
d				-1,907,420
e				-479,117
f				2,582,819
				<u>10,511,877</u>

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Page 6, Part VIII, Line 1 – Information About Officers and Directors:

(a) Name and Address	(b) Title and Average Hours Per Week Devoted to Position	(c) Compensation	(d) Contributions to Employee Benefit Plans
Sandra Bacak	Assistant Secretary and Controller 40 Hours	122,500	16,599
Joe M. Bailey	Director Periodic board & committee meetings	18,000	0
Paul Klotman, MD	Director Periodic board meetings	15,000	0
Scott B. Seaman	Director, Treasurer, and Executive Director 15 – 40 Hours	377,913	0
Charles A Williams	Director and President 10 - 25 Hours	150,000	0
Margaret Alkek Williams	Director Periodic board meetings	15,000	0
Randa D. Williams	Director Periodic board & committee meetings	18,000	0
		716,413	16,599

Part VIII, Column (a) 1100 Louisiana Street, Suite 5250, Houston, TX 77002
Part VIII, Column (d) Includes contributions to employee benefit plan and medical insurance premiums.
Part VIII, Column (e) Payments for expense accounts or other allowances: None.

Page 10, Part XV, Line 2 – Information Regarding Grant Programs:

- a. Name and address: Mr. Charles Williams, President
Albert and Margaret Alkek Foundation
1100 Louisiana St., Suite 5250
Houston, TX 77002
713-652-6601
- b. Application form: See guidelines at www.alkek.org
- c. Submission deadline: None
- d. Restrictions or limitations: Limited to non-profit organizations in the State of Texas

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Page 11, Part XV, Line 3a – Grants and Contributions Paid:

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<u>Medical:</u>			
Baylor College of Medicine One Baylor Plaza, MS: BCM 160 Houston, TX 77030	PC	Department of Medicine	2,208,700
Baylor College of Medicine One Baylor Plaza, MS: BCM 160 Houston, TX 77030	PC	Presidential Endowed Chair	600,000
Baylor College of Medicine One Baylor Plaza, MS: BCM 160 Houston, TX 77030	PC	Center for Precision Environmental Health	1,519,300
Baylor College of Medicine One Baylor Plaza, MS: BCM 160 Houston, TX 77030	PC	Ophthalmology and Otolaryngology Departments	250,000
HCHD Foundation 2525 Holly Hall, Suite 292 Houston, TX 77054	PC	Capital campaign for Ben Taub Hospital	250,000
Heart Gift Foundation P.O. Box 691711 Houston, TX 77269	PC	Operational support	25,000
The Methodist Hospital Foundation P.O. Box 4384 Houston, TX 77210	SO I	Center for Performing Arts Medicine	250,000
Texas A&M Foundation Clinical Building 1, Suite 1100 1359 TAMU, 8441 State Hwy 47 Bryan, TX 77807	GOV	Engineering Medicine Program for Texas A&M Colleges of Medicine and Engineering	150,000
The University of Texas MD Anderson Cancer Center P.O. Box 4486 Houston, TX 77210	GOV	Bone Disease Program	1,000,000
The Will Erwin Headache Research Foundation 609 Main Street, Suite 2350 Houston, TX 77002	PC	Operational support	25,000
			6,278,000

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Page 11, Part XV, Line 3a – Grants and Contributions Paid, Continued:

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<u>Educational:</u>			
Alliance for Choice in Education dba ACE Scholarships P.O. Box 580268 Houston, TX 77258	PC	Scholarship support	50,000
Episcopal High School P.O. Box 271299 Houston, TX 77277	PC	Alkek Scholars Program – one four year scholarship	123,000
Episcopal High School P.O. Box 271299 Houston, TX 77277	PC	Alkek Scholars Program – two one-year scholarships	58,000
Hill Country Youth Ranch P.O. Box 67 Ingram, TX 78025	PC	Transitional support and college scholarships for alumni	45,000
The Posse Foundation, Inc. 1001 McKinney, Suite 950 Houston, TX 77002	PC	Operational support for college mentorship program	50,000
Pro-Vision Academy 4590 Wilmington Houston, TX 77051	PC	Capital campaign	100,000
Rice University 6100 Main Street, MS 84 Houston, TX 77005	PC	Student support and development programs	124,000
Southwestern University P.O. Box 770 Georgetown, TX 78627-0770	PC	Scholarship program	100,000
St. Edward's University 3001 South Congress Ave Austin, TX 78704	PC	College Assistance Migrant Program Scholarships	25,000
St. Thomas High School 4500 Memorial Drive Houston, TX 77007	PC	Capital campaign	300,000
St Mary's University One Camino Santa Maria San Antonio, TX 78228	PC	Renovate engineering labs in STEM buildings	200,000
Texas A&M University-Kingsville Caesar Kleberg Wildlife Research Institute 700 University Blvd, MSC 218 Kingsville, TX 78363	GOV	Operational support for the Captive Ungulate Facility	25,000

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Page 11, Part XV, Line 3a – Grants and Contributions Paid, Continued

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<u>Educational, Continued</u>			
Texas State University-San Marcos 601 University Dr. San Marcos, TX 78666	GOV	Construction of the Learning Commons in the Albert B. Alkek Library	200,000
TMI – The Episcopal School of Texas 20955 W Tejas Trail San Antonio, TX 78257	PC	Alkek Scholarships	154,000
Delta Delta Delta Foundation dba Tri Delta Foundation 14951 N Dallas Pkwy, Suite 500 Dallas, TX 75254	PC	Scholarship endowment	35,500
University of Houston-Victoria 3007 N. Ben Wilson Houston, TX 77901	GOV	Scholarship endowment for first-generation students	35,000
Yellowstone Academy 3000 Trulley Street Houston, TX 77004	PC	Social support programming	50,000
			1,674,500
<u>Community.</u>			
Arthur Nagel Community Clinic P.O. Box 519 Bandera, TX 78003	PC	Operational support	50,000
Austin Center for Grief and Loss 2413 Greenlawn Parkway Austin, TX 78757	PC	Operational support	25,000
Bandera County Young Life P.O. Box 1751 Bandera, TX 78003	PC	Operational support	30,000
Bandera Public Library Corporation P.O. Box 1568 Bandera, TX 78003	PC	Infrastructure replacements and equipment upgrades	25,000
Bandera Public Library Corporation P.O. Box 1568 Bandera, TX 78003	PC	Operational support	2,500
Baylor College of Medicine One Baylor Plaza, MS: BCM 160 Houston, TX 77030	PC	Underwrite expenses for the BCM Wellness 5K Race/Walk	10,000

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Page 11, Part XV, Line 3a – Grants and Contributions Paid, Continued

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<u>Community, Continued</u>			
Bo's Place 10050 Buffalo Speedway Houston, TX 77054	PC	Operational support	15,000
Bobwhite Brigade dba Texas Brigades 3660 Thousand Oaks Drive, No 126 San Antonio, TX 78247	PC	Matching grant for operational support contributions	60,000
Boys and Girls Club of Bandera County P.O. Box 3155 Bandera, TX 78003	PC	Operational support	60,000
Hope and Healing Center and Institute 717 Sage Road Houston, TX 77056	PC	Operational support	50,000
Houston Society for the Prevention of Cruelty to Animals 7007 Old Katy Road Houston, TX 77024	PC	Operational support for the wildlife rehabilitation center	30,000
Legacy Community Health Endowment, Inc. 1415 California St. Houston, TX 77006	PC	Capital campaign	30,000
Mental Health America of Greater Houston 2211 Norfolk, Suite 810 Houston, TX 77098	PC	Hurricane Harvey programs	100,000
The Nature Conservancy 200 E. Grayson St , Suite 202 San Antonio, TX 78215	PC	Operational support	100,000
Nehemiah Center, Inc. 5015 Fannin Street Houston, TX 77004	PC	Operational support	25,000
Pathways for Little Feet 9 Greenway Plaza, Suite 3150 Houston, TX 77046	PC	Operational support	10,000
The Peregrine Fund 5668 West Flying Hawk Lane Boise, ID 83709	PC	Aplomado Falcon restoration program in Texas	35,000
re:Mind P.O. Box 27607 Houston, TX 77227	PC	Operational support	25,000
Rebuilding Together Houston P.O. Box 15315 Houston, TX 77220	PC	Hurricane Harvey Air Quality Intervention Program	420,000

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Page 11, Part XV, Line 3a – Grants and Contributions Paid, Continued

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<u>Community, Continued</u>			
The St. Bernard Project, Inc. 2645 Toulouse Street New Orleans, LA 70119	PC	Hurricane Harvey rebuilding initiatives	500,000
Somebody Cares America P.O. Box 925308 Houston, TX 77292-5308	PC	Operational support for Hurricane Harvey programs	50,000
St. Christopher's Episcopal Church Box 314 Bandera, TX 78003	PC	Operational support	35,000
YMCA of Greater Houston P O Box 3007 Houston, TX 77253	PC	Rebuild facility destroyed by Hurricane Harvey	35,000
			1,722,500
<u>Cultural:</u>			
Alley Theater 615 Texas Avenue Houston, TX 77002	PC	Rebuild facility damaged by Hurricane Harvey	25,000
Houston Ballet Foundation 601 Preston Houston, TX 77002	PC	Capital campaign - Jubilee of Dance Endowment	1,250,000
Houston Grand Opera 510 Preston Houston, TX 77002	PC	Underwrite Hurricane Harvey benefit concert	350,000
Houston Zoo, Inc. 1513 Cambridge St. Houston, TX 77030	PC	Capital campaign – Texas Wetlands Exhibit	100,000
The John P. McGovern Museum of Health and Medical Science 1515 Hermann Drive Houston, TX 77004	PC	General operations	50,000
River Oaks Chamber Orchestra 1973 West Gray, Suite 3 Houston, TX 77027	PC	Operational support	75,000
Society of the Performing Arts 615 Louisiana St., Suite 100 Houston, TX 77002	PC	Hurricane Harvey storm loss reimbursement	25,000
			1,875,000
			11,550,000

Albert and Margaret Alkek Foundation
TIN: 76-0491186
Form 990-PF Statements
For the Year Ended December 31, 2018

Page 11, Part XV, Line 3b – Grants and Contributions Approved for Future Payment:

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
Baylor College of Medicine One Baylor Plaza, MS: BCM 160 Houston, TX 77030	PC	Center for Precision Environmental Health	2,680,700
Baylor College of Medicine One Baylor Plaza, MS: BCM 160 Houston, TX 77030	PC	Presidential Endowed Chair	1,200,000
Houston Ballet Foundation 601 Preston Street Houston, TX 77002	PC	Capital campaign - Jubilee of Dance Endowment	1,250,000
Mental Health America of Greater Houston 2211 Norfolk, Suite 810 Houston, TX 77098	PC	Hurricane Harvey resilience-focused programming	100,000
Texas A&M Foundation Clinical Building 1, Suite 1100 1359 TAMU, 8441 State Hwy 47 Bryan, TX 77807	GOV	Engineering Medicine Program for Texas A&M Colleges of Medicine and Engineering	150,000
Texas State University-San Marcos 601 University Dr. San Marcos, TX 78666	GOV	Construction of the Learning Commons in the Albert B. Alkek Library	600,000
University of Houston-Victoria 3007 N. Ben Wilson Houston, TX 77901	GOV	Scholarship endowment for first-generation students	65,000
			6,045,700