

Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

► Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990PF for instructions and the latest information.

1912

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation <u>Humana Foundation, Inc.</u>		A Employer identification number 61-1004763
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 502-580-3613
P. O. Box 740026		
City or town, state or province, country, and ZIP or foreign postal code <u>Louisville KY 40201</u>		C If exemption application is pending, check here <input type="checkbox"/> 6
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation 04 <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 272,530,609	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
(Part I, column (d), must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	6,104,644	6,096,860		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,288,701			
b	Gross sales price for all assets on line 6a 48,197,396				
7	Capital gain net income (from Part IV, line 2)		1,288,701		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less. Cost of goods sold				
c	Gross profit or (loss) (attach schedule)	0			
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	7,393,345	7,385,561	0	
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	294,481			294,481
17	Interest				
18	Taxes (attach schedule) (see instructions)	183,488			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	16			
24	Total operating and administrative expenses. Add lines 13 through 23	477,985	0	0	294,481
25	Contributions, gifts, grants paid	14,010,041			12,161,839
26	Total expenses and disbursements. Add lines 24 and 25	14,488,026	0	0	12,456,320
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	(7,094,681)			
b	Net investment income (if negative, enter -0-)		7,385,561		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2019)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	0	517,297	517,297
	2 Savings and temporary cash investments	23,193,192	11,745,769	11,745,769
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	0	141	141
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	75,174	0	0
	10a Investments—U S and state government obligations (attach schedule)	34,666,174	13,716,387	13,890,136
	b Investments—corporate stock (attach schedule)	62,535,621	62,937,019	166,722,007
	c Investments—corporate bonds (attach schedule)	21,040,548	29,491,471	30,248,308
Liabilities	11 Investments—land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans	28,560,033	47,506,786	48,777,843
	13 Investments—other (attach schedule)	651,601	629,108	629,108
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ <u>UNREALIZED GAIN/(LOSS)</u>)	71,871,847	105,986,631	
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	242,594,190	272,530,609	272,530,609
	17 Accounts payable and accrued expenses	21,565	1,053,902	
	18 Grants payable	800,000	2,648,202	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ <u>DEFERRED EXCISE TAX</u>)	1,437,437	1,473,214	
	23 Total liabilities (add lines 17 through 22)	2,259,002	5,175,318	
	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	240,335,188	267,355,291	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	240,335,188	267,355,291	
	30 Total liabilities and net assets/fund balances (see instructions)	242,594,190	272,530,609	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	240,335,188
2 Enter amount from Part I, line 27a	2	(7,094,681)
3 Other increases not included in line 2 (itemize) ▶ <u>UNREALIZED APPRECIATION</u>	3	34,114,784
4 Add lines 1, 2, and 3	4	267,355,291
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	267,355,291

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b BONDS AND MORTGAGE NOTES	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g))
a 1,858,493		622,932	1,235,561
b 46,338,904		46,285,764	53,140
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,288,701
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	14,196,641	253,526,340	0.0560
2017	11,591,429	204,967,882	0.0566
2016	9,240,482	183,426,038	0.0504
2015	9,747,652	184,170,480	0.0529
2014	8,269,038	166,652,753	0.0496

2 Total of line 1, column (d)	2	0.2655
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 50, or by the number of years the foundation has been in existence if less than 5 years	3	0.053094
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	253,533,949
5 Multiply line 4 by line 3	5	13,461,131
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	73,856
7 Add lines 5 and 6	7	13,534,987
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	12,456,320

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	147,711
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	147,711
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	147,711
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	110,174
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	40,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	150,174
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	585
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,878
11	Enter the amount of line 10 to be Credited to 2020 estimated tax 1,878 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions Kentucky		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.humanafoundation.org</u>	X	
14 The books are in care of ▶ <u>Brittany Forshee</u> Telephone no ▶ <u>502-580-9959</u> Located at ▶ <u>500 W. Main Street, Louisville, KY</u> ZIP+4 ▶ <u>40202</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here		<input type="checkbox"/>
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Schedule				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
The Rensselaerville Institute 750 Delaware Ave, Suite 3, Delmar, New York 12054	Consulting	182,681

Total number of others receiving over \$50,000 for professional services **▶****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 Scholarship America - The Foundation has made no distribution in which it maintains "significant involvement" within the meaning of Reg. Sec. 53.4942(b)-1(b)(2).	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 100% of the Foundation's assets are held for the production of investment income. The Foundation has no program-related activities.	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	256,484,959
b	Average of monthly cash balances	1b	280,805
c	Fair market value of all other assets (see instructions)	1c	629,108
d	Total (add lines 1a, b, and c)	1d	257,394,872
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	257,394,872
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	3,860,923
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	253,533,949
6	Minimum investment return. Enter 5% of line 5	6	12,676,697

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	12,676,697
2a	Tax on investment income for 2019 from Part VI, line 5	2a	147,711
b	Income tax for 2019 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	147,711
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	12,528,986
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	12,528,986
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	12,528,986

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	12,456,320
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,456,320
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	12,456,320

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				12,528,986
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019.				
a From 2014	4,631			
b From 2015	837,377			
c From 2016	144,871			
d From 2017	1,638,638			
e From 2018	2,139,896			
f Total of lines 3a through e	4,765,413			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 12,456,320				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2019 distributable amount				12,456,320
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	72,666			72,666
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,692,747			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	4,692,747			
10 Analysis of line 9				
a Excess from 2015	769,342			
b Excess from 2016	144,871			
c Excess from 2017	1,638,638			
d Excess from 2018	2,139,896			
e Excess from 2019	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4, for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6, for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed

Humana Foundation, Inc. 500 W. Main Street, Louisville, KY 40202 502-580-3613

- b** The form in which applications should be submitted and information and materials they should include

See Attached Statement

- c** Any submission deadlines

February 1, Humana Scholarship Program

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Attached Statement

	2019	2018
Grants and Contributions Paid During the Year or Approved for Future Payment	\$ 67,000	\$ 67,000
Total	\$ 1,000,000	\$ 1,000,000

Form **990-PF** (2019)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	6,104,644		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	1,288,701		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)		0		7,393,345		0
13 Total. Add line 12, columns (b), (d), and (e)				13	7,393,345	

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Humana Foundation, Inc., 61-1004763
2019 Form 990-PF: Parts I and II
Revenue and Expenses; Balance Sheet

Part I, Revenue and Expenses	<u>Column (a)</u> Revenue & expenses per books
Line 16c, Other professional fees	
Audit fees	24,500
Consulting fees	269,981
	<u>294,481</u>
Line 18, Taxes	
Federal excise tax	147,711
Deferred tax expense	35,777
	<u>183,488</u>
Line 23, Other expenses	
Educational seminar	0
Franchise tax	15
Other administrative expenses	1
	<u>16</u>

Part II, Balance Sheet - End of Year	<u>Column (b)</u> Book value	<u>Column (c)</u> Market value
<u>Investments</u>		
Line 10a U S and state government obligations	<u>13,716,387</u>	<u>13,890,136</u>
Line 10b Corporate stock see separate schedule	<u>62,937,019</u>	<u>166,722,007</u>
Line 10c Corporate bonds see separate schedule	<u>29,491,471</u>	<u>30,248,308</u>
Line 13 Other investments		
Accrued interest receivable	414,884	414,884
Accrued dividends receivable	214,224	214,224
Accrued other receivable	0	0
	<u>629,108</u>	<u>629,108</u>

Humana Foundation, Inc., 61-1004763

2019 Form 990-PF: Part II, line 10b, Corporate Stock

	No. of Shares	Book Value	Market Value
3M Company	13,000	1,112,619	2,293,460
Abbot Laboratories	17,000	828,384	1,476,620
American Electric Power	25,500	934,142	2,410,005
Apple Inc	6,300	1,274,029	1,849,995
Aqua America, Inc	40,000	686,168	1,877,600
Archer Daniels Midland	37,500	1,068,359	1,738,125
AT&T (fka SBC Communications)	26,300	618,486	1,027,804
Automatic Data Processes	16,000	686,386	2,728,000
Bank of America	31,072	1,282,496	1,094,356
Boeing Co (The)	9,900	671,993	3,225,024
Carnival Corp	21,000	1,231,291	1,067,430
Chevron Corp	14,400	1,252,872	1,735,344
Cisco Systems	23,000	1,085,401	1,103,080
Clorox Company	11,000	669,797	1,688,940
CME Group Inc	6,000	1,045,882	1,204,320
Coca-Cola Company	27,000	896,875	1,494,450
Corning Inc	66,000	852,072	1,921,260
Corteva Inc	13,642	526,002	403,258
CSX Corporation	42,500	934,100	3,075,300
Diageo PLC	9,700	667,349	1,633,674
Dow Inc	13,642	870,275	746,627
Detroit Edison	12,100	595,480	1,571,427
Dupont de Nemours Inc	13,642	1,259,713	875,816
Eaton Corporation	10,000	403,135	947,200
Eli Lilly and Company	18,500	701,070	2,431,455
Exxon Mobil Corporation	20,000	1,500,123	1,395,600
General Dynamics Corporation	11,500	744,309	2,028,025
Goldman Sachs & Co	9,000	1,281,607	2,069,370
Home Depot	7,000	1,382,842	1,528,660
Honeywell	9,700	294,055	1,716,900
Humana Inc	140,001	2,589,475	51,313,167
Illinois Tool Works	17,400	903,378	3,125,562
Intel Corporation	42,000	894,660	2,513,700
International Paper Co	24,000	1,002,223	1,105,200
Johnson and Johnson	9,000	530,763	1,312,830
JP Morgan Chase & Co	21,000	2,377,104	2,927,400
McDonalds	10,500	161,826	2,074,905
Merck & Co	23,000	881,127	2,091,850
Microsoft Corporation	37,500	1,000,491	5,913,750
Mondelez International Inc	17,000	399,527	936,360
Morgan Stanley	28,000	1,515,281	1,431,360
Nestle SA	11,500	692,651	1,244,354
Nextera Energy Inc	9,400	284,216	2,276,304
Norfolk Southern Corporation	15,500	1,034,592	3,009,015
Pepsico, Inc	20,000	1,268,647	2,733,400
Pfizer	32,500	933,900	1,273,350
Proctor & Gamble	13,200	636,220	1,648,680
Prologis, Inc	23,500	706,631	2,094,790
Simon Property Group, Inc	5,000	553,573	744,800
United Parcel Service	14,000	952,851	1,638,840
United Technologies Co	13,500	1,078,276	2,021,760
U S Bancorp	31,177	916,557	1,848,484
Vanguard FTSE Developed	190,000	8,231,899	8,371,400
Vanguard FTSE EMER	55,000	2,177,901	2,445,850
Verizon Communication	16,776	603,949	1,030,046
Wal-Mart Stores, Inc	14,500	762,395	1,723,180
Walt Disney Company (The)	20,500	794,889	2,964,915
Waste Management	29,500	981,452	3,361,820
Welltower, Inc	14,500	713,255	1,185,810
	1,481,352	62,937,019	166,722,007

Humana Foundation, Inc., 61-1004763
2019 Form 990-PF: Part II, line 10c, Corporate Bonds

	Face Amount	Book Value	Market Value
Abbvie Inc	225,000	220,282	233,166
Alabama Power Co	85,000	84,717	87,211
American Electric Power	55,000	54,978	56,745
American Express Co	150,000	158,211	165,379
American Express Credit	300,000	294,711	305,036
American Honda Finance	300,000	299,900	301,263
Apple Inc	1,070,000	1,070,008	1,096,300
AT&T Corporation	225,000	225,014	235,173
Baltimore Gas & Electric	250,000	248,481	259,257
Bank of America	1,250,000	1,245,258	1,258,375
Bank of New York Company	400,000	400,834	419,660
Bank of New York Mellon Co,	385,000	386,852	390,855
Barclay's Bank PLC	495,000	493,458	498,238
BB&T Corp	625,000	622,943	645,128
Boston Properties LP	170,000	169,700	177,640
BP Capital Markets America Inc	215,000	215,000	229,083
BP Capital Markets	125,000	126,540	128,187
Bristol Myer	400,000	393,035	402,630
Bristol Myers Squibb Co	220,000	219,253	227,172
British Columbia	210,000	209,688	213,269
Burlington North Santa Fe	150,000	152,641	154,189
Capital One Financial Corp	175,000	177,838	184,313
Caterpillar Inc	265,000	265,522	275,960
Charles Schwab Corp	265,000	260,359	270,192
ChevronTexaco Corp	200,000	198,480	213,769
Chubb Ina Holdings Inc	250,000	244,531	255,336
Cigna Corp	150,000	155,126	162,787
Citibank	400,000	399,962	400,482
Citigroup Inc	225,000	221,708	233,458
Comcast Corp	450,000	447,368	461,265
Cooperative Rabobank	250,000	249,457	252,971
Credit Suisse Group	320,000	320,000	320,916
Dominion Energy Gas Holdings	225,000	224,104	224,242
Dow Chemical Co	150,000	158,865	165,753
DowDuPont	115,000	115,000	116,594
DTE Energy Co	35,000	34,968	35,245
Duke Energy Corp	100,000	99,856	104,531
Duke Realty LP	25,000	24,996	25,071
El Paso Pipeline Partners Operatir	225,000	233,631	240,481
Fifth Third Bank	125,000	125,496	125,520
Fifth Third Bank (Ohio)	200,000	199,971	204,421
Fiserv Inc	80,000	79,995	82,852
General Mills Inc	125,000	122,165	131,725
Gilead Sciences Inc	400,000	397,292	400,568
Goldman Sachs Bank USA	45,000	44,998	45,245
Goldman Sachs Group Inc	475,000	473,134	479,730
Home Depot Inc	325,000	321,026	334,263
HSBC Holdings PLC	600,000	596,068	606,620
Huntington Bank	250,000	249,735	254,235
IBM Credit LLC	210,000	209,958	217,044
Intl Business Machine Corp	250,000	249,994	249,990
Jackson NTL Life Global	375,000	374,733	384,317
John Deere Capital	410,000	406,218	419,818
Johnson & Johnson	200,000	194,865	209,782
JPMorgan Chase & Co	800,000	790,888	815,143
Komatsu Finance America, Inc	3,000,000	2,992,223	2,991,816
Lam Research Corporation	130,000	130,111	130,066
Lowe's Co Inc	200,000	199,869	204,881
Manufacturers and Traders	250,000	250,668	252,942

Humana Foundation, Inc., 61-1004763
2019 Form 990-PF: Part II, line 10c, Corporate Bonds

	Face Amount	Book Value	Market Value
Massmutual Global Funding	400,000	399,659	406,985
Medtronic Inc	125,000	124,566	128,565
Metropolitan Life Global	285,000	284,912	292,931
Microsoft Corp	525,000	522,953	550,622
Morgan Stanley	440,000	439,565	460,764
New York Life	250,000	249,868	257,947
Nissan Mtr Accep	130,000	129,984	129,945
Northrop Grumman Corp	200,000	197,556	208,154
Novartis Capital Corp	250,000	249,352	253,572
Oracle Corporation	450,000	448,364	457,533
Paypal Holdings Inc	80,000	79,954	80,789
Penske Truck Leasing Co	100,000	99,956	102,309
PNC Bank National Association	510,000	509,961	516,170
PNC Financial Services Group	100,000	99,813	105,320
Qualcomm Inc	150,000	145,913	152,692
Realty Income Corp	200,000	205,607	216,473
Ryder System Inc	195,000	194,851	201,520
Shell International Finance	200,000	194,954	201,661
Shire Acquisitions Investments	150,000	146,866	154,589
State Street Corporation	400,000	392,645	405,571
Sumitomo Mitsubishi Finance	255,000	256,391	258,985
Thermo Fisher Scientific Inc	150,000	145,760	154,259
Toronto-Dominion Bank	200,000	199,802	210,523
Toyota Motor Credit Corp	460,000	459,343	472,955
Transcontinental Gas Pipe Line	100,000	122,710	127,096
U S Bancorp	250,000	251,858	253,965
UBS AG	200,000	199,943	200,683
Union Pacific Corp	185,000	184,928	202,247
US Bank National Assoc	980,000	979,632	997,667
Verizon Communications Inc	400,000	411,127	431,456
Visa Inc	200,000	198,410	205,814
Vodafone Group PLC	115,000	114,570	120,037
Walmart Inc	460,000	462,781	498,778
Walt Disney Co	225,000	221,760	218,362
Wells Fargo & CO	500,000	502,397	511,526
Westpac Capital	130,000	130,085	131,549
	<u>29,530,000</u>	<u>29,491,471</u>	<u>30,248,308</u>

Humana Foundation, Inc., 61-1004763
2019 Form 990-PF: Part VIII, line 1
Officers and Directors

Officers

Walter D Woods	Chief Executive Officer	500 W. Main St Louisville, KY 40202
Brian A Kane	Senior Vice President, Chief Financial Officer	500 W Main St Louisville, KY 40202
James K Moore	Vice President, Operational Risk Management	500 W Main St Louisville, KY 40202
W Mark Preston	Vice President and Treasurer	500 W Main St Louisville, KY 40202
D Hank Robinson	Vice President, Tax	500 W Main St. Louisville, KY 40202
Joseph C Ventura*	Vice President and Secretary General Counsel and Secretary	500 W Main St Louisville, KY 40202

Directors

Bruce D Broussard	Chairman	500 W Main St Louisville, KY 40202
Caraline L Coats		500 W Main St Louisville, KY 40202
David A Jones		471 W Main St , Suite 203 Louisville, KY 40202
David A Jones, Jr		101 S Fifth St Louisville, KY 40202
Hedi S Margulis		500 W Main St Louisville, KY 40202
Christopher M Todoroff		500 W. Main St Louisville, KY 40202

The Chief Executive Officer holds a full-time position. All others devote time to the Foundation on an as-needed basis averaging from one to three hours per month.

*The role for this officer changed during the calendar year.

Humana Foundation, Inc., 61-1004763
2019 Form 990-PF: Part XV
Supplementary Information

Part XV, line 2, Supplementary Information

2b Applications for contributions

Applications for contributions, other than scholarships, should be submitted by letter and accompanied by a copy of the organization's exemption letter under Code Sec 501(c)(3). The application must request a specific dollar amount and include a brief explanation of the charitable activities of the organization. Applications for scholarship awards should be submitted directly to Scholarship America, St. Peter MN, on a pre-approved form.

For details, see "Grant Applications" and "Scholarship Program" at www.humanafoundation.org.

2d Restrictions on awards

The Humana Foundation identifies, funds, and nurtures projects and organizations in three fields: domestic and international health, education, and civic and cultural development in communities where the company has a meaningful presence. The scholarship competition is open to high school seniors, high school graduates, and college students who are children of Humana employees and plan on pursuing a college degree.

Humana Foundation, Inc , 61-1004763			
2019 Form 990-PF Part XV, line 3a			
Grants and Contributions Paid During the Year			
Recipient	Address	Purpose of contribution	Amount
21ST CENTURY PARKS INC	Louisville KY	Matching Gifts	3 475 00
ADELANTE HISPANIC ACHEIVER INC	Louisville KY	Community Relations Program Grant	25,000 00
AFRICAN AMERICAN HERITAGE FOUNDATION INC DBA KENTUCKY CENTER FOR AMERICAN	Louisville KY	Grand Re-Introduction of KCAAH	2,500 00
AMERICAN CANCER SOCIETY INC	Atlanta GA	ResearchERS of Kentucky	5,000 00
AMERICAN CANCER SOCIETY INC	Atlanta GA	Humana's Dashing with Purpose	13,000 00
AMERICAN CITY BUSINESS JOURNALS INC DBA KANKAS CITY BUSINESS JOURNAL IS A PU	Louisville KY	Louisville Business First Most Admired CEO's Award Sponsorship	2,500 00
AMERICAN HEART ASSOCIATION INC	San Antonio, TX	Matching Gifts	3,005 00
AMERICAN HEART ASSOCIATION INC DBA AMERICAN HEART ASSOCIATION-GREATER SOUT	Dallas TX	Matching Gifts	5 000 00
AMERICAN JUNIOR GOLF ASSOCIATION	Braselton GA	Matching Gifts	10 000 00
AMERICAN ONLINE GIVING FOUNDATION INC DBA BENEVITY COMMUNITY IMPACT FUND	Pittsburgh PA	Matching Gifts	279 738 17
AMERICAN ONLINE GIVING FOUNDATION INC DBA BENEVITY COMMUNITY IMPACT FUND	Pittsburgh PA	Program Grants	7,641 043 90
BIG BROTHERS BIG SISTERS OF KENTUCKIANA INC	Louisville, KY	Community Relations Program Grant	50 000 00
BOTANICA INC	Louisville KY	Matching Gifts	4 400 00
BRIDGE KIDS INTERNATIONAL INC	Louisville KY	Community Relations Program Grant	25 000 00
BROWN COUNTY UNITED WAY	Green Bay WI	Strategic Realignment Support	25 000 00
CASA INC DBA CASA OF THE RIVER REGION	Louisville KY	Community Relations Program Grant	50,000 00
CATHOLIC COMMUNITY OF ST PATRICK	Louisville KY	Matching Gifts	11,800 00
CATHOLIC EDUCATION FOUNDATION INC	Louisville, KY	Matching Gifts	5,050 00
CENTERSTONE OF KENTUCKY INC	Louisville, KY	Community Relations Program Grant	100,000 00
CHESTNUT STREET YMCA	Louisville, KY	Black Achiever's Scholarships	32,500 00
CHILDRENS HEALTHCARE OF ATLANTA FOUNDATION INC	Atlanta, GA	Matching Gifts	5 000 00
CHRIST PRESBYTERIAN CHURCH INC DBA CHRIST PRESBYTERIAN ACADEMY	Nashville TN	Matching Gifts	10 000 00
CHRISTIAN ACADEMY OF LOUISVILLE INC	Louisville KY	Matching Gifts	5 000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	Matching Gifts	4 992 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	Strategic Realignment Support	5,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	Give For Good Louisville 2019	10,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	Evolve502 - Community Relations Program Grant	25,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	Greater Louisville Project	25,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville, KY	Health Equity Fund	50 000 00
CREATIVE AGENTS OF CHANGE FOUNDATION INC DBA IDEAS XLAB	Louisville, KY	Civitas Increasing Economic Opportunity for LGBTQ People Businesses & Allies	10,000 00
CRESCENT HILL BAPTIST CHURCH	Louisville, KY	Matching Gifts	4 000 00
DARE TO CARE INC	Louisville, KY	Community Relations Program Grant	100 000 00
DOWN SYNDROME OF LOUISVILLE INC	Louisville KY	Community Relations Program Grant	25 000 00
EDIFY	San Diego, CA	Matching Gifts	15 000 00
ELDERSERVE INC	Louisville KY	Community Relations Program Grant	100 000 00
FAMILY SCHOLAR HOUSE INC	Louisville KY	Volunteer Award	3 000 00
FEEDING AMERICA	Chicago, IL	Government Shutdown Disaster Relief	125,000 00
FIRST ORGANIZATION OF TRENTON SOCCER	Trenton, OH	Volunteer Award	4,000 00
FUND FOR THE ARTS	Louisville, KY	Matching Gifts	81 711 00
GILDA'S CLUB LOUISVILLE	Louisville, KY	Strategic Realignment Support	12 500 00
HAVE A HEART FOUNDATION INC	Louisville, KY	Matching Gifts	13 801 00
HOLY TRINITY CHURCH	Louisville, KY	Matching Gifts	2 850 00
HOPE COLABORATIVE CHRISTIAN COMMUNITY DEVELOPMENT INC	Louisville, KY	Trauma Sensitive Programs/ Beechmont Community	10 000 00
HOPE OUTREACH CENTER INC	Davie FL	Matching Gifts	3 000 00
J B SPEED ART MUSEUM DBA THE SPEED ART MUSEUM	Louisville KY	Matching Gifts	6 700 00
KABOOM INC	Washington, DC	Community Relations Program Grant	100,000 00
KENTUCKY AUTHOR FORUM	Louisville KY	Strategic Realignment Support	27,500 00
KENTUCKY CENTER FOR THE ARTS	Louisville, KY	Strategic Realignment Support	30,000 00
LA CASITA CENTER INC	Louisville, KY	Community Relations Program Grant	50 000 00
LEADERSHIP KENTUCKY FOUNDATION	Frankfort KY	Scholarship	3 500 00
LEADERSHIP LOUISVILLE FOUNDATION INC DBA LEADERSHIP LOUISVILLE CENTER	Louisville, KY	CPP Grant/Network Resource Group Connected, Confident and In the Lead	35 000 00
LEGAL AID SOCIETY	Louisville, KY	Strategic Realignment Support	7 500 00
LEUKEMIA & LYMPHOMA SOCIETY INC	Louisville, KY	Matching Gifts	3 130 00
LEXINGTON CATHOLIC HIGH SCHOOL	Lexington KY	Matching Gifts	25,000 00
LIFT INC	Washington DC	Matching Gifts	25,000 00
LOUISVILLE JEFFERSON COUNTY METRO GOVERNMENT	Louisville KY	Sustainer Society	10,000 00
LOUISVILLE JEFFERSON COUNTY METRO GOVERNMENT	Louisville KY	Community Relations Program Grant	50,000 00
LOUISVILLE ORCHESTRA INC	Louisville KY	Matching Gifts	12,000 00
LOUISVILLE ORCHESTRA INC	Louisville, KY	Community Relations Program Grant	325 000 00
LOUISVILLE YOUTH GROUP INC	Louisville, KY	Conversion Therapy Documentary	10 000 00
LOUISVILLE ZOO FOUNDATION	Louisville, KY	Strategic Realignment Support	5 000 00
LOVE CITY INC	Louisville, KY	Rebuilding Westonia Park in the Portland Neighborhood	10 000 00
MAIN STREET CONNECT INC	Rockville MD	Matching Gifts	10 000 00
MAKE-A-WISH SOUTHERN FLORIDA	Ft Lauderdale, FL	Volunteer Award	1,000 00
MARSHALL UNIVERSITY FOUNDATION INC	Huntington, WV	Matching Gifts	5,000 00
MATCHING GIFTS \$0-\$100	Various	Various	49 804 06
MATCHING GIFTS \$101-\$2,500	Various	Various	127 922 38
METRO UNITED WAY INC	Louisville KY	Matching Gifts	104,983 00
METROPOLITAN OPERA ASSOCIATION INC	New York, NY	Matching Gifts	5,500 00
NATIONAL KIDNEY FOUNDATION	Louisville KY	Matching Gifts	5,050 00
NATIVITY ACADEMY AT ST BONIFACE	Louisville, KY	Matching Gifts	3 750 00
NEW YORK HISTORICAL SOCIETY	New York, NY	Matching Gifts	6,000 00
OLDER ADULTS TECHNOLOGY SERVICES INC	San Antonio TX	Matching Gifts	5 000 00
OLDHAM COUNTY EDUCATIONAL FOUNDATION	Crestwood KY	Volunteer Award	1 000 00
OLMSTED PARKS CONSERVANCY INC	Louisville, KY	Community Relations Program Grant	50 000 00
PARKINSON SUPPORT CENTER OF KENTUCKIANA	Louisville KY	Community Relations Program Grant	25 000 00
PERFORMING ARTS CENTERS OF KEY WEST INC DBA TENNESSEE WILLIAMS THEATRE	Key West FL	Matching Gifts	5,000 00
PHOENIX PARKS AND CONSERVATION FOUNDATION	Phoenix AZ	Humana Make a Difference Day	1,000 00
PIT BULLS OF ST FRANCIS	Louisville KY	Matching Gifts	4,200 00
RAPTOR REHABILITATION OF KENTUCKY INC	Louisville KY	Volunteer Award	4 000 00
RONALD MCDONALD HOUSE CHARITIES OF TAMPA BAY INC	Tampa FL	Volunteer Award	3 000 00
SCHOLARSHIP AMERICA	St Petersburg, FL	Scholarship Awards	695 300 00
SIDWELL FRIENDS SCHOOL	Washington DC	Matching Gifts	10 000 00
SOCIAL SCIENCE RESEARCH COUNCIL	Brooklyn, NY	Matching Gifts	19,000 00
SOCIETY OF GENERAL INTERNAL MEDICINE	Alexandria, VA	Matching Gifts	8,000 00
SOUTHEAST CHRISTIAN CHURCH OF JEFFERSON COUNTY KY INC	Louisville, KY	Matching Gifts	10 000 00
SOUTHEASTERN COUNCIL OF FOUNDATIONS	Atlanta, GA	2019/2020 Annual Membership	10,000 00
ST CROIX ANIMAL WELFARE CENTER	St Croix VI	Matching Gifts	5 000 00

Humana Foundation, Inc , 61-1004763			
2019 Form 990-PF Part XV, line 3a			
Grants and Contributions Paid During the Year			
<u>Recipient</u>	<u>Address</u>	<u>Purpose of contribution</u>	<u>Amount</u>
ST GEORGES SCHOLAR INSTITUTE	Louisville KY	Community Relations Program Grant	50 000 00
ST IGNATIUS OF LOYOLA CATHOLIC SCHOOL	Kaukauna WI	Volunteer Award	1 000 00
ST JOHN CENTER INC	Louisville, KY	Community Relations Program Grant	50 000 00
ST JOHN NEPOMUCENE CONGREGATION	Little Chute WI	Matching Gifts	5 000 00
ST JUDE CHILDREN S RESEARCH HOSPITAL INC	Memphis TN	Matching Gifts	3 058 00
ST STEPHENS AND ST AGNES SCHOOL FOUNDATION	Alexandria, VA	Matching Gifts	4 900 00
ST JOHN PAUL II CATHOLIC SCHOOLS NETWORK	Fargo ND	Matching Gifts	3 000 00
TARGET HUNGER	Houston TX	Annual Leader Forum Speaker Donation	15,000 00
TEACH KENTUCKY INC	Louisville KY	Strategic Realignment Support	5 000 00
THAMIZH SCHOOLS USA	Schaumburg, IL	Volunteer Award	4 000 00
THE BATTERY CONSERVANCY INC	New York, NY	Matching Gifts	40 000 00
THE BOYS & GIRLS CLUBS INC DBA BOYS & GIRLS CLUBS OF KENTUCKIANA	Louisville KY	Community Relations Program Grant	50 000 00
THE BRIDGE FUND	Bonita Springs, FL	Matching Gifts	5 500 00
THE CENTER FOR NONPROFIT EXCELLENCE	Louisville KY	CPP Grant/Better Together Fostering Strategic Collaboration To Improve Community Well-Being a	65 000 00
THE CLEVELAND CLINIC FOUNDATION OH	Cleveland OH	Matching Gifts	25 000 00
THE LOUISVILLE HOUSING OPPORTUNITIES & MICRO-ENTERPRISE COMMUNITY DEVELOPM	Louisville KY	Community Relations Program Grant	100,000 00
THE LOUISVILLE URBAN LEAGUE	Louisville, KY	Community Relations Program Grant	50,000 00
THE MACK FAMILY FOUNDATION INC	Louisville, KY	Membership	6,000 00
THE PENNSYLVANIA BALLET ASSOCIATION	Philadelphia, PA	Matching Gifts	40,000 00
THE PRAYER HOUSE DBA THE ROPE CENTER	Port Richey, FL	Matching Gifts	3,000 00
THE TRUSTEES OF PRINCETON UNIVERSITY DBA PRINCETON UNIVERSITY	Princeton NJ	Matching Gifts	5 000 00
THE UCLA FOUNDATION	Los Angeles, CA	Matching Gifts	50,000 00
THE UNIVERSITY OF CHICAGO	Chicago, IL	Matching Gifts	5 000 00
THOMAS JEFFERSON UNIVERSITY	Philadelphia PA	Matching Gifts	25 000 00
TIGHTEN THE DRAG FOUNDATION INC	Lutz FL	Volunteer Award	1 000 00
TRUSTEES OF AMHERST COLLEGE	Amherst, MA	Matching Gifts	5 000 00
UNBRIDLED CHARITABLE FOUNDATION INC	Louisville, KY	Matching Gifts	5 000 00
UNITED WAY OF DANE COUNTY	Madison WI	Strategic Realignment Support	25 000 00
UNITED WAY WORLDWIDE	Alexandria VA	Government Shutdown 2019 Disaster Relief	125 000 00
UNIVERSITY OF LOUISVILLE ATHLETIC ASSOCIATION	Louisville KY	Matching Gifts	10 000 00
UNIVERSITY OF LOUISVILLE FOUNDATION INC	Louisville KY	Matching Gifts	10,000 00
UNIVERSITY OF LOUISVILLE FOUNDATION INC	Louisville, KY	Community Relations Program Grant	325,000 00
UP2US INC	New York, NY	Strategic Realignment Support	50 000 00
VOLUNTEERS IN SERVICE TO THE ELDERLY INC	Lakeland, FL	Volunteer Award	4 000 00
VOLUNTEERS OF AMERICA MID STATES INC	Louisville, KY	Strategic Realignment Support	38 875 00
VOLUNTEERS OF AMERICA MID STATES INC	Louisville, KY	Community Relations Program Grant	50 000 00
WORLD WILDLIFE FUND	Washington, DC	Matching Gifts	3,300 00
YOUNG MEN'S CHRISTIAN ASSOCIATION OF GREATER KANSAS CITY	Kansas City, MO	Strategic Realignment Support	40,500 00
YPAL CARES INC DBA YPAL FOUNDATION	Louisville, KY	YPAL Diversity & Inclusion Initiatives	5,000 00
			to Part XV, line 3a 12,161,838 51

Humana Foundation, Inc., 61-1004763
2019 Form 990-PF: Part XV, line 3b
Grants and Contributions Approved in 2019 for Future Payment

<u>Recipient</u>		<u>Amount</u>
Arts		
	A	-
	Arts - Total	<u>0</u>
Community Development		
American Online Giving Foundation	C	348,202
	Community Development - Total	<u>348,202</u>
Education		
Actors Theatre of Louisville	E	1,500,000
	Education - Total	<u>1,500,000</u>
Human and Health Services		
	H	-
	Human and Health Services - Total	<u>0</u>
	Total to Part XV, line 3b	1,848,202