

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052
2019
Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation SUGAR FAMILY FOUNDATION		A Employer identification number 47-3980241
Number and street (or P O box number if mail is not delivered to street address) 114 W 47TH ST NY8-114-07-07 AFT	Room/suite	B Telephone number (see instructions) (888) 866-3275
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100361510		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>5,940,128</u>	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,996,254			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	134,166	133,338		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	125,504			
	b Gross sales price for all assets on line 6a	4,077,562			
	7 Capital gain net income (from Part IV, line 2)		1,120,575		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	865				
12 Total. Add lines 1 through 11	2,256,789	1,253,913			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	2,500	0	0	2,500
	c Other professional fees (attach schedule)	40,210	24,126		16,084
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	26,577	1,757		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	814	654		160
	24 Total operating and administrative expenses. Add lines 13 through 23	70,101	26,537	0	18,744
	25 Contributions, gifts, grants paid	326,250			326,250
26 Total expenses and disbursements. Add lines 24 and 25	396,351	26,537	0	344,994	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	1,860,438				
b Net investment income (if negative, enter -0-)		1,227,376			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	4,280	6,895	6,895
	2 Savings and temporary cash investments	299,857	568,575	568,575
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,241,112	4,796,606	5,364,658
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,545,249	5,372,076	5,940,128	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	3,545,249	5,372,076	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	3,545,249	5,372,076		
30 Total liabilities and net assets/fund balances (see instructions)	3,545,249	5,372,076		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,545,249
2 Enter amount from Part I, line 27a	2	1,860,438
3 Other increases not included in line 2 (itemize) ▶ _____	3	677
4 Add lines 1, 2, and 3	4	5,406,364
5 Decreases not included in line 2 (itemize) ▶ _____	5	34,288
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	5,372,076

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	1,120,575
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	641,138	3,057,166	0.209716
2017	653,118	1,738,400	0.375701
2016	957,599	1,482,314	0.646016
2015	286,412	326,884	0.876188
2014			

2 Total of line 1, column (d)	2	2.107621
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.526905
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	4,552,951
5 Multiply line 4 by line 3	5	2,398,973
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	12,274
7 Add lines 5 and 6	7	2,411,247
8 Enter qualifying distributions from Part XII, line 4	8	344,994

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', and 'Total credits and payments'. Total amount owed is 4,048.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes a Yes/No column for each question.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, distribution to donor advised funds, and public inspection requirements.

14 The books are in care of BANK OF AMERICA NA Telephone no (212) 852-3049

Located at 114 WEST 47TH STREET NY8-114-07-07 NEW YORK NY ZIP+4 100361510

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in or authority over a bank, securities, or other financial account in a foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to... (1) Carry on propaganda... (2) Influence the outcome of any specific public election... (3) Provide a grant to an individual for travel... (4) Provide a grant to an organization other than a charitable... (5) Provide for any purpose other than religious... b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify... c If the answer is "Yes" to question 5a(4), does the foundation claim exemption... 6a Did the foundation, during the year, receive any funds... b Did the foundation, during the year, pay premiums... 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If "Yes", did the foundation receive any proceeds... 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes entries for RONALD D SUGAR and VALERIE S SUGAR.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes a 'Total' row at the bottom.

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	4,435,532
b	Average of monthly cash balances.	1b	186,753
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	4,622,285
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	4,622,285
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	69,334
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,552,951
6	Minimum investment return. Enter 5% of line 5.	6	227,648

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	227,648
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	24,548
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	24,548
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	203,100
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	203,100
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	203,100

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	344,994
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	344,994
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	344,994

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				203,100
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2019				
a From 2014.			0	
b From 2015.			276,654	
c From 2016.			900,927	
d From 2017.			586,772	
e From 2018.			507,170	
f Total of lines 3a through e.	2,271,523			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 344,994				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				203,100
e Remaining amount distributed out of corpus	141,894			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,413,417			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	2,413,417			
10 Analysis of line 9				
a Excess from 2015.			276,654	
b Excess from 2016.			900,927	
c Excess from 2017.			586,772	
d Excess from 2018.			507,170	
e Excess from 2019.			141,894	

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				326,250
b <i>Approved for future payment</i>				
Total ▶ 3b				

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

*****	2020-04-08	*****
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) Yes No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	KAREN J KISER		2020-04-08		P00146417
	Firm's name ▶ BANK OF AMERICA				Firm's EIN ▶ 94-1687665
	Firm's address ▶ P O BOX 1802 PROVIDENCE, RI 029011802				Phone no (888) 866-3275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
227 KOPERNIK GLOBAL ALL-CAP FUND		2016-01-21	2019-02-13
816 KOPERNIK GLOBAL ALL-CAP FUND		2016-01-12	2019-02-13
19 KOPERNIK GLOBAL ALL-CAP FUND		2016-01-12	2019-02-13
1 KOPERNIK GLOBAL ALL-CAP FUND		2016-01-21	2019-02-13
65 KOPERNIK GLOBAL ALL-CAP FUND		2016-08-23	2019-02-13
20 KOPERNIK GLOBAL ALL-CAP FUND		2016-11-09	2019-02-13
1 KOPERNIK GLOBAL ALL-CAP FUND		2016-11-09	2019-02-13
600 KOPERNIK GLOBAL ALL-CAP FUND		2016-12-20	2019-02-13
1 KOPERNIK GLOBAL ALL-CAP FUND		2016-12-20	2019-02-13
151 KOPERNIK GLOBAL ALL-CAP FUND		2017-10-03	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,268		1,323	945
8,152		5,141	3,011
2		1	1
10		7	3
649		668	-19
200		204	-4
10		8	2
5,994		6,114	-120
10		10	
1,508		1,631	-123

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			945
			3,011
			1
			3
			-19
			-4
			2
			-120
			-123

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 KOPERNIK GLOBAL ALL-CAP FUND		2017-10-03	2019-02-13
793 KOPERNIK GLOBAL ALL-CAP FUND		2017-12-19	2019-02-13
1 KOPERNIK GLOBAL ALL-CAP FUND		2017-12-19	2019-02-13
65 KOPERNIK GLOBAL ALL-CAP FUND		2018-12-28	2019-02-13
16 KOPERNIK GLOBAL ALL-CAP FUND		2018-12-28	2019-02-13
475 88 KOPERNIK GLOBAL ALL-CAP FUND		2017-12-19	2019-11-21
17 POPLAR FOREST PARTNERS FUND		2017-12-04	2019-02-13
14 POPLAR FOREST PARTNERS FUND		2017-01-30	2019-02-13
1 POPLAR FOREST PARTNERS FUND		2017-01-30	2019-02-13
29 POPLAR FOREST PARTNERS FUND		2017-02-09	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10		10	
7,922		8,549	-627
10		7	3
6		6	
160		148	12
4,640		5,130	-490
718		847	-129
592		760	-168
42		62	-20
1,225		1,470	-245

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-627
			3
			12
			-490
			-129
			-168
			-20
			-245

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 POPLAR FOREST PARTNERS FUND		2017-02-09	2019-02-13
38 POPLAR FOREST PARTNERS FUND		2017-06-20	2019-02-13
1 POPLAR FOREST PARTNERS FUND		2017-12-04	2019-02-13
1 POPLAR FOREST PARTNERS FUND		2017-12-19	2019-02-13
28 POPLAR FOREST PARTNERS FUND		2018-12-24	2019-02-13
362 POPLAR FOREST PARTNERS FUND		2017-12-19	2019-02-13
145 POPLAR FOREST PARTNERS FUND		2018-06-21	2019-02-13
152 POPLAR FOREST PARTNERS FUND		2018-08-23	2019-02-13
20 POPLAR FOREST PARTNERS FUND		2018-12-11	2019-02-13
111 POPLAR FOREST PARTNERS FUND		2017-01-30	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42		51	-9
1,606		1,858	-252
42		51	-9
42		50	-8
12		11	1
15,295		18,317	-3,022
6,126		7,333	-1,207
6,422		7,927	-1,505
845		760	85
4,690		6,022	-1,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			-252
			-9
			-8
			1
			-3,022
			-1,207
			-1,505
			85
			-1,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
54 POPLAR FOREST PARTNERS FUND		2017-01-30	2019-02-13
322 POPLAR FOREST PARTNERS FUND		2017-01-30	2019-02-13
417 POPLAR FOREST PARTNERS FUND		2018-12-24	2019-02-13
37 AMERICAN AMCAP FUND		2018-06-19	2019-11-21
10 AMERICAN AMCAP FUND		2016-08-23	2019-11-21
2 AMERICAN AMCAP FUND		2016-12-20	2019-11-21
21 AMERICAN AMCAP FUND		2016-12-20	2019-11-21
47 AMERICAN AMCAP FUND		2016-12-20	2019-11-21
2 AMERICAN AMCAP FUND		2016-12-20	2019-11-21
5 AMERICAN AMCAP FUND		2017-06-19	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,282		2,681	-399
13,604		16,531	-2,927
17,618		14,633	2,985
1,245		1,127	118
337		264	73
67		55	12
707		556	151
1,582		1,302	280
67		65	2
168		147	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-399
			-2,927
			2,985
			118
			73
			12
			151
			280
			2
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 AMERICAN AMCAP FUND		2017-12-19	2019-11-21
210 AMERICAN AMCAP FUND		2017-12-19	2019-11-21
11 AMERICAN AMCAP FUND		2017-12-19	2019-11-21
4 AMERICAN AMCAP FUND		2018-06-19	2019-11-21
1 AMERICAN AMCAP FUND		2018-06-19	2019-11-21
225 AMERICAN AMCAP FUND		2018-06-22	2019-11-21
1 AMERICAN AMCAP FUND		2018-06-22	2019-11-21
18 AMERICAN AMCAP FUND		2018-06-29	2019-11-21
132 AMERICAN AMCAP FUND		2018-07-02	2019-11-21
1 AMERICAN AMCAP FUND		2018-09-03	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34		26	8
7,069		6,651	418
370		349	21
135		117	18
34		27	7
7,574		6,597	977
34		29	5
606		517	89
4,443		3,762	681
34		29	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			418
			21
			18
			7
			977
			5
			89
			681
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
147 AMERICAN AMCAP FUND		2018-09-03	2019-11-21
1 AMERICAN AMCAP FUND		2018-10-26	2019-11-21
36 AMERICAN AMCAP FUND		2018-10-26	2019-11-21
7 94 AMERICAN AMCAP FUND		2018-12-24	2019-11-21
01 BLACKROCK TOTAL RETURN FUND		2018-12-31	2019-01-17
01 BLACKROCK STRATEGIC GLOBAL BOND FUND		2019-01-16	2019-02-13
340 25 FULLER & THALER BEHVR		2019-02-13	2019-11-21
4995 CREDIT SUISSE COMMODITY		2018-12-12	2019-02-13
802 CREDIT SUISSE COMMODITY		2018-12-12	2019-02-13
34 CREDIT SUISSE COMMODITY		2018-12-12	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,948		4,244	704
34		34	
1,212		1,140	72
267		209	58
8,949		8,186	763
22,977		23,477	-500
3,689		3,729	-40
2		2	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			704
			72
			58
			763
			-500
			-40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
952 CREDIT SUISSE COMMODITY		2018-12-24	2019-02-13
1 CREDIT SUISSE COMMODITY		2018-12-12	2019-02-13
06 DELAWARE DIVERSIFIED INCOME FUND		2018-12-21	2019-01-17
1 DOUBLELINE CORE FIXED INCOME FUND		2019-03-29	2019-11-21
77 DOUBLELINE CORE FIXED INCOME FUND		2019-03-29	2019-11-21
76 DOUBLELINE CORE FIXED INCOME FUND		2019-02-28	2019-11-21
63 DOUBLELINE CORE FIXED INCOME FUND		2019-01-31	2019-11-21
64 DOUBLELINE CORE FIXED INCOME FUND		2018-12-31	2019-11-21
3516 34 DOUBLELINE CORE FIXED INCOME FUND		2018-10-05	2019-11-21
1 DOUBLELINE CORE FIXED INCOME FUND		2019-01-31	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,379		4,208	171
5		5	
11		11	
855		834	21
844		814	30
699		675	24
710		679	31
39,031		37,133	1,898
11		11	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			171
			21
			30
			24
			31
			1,898

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
77 DOUBLELINE CORE FIXED INCOME FUND		2019-04-30	2019-11-21
1 DOUBLELINE CORE FIXED INCOME FUND		2019-04-30	2019-11-21
74 DOUBLELINE CORE FIXED INCOME FUND		2019-05-31	2019-11-21
90 DOUBLELINE CORE FIXED INCOME FUND		2019-07-31	2019-11-21
85 DOUBLELINE CORE FIXED INCOME FUND		2019-07-31	2019-11-21
96 DOUBLELINE CORE FIXED INCOME FUND		2019-06-28	2019-11-21
1 DOUBLELINE CORE FIXED INCOME FUND		2019-06-28	2019-11-21
8 EATON VANCE RCHRD BRSTN		2016-12-31	2019-11-21
12 EATON VANCE RCHRD BRSTN		2017-12-30	2019-11-21
51 EATON VANCE RCHRD BRSTN		2018-01-02	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
855		834	21
11		11	
821		810	11
999		995	4
9		9	
1,066		1,062	4
11		11	
138		116	22
207		198	9
878		795	83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			21
			11
			4
			4
			22
			9
			83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
23 EATON VANCE RCHRD BRSTN		2018-01-02	2019-11-21
1 EATON VANCE RCHRD BRSTN		2018-01-02	2019-11-21
1 EATON VANCE RCHRD BRSTN		2018-06-22	2019-11-21
440 68 EATON VANCE RCHRD BRSTN		2018-06-22	2019-11-21
74 EATON VANCE RCHRD BRSTN		2018-12-14	2019-11-21
533 EATON VANCE RCHRD BRSTN		2017-12-30	2019-11-21
4 EATON VANCE RCHRD BRSTN		2016-12-31	2019-11-21
8 EATON VANCE RCHRD BRSTN		2016-12-31	2019-11-21
12 EATON VANCE RCHRD BRSTN		2016-12-31	2019-11-21
58 EATON VANCE RCHRD BRSTN		2016-12-31	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
396		359	37
17		15	2
17		16	1
7,589		7,166	423
1,274			1,274
9,178		8,805	373
69		58	11
138		116	22
207		173	34
999		817	182

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			37
			2
			1
			423
			1,274
			373
			11
			22
			34
			182

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17 EATON VANCE RCHRD BRSTN		2016-12-20	2019-11-21
168 EATON VANCE RCHRD BRSTN		2016-12-20	2019-11-21
1 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2016-12-20	2019-11-21
4 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2016-08-23	2019-11-21
1 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2016-08-23	2019-11-21
1 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2016-11-09	2019-11-21
44 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2016-12-20	2019-11-21
121 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-10-26	2019-11-21
5 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2016-12-20	2019-11-21
1 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2016-12-23	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
293		271	22
2,893		2,680	213
54		47	7
217		190	27
54		54	
54		46	8
2,390		1,963	427
6,572		5,728	844
272		229	43
54		44	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			22
			213
			7
			27
			8
			427
			844
			43
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2017-06-15	2019-11-21
17 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2017-12-19	2019-11-21
98 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2017-12-19	2019-11-21
6 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2017-12-22	2019-11-21
1 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-06-11	2019-11-21
174 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-06-11	2019-11-21
13 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-06-14	2019-11-21
100 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-06-21	2019-11-21
107 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-08-23	2019-11-21
1 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-12-21	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
163		152	11
923		954	-31
5,322		5,607	-285
326		334	-8
54		56	-2
9,450		9,960	-510
706		722	-16
5,431		5,363	68
5,811		5,660	151
54		47	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			-31
			-285
			-8
			-2
			-510
			-16
			68
			151
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
85 14 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2018-12-24	2019-11-21
23 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2019-06-13	2019-11-21
5 AMERICAN FUNDS EUROPACIFIC GROWTH FUND		2019-06-13	2019-11-21
1 FPA NEW INCOME INC		2019-06-25	2019-08-07
1456 FPA NEW INCOME INC		2019-06-25	2019-08-07
63 FPA NEW INCOME INC		2019-06-25	2019-08-07
17 FPA NEW INCOME INC		2019-06-27	2019-08-07
14 FPA NEW INCOME INC		2019-04-29	2019-08-07
1 FPA NEW INCOME INC		2019-06-27	2019-08-07
18 FPA NEW INCOME INC		2019-07-30	2019-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,624		3,691	933
12		12	
272		256	16
10		10	
14,575		14,560	15
6		6	
170		170	
140		139	1
10		10	
180		179	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			933
			16
			15
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
16 FPA NEW INCOME INC		2019-07-30	2019-08-07
15 FPA NEW INCOME INC		2019-05-30	2019-08-07
136 FPA NEW INCOME INC		2018-12-24	2019-08-07
18 FPA NEW INCOME INC		2019-03-28	2019-08-07
1 FPA NEW INCOME INC		2019-03-28	2019-08-07
14 FPA NEW INCOME INC		2019-02-27	2019-08-07
1065 FPA NEW INCOME INC		2019-02-13	2019-08-07
16 FPA NEW INCOME INC		2019-01-30	2019-08-07
50 FPA NEW INCOME INC		2018-12-19	2019-08-07
5758 FPA NEW INCOME INC		2018-10-05	2019-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	
150		149	1
1,361		1,341	20
180		179	1
10		10	
140		138	2
10,661		10,522	139
160		158	2
501		494	7
57,638		56,716	922

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			20
			1
			2
			139
			2
			7
			922

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
78 FIRST TRUST US EQUITY OPPORTUNITIES ETF		2019-02-13	2019-11-21
1643 FIRST TRUST ENERGY ALPHADDEX FUND		2018-12-12	2019-02-13
813 FIRST TRUST ENERGY ALPHADDEX FUND		2018-12-24	2019-02-13
333 FIRST TRUST ENERGY ALPHADDEX FUND		2018-12-12	2019-02-13
44 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2017-12-14	2019-02-13
7 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-11-09	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-11-09	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-12-09	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-12-09	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-12-09	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,126		5,572	554
21,178		21,704	-526
10,479		8,772	1,707
4,292		4,298	-6
1,553		1,592	-39
247		254	-7
35		39	-4
35		37	-2
35		38	-3
35		57	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			554
			-526
			1,707
			-6
			-39
			-7
			-4
			-2
			-3
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-12-09	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-12-09	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-12-20	2019-02-13
64 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-12-20	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2017-01-30	2019-02-13
7 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2017-01-30	2019-02-13
36 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2017-06-20	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2017-12-14	2019-02-13
424 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2017-12-19	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2017-12-19	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
141		152	-11
35		38	-3
35		38	-3
2,259		2,427	-168
35		35	
247		262	-15
1,271		1,314	-43
35		35	
14,967		15,701	-734
35		37	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			-3
			-3
			-168
			-15
			-43
			-734
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
185 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2018-06-21	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2018-06-21	2019-02-13
185 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2018-08-23	2019-02-13
1 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2018-08-23	2019-02-13
14 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2018-12-13	2019-02-13
28 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2018-12-24	2019-02-13
465 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2018-12-24	2019-02-13
16 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-11-09	2019-02-13
185 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-11-09	2019-02-13
231 HOTCHKIS AND WILEY MID-CAP VALUE FUND		2016-11-09	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,531		7,404	-873
35		34	1
6,531		7,570	-1,039
35		40	-5
494		430	64
10		8	2
16,415		12,922	3,493
565		581	-16
6,531		5,914	617
8,154		7,727	427

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-873
			1
			-1,039
			-5
			64
			2
			3,493
			-16
			617
			427

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
23 HOTCHKIS & WILEY VALUE		2016-08-23	2019-11-21
202 HOTCHKIS & WILEY VALUE		2016-01-12	2019-11-21
37 HOTCHKIS & WILEY VALUE		2016-01-21	2019-11-21
222 HOTCHKIS & WILEY VALUE		2016-08-04	2019-11-21
1 HOTCHKIS & WILEY VALUE		2016-08-04	2019-11-21
1 HOTCHKIS & WILEY VALUE		2016-08-23	2019-11-21
22 HOTCHKIS & WILEY VALUE		2016-12-09	2019-11-21
84 HOTCHKIS & WILEY VALUE		2016-12-20	2019-11-21
5 HOTCHKIS & WILEY VALUE		2017-01-30	2019-11-21
1 HOTCHKIS & WILEY VALUE		2017-01-30	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
659		557	102
5,787		4,341	1,446
1,060		745	315
6,360		5,206	1,154
29		22	7
29		24	5
630		599	31
2,407		2,263	144
143		134	9
29		26	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			102
			1,446
			315
			1,154
			7
			5
			31
			144
			9
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 HOTCHKIS & WILEY VALUE		2017-12-14	2019-11-21
1 HOTCHKIS & WILEY VALUE		2017-12-14	2019-11-21
335 16 HOTCHKIS & WILEY VALUE		2017-12-19	2019-11-21
1 HOTCHKIS & WILEY VALUE		2017-12-19	2019-11-21
175 INVESCO KBW BANK ETF		2017-01-30	2019-02-13
72 INVESCO KBW BANK ETF		2018-08-23	2019-02-13
160 INVESCO KBW BANK ETF		2017-12-19	2019-02-13
1 INVESCO KBW BANK ETF		2018-01-09	2019-02-13
4 INVESCO KBW BANK ETF		2018-06-18	2019-02-13
80 INVESCO KBW BANK ETF		2018-06-21	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
372		371	1
29		27	2
9,602		9,720	-118
29		29	
8,871		8,294	577
3,650		4,101	-451
8,110		8,845	-735
51		55	-4
203		222	-19
4,055		4,460	-405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			2
			-118
			577
			-451
			-735
			-4
			-19
			-405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 INVESCO KBW BANK ETF		2018-07-02	2019-02-13
3 INVESCO KBW BANK ETF		2018-10-01	2019-02-13
1 INVESCO KBW BANK ETF		2018-10-01	2019-02-13
05 INVESCO KBW BANK ETF		2018-12-24	2019-02-13
144 INVESCO KBW BANK ETF		2018-12-24	2019-02-13
94 INVESCO KBW BANK ETF		2019-01-03	2019-02-13
4 INVESCO KBW BANK ETF		2019-01-03	2019-02-13
1 INVESCO KBW BANK ETF		2017-06-20	2019-02-13
80 INVESCO KBW BANK ETF		2017-01-30	2019-02-13
1 INVESCO KBW BANK ETF		2017-01-30	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51		53	-2
152		162	-10
51		53	-2
3		2	1
7,299		6,014	1,285
48		42	6
203		179	24
51		48	3
4,055		3,754	301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-10
			-2
			1
			1,285
			6
			24
			3
			301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
95 INVESCO KBW BANK ETF		2018-12-24	2019-02-25
229 INVESCO FTSE RAFI EMERGING MARKETS ETF		2016-12-08	2019-02-13
27 INVESCO FTSE RAFI EMERGING MARKETS ETF		2019-01-03	2019-02-13
83 INVESCO FTSE RAFI EMERGING MARKETS ETF		2019-01-03	2019-02-13
434 INVESCO FTSE RAFI EMERGING MARKETS ETF		2018-12-24	2019-02-13
102 INVESCO FTSE RAFI EMERGING MARKETS ETF		2018-10-01	2019-02-13
839 INVESCO FTSE RAFI EMERGING MARKETS ETF		2018-08-23	2019-02-13
02 INVESCO FTSE RAFI EMERGING MARKETS ETF		2018-08-14	2019-02-13
614 INVESCO FTSE RAFI EMERGING MARKETS ETF		2016-12-20	2019-02-13
46 INVESCO FTSE RAFI EMERGING MARKETS ETF		2017-06-20	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
49		40	9
4,855		4,257	598
572		536	36
18		17	1
9,201		8,389	812
2,162		2,162	
17,787		17,433	354
13,017		10,934	2,083
975		898	77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9
			598
			36
			1
			812
			354
			2,083
			77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1220 INVESCO FTSE RAFI EMERGING MARKETS ETF		2017-12-19	2019-02-13
4 INVESCO FTSE RAFI EMERGING MARKETS ETF		2018-04-02	2019-02-13
1456 INVESCO FTSE RAFI EMERGING MARKETS ETF		2018-06-18	2019-02-13
15 INVESCO FTSE RAFI EMERGING MARKETS ETF		2016-12-08	2019-02-13
1 INVESCO FTSE RAFI EMERGING MARKETS ETF		2016-12-08	2019-02-13
877 INVESCO FTSE RAFI EMERGING MARKETS ETF		2018-06-21	2019-02-13
51 INVESCO FTSE RAFI EMERGING MARKETS ETF		2016-12-08	2019-02-25
1 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-05-23	2019-02-13
1 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-09-29	2019-02-13
62 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-08-23	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,864		26,315	-451
85		93	-8
30,868		30,590	278
3		3	
21		12	9
18,593		18,128	465
11		9	2
12		10	2
12		13	-1
729		734	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-451
			-8
			278
			9
			465
			2
			2
			-1
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-07-18	2019-02-13
16 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-11-09	2019-02-13
634 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-05-23	2019-02-13
1 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-03-30	2019-02-13
11 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-01-21	2019-02-13
206 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-01-12	2019-02-13
1 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-09-29	2019-02-13
10 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2017-12-14	2019-02-13
7 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-12-14	2019-02-13
443 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-12-20	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		13	-1
188		185	3
7,450		6,955	495
12		11	1
129		104	25
2,421		2,004	417
12		12	
118		136	-18
82		88	-6
5,205		5,126	79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			3
			495
			1
			25
			417
			-18
			-6
			79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
136 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-14	2019-02-13
40 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-14	2019-02-13
58 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-24	2019-02-13
102 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-24	2019-02-13
1 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-24	2019-02-13
38 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2016-01-12	2019-02-13
22 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-24	2019-05-20
881 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-24	2019-05-20
551 78 ARISTOTLE/SAUL GLOBAL OPPORTUNITIES FUND		2018-12-24	2019-11-21
418 ISHARES CORE MSCI TOTAL INTL STOCK ETF		2018-10-26	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,598		1,514	84
470		465	5
7		6	1
1,199		1,046	153
12		11	1
4		4	
3		2	1
10,687		9,030	1,657
7,245		5,656	1,589
23,558		22,672	886

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			84
			5
			1
			153
			1
			1
			1,657
			1,589
			886

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
2730 ISHARES CORE MSCI TOTAL INTL STOCK ETF		2018-10-26	2019-02-13
364 ISHARES CORE MSCI TOTAL INTL STOCK ETF		2018-12-24	2019-02-13
1871 ISHARES MSCI GLOBAL GOLD MINERS ETF		2018-10-05	2019-02-13
17 ISHARES CORE DIVIDEND GROWTH ETF		2019-06-24	2019-11-21
43 ISHARES CORE DIVIDEND GROWTH ETF		2019-06-24	2019-11-21
17 ISHARES CORE DIVIDEND GROWTH ETF		2019-03-27	2019-11-21
153 67 ISHARES CORE DIVIDEND GROWTH ETF		2016-12-20	2019-11-21
1 ISHARES CORE DIVIDEND GROWTH ETF		2016-10-03	2019-11-21
45 ISHARES CORE DIVIDEND GROWTH ETF		2016-08-23	2019-11-21
91 ISHARES CORE DIVIDEND GROWTH ETF		2016-01-12	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
153,861		148,893	4,968
20,515		18,553	1,962
32,462		27,055	5,407
693		652	41
17		16	1
693		621	72
6,266		4,519	1,747
41		27	14
1,835		1,271	564
37		22	15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,968
			1,962
			5,407
			41
			1
			72
			1,747
			14
			564
			15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
287 ISHARES CORE DIVIDEND GROWTH ETF		2016-01-12	2019-11-21
05 LORD ABBETT SHORT DURATION INCOME FUND		2018-12-31	2019-01-17
8 TORTOISE MLP & PIPELINE FUND		2018-06-21	2019-02-13
38 TORTOISE MLP & PIPELINE FUND		2016-01-21	2019-02-13
20 TORTOISE MLP & PIPELINE FUND		2016-08-23	2019-02-13
1 TORTOISE MLP & PIPELINE FUND		2016-12-20	2019-02-13
240 TORTOISE MLP & PIPELINE FUND		2016-12-20	2019-02-13
1 TORTOISE MLP & PIPELINE FUND		2016-12-29	2019-02-13
107 TORTOISE MLP & PIPELINE FUND		2017-06-20	2019-02-13
1 TORTOISE MLP & PIPELINE FUND		2017-06-20	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,702		7,049	4,653
102		105	-3
5		3	2
256		257	-1
13		12	1
3,072		3,251	-179
13		12	1
1,370		1,326	44
13		10	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,653
			-3
			2
			-1
			1
			-179
			1
			44
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
280 TORTOISE MLP & PIPELINE FUND		2017-10-03	2019-02-13
1 TORTOISE MLP & PIPELINE FUND		2017-12-19	2019-02-13
790 TORTOISE MLP & PIPELINE FUND		2017-12-19	2019-02-13
106 TORTOISE MLP & PIPELINE FUND		2018-03-27	2019-02-13
329 TORTOISE MLP & PIPELINE FUND		2018-06-21	2019-02-13
1 TORTOISE MLP & PIPELINE FUND		2018-06-21	2019-02-13
242 TORTOISE MLP & PIPELINE FUND		2018-08-23	2019-02-13
40 TORTOISE MLP & PIPELINE FUND		2018-11-28	2019-02-13
1 TORTOISE MLP & PIPELINE FUND		2018-11-28	2019-02-13
789 TORTOISE MLP & PIPELINE FUND		2018-12-24	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,584		3,715	-131
13		13	
10,112		10,181	-69
1,357		1,235	122
4,211		4,346	-135
13		10	3
3,098		3,390	-292
512		468	44
13		13	
10,099		8,356	1,743

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-131
			-69
			122
			-135
			3
			-292
			44
			1,743

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
03 TORTOISE MLP & PIPELINE FUND		2018-12-27	2019-02-13
24 TORTOISE MLP & PIPELINE FUND		2018-12-27	2019-02-13
74 TORTOISE MLP & PIPELINE FUND		2016-01-21	2019-02-13
1 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-04-30	2019-11-21
49 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-07-31	2019-11-21
1 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-07-31	2019-11-21
52 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-07-31	2019-11-21
50 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-06-28	2019-11-21
47 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-05-31	2019-11-21
47 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-04-30	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
307		261	46
947		600	347
11		11	
5		5	
11		11	
570		571	-1
549		548	1
516		509	7
516		511	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			46
			347
			-1
			1
			7
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-03-29	2019-11-21
46 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-03-29	2019-11-21
38 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-02-28	2019-11-21
35 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-01-31	2019-11-21
1 NEUBERGER BERMAN STRATEGIC INCOME FUND		2019-01-31	2019-11-21
133 07 NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-06-20	2019-11-21
41 NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-03-14	2019-11-21
660 NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-03-09	2019-11-21
959 NEUBERGER BERMAN STRATEGIC INCOME FUND		2017-03-09	2019-11-21
38 PIMCO SHORT-TERM FUND		2018-10-26	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11		11	
505		497	8
417		408	9
384		374	10
11		11	
1,460		1,474	-14
450		444	6
7,240		7,146	94
10,520		10,354	166
372		375	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			9
			10
			-14
			6
			94
			166
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
56 PIMCO SHORT-TERM FUND		2018-10-26	2019-02-13
5718 PIMCO SHORT-TERM FUND		2018-10-26	2019-02-13
39 PIMCO SHORT-TERM FUND		2018-10-26	2019-02-13
39 PIMCO SHORT-TERM FUND		2018-10-27	2019-02-13
56 PIMCO SHORT-TERM FUND		2018-10-31	2019-02-13
1 PIMCO SHORT-TERM FUND		2018-10-31	2019-02-13
43 PIMCO SHORT-TERM FUND		2019-01-31	2019-02-13
53 PIMCO SHORT-TERM FUND		2019-01-31	2019-02-13
61 PIMCO SHORT-TERM FUND		2018-10-26	2019-04-30
990 PIMCO SHORT-TERM FUND		2018-10-26	2019-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5		6	-1
56,036		56,494	-458
4		4	
382		385	-3
549		552	-3
10		10	
421		421	
5		5	
6		6	
9,712		9,791	-79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-458
			-3
			-3
			-79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 PIMCO SHORT-TERM FUND		2018-10-26	2019-04-30
24 PIMCO SHORT-TERM FUND		2018-10-26	2019-04-30
13 PIMCO SHORT-TERM FUND		2018-10-26	2019-04-30
1 PIMCO SHORT-TERM FUND		2018-10-26	2019-04-30
15985 PIMCO SHORT-TERM FUND		2018-10-26	2019-04-30
38 PIMCO SHORT-TERM FUND		2018-11-10	2019-04-30
40 PIMCO SHORT-TERM FUND		2019-03-29	2019-04-30
03 PIMCO SHORT-TERM FUND		2019-04-30	2019-04-30
92 PIMCO SHORT-TERM FUND		2019-04-30	2019-04-30
18 PIMCO SHORT-TERM FUND		2019-05-31	2019-06-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10		10	
235		238	-3
128		129	-1
10		10	
156,813		157,932	-1,119
373		376	-3
392		392	
9		9	
2		2	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-1
			-1,119
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 PIMCO SHORT-TERM FUND		2018-10-26	2019-06-25
1 PIMCO SHORT-TERM FUND		2018-10-26	2019-06-25
24 PIMCO SHORT-TERM FUND		2018-10-26	2019-06-25
13 PIMCO SHORT-TERM FUND		2018-10-26	2019-06-25
07 PIMCO SHORT-TERM FUND		2019-06-28	2019-07-18
13 PIMCO INCOME FUND		2018-12-31	2019-01-17
1 PIMCO INCOME FUND		2019-06-28	2019-08-07
8862 89 PIMCO INCOME FUND		2019-02-13	2019-08-07
130 PIMCO INCOME FUND		2019-07-31	2019-08-07
1 PIMCO INCOME FUND		2019-07-31	2019-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10		10	
10		10	
234		238	-4
127		129	-2
1		1	
2		2	
12		12	
106,709		105,646	1,063
1,565		1,573	-8
12		12	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-2
			1,063
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
112 PIMCO INCOME FUND		2019-06-28	2019-08-07
109 PIMCO INCOME FUND		2019-05-31	2019-08-07
1 PIMCO INCOME FUND		2019-04-30	2019-08-07
108 PIMCO INCOME FUND		2019-04-30	2019-08-07
1 PIMCO INCOME FUND		2019-03-29	2019-08-07
49 PIMCO INCOME FUND		2019-07-31	2019-08-07
108 PIMCO INCOME FUND		2019-03-29	2019-08-07
58 PIMCO INCOME FUND		2019-02-28	2019-08-07
2700 5 PIMCO INCOME FUND		2019-02-13	2019-11-21
678 PIMCO LOW DURATION INCOME FUND		2018-10-05	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,348		1,357	-9
1,312		1,313	-1
12		12	
1,300		1,301	-1
12		12	
6		6	
1,300		1,296	4
698		693	5
32,352		32,190	162
5,783		5,749	34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			-1
			-1
			4
			5
			162
			34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
06 PIMCO LOW DURATION INCOME FUND		2019-06-28	2019-07-18
2998 79 PIMCO DIVERSIFIED INCOME FUND		2019-04-30	2019-11-21
3064 59 PGIM TOTAL RETURN BOND FUND		2019-04-30	2019-11-21
1 PGIM ABSOLUTE RETURN BOND FUND		2019-03-29	2019-04-30
901 PGIM ABSOLUTE RETURN BOND FUND		2017-03-09	2019-04-30
11 PGIM ABSOLUTE RETURN BOND FUND		2019-04-30	2019-04-30
1 PGIM ABSOLUTE RETURN BOND FUND		2017-03-09	2019-04-30
759 PGIM ABSOLUTE RETURN BOND FUND		2017-03-09	2019-04-30
27 PGIM ABSOLUTE RETURN BOND FUND		2019-04-30	2019-04-30
57 PGIM ABSOLUTE RETURN BOND FUND		2019-02-28	2019-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	
33,377		32,657	720
45,816		43,916	1,900
10		10	
8,785		8,767	18
1		1	
10		10	
7,400		7,393	7
3		3	
556		553	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			720
			1,900
			18
			7
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1639 PGIM ABSOLUTE RETURN BOND FUND		2018-06-21	2019-04-30
74 PGIM ABSOLUTE RETURN BOND FUND		2019-03-29	2019-04-30
72 PGIM ABSOLUTE RETURN BOND FUND		2017-06-20	2019-04-30
139 PGIM ABSOLUTE RETURN BOND FUND		2017-06-20	2019-04-30
2642 PGIM ABSOLUTE RETURN BOND FUND		2017-07-24	2019-04-30
198 PGIM ABSOLUTE RETURN BOND FUND		2017-10-03	2019-04-30
3468 PGIM ABSOLUTE RETURN BOND FUND		2017-12-19	2019-04-30
1 PGIM ABSOLUTE RETURN BOND FUND		2018-06-21	2019-04-30
1 PGIM ABSOLUTE RETURN BOND FUND		2018-08-23	2019-04-30
1 PGIM ABSOLUTE RETURN BOND FUND		2019-02-28	2019-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,980		16,226	-246
722		720	2
702		710	-8
1,355		1,371	-16
25,759		26,129	-370
1,931		1,970	-39
33,813		34,645	-832
10		10	
10		10	
10		10	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-246
			2
			-8
			-16
			-370
			-39
			-832

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1693 PGIM ABSOLUTE RETURN BOND FUND		2018-08-23	2019-04-30
1156 PGIM ABSOLUTE RETURN BOND FUND		2018-10-05	2019-04-30
51 PGIM ABSOLUTE RETURN BOND FUND		2019-01-31	2019-04-30
1 PGIM ABSOLUTE RETURN BOND FUND		2019-02-13	2019-04-30
4413 PGIM ABSOLUTE RETURN BOND FUND		2019-02-13	2019-04-30
49 PGIM ABSOLUTE RETURN BOND FUND		2017-03-14	2019-04-30
41 PGIM ABSOLUTE RETURN BOND FUND		2019-02-13	2019-04-30
72 PGIM ABSOLUTE RETURN BOND FUND		2017-06-20	2019-06-25
2 PGIM ABSOLUTE RETURN BOND FUND		2019-05-31	2019-06-25
1 PGIM ABSOLUTE RETURN BOND FUND		2019-05-31	2019-06-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,507		16,778	-271
11,271		11,398	-127
497		494	3
10		10	
43,027		42,850	177
478		477	1
4		4	
701		710	-9
19		19	
10		10	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-271
			-127
			3
			177
			1
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
56 PGIM ABSOLUTE RETURN BOND FUND		2019-05-31	2019-06-25
1 PGIM ABSOLUTE RETURN BOND FUND		2017-03-09	2019-06-25
24 PGIM ABSOLUTE RETURN BOND FUND		2019-06-28	2019-07-18
303 PGIM JENNISON HEALTH SCIENCES		2017-12-19	2019-02-13
13 PGIM JENNISON HEALTH SCIENCES		2017-12-19	2019-02-13
1 PGIM JENNISON HEALTH SCIENCES		2017-12-19	2019-02-13
1 PGIM JENNISON HEALTH SCIENCES		2017-12-20	2019-02-13
29 PGIM JENNISON HEALTH SCIENCES		2017-12-20	2019-02-13
13 PGIM JENNISON HEALTH SCIENCES		2017-12-20	2019-02-13
51 PGIM JENNISON HEALTH SCIENCES		2018-06-21	2019-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5		5	
10		10	
2		2	
14,474		15,371	-897
621		651	-30
48		59	-11
48		49	-1
1,385		1,411	-26
621		632	-11
2,436		2,891	-455

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-897
			-30
			-11
			-1
			-26
			-11
			-455

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 PGIM JENNISON HEALTH SCIENCES		2018-06-21	2019-02-13
82 PGIM JENNISON HEALTH SCIENCES		2018-08-23	2019-02-13
1 PGIM JENNISON HEALTH SCIENCES		2018-12-20	2019-02-13
214 PGIM JENNISON HEALTH SCIENCES		2018-12-24	2019-02-13
15 PGIM JENNISON HEALTH SCIENCES		2018-12-24	2019-02-13
52 PGIM JENNISON HEALTH SCIENCES		2017-12-19	2019-02-13
73 PUTNAM SHORT DURATION INCOME FUND		2018-12-24	2019-02-13
14954 PUTNAM SHORT DURATION INCOME FUND		2018-12-12	2019-02-13
21 PUTNAM SHORT DURATION INCOME FUND		2018-12-12	2019-02-13
599 79 PUTNAM SHORT DURATION INCOME FUND		2018-12-12	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48		49	-1
3,917		4,713	-796
48		41	7
10,223		8,412	1,811
7		6	1
2,484		2,603	-119
7		7	
150,288		149,989	299
2		2	
6,034		6,016	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-796
			7
			1,811
			1
			-119
			299
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 PUTNAM INCOME FUND		2019-04-25	2019-11-21
36 PUTNAM INCOME FUND		2019-07-25	2019-11-21
36 PUTNAM INCOME FUND		2019-07-25	2019-11-21
29 PUTNAM INCOME FUND		2019-06-25	2019-11-21
1 PUTNAM INCOME FUND		2019-06-25	2019-11-21
29 PUTNAM INCOME FUND		2019-05-28	2019-11-21
1 PUTNAM INCOME FUND		2019-05-28	2019-11-21
31 PUTNAM INCOME FUND		2019-04-25	2019-11-21
30 PUTNAM INCOME FUND		2019-03-25	2019-11-21
1 PUTNAM INCOME FUND		2019-02-25	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7		7	
265		260	5
3		3	
214		209	5
7		7	
214		206	8
7		7	
228		217	11
221		208	13
7		7	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			5
			8
			11
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
30 PUTNAM INCOME FUND		2019-02-25	2019-11-21
26 PUTNAM INCOME FUND		2018-11-04	2019-11-21
1370 75 PUTNAM INCOME FUND		2018-10-05	2019-11-21
28 PUTNAM INCOME FUND		2018-10-05	2019-11-21
88 RIVERNORTH DOUBLE LINE		2019-04-30	2019-11-21
87 RIVERNORTH DOUBLE LINE		2019-05-31	2019-11-21
111 RIVERNORTH DOUBLE LINE		2019-06-28	2019-11-21
108 RIVERNORTH DOUBLE LINE		2019-07-31	2019-11-21
97 RIVERNORTH DOUBLE LINE		2019-07-31	2019-11-21
1 RIVERNORTH DOUBLE LINE		2019-04-30	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
221		207	14
192		179	13
10,102		9,376	726
206		193	13
907		894	13
897		887	10
1,144		1,143	1
1,113		1,113	
10		10	
10		10	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			13
			726
			13
			13
			10
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 RIVERNORTH DOUBLE LINE		2019-03-29	2019-11-21
100 RIVERNORTH DOUBLE LINE		2019-03-29	2019-11-21
2624 56 RIVERNORTH DOUBLE LINE		2019-02-13	2019-11-21
84 RIVERNORTH DOUBLE LINE		2019-02-27	2019-11-21
99 SPDR GOLD TRUST		2018-10-05	2019-01-08
715 SPDR GOLD TRUST		2018-10-05	2019-01-08
99 SPDR GOLD TRUST		2018-10-05	2019-02-13
715 SPDR GOLD TRUST		2018-10-05	2019-02-13
715 SPDR GOLD TRUST		2018-10-05	2019-03-15
99 SPDR GOLD TRUST		2018-10-05	2019-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10		10	
1,031		1,013	18
27,059		26,351	708
866		847	19
4		4	
28		26	2
4		4	
30		27	3
28		26	2
4		4	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			708
			19
			2
			3
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
94 SPDR GOLD TRUST		2019-02-13	2019-03-15
94 SPDR GOLD TRUST		2019-02-13	2019-04-04
99 SPDR GOLD TRUST		2018-10-05	2019-04-04
715 SPDR GOLD TRUST		2018-10-05	2019-04-04
715 SPDR GOLD TRUST		2018-10-05	2019-05-10
99 SPDR GOLD TRUST		2018-10-05	2019-05-10
94 SPDR GOLD TRUST		2019-02-13	2019-05-10
94 SPDR GOLD TRUST		2019-02-13	2019-06-11
99 SPDR GOLD TRUST		2018-10-05	2019-06-11
715 SPDR GOLD TRUST		2018-10-05	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4		4	
4		4	
4		4	
30		28	2
29		28	1
4		4	
4		4	
4		4	
4		4	
29		26	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			1
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
715 SPDR GOLD TRUST		2018-10-05	2019-07-09
99 SPDR GOLD TRUST		2018-10-05	2019-07-09
94 SPDR GOLD TRUST		2019-02-13	2019-07-09
124 SPDR GOLD TRUST		2019-06-25	2019-07-09
124 SPDR GOLD TRUST		2019-06-25	2019-08-13
715 SPDR GOLD TRUST		2018-10-05	2019-08-13
99 SPDR GOLD TRUST		2018-10-05	2019-08-13
94 SPDR GOLD TRUST		2019-02-13	2019-08-13
94 SPDR GOLD TRUST		2019-02-13	2019-09-05
99 SPDR GOLD TRUST		2018-10-05	2019-09-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29		25	4
4		4	
4		4	
5		5	
5		5	
31		25	6
4		3	1
4		4	
4		4	
5		4	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			6
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
715 SPDR GOLD TRUST		2018-10-05	2019-09-05
124 SPDR GOLD TRUST		2019-06-25	2019-09-05
94 SPDR GOLD TRUST		2019-02-13	2019-10-10
715 SPDR GOLD TRUST		2018-10-05	2019-10-10
99 SPDR GOLD TRUST		2018-10-05	2019-10-10
124 SPDR GOLD TRUST		2019-06-25	2019-10-10
110 SPDR GOLD TRUST		2019-09-20	2019-10-10
124 SPDR GOLD TRUST		2019-06-25	2019-11-13
99 SPDR GOLD TRUST		2018-10-05	2019-11-13
715 SPDR GOLD TRUST		2018-10-05	2019-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
33		26	7
6		5	1
4		4	
32		26	6
4		4	
6		5	1
5		5	
6		6	
5		4	1
35		29	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			1
			6
			1
			1
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
94 SPDR GOLD TRUST		2019-02-13	2019-11-13
110 SPDR GOLD TRUST		2019-09-20	2019-11-13
99 SPDR GOLD TRUST		2018-10-05	2019-11-21
715 SPDR GOLD TRUST		2018-10-05	2019-12-10
110 SPDR GOLD TRUST		2019-09-20	2019-12-10
94 SPDR GOLD TRUST		2019-02-13	2019-12-10
124 SPDR GOLD TRUST		2019-06-25	2019-12-10
1 VANGUARD DIVIDEND APPRECIATION ETF		2019-06-21	2019-11-21
3 VANGUARD DIVIDEND APPRECIATION ETF		2019-06-21	2019-11-21
44 VANGUARD DIVIDEND APPRECIATION ETF		2019-06-21	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5		4	1
5		6	-1
13,656		11,219	2,437
33		27	6
5		5	
4		4	
6		6	
121		113	8
363		348	15
54		51	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			-1
			2,437
			6
			8
			15
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
4 VANGUARD DIVIDEND APPRECIATION ETF		2019-04-03	2019-11-21
32 17 VANGUARD DIVIDEND APPRECIATION ETF		2016-12-20	2019-11-21
2 VANGUARD DIVIDEND APPRECIATION ETF		2016-11-09	2019-11-21
10 VANGUARD DIVIDEND APPRECIATION ETF		2016-08-23	2019-11-21
88 VANGUARD DIVIDEND APPRECIATION ETF		2015-12-11	2019-11-21
39 VANGUARD DIVIDEND APPRECIATION ETF		2015-12-11	2019-11-21
1 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-09-20	2019-11-21
1 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-09-20	2019-11-21
31 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-11-09	2019-11-21
61 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-08-23	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
484		442	42
3,891		2,792	1,099
242		167	75
1,210		860	350
10,645		6,780	3,865
47		30	17
54		47	7
54		46	8
1,669		1,427	242
3,285		2,896	389

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			42
			1,099
			75
			350
			3,865
			17
			7
			8
			242
			389

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
15 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-09-20	2019-11-21
66 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-01-12	2019-11-21
101 51 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-12-20	2019-11-21
58 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2018-12-31	2019-11-21
21 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2019-03-29	2019-11-21
77 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2019-06-27	2019-11-21
83 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2019-06-27	2019-11-21
59 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-01-21	2019-11-21
788 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2016-01-12	2019-11-21
1 VANGUARD TOTAL INTERNATIONAL STOCK ETF		2019-06-27	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
808		702	106
35		28	7
5,467		4,641	826
3,123		2,751	372
1,131		1,091	40
4,147		4,047	100
45		44	1
3,177		2,389	788
42,435		33,370	9,065
54		49	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			106
			7
			826
			372
			40
			100
			1
			788
			9,065
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
315 VANGUARD INTERNATIONAL		2019-02-13	2019-11-21
57 VANGUARD TOTAL STOCK MARKET ETF		2019-06-21	2019-06-25
20 VANGUARD TOTAL STOCK MARKET ETF		2019-03-29	2019-06-25
13 VANGUARD TOTAL STOCK MARKET ETF		2019-06-21	2019-06-25
1 VANGUARD TOTAL STOCK MARKET ETF		2019-06-21	2019-06-25
43 VANGUARD TOTAL STOCK MARKET ETF		2012-05-14	2019-06-25
25 VANGUARD TOTAL STOCK MARKET ETF		2018-12-31	2019-06-25
15 VANGUARD TOTAL STOCK MARKET ETF		2018-10-22	2019-06-25
1289 VANGUARD TOTAL STOCK MARKET ETF		2012-07-25	2019-06-25
1272 VANGUARD TOTAL STOCK MARKET ETF		2012-07-12	2019-06-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,929		19,448	2,481
85		86	-1
2,973		2,893	80
1,932		1,955	-23
149		132	17
64		30	34
3,716		3,184	532
2,229		2,126	103
191,583		88,206	103,377
189,057		87,157	101,900

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,481
			-1
			80
			-23
			17
			34
			532
			103
			103,377
			101,900

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1275 VANGUARD TOTAL STOCK MARKET ETF		2012-06-25	2019-06-25
131 VANGUARD TOTAL STOCK MARKET ETF		2012-06-25	2019-06-25
19 VANGUARD TOTAL STOCK MARKET ETF		2012-05-14	2019-09-20
1895 VANGUARD TOTAL STOCK MARKET ETF		2012-07-25	2019-09-20
2102 81 VANGUARD TOTAL STOCK MARKET ETF		2012-08-16	2019-09-20
693 VANGUARD TOTAL STOCK MARKET ETF		2012-08-16	2019-11-21
826 81 VANGUARD TOTAL STOCK MARKET ETF		2012-09-24	2019-12-13
570 VANGUARD TOTAL STOCK MARKET ETF		2012-08-20	2019-12-13
1425 VANGUARD TOTAL STOCK MARKET ETF		2012-08-20	2019-12-13
63 VANGUARD TOTAL STOCK MARKET ETF		2012-08-16	2019-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
189,503		85,577	103,926
19,470		8,793	10,677
29		13	16
290,081		129,674	160,407
321,892		152,493	169,399
109,453		50,255	59,198
133,323		61,593	71,730
91,912		41,382	50,530
229,781		103,454	126,327
10,159		4,569	5,590

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			103,926
			10,677
			16
			160,407
			169,399
			59,198
			71,730
			50,530
			126,327
			5,590

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
360 19 VANGUARD TOTAL STOCK MARKET ETF		2012-08-16	2019-12-13
6 AMER FUNDS WASHINGTON		2018-06-29	2019-11-21
1 AMER FUNDS WASHINGTON		2017-12-30	2019-11-21
4 AMER FUNDS WASHINGTON		2018-06-11	2019-11-21
10 AMER FUNDS WASHINGTON		2018-06-18	2019-11-21
107 AMER FUNDS WASHINGTON		2018-06-21	2019-11-21
1 AMER FUNDS WASHINGTON		2018-06-22	2019-11-21
106 AMER FUNDS WASHINGTON		2018-06-22	2019-11-21
11 AMER FUNDS WASHINGTON		2018-06-22	2019-11-21
69 AMER FUNDS WASHINGTON		2018-06-22	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
58,081		26,120	31,961
289		238	51
48		40	8
193		189	4
482		453	29
5,160		4,771	389
48		46	2
5,111		4,407	704
530		457	73
3,327		2,869	458

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			31,961
			51
			8
			4
			29
			389
			2
			704
			73
			458

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
4 AMER FUNDS WASHINGTON		2018-06-22	2019-11-21
1 AMER FUNDS WASHINGTON		2018-06-22	2019-11-21
1 AMER FUNDS WASHINGTON		2018-06-29	2019-11-21
2 AMER FUNDS WASHINGTON		2018-06-29	2019-11-21
1 AMER FUNDS WASHINGTON		2018-06-29	2019-11-21
6 AMER FUNDS WASHINGTON		2018-07-02	2019-11-21
88 AMER FUNDS WASHINGTON		2018-08-23	2019-11-21
6 AMER FUNDS WASHINGTON		2018-09-03	2019-11-21
2 AMER FUNDS WASHINGTON		2018-09-28	2019-11-21
1 AMER FUNDS WASHINGTON		2018-09-28	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
193		166	27
48		43	5
48		41	7
96		79	17
48		41	7
289		234	55
4,243		4,053	190
289		243	46
96		82	14
48		41	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			27
			5
			7
			17
			7
			55
			190
			46
			14
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 AMER FUNDS WASHINGTON		2018-09-28	2019-11-21
1 AMER FUNDS WASHINGTON		2018-10-26	2019-11-21
22 AMER FUNDS WASHINGTON		2018-10-26	2019-11-21
34 AMER FUNDS WASHINGTON		2018-12-13	2019-11-21
79 31 AMER FUNDS WASHINGTON		2018-12-24	2019-11-21
50 AMER FUNDS WASHINGTON		2017-12-30	2019-11-21
1 AMER FUNDS WASHINGTON		2016-12-31	2019-11-21
1 AMER FUNDS WASHINGTON		2016-09-30	2019-11-21
3 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-07-26	2019-11-21
440 73 WISDOMTREE US QUALITY DIVIDEND GROWTH		2015-12-11	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48		40	8
48		45	3
1,061		957	104
1,639		1,449	190
3,824		3,068	756
2,411		1,995	416
48		47	1
48		45	3
141		136	5
20,780		13,248	7,532

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			3
			104
			190
			756
			416
			1
			3
			5
			7,532

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
8 WISDOMTREE US QUALITY DIVIDEND GROWTH		2018-12-31	2019-11-21
27 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-07-26	2019-11-21
1 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-07-26	2019-11-21
1 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-04-01	2019-11-21
1 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-07-01	2019-11-21
3 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-01-28	2019-11-21
1 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-01-28	2019-11-21
4 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-07-01	2019-11-21
4 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-02-25	2019-11-21
4 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-04-01	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
377		306	71
13		12	1
47		45	2
47		42	5
47		42	5
141		119	22
47		38	9
189		177	12
189		171	18
189		173	16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			71
			1
			2
			5
			5
			22
			9
			12
			18
			16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-04-29	2019-11-21
4 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-04-29	2019-11-21
7 WISDOMTREE US QUALITY DIVIDEND GROWTH		2019-06-03	2019-11-21
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
47		44	3
189		177	12
330		287	43
			44,388

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			12
			43

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
CONNECTING CULTURES MOBILE MUSEUM2558 AIKEN AVE LOS ANGELES, CA 900643306	N/A	PC	UNRESTRICTED GENERAL	250
UNIVERSITY OF SOUTHERN CALIFORNIAUNIVERSITY PARK LOS ANGELES, CA 900890001	N/A	PC	UNRESTRICTED GENERAL	110,000
LOS ANGELES PHILHARMONIC ASSOCIATION151 S GRAND AVE LOS ANGELES, CA 900123034	N/A	PC	UNRESTRICTED GENERAL	161,000
Total				326,250



3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE UCLA FOUNDATION 10920 WILSHIRE BLVD STE 900 LOS ANGELES, CA 900246506	N/A	PC	UNRESTRICTED GENERAL	20,000
ALLIANCE FOR COLLEGE READY PUBLIC SCHOOLS 601 S FIGUEROA ST 4TH FL LOS ANGELES, CA 900173917	N/A	PC	UNRESTRICTED GENERAL	35,000
Total ▶ 3a				326,250

TY 2019 Accounting Fees Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE	2,500			2,500

TY 2019 Investments - Other Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
921908844 VANGUARD DIVIDEND AP	AT COST	127,125	150,693
74441K503 PRUDENTIAL JENNISON			
29875E100 AMERICAN FUNDS EUROP	AT COST	246,609	276,422
461418287 ARISTOTLE/SAUL GLOBA	AT COST	47,786	52,752
44134R834 HOTCHKIS & WILEY VAL	AT COST	200,249	212,304
09252M883 BLACKROCK BOND FUND			
73937B746 POWERSHARES KBW BANK			
73936T763 POWERSHARES FTSE RAF			
464288448 SHARES DJ EPAC SEL D			
464286525 ISHARES TRUST SHS IS			
09252X509 BLACKROCK WORLD			
00768D798 POPLAR FOREST PARTNE			
00766Y299 KOPERNIK GLOBAL ALL-	AT COST	54,778	55,384
921909768 VANGUARD TOTAL INTER	AT COST	461,861	489,709
72201M867 PIMCO ALL ASSET			
72201M719 PIMCO INCOME FUND	AT COST	279,698	281,214
277902573 EATON VANCE RCHRD BR	AT COST	156,757	165,988
64128K751 NEUBERGER BERMAN STR	AT COST	209,399	211,451
56166Y404 TORTOISE MLP &			
543916464 LORD ABBETT SHORT			
46434V621 ISHARES CORE DIVIDEN	AT COST	127,185	150,667
97717X669 WISDOMTREE US QUALIT	AT COST	128,935	150,762
92647K507 VICTORY MUNDER INTL			
74441J829 PRUDENTIAL ABSOLUTE			
577130867 MATTHEWS ASIA PACIFI			
92913L684 VOYA INTERMEDIATE			
922908769 VANGUARD TOTAL STOCK	AT COST	462,303	775,753
44134R800 HOTCHKIS & WILEY FDS			
939330825 AMER FUNDS WASHINGTO	AT COST	189,772	205,059
92189F106 VANECK VECTORS GOLD			

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
90386H404 LYRICAL US VALUE EQU			
464285105 ISHARES COMEX GOLD T			
317609295 SEAFARER OVERSEAS GR			
023375827 AMERICAN AMCAP FUND	AT COST	187,875	204,792
76881N202 RIVERNORTH DOUBLE LI	AT COST	295,759	301,482
74441P866 PRUDENTIAL JENNISON			
74676P698 PUTNAM SHORT DURATIO	AT COST	54,029	54,123
46138E628 INVESCO KBW BANK ETF			
746792407 PUTNAM INCOME FUND	AT COST	95,415	98,857
46434G855 ISHARES MSCI GLOBAL			
22544R305 CREDIT SUISSE COMMOD			
302544101 FPA NEW INCOME INC C			
78463V107 SPDR GOLD TRUST	AT COST	142,695	167,050
72201M594 PIMCO SHORT-TERM FUN			
246248587 DELAWARE DIVERSIFIED			
46138E727 INVESCO FTSE RAFI			
258620301 DOUBLELINE CORE FIXE	AT COST	400,197	410,620
33734X127 FIRST TR ENERGY ALPH			
46432F834 ISHARES CORE MSCI TO			
72201M792 PIMCO FLOATING INCOM			
336920103 FIRST TRUST US EQUIT	AT COST	51,556	56,165
74440B405 PGIM TOTAL RETURN BO	AT COST	394,462	386,567
72201M826 PIMCO DIVERSIFIED IN	AT COST	298,057	300,262
921946810 VANGUARD INTERNATION	AT COST	133,911	150,677
14064D865 FULLER & THALER BEHV	AT COST	50,193	55,905

TY 2019 Other Decreases Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241

Description	Amount
ADJUST MARKET TO TAX COST	34,288

TY 2019 Other Expenses Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER INVESTMENT FEE	150	150		0
STATE FILING FEE	10	0		10
INVSTMT EXPENSE	504	504		0
OTHER EXPENSE (NON-DEDUCTIBLE	150	0		150

TY 2019 Other Income Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	865	0	

TY 2019 Other Increases Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241

Description	Amount
INCOME ADJUSTMENT	677

TY 2019 Other Professional Fees Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED)	40,210	24,126		16,084

TY 2019 Taxes Schedule**Name:** SUGAR FAMILY FOUNDATION**EIN:** 47-3980241

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,253	1,253		0
EXCISE TAX - PRIOR YEAR	4,320	0		0
EXCISE TAX ESTIMATES	20,500	0		0
FOREIGN TAXES ON NONQUALIFIED	504	504		0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Go to www.irs.gov/Form990 for the latest information

OMB No 1545-0047
2019

Name of the organization
SUGAR FAMILY FOUNDATION

Employer identification number
47-3980241

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
SUGAR FAMILY FOUNDATION

Employer identification number
47-3980241

Part I
Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RONALD AND VALERIE SUGAR 228 COPA DE ORO RD <hr/> LOS ANGELES, CA 900773804	<hr/> \$ 699,779	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions)
2	RONALD AND VALERIE SUGAR 228 COPA DE ORO RD <hr/> LOS ANGELES, CA 900773804	<hr/> \$ 701,317	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions)
3	RONALD AND VALERIE SUGAR 228 COPA DE ORO RD <hr/> LOS ANGELES, CA 900773804	<hr/> \$ 595,158	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions)
.	<hr/> <hr/>	<hr/> \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
.	<hr/> <hr/>	<hr/> \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
.	<hr/> <hr/>	<hr/> \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)

Name of organization SUGAR FAMILY FOUNDATION	Employer identification number 47-3980241
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given <small>(see instructions) Use duplicate copies of Part II if additional space is needed</small>	(c) FMV (or estimate) (See instructions)	(d) Date received
1	4650 SHS VANGUARD INDEX FUNDS	\$ 699,779	2019-06-21
2	4581 SHS VANGUARD INDEX FUNDS	\$ 701,317	2019-09-19
3	3701 SHS VANGUARD INDEX FUNDS	\$ 595,158	2019-12-12
.	_____ _____ _____	_____ \$ _____	_____
.	_____ _____ _____	_____ \$ _____	_____
.	_____ _____ _____	_____ \$ _____	_____

Name of organization SUGAR FAMILY FOUNDATION	Employer identification number 47-3980241
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	