

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation  
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No 1545-0052

**2016**

**Open to Public Inspection**

▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**For calendar year 2016, or tax year beginning 07-01-2016, and ending 06-30-2017**

Name of foundation SIMON FOUNDATION FOR EDUCATION AND HOUSING		<b>A Employer identification number</b> 68-0524905
Number and street (or P O box number if mail is not delivered to street address) 620 NEWPORT CENTER DRIVE 12TH FLOOR	Room/suite	<b>B Telephone number</b> (see instructions) (949) 720-1116
City or town, state or province, country, and ZIP or foreign postal code NEWPORT BEACH, CA 92660		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 36,030,472	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	35,350,000			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	20	20	20	
	<b>4</b> Dividends and interest from securities	39,370	39,370	39,370	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	-9,075			
	<b>b</b> Gross sales price for all assets on line 6a	7,259,669			
	<b>7</b> Capital gain net income (from Part IV, line 2)		0		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	35,380,315	39,390	39,390		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	75,000		0	75,000
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)	756		0	756
	<b>b</b> Accounting fees (attach schedule)	19,920		0	19,920
	<b>c</b> Other professional fees (attach schedule)	7,858	6,766	6,766	1,092
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	192		0	0
	<b>19</b> Depreciation (attach schedule) and depletion	303		0	718
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings	5,219		0	5,219
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	249,356		0	249,356
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	358,604	6,766	7,484	351,343
	<b>25</b> Contributions, gifts, grants paid	6,257,600			6,257,600
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	6,616,204	6,766	7,484	6,608,943	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	28,764,111				
<b>b Net investment income</b> (if negative, enter -0-)		32,624			
<b>c Adjusted net income</b> (if negative, enter -0-)			31,906		

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	4,501,679	218,086	218,086
	<b>2</b> Savings and temporary cash investments . . . . .	2,791,618	490,227	458,345
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	0	0	35,350,000
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	3,842	3,240	3,240
	<b>14</b> Land, buildings, and equipment basis ▶ _____ 10,573 Less accumulated depreciation (attach schedule) ▶ _____ 9,772	1,104	801	801
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	7,298,243	712,354	36,030,472	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	0	0	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	7,298,243	712,354		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	7,298,243	712,354		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	7,298,243	712,354		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	7,298,243
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	28,764,111
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	36,062,354
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	35,350,000
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	712,354

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1 a</b> SECURITIES/BONDS SALE (SEE ATTACHMENT)	P	2016-01-01	2017-06-30
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 7,259,669		7,268,744	-9,075
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			-9,075
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	-9,075
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part  Yes  No

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	6,694,620	7,572,963	0.884016
2014	1,242,762	2,766,685	0.449188
2013	1,392,641	404,169	3.445690
2012	1,423,153	816,319	1.743379
2011	1,686,592	929,185	1.815130

<b>2</b> Total of line 1, column (d)	2	8.337403
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1.667481
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	36,657,735
<b>5</b> Multiply line 4 by line 3	5	61,126,077
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	326
<b>7</b> Add lines 5 and 6	7	61,126,403
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	6,608,943

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', 'Tax under section 511', and 'Total credits and payments'. Total tax due is 172.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions like 'During the tax year, did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', and 'Did the foundation have at least \$5,000 in assets at any time during the year?'. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address HTTP //WWW.SIMONSCHOLARS.ORG 13 Yes
14 The books are in care of GARY SINGER Telephone no (949) 720-1116

Located at 620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH CA ZIP+4 92660

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country 16 Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years 20, 20, 20, 20 b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here.

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000.  0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> GRANTS - SEE ATTACHED	227,130
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	1,721,375
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	143,799
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	35,350,801
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	37,215,975
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	37,215,975
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	558,240
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	36,657,735
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	1,832,887

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	6,608,943
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	6,608,943
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	6,608,943

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				
<b>c</b> From 2013. . . . .				
<b>d</b> From 2014. . . . .				
<b>e</b> From 2015. . . . .				
<b>f</b> Total of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ _____				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				
<b>b</b> Excess from 2013. . . . .				
<b>c</b> Excess from 2014. . . . .				
<b>d</b> Excess from 2015. . . . .				
<b>e</b> Excess from 2016. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2016</b>	<b>(b) 2015</b>	<b>(c) 2014</b>	<b>(d) 2013</b>	
<b>b</b> 85% of line 2a . . . . .	31,906	21,087	0	0	52,993
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .	27,120	17,924	0	0	45,044
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .	6,608,943	6,694,620	1,242,762	1,392,641	15,938,966
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .	6,257,600	6,495,435	431,100	253,000	13,437,135
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					0
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .	1,221,925	252,432	92,223	13,472	1,580,052
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					0
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					0
<b>(4)</b> Gross investment income . . . . .	30,315	22,679	419	204	53,617

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
RONALD SIMON

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

---

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> GRANTS - SEE ATTACHED 620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	NONE	PUBLIC	TO FURTHER DONEE ORGANIZATION'S EXEMPT PURPOSE	6,257,600
<b>Total . . . . .</b> ▶ <b>3a</b>				6,257,600
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, and (e) Related or exempt function income. Rows include categories like Program service revenue, Fees and contracts from government agencies, Membership dues and assessments, Interest on savings and temporary cash investments, Dividends and interest from securities, Net rental income from real estate, Net rental income from personal property, Other investment income, Gain or (loss) from sales of assets other than inventory, Net income or (loss) from special events, Gross profit or (loss) from sales of inventory, and Other revenue.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explanatory text. The text column contains the instruction: 'Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)'.

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

**1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of		
<b>(1)</b> Cash.		No
<b>(2)</b> Other assets.		No
<b>b</b> Other transactions		
<b>(1)</b> Sales of assets to a noncharitable exempt organization.		No
<b>(2)</b> Purchases of assets from a noncharitable exempt organization.		No
<b>(3)</b> Rental of facilities, equipment, or other assets.		No
<b>(4)</b> Reimbursement arrangements.		No
<b>(5)</b> Loans or loan guarantees.		No
<b>(6)</b> Performance of services or membership or fundraising solicitations.		No
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		No
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received		

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: \_\_\_\_\_ Date: 2017-11-14 Title: \_\_\_\_\_

May the IRS discuss this return with the preparer shown below (see instr)?  Yes  No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	CHERYL J SCHAFFER CPA MST				P00066920
	Firm's name ▶	WRIGHT FORD YOUNG & CO CPA'S			Firm's EIN ▶
	Firm's address ▶	16140 SAND CANYON AVENUE IRVINE, CA 926183715			Phone no (949) 910-2727

Form 990FP Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
KATHY SIMON ABELS	TREASURER	0	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	5 00			
STEVEN H SIMON	DIRECTOR	0	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	5 00			
RONALD SIMON	FOUNDING DIRECTOR	0	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	5 00			
GARY SINGER	CHAIRMAN	75,000	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	14 00			
JAMES B FREEDMAN	DIRECTOR	0	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	0 00			
GILBERT E LEVASSEUR JR	DIRECTOR	0	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	0 00			
ALEX CALEBRESE	DIRECTOR	0	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	0 00			
SANDI SIMON	DIRECTOR	0	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	0 00			
JAMES L DOTI	DIRECTOR	0	0	0
620 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	0 00			

**TY 2016 Accounting Fees Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING FEES	19,920	0	0	19,920

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2016 Depreciation Schedule

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
APPLE MACBOOK - EXTRA	2008-12-31	1,031	1,031	200DB	5 000000000000	0	0	0	
DD COMPUTER	2009-03-10	1,824	912	200DB	5 000000000000	0	0	0	
PRINTER	2009-12-03	238	119	200DB	5 000000000000	0	0	0	
COMPUTER	2010-10-21	1,834	1,834	200DB	5 000000000000	0	0	0	
DELL LATITUDE COMPUTER - HEATHER	2011-09-15	1,353		200DB	5 000000000000	0	0	68	
HP NOTEBOOK COMPUTER - DAVID	2011-10-03	1,383		200DB	5 000000000000	0	0	69	
DELL LATITUDE COMPUTER - DAVID	2014-02-20	1,277	909	200DB	5 000000000000	147	0	255	
DELL LATITUDE COMPUTER - ADELE	2014-10-08	1,631	424	200DB	5 000000000000	156	0	326	



**TY 2016 Investments Corporate Stock Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
RSI HOME PRODUCT INC. STOCKS	0	35,350,000

**TY 2016 Investments - Other Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
LIQUIDATED INVESTMENT	AT COST	3,240	3,240

**TY 2016 Land, Etc.  
Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

<b>Category / Item</b>	<b>Cost / Other Basis</b>	<b>Accumulated Depreciation</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
APPLE MACBOOK - EXTRA	1,031	1,031	0	
DD COMPUTER	1,824	1,824	0	
PRINTER	238	238	0	
COMPUTER	1,834	1,834	0	
DELL LATITUDE COMPUTER - HEATHER	1,353	1,353	0	
HP NOTEBOOK COMPUTER - DAVID	1,383	1,383	0	
DELL LATITUDE COMPUTER - DAVID	1,277	1,056	221	
DELL LATITUDE COMPUTER - ADELE	1,631	1,396	235	

**TY 2016 Legal Fees Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL FEES	756	0	0	756

**TY 2016 Other Decreases Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

<b>Description</b>	<b>Amount</b>
COST BASIS TO FMV ADJUSTMENT ON STOCK CONTRIBUTED TO THE FOUNDATION	35,350,000

**TY 2016 Other Expenses Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BUSINESS MEALS	942	0	0	942
CORPORATION EXPENSES	838	0	0	838
DUES AND SUBSCRIPTIONS	3,230	0	0	3,230
INSURANCE	19,752	0	0	19,752
MISCELLANEOUS EXPENSES	75	0	0	75
MARKETING / PROMOTIONAL	224,519	0	0	224,519

**TY 2016 Other Professional Fees Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
OTHER PROFESSIONAL FEES	1,092	0	0	1,092
INVESTMENT MANAGEMENT FEES	6,766	6,766	6,766	0

**TY 2016 Taxes Schedule**

**Name:** SIMON FOUNDATION FOR EDUCATION AND  
HOUSING

**EIN:** 68-0524905

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FORM RRF-1	50	0	0	0
FORM 199	10	0	0	0
FEDERAL EXCISE TAXES	132	0	0	0



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
▶ Attach to Form 990, 990-EZ, or 990-PF  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047  
**2016**

**Name of the organization**  
SIMON FOUNDATION FOR EDUCATION AND HOUSING

**Employer identification number**  
68-0524905

**Organization type** (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

- 501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

Form 990-PF

- 527 political organization
- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup> 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

<b>Name of organization</b> SIMON FOUNDATION FOR EDUCATION AND HOUSING	<b>Employer identification number</b> 68-0524905
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**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RONALD M SIMON DECLARATION OF TRUST  620 NEWPORT CENTER DRIVE 12TH FLOOR  NEWPORT BEACH, CA92660	\$ 35,350,000	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>

<b>Name of organization</b> SIMON FOUNDATION FOR EDUCATION AND HOUSING	<b>Employer identification number</b> 68-0524905
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**Part II Noncash Property**

	(see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1,250,000 SHARES OF CLASS A COMMON STOCK RSI HOME PRODUCTS, INC	\$ 35,350,000	2016-12-20
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	\$	_____
	_____	\$	_____
	_____	\$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	\$	_____
	_____	\$	_____
	_____	\$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	\$	_____
	_____	\$	_____
	_____	\$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	\$	_____
	_____	\$	_____
	_____	\$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	\$	_____
	_____	\$	_____
	_____	\$	_____

<b>Name of organization</b> SIMON FOUNDATION FOR EDUCATION AND HOUSING	<b>Employer identification number</b> 68-0524905
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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

# SIMON FOUNDATION FOR EDUCATION AND HOUSING

FORM 990-PF (JUNE 30, 2017)

## Part IX-A: Summary of Direct Charitable Activities

The Simon Scholars program is a program of the Simon Foundation for Education and Housing, which reaches into the lives of promising high school students most in need of support and equips them to succeed, inside the classroom and out, in high school, throughout college and beyond

### **VISION STATEMENT**

The vision of the Simon Scholars program is to provide a transformational college access program designed to empower students from disadvantaged backgrounds to earn a college degree, to achieve economic prosperity and to realize the American dream

### **MISSION STATEMENT**

The mission of the Simon Scholars program is to give deserving students who are facing difficult life and economic circumstances the ability to graduate from high school with enhanced academic, life skills and leadership training. The Simon Scholars program provides these high school graduates with the means, the tools and the confidence to earn a bachelor's degree in college so they can become self-sufficient and productive Americans with the economic potential to give back to their communities in the United States

### **GUIDING PRINCIPLES**

The Simon Scholars program is founded upon the following five principles

- Respect is earned, not given
- Self-esteem comes from personal achievement
- Education creates opportunities, not guarantees
- Success is measured from within, not on a balance sheet
- Opportunity denied is tragic, opportunity wasted is inexcusable

### **GOALS**

- 1 To implement a complete transformational scholarship program for high school juniors and seniors that will provide them with the academic monitoring and tutoring, writing skills instruction, financial literacy education, life skills support, leadership training, college preparation and mentoring they need to graduate from competitive universities throughout the United States within six years of admission
- 2 To implement a comprehensive college partnership program to assist our college scholars in accessing funding, campus support services, mentoring opportunities and career internships in their areas of interest
- 3 To work in partnership with other academic and corporate entities to gain funding for our programs, to access college scholarship and internship opportunities for our scholars and to stimulate the economic development of our communities by producing individuals with the skill sets and the mind sets to contribute to society
- 4 To define and develop a system for tracking and reporting high school, college and alumni progress in order to evaluate and assess the success of our program
- 5 To expand our operations nationally with an approach that includes
  - a Forming partnerships with corporations, philanthropic institutions and individuals who are interested in donating \$1.5 million to form a chapter, consisting of eight students per year for six years at \$30,000 per student
  - b Developing relationships with those who are interested in donating \$35,000 to "adopt a student" throughout his or her academic career. Donors may also mentor these students as they progress through high school and college

# SIMON FOUNDATION FOR EDUCATION AND HOUSING

FORM 990-PF (JUNE 30, 2017)

## Part IX-A: Summary of Direct Charitable Activities

- c. Accepting smaller donations from others who are interested in contributing to our general operating fund
- d. Building a network of volunteer mentors and college counselors who want donate their time and efforts to help our students succeed in high school and college
- e. Exploring the possibility of giving donors the option of sponsoring either the high school program or the college program

### **PROGRAM STRATEGY**

Each year the Simon Scholars program selects a new class of high school students who are committed to achieving personal success and overcoming adversity while positively impacting the lives of others. The program then provides these students with the tools and resources they need to prepare for college and beyond.

Students are motivated to live up to a high standard of achievement. They associate with peers who are also reaching for that high bar. They are taught how to think critically, communicate effectively both verbally and in writing, set goals, manage their time, initiate projects, build teams, and work hard with integrity and honesty to achieve their aspirations. As a result, they are confident in their abilities to realize the American dream.

These students receive more than just money from the Simon Scholars program, they receive high expectations for success as well as a fundamental level of academic support to achieve those expectations. In addition, they are exposed to a variety of life skills and are trained to be the leaders of their generation.

Breaking these factors down to their components, the Simon Scholars program has developed a two-tiered strategy for success as follows:

#### **High School**

The **first tier** of the program provides transformational support for high school juniors and seniors in terms of academic, life skills and leadership training. As a college access program, the Simon Scholars program spends approximately \$19,000 per scholarship recipient on goods, services and activities which build competence and confidence in students and prepare them for success at a four-year university.

The selection process is competitive, with points given to students who demonstrate financial need, the ability to overcome adversity, the desire to achieve success and the willingness to give back to their communities. In addition, students must have a cumulative GPA of 2.5 to apply for the program. They must then attain a minimum 2.7 GPA in core academic classes for the first semester of their junior year and a minimum 3.0 GPA in core academic classes for the remaining three semesters of high school.

Many of the students who are accepted into the Simon Scholars program are either first generation citizens or legal residents who have recently immigrated to the United States. Most will be the first in their family to earn a college degree. All are highly recommended by their teachers or school counselors.

Each year, the Simon Scholars program selects students at qualified high schools to enter its high school scholarship program. High school scholarship recipients are expected to take an active part in all events, to stay in weekly contact with their chapter administrators and to maintain high academic standards throughout their junior and senior years in high school.

# SIMON FOUNDATION FOR EDUCATION AND HOUSING

## FORM 990-PF (JUNE 30, 2017)

### Part IX-A: Summary of Direct Charitable Activities

Following is an overview of services and activities currently offered to high school scholars.

#### Junior Year

- New Student/Parent Orientation
- Academic
  - Progress reports
  - Tutoring/mentoring
  - SAT/ACT preparation
  - Computer
- Life Skills
  - Etiquette training
  - Wardrobe selection
  - Financial literacy workshops
  - Social enrichment events
- Leadership
  - Intro Board of Education
  - Induction/Awards banquet
  - Leadership retreat
  - Community service activities

#### Senior Year

- Academic
  - Progress reports
  - Tutoring/mentoring
  - College selection process
  - College tours
  - College student/parent orientation
- Life Skills
  - College applications & resumes
  - Financial literacy workshops
  - Social enrichment events
- Leadership
  - Induction/Awards banquet
  - Leadership retreat
  - Community service activities

#### College

The **second tier** of the program provides a transactional college scholarship in the form of an annual cost of attendance scholarship of \$4,000 per qualified scholarship recipient. Each scholarship recipient is eligible to receive a total of \$16,000 over a maximum five years of college

Scholarship recipients must maintain a cumulative 2.0 GPA as full-time students and remain in good academic standing with the university they attend. They must also communicate their academic progress to the Simon Scholars program on a quarterly or semester basis in order to continue to be eligible for this scholarship.

# SIMON FOUNDATION FOR EDUCATION AND HOUSING

FORM 990-PF (JUNE 30, 2017)

## Part IX-A: Summary of Direct Charitable Activities

Scholarship recipients are strongly encouraged to keep in regular contact with their peers for networking and support. Whenever possible, scholarship recipients are also asked to give back to the program by volunteering their time and mentoring high school scholars through the college process.

### **PROGRAM OBJECTIVES**

There are four main outcomes for the Simon Scholars program:

- 1 To give students the transformational support they need to graduate from high school and to enroll in a four-year college or university
- 2 To give students transactional support to help them financially to graduate from college
- 3 To empower individuals to become productive and self-sufficient citizens and residents of the United States
- 4 To instill in young people the value of becoming actively involved in and of giving back to their communities in the United States

The Simon Scholars program endeavors to achieve these outcomes by continually re-evaluating and reassessing its programs and by constantly striving for excellence in its deliverables.

Currently the Simon Scholars Program, as described above, is funded by the Simon Foundation for Education and Housing through the Simon Family Foundation and the Simon Charitable Foundation.