







For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE W DALE BROUGHER FOUNDATION		A Employer identification number 52-1499358	
Number and street (or P O box number if mail is not delivered to street address) 760 SPRING LANE		Room/suite	B Telephone number (see instructions) (941) 504-8823
City or town, state or province, country, and ZIP or foreign postal code YORK, PA 174033416		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 73,889,628	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	1,415,804	1,415,804		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-242,125			
	b Gross sales price for all assets on line 6a 34,428,925				
	7 Capital gain net income (from Part IV, line 2) . . .				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 126,258	79,381		
	12 Total. Add lines 1 through 11	1,299,937	1,495,185		
	13 Compensation of officers, directors, trustees, etc	163,236			163,236
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	32,542			32,542
	16a Legal fees (attach schedule)	 2,044	1,022		1,022
	b Accounting fees (attach schedule)	 9,500	4,750		4,750
	c Other professional fees (attach schedule)	 245,280	243,155		2,125
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	 173,254	9,917		8,337
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	 61,935	35,470		26,465
	24 Total operating and administrative expenses. Add lines 13 through 23	687,791	294,314		238,477
	25 Contributions, gifts, grants paid	3,458,275			3,458,275
	26 Total expenses and disbursements. Add lines 24 and 25	4,146,066	294,314		3,696,752
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,846,129			
	b Net investment income (if negative, enter -0-)		1,200,871		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	76,670	124,399	124,399
	2	Savings and temporary cash investments	6,741,503	9,777,452	9,777,452
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	2,589,313	2,415,583	2,417,590
	b	Investments—corporate stock (attach schedule)	34,939,368	30,956,199	33,281,706
	c	Investments—corporate bonds (attach schedule)	1,532,994	1,414,610	1,415,090
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	28,728,031	27,073,507	26,873,391
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	74,607,879	71,761,750	73,889,628	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	74,607,879	71,761,750	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	74,607,879	71,761,750		
31	Total liabilities and net assets/fund balances (see instructions) .	74,607,879	71,761,750		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	74,607,879
2	Enter amount from Part I, line 27a	2	-2,846,129
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	71,761,750
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	71,761,750

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	-242,125
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-1,270,503

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	3,508,905	77,665,651	0 045180
2014	3,891,116	79,273,894	0 049084
2013	3,049,808	76,265,433	0 039989
2012	3,008,888	73,005,296	0 041215
2011	1,869,192	53,819,285	0 034731
2 Total of line 1, column (d)			2 0 210199
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 042040
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 73,721,740
5 Multiply line 4 by line 3			5 3,099,262
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 12,009
7 Add lines 5 and 6			7 3,111,271
8 Enter qualifying distributions from Part XII, line 4			8 3,696,752

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	12,009
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	12,009
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	12,009
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	64,937
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	64,937
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	52,928
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 16,000 Refunded <input type="checkbox"/>	11	36,928

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of ROBERT BOSSERT Telephone no (941) 504-8823			

Located at **760 SPRING LANE YORK PA** ZIP+4 **174033416**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		No
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT E BOSSERT 760 SPRING LANE YORK, PA 17403	PRESIDENT 40 00	111,666	0	0
NANCY M BROUGHER 400 S OCEAN BLVD PALM BEACH, FL 33480	VICE-PRES 3 00	20,000	0	0
SONYA L EICHOLTZ 3 S PLEASANT AVENUE JACOBUS, PA 17407	DIRECTOR 3 00	20,000	0	0
SALLY BOSSERT 410 EVERNIA STREET APT 226 WEST PALM BEACH, FL 33401	DIRECTOR 25 00	11,570	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		▶

Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	64,209,526
b	Average of monthly cash balances.	1b	10,634,880
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	74,844,406
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	74,844,406
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,122,666
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	73,721,740
6	Minimum investment return. Enter 5% of line 5.	6	3,686,087

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,686,087
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	12,009
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	12,009
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	3,674,078
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	3,674,078
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	3,674,078

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,696,752
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,696,752
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	12,009
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,684,743

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				3,674,078
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>3,696,752</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				3,674,078
e Remaining amount distributed out of corpus	22,674			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	22,674			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	22,674			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.	22,674			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
a The name, address, and telephone number or email address of the person to whom applications should be addressed	
b The form in which applications should be submitted and information and materials they should include	
c Any submission deadlines	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	3,458,275
b <i>Approved for future payment</i> See Additional Data Table				
Total			3b	1,620,000

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Print/Type preparer's name RICHARD A BLUIS CPA	Preparer's Signature	Date 2017-11-10	Check if self-employed <input type="checkbox"/>	PTIN P00486887
Firm's name ► MARTIN M SACKS & ASSOCIATES				Firm's EIN ► 23-1979781
Firm's address ► 4775 LINGLESTOWN RD STE 100 HARRISBURG, PA 17112				Phone no (717) 657-1300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
SEE ATTACHED 898-02295 SHORT-TERM	P		
SEE ATTACHED 898-02295 LONG-TERM	P		
SEE ATTACHED 898-02295 SHORT-TERM	P		
SEE ATTACHED 898-02295 SHORT-TERM	P		
SECTION 1256 CONTRACTS SHORT-TERM	P		
SECTION 1256 CONTRACTS LONG-TERM	P		
CLOUGH ACCESS LTD	P	2014-10-31	2016-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,140,151		22,897,870	-757,719
11,023,783		9,252,362	1,771,421
18			18
238,812		238,812	
		512,802	-512,802
		769,204	-769,204
970,778		1,000,000	-29,222

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-757,719
			1,771,421
			18
			-512,802
			-769,204
			-29,222

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADOPT-A-FAMILY OF THE PALM BEACHES 1712 SECOND AVENUE NORTH LAKE WORTH, FL 33460	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	25,000
ALZHEIMERS ASSOCIATION 3544 NORTH PROGRESS AVE HARRISBURG, PA 17110	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	7,000
AMERICAN CANCER SOCIETY YORK 924 NORTH COLONIAL AVENUE YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	13,000
ANIMAL RESCUE 2 HERITAGE FARM DRIVE NEW FREEDOM, PA 17349	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	10,000
BALTIMORE ADAPTED REC SPORTS PO BOX 631 PARKTON, MD 21120	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	8,000
Total ► 3a				3,458,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILD CARE CONSULTANTS 29 NORTH DUKE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	2,300
CHILDREN'S HOME OF YORK 77 SHOE HOUSE ROAD YORK, PA 17406	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	2,500
CITY CHURCH YORK 250 WEST KING STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	25,500
COUNCIL ON FOUNDATIONS 2121 CRYSTAL DRIVE 700 ARLINGTON, VA 22202	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	8,800
CRISPUS ATTUCKS ASSOCIATION 605 SOUTH DUKE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	273,315
Total ▶ 3a				3,458,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CRSI FDN 933 NORTH PLUM GROVE ROAD SCHAUMBURG, IL 60173	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	10,000
CULTURAL ALLIANCE OF YORK COUNTY 1315 WALNUT STREET YORK, PA 19107	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	30,000
DARTMOUTH REVIEW PO BOX 343 HANOVER, NH 03755	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	300
DOVER BASEBALL LEAGUE 101 EDGEWAY ROAD DOVER, PA 17315	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	400
DOWNTOWN INC 2 EAST MARKET STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	10,000
Total ▶ 3a				3,458,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIRST PRESBYTERIAN CHURCH OF YORK 225 EAST MARKET STREET YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	2,500
FORSIGHT VISION 1380 SPAHN AVENUE YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	50,000
FRIENDS OF MARTIN COUNTY LIBRARY 2351 SE MONTEREY ROAD STUART, FL 34996	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	80,000
HEATHER BAKER FOUNDATION 678 OLD HANOVER ROAD SPRING GROVE, PA 17362	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	3,000
HUMAN LIFE SERVICES 742 SOUTH GEORGE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	16,000
Total ► 3a				3,458,275


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEWISH COMMUNITY CENTER YORK 2000 HOLLYWOOD DRIVE YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	120,000
JUNIOR ACHIEVEMENT YORK 610 SOUTH GEORGE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	117,035
JUNIOR LEAGUE OF YORK 166 WEST MARKET STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,000
KATALLASSO FAMILY HEALTH CENTER 38 SOUTH BELVIDERE AVENUE YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	20,000
KEYSTONE WARRIORS 16 EAST NOBLE AVENUE SHOEMAKERSVILLE, PA 19555	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	2,500
Total ► 3a				3,458,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KPETS 2120 OREGON PIKE 2ND FL LANCASTER, PA 17601	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,000
LOGOS ACADEMY 250 WEST KING STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	275,000
LUTHERAN SOCIAL SERVICES 1050 PENNSYLVANIA AVENUE YORK, PA 17404	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	100,000
MARGARET MOUL HOME 2050 BARLEY ROAD YORK, PA 17408	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	50,000
MISERICORDIA NURSING & REHAB CTR 998 SOUTH RUSSELL STREET YORK, PA 17402	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	100,000
Total ▶ 3a				3,458,275


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MULTIPLE SCLEROSIS SOCIETY 1501 REEDSDALE STREET PITTSBURGH, PA 15233	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	10,000
NEW YORK UNIVERSITY 70 WASHINGTON SQ SOUTH NEW YORK, NY 10012	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	500
PA NATIONAL HORSE SHOW FDN 720 LIMEKILN ROAD NEW CUMBERLAND, PA 17070	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	35,000
PALM BEACH CIVIC ASSOCIATION 139 NORTH COUNTY ROAD PALM BEACH, FL 33480	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	1,000
PEGGY ADAMS ANIMAL RESCUE LEAGUE 3200 NORTH MILITARY TRAIL WEST PALM BEACH, FL 33409	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	50,000
Total ▶ 3a				3,458,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PENN VETERINARY HOSPITAL 3451 WALNUT STREET PHILADELPHIA, PA 19104	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	101,500
POISE 314 BRYN MAWR AVENUE BALA CYNWYD, PA 19004	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,000
PROVIDENCE PRESBYTERIAN CHURCH 1215 CHURCH ROAD YORK, PA 17404	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,000
QUICK RESPONSE TEAM YORK COUNTY 45 NORTH GEORGE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,500
ROLLINS COLLEGE 1000 HOLT AVENUE WINTER PARK, FL 32789	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	100
Total 				3,458,275
3a				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROTARY CLUB OF YORK PA 724 SOUTH GEORGE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	6,500
SALVATION ARMY 50 EAST KING STREET YORK, PA 17405	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	116,500
SOCIETY OF THE FOUR ARTS 24 ARTS PLAZA PALM BEACH, FL 33480	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	26,300
SPCA OF YORK COUNTY 3159 SUSQUEHANNA TRAIL YORK, PA 17406	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	101,100
ST BONIFACE CATHOLIC CHURCH 631 ELMONT ROAD ELMONT, NY 11003	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	1,000
Total ▶ 3a				3,458,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST JOHN EPISCOPAL CHURCH 140 NORTH BEAVER STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	43,000
ST PETER'S LUTHERAN CHURCH 947 NORTH GEORGE STREET YORK, PA 17404	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	30,000
STEAM INTO HISTORY 2 WEST MAIN STREET NEW FREEDOM, PA 17349	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	25,000
STRAND CAPITOL PERFORMING ARTS 50 NORTH GEORGE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	65,500
SUSAN BYRNES HEALTH EDUCATION CTR 515 SOUTH GEORGE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	106,000
Total 				3,458,275
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE BOYS & GIRLS CLUB OF PALM BEACH 800 NORTHPOINT PARKWAY WEST PALM BEACH, FL 33407	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	1,000
THE FORTRESS ACADEMY PO BOX 7347 YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	200,000
THE JOHNS HOPKINS INSTITUTIONS 100 NORTH CHARLES STREET BALTIMORE, MD 21201	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	200
THE PARLIAMENT ARTS ORGANIZATION 116 EAST KING STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	10,000
UNITED WAY OF YORK COUNTY 800 EAST KING STREET YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	50,000
Total ► 3a				3,458,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YMCA OF YORK COUNTY 4075 EAST MARKET STREET YORK, PA 17402	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	94,925
YORK CATHOLIC HIGH SCHOOL 601 E SPRINGETTSBURY AVE YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,000
YORK COUNTY CHILDREN'S ADVOCACY CTR 28 SOUTH QUEEN STREET YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	1,000
YORK COUNTY HONORS CHOIRS PO BOX 827 YORK, PA 17405	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,000
YORK COLLEGE OF PA 441 COUNTRY CLUB ROAD YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	292,500
Total 				3,458,275
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YORK COUNTRY DAY SCHOOL 1071 REGENTS GLEN BLVD YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	510,000
YORK COUNTY HERITAGE TRUST 250 EAST MARKET STREET YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,000
YORK COUNTY LITERACY COUNCIL 800 EAST KING STREET YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	15,000
YORK DAY NURSERY 450 EAST PHILADELPHIA ST YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	15,000
YORK LITTLE THEATRE 27 SOUTH BELMONT STREET YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	100,000
Total ► 3a				3,458,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YORK SCORE CHAPTER 441 2101 PENNSYLVANIA AVENUE YORK, PA 17404	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	5,000
YORK SYMPHONY ORCHESTRA 50 NORTH GEORGE STREET YORK, PA 17401	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	32,000
YWCA OF YORK COUNTY 320 EAST MARKET STREET YORK, PA 17403	NONE	PUBLIC	ORGANIZATION'S EXEMPT PURPOSE	10,000
Total ▶ 3a				3,458,275

TY 2016 Accounting Fees Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PREPARATION OF FORM 990-PF	9,500	4,750		4,750

TY 2016 Investments Corporate Bonds Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMERICAN EXPRESS CREDIT	157,504	153,914
CITIGROUP INC	111,894	115,402
COMCAST CORP	161,439	156,191
ENTERPRISE PRODUCTS OPER	67,692	67,954
FORD MOTOR COMPANY		
GENERAL ELEC CAP CORP	106,126	115,785
GILEAD SCIENCES INC	166,332	157,667
GOLDMAN SACHS GROUP INC	112,620	115,154
JPMORGAN CHASE & CO	150,093	151,896
USD UNITED MEXICAN	154,141	152,400
VERIZON COMMUNICATIONS	152,098	152,592
WELLS FARGO & COMPANY	74,671	76,135

TY 2016 Investments Corporate Stock Schedule

Name: THE W DALE BROUGHER FOUNDATION
EIN: 52-1499358

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVIE INC SHS		
ACE LIMITED		
ADOBE SYS INC COM	402,367	468,114
ADVANCED ENERGY INDS	36,834	70,518
AECOM	124,164	118,315
AERCAP HOLDINGS N.V. SHS	89,126	88,796
AFFILIATED MANAGERS GROUP INC	521,159	474,114
AIR LEASE CORP	59,258	65,261
AK STEEL HOLDING CO	69,811	71,388
ALLEGION PLC SHS	143,572	140,928
ALLY FINL INC		
ALPHABET INC	395,782	450,112
ALTRIA GROUP INC		
AMAZON COM INC	282,844	441,673
AMC NETWORKS INC		
AMERICAN AXLE & MFG HLDGS INC		
AMERICAN EAGLE OUTFITTERS	70,442	59,254
AMERICAN TOWER REIT INC	306,551	341,135
AMN ELEC POWER CO	268,495	305,041
ANADARKO PETE CORP	383,556	447,806
APARTMENT INVT & MGMT CO CL A	160,151	165,438
APPLE COMPUTER INC COM	382,903	475,094
ARAMARK SHS		
ASSURANT INC		
ASTRAZENECA PLC SPONS ADR	393,640	345,680
AT&T INC	446,184	469,999
AUTOMATED DATA PROC		
AUTONATION INC		
AUTOZONE INC	349,688	364,093
AVAGO TECHNOLOGIES LTD		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BAIDU.COM ADR	176,721	165,396
BARCLAYS PLC		
BERRY PLASTICS GROUP INC	86,713	123,969
BOOZ ALLEN HAMILTON HLDG		
BOSTON PPTYS INC REIT	98,284	102,133
BRITISH AMN TOBACO	189,642	177,117
BROADCOM LTD	377,830	371,040
CADENCE DESIGN SYS INC	98,031	110,640
CALIFORNIA RESOURCES CORP		
CANADIAN NATURAL RES LTD	119,703	152,131
CANADIAN PACIFIC RAILWAY		
CARNIVAL CORP PAIRED SHS	211,068	238,279
CELGENE CORP	356,212	376,998
CENTURYLINK INC		
CHARLES RIVER LABS INTL	70,282	69,104
CHINA PETE CHEM	189,882	193,672
CHINA UNICOM HONG KONG LTD		
CHUBB LTD	167,709	185,496
CITRIX SYSTEMS INC COM	101,467	128,517
CME GROUP INC	218,457	228,278
COCA COLA COM	259,387	258,503
COCA-COLA EUROPEAN PARTNERS PLC	316,123	247,244
COGNIZANT TECHNOLOGY SOLUTIONS		
COMMUNICATIONS SALES AND LEASING	146,932	145,218
CONAGRA BRANDS INC	73,120	89,225
CONOCOPHILLIPS		
CONTINENTAL RESOURCES	471,558	536,274
CORP OFFICE PPTYS TRUST REIT	53,749	69,184
COSTCO WHSL CORP NEW	483,067	511,231
CREDICORP LTD	134,051	166,858

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CREDIT SUISSE GP SP ADR	176,709	165,624
CURTISS WRIGHT		
CYRUSONE INC	76,189	70,092
DANAHER CORP COMMON	307,938	332,221
DELPHI AUTOMOTIVE PLC		
DEVON ENERGY CORP	111,882	130,068
DIAGEO PLC SPON ADR NEW	189,129	175,970
DIGITAL RLTY TR INC	304,731	317,969
DISNEY (WALT) COMPANY HOLDING CO.	512,908	482,539
DOMINION RES INC	376,469	416,573
DOVER CORP	478,252	509,449
EAST WEST BANCORP INC	104,861	133,937
ELI LILLY & CO		
EDNURANCE SPECIALTY HLDG		
EXPEDIA INC	118,113	109,089
EXPRESS SCRIPTS HLDG CO		
FACEBOOK INC	451,323	491,263
FEDEX CORP DELAWARE	531,292	507,395
FERRARI NV	151,815	164,362
FIBRA CELULOSE		
FIRST DATA CORPORATION	106,111	116,940
FIRST ENERGY CORP	80,699	76,155
GENERAL ELECTRIC CO	400,144	417,246
GENL DYNAMICS CORP COM	445,951	553,893
GILDAN ACTIVEWEAR INC	167,028	140,271
GREENBRIER COS INC	41,678	69,015
GW PHARMACEUTICALS PLC	77,723	106,609
HD SUPPLY HLDGS INC		
HDFC BANK LTD		
HILL ROM HLDGS	66,490	73,824

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HOLOGIC INC	88,838	108,605
HONEYWELL INTL INC	306,674	344,190
HUNTINGTON BANCSHARES INC	100,939	125,207
ICICI BANK LTD	121,684	115,953
INCYTE CORPORATION	142,078	158,928
ING GROUP NV SPDR	120,478	145,893
INGERSOLL-RAND PLC	138,338	167,564
INTEL CORPORATION	414,489	467,266
INTERNATIONAL BUSINESS MACHINES CORP IBM	401,559	449,999
INTERNATIONAL GAME TECH PLC	58,818	76,841
ITAU UNIBANCO BANCO HOLD SA SPON	107,022	119,957
JACK IN THE BOX INC		
JP MORGAN CHASE & CO	300,631	394,777
KENNEDY-WILSON HOLDINGS INC	71,688	64,042
KIMBERLY CLARK	298,486	288,724
KONINKLIJKE PHILIPS NV SH NEW	113,438	132,949
KRAFT FOODS GROUP INC	251,299	308,851
LAM RESOURCES CORP COM		
LEVEL 3 COMMUNICATIONS INC		
LOCKHEED MARTIN CORP	342,327	428,897
LYONDELLBASELL INDUSTRIES	578,210	552,938
MACQUARIE INFRASTRUCTURE CORP		
MALLINCKRODT PLC		
MASCO CORP	92,254	102,354
MCDONALDS CORPORATION	384,682	415,552
MEDTRONIC PLC SHS	333,130	300,662
MENTOR GRAPHICS CORP		
METLIFE INC	283,170	354,057
MGIC INVESTMENT CORP WIS COM	69,090	67,764
MGM RESORTS INTERNATIONAL	99,757	129,101

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MITSUBISHI UFJ FINL GRP INC		
MOLINA HEALTHCARE INC		
MONDELEZ INTERNATIONAL	423,972	454,072
MYLAN NV		
NABORS INDUSTRIES LTD	54,279	67,535
NATIONAL GRID PLC	172,087	140,634
NETEASE.COM INC ADR	114,713	126,835
NVIDIA	748,191	860,965
NXP SEMICONDUCTORS N.V.		
OASIS PETE INC NEW	71,347	66,873
OCCIDENTAL PETROLEUM CORPORATION	391,474	388,488
PACCAR INC	483,860	506,919
PANERA BREAD CO CL A	93,675	87,779
PATTERSON COS INC		
PFIZER INC COM	352,992	366,115
PHILIP MORRIS INTL INC	367,944	361,660
PIONEER NAT RES CO	300,538	349,876
PLANET FITNESS INC CL A	134,348	126,952
PORTLAND GEN ELEC CO		
PPL CORPORATION	307,480	314,792
PRINCIPAL FINL GROUP INC	63,530	84,996
PROCTER & GAMBLE CO COM	365,762	383,825
PRUDENTIAL PLC	147,657	152,953
QLIK TECHNOLOGIES INC		
QUINTILES TRANSNATIONAL HLDGS		
REGIONS FINL CORP	77,296	118,025
REPUBLIC SERVICES INC	129,254	169,210
RESOLUTE FOREST PRODUCTS		1,877
RITE AID CORP		
ROYAL DUTCH SHEL PLC	421,057	442,427

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SALESFORCE COM INC	298,916	307,248
SANOFI ADR	163,912	157,473
SAP SE SHS	153,209	146,326
SBA COMMUNICATIONS CORP CL A	94,861	83,744
SCHLUMBERGER LIMITED COM		
SELECTIVE INS GROUP INC	61,427	77,146
SENSATA TECH HLDNG BV	150,516	147,426
SERVICENOW INC	108,001	107,273
SHIRE PLC-ADR	148,796	149,764
SK TELECOM	125,067	123,770
SONY CORP ADR	129,324	129,190
SOWEST GAS CORP	67,925	68,422
SPECTRA ENERGY CORP	341,920	394,875
SPIRIT AEROSYSTEMS HLDGS		
SPIRIT AIRLS INC COM	69,876	69,432
STARBUCKS CORP COM	410,229	376,703
STERICYCLE INC		
STERIS CORP		
SUMMIT MATLS INC	61,127	68,801
SURGICAL CARE AFFILIATES INC	57,960	69,729
SWIFT TRANSPORTATION	43,765	68,111
TAKE TWO INTER SOFTWARE	45,751	67,281
TECH DATA CORP	69,833	66,135
TEEKAY TANKERS LTD		
TESSERA HOLDING CORPO	51,697	70,853
TESSERA TECHNOLOGIES INC		
TEVA PHARMACTCL INDS		
TEXAS CAP BNC SHS INC	103,985	104,115
THERMO FISHER SCIENTIFIC INC	304,269	319,874
THOMSON REUTERS CORP	176,373	196,747

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TJX COS INC NEW	202,657	214,947
TOLL BROS INC COM	147,007	144,770
TORONTO DOMINION BANK	473,625	549,450
TOYOTA MOTOR CORP		
UGI CORP	96,195	98,980
UNILEVER NV		
VALE SA	157,915	230,459
VCA INC	126,038	130,092
VENTAS INC	222,011	276,463
VERIZON COMMUNICATIONS INC	326,732	391,489
VIPSHOP HLDGS LTD	130,543	103,032
VODAFONE GROUP PLS SHS ADR	141,775	109,959
VULCAN MATERIALS CO	115,221	119,018
WESTROCK CO SHS		
WHITING PETROLEUM CORP		
ZIMMER BIOMET HOLDI	327,435	326,834
ZOETIS INC	245,821	252,126

TY 2016 Investments Government Obligations Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358**US Government Securities - End
of Year Book Value:**

2,415,583

**US Government Securities - End
of Year Fair Market Value:**

2,417,590

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Investments - Other Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DELAWARE CORPORATE BOND	FMV	3,351,704	3,196,885
ISHARES CORE US	FMV	1,967,107	1,912,446
SPDR BARCLAYS INTERM	FMV	3,186,022	3,180,176
TCW TOTAL RETURN	FMV	6,357,770	6,257,409
WESTERN ASSET SMASH	FMV	3,730,762	3,758,112
BLACKSTONE ALTERNATIVES	FMV	1,187,986	1,308,375
CARLSON DOUBLE BLACK	FMV	2,000,000	2,037,524
CHILDREN'S INVESTMENT FUND	FMV	1,000,000	1,102,700
CLOUGH ACCESS LTD	FMV		
CZECH II SENIOR LOAN	FMV	153,810	149,320
GSO RESCUE FINANCE II	FMV	109,750	114,022
OZOFII ACCESS LTD	FMV	1,629,802	2,123,713
SIRIOS ACCESS LTD	FMV	1,000,000	955,023
YORK ACCESS LTD	FMV	1,000,000	777,686
INCOME IN TRANSIT	FMV	7,157	
INVESTMENT BASIS ADJUSTMENTS	FMV	391,637	

TY 2016 Legal Fees Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	2,044	1,022		1,022

TY 2016 Other Expenses Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT EXPENSES	5,594	5,594		
PARTNERSHIP DEDUCTIONS	29,876	29,876		
MEETING & EVENT EXPENSES	18,763			18,763
INSURANCE	2,500			2,500
TELEPHONE	5,202			5,202

TY 2016 Other Income Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
990-PF EXCISE TAX REFUND	46,877		
PARTNERSHIP INCOME	79,309	79,309	
OTHER INVESTMENT INCOME	72	72	

TY 2016 Other Professional Fees Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ASSET MGMT & CUSTODY FEES	243,155	243,155		
PAYROLL PROCESSING FEES	2,125			2,125

TY 2016 Taxes Schedule**Name:** THE W DALE BROUGHER FOUNDATION**EIN:** 52-1499358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	9,917	9,917		
990-PF EXCISE TAX PAID	155,000			
PAYROLL TAXES	8,337			8,337