

Form 990-PF

Return of Private Foundation

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public
Go to www.irs.gov/Form990PF for instructions and the latest information.

1912

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation: STEPHEN A SCHWARZMAN FOUNDATION
A Employer identification number: 47-4634539

Number and street (or P O box number if mail is not delivered to street address): C/O JOHN MAGLIANO 345 PARK AVE
Room/suite:
B Telephone number (see instructions): (212) 583-5794

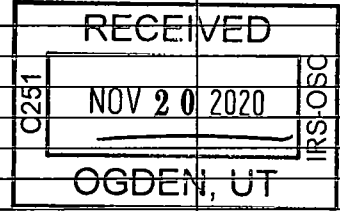
City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10154
C If exemption application is pending, check here: [] 6

G Check all that apply: Initial return [] Final return [] Address change []
Initial return of a former public charity [] Amended return [] Name change []
D 1 Foreign organizations, check here: []
2 Foreign organizations meeting the 85% test check here and attach computation: []

H Check type of organization: [X] Section 501(c)(3) exempt private foundation 04
[] Section 4947(a)(1) nonexempt charitable trust [] Other taxable private foundation
E If private foundation status was terminated under section 507(b)(1)(A), check here: []

I Fair market value of all assets at end of year (from Part II, col (c), line 16): \$ 44,861,448.
J Accounting method: [X] Cash [] Accrual [] Other (specify):
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here: []

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26). Total adjusted net income is 19,243,003.



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SCANNED MAY 4 2021

Received in Original State 29

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	6,810.	521,367.	521,367.
	2	Savings and temporary cash investments	223,722.	6,511,742.	6,511,742.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 7	19,308,015.	37,688,208.	37,688,208.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶ (attach schedule)				
12	Investments - mortgage loans				
13	Investments - other (attach schedule)				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶ (attach schedule)				
15	Other assets (describe ▶ ATCH 8)		140,131.	140,131.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	19,538,547.	44,861,448.	44,861,448.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions	19,538,547.	44,861,448.	
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg, and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
29	Total net assets or fund balances (see instructions)	19,538,547.	44,861,448.		
30	Total liabilities and net assets/fund balances (see instructions)	19,538,547.	44,861,448.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	19,538,547.
2	Enter amount from Part I, line 27a	19,243,003.
3	Other increases not included in line 2 (itemize) ▶ ATCH 9	6,079,898.
4	Add lines 1, 2, and 3	44,861,448.
5	Decreases not included in line 2 (itemize) ▶	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	44,861,448.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	9,688,823.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	8,213,450.	23,609,232.	0.347891
2017			
2016			
2015			
2014			
2	Total of line 1, column (d)		0.347891
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years		0.115964
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5		37,022,550.
5	Multiply line 4 by line 3.		4,293,283.
6	Enter 1% of net investment income (1% of Part I, line 27b).		105,941.
7	Add lines 5 and 6.		4,399,224.
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		42,368,133.

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments, total credits and payments, penalty, tax due, overpayment, and amount of line 10 to be credited to 2020 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, unrelated business gross income, liquidation, and substantial contributors. Includes 'Yes' and 'No' columns.

2

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ JOHN MAGLIANO Telephone no ▶ 212-583-5794 Located at ▶ 345 PARK AVENUE NEW YORK, NY ZIP+4 ▶ 10154		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			5b
	Organizations relying on a current notice regarding disaster assistance, check here			<input type="checkbox"/>
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		601,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		134,360.	19,530.	0.

Total number of other employees paid over \$50,000. 0.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 12		1,500,705.

Total number of others receiving over \$50,000 for professional services 2

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

Table with 6 main rows and sub-rows (a-e) for line 1. Columns include description, sub-row label, and amount. Total amount for line 1d is 37,586,345.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here [] and do not complete this part)

Table with 7 main rows and sub-rows (a, b, c) for line 2a. Columns include description, sub-row label, and amount. Total amount for line 7 is 1,745,187.

Part XII Qualifying Distributions (see instructions)

Table with 6 main rows and sub-rows (a, b) for lines 1, 2, 3, and 4. Columns include description, sub-row label, and amount. Total amount for line 4 is 42,368,133.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,745,187.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.				
b Total for prior years 20 <u>17</u> , 20 <u>16</u> , 20 <u>15</u>				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018 <u>7,287,844.</u>				
f Total of lines 3a through e	7,287,844.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>42,368,133.</u>				
a Applied to 2018, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount.				1,745,187.
e Remaining amount distributed out of corpus. . .	40,622,946.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	47,910,790.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	47,910,790.			
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018 <u>7,287,844.</u>				
e Excess from 2019 <u>40,622,946.</u>				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2019, (b) 2018, (c) 2017, (d) 2016, (e) Total. Rows include: 2a Adjusted net income from Part I or the minimum investment return from Part X for each year listed; b 85% of line 2a; c Qualifying distributions from Part XII, line 4, for each year listed; d Amounts included in line 2c not used directly for active conduct of exempt activities; e Qualifying distributions made directly for active conduct of exempt activities; 3 Complete 3a b, or c for the alternative test relied upon; a "Assets" alternative test; (1) Value of all assets; (2) Value of assets qualifying under section 4942(j)(3)(B)(i); b "Endowment" alternative test; c "Support" alternative test; (1) Total support other than gross investment income; (2) Support from general public and 5 or more exempt organizations; (3) Largest amount of support from an exempt organization; (4) Gross investment income.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MR. STEPHEN A. SCHWARZMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>ATCH 13</p>				
Total ▶ 3a				39,723,398.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Other investment income, Gain or loss from sales, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|--|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| (1) Cash | | X |
| (2) Other assets | | X |
| b Other transactions | | |
| (1) Sales of assets to a noncharitable exempt organization | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | X |
| (3) Rental of facilities, equipment, or other assets | | X |
| (4) Reimbursement arrangements | | X |
| (5) Loans or loan guarantees | | X |
| (6) Performance of services or membership or fundraising solicitations | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer or trustee: *[Signature]* Date: 11/13/22 Title: Treasurer

May the IRS discuss this return with the preparer shown below? Yes No

Paid Preparer Use Only

Print/Type preparer's name: MARIE ARRIGO
 Preparer's signature: *[Signature]*
 Date: 11/11/2020
 Check if self-employed PTIN: P00058583
 Firm's name: EISNERAMPER LLP
 Firm's address: 733 THIRD AVENUE, NEW YORK, NY 10017-2703
 Firm's EIN: 13-1639826
 Phone no: 212-949-8700

Schedule of Contributors

2019

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization
STEPHEN A SCHWARZMAN FOUNDATION

Employer identification number
47-4634539

Organization type (check one)

Filers of.

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **STEPHEN A SCHWARZMAN FOUNDATION**

Employer identification number
47-4634539

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEPHEN A. SCHWARZMAN 345 PARK AVENUE NEW YORK, NY 10154	\$ 59,743,952.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization **STEPHEN A SCHWARZMAN FOUNDATION**

Employer identification number
47-4634539

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	DONATED MARKETABLE SECURITIES	\$ 59,743,952.	12/31/2019

Name of organization STEPHEN A SCHWARZMAN FOUNDATION

Employer identification number

47-4634539

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN CURRENCY EXCHANGE LOSS	-210.	-210.
TOTALS	<u>-210.</u>	<u>-210.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
SIMPSON THACHER & BARTLETT LLP	656,570.			656,570.
OTHER LEGAL FEES	3,659.			3,659.
TOTALS	<u>660,229.</u>			<u>660,229.</u>

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
EISNERAMPER LLP	4,000.			4,000.
TOTALS	<u>4,000.</u>			<u>4,000.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
COMMUNICATIONS CONSULTING	444,384.		444,384.
EXECUTIVE SEARCH FEES	225,700.		225,700.
GRANT CONSULTING	223,771.		223,771.
PUBLIC RELATIONS/MARKETING	160,000.		160,000.
COMMISSION AND BROKERAGE FEES	5,931.	5,931.	
OTHER CONSULTING FEES	46,833.		46,833.
TOTALS	<u>1,106,619.</u>	<u>5,931.</u>	<u>1,100,688.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL EXCISE TAXES	30,000.
TOTALS	<u>30,000.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
COMPUTER AND INFORMATION	17,628.	17,628.
TECHNOLOGY COSTS	12,650.	12,650.
OTHER EXPENSES		
TOTALS	<u>30,278.</u>	<u>30,278.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ISHARES CORE S&P 500 ETF	7,652,718.	8,580,406.	8,580,406.
SPDR PORTFOLIO S&P 500 GROWTH	3,362,743.	455,185.	455,185.
ISHARES CORE S&P MIDCAP ETF	3,203,962.	2,975,745.	2,975,745.
HILTON WORLDWIDE HOLDINGS INC.	2,578,194.		
VANGUARD DIVIDEND APPREC ETF	1,326,243.	5,034,394.	5,034,394.
VANGUARD INTL GROWTH FUND	1,184,155.		
IVESCO QQQ TRUST		3,409,414.	3,409,414.
INVITATION HOMES INC.		2,513,344.	2,513,344.
ISHARES CORE S&P SMALL CAP ETF		5,848,118.	5,848,118.
OAKMARK FUND INSTITUTIONAL CL		4,150,032.	4,150,032.
SPDR S&P DIVIDEND ETF		4,721,570.	4,721,570.
TOTALS	<u>19,308,015.</u>	<u>37,688,208.</u>	<u>37,688,208.</u>

ATTACHMENT 8

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WEBSITE COSTS		140,131.	140,131.
TOTALS		<u>140,131.</u>	<u>140,131.</u>

ATTACHMENT 9

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN ON INVESTMENTS	6,079,898.
TOTAL	<u>6,079,898.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
STEPHEN A. SCHWARZMAN C/O THE FOUNDATION 345 PARK AVENUE NEW YORK, NY 10154	CHAIRMAN AND DIRECTOR 1.00	0.	0.	0.
JOHN A. MAGLIANO C/O THE FOUNDATION 345 PARK AVENUE NEW YORK, NY 10154	TREASURER AND DIRECTOR 8.00	150,000.	0.	0.
MARK E. SCHWARZMAN C/O THE FOUNDATION 345 PARK AVENUE NEW YORK, NY 10154	DIRECTOR 1.00	0.	0.	0.
AMY STURSBURG C/O THE FOUNDATION 345 PARK AVENUE NEW YORK, NY 10154	EXECUTIVE DIRECTOR 20.00	451,500.	0.	0.
GRAND TOTALS		<u>601,500.</u>	<u>0.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
MOLLY TILTON C/O THE FOUNDATION 345 PARK AVENUE NEW YORK, NY 10154 MS. TILTON'S	GRANT PROGRAM MNGR 40.00	134,360.	19,530.
		<u>134,360.</u>	<u>19,530.</u>
	<u>TOTAL COMPENSATION</u>	<u>134,360.</u>	<u>19,530.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
SIMPSON THACHER & BARTLETT LLP 425 LEXINGTON AVENUE NEW YORK, NY 10017	LEGAL SERVICES	656,570.
MARATHON STRATEGIES LLC 38 EAST 29TH STREET, 4TH FLOOR NEW YORK, NY 10016	COMMUNICATIONS	318,304.
SPENCER STUART 277 PARK AVENUE, 32ND FLOOR NEW YORK, NY 10172	EXECUTIVE SEARCH	225,700.
CHRISTINE ANDERSON C/O THE FOUNDATION 345 PARK AVENUE NEW YORK, NY 10154	PUB REL/MARKETING	160,000.
FRANKLYN LLC 223 BEDFORD AVE PMB 116 BROOKLYN, NY 11211	WEBSITE DESIGN	140,131.
	TOTAL COMPENSATION	<u>1,500,705.</u>

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 13

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AFRICAN LEADERSHIP FOUNDATION PO BOX 7408 NEW YORK, NY 10158-7408	NONE PC	TO SUPPORT THE TRANSFORMATIONAL CHANGE IN AFRICA BY DEVELOPING AND CONNECTING THE NEXT GENERATION OF AFRICAN LEADERS	58,163
THE ASIA SOCIETY 725 PARK AVENUE NEW YORK, NY 10021	NONE PC	GENERAL OPERATING	502,425
COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH STREET NEW YORK, NY 10065	NONE PC	TO SUPPORT THE "COUNCIL AT 100 CAMPAIGN "	352,745
FOUNDATION FOR ABINGTON SCHOOL DISTRICT ADMINISTRATION BUILDING 970 HIGHLAND AVENUE ABINGTON, PA 19001	NONE PC	TO SUPPORT BUILDING PROJECTS ON CAMPUS, INCLUDING A NEW BUILDING CALLED THE "STEPHEN A SCHWARZMAN CENTER FOR SCIENCE AND TECHNOLOGY "	6,002,946
FRIENDS OF MUSEE DES ARTS DECORATIFS 40 EAST 78TH STREET NEW YORK, NY 10075	NONE PC	TO SUPPORT THE RENOVATION OF AN EXHIBITION SPACE WHERE THE MUSEUM'S FASHION AND TEXTILE COLLECTION IS DISPLAYED (\$2,110,659) AND GENERAL SUPPORT (\$192,000)	2,302,659
FWD US EDUCATION FUND, INC 1101 K STREET NW, SUITE 910 WASHINGTON, DC 20005	NONE PC	TO SUPPORT DACA RENEWAL	100,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 13 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GEORGE W BUSH FOUNDATION 2943 SMU BOULEVARD DALLAS, TX 75205	NONE PC	TO SUPPORT THE CAPITAL CAMPAIGN, "A CHARGE TO KEEP", TO ENSURE THAT THE VIBRANCY AND IMPACT OF THE BUSH CENTER IS BUILT TO LAST	1,002,720
HARVARD BUSINESS SCHOOL GIFT MANAGEMENT, TEELE HALL SOLDIERS FIELD BOSTON, MA 02163-0000	NONE PC	TO ESTABLISH THE "STEPHEN A SCHWARZMAN RESEARCH FUND ON ARTIFICIAL INTELLIGENCE IN BUSINESS "	1,001,011
INNER-CITY SCHOLARSHIP FUND 1011 FIRST AVENUE, 18TH FLOOR NEW YORK, NY 10022	NONE PC	ANNUAL DONATION (\$250,000) BENEFITTING INNER-CITY'S EMERGENCY TUITION ASSISTANCE PROGRAM, TO SUPPORT THE 2019-2020 SCHWARZMAN HIGH SCHOOL COHORT III (\$250,000), AND TO SUPPORT THE STUDENT'S ABILITY TO APPLY TO HIGH SCHOOLS AND COLLEGES TO FURTHER THEIR ACADEMIC CAREERS (\$1,000,000)	1,500,000
THE JOHN F KENNEDY CENTER FOR PERFORMING ARTS 2700 F STREET NW WASHINGTON, DC 20566	NONE PC	TO SUPPORT THE NATIONAL SYMPHONY ORCHESTRA'S 2020 ASIA TOUR	99,571
MASSACHUSETTS INSTITUTE OF TECHNOLOGY OFFICE OF THE RECORDING SECRETARY 600 MEMORIAL DRIVE, THIRD FLOOR CAMBRIDGE, MA 02139-4819	NONE PC	TO SUPPORT THE CREATION OF A TRANSFORMATIVE NEW COLLEGE FOCUSED ON A PROGRAM OF PROMOTING THE APPLICATION OF ARTIFICIAL INTELLIGENCE AND COMPUTING IN AN ETHICAL AND ACCOUNTABLE MANNER, AND THE CONSTRUCTION OF A SIGNATURE, STATE-OF-THE-ART BUILDING TO HOUSE THE NEW COLLEGE	25,310,250
MUSEUM OF LANGUAGE ARTS, INC 1300 I STREET NW, SUITE 400E	NONE	TO SUPPORT PLANET WORD MUSEUM'S MISSION TO CREATE A MUSEUM WHERE LANGUAGE COMES TO LIFE	750,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WASHINGTON, DC 20005	PC		
THE TONY BLAIR FOUNDATION	NONE	GENERAL SUPPORT	300,000
C/O KIWI PARTNERS, INC 237 WEST 35TH STREET, SUITE 1101 NEW YORK, NY 10001	PC		
USA TRACK AND FIELD FOUNDATION	NONE	TO SUPPORT THE TRACK AND FIELD ELITE ATHLETE DEVELOPMENT GRANT PROGRAM	402,908
C/O DT MOORE & COMPANY 217 N LINCOLN AVENUE SALEM, OH 44460	PC		
VINELAND JUNIOR FOOTBALL LEAGUE PO BOX 2245 VINELAND, NJ 08362-2245	NONE PC	TO SUPPORT THE VINELAND JUNIOR FOOTBALL LEAGUE	20,000
YALE UNIVERSITY 157 CHURCH STREET NEW HAVEN, CT 06510-0000	NONE PC	TO SUPPORT THE "DEAN ROBERT A M STERN FUND "	18,000
TOTAL CONTRIBUTIONS PAID			<u>39,723,398</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 14

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
FOREIGN CURRENCY EXCHANGE LOSS				-210.	
TOTALS				<u>-210.</u>	

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
18192796.		MARKETABLE SECURITIES PROPERTY TYPE: SECURITIES 8,503,973.				D	9,688,823.	
TOTAL GAIN (LOSS)							<u>9,688,823.</u>	

FEDERAL FOOTNOTES

FORM 990-PF, PART VII-A, LINE 10, SUBSTANTIAL CONTRIBUTOR:

MR. STEPHEN A. SCHWARZMAN
C/O THE FOUNDATION
345 PARK AVENUE
NEW YORK, NY 10154