

Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public
▶ Go to www.irs.gov/Form990PF for instructions and the latest information

2018
Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending , 20

Name of foundation
THE DUKE ENDOWMENT
Number and street (or P O box number if mail is not delivered to street address)
800 EAST MOREHEAD STREET
City or town, state or province, country, and ZIP or foreign postal code
CHARLOTTE, NC 28202

A Employer identification number
56-0529965

B Telephone number (see instructions)
(704) 376-0291

G Check all that apply
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

C If exemption application is pending, check here

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation **0A**

E If private foundation status was terminated under section 507(b)(1)(A) check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 3,612,795,810
J Accounting method Cash Accrual
 Other (specify) MODIFIED CASH
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc received (attach schedule)	6,450,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	48,968,927	85,352,254		
	5a Gross rents	127,501	127,501		
	b Net rental income or (loss) <u>82,111</u>				
	6a Net gain or (loss) from sale of assets not on line 10	209,606,658			
	b Gross sales price for all <u>2,210,046,419</u> assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		163,176,992		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) <u>ATCH 1</u>		24,641,105			
12 Total Add lines 1 through 11	265,153,086	273,297,852			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	3,757,333	291,741		3,290,689
	14 Other employee salaries and wages	5,172,735	308,463		4,806,998
	15 Pension plans, employee benefits	3,663,791	135,761		3,481,395
	16a Legal fees (attach schedule) <u>ATCH 2</u>	23,814			23,814
	b Accounting fees (attach schedule) <u>ATCH 3</u>	308,375	39,012		262,119
	c Other professional fees (attach schedule) <u>[4]</u>	9,834,592	7,262,842		1,223,207
	17 Interest <u>ATCH 5</u>		50,618,994		
	18 Taxes (attach schedule) (see instructions) <u>[6]</u>	4,301,188	1,902,912		
	19 Depreciation (attach schedule) and depletion	1,553,749			
	20 Occupancy	1,756,511	10,736		1,743,781
	21 Travel, conferences, and meetings	317,570	7,982		309,588
	22 Printing and publications	602,867			602,867
	23 Other expenses (attach schedule) <u>ATCH 7</u>	1,499,796	23,060,137		2,056,668
	24 Total operating and administrative expenses Add lines 13 through 23	32,792,321	83,638,580		17,801,126
	25 Contributions, gifts, grants paid	160,273,086			160,273,086
26 Total expenses and disbursements Add lines 24 and 25	193,065,407	83,638,580	0	178,074,212	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	72,087,679				
b Net investment income (if negative, enter -0-)		189,659,272			
c Adjusted net income (if negative, enter -0-)					

RECEIVED
NOV 22 2019
OGDEN, UT
IRS-OSC

3/4

SCANNED JAN 31 2020

4 661

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	48,213	248,597	248,597
	2	Savings and temporary cash investments	9,313,473	18,292,576	18,332,313
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 8	80,828,173	96,277,837	142,350,567
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 9	2,544,355,175	2,594,320,232	3,407,516,715
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶	45,837,455 6,145,918	39,691,537	39,691,537
15	Other assets (describe ▶ ATCH 10)	6,345,588	4,656,081	4,656,081	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	2,682,038,711	2,753,486,860	3,612,795,810	
Liabilities	17	Accounts payable and accrued expenses	26,868,086	24,753,875	
	18	Grants payable	25,220,192	19,036,398	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)	34,730,016	33,549,988	ATCH 11
	22	Other liabilities (describe ▶ ATCH 12)	2,503,657	3,659,452	
23	Total liabilities (add lines 17 through 22)	89,321,951	80,999,713		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> X and complete lines 24 through 26, and lines 30 and 31				
	24	Unrestricted	3,825,765	2,597,663	
	25	Temporarily restricted	2,329,209,917	2,410,208,406	
	26	Permanently restricted	259,681,078	259,681,078	
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	2,592,716,760	2,672,487,147	
	31	Total liabilities and net assets/fund balances (see instructions)	2,682,038,711	2,753,486,860	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 2,592,716,760
2	Enter amount from Part I, line 27a	2 72,087,679
3	Other increases not included in line 2 (itemize) ▶ ATCH 13	3 7,682,708
4	Add lines 1, 2, and 3	4 2,672,487,147
5	Decreases not included in line 2 (itemize) ▶	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 2,672,487,147

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)

		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	163,176,992
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	148,313,305	3,407,740,734	0.043522
2016	145,788,720	3,209,685,359	0.045421
2015	136,796,996	3,350,297,683	0.040831
2014	170,921,969	3,321,357,665	0.051461
2013	162,313,381	2,988,837,757	0.054307
2	Total of line 1, column (d)		0.235542
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years		0.047108
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5		3,588,349,613
5	Multiply line 4 by line 3.		169,039,974
6	Enter 1% of net investment income (1% of Part I, line 27b).		1,896,593
7	Add lines 5 and 6.		170,936,567
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		178,167,648

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,896,593
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	1,896,593
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-	5	1,896,593
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	3,979,961
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	3,979,961
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,083,368
11	Enter the amount of line 10 to be Credited to 2019 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	2,083,368

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a	X	
4b	X	
5		X
6	X	
7	X	
8b	X	
9		X
10		X

2

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW DUKEENDOWMENT ORG 13 Yes No X
14 The books are in care of KAREN H ROGERS, TREASURER Telephone no 704-376-0291 Located at 800 EAST MOREHEAD ST CHARLOTTE, NC ZIP+4 28202
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

1a During the year, did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? X Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here 1b Yes No X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? 1c Yes No X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? Yes No X If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b Yes No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? X Yes No
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) 3b Yes No X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a Yes No X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? 4b Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year, did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
	Organizations relying on a current notice regarding disaster assistance, check here		<input type="checkbox"/>
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<input checked="" type="checkbox"/>
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		3,420,603	279,701	57,029

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		1,312,840	238,453	0

Total number of other employees paid over \$50,000. **33**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services See instructions. If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 14		5,900,636
Total number of others receiving over \$50,000 for professional services		51

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 CONDUCTING EDUCATIONAL CONFERENCES AND SEMINARS	418,178
2 CONDUCTING STUDIES/EVALUATIONS/RESEARCH FOR VARIOUS GRANT PROJECTS	697,854
3 SUPPORT PROGRAM STAFF ON BOARDS AND ADVISORY COMMITTEES OF CHARITABLE ORGANIZATIONS OR TASK FORCES	75,500
4 COMMUNICATING THE WORK AND MISSION OF OUR GRANTEEES THROUGH OUR WEBSITE, REPORTS, NEWSLETTERS, AND SOCIAL MEDIA EFFORTS, INFORMING THE FIELD ABOUT STRATEGY AND OUTCOMES	602,867

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,648,036,923
b	Average of monthly cash balances	1b	-5,042,392
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	3,642,994,531
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	3,642,994,531
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	54,644,918
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,588,349,613
6	Minimum investment return. Enter 5% of line 5	6	179,417,481

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	179,417,481
2a	Tax on investment income for 2018 from Part VI, line 5	2a	1,896,593
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,896,593
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	177,520,888
4	Recoveries of amounts treated as qualifying distributions.	4	330,961
5	Add lines 3 and 4	5	177,851,849
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	177,851,849

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	178,074,212
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	93,436
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	178,167,648
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	1,896,593
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	176,271,055

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				177,851,849
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			61,085,350	
b Total for prior years 20 <u>16</u> , 20 <u>15</u> , 20 <u>14</u>				
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>178,167,648</u>				
a Applied to 2017, but not more than line 2a			61,085,350	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount.				117,082,298
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				60,769,551
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

ATCH 15

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 17				160,273,086
Total				▶ 3a 160,273,086
b Approved for future payment ATTACHMENT 17				19,036,398
Total				▶ 3b 19,036,398

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue, 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate, 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue, 12 Subtotal, 13 Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No, Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash
(2) Other assets
b Other transactions
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1: ATCH 16

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here: Signature of officer or trustee, Date 11.14.19, Title CFO/Treasurer. May the IRS discuss this return with the preparer shown below? See instructions Yes No

Paid Preparer Use Only: Print/Type preparer's name MICHELE N MELCHIOR, Preparer's signature, Date 11/12/2019, Check self-employed, PTIN P00488037, Firm's name GRANT THORNTON LLP, Firm's address 201 S COLLEGE ST, STE 2500 CHARLOTTE, NC 28244, Firm's EIN 36-6055558, Phone no 704-632-3500

Schedule of Contributors

2018

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
 ▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization THE DUKE ENDOWMENT	Employer identification number 56-0529965
--	--

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE DUKE ENDOWMENT

Employer identification number
56-0529965

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BLUE MERIDIAN PARTNERS, LLC 415 MADISON AVENUE FLOOR 10, NY 10017	\$ 6,450,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE DUKE ENDOWMENT

Employer identification number
56-0529965

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization THE DUKE ENDOWMENT

Employer identification number

56-0529965

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) ► \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

_____ _____ _____	_____ _____ _____
-------------------------	-------------------------

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

_____ _____ _____	_____ _____ _____
-------------------------	-------------------------

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

_____ _____ _____	_____ _____ _____
-------------------------	-------------------------

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

_____ _____ _____	_____ _____ _____
-------------------------	-------------------------

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
108346631		PASS THROUGH INVESTMENTS PROPERTY TYPE OTHER				P	VAR 108346631	VAR
2051231101		PUBLICLY TRADED SECURITIES PROPERTY TYPE SECURITIES 2004003670				P	VAR 47227431	VAR
42901457		DISTRIBUTIONS IN EXCESS OF BASIS PROPERTY TYPE OTHER				P	VAR 42901457	VAR
40,215		CAPITAL GAIN DISTRIBUTIONS PROPERTY TYPE SECURITIES				P	VAR 40,215	VAR
798,130		PUT OPTIONS PROPERTY TYPE SECURITIES				P	VAR 798,130	VAR
694,678		FUTURES CONTRACTS PROPERTY TYPE SECURITIES				P	VAR 694,678	VAR
6,034,207		FOREIGN EXCHANGE CONTRACTS PROPERTY TYPE SECURITIES				P	VAR 6,034,207	VAR
		SWAPS PROPERTY TYPE SECURITIES 28812471				P	VAR -28812471	VAR
		DISPOSAL OF ALTERNATIVE INVESTMENTS PROPERTY TYPE SECURITIES 14053286				P	VAR -14053286	VAR
TOTAL GAIN (LOSS)							<u>163176992</u>	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT
OTHER INCOME (NET OF UBTI) PER K-1S		<u>24,641,105.</u>
LINE 4 DIVIDENDS INCLUDE \$575,618 OF SEC 965(A) INCOME		
TOTALS		<u><u>24,641,105</u></u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	23,814			23,814
TOTALS	<u>23,814</u>			<u>23,814</u>

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES - AUDIT & TAX	308,375	39,012		262,119
TOTALS	<u>308,375</u>	<u>39,012</u>		<u>262,119</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT COUNSELING FEES CONSULTANTS	8,611,385 1,223,207	7,262,842		1,223,207.
TOTALS	<u>9,834,592</u>	<u>7,262,842</u>		<u>1,223,207</u>

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT INT EXP PER K-1S		50,618,994
TOTALS		<u>50,618,994</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
PROPERTY TAX PAID-RENTAL PROP	45,390	45,390		
EXCISE TAXES (NET)	4,255,798.			
FOREIGN TAXES PAID		1,857,522		
TOTALS	<u>4,301,188</u>	<u>1,902,912</u>		

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INFO SYSTEMS GENERAL SERVICES	358,723	1,537		356,901
INVESTMENT DATA SERVICES	25,786	21,748		
INFORMATION SYSTEMS SOFTWARE	43,699	205.		43,456.
INFORMATION SYSTEMS HARDWARE	72,515	643		71,753
TELEPHONE SERVICES	108,213	3,109.		104,527.
OTHER EQUIPMENT LEASE	10,322	353		9,904.
COPIER EXPENSES	25,086	857.		24,070.
DOCUMENT MANAGEMENT	353			353
STATIONARY AND SUPPLIES	13,908	407		13,425.
POSTAGE	6,512	144		6,341
FURNITURE AND EQUIPMENT	32,289			32,289.
MISCELLANEOUS	103,700	1,035.		102,473.
PUBLICATIONS-SUBSCRIPTIONS	29,159	168.		28,960
HOSTING/CONVENING MEETINGS	418,178	216		417,922
INTERNAL MEETINGS AND MEALS	242,972	2,330.		240,209
INSURANCE (NOT-EMPLOYEE)	53,969	1,127		52,633.
MEMBERSHIPS	268,853	1,636.		266,913.
REIMBURSEMENTS-OTHER ENTITIES	-109,272	-20,893.		-84,500.
CUSTODY FEES	500,599	316,655.		125,149
DECR PENSION&POST RET. OBLG	-970,996			
MISCELLANEOUS	265,228	17,996		243,890
OTHER DEDUCTIONS PER K-1S		22,710,864		
NET OF 965(C) OF \$451,184				
TOTALS	<u>1,499,796</u>	<u>23,060,137.</u>		<u>2,056,668.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PUBLICLY TRADED CORP STOCK	96,277,837	142,350,567
TOTALS	<u>96,277,837.</u>	<u>142,350,567.</u>

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
HEDGED STRATEGIES	599,554,546.	791,378,452
INTERNATIONAL EQUITIES	365,108,488	389,663,161
INTERNATIONAL FIXED INCOME	111,323,868	99,580,793
PRIVATE INVESTMENTS	646,895,259	1,182,933,488
REAL ESTATE ASSETS	479,181,967	549,058,681
SHORT-TERM INVESTMENTS	342,581,105	342,581,105
OTHER	49,674,999	52,321,035
TOTALS	<u>2,594,320,232</u>	<u>3,407,516,715</u>

ATTACHMENT 10

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SECURITIES TRANSACTIONS REC	3,569,305.	3,569,305
OTHER ASSETS	1,086,776	1,086,776
TOTALS	<u>4,656,081</u>	<u>4,656,081</u>

FORM 990PF, PART II - MORTGAGES AND OTHER NOTES PAYABLE

LENDER	MASSACHUSETTS MUTUAL	
ORIGINAL AMOUNT	40,000,000.	
INTEREST RATE	3.8500 %	
DATE OF NOTE	10/31/2012	
MATURITY DATE	10/31/2037	
REPAYMENT TERMS	SEMI ANNUAL REPAYMENT	
PURPOSE OF LOAN	BUILD NEW FACILITY FOR THE ENDOWMENT HEADQUARTERS	
BEGINNING BALANCE DUE		34,730,016
ENDING BALANCE DUE		<u>33,549,988</u>
TOTAL BEGINNING MORTGAGES AND OTHER NOTES PAYABLE		<u>34,730,016</u>
TOTAL ENDING MORTGAGES AND OTHER NOTES PAYABLE		<u>33,549,988</u>

FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
SECURITIES TRANSACTIONS PAYABLE	3,659,452
TOTALS	<u>3,659,452</u>

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
INCREASE IN GRANTS PAYABLE	6,183,794
CHANGE IN DEFERRED TAX LIABILITY	1,469,203
CHARITABLE CONTRIBUTIONS FROM K-1S	29,711
TOTAL	<u>7,682,708</u>

2018
Attachment 14
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, Foundation Managers and Their Compensation

(a) Name and Address	(b) Title and Avg Hrs per Week	(c) Compensation	(d) Contributions to Employee Benefit Plans and Deferred Compensation	(e) Expense Account and Other Allowances
William Barnet, III 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,557
Dennis M Campbell 800 E Morehead Street Charlotte, NC 28202-2706	Trustee Vice-Chair *5 5 hours per week	\$153,686	\$0	\$3,557
John F A V Cecil 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,557
Ravenel B Curry III 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,557
Harris E Deloach Jr 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,557
Constance F Gray 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,557
J Trent Jones 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,557
Thomas S Kenan, III 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,558
Charles C Lucas, III 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,558
C G Newsome (Elected 4/10/2018) 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$115,265	\$0	\$3,558
Wilhelmina M Reuben-Cooke 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,558
Minor M Shaw 800 E Morehead Street Charlotte, NC 28202-2706	Trustee Chairman *14 5 hours per week	\$153,686	\$0	\$3,558
Jean G Spaulding 800 E Morehead Street Charlotte, NC 28202-2706	Trustee Vice-Chair *5 5 hours per week	\$153,686	\$0	\$3,558
Kenneth D Weeks, Jr 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,558
Judy Woodruff 800 E Morehead Street Charlotte, NC 28202-2706	Trustee *5 5 hours per week	\$153,686	\$0	\$3,558

***Compensation Explanation**

Trustee compensation was set forth in the Second division of Mr Duke's Indenture of Trust dated December 11, 1924, as amended. Trustees do not receive compensation for travel-related expenses. The minimum hours for trustees is based upon 10 board meetings, related committee meetings, travel and preparation time. Trustees are also frequently consulted between meetings and asked to review and comment on e-mails, reports and papers prepared by staff and assist on other Endowment related matters. Broken down on a weekly basis, the minimum time is approximately 4 to 7 hours per week, per trustee.

2018
 Attachment 14
 Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, Foundation Managers and Their Compensation

(a) Name and Address	(b) Title and Avg Hrs per Week	(c) Compensation	(d) Contributions to Employee Benefit Plans and Deferred Compensation	(e) Expense Account and Other Allowances
Rhett N Mabry 800 E Morehead Street Charlotte, NC 28202-2706	President Over 40 hours per week	\$522,308	\$160,149	** \$1,222
Arthur E Morehead IV 800 E Morehead Street Charlotte, NC 28202-2706	V P and General Counsel Secretary Over 40 hours per week	\$328,116	\$52,303	** \$1,222
Karen H Rogers 800 E Morehead Street Charlotte, NC 28202-2706	CFO and Treasurer Over 40 hours per week	\$303,310	\$67,249	** \$1,222
Total Part VIII, Line 1		<u>\$3,420,603</u>	<u>\$279,701</u>	<u>\$57,029</u>

**The Duke Endowment provides a supplemental executive retirement plan to a select group of management or highly compensated employees under a nonqualified deferred compensation arrangement, subject to substantial risk of forfeiture

2018
Attachment 14
Form 990-PF, Part VIII, Line 2
Compensation of Five Highest Paid Employees (Other Than Those Included on Line 1)

(a) Name and Address	(b) Title and Avg Hrs per Week	(c) Compensation	(d) Contributions to Employee Benefit Plans and Deferred Compensation	(e) Expense Account and Other Allowances
William Bacon 800 E Morehead Street Charlotte, NC 28202-2706	Dir Of Evaluation Over 40 hours per week	\$234,463	\$52,223	\$0
Linwood Hollowell 800 E Morehead Street Charlotte, NC 28202-2706	Director Health Care Division Over 40 hours per week	\$232,891	\$50,939	\$0
Susan L McConnell 800 E Morehead Street Charlotte, NC 28202-2706	Director Human Resources and Higher Ed Over 40 hours per week	\$284,134	\$39,292	** \$0
Robert Webb 800 E Morehead Street Charlotte, NC 28202-2706	Director Rural Church Division Over 40 hours per week	\$250,537	\$28,048	\$0
K Todd Walker 800 E Morehead Street Charlotte, NC 28202-2706	Director of Investments Over 40 hours per week	\$310,815	\$67,951	** \$0
TOTAL Part VIII, Line 2		<u><u>\$1,312,840</u></u>	<u><u>\$238,453</u></u>	<u><u>\$0</u></u>

**The Duke Endowment provides a supplemental executive retirement plan to a select group of management or highly compensated employees under a nonqualified deferred compensation arrangement, subject to substantial risk of forfeiture

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
DUMAC, LLC 406 BLACKWELL STREET, SUITE 300 DURHAM, NC 27701	INVESTMENT ADVISOR	4,106,054
WILLIAMS GROUP 70 LONIA AVE SW, SUITE 200 GRAND RAPIDS, MI 49503-4130	CONSULTANT	521,565
BNY MELLON BANK 240 GREENWICH STREET NEW YORK, NY 10286	CUSTODY BANK	506,886
GRANT THORNTON LLP 201 SOUTH COLLEGE STREET, SUITE 2500 CHARLOTTE, NC 28244	ACC TAX & CONSULTING	423,274
RGM CAPITAL 9010 STRADA STELL CT NAPLES, FL 34109	INVESTMENT MANAGER	342,857
	TOTAL COMPENSATION	<u>5,900,636</u>

2018

Statement 15

Form 990-PF, Part XV, Question 2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: The following responses are for questions 2a, b, c, and d of the 990-PF. More detailed information may be found at the Duke Endowment's Web Site at <http://www.dukeendowment.org>.

- a) If you believe you have a project that would qualify for a grant under the terms of the indenture, or that would meet the guidelines for a program approved by the Endowment's trustees, please send a letter or e-mail (gcochrane@tde.org) describing the proposed project to

Mr. Rhett N. Mabry, President
The Duke Endowment
800 East Morehead Street
Charlotte, North Carolina 28202-4012
704/376-0291

- b) and c) No specific forms are required for letters of inquiry. Forms for formal applications are available on the Endowment's website. There are no submission deadlines. The following information may be helpful to potential grantees

Eligible requests will be referred to an appropriate program officer in our education, health care, child care, or rural church program areas. The program officer may request a full proposal, including project description, budget, funding sources, board members, and other pertinent information. If a meeting is desirable, the program officer will arrange this, as well as site visits or other conferences.

If the proposal is eligible and complete, the program officer will take it to a committee of the trustees. The trustees of The Duke Endowment maintain committees for each of the four program areas.

If the proposal is endorsed by the appropriate committee, it is then taken to the board of trustees for consideration. The trustees meet at least ten times a year, and committees meet at scheduled times between board meetings. Depending on the meeting times and the completeness of the proposal, it may take between two and six months for a final decision.

Original letters of inquiry are normally answered within 30 days. Those who have been asked to submit full proposals are notified of the trustees' decision by letter immediately after the trustees' meeting.

THE DUKE ENDOWMENT 56-05299652018Statement 15Form 990-PF, Part XV, Question 2

- d) The Duke Endowment is a charitable trust, established in 1924 by North Carolina industrialist James Buchanan Duke. While the Endowment is legally a trust, it also meets the definition of a private or independent foundation.

The charter instrument that created The Duke Endowment is the Indenture of Trust. In it, Mr. Duke specified those who would be eligible for grants, stated his reasons for these choices, and gave clear instructions about the future operation of the trust. Under guidelines explained in the Indenture, the Endowment awards grants to the following.

- Four educational institutions: Duke University, Davidson College, Furman University, and Johnson C. Smith University. Grants are made for general and special purposes.
- Not-for-profit hospitals and health-care organizations in North Carolina and South Carolina. Grants are made for programs and for improving and expanding facilities.
- Not-for-profit child-care institutions and selected other agencies supporting children's welfare in North Carolina and South Carolina. Grants are made for programs, facilities, and services.
- Rural United Methodist churches and related organizations in North Carolina. Grants are made for buildings and programs.
- Retired ministers who have served at least five years in an annual conference of the United Methodist Church in North Carolina, and their surviving dependents. Grants are made for support and maintenance.
- The trustees also have discretion to make grants to selected institutions doing charitable work similar to that of the beneficiaries named in the Indenture.

FORM 990PF, PART XVII, LINE 1D - INFORMATION REGARDING TRANSFERS

LINE NO	AMOUNT INVOLVED	NAME OF NONCHARITABLE ORGANIZATION	DESCRIPTION OF TRANSFERS AND SHARING ARRANGEMENT
1A(1)	2,132	AM INSTITUTE OF CPA	MEMBERSHIP AND DUES
1A(1)	685	EXEC WOMEN INTL	MEMBERSHIP AND DUES
1A(1)		INTERNATIONAL ASSOC	MEMBERSHIP AND DUES
1A(1)	300	OF ADMIN PROFESSION	MEMBERSHIP AND DUES
1A(1)	680	NC ASSOC OF CPA	MEMBERSHIP AND DUES
1A(1)	375	NC STATE BAR ASSOC	MEMBERSHIP AND DUES
1A(1)	225	MECKLENBURG BAR ASSO	MEMBERSHIP AND DUES
1A(1)	390	CFA INSTITUTE	MEMBERSHIP AND DUES
1A(1)	3,525	FNDN FIN OFFICER GRP	MEMBERSHIP AND DUES
1A(1)	780	SC BAR	MEMBERSHIP AND DUES
1A(1)		AMERICAN COLLEGE OF	MEMBERSHIP AND DUES
1A(1)	325	HEALTHCARE EXECS.	MEMBERSHIP AND DUES
1A(1)		NC STATE BOARD OF	MEMBERSHIP AND DUES
1A(1)	180	CPA EXAMINERS	MEMBERSHIP AND DUES
1A(1)	325	NC STATE BAR	MEMBERSHIP AND DUES
1A(1)	218	AMER EVAL ASSOC	MEMBERSHIP AND DUES
1A(1)	315	PRSA	MEMBERSHIP AND DUES
1A(1)		ASSOC FOR	MEMBERSHIP AND DUES
1A(1)		PSYCHOLOGICAL	MEMBERSHIP AND DUES
1A(1)	232	SCIENCE	MEMBERSHIP AND DUES
1A(1)	300	EMRG PRCT PHILAN	MEMBERSHIP AND DUES
1A(1)	898	SHRM	MEMBERSHIP AND DUES
1A(1)	325	NC BAR BOARD	MEMBERSHIP AND DUES
1A(1)	1,440	EMPLOYERS ASSOC	MEMBERSHIP AND DUES
1A(1)		MEETING PROFESSIONAL	MEMBERSHIP AND DUES
1A(1)	359	INTERNATIONAL	MEMBERSHIP AND DUES
1A(1)		INTL FACILITY MANAGE	MEMBERSHIP AND DUES
1A(1)	301	MENT ASSOCIATION	MEMBERSHIP AND DUES
1A(1)		AMERICAN PAYROLL	MEMBERSHIP AND DUES
1A(1)	219	ASSOCIATION	MEMBERSHIP AND DUES
1A(1)		ASSOCIATION TALENT	MEMBERSHIP AND DUES
1A(1)	399	DEVELOPMENT	MEMBERSHIP AND DUES
1A(1)		ASS OF BLACK FOUNDA	MEMBERSHIP AND DUES
1A(1)	200	TION EXECUTIVES	MEMBERSHIP AND DUES
1A(1)	245	IACCA	MEMBERSHIP AND DUES
1A(1)		MISSION INVESTORS	MEMBERSHIP AND DUES
1A(1)	7,500.	EXCHANGE	MEMBERSHIP AND DUES

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
EDUCATION						
DUKE UNIVERSITY						
18-DU Maintenance	1/2/18	PC GENERAL OPERATING SUPPORT, funds spent as follows Undergraduate Financial Aid	-	12,500,000.00	12,500,000.00	-
14-DU-02-SP	4/10/18	SPECIAL APPROPRIATIONS FROM RESERVE FUND To support B N Duke Scholar's network and SC recruitment	-	61,000.00	61,000.00	-
15-DU-01-SP	4/10/18	To help the Office of Civic Engagement expand it's College Advising Corps with two members in Durham	-	40,952.00	40,952.00	-
17-DU-02-SP	4/10/18	To support the College Advising Corps	-	350,000.00	350,000.00	-
17-DU-03-SP	4/10/18	To support the President's institutional initiatives	-	250,000.00	250,000.00	-
17-DU-04-SP	4/10/18	To endow the Dean of the Duke University School of Law	-	2,000,000.00	2,000,000.00	-
18-DU-01-SP	3/6/18	To strengthen Duke's capacity and reputation in the sciences by recruiting outstanding faculty for the university and health system	-	10,000,000.00	10,000,000.00	-
18-DU-03-SP	10/2/18	To support Duke's Faculty Leadership Development Initiatives	-	75,000.00	75,000.00	-
18-DU-04-SP	10/2/18	To support Duke University's Spring Breakthrough program	-	250,000.00	250,000.00	-
18-DU-02-SP	3/6/18	PC SPECIAL APPROPRIATIONS FROM RESERVE FUND - Subtotal To strengthen Duke's capacity and reputation in the sciences by recruiting outstanding faculty for the university and health system	-	13,026,952.00	13,026,952.00	-
DUKE UNIVERSITY - TOTAL						
DAVIDSON COLLEGE						
18-DA-Maintenance	1/2/18	PC GENERAL OPERATING SUPPORT, funds spent as follows Financial Aid Physical Plant Library Information Technology Services	-	1,400,000.00	1,400,000.00	-
12-DA-01-SP	4/10/18	SPECIAL APPROPRIATIONS FROM RESERVE FUND Capital Campaign - Integrated Academic Neighborhood	776,111.00	3,500,000.00	3,500,000.00	-
16-DA-01-SP	1/10/18	To support faculty recruitment and development	311,970.00	5,000,000.00	5,000,000.00	-
17-DA-01-SP	4/10/18	To support the College Advising Corps	103,900.00	180,000.00	180,000.00	-
18-DA-01-SP	3/6/18	To support the Davidson Impact Fellows	208,019.00	150,000.00	150,000.00	-
18-DA-02-SP	10/2/18	To support Davidson's Leadership Development initiatives	-	75,000.00	75,000.00	-
DAVIDSON COLLEGE - TOTAL						
DAVIDSON COLLEGE - TOTAL						

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
EDUCATION (cont.)						
FURMAN UNIVERSITY						
18-FU-Maintenance	1/2/18	PC	-	1,400,000.00	1,400,000.00	-
GENERAL OPERATING SUPPORT, funds spent as follows						
		700,000.00				
		420,000.00				
		280,000.00				
Academic Programming						
Acquisitions (library books and digital subscriptions)						
SPECIAL APPROPRIATIONS FROM RESERVE FUND						
15-FU-01-SP	4/10/18		-	1,000,000.00	1,000,000.00	-
16-FU-01-SP	4/10/18		-			-
To provide additional endowment funds to the James B Duke Scholarship						
To support "The Furman Advantage" through strategic initiatives that will help the university become more competitive in the marketplace and better meet the needs of students						
17-FU-01-SP	4/10/18		-	6,500,000.00	6,500,000.00	-
17-FU-02-SP	4/10/18		-	232,500.00	232,500.00	-
18-FU-01-SP	10/2/18		-	500,000.00	500,000.00	-
To support Gallup's evaluation of The Furman Advantage						
To support Furman's Leadership Development initiatives						
SPECIAL APPROPRIATIONS FROM RESERVE FUND - Subtotal						
			-	8,307,500.00	8,307,500.00	-
FURMAN UNIVERSITY - TOTAL						
			-	9,707,500.00	9,707,500.00	-
JOHNSON C SMITH UNIVERSITY						
18-JS-Maintenance	1/2/18	PC	-	1,400,000.00	1,400,000.00	-
GENERAL OPERATING SUPPORT, funds spent as follows						
		545,039.00				
		261,408.00				
		81,446.00				
		49,919.00				
		482,188.00				
Student Recruitment						
Information Technology						
Campus Security						
Facilities and Technical Services						
SPECIAL APPROPRIATIONS FROM RESERVE FUND						
17-JS-01-SP	4/10/18		-	500,000.00	500,000.00	-
18-JS-01-SP	2/6/18		-	300,000.00	300,000.00	-
18-JS-02-SP	4/10/18		-	5,000,000.00	5,000,000.00	-
18-JS-03-SP	8/28/18		-	200,000.00	200,000.00	-
18-JS-04-SP	10/2/18		-	75,000.00	75,000.00	-
To support Johnson C. Smith's Leadership Development initiatives						
SPECIAL APPROPRIATIONS FROM RESERVE FUND - Subtotal						
			-	6,075,000.00	6,075,000.00	-
JOHNSON C SMITH UNIVERSITY - TOTAL						
			-	7,475,000.00	7,475,000.00	-
MULTI-SCHOOL COLLABORATION FROM RESERVE FUND						
13-EDU-MS-01-SP	4/10/18	PC	-	513,039.11	513,039.11	-
To support The Resilience Project						
		29,665.00				
		399,426.66				
		78,815.69				
		5,131.76				
Davidson College, Davidson, NC						
Duke University, Durham, NC						
Furman University, Greenville, SC						
Johnson C. Smith University, Charlotte, NC						
MULTI-SCHOOL COLLABORATION FROM RESERVE FUND - Subtotal						
			-	513,039.11	513,039.11	0.00
TOTAL - EDUCATION						
			-	63,527,491.11	63,527,491.11	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
HEALTH CARE						
BUILDING AND EQUIPPING GRANTS						
North Carolina						
North Carolina Hospitals						
South Carolina						
South Carolina Hospitals						
BUILDING AND EQUIPPING GRANTS - Subtotal						
SPECIAL PURPOSE GRANTS						
6738-SP	5/8/18	Impact America - South Carolina, Birmingham, AL To provide free vision screening and follow-up care to children ages 6 months to 5 years	-	118,420 00	118,420 00	-
6758-SP	5/8/18	Population Health Improvement Partners, Boston, MA To provide technical assistance for Healthy People, Healthy Carolinas initiative in NC	-	250,000 00	250,000 00	-
6785-SP	11/6/18	Alamance County Children's Dental Health Clinic, Burlington, NC To support a School-Based Oral Health initiative	-	65,000 00	-	65,000 00
6768-SP	11/6/18	Albemarle Hospital Foundation, Elizabeth City, NC To expand a community network of care for the low-income, uninsured in eastern North Carolina	-	200,000 00	-	200,000 00
6725-SP	5/8/18	Alexander County Health Department, Tannersville, NC To establish an integrated behavioral health program	-	100,000 00	100,000 00	-
6713-SP	5/8/18	Area L Health Education Center (AHEC) Health Education Foundation of Eastern N.C., Rocky Mount, NC To treat acute and chronic pain with reduced opioid prescriptions	-	340,000 00	340,000 00	-
6677-SP	4/10/18	Ashe Memorial Hospital, Jefferson, NC To implement a community paramedicine program in Ashe County	-	125,000 00	125,000 00	-
6769-SP	11/6/18	Alum Health Foundation To develop a telemedicine virtual care program supporting the assessment and care of dementia patients in rural settings	-	250,000 00	250,000 00	-
6786-SP	11/6/18	To support opioid addicted expectant moms with addiction treatment education, parenting classes and prenatal care	-	312,675 00	312,675 00	-
6787-SP	11/6/18	To establish a new community coalition to increase capacity and improve population health	-	150,000 00	150,000 00	-
6726-SP	5/8/18	Blue Ridge Free Dental Clinic, Cashiers, NC To develop a model that will increase access to preventative and restorative dental care for uninsured adults	-	57,500 00	57,500 00	-
6687-SP	11/7/17	Blue Ridge Health Care Foundation, Morganton, NC To expand a community network of care for the low-income, uninsured in Burke county	250,000 00	-	250,000 00	-
6887-SP	4/10/18	To expand a community network of care for the low-income, uninsured in Burke county	-	250,000 00	-	250,000 00
6789-SP	11/6/18	Cabarrus Health Alliance, Kannapolis, NC To support a School-Based Oral Health initiative	-	65,000 00	65,000 00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required

Approp Nb	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
6616-SP	4/6/17	Carolinas Center for Hospice and End of Life Care, Carr, NC	376,100.00	321,800.00	376,100.00	321,800.00
6616-SP	4/10/18	To implement an advance care planning program for hospice patients	-	-	-	-
		To implement an advance care planning program for hospice patients	-	-	-	-
6689-SP	4/10/18	Carolinas HealthCare Foundation, Charlotte, NC (now Altruist Health Foundation)	-	207,000.00	207,000.00	-
6680-SP	4/10/18	To identify and address social determinants of health needs within pediatric practices	-	220,000.00	220,000.00	-
6729-SP	5/8/18	To expand a community network of care for the low-income, uninsured in Mecklenburg county	-	120,000.00	120,000.00	-
6730-SP	5/8/18	To establish a hospital-based pediatric dentistry program	-	485,000.00	485,000.00	-
6731-SP	5/8/18	To improve patient access to cardiac care and advance system reform	-	380,000.00	380,000.00	-
		To assist the ONE Charlotte Health Alliance Initiative with population health by addressing food insecurity	-	-	-	-
6752-SP	5/8/18	Carolinas Medical Center - Northeast, Concord, NC	-	55,000.00	55,000.00	-
		To conduct community based participatory research around the social and environmental determinants associated with childhood obesity	-	-	-	-
6732-SP	5/8/18	Caro-Mont Health, d/b/a Gaston Memorial Hospital, Gastonia, NC	-	250,000.00	250,000.00	-
		To expand a community network of care for the low-income, uninsured in Gaston County	-	-	-	-
6678-SP	4/10/18	Carteret Health Care, Morehead, Cniv, NC	-	100,000.00	100,000.00	-
		To establish a palliative care program in Carteret County	-	-	-	-
6733-SP	5/8/18	Catawba Valley Medical Center, Hickory, NC	-	250,000.00	250,000.00	-
6791-SP	11/6/18	To expand a community network of care for the low-income, uninsured in Lincoln, Catawba and Alexander counties	-	150,000.00	150,000.00	-
6792-SP	11/6/18	To expand a community coalition to increase capacity and improve population health	-	235,000.00	235,000.00	-
		To address social determinants of health for individuals with behavioral health conditions	-	-	-	-
6746-SP	5/8/18	Center for Rural Health Innovation, Spence, Pine, NC	-	200,000.00	200,000.00	-
		To strengthen school based telehealth in western NC	-	-	-	-
6771-SP	11/6/18	Charlotte Neuroscience Foundation, Charlotte, NC	-	150,000.00	150,000.00	-
		To expand capacity of the Memory Center of Charlotte	-	-	-	-
6793-SP	11/6/18	Chatham Hospital, Siler Cniv, NC	-	150,000.00	150,000.00	-
		To expand a community coalition to increase capacity and improve population health	-	-	-	-
6686-SP	11/7/17	Cone Health, Greensboro, NC	185,000.00	-	185,000.00	-
6686-SP	4/10/18	To expand a community network of care for the low-income, uninsured in Rockingham County	-	199,000.00	199,000.00	-
6692-SP	11/7/17	To expand a community network of care for the low-income, uninsured in Guilford County	215,000.00	-	215,000.00	-
6692-SP	4/10/18	To expand a community network of care for the low-income, uninsured in Guilford County	-	215,000.00	215,000.00	-
6694-SP	4/10/18	Cumberland County Hospital System, Fayetteville, NC	-	250,000.00	250,000.00	-
		To expand a community network of care for the low-income, uninsured in Cumberland County	-	-	-	-
6578-SP	4/10/18	Duke University School of Medicine, Durham, NC	-	505,320.00	505,320.00	-
6579-SP	4/10/18	To develop a caregivers training program for the elderly	-	1,000,000.00	1,000,000.00	-
6633-SP	4/10/18	To assist with obstetrics and gynecology faculty recruitment	-	286,000.00	286,000.00	-
6695-SP	4/10/18	To establish a community-based pediatric obesity program	-	250,000.00	250,000.00	-
6734-SP	5/8/18	To expand a community network of care for the low-income, uninsured in Durham County	-	2,800,000.00	2,800,000.00	-
6754-SP	5/8/18	To expand and strengthen the Department of Community and Family Medicine	-	1,300,000.00	1,300,000.00	-
		To use data to reduce opioid prescriptions and increase alternative treatments for pain management	-	-	-	-
6789-SP	11/6/18	East Carolina University, Greenville, NC	-	65,000.00	65,000.00	-
		To support a School-Based Oral Health initiative	-	-	-	-
6714-SP	5/8/18	ELFuture, Durham, NC	-	200,000.00	200,000.00	-
		To develop enhanced competencies for primary care and mental health providers	-	-	-	-
6619-SP	4/10/18	Fayetteville Area Health Education Foundation, Fayetteville, NC	-	180,000.00	180,000.00	-
		To implement and disseminate mental health crisis planning through psychiatric advance directives	-	-	-	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
6795-SP	11/6/18	PC First Health of the Carolinas, Pinehurst, NC To expand a community coalition to increase capacity and improve population health	-	150,000 00	-	150,000 00
6735-SP	5/8/18	PC Fletcher Hospital, Hendersonville, NC To establish a comprehensive integrated behavioral health program to serve vulnerable women	-	350,000 00	350,000 00	-
6772-SP	11/6/18	PC To expand a community network of care for the low-income, uninsured in Henderson and Polk counties	-	250,000 00	250,000 00	-
6653-SP	4/10/18	PC Forsyth Medical Center Foundation, Winston-Salem, NC To expand a community network of care for the low-income, uninsured in Forsyth, Stokes, Davie Davidson and Yadkin Counties	-	250,000 00	250,000 00	-
6762-SP	11/6/18	PC To improve the quality of life for patients by using community health workers and peer support specialists that address social determinants of health	-	175,000 00	175,000 00	-
6565-SP	4/10/18	PC Foundation for Health Leadership and Innovation, Cary, NC To establish a statewide pediatric dental education program	-	50,000 00	50,000 00	-
6591-SP	4/10/18	PC To strengthen the North Carolina oral health collaborative in order to positively impact oral health care throughout North Carolina	-	205,661 00	205,661 00	-
6755-SP	5/8/18	PC To implement a regional community health needs assessment in eastern NC	-	180,000 00	180,000 00	-
6796-SP	11/6/18	PC To create a tool to help providers, insurers and community-based organizations connect patients with the resources needed to address social determinants of health	-	500,000 00	500,000 00	-
6773-SP	11/6/18	PC Four Seasons Compassion for Life, Flat Rock, NC To establish the Teleios Collaborative Network, a quality improvement organization designed to increase the effectiveness of not-for-profit community-based palliative care organizations in rural communities	-	350,000 00	350,000 00	-
6797-SP	11/6/18	PC Gaston Family Health Services, Gastonia, NC To support a School-Based Oral Health initiative	-	65,000 00	65,000 00	-
6798-SP	11/6/18	PC Granville Health System, Oxford, NC To expand a community coalition to increase capacity and improve population health	-	150,000 00	-	150,000 00
6737	5/11/18	PC Hosice and Palliative Care of the Blue Ridge, Source, Pine, NC To expand a palliative care program	-	130,000 00	130,000 00	-
6697-SP	4/10/18	PC Hosice of Henderson County, Flat Rock, NC To train primary care providers in rural communities in palliative care	-	400,000 00	400,000 00	-
6634-SP	4/10/18	PC J. Arthur Doster Memorial Hospital, Southport, NC To expand a community coalition to increase capacity and improve population health	-	150,000 00	150,000 00	-
6621-SP	4/6/17	PC Johnston Memorial Hospital Foundation, Smithfield, NC To expand a community network of care for the low-income, uninsured	165,000 00	165,000 00	165,000 00	-
6799-SP	11/6/18	PC Kinston Community Health Center, Kinston, NC To support a School-Based Oral Health initiative	-	65,000 00	65,000 00	-
6774-SP	11/6/18	PC Lenoir Memorial Hospital, Kinston, NC To implement a community paramedicine in Lenoir County that addresses social determinants of health	-	150,000 00	150,000 00	-
6698-SP	4/10/18	PC MedAssist of Mecklenburg County, Charlotte, NC To expand a medication assistance program for the low-income, uninsured in North Carolina	-	475,000 00	475,000 00	-
6594-SP	4/10/18	PC Mission Hospitals, Asheville, NC To expand access to dental care for children in western NC	-	209,382 00	209,382 00	-
6659-SP	4/10/18	PC To expand a community network of care for the low-income, uninsured in Buncombe County	-	250,000 00	250,000 00	-
6660-SP	4/10/18	PC To establish a comprehensive mental health program in western NC	-	450,000 00	450,000 00	-
6624-SP	4/6/17	PC Mountain Area Health Education Center, Asheville, NC To implement an early intervention program to reduce Neonatal Abstinence Syndrome in Mitchell and Yancey counties	235,000 00	-	-	235,000 00
		PC New Hanover Regional Medical Center, Wilmington, NC	-	235,000 00	-	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
6664-SP	4/10/18	To expand a community network of care for the low-income, uninsured in southeastern North Carolina	-	250,000.00	250,000.00	-
6740-SP	5/8/18	To assist the health system with population health by addressing food insecurity in southeastern NC	-	100,000.00	100,000.00	-
6757-SP	5/8/18	To develop a community-wide blueprint to address toxic stress in children	-	35,000.00	35,000.00	-
6675-SP	4/10/18	NC Child, Raleigh, NC To implement a lead poisoning prevention program for children	-	100,000.00	100,000.00	-
6613-SP	4/6/17	NC Dept. of Health and Human Services, Office of Rural Health and Community Care, Raleigh, NC To improve health care technology among safety net providers	50,000.00	50,000.00	-	-
6718-SP	5/8/18	North Carolina Hospital Foundation, Cary, NC To transition longstanding NC Health Association programs	-	275,000.00	275,000.00	-
6764-SP	11/6/18	To establish the North Carolina Health Innovation Center	-	1,000,000.00	1,000,000.00	-
6778-SP	11/6/18	To provide technical assistance for the low-income, uninsured networks in NC	-	500,000.00	500,000.00	-
6801-SP	11/6/18	To provide technical assistance for the Healthy People, Healthy Carolinas initiative in NC	-	500,000.00	500,000.00	-
6614-SP	4/6/17	North Carolina Institute of Medicine, Morrisville, NC To publish the North Carolina Medical Journal	200,000.00	200,000.00	200,000.00	-
6614-SP	4/10/18	To publish the North Carolina Medical Journal	-	200,000.00	200,000.00	-
6682-SP	4/10/18	To establish the Health Policy Fellows program providing education on health policy for legislators to support informed decision-making	-	50,000.00	50,000.00	-
6765-SP	11/6/18	To establish the Serious Illness Care Task Force	-	175,000.00	175,000.00	-
6802-SP	11/6/18	To develop Healthy North Carolina's 2030 goals and objectives which will inform the states' health improvement plan	-	45,000.00	45,000.00	-
6719-SP	5/8/18	Northern Hospital of Surry County, Mount Airy, NC To create a community paramedicine program that addresses social determinants of health	-	265,000.00	265,000.00	265,000.00
6719-SP	11/6/18	To create a community paramedicine program that addresses social determinants of health	-	(265,000.00)	-	(265,000.00)
6720-SP	5/8/18	Novant Health Foundation Presbyterian Medical Center, Charlotte, NC To improve health outcomes for Hispanic patients through a new integrated team-based approach	-	70,000.00	70,000.00	-
6803-SP	11/6/18	To improve care for patients by leveraging clinical pharmacist practitioners with collaborative practice agreements to create alternatives for pain management and addiction treatment	-	152,000.00	152,000.00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
6710-SP	4/10/18	Orlando Research Institute, Charlotte, NC To establish evidence-based strategies to reduce utilization of opioids in orthopedic surgery	-	140,000.00	140,000.00	-
6636-SP	4/10/18	Pardee Memorial Hospital Foundation, Hendersonville, NC To expand a community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	-
6637-SP 6766-SP	4/10/18 11/6/18	Rowan Regional Medical Center Foundation, Salisbury, NC To expand a community coalition to increase capacity and improve population health To integrate dental care for uninsured adults to improve clinical outcomes for chronic diseases	- -	150,000.00 100,000.00	150,000.00 100,000.00	- -
6639-SP	4/10/18	Southeastern Regional Medical Center, Lumberton, NC To expand a community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	-
6745-SP 6760-SP	5/8/18 5/8/18	St. Luke's Hospital, Columbus, NC To establish SaludA Family Medicine, a primary care clinic that will serve the low-income, uninsured and rural patients To create a broad-based community health worker model Polk County that addresses social determinants of health	- -	170,000.00 160,000.00	170,000.00 160,000.00	- -
6807-SP	11/6/18	Stanly County Health Department, Albemarle, NC To support a School-Based Oral Health initiative	-	65,000.00	65,000.00	-
6650-SP 6666-SP 6667-SP	4/10/18 4/10/18 4/10/18	University of North Carolina, Chapel Hill, NC To establish a patient navigation program to improve cancer outcomes in North Carolina To train community health workers in accessing health care services for people recently released from prison To expand a community network of care for low-income, uninsured in Alamance, Orange, Chatham, Caswell and Lee counties	- - -	200,000.00 115,000.00 242,215.00	200,000.00 115,000.00 242,215.00	200,000.00 - -
6711-SP 6711-SP 6712-SP 6712-SP 6722-SP 6748-SP 6809-SP	11/7/17 4/10/18 11/7/17 4/10/18 5/8/18 5/8/18 11/6/18	To assist in a statewide intervention to address infant mortality and health outcomes To assist in a statewide intervention to address infant mortality and health outcomes To improve maternity care and breastfeeding rates in underserved communities To improve maternity care and breastfeeding rates in underserved communities To establish the Dementia Friendly Hospital designation in North Carolina To reduce health disparities for cardiac patients living in rural NC To develop a two-state initiative that will increase the number of Baby Friendly certified hospitals throughout the Carolinas	- - - - - - -	225,000.00 200,000.00 280,000.00 290,000.00 385,000.00 820,000.00	225,000.00 200,000.00 300,000.00 290,000.00 385,000.00 820,000.00	- - - - - - 820,000.00
6640-SP 6641-SP	4/10/18 4/6/17	Vidant Medical Center, Greenville, NC To expand a community coalition to increase capacity and improve population health To develop a community outreach and advance directive program for colorectal cancer in eastern NC	- 250,000.00	150,000.00	150,000.00 250,000.00	- -
6704-SP 6704-SP 6782-SP	11/7/17 4/10/18 11/6/18	Vidant Roanoke-Chowan Hospital, Ahoskie, NC To expand services for advanced heart disease To expand services for advanced heart disease To expand a community network of care for the low-income, uninsured in Edgecombe and Hertford counties	- 450,000.00 -	250,000.00	450,000.00 250,000.00	- 250,000.00 -
6685-SP 6810-SP	4/10/18 11/6/18	Wake Forest University Health Sciences, Winston-Salem, NC To improve patient and family engagement in advance care planning To expand the Centennary Pregnancy program and increase access for minority women	- -	91,270.00 100,000.00	91,270.00 100,000.00	- -
6705-SP	4/10/18	WakeMed, Raleigh, NC To expand a community network of care for the low-income, uninsured in Wake, Franklin, and Johnston counties	-	250,000.00	250,000.00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
6783-SP	11/6/18	Wayne Memorial Hospital, Goldsboro, NC To implement a community paramedicine program in Wayne County that addresses social determinants of health	-	150,000.00	150,000.00	-
6724-SP	5/8/18	WNC Health Network, Asheville, NC To use the results-based accountability framework to strengthen community health needs assessments	-	260,000.00	260,000.00	-
6612-SP	11/6/18	Wilkes Public Health Dental Clinic, N. Wilkesboro, NC To support a School-Based Oral Health initiative	-	65,000.00	65,000.00	-
6784-SP	11/6/18	Wilkes Regional Medical Center, N. Wilkesboro, NC To expand a community network of care for the low-income, uninsured in Wilkes county	-	225,000.00	225,000.00	225,000.00
6613-SP	11/6/18	To expand a community coalition to increase capacity and improve population health	-	150,000.00	-	150,000.00
6726-SP	5/8/18	AnMed Health Foundation, Anderson, SC To expand a community network of care for the low-income, uninsured in Anderson county	-	225,000.00	225,000.00	-
6727-SP	5/8/18	Beaufort Memorial Hospital, Beaufort, S.C. To implement a palliative care program	-	150,000.00	150,000.00	-
6770-SP	11/6/18	To expand a community network of care for the low-income, uninsured in Beaufort, Jasper and Hampton counties	-	250,000.00	-	250,000.00
6788 SP	11/6/18	Barnwell County Commission On Alcohol And Drug Abuse, Barnwell, SC To establish a new community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	-
6670-SP	4/10/18	Ben Secours St. Francis Health System, Greenville, SC To expand a community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	-
6790-SP	11/6/18	CareSouth Carolina, Hartsville, SC To support a School-Based Oral Health initiative	-	65,000.00	65,000.00	-
6671-SP	4/10/18	Community Medical Clinic of Kershaw County, Camden, SC To expand a community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	-
6691 SP	4/10/18	To expand a community network of care for the low-income, uninsured in Kershaw County	-	250,000.00	-	250,000.00
6693-SP	4/10/18	Conway Hospital, Conway, SC To expand a community network of care for the low-income, uninsured in Horry County	-	250,000.00	250,000.00	-
6753-SP	5/8/18	To provide mobile 3D mammography to low-income, uninsured patients in Horry County	-	275,000.00	275,000.00	-
6672-SP	4/10/18	Fairfield Memorial Hospital Foundation, Wintnersboro, SC To expand a community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	150,000.00
6672-SP	5/8/18	To expand a community coalition to increase capacity and improve population health	-	(150,000.00)	-	(150,000.00)
6679-SP	4/10/18	Greenville Hospital System, Greenville, SC To expand a memory health program	-	175,000.00	175,000.00	-
6696-SP	4/10/18	To expand a community network of care for the low-income, uninsured in Oconee County and the greater Clemson area	-	250,000.00	250,000.00	-
6715-SP	5/8/18	To expand the Health Extension program and implement a hypertension self-management program	-	95,000.00	95,000.00	-
6736-SP	5/8/18	To expand a community network of care for the low-income, uninsured in Greenville and Laurens counties	-	250,000.00	250,000.00	-
6763-SP	11/6/18	To implement an outpatient-based volunteer support network to address social determinants of health	-	206,250.00	206,250.00	-
6518-SP	5/4/16	Health Sciences South Carolina, Columbia, SC To expand a Learning Health System	2,079,548.00	900,000.00	900,000.00	1,179,548.00
6518-SP	4/6/17	To expand a Learning Health System	4,413,750.00	-	-	4,413,750.00
6655-SP	4/10/18	Kershaw Substance Abuse Services, Rock Hill, SC To establish an outpatient opioid treatment program for young adults	-	75,000.00	75,000.00	-
6643-SP	4/10/18	Lexington Medical Center Foundation, West Columbia, SC To implement a transitional care program for patients with chronic disease	-	100,000.00	100,000.00	-
6600-SP	11/6/18	Little River Medical Center, Little River, SC To support a School-Based Oral Health initiative	-	65,000.00	65,000.00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required

Approp No.	Approp Date	Description	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
		McLeod Health, Cheraw, Cheraw, SC	PC				
6674-SP	4/10/18	To expand a community coalition to increase capacity and improve population health		-	150,000.00	150,000.00	-
		McLeod Health, Clarendon, Manning, SC	PC				
6644-SP	4/10/18	To implement a community paramedicine program in Clarendon County		-	79,000.00	79,000.00	-
		McLeod Health, Florence, Florence, SC	SO I				
6680-SP	4/10/18	To implement an oral health system integrated delivery model		-	702,000.00	702,000.00	-
6707-SP	4/10/18	To develop a Neonatal Abstinence Syndrome (NAS) treatment and screening plan for the Pee Dee region		-	150,000.00	-	150,000.00
6756-SP	5/8/18	To provide 3D mammography to low-income, uninsured patients in Clarendon and Williamsburg counties		-	275,000.00	-	-
6775-SP	11/6/18	To establish a community paramedicine program in Marlboro County		-	150,000.00	-	-
6776-SP	11/6/18	To establish a Home-based palliative care program to help chronically-ill patients manage their conditions		-	200,000.00	-	-
		McLeod Regional Medical Center of the Pee Dee, Florence, SC	PC				
6646-SP	4/10/18	To establish a regional lactation education program		-	75,000.00	75,000.00	-
6656-SP	4/10/18	To establish a community network of care for the low-income, uninsured in Darlington, Dillon, Florence and Marion counties		-	250,000.00	250,000.00	-
		MUSC Foundation, Charleston, SC	SO I				
6563-SP	4/6/17	To establish a regional telemedicine program to reduce prescription opioid use during pregnancy		150,000.00	-	150,000.00	-
6593-SP	4/10/18	To expand a health outreach program for Latino children		-	121,853.00	121,853.00	-
6657-SP	4/10/18	To expand trauma/telehealth resilience and recovery program in South Carolina		-	175,000.00	175,000.00	-
6658-SP	4/10/18	To implement a telemedicine program for diabetic patients in South Carolina		-	170,000.00	170,000.00	-
6699-SP	4/10/18	To reduce disparities in access to kidney transplantation in South Carolina		-	110,000.00	-	110,000.00
6708-SP	4/10/18	To establish an intensive program for pain and opioid-free rehabilitation in South Carolina		-	150,000.00	-	150,000.00
6709-SP	4/10/18	To train providers in evidence-based screening for substance abuse		-	200,000.00	-	200,000.00
6716-SP	5/8/18	To establish a statewide palliative care telehealth program		-	808,000.00	808,000.00	-
6717-SP	5/8/18	To support three hospitals to join the S.C. Surgical Quality Collaborative		-	350,000.00	350,000.00	-
6739-SP	5/8/18	To advance school-based oral health programs in NC and SC		-	382,116.00	382,116.00	-
6777-SP	11/6/18	To increase access to behavioral health care for pregnant and postpartum women		-	200,000.00	200,000.00	-
		Palmetto Health, Columbia, SC	PC				
6662-SP	4/10/18	To implement a patient-centered delivery care model		-	69,181.00	69,181.00	-
6779-SP	11/6/18	To expand a community network of care for the low-income, uninsured in Richland, Lexington, Fairfield and Sumter counties		-	250,000.00	250,000.00	-
		Regional Medical Center of Orangeburg and Calhoun Counties, Orangeburg, SC	PC				
6676-SP	4/10/18	To expand a community coalition to increase capacity and improve population health		-	150,000.00	150,000.00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions)		Grants Paid (Refunds)		Unpaid Grants Balance 12/31/18
				2018	2018	2018	2018	
		Roper St. Francis Healthcare, Charleston, SC						
6663-SP	4/10/18	To expand a community network of care for the low-income, uninsured in Charleston county	-	250,000.00	250,000.00	250,000.00	-	-
6721-SP	5/8/18	To improve patient care in South Carolina by adopting the serious illness conversation guide	-	300,000.00	300,000.00	300,000.00	-	-
6741-SP	5/8/18	To implement an evidence-based behavioral health integration pathway	-	200,000.00	200,000.00	200,000.00	-	-
6804-SP	11/6/18	To establish a new community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	150,000.00	-	-
		Self Regional Healthcare, Greenwood, SC						
6742-SP	5/8/18	To expand a community network of care for the low-income, uninsured in Edgefield and Greenwood counties	-	250,000.00	250,000.00	250,000.00	-	-
6780-SP	11/6/18	To establish a community palliative care program	-	100,000.00	100,000.00	100,000.00	-	100,000.00
		South Carolina Area Health Education Consortium, Charleston, SC						
6664-SP	4/10/18	To expand the primary care workforce in South Carolina	-	245,000.00	245,000.00	245,000.00	-	-
		South Carolina Department of Mental Health, Columbia, SC						
6665-SP	4/10/18	To establish a mental health court initiative in South Carolina	-	400,000.00	400,000.00	400,000.00	-	400,000.00
6743-SP	5/8/18	To increase access to psychiatric services	-	300,000.00	300,000.00	300,000.00	-	-
6759-SP	5/8/18	To implement a countywide school-based telehealth initiative that integrates behavioral health services and primary care	-	600,000.00	600,000.00	600,000.00	-	-
		South Carolina Hospital Research & Education Foundation, West Columbia, SC						
6701-SP	4/10/18	To improve behavioral health access, services and outcomes in South Carolina	-	150,000.00	150,000.00	150,000.00	-	-
6767-SP	11/6/18	To establish the South Carolina Health Innovation Center	-	1,000,000.00	1,000,000.00	1,000,000.00	-	-
6781-SP	11/6/18	To provide technical assistance for the low-income, uninsured networks in South Carolina	-	500,000.00	500,000.00	500,000.00	-	-
6805-SP	11/6/18	To provide technical assistance for the Healthy People, Healthy Carolina initiative in South Carolina	-	500,000.00	500,000.00	500,000.00	-	-
		South Carolina Office of Rural Health, Lexington, SC						
6628-SP	4/6/17	To establish a program to support vulnerable primary care practices in South Carolina	295,000.00	75,000.00	295,000.00	75,000.00	-	-
6683-SP	4/10/18	To support the South Carolina Rural Health Action Plan Task Force	-	-	-	-	-	-
		Spartanburg Regional Health System Foundation, Spartanburg, SC						
6629-SP	4/6/17	To establish a telepsychiatry program to integrate mental health services within primary care practices in Spartanburg County	303,085.00	-	303,085.00	-	-	-
6703-SP	4/10/18	To expand a community network of care for the low-income, uninsured in Spartanburg County	-	250,000.00	250,000.00	250,000.00	-	-
6744-SP	5/8/18	To expand Health Smiles, a school-based oral health program	-	175,000.00	175,000.00	175,000.00	-	-
6806-SP	11/6/18	To establish a new community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	150,000.00	-	-
		Tidelands Health, Georgetown, SC						
6747-SP	5/8/18	To expand a community network of care for the low-income, uninsured in Georgetown County	-	250,000.00	250,000.00	250,000.00	-	-
6808-SP	11/6/18	To establish a new community coalition to increase capacity and improve population health	-	150,000.00	150,000.00	150,000.00	-	-
		University of South Carolina Educational Foundation, Columbia, SC						
6651-SP	4/10/18	To expand a program to reduce pre-term births in South Carolina	-	90,000.00	90,000.00	90,000.00	-	-
6652-SP	4/10/18	To expand the SC Institute of Medicine and Public Health	-	400,000.00	400,000.00	400,000.00	-	-
6668-SP	4/10/18	To expand PASOs, a statewide health program for Latino families	-	100,000.00	100,000.00	100,000.00	-	-
6684-SP	4/10/18	To develop a task force that will improve the health care workforce in South Carolina	-	30,000.00	30,000.00	30,000.00	-	-
6723-SP	5/8/18	To assist primary care providers in reducing cancer risk for low-income uninsured individuals	-	200,000.00	200,000.00	200,000.00	-	-
6749-SP	5/8/18	To create web-based geospatial environmental scans to examine risk factors for poor developmental outcomes for children	-	95,000.00	95,000.00	95,000.00	-	-
6750-SP	5/8/18	To help primary care providers improve population health through a nutrition and lifestyle program	-	115,000.00	115,000.00	115,000.00	-	-
6751-SP	5/8/18	To increase training diversity and access for rural nursing graduates	-	230,000.00	230,000.00	230,000.00	-	-
		Upper Midlands Rural Health Network, Chester, SC						
6761-SP	5/8/18	To support a Healthy People Healthy Carolinas coalition to increase capacity and improve population health	-	150,000.00	150,000.00	150,000.00	-	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
6706-SP	4/10/18	PC	-	475,000.00	475,000.00	-
6811-SP	11/6/18	PC	-	65,000.00	65,000.00	-
SPECIAL PURPOSE GRANTS - Subtotal			10,142,493.00	43,016,643.00	43,174,038.00	9,985,098.00
HEALTH CARE - TOTAL			10,142,493.00	43,016,643.00	43,174,038.00	9,985,098.00
CHILD CARE						
SPECIAL PURPOSE GRANTS						
1961-SP	4/6/17	PC	256,642.00	-	256,642.00	-
Children and Family Futures, Lake Forest, CA						
To incorporate evidence-based parent training programs with family drug courts						
1920-SP	4/6/17	PC	-	-	130,904.00	-
1920-SP	4/10/18	PC	130,904.00	121,125.00	130,904.00	121,125.00
1995-SP	4/10/18	PC	-	124,478.00	124,478.00	-
Child Trends, Washington, D.C.						
To evaluate the expansion of the Child Well-Being project						
To evaluate the expansion of the Child Well-Being project						
To evaluate how children adopted from foster care in North Carolina fare as young adults						
2031-SP	12/17/18	PC	-	97,570.00	97,570.00	-
Deacy Center for Growing Child and Families, Portland, OR						
To test an evidence-informed peer gnet support intervention for older youth in foster care in South Carolina						
1999-SP	4/10/18	PC	-	925,000.00	-	925,000.00
Kids Insight, Brookline, MA						
To expand use of the Treatment Outcome Package (TOP) with private agencies and managed care organizations in North Carolina						
2018-SP	6/5/18	PC	-	120,378.00	120,378.00	-
MORC, New York, NY						
To support a randomized control trial of Child First, a home visitation model in NC						
1988-SP	4/10/18	PC	-	194,000.00	194,000.00	-
1890-SP	6/5/18	PC	2,945.53	(2,945.53)	-	-
National Children's Alliance, Washington, D.C.						
To continue support for the implementation of Child and Family Traumatic Stress Intervention in South Carolina						
To replicate the Child and Family Traumatic Stress Intervention in children's advocacy centers in the Carolinas						
1968-SP	4/10/18	PC	-	1,100,000.00	1,042,000.00	58,000.00
Blue Mountain, New York, NY						
To expand the YLifeSet program, an effective case management program for helping youth transition out of foster care						
1993-SP	4/10/18	PC	-	65,000.00	65,000.00	-
Alexander Youth Network, Charlotte, NC aka/ax The Relatives						
To support a transitional living specialist for youth aging out of foster care						
2022-SP	6/5/18	PC	-	400,571.00	400,571.00	-
Benchmarks, Raleigh, NC						
To continue support for Partnering for Excellence						
2006-SP	12/5/17	PC	125,000.00	-	125,000.00	-
2006-SP	4/10/18	PC	-	125,000.00	125,000.00	125,000.00
Black Mountain Home for Children, Youth & Families, Black Mountain, NC						
To support an apprenticeship program for youth aging out of care						
To support an apprenticeship program for youth aging out of care						
1986-SP	4/10/18	PC	-	25,000.00	-	25,000.00
1986-SP	6/6/17	PC	-	(21,205.00)	(21,205.00)	-
1986-SP	11/6/18	PC	-	(25,000.00)	(25,000.00)	(25,000.00)
BEC on the Prevention of Youth Violence, Wilmington, NC						
To expand Trauma-Focused Cognitive Behavioral Therapy						
To expand Trauma-Focused Cognitive Behavioral Therapy						
To expand Trauma-Focused Cognitive Behavioral Therapy						
2007-SP	12/5/17	PC	600,000.00	-	600,000.00	-
2007-SP	4/10/18	PC	-	-	-	-
Catawba County Department of Social Services, Newton, NC						
To support the Success Coach expansion and evaluation						
To support the Success Coach expansion and evaluation						
1987-SP	6/6/17	PC	172,572.00	-	172,572.00	-
1987-SP	4/10/18	PC	-	27,428.00	27,428.00	-
Children's Advocacy Centers of North Carolina, Graham, NC						
To support CAC CareNet, a comprehensive database for all accredited child advocacy centers in NC						
To support CAC CareNet, a comprehensive database for all accredited child advocacy centers in NC						

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
2000-SP 2000-SP	12/5/17 4/10/18	PC Children and Family Resource Center, Hendersonville, NC To implement Parent-Child Interaction Therapy To implement Parent-Child Interaction Therapy	40,000 00	28 000 00	40 000 00	28,000 00
2023-SP	6/5/18	PC Children's Homes of Cleveland County, Shelby, NC To support an independent living program	-	105,850 00	105,850 00	-
1980-SP 1980-SP	4/6/17 4/10/18	PC Children's Home Society of North Carolina, Greensboro, NC To expand foster care early intervention and prevention services To expand foster care early intervention and prevention services	1 500 000 00	700,000 00	1,500,000 00	700 000 00

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
1943-SP	4/6/17	PC	120,000.00	150,000.00	120,000.00	-
2026-SP	11/6/18	PC	-	395,789.00	150,000.00	-
1996-SP	4/10/18	PC	-	395,789.00	395,789.00	-
1997-SP	4/10/18	PC	-	511,000.00	511,000.00	-
2032-SP	11/6/18	PC	-	154,000.00	154,000.00	-
2001-SP	12/5/17	PC	80,000.00	80,000.00	80,000.00	-
2001-SP	4/10/18	SO I	-	-	-	80,000.00
1981-SP	4/6/17	PC	117,782.00	117,782.00	117,782.00	-
2024-SP	6/5/18	PC	-	115,000.00	115,000.00	-
2002-SP	1/10/18	PC	-	25,000.00	25,000.00	-
2003-SP	12/5/17	PC	368,000.00	467,000.00	368,000.00	-
2003-SP	4/10/18	PC	-	467,000.00	467,000.00	467,000.00
1974-SP	4/6/17	PC	166,000.00	166,000.00	166,000.00	-
1962-SP	4/10/18	PC	-	300,000.00	300,000.00	-
1945-SP	4/6/17	PC	306,940.00	(306,940.00)	600,795.00	-
2004-SP	12/5/17	PC	600,795.00	383,527.00	600,795.00	-
2004-SP	4/10/18	PC	-	383,527.00	383,527.00	383,527.00
2009-SP	12/5/17	PC	141,987.00	136,987.00	141,987.00	-
2009-SP	4/10/18	PC	-	136,987.00	136,987.00	136,987.00
2017-SP	6/5/18	PC	-	178,000.00	178,000.00	-
1983-SP	4/6/17	PC	165,000.00	165,000.00	165,000.00	-
1983-SP	4/10/18	PC	165,000.00	165,000.00	165,000.00	-
2011-SP	12/5/17	PC	200,000.00	200,000.00	200,000.00	165,000.00

Council for Children's Rights, Inc., Charlotte, NC
To support the custody advocate program
To continue support for the Strengthening Families Program

Cumberland County Department of Social Services, Fayetteville, NC
To pilot Cumberland County's redesign of child welfare policies and practices for older youth in care

Duke University School of Medicine, Durham, NC
To continue support for Together Facing The Challenge, an evidence-informed therapeutic foster care model
To develop an information sharing platform between Durham County DSS and Duke University Health System

Durham County Cooperative Extension, Durham, NC
To expand the Incredible Years program in Durham County
To expand the Incredible Years program in Durham County

Eliada Homes, Asheville, NC
To expand Eliada Students Training for Advancement (ESTA)

Elon Homes and Schools for Children, Charlotte, NC
To continue support for a residential program for youth aging out of foster care

Exchange Family Center, Durham, NC
To continue support for Multi-Dimensional Family Therapy

Family Centered Treatment Foundation, Charlotte, NC
To evaluate Family Centered Treatment, an in-home model
To evaluate Family Centered Treatment, an in-home model

Families First, Inc., Rockwell, NC
To expand the use of the Second Step curriculum in five counties

Forsyth Medical Center Foundation, Winston-Salem, NC
To expand Durham Connects into Forsyth County

Frank Porter Graham Child Development Institute, Chapel Hill, NC
To create a system to support the sustainable scale-up of Triple P, an evidence-based model
To create a system to support the sustainable scale-up of Triple P, an evidence-based model in South Carolina
To create a system to support the sustainable scale-up of Triple P, an evidence-based model in South Carolina

Hendersonville County Department of Social Services, Hendersonville, NC
To pilot an in-home substance abuse treatment model
To pilot an in-home substance abuse treatment model

Lucy Daniels Center, Cary, NC
To pilot Circle of Security, an evidence-informed parenting program for at-risk parents

Mainstreet Home for Children, Inc., Raleigh, NC
To replicate the Success Coach model for older youth transitioning back into their home from group settings
To replicate the Success Coach model for older youth transitioning back into their home from group settings
To support program diversification

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
2025-SP	6/5/18	PC New Hanover County Department of Social Services, Wilmington, NC To continue support for Visual Investigator	-	420,000.00	420,000.00	-
1978-SP 1978-SP	4/6/17 4/10/18	PC Onslow County Partnership for Children, Jacksonville, NC To expand the Incredible Years program and evaluate an adaptation for children with Autism Spectrum Disorder To expand the Incredible Years program and evaluate an adaptation for children with Autism Spectrum Disorder	128,000.00	132,000.00	128,000.00	132,000.00
2019-SP	6/5/18	PC Parlance Partnership for Children, Bayboro, NC To expand the Strengthening Families program	-	134,000.00	134,000.00	-
2020-SP	6/5/18	PC Prevent Child Abuse North Carolina, Raleigh, NC To support the Incredible Years, Strengthening Families and Triple P in North Carolina	-	796,000.00	796,000.00	-
2021-SP	6/5/18	PC Safe Alliance, Charlotte, NC To reduce the risk of abuse and neglect in Mecklenburg County	-	150,000.00	150,000.00	-
2034-SP	12/17/18	PC Southmountain Children and Family Services, Morganton, NC To replicate Trauma-Focused Cognitive Therapy Parent-Child Interaction Therapy and Structured Psychotherapy for Adolescents Responding to Chronic Stress	-	250,000.00	250,000.00	-
2005-SP 2005-SP	12/5/17 4/10/18	PC Thomson Child & Family Focus, Charlotte, NC To expand evidence-based parenting programs and foster care services in NC and SC To expand evidence-based parenting programs and foster care services in NC and SC	445,000.00	335,550.00	445,000.00	335,550.00
1992-SP	4/10/18	PC Intactile Family Services, Raleigh, NC To expand Trauma-Focused Cognitive Behavioral Therapy	-	90,000.00	90,000.00	-
2014-SP	6/5/18	PC A Child's Haven, Greenville, SC To support the integration of behavioral health treatment and therapeutic child care services	-	250,000.00	250,000.00	-
2015-SP	6/5/18	PC Children's Ins of South Carolina, Columbia, SC To support implementation of Triple P in South Carolina	-	1,404,000.00	1,404,000.00	-
2008-SP 2008-SP	12/5/17 4/10/18	PC Connie Maxwell Children's Home, Greenville, SC To implement an evidence-informed foster parent skill-building model To implement an evidence-informed foster parent skill-building model	150,000.00	100,000.00	150,000.00	100,000.00
1956 SP	4/6/17	PC Dea Norton Lowcountry Children's Center, The, Charleston, SC To replicate Alternatives for Families Cognitive Behavioral Therapy, an evidence-based model for physically abused children and their families	370,000.00	-	370,000.00	-
2033-SP	11/6/18	PC Forsyth Children's Home To support the Institute for Child and Family Well-being	-	500,000.00	500,000.00	-
1934-SP	6/2/15	PC Helena and Lending Outreach Support (HALOS), North Charleston, SC To improve outcomes for children in kinship care	206,938.00	-	206,938.00	-
2010-SP 2010-SP	12/5/17 4/10/18	PC Hope Center for Children, Spartanburg, SC To replicate Trauma-Focused Cognitive Behavioral Therapy To replicate Trauma-Focused Cognitive Behavioral Therapy	150,000.00	120,000.00	150,000.00	120,000.00

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
2016-SP	6/5/18	<u>Hopeful Horizons, Beaufort, SC</u> To expand Parent-Child Interaction Therapy	-	120,000 00	120,000 00	-
1984-SP	4/6/17	<u>New Foundations Home for Children, Anderson, SC</u> To implement Together Facing the Challenge, a therapeutic foster care model	100,000 00		100,000 00	-
1984-SP	4/10/18	To implement Together Facing the Challenge, a therapeutic foster care model	-	60,000 00		60,000 00
1952-SP	4/6/17	<u>South Carolina Campaign to Prevent Teen Pregnancy, Columbia, SC</u> To build organizational capacity and help establish teen-friendly clinics in select counties	317,910 00		317,910 00	-
1953-SP	4/6/17	To reduce teen pregnancy rates in Darlington County	243,768 00		243,768 00	-
1954-SP	4/5/16	<u>South Carolina Department of Social Services, Columbia, SC</u> To expand Strengthening Families Program across South Carolina	789,284 00		1,544,625 00	-
2012-SP	12/5/17	To implement an evidence-informed foster parent skill-building model	70,000 00		70,000 00	-
2029-SP	4/10/18	To implement an evidence-informed foster parent skill-building model	-	30,000 00		30,000 00
	11/6/18	To continue support for the Strengthening Families Program	-	228,660 00		-
1971-SP	4/10/18	<u>South Carolina Research Foundation, Columbia, SC</u> To develop a health and education information exchange for children and youth in foster care in SC	-	383,000 00		182,000 00
2013-SP	4/10/18	<u>South Carolina Youth Advocate Program, Columbia, SC</u> To recruit and train foster families using the Together Facing the Challenge model	-	381,330 00		-
2026-SP	6/5/18	<u>Specialized Alternatives for Families and Youth of South Carolina, Columbia, SC</u> To implement Together Facing the Challenge, an evidence-informed therapeutic foster care model	-	14,000 00		-
1972-SP	4/6/17	<u>Thornwell Home and School for Children, Clinton, SC</u> To support a foster care program	159,000 00		159,000 00	112,000 00
1972-SP	4/10/18	To support a foster care program	-	112,000 00		-
2027-SP	6/5/18	<u>USC Unstate, Spartanburg, SC</u> To improve the ability of Spartanburg County DSS workers and investigators to recognize, respond and address abuse and neglect	-	124,969 00		-
CHILD CARE - TOTAL			8,224,467.53	13,755,462.47	17,718,741.00	4,261,189.00
SUPERANNUATED PREACHERS						
Distribution in 2013 for pension and similar payments						
SA-39	8/29/17	North Carolina Conference of the United Methodist Church	-	(1,966 40)	(1,966 40)	-
SA-41	11/6/18	North Carolina Conference of the United Methodist Church	-	801,579 00	801,579 00	-
SA-42	11/6/18	Western North Carolina Conference of the United Methodist Church	-	1,180,979 00	1,180,979 00	-
SUPERANNUATED PREACHERS - TOTAL			-	1,980,591.60	1,980,591.60	-
BUILDING RURAL CHURCHES						
BUILDING PROJECTS (All N C)						
BRC-930	3/22/17	<u>Bosson City United Methodist Church, Bosson City, NC</u>	-	25,000 00	25,000 00	-
BUILDING PROJECTS (All N C) - SUBTOTAL			-	25,000.00	25,000.00	-

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
BRC-915-SP	2/22/17	PC SPECIAL BUILDING RURAL CHURCH PROJECTS North Carolina Conference, United Methodist Church, Durham, NC To support a program that strengthens farmworker communities by training rural churches on best practices for engagement	-	58,000.00	58,000.00	-
		SPECIAL BUILDING RURAL CHURCH PROJECTS - SUBTOTAL	-	58,000.00	58,000.00	-
		BUILDING RURAL CHURCHES - SUBTOTAL	-	58,000.00	58,000.00	-
		OPERATING RURAL CHURCHES	-	83,000.00	83,000.00	-
		MAINTAINING AND OPERATING RURAL CHURCHES				
ORC-2020-R	4/6/17	PC Andrews United Methodist Church, Andrews, NC To expand a community outreach program	-	20,000.00	20,000.00	-
ORC-2057	2/26/18	PC Bethany United Methodist Church, West Jefferson, NC To support affordable housing	-	30,000.00	30,000.00	-
ORC-2103	2/6/18	PC Blackburn's Chapel/Blackburn Community Outreach, Todd, NC To develop leaders using Asset Based Community Development	-	40,000.00	40,000.00	-
ORC-2108	2/6/18	PC To expand a food ministry	-	95,000.00	95,000.00	-
ORC-2011	2/2/16	PC Cedar Grove United Methodist Church, Cedar Grove, NC To expand a food ministry program	-	18,000.00	18,000.00	-
ORC-2012	4/10/18	PC Clifton United Methodist Church, Warrensville, NC To increase the distribution of produce through hunger ministries	-	15,000.00	15,000.00	-
ORC-2109	2/6/18	PC To expand a food ministry	-	20,000.00	20,000.00	-
ORC 1851	4/6/17	PC Cullowhee United Methodist Church, Cullowhee, NC To support a cooperative ministry program	20,000.00	-	20,000.00	-
ORC-2083	4/10/18	PC Fairview United Methodist Church, Thomasville, NC To begin a literacy program	-	10,000.00	10,000.00	-
ORC-2110	2/6/18	PC To test a summer literacy program	-	36,000.00	36,000.00	-
ORC-2059-R	2/7/17	PC First United Methodist Church, Elizabeth City, NC To expand a literacy program for Latino children and provide language classes for adults	-	10,000.00	10,000.00	-
ORC-2013-R	3/22/17	PC First United Methodist Church, Henderson, NC To support outreach to Hispanic children Cancelled in 2018	-	6,500.00 (6,500.00)	6,500.00 (6,500.00)	-
ORC-1935	10/7/14	PC Hopewell United Methodist Church, Peachland, NC To develop an aquaponics cooperative	-	(14,351.00)	(14,351.00)	-
ORC-2031-R	4/10/18	PC Jonesboro United Methodist Church, Sanford, NC To expand a Hispanic community outreach	-	60,000.00	60,000.00	-
ORC-2061	2/26/18	PC Ledford's Chapel United Methodist Church, Hayesville, NC To expand a food and literacy outreach program	-	20,000.00	20,000.00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
ORC-2017	4/10/18	PC Monticello United Methodist Church, Statesville, NC To support the Ubuntu Academy a summer literacy program	-	65,000.00	65,000.00	-
ORC-2032	4/10/18	PC Mt. Olivet United Methodist Church, Lenoir, NC To support a food pantry	-	6,000.00	-	6,000.00
ORC-2033	4/10/18	PC Salern United Methodist Church, Hurdle Mills, NC To expand a food ministry	-	14,250.00	14,250.00	-
ORC-2111	2/6/18	PC Seaside United Methodist Church, Sunset Beach, NC To test a summer literacy program	-	40,000.00	40,000.00	-
ORC-2123 R	11/6/18	PC Sharon United Methodist Church, Kinston, NC To support affordable housing	-	350,000.00	350,000.00	-
ORC-2045	4/10/18	PC Solid Rock United Methodist Church, Olivia, NC To support ministry programming	-	177,000.00	177,000.00	-
ORC-2069-R	2/7/17	PC Soanta United Methodist Church, Soanta, NC To expand outreach to youth and families	-	50,000.00	50,000.00	-
ORC-1900	4/6/17	PC Sauce Pina United Methodist Church, Sauce Pine, NC To establish a youth ministry	30,000.00	-	30,000.00	-
ORC-2036-R	4/10/18	PC To pilot a reading enrichment program	-	15,000.00	15,000.00	-
ORC-1915	4/5/16	PC Union Chapel United Methodist Church, Advance, NC To support a backpack ministry program	10,000.00	(10,000.00)	-	-
ORC-2113	2/6/18	PC United Grove United Methodist Church, Hillsborough, NC To engage in an Asset Based Community Development process	-	25,000.00	25,000.00	-
ORC-2038-R	4/10/18	PC Woodmont United Methodist Church, Reidsville, NC To support a food ministry program	-	50,000.00	50,000.00	-
MAINTAINING AND OPERATING RURAL CHURCHES - Subtotal			60,000.00	1,141,899.00	1,195,899.00	6,000.00
SPECIAL PURPOSE GRANTS						
ORC-2082-SP	4/10/18	PC Beacon Distinct, Garner, NC To expand a collaborative home repair ministry	-	400,000.00	400,000.00	-
ORC-2084-SP	12/5/17	PC To provide diversity training for clergy and congregations	25,000.00	-	25,000.00	-
ORC-2090-SP	2/6/18	PC Blue Ridge Distinct, Asheville, NC To provide diversity training for clergy and congregations	-	25,000.00	25,000.00	-
ORC-2062-SP	2/7/17	PC Boundless Impact, Greensboro, NC To train churches for innovative community ministry	-	20,000.00	20,000.00	-
ORC-2072-SP	4/10/18	PC Center for Assessment and Policy Development, Conshohocken, NC To continue evaluation of the Thriving Rural Communities Initiative	-	94,015.00	94,015.00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
ORC-2002-SP	4/6/17	PC	250,000.00	475,000.00	250,000.00	-
ORC-2127-SP	11/6/18	PC	-	-	475,000.00	-
ORC-2021-SP	4/6/17	PC	-	150,000.00	150,000.00	-
ORC-2022-SP	4/6/17	PC	58,000.00	58,000.00	58,000.00	-
ORC-2022-SP	4/10/18	PC	-	56,000.00	56,000.00	-
ORC-2091-SP	2/6/18	PC	-	25,000.00	25,000.00	-
ORC-2040-SP	4/10/18	PC	-	50,000.00	50,000.00	-
ORC-1821-SP	4/10/18	PC	-	770,000.00	770,000.00	-
ORC-1919-SP	4/10/18	PC	-	245,568.00	245,568.00	-
ORC-2049-SP	2/26/18	PC	-	19,000.00	19,000.00	-
ORC-2092-SP	2/6/18	PC	-	28,750.00	28,750.00	-
ORC-2092-SP	2/6/18	PC	-	-	(28,750.00)	28,750.00
ORC-2093-SP	2/6/18	PC	-	82,675.00	82,675.00	-
ORC-2094-SP	2/6/18	PC	-	374,500.00	374,500.00	-
ORC-2095-SP	2/6/18	PC	-	1,396,800.00	1,396,800.00	-
ORC-2096-SP	2/6/18	PC	-	1,555,000.00	1,555,000.00	-
ORC-2097-SP	2/6/18	PC	-	125,000.00	125,000.00	-
ORC-2104-SP	2/6/18	PC	-	245,000.00	245,000.00	-
ORC-2114-SP	8/28/18	PC	-	966,700.00	966,700.00	-
ORC-2119-SP	11/6/18	PC	-	395,985.00	395,985.00	-
ORC-2077-SP	4/10/18	PC	-	110,000.00	110,000.00	-
ORC-1934-SP-R	4/5/16	PC	20,000.00	(20,000.00)	-	-
ORC-2085-SP	12/5/17	PC	25,000.00	-	25,000.00	-
ORC-2060-SP	2/7/17	PC	-	100,000.00	100,000.00	-
ORC-2086-SP	12/5/17	PC	25,000.00	-	25,000.00	-
ORC-2120-SP	11/6/18	PC	-	40,000.00	40,000.00	-
ORC-2064-SP	4/10/18	PC	-	120,000.00	120,000.00	-
ORC-2105-SP	2/6/18	PC	-	200,000.00	200,000.00	-
ORC-2125-SP	11/6/18	PC	-	39,000.00	39,000.00	-
ORC-2115-SP	8/28/18	PC	-	283,130.00	283,130.00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	2018		Unpaid Grants Balance 12/31/18
				Grants Approved (Reductions)	Grants Paid (Refunds)	
ORC-2065-SP	2/7/17	PC Johnson Service Corps, Chapel Hill, NC To develop Rural Servant Leaders Fellows	-	50,000.00	50,000.00	-
ORC-2004-SP	4/6/17	PC Macedonian Ministry, Atlanta, GA To expand a clergy renewal program into rural NC	-	100,000.00	100,000.00	-
ORC-2098-SP	2/6/18	PC Metro District, Charlotte, NC To provide diversity training for clergy and congregations	-	25,000.00	25,000.00	-
ORC-2121-SP	1/16/18	PC Native American Cooperative Ministry, Rowland, NC To implement an afterschool program	-	36,000.00	36,000.00	-
ORC-2078-SP	8/29/17	PC New Voice Strategies, Boulder, CO To survey United Methodist leaders to inform the Rural Church program area's strategic priorities	-	-	-	-
ORC-2024-SP	4/10/18	PC North Carolina Conference United Methodist Church, Garner, NC To expand a leadership development program for Latino lay persons	-	120,000.00	120,000.00	-
ORC-2053-SP	2/7/17	PC To train church leaders through language and culture immersion	-	48,000.00	48,000.00	-
ORC-2054-SP	2/7/17	PC To begin a coaching program for part-time pastors in rural settings	-	64,800.00	64,800.00	-
ORC-2066-SP	4/10/18	PC To assist rural churches in long-range planning	-	144,456.00	144,456.00	-
ORC-2074-SP	4/10/18	PC To support the conference's Empowering Network Initiative for Hispanic faith communities	-	100,000.00	100,000.00	-
ORC-2079-SP	4/10/18	PC To build the capacity of rural churches to engage in community needs assessment and planning	-	35,000.00	35,000.00	-
ORC-2095-SP	2/6/18	PC To support a mental health program for clergy in crisis	-	50,000.00	50,000.00	-
ORC-2106-SP	2/6/18	PC To support the connectional fund	-	250,000.00	250,000.00	-
ORC-2116-SP	8/28/18	PC To provide diversity training for clergy and congregations	-	85,000.00	85,000.00	-
ORC-2126-SP	1/16/18	PC To complete a strategic plan for Life Around the Table	-	218,750.00	218,750.00	-
ORC-1984-SP	4/5/16	PC North Carolina Council of Churches, Raleigh, NC To expand healthy eating and food ministry program in rural United Methodist churches	184,864.00	594,133.00	389,495.00	184,864.00
ORC-1984-SP	4/10/18	PC To expand healthy eating and food ministry program in rural United Methodist churches	-	17,000.00	17,000.00	204,634.00
ORC-2042-SP	4/10/18	PC To support a Come to the Table program coordinator	-	-	-	-
ORC-2088-SP	12/5/17	PC Northern Piedmont District, Greensboro, NC To provide diversity training for clergy and congregations	13,500.00	-	13,500.00	-
ORC-2122-SP	1/16/18	PC Partners in Ministry, Laumburg, NC To support literacy and housing programs for economically disadvantaged children and families	-	180,000.00	180,000.00	-
ORC-2043-SP	4/10/18	PC Robeson County Church and Community Center, Lumberton, NC To support program expansion	-	94,734.00	94,734.00	-
ORC-1944-SP-R	4/15/15	PC Rose Hill United Methodist Church, Rose Hill, NC To support a food ministry program	17,500.00	(17,500.00)	-	-
ORC-2044-SP	4/10/18	PC Rural Advancement Foundation International USA, Pittsboro, NC To expand and coordinate food ministry programs	-	355,400.00	355,400.00	-
ORC-2117-SP	8/28/18	PC To support Come to the Table Conferences	-	80,850.00	80,850.00	-
ORC-2080-SP	4/10/18	PC Rural Economic Development Center, Raleigh, NC To build the capacity of rural congregations for community economic development	-	225,000.00	225,000.00	-
ORC-2112-SP	2/6/18	PC Smoky Mountain District, Lake Junaluska, NC To pilot a collaborative poverty initiative for children	-	105,000.00	105,000.00	-
ORC-2124-SP	1/16/18	PC Society of St. Andrew, Durham, NC To expand a gleanng network to reduce food waste and supply fresh produce to the underserved	-	50,000.00	50,000.00	-
ORC-2087-SP	12/5/17	PC Sound District, Garner, NC To provide diversity training for clergy and congregations	36,000.00	50,000.00	36,000.00	-
ORC-2118-SP	8/28/18	PC To support a partnership for a literacy program	-	50,000.00	50,000.00	-
		PC United Methodist Foundation of Western NC, Huntersville, NC	-	-	-	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
ORC-2100-SP	2/6/18	To expand a clergy debt reduction program	-	500,000.00	500,000.00	-
ORC-2089-SP	12/5/17	<u>White Mountain District, Albemarle, NC</u> To provide diversity training for clergy and congregations	15,000.00	79,200.00	15,000.00	-
ORC-2128-SP	11/6/18	To establish a community service center	-	-	79,200.00	-
ORC-2070-SP	4/10/18	<u>UNC Charlotte Urban Institute, Charlotte, NC</u> To examine rural-urban connections for economic renewal	-	167,962.00	167,962.00	-
ORC-1775-SP	2/6/18	<u>Western North Carolina Conference United Methodist Church, Charlotte, NC</u> To support an African-American Women's Leadership Conference	-	(3,020.01)	(3,020.01)	-
ORC-1901-SP	4/15/15	To support training for Residency in Ordained Ministry	320,629.00	(270,629.00)	50,000.00	-
ORC-2007-SP	3/22/17	To develop a mentoring and technical assistance program for licensed pastors	-	27,000.00	27,000.00	-
ORC-2025-SP	4/10/18	To assist rural churches in long-range planning	-	477,500.00	477,500.00	-
ORC-2101-SP	2/6/18	To support a mental health program for clergy in crisis	-	39,800.00	39,800.00	-
ORC-2102-SP	2/6/18	To provide diversity training for clergy and congregations	-	70,000.00	70,000.00	-
ORC-2129-SP	11/6/18	To implement a leadership and spiritual development program for laity	-	20,000.00	20,000.00	-
ORC-2107-SP	2/6/18	<u>Yadkin Valley District, Winston-Salem, NC</u> To pilot a leadership academy for laity	-	22,500.00	22,500.00	-
ORC-2081-SP	4/10/18	<u>Youth Empowered Solutions, YES!, Raleigh, NC</u> To build the capacity of rural United Methodist teens to engage in civic leadership	-	255,000.00	255,000.00	-
SPECIAL PURPOSE GRANTS - Subtotal			990,493.00	12,819,058.99	13,356,303.99	453,248.00
RURAL CHURCH MAINTAINING AND OPERATING GRANTS - TOTAL ADMINISTRATIVE GRANTS			1,050,493.00	13,960,957.99	14,552,202.99	459,248.00
RURAL CHURCH GRANTS - TOTAL ADMINISTRATIVE GRANTS			1,050,493.00	16,024,549.99	14,635,202.99	2,439,839.60
18-005	5/11/18	<u>Association of Governing Boards, Washington, DC</u> To provide general support in honor of April Trustee meeting speaker	-	2,500.00	2,500.00	-
17-014	11/20/17	<u>Center for Research on Child Wellbeing, Princeton University, Princeton, NJ</u> To support the Future of Children conference and volume on universal approaches to child maltreatment prevention	50,000.00	-	50,000.00	-
18-013	12/4/18	<u>Davidson College, Davidson, NC</u> To support Davidson's membership fee in the National Humanities Center's Summer Residency Program	-	2,500.00	2,500.00	-
18-008	8/9/18	<u>Duke University, Durham, NC</u> To support the NC Leadership Forum at the Sanford School of Public Policy	-	35,000.00	35,000.00	-
18-011	9/24/18	To match the JB and MK Prizker Family Foundation to support a study for Replication Family Connects International	-	40,000.00	40,000.00	-
18-009	8/17/18	<u>Foundation for the Carolinas, Charlotte, NC</u> To sponsor the 2018 Nonprofit Learning Series	-	11,000.00	11,000.00	-
18-014	12/4/18	<u>Furman University, Greenville, SC</u> To support Furman's membership fee in the National Humanities Center's Summer Residency Program	-	2,500.00	2,500.00	-
18-006	5/11/18	<u>HopeWav Foundation, Charlotte, NC</u> To provide general support in honor of April Trustee meeting speaker	-	2,500.00	2,500.00	-
18-015	12/4/18	<u>Johnson C. Smith University, Charlotte, NC</u> To support Johnson C. Smith's membership fee in the National Humanities Center's Summer Residency Program	-	2,500.00	2,500.00	-
17-009	4/10/18	<u>Liberty Fellowship - Wofford College, Spartanburg, SC</u> To support the hiring of a chief impact officer	-	50,000.00	50,000.00	-
15-013	4/6/17	<u>Lowwood Foundation, Charlotte, NC</u> To support the Lee Institute's new initiative, the Third Sector Leadership Program	15,000.00	-	15,000.00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
18-012	12/14/18	To provide continued support the Lee Institute's, the Third Sector Leadership Program	-	25,000 00	25,000 00	-
18-016	12/14/18	<u>MDC, Durham, NC</u> To support MDC's Passing Gear Philanthropy to address root causes of chronic disparities in three Southern communities	-	50,000 00	50,000 00	-
18-002	2/14/18	<u>North Carolina Network of Grantmakers, Raleigh, NC</u> To support the Early Childhood Funders Collaborative	-	5,000 00	5,000 00	-
18-003	4/11/18	<u>Spartanburg County Foundation, Spartanburg, SC</u> To support the Council on Foundation's convening on Inclusive Economic Prosperity in the South	-	25,000 00	25,000 00	-
18-010	8/29/18	<u>Southeastern Council of Foundations, Atlanta, GA</u> To support the annual meeting	-	15,000 00	15,000 00	-
18-001	1/26/18	<u>University of North Carolina at Chapel Hill - School of Social Work, Chapel Hill, NC</u> To support a North Carolina Home Visiting Landscape Study	-	25,000 00	25,000 00	-
18-004	5/11/18	<u>The Urban Institute, Washington, DC</u> To provide general support in honor of April Trustee meeting speaker	-	2,500 00	2,500 00	-
18-007	5/11/18	<u>Wesley Theological Seminary of the Methodist Church, Washington, DC</u> To provide general support in honor of April Trustee meeting speaker	-	2,500 00	2,500 00	-
15-011	4/10/18	<u>WNC Nonprofit Pathways, Asheville, NC</u> To support WNC Nonprofit Pathways programs and growth to strengthen their impact with western North Carolina non-profits	-	25,000 00	25,000 00	-
ADMINISTRATIVE GRANT - TOTAL			65,000 00	323,500 00	388,500 00	-

2018
Attachment 17
Form 990-PF, Part XV, Line 3
Supplementary Information

Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

Approp No	Approp Date	Designation	Unpaid Grants Balance 12/31/17	Grants Approved (Reductions) 2018	Grants Paid (Refunds) 2018	Unpaid Grants Balance 12/31/18
SPECIAL GRANT OPPORTUNITIES						
15-05-SGO	2/26/18	American Academy of Pediatrics, Elk Grove Village, IL	-	515,189 00	515,189 00	-
17-05-SGO	4/4/17	Blue Meridian Partners Inc., New York, NY	1,598,243 00	4,225,000 00	2,300,872 00	-
17-05-SGO-C	4/4/17	Tri-County Cradle-to-Career Collaborative, North Charleston, SC	-	580,000 00	580,000 00	-
17-05-SGO-E	2/10/18	Duke University - Sanford School, Durham, NC	-	525,123 00	525,123 00	-
17-05-SGO-F	4/10/18	Frank Porter Graham Child Development Institute	-	118,982 00	118,982 00	-
17-05-SGO-G	4/7/18	MDRC	-	183,829 00	183,829 00	-
17-05-SGO-H	4/8/18	Duke University	-	332,758 00	332,758 00	-
17-05-SGO-I	4/8/18	Root Cause Boston MA	-	1,085,945 00	1,085,945 00	-
17-05-SGO-J	8/3/18	Duke University	-	485,049 00	485,049 00	-
17-05-SGO-K	9/14/18	Children's Home Society of North Carolina	-	310,685 00	310,685 00	-
18-05-SGO	8/28/18	Blue Meridian Partners Inc. New York, NY	-	3,000,000 00	2,330,628 00	308,291 00
18-05-SGO-A	8/28/18	Zero to Three - National Center for Infants, Toddlers and Families	-	250,118 00	250,118 00	-
18-05-SGO-B	8/28/18	Guilford Child Development	-	110,963 00	110,963 00	-
17-08-SGO	12/5/17	Duke University, Center for Child and Family Policy, Durham, NC	500,000 00	500,000 00	500,000 00	-
17-01-SGO	4/10/18	Duke University World Food Policy Center, Durham, NC	-	461,800 00	461,800 00	-
18-11-SGO	12/4/18	Duke University School of Medicine Durham, NC	-	269,404 00	269,404 00	-
18-12-SGO	12/4/18	Duke University, Durham, NC	-	450,000 00	450,000 00	-
14-05-SGO	4/5/16	Foundation for the Carolinas - Literacy, Charlotte, NC	-	150,000 00	150,000 00	-
18 02 SGO	2/6/18	Foundation for the Carolinas - Read Charlotte, Charlotte NC	-	150,000 00	150,000 00	-
16-05-SGO	4/10/18	Greenlight Fund, Inc Boston, MA	-	50,000 00	50,000 00	-
18-06-SGO	8/28/18	Get Ready Guilford Initiative	-	3,000,000 00	636,689 00	1,441,482 00
18-06-SGO-A	8/28/18	Frank Porter Graham Child Development Institute	-	359,081 00	359,081 00	-
18-06-SGO-B	8/28/18	Ready for School, Ready for Life	-	562,746 00	562,746 00	-
18-06-SGO-C	8/28/18	Sanford School of Public Policy	-	90,000 00	90,000 00	-
18-04 SGO	6/5/18	The Hunt Institute, Durham, NC	-	85,000 00	85,000 00	-
16-04-SGO	4/10/18	Impact Amneca, Greenville, SC	-	27,500 00	27,500 00	-
18-01-SGO	2/6/18	Institute for Emerging Issues, Raleigh, NC	-	225,000 00	225,000 00	-
15-04-SGO	4/6/17	MDC - Benefit Bank, Durham, NC	225,000 00	97,300 00	97,300 00	-
18-07-SGO	10/2/18	North Carolina Early Childhood Foundation, Raleigh NC	-	200,000 00	200,000 00	-
17-04-SGO	4/10/18	Northside Development Corporation, Spartanburg, SC	-	1,733,406 00	1,733,406 00	581,090 00
16-01-SGO	1/9/17	Nurse Family Partnership, Denver, CO	2,314,496 00	2,000,000 00	2,000,000 00	2,000,000 00
16-01-SGO	4/10/18	Nurse Family Partnership, Denver, CO	-	2,000,000 00	530,000 00	-
18-03-SGO	2/6/18	Reading Partners South Carolina Charleston, SC and Charlotte, NC	-	530,000 00	400,000 00	-
18-13-SGO	12/4/18	Reading Partners South Carolina, Charleston, SC and Charlotte, NC	-	400,000 00	400,000 00	-
17-03-SGO	4/10/18	Renaissance West Community Initiative Charlotte, NC	-	200,000 00	200,000 00	-
17-07-SGO	4/4/17	Say Yes to Education, New York, NY	1,000,000 00	(1,000,000 00)	-	-
17-06 SGO	4/10/18	S.C. Thrive, Columbia, SC	-	377,500 00	377,500 00	-
18-10-SGO	11/6/18	United Methodist Committee on Relief Atlanta, GA	-	2,000,000 00	2,000,000 00	-
16-03-SGO	4/10/18	University of North Carolina at Chapel Hill, Chapel Hill, NC	-	133,241 00	133,241 00	-
SPECIAL GRANT OPPORTUNITIES - TOTAL			5,737,739 00	17,411,934 00	18,818,810 00	4,330,863 00

TOTAL DUKE ENDOWMENT GRANTS

25,220,192 53	154,059,560 17	160,243,374 70	19,036,998 00
Passsthrough from K-1s		29,711 00	
Total Paid		160,273,085 70	