

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation WILLIAM E DEAN III CHARITABLE FOUNDATION		A Employer identification number 54-6882428	
Number and street (or P.O. box number if mail is not delivered to street address) BANK OF AMERICA NA PO BOX 831	Room/suite	B Telephone number (see instructions) (800) 357-7094	
City or town, state or province, country, and ZIP or foreign postal code DALLAS, TX 752831041		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>18,882,337</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	310,815	309,436		
5a	Gross rents	85,906	85,906		
b	Net rental income or (loss) 82,287				
6a	Net gain or (loss) from sale of assets not on line 10	121,055			
b	Gross sales price for all assets on line 6a 3,059,790				
7	Capital gain net income (from Part IV, line 2)		121,055		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	52	52		
12	Total. Add lines 1 through 11	517,828	516,449		
13	Compensation of officers, directors, trustees, etc.	135,130	81,078		54,052
14	Other employee salaries and wages		0	0	0
15	Pension plans, employee benefits		0	0	
16a	Legal fees (attach schedule)				0
b	Accounting fees (attach schedule)	1,250	750	0	500
c	Other professional fees (attach schedule)	6,441	6,441		0
17	Interest				0
18	Taxes (attach schedule) (see instructions)	17,826	7,137		0
19	Depreciation (attach schedule) and depletion	0	0		
20	Occupancy				
21	Travel, conferences, and meetings		0	0	
22	Printing and publications		0	0	
23	Other expenses (attach schedule)	3,619	3,619		
24	Total operating and administrative expenses.				
	Add lines 13 through 23	164,266	99,025	0	54,552
25	Contributions, gifts, grants paid	782,000			782,000
26	Total expenses and disbursements. Add lines 24 and 25	946,266	99,025	0	836,552
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-428,438			
b	Net investment income (if negative, enter -0-)		417,424		
c	Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	633,889	200,531	200,531
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	13,123,195	13,166,958	17,701,806
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ 810,000 Less: accumulated depreciation (attach schedule) ▶ _____	810,000	810,000	980,000
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			0
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	14,567,084	14,177,489	18,882,337	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	14,567,084	14,177,489	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	14,567,084	14,177,489		
30 Total liabilities and net assets/fund balances (see instructions) .	14,567,084	14,177,489		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	14,567,084
2 Enter amount from Part I, line 27a	2	-428,438
3 Other increases not included in line 2 (itemize) ▶ _____	3	46,947
4 Add lines 1, 2, and 3	4	14,185,593
5 Decreases not included in line 2 (itemize) ▶ _____	5	8,104
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	14,177,489

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	121,055
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows and 2 columns. Row 1: Exempt operating foundations... 5,802. Row 2: Tax under section 511... 0. Row 3: Add lines 1 and 2... 5,802. Row 4: Subtitle A (income) tax... 0. Row 5: Tax based on investment income... 5,802. Row 6: Credits/Payments: 6a 5,482; 6b 0; 6c 0; 6d. Row 7: Total credits and payments... 5,482. Row 8: Enter any penalty... 0. Row 9: Tax due... 320. Row 10: Overpayment... 0. Row 11: Enter the amount of line 10 to be: Credited to 2021 estimated tax 0; Refunded 0.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns. Row 1a: During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a No. Row 1b: Did it spend more than \$100 during the year... 1b No. Row 1c: Did the foundation file Form 1120-POL for this year? 1c No. Row 1d: Enter the amount (if any) of tax on political expenditures... (1) On the foundation. (2) On foundation managers. Row 2: Has the foundation engaged in any activities that have not previously been reported to the IRS? 2 No. Row 3: Has the foundation made any changes, not previously reported to the IRS... 3 No. Row 4a: Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4a No. Row 4b: If "Yes," has it filed a tax return on Form 990-T for this year? 4b. Row 5: Was there a liquidation, termination, dissolution, or substantial contraction during the year? 5 No. Row 6: Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 6 Yes. Row 7: Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 7 Yes. Row 8a: Enter the states to which the foundation reports or with which it is registered (see instructions) TX. Row 8b: If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. 8b Yes. Row 9: Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV. 9 No. Row 10: Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. 10 No.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>NONE</u>	13	Yes	
14 The books are in care of ▶ <u>FIDUCIARY TAX SERVICES</u> Telephone no. ▶ <u>(800) 357-7094</u>			
Located at ▶ <u>901 MAIN STREET FL 9 DALLAS TX</u> ZIP+4 ▶ <u>752023735</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>			
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> 1b	1b		No
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? <input type="checkbox"/> 1c	1c		No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ <u>20___, 20___, 20___, 20___</u>			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) <input type="checkbox"/> 2b	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ <u>20___, 20___, 20___, 20___</u>			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) <input type="checkbox"/> 3b	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:			Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			5b	
Organizations relying on a current notice regarding disaster assistance check here.		<input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	6b	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	7b	
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?				
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA N A PO BOX 830241 DALLAS, TX 752830241	TRUSTEE 1	135,130		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2 NONE	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	15,817,589
b	Average of monthly cash balances.	1b	617,251
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	16,434,840
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	16,434,840
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	246,523
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,188,317
6	Minimum investment return. Enter 5% of line 5.	6	809,416

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	809,416
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	5,802
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	5,802
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	803,614
4	Recoveries of amounts treated as qualifying distributions.	4	45,000
5	Add lines 3 and 4.	5	848,614
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	848,614

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	836,552
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	836,552
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	836,552

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				848,614
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			745,538	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>836,552</u>				
a Applied to 2019, but not more than line 2a			745,538	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				91,014
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020.	0			0
<i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				757,600
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling, ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
BANK OF AMERICA N A - ROBERT FOX
620 S TRYON ST
CHARLOTTE, NC 28255
(980) 683-9845

b The form in which applications should be submitted and information and materials they should include:
LETTER FORMAT WITH APPROPRIATE ATTACHMENTS

c Any submission deadlines:
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
QUALIFIED DOMESTIC PUBLIC CHARITIES AS DESCRIBED IN IRC SECTION 501(c)(3)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				782,000
b <i>Approved for future payment</i>				
Total ▶ 3b				

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash.
(2) Other assets.
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.

Table with columns Yes, No and rows 1a(1), 1a(2), 1b(1) through 1b(6), 1c.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with 4 columns: (a) Line No., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [] Yes [x] No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here [Signature line] [Date: 2021-04-07] [Title line]

May the IRS discuss this return with the preparer shown below (see instr.) [] Yes [] No

Table for Paid Preparer Use Only with columns: Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, Phone no.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10. AT&T INC		2019-07-05	2020-03-27
207. AT&T INC		2018-09-28	2020-03-27
5. AT&T INC		2018-09-28	2020-04-24
161. AT&T INC		2018-09-17	2020-04-24
198. AT&T INC		2018-09-17	2020-05-08
209. AT&T INC		2018-11-09	2020-05-08
17. ABBOTT LABORATORIES		2019-07-19	2020-12-18
57. ABBOTT LABORATORIES		2020-02-28	2020-12-18
75. ACTIVISION BLIZZARD INC COM		2019-08-02	2020-05-22
38. ACTIVISION BLIZZARD INC COM		2019-08-02	2020-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
300		342	-42
6,213		6,967	-754
146		168	-22
4,707		5,404	-697
5,866		6,645	-779
6,191		6,393	-202
1,831		1,499	332
6,139		4,303	1,836
5,471		3,672	1,799
2,607		1,860	747

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-42
			-754
			-22
			-697
			-779
			-202
			332
			1,836
			1,799
			747

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
79. ACTIVISION BLIZZARD INC COM		2019-08-16	2020-06-05
77. ACTIVISION BLIZZARD INC COM		2019-08-16	2020-08-19
7. ADOBE SYS INC		2019-07-05	2020-09-25
10. ADOBE SYS INC		2019-08-30	2020-09-25
2. ADOBE SYS INC		2019-04-26	2020-09-25
23. AIR PRODUCTS AND CHEMICALS INC		2020-01-17	2020-07-31
14. ALEXION PHARMACEUTICALS INC		2016-12-23	2020-08-19
19. ALEXION PHARMACEUTICALS INC		2017-03-17	2020-08-19
25. ALEXION PHARMACEUTICALS INC		2018-01-05	2020-08-19
1. ALPHABET INC CL C COM		2019-07-05	2020-04-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,420		3,717	1,703
6,359		3,623	2,736
3,307		2,121	1,186
4,725		2,825	1,900
945		563	382
6,478		5,451	1,027
1,429		1,777	-348
1,939		2,343	-404
2,552		3,057	-505
1,205		1,126	79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,703
			2,736
			1,186
			1,900
			382
			1,027
			-348
			-404
			-505
			79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. ALPHABET INC CL C COM		2016-06-23	2020-04-09
1. ALPHABET INC CL A COM		2020-06-19	2020-10-09
1. ALPHABET INC CL A COM		2019-03-15	2020-10-09
2. ALPHABET INC CL A COM		2019-08-16	2020-10-09
1. ALPHABET INC CL A COM		2016-07-04	2020-10-09
1. ALPHABET INC CL A COM		2016-06-23	2020-10-09
3. AMAZON COM INC		2020-02-14	2020-06-05
4. AMAZON COM INC		2020-02-14	2020-07-31
20. AMERICAN ELECTRIC POWER CO		2019-04-26	2020-08-28
116. AMERICAN ELECTRIC POWER CO		2018-04-27	2020-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,023		3,471	2,552
1,502		1,432	70
1,502		1,198	304
3,004		2,357	647
1,502		720	782
1,502		707	795
7,432		6,406	1,026
12,654		8,541	4,113
1,559		1,704	-145
9,045		8,217	828

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,552
			70
			304
			647
			782
			795
			1,026
			4,113
			-145
			828

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
41. AMERICAN ELECTRIC POWER CO		2018-06-22	2020-08-28
22. AMERICAN ELECTRIC POWER CO		2018-06-22	2020-09-11
30. AMERICAN ELECTRIC POWER CO		2018-05-25	2020-09-11
32. AMERICAN TOWER CORP COM		2016-06-23	2020-08-19
27. AMERICAN TOWER CORP COM		2016-06-23	2020-09-25
4. AMERICAN TOWER CORP COM		2016-06-24	2020-09-25
25. AMERICAN TOWER CORP COM		2016-06-24	2020-12-18
6. ANTHEM INC COM		2019-05-24	2020-10-09
6. ANTHEM INC COM		2019-11-08	2020-10-09
10. ANTHEM INC COM		2020-06-19	2020-10-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,197		2,769	428
1,726		1,486	240
2,353		2,017	336
7,879		3,564	4,315
6,410		3,007	3,403
950		436	514
5,511		2,726	2,785
1,773		1,699	74
1,773		1,688	85
2,955		2,694	261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			428
			240
			336
			4,315
			3,403
			514
			2,785
			74
			85
			261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
31. APPLE INC COM		2016-06-23	2020-07-31
74. APPLE INC COM		2016-06-23	2020-09-11
106. APPLE INC COM		2016-06-23	2020-10-09
66. ARAMARK COM		2019-06-21	2020-03-27
320. ARAMARK COM		2019-03-15	2020-03-27
21. AUTODESK INC (DEL)		2019-09-13	2020-03-13
20. AUTODESK INC (DEL)		2020-06-19	2020-10-23
8. AUTODESK INC (DEL)		2020-10-09	2020-10-23
26. AUTODESK INC (DEL)		2020-10-09	2020-11-20
39. AUTOMATIC DATA PROCESSING INC		2020-05-08	2020-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,776		2,967	9,809
8,153		1,771	6,382
12,305		2,537	9,768
1,445		2,297	-852
7,008		9,601	-2,593
3,169		3,264	-95
5,071		4,787	284
2,029		1,890	139
6,674		6,142	532
5,825		5,660	165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			9,809
			6,382
			9,768
			-852
			-2,593
			-95
			284
			139
			532
			165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. AUTOMATIC DATA PROCESSING INC		2020-05-08	2020-11-20
24. AUTOMATIC DATA PROCESSING INC		2020-08-19	2020-11-20
16. AUTOMATIC DATA PROCESSING INC		2020-03-13	2020-11-20
57. AUTOMATIC DATA PROCESSING INC		2020-03-13	2020-12-04
20. AUTOMATIC DATA PROCESSING INC		2020-07-31	2020-12-04
3019.1 BBH LTD DURATION FUND CL I		2019-03-05	2020-03-02
12529.977 BBH LTD DURATION FUND CL I		2018-08-06	2020-03-02
7. BECTON DICKINSON & COMPANY		2019-07-05	2020-05-22
14. BECTON DICKINSON & COMPANY		2019-11-08	2020-05-22
2. BECTON DICKINSON & COMPANY		2019-06-07	2020-05-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,432		2,903	529
4,118		3,353	765
2,745		2,153	592
9,906		7,668	2,238
3,476		2,625	851
31,067		30,734	333
128,933		127,305	1,628
1,683		1,773	-90
3,365		3,428	-63
481		478	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			529
			765
			592
			2,238
			851
			333
			1,628
			-90
			-63
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2020-01-03	2020-05-22
8. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2019-04-26	2020-05-22
15. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2019-02-01	2020-05-22
5. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2019-02-01	2020-06-19
16. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2018-08-16	2020-06-19
15. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2019-03-15	2020-06-19
10. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2018-12-07	2020-06-19
38. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2019-08-30	2020-06-19
12. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2019-08-30	2020-12-04
19. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2019-08-30	2020-12-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,566		2,044	-478
1,392		1,702	-310
2,610		3,126	-516
899		1,042	-143
2,877		3,308	-431
2,697		3,086	-389
1,798		2,053	-255
6,834		7,746	-912
2,774		2,446	328
4,214		3,873	341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-478
			-310
			-516
			-143
			-431
			-389
			-255
			-912
			328
			341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2019-03-01	2020-12-18
5. BERKSHIRE HATHAWAY INC DEL NEW CL B COM		2020-02-28	2020-12-18
29. BOEING CO		2020-01-31	2020-03-13
1. BROADCOM INC COM		2016-07-05	2020-01-03
21. BROADCOM INC COM		2016-06-24	2020-01-03
118. CDK GLOBAL INC COM		2019-03-01	2020-04-24
17. CDK GLOBAL INC COM		2019-09-27	2020-04-24
34. CDK GLOBAL INC COM		2019-09-27	2020-05-08
11. CIGNA CORP NEW COM		2019-01-18	2020-10-09
8. CIGNA CORP NEW COM		2020-06-19	2020-10-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,774		1,623	151
1,109		1,014	95
4,927		9,235	-4,308
317		150	167
6,666		3,153	3,513
4,073		6,943	-2,870
587		798	-211
1,379		1,597	-218
1,945		2,152	-207
1,414		1,540	-126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			151
			95
			-4,308
			167
			3,513
			-2,870
			-211
			-218
			-207
			-126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19. CIGNA CORP NEW COM		2018-08-16	2020-10-09
1. CIGNA CORP NEW COM		2018-05-11	2020-10-09
1. CIGNA CORP NEW COM		2018-06-08	2020-10-09
7. CIGNA CORP NEW COM		2018-05-25	2020-10-09
21000. CVS HEALTH CORP UNSECD SR PIDI NT CALL 12/25/27 @100		2018-03-27	2020-12-22
89. CARRIER GLOBAL CORP COM		2020-11-06	2020-12-31
87. CARRIER GLOBAL CORP COM		2020-07-06	2020-12-31
48. CARRIER GLOBAL CORP COM		2020-03-13	2020-12-31
40. CATERPILLAR INCORPORATED		2019-11-08	2020-01-17
3. CATERPILLAR INCORPORATED		2018-08-17	2020-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,359		3,554	-195
177		180	-3
177		178	-1
1,238		1,232	6
24,935		20,928	4,007
3,333		3,437	-104
3,258		2,107	1,151
1,798		919	879
5,870		5,915	-45
440		435	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-195
			-3
			-1
			6
			4,007
			-104
			1,151
			879
			-45
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. CATERPILLAR INCORPORATED		2018-08-31	2020-01-17
19. CATERPILLAR INCORPORATED		2018-08-31	2020-02-28
16. CATERPILLAR INCORPORATED		2019-10-25	2020-02-28
69. CATERPILLAR INCORPORATED		2019-10-25	2020-03-13
3000. CATERPILLAR INC UNSECD SR NT C01/09/30 @100		2020-04-08	2020-08-04
10000. CATERPILLAR INC UNSECD SR NT C01/09/30 @100		2020-04-08	2020-08-04
3000. CATERPILLAR INC UNSECD SR NT C01/09/30 @100		2020-04-08	2020-08-11
6000. CATERPILLAR INC UNSECD SR NT C01/09/30 @100		2020-04-06	2020-08-14
3000. CATERPILLAR INC UNSECD SR NT C01/09/30 @100		2020-04-06	2020-08-14
1000. CATERPILLAR INC UNSECD SR NT C01/09/30 @100		2020-04-07	2020-08-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
734		695	39
2,305		2,642	-337
1,941		2,224	-283
6,554		9,591	-3,037
3,367		2,991	376
11,214		9,969	1,245
3,333		2,991	342
6,614		5,975	639
3,307		2,987	320
1,102		993	109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			39
			-337
			-283
			-3,037
			376
			1,245
			342
			639
			320
			109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5000. CATERPILLAR INC UNSECD SR NT C01/09/30 @100		2020-04-07	2020-08-17
30. CHEVRONTEXACO CORP COM		2018-01-05	2020-01-31
15. CHEVRONTEXACO CORP COM		2019-07-19	2020-01-31
30. CHEVRONTEXACO CORP COM		2018-11-09	2020-01-31
1. CHEVRONTEXACO CORP COM		2018-03-16	2020-01-31
55. CHEVRONTEXACO CORP COM		2018-03-16	2020-09-25
25. CHEVRONTEXACO CORP COM		2019-01-04	2020-09-25
22. CHEVRONTEXACO CORP COM		2017-06-09	2020-09-25
77. CHEVRONTEXACO CORP COM		2016-06-23	2020-09-25
126. CISCO SYSTEMS INCORPORATED		2020-01-17	2020-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,502		4,966	536
3,214		3,823	-609
1,607		1,875	-268
3,214		3,577	-363
107		115	-8
3,915		6,346	-2,431
1,780		2,752	-972
1,566		2,336	-770
5,481		7,982	-2,501
4,362		6,175	-1,813

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			536
			-609
			-268
			-363
			-8
			-2,431
			-972
			-770
			-2,501
			-1,813

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
224. CISCO SYSTEMS INCORPORATED		2017-09-01	2020-11-06
14. CISCO SYSTEMS INCORPORATED		2020-01-17	2020-11-06
43. CITIGROUP INC NEW COM		2019-10-25	2020-02-28
35. CITIGROUP INC NEW COM		2019-09-27	2020-02-28
38. CITIGROUP INC NEW COM		2019-04-26	2020-02-28
15. CITIGROUP INC NEW COM		2019-04-12	2020-02-28
16. CITIGROUP INC NEW COM		2018-08-20	2020-02-28
5. CITIGROUP INC NEW COM		2018-09-17	2020-02-28
2. CITIGROUP INC NEW COM		2018-10-15	2020-02-28
41. CITIGROUP INC NEW COM		2018-10-15	2020-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,332		7,241	1,091
521		686	-165
2,683		3,153	-470
2,184		2,436	-252
2,371		2,624	-253
936		1,013	-77
998		1,107	-109
312		353	-41
125		143	-18
2,558		2,928	-370

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,091
			-165
			-470
			-252
			-253
			-77
			-109
			-41
			-18
			-370

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
40. CITIGROUP INC NEW COM		2019-04-12	2020-04-09
13. CITIGROUP INC NEW COM		2019-03-29	2020-04-09
20. CITIGROUP INC NEW COM		2019-03-29	2020-05-22
45. CITIGROUP INC NEW COM		2019-01-04	2020-05-22
41. CITIGROUP INC NEW COM		2018-11-12	2020-05-22
5. CITIGROUP INC NEW COM		2018-10-15	2020-05-22
16. CITIGROUP INC NEW COM		2018-09-17	2020-05-22
92. CITIGROUP INC NEW COM		2016-06-23	2020-05-22
202. CITIGROUP INC NEW COM		2016-06-23	2020-06-05
119. CONAGRA INC		2020-04-09	2020-09-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,867		2,702	-835
607		808	-201
882		1,243	-361
1,984		2,478	-494
1,808		2,183	-375
220		262	-42
705		816	-111
4,056		4,074	-18
11,968		8,945	3,023
4,144		3,848	296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-835
			-201
			-361
			-494
			-375
			-42
			-111
			-18
			3,023
			296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
106. CONAGRA INC		2019-07-19	2020-09-25
23. CONAGRA INC		2019-07-19	2020-12-04
146. CONAGRA INC		2019-07-05	2020-12-04
26. CONAGRA INC		2020-02-28	2020-12-04
122. CONAGRA INC		2020-02-28	2020-12-18
172. CONAGRA INC		2019-01-04	2020-12-18
188. CORNING INC		2019-06-21	2020-02-28
100. CORNING INC		2019-06-21	2020-02-28
64. CORNING INC		2019-06-07	2020-02-28
24. CORNING INC		2019-06-07	2020-04-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,691		3,093	598
816		671	145
5,180		4,084	1,096
922		683	239
4,370		3,203	1,167
6,161		3,731	2,430
4,382		6,237	-1,855
2,331		3,108	-777
1,492		1,975	-483
508		741	-233

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			598
			145
			1,096
			239
			1,167
			2,430
			-1,855
			-777
			-483
			-233

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
319. CORNING INC		2019-11-08	2020-04-09
.333 CORTEVA INC COM		2018-03-23	2020-06-19
69.334 CORTEVA INC COM		2018-03-30	2020-06-19
18. CORTEVA INC COM		2018-05-25	2020-06-19
12.333 CORTEVA INC COM		2018-05-11	2020-06-19
11.667 CORTEVA INC COM		2019-04-12	2020-06-19
4.333 CORTEVA INC COM		2018-11-09	2020-06-19
76. CORTEVA INC COM		2019-08-30	2020-06-19
21.333 CORTEVA INC COM		2019-04-26	2020-06-19
83.667 CORTEVA INC COM		2019-07-05	2020-06-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,758		9,649	-2,891
9		13	-4
1,865		2,458	-593
484		634	-150
332		430	-98
314		357	-43
117		130	-13
2,044		2,242	-198
574		628	-54
2,250		2,411	-161

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,891
			-4
			-593
			-150
			-98
			-43
			-13
			-198
			-54
			-161

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25.667 CORTEVA INC COM		2019-03-01	2020-06-19
66.333 CORTEVA INC COM		2019-10-11	2020-06-19
75. DR HORTON		2019-02-15	2020-03-27
94. DR HORTON		2019-02-01	2020-03-27
194. DXC TECHNOLOGY CO COM		2019-12-06	2020-02-14
47. DXC TECHNOLOGY CO COM		2019-12-06	2020-02-28
69. DARDEN RESTAURANTS INC		2020-07-17	2020-12-04
10. DARDEN RESTAURANTS INC		2020-07-31	2020-12-04
43. DENTSPLY SIRONA INC COM		2019-11-08	2020-03-13
43. DENTSPLY SIRONA INC COM		2019-09-27	2020-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
690		699	-9
1,784		1,788	-4
2,781		2,999	-218
3,485		3,642	-157
5,915		7,260	-1,345
1,116		1,759	-643
7,912		5,333	2,579
1,147		764	383
1,685		2,469	-784
1,685		2,287	-602

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-9
			-4
			-218
			-157
			-1,345
			-643
			2,579
			383
			-784
			-602

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
67. DENTSPLY SIRONA INC COM		2019-03-01	2020-03-13
1000. DISCOVERY COMMUNICATIONS LLC UNSECD SR NT C5/15/25 @100		2020-04-07	2020-07-30
2000. DISCOVERY COMMUNICATIONS LLC UNSECD SR NT C5/15/25 @100		2020-04-07	2020-07-31
3000. DISCOVERY COMMUNICATIONS LLC UNSECD SR NT C5/15/25 @100		2020-04-06	2020-07-31
1000. DISCOVERY COMMUNICATIONS LLC UNSECD SR NT C5/15/25 @100		2020-04-06	2020-07-31
50. DUPONT DE NEMOURS INC COM		2018-03-16	2020-03-13
32.334 DUPONT DE NEMOURS INC COM		2019-04-12	2020-03-13
4.333 DUPONT DE NEMOURS INC COM		2018-11-09	2020-03-13
21.333 DUPONT DE NEMOURS INC COM		2019-04-26	2020-03-13
25.667 DUPONT DE NEMOURS INC COM		2019-03-01	2020-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,626		3,275	-649
1,129		999	130
2,259		1,998	261
3,389		2,990	399
1,130		997	133
1,786		4,989	-3,203
1,155		2,832	-1,677
155		373	-218
762		1,801	-1,039
917		2,004	-1,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-649
			130
			261
			399
			133
			-3,203
			-1,677
			-218
			-1,039
			-1,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
32.333 DUPONT DE NEMOURS INC COM		2019-03-29	2020-03-13
10. EOG RESOURCES INC		2018-04-13	2020-01-17
22. EOG RESOURCES INC		2018-11-21	2020-01-17
24. EOG RESOURCES INC		2017-09-29	2020-01-17
4. EOG RESOURCES INC		2019-03-29	2020-01-17
11. EOG RESOURCES INC		2019-03-29	2020-10-09
134. EOG RESOURCES INC		2017-07-07	2020-10-09
36. EBAY INC		2019-07-19	2020-03-27
136. EBAY INC		2019-02-15	2020-03-27
154. EBAY INC		2020-01-31	2020-03-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,155		2,483	-1,328
845		1,106	-261
1,858		2,339	-481
2,027		2,324	-297
338		382	-44
414		1,049	-635
5,039		11,794	-6,755
1,093		1,441	-348
4,129		4,998	-869
4,675		5,225	-550

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,328
			-261
			-481
			-297
			-44
			-635
			-6,755
			-348
			-869
			-550

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. EBAY INC		2020-01-31	2020-09-25
150. EBAY INC		2018-08-16	2020-09-25
374. ELANCO ANIMAL HEALTH INC COM		2020-01-31	2020-03-27
48. ELECTRONIC ARTS		2019-11-22	2020-03-27
14. ELECTRONIC ARTS		2019-11-08	2020-03-27
11. ELECTRONIC ARTS		2019-11-08	2020-04-24
28. ELECTRONIC ARTS		2019-08-30	2020-04-24
38. ELECTRONIC ARTS		2018-12-21	2020-04-24
53. ELECTRONIC ARTS		2018-12-21	2020-05-08
14. EMERSON ELEC CO		2018-04-27	2020-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
156		102	54
7,781		5,075	2,706
8,008		11,594	-3,586
4,653		4,746	-93
1,357		1,377	-20
1,268		1,082	186
3,229		2,629	600
4,382		2,901	1,481
6,157		4,047	2,110
1,009		1,065	-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			54
			2,706
			-3,586
			-93
			-20
			186
			600
			1,481
			2,110
			-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
30. EMERSON ELEC CO		2018-03-30	2020-01-31
48. EMERSON ELEC CO		2019-10-25	2020-01-31
35. EMERSON ELEC CO		2019-10-25	2020-03-13
6. EMERSON ELEC CO		2018-04-13	2020-03-13
125. EMERSON ELEC CO		2019-02-15	2020-03-13
72. EMERSON ELEC CO		2019-10-11	2020-03-13
76. EMERSON ELEC CO		2018-04-27	2020-03-13
19. FACEBOOK INC CL A COM		2020-01-17	2020-03-13
37. FACEBOOK INC CL A COM		2020-02-14	2020-03-13
26. FACEBOOK INC CL A COM		2019-12-06	2020-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,162		2,192	-30
3,459		3,404	55
1,639		2,482	-843
281		415	-134
5,852		8,520	-2,668
3,371		4,845	-1,474
3,558		5,098	-1,540
3,079		4,197	-1,118
5,996		7,900	-1,904
4,213		5,225	-1,012

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-30
			55
			-843
			-134
			-2,668
			-1,474
			-1,540
			-1,118
			-1,904
			-1,012

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. FACEBOOK INC CL A COM		2019-11-22	2020-03-13
7. FACEBOOK INC CL A COM		2020-08-28	2020-09-25
7. FACEBOOK INC CL A COM		2020-06-19	2020-09-25
26. FACEBOOK INC CL A COM		2019-11-22	2020-09-25
144. FIRSTENERGY CORP		2020-04-09	2020-08-19
144. FIRSTENERGY CORP		2020-07-06	2020-08-19
12281.289 GOLDMAN SACHS STRATEGIC INCOME FUND INSTL		2016-06-29	2020-04-21
158. HONEYWELL INTERNATIONAL INC		2016-06-23	2020-04-09
34. HONEYWELL INTERNATIONAL INC		2016-06-23	2020-05-08
108. INTEL CORPORATION		2020-04-09	2020-06-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,241		3,964	-723
1,754		2,049	-295
1,754		1,661	93
6,516		5,153	1,363
4,131		6,264	-2,133
4,131		5,685	-1,554
108,198		112,564	-4,366
22,698		17,653	5,045
4,631		3,799	832
6,420		6,124	296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-723
			-295
			93
			1,363
			-2,133
			-1,554
			-4,366
			5,045
			832
			296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
48. INTEL CORPORATION		2020-03-27	2020-06-19
103. INTEL CORPORATION		2020-03-13	2020-06-19
55. INTEL CORPORATION		2020-03-13	2020-07-06
53. INTEL CORPORATION		2019-06-07	2020-07-06
187. INTEL CORPORATION		2019-06-07	2020-07-31
51. INTERNATIONAL BUSINESS MACHS		2020-01-31	2020-07-06
19. INTERNATIONAL BUSINESS MACHS		2019-08-16	2020-07-06
75. INTERNATIONAL BUSINESS MACHS		2019-05-10	2020-07-06
31. INTERNATIONAL BUSINESS MACHS		2019-05-24	2020-07-06
11. J P MORGAN CHASE & CO COM		2020-01-03	2020-07-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,853		2,584	269
6,122		5,056	1,066
3,254		2,700	554
3,136		2,437	699
8,801		8,599	202
6,100		7,318	-1,218
2,272		2,546	-274
8,970		9,976	-1,006
3,708		4,101	-393
1,040		1,530	-490

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			269
			1,066
			554
			699
			202
			-1,218
			-274
			-1,006
			-393
			-490

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. J P MORGAN CHASE & CO COM		2019-07-19	2020-07-06
20. J P MORGAN CHASE & CO COM		2019-04-12	2020-07-06
47. J P MORGAN CHASE & CO COM		2020-06-05	2020-07-06
13. J P MORGAN CHASE & CO COM		2020-06-05	2020-08-19
16. J P MORGAN CHASE & CO COM		2019-03-29	2020-08-19
76. J P MORGAN CHASE & CO COM		2013-07-26	2020-08-19
29. JOHNSON & JOHNSON		2020-04-24	2020-06-19
34. JOHNSON & JOHNSON		2020-02-28	2020-06-19
53. L3HARRIS TECHNOLOGIES INC COM		2018-12-21	2020-05-22
14. LAM RESEARCH CORP		2019-07-19	2020-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,891		2,289	-398
1,891		2,224	-333
4,445		5,217	-772
1,288		1,443	-155
1,585		1,616	-31
7,529		4,249	3,280
4,170		4,475	-305
4,888		4,491	397
9,556		6,832	2,724
4,021		2,792	1,229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-398
			-333
			-772
			-155
			-31
			3,280
			-305
			397
			2,724
			1,229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. LAM RESEARCH CORP		2019-02-15	2020-02-28
10. LAM RESEARCH CORP		2020-06-05	2020-08-28
4. LAM RESEARCH CORP		2019-02-15	2020-08-28
7. LAM RESEARCH CORP		2018-12-07	2020-08-28
7000. LLOYDS BK PLC UNSECD SR PIDI NT UNITED KINGDOM		2020-04-02	2020-05-21
5000. LLOYDS BK PLC UNSECD SR PIDI NT UNITED KINGDOM		2020-04-03	2020-05-21
12. LOWES COMPANIES INCORPORATED		2020-07-31	2020-09-11
15. LOWES COMPANIES INCORPORATED		2019-11-08	2020-09-11
15. LOWES COMPANIES INCORPORATED		2019-04-26	2020-09-11
18. LOWES COMPANIES INCORPORATED		2018-12-07	2020-09-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,436		907	529
3,499		3,069	430
1,400		726	674
2,449		978	1,471
7,188		6,953	235
5,134		4,957	177
1,922		1,767	155
2,402		1,702	700
2,402		1,695	707
2,883		1,615	1,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			529
			430
			674
			1,471
			235
			177
			155
			700
			707
			1,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
212. LYFT INC CL A COM		2019-12-20	2020-09-11
84. MARATHON PETE CORP COM		2019-10-25	2020-03-13
60. MARATHON PETE CORP COM		2019-11-08	2020-03-13
19. MASTERCARD INC CL A COM		2016-06-23	2020-05-08
26. MCDONALDS CORP		2020-01-17	2020-12-04
31. MCDONALDS CORP		2020-01-17	2020-12-18
32. MERCK & CO INC NEW COM		2020-04-09	2020-06-19
84. MERCK & CO INC NEW COM		2020-04-24	2020-06-19
32. MERCK & CO INC NEW COM		2020-03-13	2020-06-19
236. MERCK & CO INC NEW COM		2020-03-13	2020-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,448		10,132	-3,684
1,945		5,735	-3,790
1,390		3,966	-2,576
5,320		1,812	3,508
5,488		5,526	-38
6,639		6,588	51
2,436		2,640	-204
6,394		6,862	-468
2,436		2,408	28
18,763		17,759	1,004

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,684
			-3,790
			-2,576
			3,508
			-38
			51
			-204
			-468
			28
			1,004

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
14. MICROSOFT CORPORATION		2019-09-27	2020-01-03
10. MICROSOFT CORPORATION		2018-10-26	2020-01-03
20. MICROSOFT CORPORATION		2018-06-08	2020-01-03
72. MICROSOFT CORPORATION		2018-06-08	2020-02-28
30. MICROSOFT CORPORATION		2018-12-21	2020-02-28
29. MICROSOFT CORPORATION		2018-12-21	2020-07-31
15. MICROSOFT CORPORATION		2016-06-23	2020-07-31
19. MICROSOFT CORPORATION		2016-06-23	2020-08-28
45. MICROSOFT CORPORATION		2016-06-23	2020-10-09
38. MOHAWK INDS INC		2019-11-08	2020-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,236		1,937	299
1,597		1,082	515
3,195		2,030	1,165
11,414		7,308	4,106
4,756		2,967	1,789
5,817		2,868	2,949
3,009		779	2,230
4,339		987	3,352
9,656		2,337	7,319
3,539		5,780	-2,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			299
			515
			1,165
			4,106
			1,789
			2,949
			2,230
			3,352
			7,319
			-2,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
26. MOHAWK INDS INC		2020-01-03	2020-03-13
20. MORGAN STANLEY DEAN WITTER DISCOVER & CO		2018-09-14	2020-02-28
159. MORGAN STANLEY DEAN WITTER DISCOVER & CO		2019-04-26	2020-02-28
105. MORGAN STANLEY DEAN WITTER DISCOVER & CO		2018-11-09	2020-02-28
37. MORGAN STANLEY DEAN WITTER DISCOVER & CO		2018-10-26	2020-02-28
47. MORGAN STANLEY DEAN WITTER DISCOVER & CO		2019-09-27	2020-02-28
35. MORGAN STANLEY DEAN WITTER DISCOVER & CO		2019-03-29	2020-02-28
9237.963 NATIXIS FDS TR II ASG GLOBAL ALTERNATIVES FUND		2020-04-21	2020-10-30
804.967 NATIXIS FDS TR II ASG GLOBAL ALTERNATIVES FUND		2020-03-27	2020-10-30
4121.376 NATIXIS FDS TR II ASG GLOBAL ALTERNATIVES FUND		2016-02-19	2020-10-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,422		3,420	-998
879		996	-117
6,992		7,569	-577
4,617		4,819	-202
1,627		1,636	-9
2,067		2,014	53
1,539		1,476	63
97,830		95,982	1,848
8,525		8,251	274
43,645		40,802	2,843

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-998
			-117
			-577
			-202
			-9
			53
			63
			1,848
			274
			2,843

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
41. NEWMONT MINING CORP		2019-08-16	2020-05-08
24. NORTHROP CORPORATION		2019-05-24	2020-06-05
11. NORTHROP CORPORATION		2019-03-01	2020-06-05
11. NORTHROP CORPORATION		2019-01-18	2020-10-09
56. NORTHROP CORPORATION		2018-12-21	2020-10-09
22. NVIDIA CORP COM		2019-08-30	2020-01-03
10. NVIDIA CORP COM		2019-07-05	2020-01-03
43. NUTRIEN LTD COM CANADA		2020-11-20	2020-12-31
91. NUTRIEN LTD COM CANADA		2020-10-09	2020-12-31
95. NUTRIEN LTD COM CANADA		2020-11-06	2020-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,630		1,741	889
8,246		7,512	734
3,779		3,154	625
3,467		2,937	530
17,652		13,259	4,393
5,226		3,690	1,536
2,375		1,594	781
2,084		1,956	128
4,410		3,740	670
4,604		3,788	816

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			889
			734
			625
			530
			4,393
			1,536
			781
			128
			670
			816

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
87. OTIS WORLDWIDE CORP COM		2020-03-13	2020-04-09
.5 OTIS WORLDWIDE CORP COM		2020-03-13	2020-05-29
52. PNC BK CORP		2020-06-05	2020-08-28
108. PFIZER INC		2016-06-23	2020-06-19
44. PFIZER INC		2017-08-04	2020-06-19
68. PFIZER INC		2017-08-04	2020-11-20
95. PFIZER INC		2016-10-14	2020-11-20
175. PFIZER INC		2020-02-28	2020-11-20
69. PFIZER INC		2002-07-12	2020-11-20
21. PFIZER INC		2013-07-26	2020-11-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,112		4,662	-550
26		27	-1
5,838		6,636	-798
3,582		3,729	-147
1,460		1,487	-27
2,498		2,178	320
3,490		2,950	540
6,428		5,420	1,008
2,535		2,124	411
771		581	190

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-550
			-1
			-798
			-147
			-27
			320
			540
			1,008
			411
			190

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
69. PFIZER INC		2002-07-16	2020-11-20
69. PFIZER INC		2012-10-17	2020-11-20
69. PFIZER INC		2009-11-10	2020-11-20
8. PHILIP MORRIS INTL INC COM		2016-11-25	2020-07-06
16. PHILIP MORRIS INTL INC COM		2016-11-14	2020-07-06
114. PHILIP MORRIS INTL INC COM		2016-11-28	2020-07-06
25000. PIONEER NAT RES CO UNSECD SR NT		2018-03-21	2020-01-15
118. PRINCIPAL FINL GROUP INC COM		2020-05-08	2020-07-06
14910.537 PUTNAM SHORT DURATION INCOME FUND CL Y		2019-10-23	2020-04-21
32. QUEST DIAGNOSTICS INC		2020-05-22	2020-11-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,535		1,883	652
2,535		1,718	817
2,535		1,177	1,358
561		820	-259
1,122		1,637	-515
7,995		10,233	-2,238
25,000		25,000	
4,984		4,499	485
148,807		150,000	-1,193
4,076		3,587	489

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			652
			817
			1,358
			-259
			-515
			-2,238
			485
			-1,193
			489

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
26. QUEST DIAGNOSTICS INC		2020-04-24	2020-11-06
63. QUEST DIAGNOSTICS INC		2020-04-24	2020-12-18
32. QUEST DIAGNOSTICS INC		2020-04-24	2020-12-31
66. QUEST DIAGNOSTICS INC		2020-04-09	2020-12-31
96. RAYTHEON TECHNOLOGIES CORP COM		2020-03-13	2020-05-22
50000. RYDER SYS INC SR UNSECD MTN CALL 4/11/20 @100		2020-03-06	2020-05-11
1000. RYDER SYS INC UNSECD SR MTN C05/01/25 @100		2020-04-03	2020-05-07
4000. RYDER SYS INC UNSECD SR MTN C05/01/25 @100		2020-04-03	2020-05-11
1000. RYDER SYS INC UNSECD SR MTN C05/01/25 @100		2020-04-03	2020-05-11
3000. RYDER SYS INC UNSECD SR MTN C05/01/25 @100		2020-04-03	2020-05-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,312		2,672	640
7,521		6,475	1,046
3,778		3,289	489
7,792		5,960	1,832
5,751		5,428	323
50,000		50,000	
1,061		1,003	58
4,243		4,010	233
1,061		1,002	59
3,184		3,007	177

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			640
			1,046
			489
			1,832
			323
			58
			233
			59
			177

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2000. RYDER SYS INC UNSECD SR MTN C05/01/25 @100		2020-04-02	2020-05-14
5000. RYDER SYS INC UNSECD SR MTN C05/01/25 @100		2020-04-02	2020-05-20
147. SCHLUMBERGER LTD COM		2019-01-04	2020-01-31
16. SCHLUMBERGER LTD COM		2019-10-25	2020-01-31
80. SCHLUMBERGER LTD COM		2019-10-25	2020-03-27
113. SCHLUMBERGER LTD COM		2019-09-27	2020-03-27
154. SCHWAB CHARLES CORP NEW COM		2020-02-28	2020-08-19
5. SCHWAB CHARLES CORP NEW COM		2020-02-28	2020-10-23
84. SCHWAB CHARLES CORP NEW COM		2020-04-09	2020-10-23
50. SCHWAB CHARLES CORP NEW COM		2020-06-19	2020-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,123		2,000	123
5,329		5,000	329
4,911		5,720	-809
535		560	-25
1,114		2,798	-1,684
1,573		3,873	-2,300
5,485		6,141	-656
201		199	2
3,370		3,039	331
2,006		1,799	207

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			123
			329
			-809
			-25
			-1,684
			-2,300
			-656
			2
			331
			207

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8. SHERWIN WILLIAMS COMPANY		2016-12-09	2020-05-08
10000. SIMON PPTY GROUP LP UNSECD SR NT CALL 04/15/21 @100		2017-01-10	2020-07-16
38000. SIMON PPTY GROUP LP UNSECD SR NT CALL 04/15/21 @100		2017-01-10	2020-07-27
27000. SIMON PPTY GROUP LP UNSECD SR NT CALL 04/15/21 @100		2017-01-10	2020-07-27
111. SOUTHWEST AIRLINES COMPANY		2020-08-28	2020-12-04
23. SOUTHWEST AIRLINES COMPANY		2020-08-19	2020-12-04
94. SPIRIT AEROSYSTEMS HLDGS INC CL A COM		2019-08-30	2020-03-13
36. STRYKER CORP		2020-09-25	2020-12-18
22. STRYKER CORP		2020-05-22	2020-12-18
109. SYSCO CORPORATION		2017-07-07	2020-04-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,375		2,182	2,193
10,128		10,007	121
38,472		38,025	447
27,335		27,018	317
5,279		4,309	970
1,094		803	291
3,336		7,549	-4,213
8,517		7,266	1,251
5,205		4,034	1,171
5,395		5,396	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,193
			121
			447
			317
			970
			291
			-4,213
			1,251
			1,171
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
35. T-MOBILE US INC SUBS RT EXPIRES 07/31/20		2020-06-26	2020-07-01
34. T-MOBILE US INC SUBS RT EXPIRES 07/31/20		2020-06-26	2020-07-01
110. T-MOBILE US INC SUBS RT EXPIRES 07/31/20		2020-06-26	2020-07-01
22. T-MOBILE US INC SUBS RT EXPIRES 07/31/20		2020-06-26	2020-07-01
38. 3M CO COM		2019-11-08	2020-01-17
41. TYSON FOODS INCORPORATED CLASS A		2020-06-05	2020-09-25
95. TYSON FOODS INCORPORATED CLASS A		2020-08-19	2020-09-25
100. TYSON FOODS INCORPORATED CLASS A		2020-06-19	2020-09-25
1000. U S BK NATL ASSN CINCINNATI OH SR UNSECD MEDIUM TERM BK NT CALL		2020-04-03	2020-08-14
4000. U S BK NATL ASSN CINCINNATI OH SR UNSECD MEDIUM TERM BK NT CALL		2020-04-03	2020-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		9	-3
6		9	-3
19		29	-10
4		6	-2
6,879		6,570	309
2,391		2,723	-332
5,541		6,043	-502
5,833		6,348	-515
1,092		1,035	57
4,365		4,138	227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3
			-3
			-10
			-2
			309
			-332
			-502
			-515
			57
			227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
175. UBER TECHNOLOGIES INC COM		2020-09-11	2020-11-06
2000. U S BANCORP UNSECD SR MTN SER V CALL 12/23/21 @100		2020-04-07	2020-08-14
3000. U S BANCORP UNSECD SR MTN SER V CALL 12/23/21 @100		2020-04-07	2020-08-14
200000. UNITED STATES TREAS BILL DTD 02/28/19 DUE 02/27/20		2019-03-07	2020-02-27
75000. UNITED STATES TREAS NT DTD 05/31/18 2.500% DUE 05/31/20		2018-06-05	2020-03-27
25000. UNITED STATES TREAS NT DTD 08/15/18 2.875% DUE 08/15/28		2018-09-26	2020-04-03
100000. UNITED STATES TREAS NT DTD 08/15/18 2.875% DUE 08/15/28		2018-11-05	2020-04-03
75000. UNITED STATES TREAS NT DTD 01/31/19 2.500% DUE 01/31/21		2019-02-15	2020-03-27
20000. UNITED STATES TREAS NT DTD 01/31/19 2.500% DUE 01/31/21		2019-02-15	2020-07-20
55000. UNITED STATES TREAS NT DTD 01/31/19 2.500% DUE 01/31/21		2019-02-15	2020-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,885		6,381	1,504
2,062		2,024	38
3,093		3,036	57
195,167		195,167	
75,319		75,001	318
29,699		24,554	5,145
118,797		97,273	21,524
76,532		74,974	1,558
20,252		19,993	259
55,370		54,981	389

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,504
			38
			57
			318
			5,145
			21,524
			1,558
			259
			389

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
60000. UNITED STATES TREAS NT DTD 02/28/19 2.500% DUE 02/28/21		2019-03-07	2020-07-23
25000. UNITED STATES TREAS NT DTD 02/15/17 2.250% DUE 02/15/27		2017-08-04	2020-03-11
50000. UNITED STATES TREAS NT DTD 02/15/17 2.250% DUE 02/15/27		2017-09-28	2020-03-11
50000. UNITED STATES TREAS NT DTD 02/15/17 2.250% DUE 02/15/27		2018-02-05	2020-03-11
75000. UNITED STATES TREAS NT DTD 07/31/18 2.625% DUE 07/31/20		2018-08-14	2020-03-27
101. VALERO ENERGY CORP - NEW		2019-09-27	2020-02-14
8. VERIZON COMMUNICATIONS		2019-08-16	2020-06-05
148. VERIZON COMMUNICATIONS		2020-03-27	2020-06-05
71. VERIZON COMMUNICATIONS		2017-09-29	2020-06-05
94. VERIZON COMMUNICATIONS		2018-05-25	2020-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
60,863		60,009	854
27,607		24,975	2,632
55,215		49,777	5,438
55,215		47,574	7,641
75,656		74,991	665
8,319		8,568	-249
462		451	11
8,550		7,779	771
4,101		3,510	591
5,430		4,548	882

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			854
			2,632
			5,438
			7,641
			665
			-249
			11
			771
			591
			882

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
73. VERIZON COMMUNICATIONS		2017-09-01	2020-06-05
53. VERIZON COMMUNICATIONS		2017-11-10	2020-06-05
50000. VERIZON COMMUNICATIONS INC UNSECD SR NT		2019-06-12	2020-01-10
281. VIACOMCBS INC CL B COM		2019-12-06	2020-01-31
8.437 VIATRIS INC COM		2017-08-04	2020-11-24
11.787 VIATRIS INC COM		2016-10-14	2020-11-24
21.714 VIATRIS INC COM		2020-02-28	2020-11-24
8.561 VIATRIS INC COM		2002-07-12	2020-11-24
2.606 VIATRIS INC COM		2013-07-26	2020-11-24
8.561 VIATRIS INC COM		2002-07-16	2020-11-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,217		3,499	718
3,062		2,376	686
56,973		54,234	2,739
9,488		11,264	-1,776
143		119	24
199		162	37
367		297	70
145		117	28
44		32	12
145		103	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			718
			686
			2,739
			-1,776
			24
			37
			70
			28
			12
			42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8.561 VIATRIS INC COM		2009-11-10	2020-11-24
7.773 VIATRIS INC COM		2012-10-17	2020-11-24
14000. VISA INC UNSECD SR NT C02/15/27 @100		2020-04-02	2020-06-03
23. WELLS FARGO COMPANY		2018-05-11	2020-01-17
39. WELLS FARGO COMPANY		2019-11-08	2020-01-17
109. WELLS FARGO COMPANY		2018-04-27	2020-01-17
38. WELLS FARGO COMPANY		2018-10-26	2020-01-17
111. WELLS FARGO COMPANY		2018-10-26	2020-01-31
300. WISDOMTREE EMERGING MKTS HIGH YIELDING EQUITY FUND		2013-05-24	2020-04-21
436. WISDOMTREE EMERGING MKTS HIGH YIELDING EQUITY FUND		2014-07-11	2020-04-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
145		65	80
131		86	45
14,599		14,094	505
1,118		1,250	-132
1,896		2,115	-219
5,299		5,699	-400
1,847		1,969	-122
5,222		5,752	-530
9,791		16,504	-6,713
14,230		22,951	-8,721

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			80
			45
			505
			-132
			-219
			-400
			-122
			-530
			-6,713
			-8,721

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
268. WISDOMTREE EMERGING MKTS HIGH YIELDING EQUITY FUND		2015-02-20	2020-04-21
1176. WISDOMTREE EMERGING MKTS HIGH YIELDING EQUITY FUND		2015-02-11	2020-04-21
77. ALLERGAN PLC COM		2020-02-28	2020-03-27
15. ALLERGAN PLC COM		2018-01-05	2020-03-27
19. ALLERGAN PLC COM		2017-12-08	2020-03-27
12. ALLERGAN PLC COM		2019-01-04	2020-03-27
33. ALLERGAN PLC COM		2019-05-24	2020-04-13
21. ALLERGAN PLC COM		2019-05-24	2020-04-24
181. CAPRI HLDGS LTD COM		2019-11-22	2020-02-14
93. MARVELL TECHNOLOGY GROUP LTD COM		2019-04-12	2020-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,747		11,874	-3,127
38,382		49,888	-11,506
13,449		14,486	-1,037
2,620		2,579	41
3,319		3,157	162
2,096		1,704	392
6,023		4,505	1,518
3,903		2,867	1,036
5,200		6,476	-1,276
3,450		2,185	1,265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,127
			-11,506
			-1,037
			41
			162
			392
			1,518
			1,036
			-1,276
			1,265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
91. MARVELL TECHNOLOGY GROUP LTD COM		2019-02-15	2020-08-28
19. MEDTRONIC PLC COM		2019-09-27	2020-04-24
22. MEDTRONIC PLC COM		2019-06-21	2020-04-24
74. MEDTRONIC PLC COM		2019-03-15	2020-04-24
7. MEDTRONIC PLC COM		2018-05-11	2020-04-24
57. MEDTRONIC PLC COM		2020-08-28	2020-12-18
5. MEDTRONIC PLC COM		2018-05-11	2020-12-18
44. NXP SEMICONDUCTORS NV COM		2019-09-13	2020-02-14
14. NXP SEMICONDUCTORS NV COM		2019-04-12	2020-02-14
12. NXP SEMICONDUCTORS NV COM		2019-04-12	2020-04-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,376		1,715	1,661
1,857		2,031	-174
2,150		2,176	-26
7,233		6,961	272
684		599	85
6,613		6,106	507
580		428	152
5,947		4,866	1,081
1,892		1,401	491
1,086		1,201	-115

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,661
			-174
			-26
			272
			85
			507
			152
			1,081
			491
			-115

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
33. NXP SEMICONDUCTORS NV COM		2019-08-02	2020-04-09
15. NXP SEMICONDUCTORS NV COM		2019-03-15	2020-04-09
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,986		3,295	-309
1,357		1,409	-52
			26,969
			26,969
			26,969
			26,969
			26,969
			26,969
			26,969
			26,969

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-309
			-52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
			26,969
			26,969
			26,969
			26,969

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALL AMERICAN ASSISTANCE DOGS 32647 46TH PL S AUBURN, WA 98001	N/A	PC	UNRESTRICTED GENERAL	7,000
SIT STAY READ2849 N CLARK ST CHICAGO, IL 60657	N/A	PC	UNRESTRICTED GENERAL	10,000
AMERICAN HUMANE 1400 16TH STREET NW SUITE 360 WASHINGTON, DC 20036	N/A	PC	SERVICE DOGS	20,000
Total			▶ 3a	782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ETOSHA RESCUE AND ADOPTION CENTER 1136 E KINGSBURY ST SEGUIN, TX 78155	N/A	PC	UNRESTRICTED GENERAL	20,000
COCHECO VALLEY HUMANE SOCIETY 262 COUNTY FARM RD DOVER, NH 038206003	N/A	PC	UNRESTRICTED GENERAL	20,000
SOUTHEASTERN GUIDE DOGS INC 4210 77TH STREET E PALMETTO, FL 34221	N/A	PC	VETERANS PROGRAM	10,000
Total				782,000

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUMANE SOCIETY OF SAN ANTONIO 4804 FREDERICKSBURG RD SAN ANTONIO, TX 782293628	N/A	PC	HELP FOR HOMELESS PETS	15,000
GUIDE DOGS FOR THE BLIND INC 350 LOS RANCHITOS RD SAN RAFAEL, CA 949033606	N/A	PC	UNRESTRICTED GENERAL	10,000
CAN DO CANINES 9440 SCIENCE CENTER DRIVE NEW HOPE, MN 55428	N/A	PC	UNRESTRICTED GENERAL	15,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
247 GATEWAY LLC 275 PRYOR ST SW ATLANTA, GA 30303	N/A	PC	BEHAVIORAL HEALTH	10,000
ALL ABOUT EQUINE ANIMAL RESCUE INC 2201 FRANCISCO DR EL DORADO HILLS, CA 95762	N/A	PC	UNRESTRICTED GENERAL	5,000
ALMOST HOME FOUNDATION 1800 W IRVING PARK ROAD SCHAUMBURG, IL 60193	N/A	PC	KENNEL PARKING LOT REPAIRS	15,000
Total				782,000

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ANIMAL CARE AND CONTROL OF NEW YORK CITY 11 PARK PLACE SUITE 805 NEW YORK, NY 10007	N/A	PC	UNRESTRICTED GENERAL	25,000
ANIMAL RESCUE OF THE ROCKIES 13918 EAST MISSISSIPPI 60188 AURORA, CO 80012	N/A	PC	VETERINARY CARE	15,000
BEREAS ANIMAL RESCUE FUND INC PO BOX 544 BEREA, OH 44017	N/A	PC	UNRESTRICTED GENERAL	15,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARE CATCANINE ASSISTANCE REFERRAL & EDUCATION P O BOX 56631 SHERMAN OAKS, CA 91413	N/A	PC	NO-KILL ANIMAL SANCTUARY	10,000
CAT DEPOT 2542 17TH STREET SARASOTA, FL 34215	N/A	PC	FELINE INTAKE MEDICAL CARE	10,000
CHIMP HAVEN 13600 CHIMPANZEE PLACE KEITHVILLE, LA 71047	N/A	PC	CARE OF RETIRED CHIMPANZEES	10,000
Total				782,000

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CIRCLE TAIL INC8834 CAREY LANE PLEASANT PLAIN, OH 45162	N/A	PC	CIRCLE TAIL NEW TRAINING	30,000
CLEARWATER MARINE AQUARIUM INC 249 WINDWARD PASSAGE CLEARWATER, FL 33767	N/A	PC	ANIMAL CARE FUND	5,000
DELAWARE VALLEY GOLDEN RETRIVER RESCUE INC 60 VERA CRUZ ROAD REINHOLDS, PA 17569	N/A	PC	UNRESTRICTED GENERAL SUPPORT	20,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIXNATION INC 7680 CLYBOURN AVENUE LOS ANGELES, CA 91352	N/A	PC	UNRESTRICTED GENERAL	10,000
FOCUS RESCUE AND REHABILITATION 645 MANNING RD MOGADORE, OH 44260	N/A	PC	UNRESTRICTED GENERAL	5,000
FOOTLOOSE MONTANAPO BOX 8884 MISSOULA, MT 59807	N/A	PC	REWILDING MISSOULA	10,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HEALING STRIDES OF VIRGINIA 672 NAFF ROAD PO BOX 456 BOONE MILL, VA 24065	N/A	PC	THERAPEUTIC RIDING AND	20,000
HEARTS SPEAK INC PO BOX 2645 POUGHKEEPSIE, NY 12603	N/A	PC	UNRESTRICTED GENERAL	15,000
THE HERMITAGE CAT SHELTER PO BOX 13508 TUCSON, AZ 85732	N/A	PC	UNRESTRICTED GENERAL	5,000
Total			▶ 3a	782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HERO PUPS INC 20 PORTSMOUTH AVE BOX 127 STRATHAN, NH 03885	N/A	PC	UNRESTRICTED GENERAL	5,000
HUMANE SOCIETY FOR HAMILTON COUNTY 1721 PLEASANT ST STE B NOBLESVILLE, IN 46060	N/A	PC	PETS HEALING VETS	20,000
HUMANE SOCIETY OF BALTIMORE COUNTY INC 1601 NICODEMUS ROAD REISTERSTOWN, MD 21136	N/A	PC	UNRESTRICTED GENERAL	15,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUMANE SOCIETY OF DOVER- STEWART COUNTY533 HONEY FORK RD INDIAN MOUND, TN 37079	N/A	PC	STRAY AND ABANDONED	5,000
INDEPENDENCE FUND INC 9013 PERIMETERS WOODS DRIVE SUITE E CHARLOTTE, NC 28216	N/A	PC	UNRESTRICTED GENERAL	10,000
KEYSTONE SERVICE SYSTEMS INC 4391 STURBRIDGE DRIVE HARRISBURG, PA 17110	N/A	PC	UNRESTRICTED GENERAL	30,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MT CARMEL VETERANS SERVICE CENTER PO BOX 85 COLORADO SPRINGS, CO 80901	N/A	PC	TRANSITION PROGRAM FOR	15,000
NORTHEN COLORADO FRIENDS OF FERALS 4820 EAGLE LAKE DR FORT COLLINS, CO 80524	N/A	PC	VACCINATIONS AND	10,000
PAWS OF NE LOUISIANA INC PO BOX 15432 MONROE, LA 712075432	N/A	PC	UNRESTRICTED GENERAL	10,000
Total				782,000

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PELICAN HARBOR SEABIRD STATION INC 1279 NE 79TH STREET CAUSEWAY MIAMI, FL 33138	N/A	PC	UNRESTRICTED GENERAL	5,000
PEOPLE FOR ANIMALS INC 401 HILLSIDE AVENUE HILLSIDE, NJ 07205	N/A	PC	SPAY/NEUTER OUTREACH	10,000
PETEY'S PLAYGROUND 18059 COUNTRY CLUB DRIVE PEEPLES VALLEY, AZ 86332	N/A	PC	UNRESTRICTED GENERAL	5,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PROJECT SANCTUARYPO BOX 1563 GRANBY, CO 80446	N/A	PC	UNRESTRICTED GENERAL	5,000
SACRAMENTO SPCA 6201 FLORIN PERKINS RD SACRAMENTO, CA 95828	N/A	PC	FERAL AND COMMUNITY CAT	10,000
SALUTE INC18 N BOTHWELL ST PALATINE, IL 60067	N/A	PC	FINANCIAL ASSISTANCE	5,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAMARITANS PURSEPO BOX 3000 BOONE, NC 28607	N/A	PC	OPERATION HEAL OUR PATRIOTS	10,000
SECOND CHANCE ANIMAL SHELTER 111 YOUNG ROAD EAST BROOKFIELD, MA 01515	N/A	PC	EDUCATIONAL CENTER	10,000
SMILES FOREVER ANIMAL RESCUE 2289 JAMES MADISON HIGHWAY GORDONSVILLE, VA 22942	N/A	PC	KENNEL RENOVATION	10,000
Total ▶ 3a				782,000

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SMILES FOREVER ANIMAL RESCUE 2289 JAMES MADISON HIGHWAY GORDONSVILLE, VA 22942	N/A	PC	KENNEL RENOVATION	10,000
SOUL HARBOUR RANCH ANIMAL THERAPY PROGRA 22093 N COUNTRYSIDE LN BARRINGTON, IL 600102414	N/A	PC	UNRESTRICTED GENERAL	5,000
SPAY-NEUTER ASSISTANCE PROGRAM 9474 KEARNY VILLA ROAD SUITE 211 SAN DIEGO, CA 92126	N/A	PC	MIL-SNAP	10,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS OF LUZERN COUNTY 524 EAST MAIN STREET WILKES BARRE, PA 18702				
TURPENTINE CREEK WILDLIFE REFUGE 239 TURPENTINE CREEK LANE EUREKA SPRINGS, AR 72632	N/A	PC	UNRESTRICTED GENERAL	10,000
UNITED DISABILITIES SERVICES FOUNDATION 2270 ERIN COURT LANCASTER, PA 17601	N/A	PC	UDS SERVICE DOGS - PTSD	10,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WOLF SANCTUARY PO BOX 1544 LAPORTE, CO 80535	N/A	PC	ANIMAL TRANSPORT VEHICLE	10,000
WOUNDED HEROES FUND 3129 STANDARD STREET BAKERSFIELD, CA 93308	N/A	PC	UNRESTRICTED GENERAL	10,000
ZERO8HUNDRED 4420 HOTEL CIRCLE CT SUITE 250 SAN DIEGO, CA 92108	N/A	PC	UNRESTRICTED GENERAL	10,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HEARTS OF GOLD PIT RESCUE PO BOX 3728 MEMPHIS, TN 38173	N/A	PC	UNRESTRICTED GENERAL	5,000
HIRE HEROES USA 1360 UNION HILL RD BLDG 2 ST A ALPHARETTA, GA 30004	N/A	PC	UNRESTRICTED GENERAL	20,000
HUMANE ANIMAL WELFARE SOCIETY OF WAUKESH COUNTY INC 701 NORTHVIEW RD WAUKESHA, WI 53188	N/A	PC	NO MORE BULLYING! PROGRAM	10,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SPAY-NEUTER ACTION PROJECT 9474 KEARNY VILLA ROAD SUITE 211 SAN DIEGO, CA 92126	N/A	PC	MIL-SNAP	10,000
BEST FRIENDS ANIMAL SOCIETY 1414 BONNER ST HOUSTON, TX 77007	N/A	PC	UNRESTRICTED GENERAL	10,000
ELDERLY PET ORGANIZATION 10 ALPINE RIDGE SMITHFIELD, RI 02917	N/A	PC	UNRESTRICTED GENERAL	10,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE LIVESTOCK CONSERVANCY P O BOX 477 33 HILLSBORO STREET PITTSBORO, NC 273120477	N/A	PC	POITOU DONKEY VETERINARY	15,000
EPONA'S VOICE RESCUE AND REHABILITATION1119 3RD AVENUE N TEXAS CITY, TX 77590	N/A	PC	UNRESTRICTED GENERAL	10,000
KANSAS HUMANE SOCIETY OF WICHITA KANSAS3313 N HILLSIDE AVE WICHITA, KS 672193907	N/A	PC	UNRESTRICTED GENERAL	20,000
Total ▶ 3a				782,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WARRIOR WRITERS OF CULTURETRUST GREATER PHILADELPHIA 1315 WALNUT ST SUITE 320 PHILADELPHIA, PA 19107	N/A	PC	UNRESTRICTED GENERAL	10,000
THE FORGOTTEN KITTY RESCUE 1122 NORTH LEE AVE ODESSA, TX 79761	N/A	PC	TRAP NEUTER AND RELEASE	5,000
SIERRA EDUCATION AND RESEARCH INSTITUTE 5730 N FIRST ST SUITE 105-503 FRESNO, CA 93710	N/A	PC	UNRESTRICTED GENERAL	15,000
Total ▶ 3a				782,000

TY 2020 Accounting Fees Schedule**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE - BOA	1,250	750		500

TY 2020 General Explanation Attachment**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

TY 2020 Investments Corporate Stock Schedule**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT	13,166,958	17,701,806

TY 2020 Other Decreases Schedule**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428

Description	Amount
COST BASIS ADJ	1,126
ACCRUAL ADJ	5,709
END OF YEAR SALES ADJ	236
PRIOR YEAR ROC ADJ	1,033

TY 2020 Other Expenses Schedule**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Rent and Royalty Expense	3,619			

TY 2020 Other Income Schedule**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	52	52	

TY 2020 Other Increases Schedule**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428**Other Increases Schedule**

Description	Amount
MUTUAL FUND INCOME ADJ	1,947
ADJ FOR GRANT RECOVERY	45,000

TY 2020 Other Professional Fees Schedule**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	6,441	6,441		

TY 2020 Taxes Schedule**Name:** WILLIAM E DEAN III CHARITABLE FOUNDATION**EIN:** 54-6882428**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	245	245		0
EXCISE TAX - PRIOR YEAR	5,207	0		0
EXCISE TAX ESTIMATES	5,482	0		0
FOREIGN TAXES ON QUALIFIED FOR	4,973	4,973		0
FOREIGN TAXES ON NONQUALIFIED	1,919	1,919		0