

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation SUGAR FAMILY FOUNDATION		A Employer identification number 47-3980241	
Number and street (or P.O. box number if mail is not delivered to street address) 114 W 47TH ST NY8-114-07-07 AFT	Room/suite	B Telephone number (see instructions) (888) 866-3275	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100361510		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>5,629,525</u>		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	119,013	118,511		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	228,090			
	b Gross sales price for all assets on line 6a	3,319,949			
	7 Capital gain net income (from Part IV, line 2)		228,090		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	347,103	346,601			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages			0	0
	15 Pension plans, employee benefits			0	0
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	2,500			2,500
	c Other professional fees (attach schedule)	50,980	30,588		20,392
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	7,754	1,196		0
	19 Depreciation (attach schedule) and depletion	0			
	20 Occupancy				
	21 Travel, conferences, and meetings			0	0
	22 Printing and publications			0	0
	23 Other expenses (attach schedule)	975	965		10
	24 Total operating and administrative expenses. Add lines 13 through 23	62,209	32,749	0	22,902
	25 Contributions, gifts, grants paid	900,500			900,500
26 Total expenses and disbursements. Add lines 24 and 25	962,709	32,749	0	923,402	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-615,606				
b Net investment income (if negative, enter -0-)		313,852			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	6,895	4,022	4,022
	2 Savings and temporary cash investments	568,575	195,344	195,344
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	4,796,606	4,555,945	5,430,159
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,372,076	4,755,311	5,629,525	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	5,372,076	4,755,311	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	5,372,076	4,755,311		
30 Total liabilities and net assets/fund balances (see instructions) .	5,372,076	4,755,311		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,372,076
2 Enter amount from Part I, line 27a	2	-615,606
3 Other increases not included in line 2 (itemize) ▶ _____	3	33
4 Add lines 1, 2, and 3	4	4,756,503
5 Decreases not included in line 2 (itemize) ▶ _____	5	1,192
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	4,755,311

Part IV Capital Gains and Losses for Tax on Investment Income

	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}		}	}	
	2				228,090
	3				

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 1,863.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question number, Question text, and Yes/No columns. Rows 11, 12, 13.

14 The books are in care of BANK OF AMERICA NA Telephone no. (212) 852-3049

Located at 114 WEST 47TH STREET NY8-114-07-07 NEW YORK NY ZIP+4 100361510

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question number, Question text, and Yes/No columns. Row 16.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question number, Question text, and Yes/No columns. Rows 1a through 4b.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:				Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.				5b	
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>				6b	No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?				7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RONALD D SUGAR 228 COPA DE ORO RD LOS ANGELES, CA 900773804	TRUSTEE 1	0		
VALERIE S SUGAR 228 COPA DE ORO RD LOS ANGELES, CA 900773804	TRUSTEE 1	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	5,324,809
b	Average of monthly cash balances.	1b	117,933
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,442,742
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,442,742
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	81,641
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,361,101
6	Minimum investment return. Enter 5% of line 5.	6	268,055

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	268,055
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	4,363
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	4,363
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	263,692
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	263,692
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	263,692

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	923,402
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	923,402
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	923,402

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				263,692
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	276,654			
b From 2016.	900,927			
c From 2017.	586,772			
d From 2018.	507,170			
e From 2019.	141,894			
f Total of lines 3a through e.	2,413,417			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ _____ 923,402				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				263,692
e Remaining amount distributed out of corpus	659,710			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,073,127			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	276,654			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	2,796,473			
10 Analysis of line 9:				
a Excess from 2016.	900,927			
b Excess from 2017.	586,772			
c Excess from 2018.	507,170			
d Excess from 2019.	141,894			
e Excess from 2020.	659,710			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				900,500
b <i>Approved for future payment</i>				
Total ▶ 3b				

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash.
(2) Other assets.
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with 4 columns: (a) Line No., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?
b If "Yes," complete the following schedule.
Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
Sign Here Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.) Yes No

Paid Preparer Use Only
Print/Type preparer's name: KAREN J KISER
Preparer's Signature
Date: 2021-04-08
Check if self-employed
Firm's name: BANK OF AMERICA
Firm's address: P O BOX 1802 PROVIDENCE, RI 029011802
Firm's EIN: 94-1687665
Phone no. (888) 866-3275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
516.12 KOPERNIK GLOBAL ALL-CAP FUND		2017-12-19	2020-11-12
111. KOPERNIK GLOBAL ALL-CAP FUND		2018-06-11	2020-11-12
325.4 KOPERNIK GLOBAL ALL-CAP FUND		2018-06-21	2020-11-12
440.74 KOPERNIK GLOBAL ALL-CAP FUND		2018-06-21	2020-11-13
179.23 AMERICAN AMCAP FUND		2018-12-24	2020-11-12
583.51 AMERICAN AMCAP FUND		2018-12-24	2020-11-13
54.66 ARTISAN HIGH INCOME FUND		2020-06-30	2020-11-13
60.91 ARTISAN HIGH INCOME FUND		2020-07-31	2020-11-13
59.8 ARTISAN HIGH INCOME FUND		2020-08-31	2020-11-13
57.62 ARTISAN HIGH INCOME FUND		2020-09-30	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,307		5,564	743
1,356		1,152	204
3,976		3,264	712
5,408		4,421	987
6,788		4,723	2,065
22,366		15,375	6,991
533		501	32
595		575	20
584		573	11
562		549	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			743
			204
			712
			987
			2,065
			6,991
			32
			20
			11
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
17.58 ARTISAN HIGH INCOME FUND		2020-10-30	2020-11-13
20.66 BARON REAL ESTATE FUND		2020-09-25	2020-11-12
1.11 BARON REAL ESTATE FUND		2020-09-25	2020-11-12
16.91 BARON REAL ESTATE FUND		2020-09-25	2020-11-12
71.07 BARON REAL ESTATE FUND		2020-06-01	2020-11-12
150.2 BARON REAL ESTATE FUND		2020-06-01	2020-11-13
81.98 FULLER & THALER BEHVRL		2020-02-20	2020-03-12
197.92 FULLER & THALER BEHVRL		2019-12-13	2020-03-12
263.61 FULLER & THALER BEHVRL		2019-09-20	2020-03-12
.76 FULLER & THALER BEHVRL		2019-06-25	2020-03-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
172		168	4
697		639	58
38		34	4
571		523	48
2,399		1,944	455
5,191		4,108	1,083
1,594		2,235	-641
3,848		5,298	-1,450
5,125		6,614	-1,489
15		19	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4
			58
			4
			48
			455
			1,083
			-641
			-1,450
			-1,489
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1251.75 FULLER & THALER BEHVRL		2019-02-13	2020-03-12
333. FULLER & THALER BEHVRL		2019-06-25	2020-03-12
136.26 FULLER & THALER BEHVRL		2020-10-27	2020-11-12
4528. DOUBLELINE CORE FIXED INCOME FUND		2019-02-13	2020-01-07
1. DOUBLELINE CORE FIXED INCOME FUND		2019-02-13	2020-01-07
4737. DOUBLELINE CORE FIXED INCOME FUND		2019-06-25	2020-01-07
.66 DOUBLELINE CORE FIXED INCOME FUND		2019-06-25	2020-01-07
4980.14 DOUBLELINE CORE FIXED INCOME FUND		2019-09-20	2020-01-07
4401.61 DOUBLELINE CORE FIXED INCOME FUND		2019-12-13	2020-01-07
17778.66 DOUBLELINE CORE FIXED INCOME FUND		2018-10-05	2020-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,334		30,117	-5,783
6,474		8,145	-1,671
3,652		3,430	222
50,351		48,450	1,901
11		11	
52,675		52,533	142
7		7	
55,379		55,479	-100
48,946		48,902	44
197,699		187,743	9,956

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-5,783
			-1,671
			222
			1,901
			142
			-100
			44
			9,956

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
666. DOUBLELINE CORE FIXED INCOME FUND		2018-12-24	2020-01-07
113.79 EATON VANCE RCHRD BRSTN		2020-04-24	2020-11-12
123.69 EATON VANCE RCHRD BRSTN		2018-06-22	2020-11-12
12. EATON VANCE RCHRD BRSTN		2018-06-22	2020-11-12
1. EATON VANCE RCHRD BRSTN		2018-06-22	2020-11-13
361.32 EATON VANCE RCHRD BRSTN		2018-06-22	2020-11-13
442.31 EATON VANCE RCHRD BRSTN		2018-06-22	2020-11-13
46. EATON VANCE RCHRD BRSTN		2018-06-22	2020-11-13
5. EATON VANCE RCHRD BRSTN		2018-06-22	2020-11-13
1. EATON VANCE RCHRD BRSTN		2018-06-22	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,406		7,073	333
2,060			2,060
2,239		2,011	228
217		195	22
18		18	
6,616		5,875	741
8,099		7,192	907
842		748	94
92		81	11
18		17	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			333
			2,060
			228
			22
			741
			907
			94
			11
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
134. EATON VANCE RCHRD BRSTN		2018-07-02	2020-11-13
185.72 EATON VANCE RCHRD BRSTN		2018-07-02	2020-11-13
82.51 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-12-24	2020-08-12
374.69 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-12-24	2020-11-12
108.68 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2019-02-13	2020-11-13
1. AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2019-02-13	2020-11-13
348.66 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-12-24	2020-11-13
2029.55 FPA NEW INCOME INC		2020-03-12	2020-11-13
1103.55 ALPS SMITH TOTAL RETURN		2020-01-07	2020-03-12
2431.18 ALPS SMITH TOTAL RETURN		2020-01-07	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,454		2,105	349
3,400		2,918	482
4,752		3,577	1,175
23,429		16,243	7,186
6,862		5,291	1,571
63		46	17
22,015		15,115	6,900
20,316		20,377	-61
12,051		12,018	33
28,104		26,476	1,628

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			349
			482
			1,175
			7,186
			1,571
			17
			6,900
			-61
			33
			1,628

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
73. FIRST TRUST US EQUITY OPPORTUNITIES ETF		2019-06-25	2020-03-12
459. FIRST TRUST US EQUITY OPPORTUNITIES ETF		2019-02-13	2020-03-12
91. FIRST TRUST US EQUITY OPPORTUNITIES ETF		2019-09-20	2020-03-12
76. FIRST TRUST US EQUITY OPPORTUNITIES ETF		2019-12-13	2020-03-12
291.84 HOTCHKIS & WILEY VALUE		2017-12-19	2020-11-12
285. HOTCHKIS & WILEY VALUE		2018-06-21	2020-11-12
203.15 HOTCHKIS & WILEY VALUE		2018-08-23	2020-11-12
1. HOTCHKIS & WILEY VALUE		2018-08-23	2020-11-12
390.98 HOTCHKIS & WILEY VALUE		2018-12-24	2020-11-13
1. HOTCHKIS & WILEY VALUE		2018-12-24	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,543		5,639	-1,096
28,568		32,786	-4,218
5,664		7,134	-1,470
4,730		5,996	-1,266
7,795		8,463	-668
7,612		8,684	-1,072
5,426		6,444	-1,018
27		27	
10,697		8,844	1,853
27		24	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,096
			-4,218
			-1,470
			-1,266
			-668
			-1,072
			-1,018
			1,853
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
31.85 HOTCHKIS & WILEY VALUE		2018-08-23	2020-11-13
1. HOTCHKIS & WILEY VALUE		2018-12-13	2020-11-13
69. HOTCHKIS & WILEY VALUE		2018-12-13	2020-11-13
101. HOTCHKIS & WILEY VALUE		2018-12-13	2020-11-13
25. HOTCHKIS & WILEY VALUE		2018-12-13	2020-11-13
.15 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2019-06-25	2020-08-12
325.98 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2020-02-20	2020-08-12
417.84 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2019-12-13	2020-08-12
1835.23 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-24	2020-08-12
528.28 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2019-09-20	2020-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
872		1,010	-138
27		27	
1,888		1,713	175
2,763		2,508	255
684		655	29
2		2	
4,133		4,215	-82
5,298		5,277	21
23,271		18,811	4,460
6,699		6,815	-116

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-138
			175
			255
			29
			-82
			21
			4,460
			-116

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2019-06-25	2020-08-12
1342. ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2019-06-25	2020-08-12
81.33 ISHARES CORE DIVIDEND GROWTH ETF		2016-12-20	2020-06-01
1. ISHARES CORE DIVIDEND GROWTH ETF		2016-12-29	2020-06-01
309. ISHARES CORE DIVIDEND GROWTH ETF		2017-01-30	2020-06-01
7.67 ISHARES CORE DIVIDEND GROWTH ETF		2018-06-21	2020-06-01
738. ISHARES CORE DIVIDEND GROWTH ETF		2017-12-19	2020-06-01
47. ISHARES CORE DIVIDEND GROWTH ETF		2018-06-21	2020-11-12
12. ISHARES CORE DIVIDEND GROWTH ETF		2018-07-03	2020-11-13
215.67 ISHARES CORE DIVIDEND GROWTH ETF		2018-08-23	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13		12	1
17,017		16,869	148
3,075		2,392	683
38		28	10
11,683		9,048	2,635
290		267	23
27,903		25,718	2,185
1,990		1,639	351
518		410	108
9,311		7,917	1,394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			148
			683
			10
			2,635
			23
			2,185
			351
			108
			1,394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
331.33 ISHARES CORE DIVIDEND GROWTH ETF		2018-06-21	2020-11-13
201.17 MORGAN STANLEY GROWTH		2020-06-01	2020-11-12
157.24 MORGAN STANLEY GROWTH		2020-06-01	2020-11-13
9.93 NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-06-20	2020-01-07
561.36 NEUBERGER BERMAN STRATEGIC INCOME FUND		2018-06-21	2020-01-07
1. NEUBERGER BERMAN STRATEGIC INCOME FUND		2018-06-21	2020-01-07
3132. NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-12-19	2020-01-07
203. NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-10-03	2020-01-07
2345. NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-07-24	2020-01-07
1. NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-12-19	2020-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,305		11,554	2,751
17,685		13,014	4,671
13,727		10,172	3,555
110		110	
6,231		6,008	223
11		11	
34,765		34,677	88
2,253		2,260	-7
26,030		26,077	-47
11		11	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,751
			4,671
			3,555
			223
			88
			-7
			-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1509. NEUBERGER BERMAN STRATEGIC INCOME FUND		2018-08-23	2020-03-12
1. NEUBERGER BERMAN STRATEGIC INCOME FUND		2018-10-05	2020-03-12
100. NEUBERGER BERMAN STRATEGIC INCOME FUND		2018-10-05	2020-03-12
1. NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-02-13	2020-03-12
977.64 NEUBERGER BERMAN STRATEGIC INCOME FUND		2018-06-21	2020-03-12
1. NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-06-25	2020-03-12
2268. NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-02-13	2020-03-12
2433. NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-06-25	2020-03-12
.09 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-06-25	2020-03-12
583.27 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-08-07	2020-03-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,422		16,209	-787
10		11	-1
1,022		1,066	-44
10		11	-1
9,992		10,463	-471
10		11	-1
23,179		24,217	-1,038
24,865		26,645	-1,780
1		1	
5,961		6,383	-422

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-787
			-1
			-44
			-1
			-471
			-1
			-1,038
			-1,780
			-422

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
413.37 NEUBERGER BERMAN STRATEGIC INCOME FUND		2020-02-20	2020-03-12
2098.32 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-12-13	2020-03-12
2841.25 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-09-20	2020-03-12
1937.83 PIMCO SHORT-TERM FUND		2020-06-01	2020-08-12
28473.59 PIMCO SHORT-TERM FUND		2020-04-07	2020-08-12
12347.6 PIMCO INCOME FUND		2019-02-13	2020-01-07
1. PIMCO INCOME FUND		2019-06-25	2020-01-07
4655. PIMCO INCOME FUND		2019-06-25	2020-01-07
.6 PIMCO INCOME FUND		2019-06-25	2020-01-07
3705.32 PIMCO INCOME FUND		2019-09-20	2020-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,225		4,609	-384
21,445		23,207	-1,762
29,038		31,280	-2,242
19,049		18,816	233
279,895		271,353	8,542
148,789		147,183	1,606
12		12	
56,093		56,372	-279
7		7	
44,649		44,279	370

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-384
			-1,762
			-2,242
			233
			8,542
			1,606
			-279
			370

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2647.12 PIMCO INCOME FUND		2019-12-13	2020-01-07
793.7 PIMCO LOW DURATION INCOME FUND		2020-02-20	2020-03-12
23730.42 PIMCO LOW DURATION INCOME FUND		2020-01-07	2020-03-12
2719. PIMCO DIVERSIFIED INCOME FUND		2019-06-25	2020-03-12
954.37 PIMCO DIVERSIFIED INCOME FUND		2020-02-20	2020-03-12
3067.43 PIMCO DIVERSIFIED INCOME FUND		2019-12-13	2020-03-12
4086.72 PIMCO DIVERSIFIED INCOME FUND		2019-09-20	2020-03-12
7403.95 PIMCO DIVERSIFIED INCOME FUND		2019-08-07	2020-03-12
.02 PIMCO DIVERSIFIED INCOME FUND		2019-06-25	2020-03-12
1. PIMCO DIVERSIFIED INCOME FUND		2019-06-25	2020-03-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,898		31,845	53
6,477		6,818	-341
193,640		203,370	-9,730
28,468		30,235	-1,767
9,992		10,823	-831
32,116		34,325	-2,209
42,788		45,608	-2,820
77,519		82,776	-5,257
10		11	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			53
			-341
			-9,730
			-1,767
			-831
			-2,209
			-2,820
			-5,257
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9651.21 PIMCO DIVERSIFIED INCOME FUND		2019-04-30	2020-03-12
276.24 PGIM TOTAL RETURN BOND FUND		2019-04-30	2020-08-12
1993.69 PGIM TOTAL RETURN BOND FUND		2019-04-30	2020-11-13
2782.44 PUTNAM INCOME FUND		2018-10-05	2020-03-12
1249.46 PUTNAM INCOME FUND		2018-10-05	2020-11-13
.93 RIVERNORTH DOUBLE LINE		2019-06-25	2020-04-07
3927.54 RIVERNORTH DOUBLE LINE		2019-09-20	2020-04-07
887.8 RIVERNORTH DOUBLE LINE		2020-02-20	2020-04-07
3696. RIVERNORTH DOUBLE LINE		2019-06-25	2020-04-07
18133.45 RIVERNORTH DOUBLE LINE		2019-02-13	2020-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
101,048		105,102	-4,054
4,135		3,957	178
29,706		28,557	1,149
20,701		19,032	1,669
9,396		8,546	850
9		9	
37,076		40,689	-3,613
8,381		9,357	-976
34,890		38,143	-3,253
171,180		182,060	-10,880

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4,054
			178
			1,149
			1,669
			850
			-3,613
			-976
			-3,253
			-10,880

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3370.83 RIVERNORTH DOUBLE LINE		2019-12-13	2020-04-07
602. SPDR GOLD TRUST		2018-10-05	2020-01-10
124. SPDR GOLD TRUST		2019-06-25	2020-01-10
110. SPDR GOLD TRUST		2019-09-20	2020-01-10
126. SPDR GOLD TRUST		2019-12-13	2020-01-10
113. SPDR GOLD TRUST		2018-10-05	2020-01-10
94. SPDR GOLD TRUST		2019-02-13	2020-01-10
94. SPDR GOLD TRUST		2019-02-13	2020-02-05
124. SPDR GOLD TRUST		2019-06-25	2020-02-05
110. SPDR GOLD TRUST		2019-09-20	2020-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,821		34,821	-3,000
29		22	7
6		5	1
5		5	
6		6	
5		4	1
5		4	1
5		4	1
6		6	
5		5	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,000
			7
			1
			1
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
126. SPDR GOLD TRUST		2019-12-13	2020-02-05
602. SPDR GOLD TRUST		2018-10-05	2020-02-05
113. SPDR GOLD TRUST		2018-10-05	2020-02-05
602. SPDR GOLD TRUST		2018-10-05	2020-03-13
124. SPDR GOLD TRUST		2019-06-25	2020-03-13
126. SPDR GOLD TRUST		2019-12-13	2020-03-13
110. SPDR GOLD TRUST		2019-09-20	2020-03-13
94. SPDR GOLD TRUST		2019-02-13	2020-03-13
113. SPDR GOLD TRUST		2018-10-05	2020-03-13
113. SPDR GOLD TRUST		2018-10-05	2020-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		6	
29		23	6
5		4	1
28		22	6
6		5	1
6		6	
5		5	
4		4	
5		4	1
5		4	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			6
			1
			6
			1
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
110. SPDR GOLD TRUST		2019-09-20	2020-04-07
126. SPDR GOLD TRUST		2019-12-13	2020-04-07
150. SPDR GOLD TRUST		2020-03-12	2020-04-07
124. SPDR GOLD TRUST		2019-06-25	2020-04-07
94. SPDR GOLD TRUST		2019-02-13	2020-04-07
602. SPDR GOLD TRUST		2018-10-05	2020-04-07
94. SPDR GOLD TRUST		2019-02-13	2020-05-12
110. SPDR GOLD TRUST		2019-09-20	2020-05-12
150. SPDR GOLD TRUST		2020-03-12	2020-05-12
126. SPDR GOLD TRUST		2019-12-13	2020-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5		5	
6		5	1
7		7	
6		5	1
5		4	1
29		21	8
5		4	1
5		5	
7		7	
6		5	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			1
			1
			8
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
124. SPDR GOLD TRUST		2019-06-25	2020-05-12
602. SPDR GOLD TRUST		2018-10-05	2020-05-12
113. SPDR GOLD TRUST		2018-10-05	2020-05-12
113. SPDR GOLD TRUST		2018-10-05	2020-06-10
110. SPDR GOLD TRUST		2019-09-20	2020-06-10
150. SPDR GOLD TRUST		2020-03-12	2020-06-10
126. SPDR GOLD TRUST		2019-12-13	2020-06-10
124. SPDR GOLD TRUST		2019-06-25	2020-06-10
94. SPDR GOLD TRUST		2019-02-13	2020-06-10
602. SPDR GOLD TRUST		2018-10-05	2020-06-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		5	1
29		21	8
5		4	1
6		4	2
6		5	1
8		7	1
7		6	1
7		5	2
5		4	1
32		22	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			8
			1
			2
			1
			1
			1
			2
			1
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
94. SPDR GOLD TRUST		2019-02-13	2020-07-10
124. SPDR GOLD TRUST		2019-06-25	2020-07-10
150. SPDR GOLD TRUST		2020-03-12	2020-07-10
110. SPDR GOLD TRUST		2019-09-20	2020-07-10
126. SPDR GOLD TRUST		2019-12-13	2020-07-10
602. SPDR GOLD TRUST		2018-10-05	2020-07-10
113. SPDR GOLD TRUST		2018-10-05	2020-07-10
113. SPDR GOLD TRUST		2018-10-05	2020-08-11
110. SPDR GOLD TRUST		2019-09-20	2020-08-11
150. SPDR GOLD TRUST		2020-03-12	2020-08-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5		3	2
6		5	1
8		7	1
6		5	1
6		5	1
31		20	11
6		4	2
6		4	2
6		5	1
8		7	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			1
			1
			1
			1
			11
			2
			2
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
126. SPDR GOLD TRUST		2019-12-13	2020-08-11
124. SPDR GOLD TRUST		2019-06-25	2020-08-11
94. SPDR GOLD TRUST		2019-02-13	2020-08-11
602. SPDR GOLD TRUST		2018-10-05	2020-08-11
94. SPDR GOLD TRUST		2019-02-13	2020-09-15
124. SPDR GOLD TRUST		2019-06-25	2020-09-15
150. SPDR GOLD TRUST		2020-03-12	2020-09-15
126. SPDR GOLD TRUST		2019-12-13	2020-09-15
110. SPDR GOLD TRUST		2019-09-20	2020-09-15
113. SPDR GOLD TRUST		2018-10-05	2020-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7		5	2
7		5	2
5		4	1
34		21	13
6		4	2
8		6	2
9		8	1
8		6	2
7		5	2
7		4	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			2
			1
			13
			2
			2
			1
			2
			2
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
602. SPDR GOLD TRUST		2018-10-05	2020-09-15
113. SPDR GOLD TRUST		2018-10-05	2020-10-15
124. SPDR GOLD TRUST		2019-06-25	2020-10-15
150. SPDR GOLD TRUST		2020-03-12	2020-10-15
126. SPDR GOLD TRUST		2019-12-13	2020-10-15
110. SPDR GOLD TRUST		2019-09-20	2020-10-15
602. SPDR GOLD TRUST		2018-10-05	2020-10-15
94. SPDR GOLD TRUST		2019-02-13	2020-10-15
113. SPDR GOLD TRUST		2018-10-05	2020-11-13
602. SPDR GOLD TRUST		2018-10-05	2020-11-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38		23	15
7		4	3
7		5	2
9		7	2
7		6	1
6		5	1
35		22	13
5		4	1
20,005		12,760	7,245
38		24	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			15
			3
			2
			2
			1
			1
			13
			1
			7,245
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
110. SPDR GOLD TRUST		2019-09-20	2020-11-17
126. SPDR GOLD TRUST		2019-12-13	2020-11-17
150. SPDR GOLD TRUST		2020-03-12	2020-11-17
124. SPDR GOLD TRUST		2019-06-25	2020-11-17
94. SPDR GOLD TRUST		2019-02-13	2020-11-17
602. SPDR GOLD TRUST		2018-10-05	2020-12-04
124. SPDR GOLD TRUST		2019-06-25	2020-12-04
126. SPDR GOLD TRUST		2019-12-13	2020-12-04
150. SPDR GOLD TRUST		2020-03-12	2020-12-04
110. SPDR GOLD TRUST		2019-09-20	2020-12-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7		6	1
8		6	2
9		8	1
8		6	2
6		4	2
36		24	12
7		6	1
8		6	2
9		8	1
7		5	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			2
			1
			2
			2
			12
			1
			2
			1
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
94. SPDR GOLD TRUST		2019-02-13	2020-12-04
179.17 VANGUARD DIVIDEND APPRECIATION ETF		2017-12-19	2020-06-01
29.83 VANGUARD DIVIDEND APPRECIATION ETF		2016-12-20	2020-06-01
1. VANGUARD DIVIDEND APPRECIATION ETF		2016-12-30	2020-06-01
101. VANGUARD DIVIDEND APPRECIATION ETF		2017-01-30	2020-06-01
35. VANGUARD DIVIDEND APPRECIATION ETF		2017-10-03	2020-06-01
59. VANGUARD DIVIDEND APPRECIATION ETF		2017-12-19	2020-11-12
8.83 VANGUARD DIVIDEND APPRECIATION ETF		2017-12-19	2020-11-13
26.17 VANGUARD DIVIDEND APPRECIATION ETF		2018-08-23	2020-11-13
4. VANGUARD DIVIDEND APPRECIATION ETF		2018-07-05	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		4	2
21,113		18,355	2,758
3,515		2,590	925
118		82	36
11,902		8,764	3,138
4,124		3,335	789
8,006		6,044	1,962
1,218		905	313
3,607		2,835	772
551		404	147

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			2,758
			925
			36
			3,138
			789
			1,962
			313
			772
			147

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
131. VANGUARD DIVIDEND APPRECIATION ETF		2018-06-21	2020-11-13
685.51 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2017-12-19	2020-03-12
593.49 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-12-20	2020-03-12
57.51 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-06-21	2020-06-01
47. VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-06-18	2020-06-01
849.49 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2017-12-19	2020-06-01
10. VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-01-03	2020-06-01
493. VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-06-21	2020-10-27
241. VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-06-21	2020-11-12
616.51 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-08-23	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,059		13,523	4,536
27,894		38,805	-10,911
24,150		27,132	-2,982
2,776		3,152	-376
2,269		2,614	-345
41,006		48,087	-7,081
483		566	-83
25,919		27,016	-1,097
13,495		13,207	288
34,903		33,131	1,772

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4,536
			-10,911
			-2,982
			-376
			-345
			-7,081
			-83
			-1,097
			288
			1,772

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
51. VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-06-28	2020-11-13
16.49 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-06-21	2020-11-13
32. VANGUARD TOTAL BOND MARKET ETF		2020-03-12	2020-08-12
128. VANGUARD TOTAL BOND MARKET ETF		2020-03-12	2020-11-13
656. VANGUARD INTERNATIONAL		2019-02-13	2020-06-01
150. VANGUARD INTERNATIONAL		2019-02-13	2020-11-12
288. VANGUARD INTERNATIONAL		2019-02-13	2020-11-13
660. VANGUARD TOTAL STOCK MARKET ETF		2012-09-24	2020-03-12
564. VANGUARD TOTAL STOCK MARKET ETF		2012-09-24	2020-06-01
103. VANGUARD TOTAL STOCK MARKET ETF		2012-09-24	2020-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,887		2,706	181
933		904	29
2,841		2,568	273
11,241		10,271	970
43,105		40,501	2,604
11,556		9,261	2,295
22,397		17,781	4,616
82,968		49,167	33,801
87,311		42,015	45,296
17,627		7,673	9,954

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			181
			29
			273
			970
			2,604
			2,295
			4,616
			33,801
			45,296
			9,954

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
275. VANGUARD TOTAL STOCK MARKET ETF		2012-09-24	2020-10-27
49. VANGUARD TOTAL STOCK MARKET ETF		2012-09-24	2020-11-12
342. VANGUARD TOTAL STOCK MARKET ETF		2012-09-24	2020-11-13
7.57 AMER FUNDS WASHINGTON		2020-04-24	2020-11-12
99.56 AMER FUNDS WASHINGTON		2018-12-24	2020-11-12
432.83 AMER FUNDS WASHINGTON		2018-12-24	2020-11-13
27.27 WISDOMTREE US QUALITY DIVIDEND GROWTH		2015-12-11	2020-06-01
2. WISDOMTREE US QUALITY DIVIDEND GROWTH		2016-08-04	2020-06-01
39. WISDOMTREE US QUALITY DIVIDEND GROWTH		2016-08-23	2020-06-01
1. WISDOMTREE US QUALITY DIVIDEND GROWTH		2016-11-29	2020-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
47,659		20,486	27,173
8,851		3,650	5,201
62,708		25,477	37,231
366			366
4,816		3,851	965
21,235		16,742	4,493
1,221		820	401
90		65	25
1,746		1,283	463
45		32	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			27,173
			5,201
			37,231
			366
			965
			4,493
			401
			25
			463
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
214. WISDOMTREE US QUALITY DIVIDEND GROWTH		2016-12-20	2020-06-01
1. WISDOMTREE US QUALITY DIVIDEND GROWTH		2017-01-04	2020-06-01
602. WISDOMTREE US QUALITY DIVIDEND GROWTH		2017-12-19	2020-06-01
83.73 WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-06-21	2020-06-01
1. WISDOMTREE US QUALITY DIVIDEND GROWTH		2016-08-01	2020-06-01
150. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-06-21	2020-11-12
1. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-10-01	2020-11-13
3. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-07-30	2020-11-13
229. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-08-23	2020-11-13
1. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-08-27	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,578		7,213	2,365
45		33	12
26,945		25,090	1,855
3,748		3,487	261
45		30	15
7,723		6,246	1,477
52		44	8
157		127	30
11,983		9,940	2,043
52		43	9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,365
			12
			1,855
			261
			15
			1,477
			8
			30
			2,043
			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-08-27	2020-11-13
105.57 WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-12-24	2020-11-13
.16 WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-12-10	2020-11-13
3. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-11-27	2020-11-13
1. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-11-27	2020-11-13
1. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-07-30	2020-11-13
1. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-06-29	2020-11-13
4. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-06-29	2020-11-13
93.27 WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-06-21	2020-11-13
6. WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-10-01	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
105		87	18
5,524		3,822	1,702
8		6	2
157		121	36
52		41	11
52		42	10
52		45	7
209		163	46
4,881		3,884	997
314		265	49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			18
			1,702
			2
			36
			11
			10
			7
			46
			997
			49

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONNECTING CULTURES MOBILE MUSEUM 2558 AIKEN AVENUE LOS ANGELES, CA 900643306	N/A	PC	UNRESTRICTED GENERAL	500
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 900890001	N/A	PC	UNRESTRICTED GENERAL	110,000
LOS ANGELES PHILHARMONIC ASSOCIATION 151 S GRAND AVENUE LOS ANGELES, CA 900123034	N/A	PC	UNRESTRICTED GENERAL	160,000
Total ▶ 3a				900,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE UCLA FOUNDATION 10920 WILSHIRE BLVD STE 900 LOS ANGELES, CA 900246506	N/A	PC	UNRESTRICTED GENERAL	620,000
ALLIANCE FOR COLLEGE READY PUBLIC SCHOOL 601 S FIGUEROA STREET 4TH FL LOS ANGELES, CA 900173917	N/A	PC	UNRESTRICTED GENERAL	10,000
Total ▶ 3a				900,500

TY 2020 Accounting Fees Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE	2,500			2,500

TY 2020 Investments - Other Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
921908844 VANGUARD DIVIDEND AP	AT COST	143,640	191,403
74441K503 PRUDENTIAL JENNISON			
29875E100 AMERICAN FUNDS EUROP	AT COST	233,233	316,259
461418287 ARISTOTLE/SAUL GLOBA			
44134R834 HOTCHKIS & WILEY VAL	AT COST	187,858	208,136
09252M883 BLACKROCK BOND FUND			
73937B746 POWERSHARES KBW BANK			
73936T763 POWERSHARES FTSE RAF			
464288448 SHARES DJ EPAC SEL D			
464286525 ISHARES TRUST SHS IS			
09252X509 BLACKROCK WORLD			
00768D798 POPLAR FOREST PARTNE			
00766Y299 KOPERNIK GLOBAL ALL-	AT COST	44,453	59,828
921909768 VANGUARD TOTAL INTER	AT COST	294,650	341,859
72201M867 PIMCO ALL ASSET			
72201M719 PIMCO INCOME FUND			
277902573 EATON VANCE RCHRD BR	AT COST	182,099	220,336
64128K751 NEUBERGER BERMAN STR			
56166Y404 TORTOISE MLP &			
543916464 LORD ABBETT SHORT			
46434V621 ISHARES CORE DIVIDEN	AT COST	149,727	193,951
97717X669 WISDOMTREE US QUALIT	AT COST	144,165	192,606
92647K507 VICTORY MUNDER INTL			
74441J829 PRUDENTIAL ABSOLUTE			
577130867 MATTHEWS ASIA PACIFI			
92913L684 VOYA INTERMEDIATE			
922908769 VANGUARD TOTAL STOCK	AT COST	313,834	534,908
44134R800 HOTCHKIS & WILEY FDS			
939330825 AMER FUNDS WASHINGTO	AT COST	202,921	223,263
92189F106 VANECK VECTORS GOLD			

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
90386H404 LYRICAL US VALUE EQU			
464285105 ISHARES COMEX GOLD T			
317609295 SEAFARER OVERSEAS GR			
023375827 AMERICAN AMCAP FUND	AT COST	182,070	224,433
76881N202 RIVERNORTH DOUBLE LI			
74441P866 PRUDENTIAL JENNISON			
74676P698 PUTNAM SHORT DURATIO	AT COST	56,702	57,019
46138E628 INVESCO KBW BANK ETF			
746792407 PUTNAM INCOME FUND	AT COST	115,616	118,617
46434G855 ISHARES MSCI GLOBAL			
22544R305 CREDIT SUISSE COMMOD			
302544101 FPA NEW INCOME INC	AT COST	280,165	279,580
78463V107 SPDR GOLD TRUST	AT COST	151,685	215,102
72201M594 PIMCO SHORT-TERM FUN			
246248587 DELAWARE DIVERSIFIED			
46138E727 INVESCO FTSE RAFI			
258620301 DOUBLELINE CORE FIXE			
33734X127 FIRST TR ENERGY ALPH			
46432F834 ISHARES CORE MSCI TO			
72201M792 PIMCO FLOATING INCOM			
336920103 FIRST TRUST US EQUIT			
74440B405 PGIM TOTAL RETURN BO	AT COST	450,455	463,083
72201M826 PIMCO DIVERSIFIED IN			
921946810 VANGUARD INTERNATION	AT COST	146,393	195,499
14064D865 FULLER & THALER BEHV	AT COST	64,262	76,647
31761R393 ALPS SMITH TOTAL RET	AT COST	514,474	537,743
04314H717 ARTISAN HIGH INCOME	AT COST	101,250	113,272
72201M743 PIMCO GNMA FUND CL P	AT COST	274,955	276,402
61744J861 MORGAN STANLEY GROWT	AT COST	104,027	141,844
921937835 VANGUARD TOTAL BOND	AT COST	170,670	187,580

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
06828M801 BARON REAL ESTATE FU	AT COST	46,641	60,789

TY 2020 Other Decreases Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241

Description	Amount
TAX COST ADJUSTMENT	1,192

TY 2020 Other Expenses Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER INVESTMENT FEE	150	150		0
STATE FILING FEE	10	0		10
INVSTMT EXPENSE	815	815		0

TY 2020 Other Increases Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241**Other Increases Schedule**

Description	Amount
INCOME ADJUSTMENT	33

TY 2020 Other Professional Fees Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED)	50,980	30,588		20,392

TY 2020 Taxes Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	818	818		0
STATE FILING FEE	10	0		0
EXCISE TAX - PRIOR YEAR	4,048	0		0
EXCISE TAX ESTIMATES	2,500	0		0
FOREIGN TAXES ON NONQUALIFIED	378	378		0