

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning **NOV 1, 2016**, and ending **OCT 31, 2017**

Name of foundation: **MAIER FOUNDATION, INC.** A Employer identification number: **55-6023833**

Number and street (or P.O. box number if mail is not delivered to street address): **P.O. BOX 6190** Room/suite: B Telephone number: **(304) 343-2201**

City or town, state or province, country, and ZIP or foreign postal code: **CHARLESTON, WV 25362-6190** C If exemption application is pending, check here 6

G Check all that apply: Initial return Initial return of a former public charity Final return Amended return Address change Name change D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization: Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16): **\$ 35,592,226.** J Accounting method: Cash Accrual Other (specify) **04** F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		54,537.	54,537.		STATEMENT 1
4 Dividends and interest from securities		375,701.	375,701.		STATEMENT 2
5a Gross rents		1,656,229.	1,656,229.		STATEMENT 3
b Net rental income or (loss) 1,363,671.					STATEMENT 4
6a Net gain or (loss) from sale of assets not on line 10		1,350,220.			
b Gross sales price for all assets on line 6a 11,690,082.					
7 Capital gain net income (from Part IV, line 2)			1,350,220.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
Gross profit on (less) Other Income					
12 Total. Add lines 1 through 11		3,436,687.	3,436,687.		
13 Compensation for officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 5		450.	450.		0.
b Accounting fees STMT 6		9,954.	8,959.		995.
c Other professional fees STMT 7		121,426.	121,426.		0.
17 Interest					
18 Taxes STMT 8		27,418.	25,818.		0.
19 Depreciation and depletion		83,449.	83,449.		
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 9		192,463.	191,759.		704.
24 Total operating and administrative expenses. Add lines 13 through 23		435,160.	431,861.		1,699.
25 Contributions, gifts, grants paid		1,566,834.			1,566,834.
26 Total expenses and disbursements. Add lines 24 and 25		2,001,994.	431,861.		1,568,533.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,434,693.			
b Net investment income (if negative, enter -0-)			3,004,826.		
c Adjusted net income (if negative, enter -0-)				N/A	

3
4

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of year amounts only</small>		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	4,656.	3,971.	3,971.	
	2 Savings and temporary cash investments	860,000.	300,013.	300,013.	
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
	11 Investments - land, buildings and equipment basis ▶ Less: accumulated depreciation ▶	9,482,896. 5,852,860.	3,796,355.	3,630,036.	12,000,000.
	12 Investments - mortgage loans				
	13 Investments - other STMT 11		17,644,078.	19,824,316.	23,288,242.
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶				
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		22,305,089.	23,758,336.	35,592,226.	
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31				
	27 Capital stock, trust principal, or current funds	7,887,700.	7,887,700.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	14,417,389.	15,870,636.			
30 Total net assets or fund balances	22,305,089.	23,758,336.			
31 Total liabilities and net assets/fund balances	22,305,089.	23,758,336.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	22,305,089.
2 Enter amount from Part I, line 27a	2	1,434,693.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	18,554.
4 Add lines 1, 2, and 3	4	23,758,336.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	23,758,336.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED STOCKS		P		
b PUBLICLY TRADED STOCKS		P		
c CAPITAL GAINS DIVIDENDS				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 5,439,414.		4,996,200.	443,214.	
b 6,220,624.		5,343,662.	876,962.	
c 30,044.			30,044.	
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			443,214.	
b			876,962.	
c			30,044.	
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 1,350,220.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ }		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,500,581.	31,657,407.	.047401
2014	1,539,969.	31,658,378.	.048643
2013	998,893.	31,061,229.	.032159
2012	1,142,179.	25,499,330.	.044793
2011	975,628.	23,707,846.	.041152
2 Total of line 1, column (d)			2 .214148
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .042830
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 33,707,491.
5 Multiply line 4 by line 3			5 1,443,692.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 30,048.
7 Add lines 5 and 6			7 1,473,740.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 1,568,533.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-tables for credits/payments (6a-6d) and a 'Refunded' section. Total tax due is 8,210.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity questions and a Yes/No column. Includes questions about political campaigns, unrelated business income, and substantial contributors. Includes handwritten 'N/A' and 'WV'.

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.MAIERFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>BRAD M. ROWE, PRESIDENT</u> Telephone no. ► <u>(304) 343-2201</u> Located at ► <u>350 MACCORKLE AVENUE, SE, CHARLESTON, WV</u> ZIP+4 ► <u>25314</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____	N/A	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 13** Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
 N/A

5b	X		
6b			X
7b			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	3,687.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶ 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	21,581,988.
b	Average of monthly cash balances	1b	638,815.
c	Fair market value of all other assets	1c	12,000,000.
d	Total (add lines 1a, b, and c)	1d	34,220,803.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	34,220,803.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	513,312.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	33,707,491.
6	Minimum investment return. Enter 5% of line 5	6	1,685,375.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,685,375.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	30,048.
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	30,048.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,655,327.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,655,327.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,655,327.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,568,533.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,568,533.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	30,048.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,538,485.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,655,327.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			1,551,569.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 1,568,533.				
a Applied to 2015, but not more than line 2a			1,551,569.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				16,964.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				1,638,363.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

BRADLEY M. ROWE, PRESIDENT, (304) 343-2201
P.O. BOX 6190, CHARLESTON, WV 25362

b The form in which applications should be submitted and information and materials they should include:

PRESCRIBED FORM USED - CONTACT ABOVE FOR GUIDELINES AND FORM.

c Any submission deadlines:

OCTOBER 1 OF EACH YEAR.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GRANTS MADE PRIMARILY FOR HIGHER EDUCATION IN WV AND OTHER EDUCATION-RELATED PURSUITS IN KANAWHA COUNTY, WV.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>SEE STATEMENT ATTACHED</p>				<p>1,566,834.</p>
Total			▶ 3a	1,566,834.
<p>b Approved for future payment</p> <p>NONE</p>				
Total			▶ 3b	0.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST ON SAVINGS AND TEMPORARY INVESTMENTS	292.	292.	
U.S. GOVERNMENT INTEREST	54,245.	54,245.	
TOTAL TO PART I, LINE 3	54,537.	54,537.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS FROM SECURITIES	405,745.	30,044.	375,701.	375,701.	
TO PART I, LINE 4	405,745.	30,044.	375,701.	375,701.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
OWENS INDUSTRIAL PARK	1	1,656,229.
TOTAL TO FORM 990-PF, PART I, LINE 5A		1,656,229.

FORM 990-PF	RENTAL EXPENSES		STATEMENT 4
DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
DEPRECIATION		83,449.	
AMORTIZATION		82,869.	
UTILITIES		2,015.	
INSURANCE		19,527.	
PROPERTY TAXES		23,683.	
MAINTENANCE		29,175.	
PROPERTY MANAGEMENT FEES		51,840.	
- SUBTOTAL -	1		292,558.
TOTAL RENTAL EXPENSES			292,558.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			1,363,671.

FORM 990-PF	LEGAL FEES				STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	450.	450.			0.
TO FM 990-PF, PG 1, LN 16A	450.	450.			0.

FORM 990-PF	ACCOUNTING FEES				STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	9,954.	8,959.			995.
TO FORM 990-PF, PG 1, LN 16B	9,954.	8,959.			995.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FIDUCIARY FEES	121,426.	121,426.		0.
TO FORM 990-PF, PG 1, LN 16C	121,426.	121,426.		0.

FORM 990-PF	TAXES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	2,135.	2,135.		0.
EXCISE TAXES	1,600.	0.		0.
PROPERTY TAXES	23,683.	23,683.		0.
TO FORM 990-PF, PG 1, LN 18	27,418.	25,818.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE & OTHER EXPENSES	7,037.	6,333.		704.
UTILITIES	2,015.	2,015.		0.
INSURANCE	19,527.	19,527.		0.
MAINTENANCE	29,175.	29,175.		0.
PROPERTY MANAGEMENT FEES	51,840.	51,840.		0.
AMORTIZATION	82,869.	82,869.		0.
TO FORM 990-PF, PG 1, LN 23	192,463.	191,759.		704.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 10

DESCRIPTION	AMOUNT
UNREALIZED GAIN/LOSS	18,390.
PRIOR PERIOD ADJUSTMENT	164.
TOTAL TO FORM 990-PF, PART III, LINE 3	18,554.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BB&T - PORTFOLIO	COST	7,027,892.	7,792,349.
SMITH BARNEY - PORTFOLIO	COST	6,642,174.	7,740,587.
NTV - EQUITY INVESTMENTS	COST	6,154,250.	7,755,306.
TOTAL TO FORM 990-PF, PART II, LINE 13		19,824,316.	23,288,242.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MR. EDWARD H. MAIER 2878 ALEX MCKAY PLACE SARASOTA, FL 34240	CHAIRMAN AND DIRECTOR 1.00	0.	0.	481.
MS. SANDRA D. THOMAS 602 BRIARWOOD ROAD CHARLESTON, WV 25314	SECRETARY AND DIRECTOR 0.00	0.	0.	0.
MS. SARA M. ROWE 19 BIMINI DRIVE ROCKPORT, TX 78382	VICE PRESIDENT AND DIRECTOR 0.00	0.	0.	786.
HON. JOHN T. COPENHAVER, JR. 300 VIRGINIA STREET EAST, SUITE 6009 CHARLESTON, WV 25301	DIRECTOR 0.00	0.	0.	0.
MR. CHARLES I. JONES, JR. 1538 MOUNT VERNON ROAD CHARLESTON, WV 25314	DIRECTOR 0.00	0.	0.	0.
MR. J. HOLMES MORRISON 205 SHAWNEE CIRCLE CHARLESTON, WV 25304	DIRECTOR 0.00	0.	0.	0.
MR. THOMAS W. ROWE 6 COHASSET PLACE THE WOODLANDS, TX 77375	DIRECTOR 0.00	0.	0.	867.
MR. J. RANDY VALENTINE 216 BROOKS STREET, SUITE 300 CHARLESTON, WV 25301	TREASURER AND DIRECTOR 1.00	0.	0.	0.
MR. BRADLEY M. ROWE P.O. BOX 6190 CHARLESTON, WV 25362	PRESIDENT AND DIRECTOR 10.00	0.	0.	0.
MR. EDWARD H. MAIER, JR. 3105 GUARDHOUSE CIRCLE VIRGINIA BEACH, VA 23456	DIRECTOR 0.00	0.	0.	688.

MAIER FOUNDATION, INC.

55-6023833

DR. ELIZABETH MAIER CHERNOW
1062 SLEEPY HOLLOW ROAD
PASO ROBLES, CA 93446

DIRECTOR
0.00

0. 0. 865.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.	0.	3,687.
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FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 13

GRANTEE'S NAME

SEE ATTACHED.

GRANTEE'S ADDRESS

GRANT AMOUNT

DATE OF GRANT

AMOUNT EXPENDED

PURPOSE OF GRANT

Maier Foundation, Inc.

EIN 55-6023833

Attachment to Form 990-PF
Part VII-B, Question 5c on page 6

**Expenditure Responsibility Statement
For the year ended 10.31.2017**

Pursuant to IRC Regulation § 53.4945-5(d), the Maier Foundation provides the following information:

- i Grantee: West Virginia Emulation Endowment Trust
Charleston, WV
- ii Amount of Grants: Various dates - \$6,000 (Endowment Grant)
- iii Purpose of Grants: Scholarship Endowment
- iv. Reports: Reports have been received on various dates. The reports have reflected that the endowment grants were properly added to the West Virginia Emulation Endowment Trust's endowment and income from the endowment was properly distributed to various university scholarships.
- v. Diversions: To the Grantor's knowledge, the grantee has not diverted a portion of funds to any other activities other than for the purpose of the grant
- vi. Verification: The grantor has no reason to doubt the accuracy or reliability of the report from the grantee, therefore, no independent verification of the report was made

PART XV
3. GRANTS AND CONTRIBUTIONS
a. PAID DURING THE YEAR (11/1/16 - 10/31/17)

<u>Name and Address of Recipient</u>	<u>Individual</u>	<u>Foundation Status</u>	<u>Purpose of Grant/Contribution</u>	<u>Amount</u>
Clay Center for the Arts and Sciences Charleston, WV	No	Public Charity	Museum Renewal Project & Endowment	\$550,000 00
Marshall University Foundation, Inc Huntington, WV	No	Public Charity	Director of Addiction Sciences (Endowment)	\$500,000 00
WV Independent Colleges & Universities Charleston, WV	No	Public Charity	Scholarships at 8 Private Colleges	\$80,000 00
Marshall University Foundation, Inc. Huntington, WV	No	Public Charity	Student Relief Scholarships	\$60,000 00
WVU Foundation, Inc. Morgantown, WV	No	Public Charity	Student Relief Scholarships	\$60,000 00
Fairmont State Foundation, Inc Fairmont, WV	No	Public Charity	Student Peer Mentoring Endowment	\$50,000 00
WV Symphony Orchestra, Inc Charleston, WV	No	Public Charity	Collaborative Programs with WV Higher Education Institutions	\$33,334 00
Clay Center for the Arts & Sciences Charleston, WV	No	Public Charity	Unrestricted	\$31,000 00
Concord University Foundation, Inc Athens, WV	No	Public Charity	Scholarships	\$30,000 00
Marshall University Foundation, Inc Huntington, WV	No	Public Charity	Study Abroad Scholarships	\$25,000 00
WV State University Foundation, Inc. Institute, WV	No	Public Charity	Student Relief Scholarships	\$25,000 00
WV Symphony Orchestra, Inc. Charleston, WV	No	Public Charity	Unrestricted	\$17,000.00
Marshall University Foundation, Inc Huntington, WV	No	Public Charity	Writing Awards	\$15,000 00
West Virginia Wesleyan College Buckhannon, WV	No	Public Charity	Study Abroad Scholarships	\$15,000.00
CAMC Foundation, Inc. Charleston, WV	No	Public Charity	Dean's Prizes	\$10,000 00
CADCO Foundation Charleston, WV	No	Public Charity	Scholarships	\$10,000 00

<u>Name and Address of Recipient</u>	<u>Individual</u>	<u>Foundation Status</u>	<u>Purpose of Grant/Contribution</u>	<u>Amount</u>
Philanthropy West Virginia Morgantown, WV	No	Public Charity	Ethics Training	\$7,500 00
Faculty Merit Foundation of WV, Inc Charleston, WV	No	Public Charity	Teaching Award	\$7,000 00
West Virginia Emulation Endowment Trust Charleston, WV	No	SO III NFI	Scholarship Endowment	\$6,000 00
Charleston Light Opera Guild, Inc. Charleston, WV	No	Public Charity	Unrestricted	\$5,500 00
FestivALL Charleston Charleston, WV	No	Public Charity	Unrestricted	\$5,000.00
Marshall University Foundation, Inc. Huntington, WV	No	Public Charity	Scholarships	\$5,000 00
Charleston Ballet, Inc Charleston, WV	No	Public Charity	Unrestricted	\$4,500 00
Appalachian Children's Chorus, Inc Charleston, WV	No	Public Charity	Unrestricted	\$4,500.00
Kanawha Valley Community Band Charleston, WV	No	Public Charity	Unrestricted	\$3,000 00
Marshall University Foundation, Inc. Huntington, WV	No	Public Charity	Latin Awards & Scholarship	\$3,000.00
Charleston Chamber Music Society, Inc Charleston, WV	No	Public Charity	Unrestricted	\$2,500.00
West Virginia Youth Symphony Charleston, WV	No	Public Charity	Unrestricted	<u>\$2,000 00</u>

3a. **\$1,566,834.00**