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Form 990-PF

Department of the Treasury

Internal Revenue Service

As Filed Data -

DLN: 93491307015661

2020

OMB No. 1545-0052

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to <u>www.irs.gov/Form990PF</u> for instructions and the latest information.

Open to Public Inspection

		ndar year 2020, or tax year beginning 01-01-2	020 , aı	nd er	nding 12-31-		
LE	DUCQ F	Indation OUNDATION			' '	entification numbe	r
		DIOVASCULAR RESEARCH			45-4696263		
		d street (or P.O. box number if mail is not delivered to street addres SNER SUITE 800	s) Room/suite		B Telephone nu	mber (see instructio	ns)
C:L		770 ((713) 627-2440)	
		n, state or province, country, and ZIP or foreign postal code TX 77024			C If exemption	application is pendin	g, check here
G Ch	neck al	I that apply: \Box Initial return \Box Initial return of	a former public charity		D 1. Foreign or	ganizations, check he	ere
		Final return Amended return	า			ganizations meeting k here and attach co	
		Address change Name change			,	undation status was t	· • —
	,	pe of organization: $lacksquare$ Section 501(c)(3) exempt private				n 507(b)(1)(A), chec	
			ole private foundation		-		
of '	year (f	xet value of all assets at end from Part II, col. (c), ▶\$ 74,474,944 J Accounting method: □ Other (specify) (Part I, column (d) must	☐ Cash ☑ Accru	al		ation is in a 60-mont n 507(b)(1)(B), chec	
Pa	rt I	Analysis of Revenue and Expenses (The total	(a) Devenue and				(d) Disbursements
		of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b)	Net investment income	(c) Adjusted net income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received (attach schedule)	20,000,000	,			
	2	Check ▶ ☐ if the foundation is not required to attach					
	3	Sch. B Interest on savings and temporary cash investments	13,399	,	13,399		
	4	Dividends and interest from securities	1,055,849	,	1,055,842		
	5a	Gross rents					
	b	Net rental income or (loss)	_				
<u>e</u>	6a	Net gain or (loss) from sale of assets not on line 10	-255,741				
Revenue	b	Gross sales price for all assets on line 6a	4				
Re	7	Capital gain net income (from Part IV, line 2)	<u>-</u>		0		
	8	Net short-term capital gain					
	9	Income modifications					
	10a	Gross sales less returns and allowances					
	b	Less: Cost of goods sold	_				
	С	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)	6,706,736	_	6,805,194		
	12	Total. Add lines 1 through 11	27,520,243	3	7,874,435		
	13	Compensation of officers, directors, trustees, etc.	C	1	0		1
	14	Other employee salaries and wages					
ses	15	Pension plans, employee benefits	66-1 20.011	-	0		29,91
ē	16a	Legal fees (attach schedule)	29,911	-			29,91
Expenses	b	Accounting fees (attach schedule)	236,246		236,246		
Ve	c c	,		_			
Administrative	17 18	Interest	3,290 (**) 16,157	-	3,290 16,157		
<u> </u>		Taxes (attach schedule) (see instructions) Depreciation (attach schedule) and depletion	10,137		10,137		
Ē	19 20	Occupancy		_			
Ad	21	Travel, conferences, and meetings		-			
n D	22	Printing and publications		\vdash			
Operating and	23	Other expenses (attach schedule)	361,709	 	346,432		82
atin	24	Total operating and administrative expenses.			•		
ber		Add lines 13 through 23	647,313	3	602,125		30,73
ō	25	Contributions, gifts, grants paid	20,292,132	2			20,292,13
	26	Total expenses and disbursements. Add lines 24 and 25	20.020.445		602 125		20, 222, 00
	27	Subtract line 26 from line 12:	20,939,445	<u>'</u>	602,125		20,322,86
	a	Excess of revenue over expenses and					
	 	disbursements Net investment income (if negative enter -0-)	6,580,798	3	7.0== -		
	b c	Net investment income (if negative, enter -0-) Adjusted net income (if negative, enter -0-)			7,272,310		
	_ C	Aujusteu net meome (n negative, enter -0-)					

70,173,434

72,049,896

46,990

46.990

72,002,906

72,002,906

72,049,896

9

3,707

53,811,910

78,634,270

50,566

50,566

78,583,704

78,583,704

78,634,270

1

2

3 4

5

6

72,002,906

6,580,798

78,583,704

78,583,704 Form **990-PF** (2020)

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Less: accumulated depreciation (attach schedule)

Loans from officers, directors, trustees, and other disqualified persons

Mortgages and other notes payable (attach schedule).

Total liabilities(add lines 17 through 22)

Foundations that do not follow FASB ASC 958, check here 🕨 🗹

Capital stock, trust principal, or current funds

Retained earnings, accumulated income, endowment, or other funds

Total net assets or fund balances (see instructions)

Total liabilities and net assets/fund balances (see instructions) .

Total net assets or fund balances at beginning of year-Part II, column (a), line 29 (must agree with end-

Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29

Analysis of Changes in Net Assets or Fund Balances

Paid-in or capital surplus, or land, bldg., and equipment fund

Foundations that follow FASB ASC 958, check here

Total assets (to be completed by all filers—see the

Land, buildings, and equipment: basis

instructions. Also, see page 1, item I)

and complete lines 24, 25, 29 and 30. Net assets without donor restrictions . . .

Net assets with donor restrictions . . .

and complete lines 26 through 30.

of-year figure reported on prior year's return)

Decreases not included in line 2 (itemize) ▶

Other increases not included in line 2 (itemize) -

Other assets (describe > .

Other liabilities (describe -_

Grants payable

13 14

15

16

17

18

19

20 21

22

23

24 25

26

28

29

30

Part III

Liabilities

Fund Balances

ŏ

Assets 27

Net

2

3

49,652,321

74,474,944

324

Page **3**

		nd(s) of property sold (e; or common stock, 20			(b) How acqu P—Purch D—Donat	ase		(c) e acquired , day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Tabl	le								
b									
c									
d									
e									
(e) Gross sales price		(f) Depreciation allov (or allowable)		Cost or d	(g) other basis ense of sale			Gain o	n) r (loss)) minus (g)
a See Additional Data Tabl	le								
b									
c									
d									
e									
Complete only for assets	s showir	ng gain in column (h) an	nd owned b	y the foundation o	on 12/31/69			(I)
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69		Excess	(k) of col. (i) . (j), if any			Gains (Col. (Ì	n) gain minus less than -0-) or
a See Additional Data Tabl	le								
b									
С									
d									
e									
in Part I, line 8	gain or (art I, line	(loss) as defined in secti e 8, column (c) (see inst	{ If (lo	If (loss), enter -0	Part I, line 7	. }	3		-255,741
		Section 4940(e) fo				nt Inc	ome		
SECTION 4940(e) REPEA	LED O	N DECEMBER 20, 2	2019 - D	O NOT COMPLE	ETE				
1 Reserved									
(a) Reserved		(b) Reserved		(c) Reserved				(d) Reserved	
		110001100		110001100					
2 Reserved						2			
3 Reserved						3			
4 Reserved					·	4			
						<u></u>			
5 Reserved						_ 5			
6 Reserved						6			
7 Reserved						7			
8 Reserved ,						8			

_		<u> </u>										
Pai	t VII-B			Activities for Which	Form 4720 May Be	Required (co	ontinue	ed)				
5a	-	e year did the foundation		·							Yes	No
		on propaganda, or otherv			, , , , , , , , , , , , , , , , , , , ,		Yes	✓	No			
	• •	ence the outcome of any s		•								
		rectly or indirectly, any vo		-		• •	Yes	✓	No			
	. ,	de a grant to an individual		, ,,			Yes	✓	No			
		de a grant to an organizat		•	· -	_	_					
		tion 4945(d)(4)(A)? See i					✓ Yes		No			
		de for any purpose other t		- ·	* * * * * * * * * * * * * * * * * * * *	_	_	_				
L		tional purposes, or for the	•	•		_	Yes	✓	No			
D	•	swer is "Yes" to $5a(1)-(5)$		•		•	ea in			5b	Yes	
	_	ns section 53.4945 or in a ions relying on a current r						٠		30	res	
_	-	wer is "Yes" to question 5		-				Ш				
·		se it maintained expenditi			•	F	<u> </u>					
		attach the statement requi				· . P	Z Yes	Ш	No			
6a		undation, during the year		· =	—	ımc on						
0a		I benefit contract?			manecay, to pay premia	IIIIS 011 F	_					
b		undation, during the year			irectly on a nersonal her	· efit contract?	」 Yes	✓	No	6b		No
_		6b, file Form 8870.	, pu,	premianis, an early or mai	receiff of a personal bei	iene contrace.	•	•				-110
7a		ne during the tax year, wa	s the	foundation a party to a pi	rohibited tax shelter tran	saction? F	٦ .					
b	-	lid the foundation receive				<u>L</u>	⊒ Yes 	' ⊻'	No	7b		
8		ndation subject to the sec		•				-				
_		rachute payment during t					_					
							<u> Yes</u>		No malo	<u></u>		
Pai	t VIII	and Contractors	JIIIC	ers, Directors, Trust	ees, roundation Ma	iliagers, nigi	IIY Pa	IU EI	пріо	yees,		
1	List all of	ficers, directors, truste	oc fo	undation managers an	d their compensation	Saa instructie	nc.					
	LISC all OI	incers, un ectors, truste		b) Title, and average	(c) Compensation (If	(d) Contrib		to	Τ,,			
	(a) Na	ame and address	`	hours per week	not paid, enter	employee bene	efit plar	s and		Exper		
			ļ	devoted to position	-0-)	deferred cor	npensa		+	oution at	owant	
	TANCREDI	TE 000	PRES 10.00	IDENT & DIRECTOR)	0			(기			0
	ESSNER SUITON, TX 770											
	IN LANDALU		VICE	PRESIDENT & DIRECTOR	0							0
820 G	ESSNER SUI	TE 800	10.00)								
	TON, TX 770	024	ļ						-			
	S FLOYD		SECR	ETARY-TREASURER/DIRECT	0			(기			0
	ESSNER SUITON, TX 770											
	MARY MC GR		ASSI	STANT SECRETARY	0			(0
		19TH FLOOR	4.00									
	ON, MA 021:		<u> </u>			L					NONE	,,
2	Compens	ation of five highest-pa	ıa en	iployees (other than th	ose included on line 1				ne, e	nter	NONE.	
(a)	Name and a	address of each employee	paid	(b) Title, and average		(d) Contrib			(e)	Expens	se acco	unt.
(-)		ore than \$50,000		hours per week devoted to position	(c) Compensation	plans and	deferre			her all		
				acvoted to position		compen	sation					
NON												
						-						
						-						
Tota	I number o	f other employees paid ov	er \$5	0,000								0
									Eo.	-m 001	1-DF (2020

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Information About Officers, Directors, True and Contractors (continued)	ustees, Foundation Managers, Highly Paid	Employees,
Five highest-paid independent contractors for profession	nal services (see instructions). If none, enter "N	IONE".
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MBRIDGE ASSOCIATES LLC	INVESTMENT MANAGEMENT FEES	117,877
HIGH STREET		
STON, MA 02110 ANDYWINE GLOBAL INVESTMENT MANAGEMENT LLC	INVESTMENT MANAGEMENT FEES	112 226
B5 MARKET STSTE 1800		113,326
LADELPHIA, PA 19103		
al number of others receiving over \$50,000 for professional service	ces	0
art IX-A Summary of Direct Charitable Activities	T	
the foundation's four largest direct charitable activities during the tax year. I anizations and other beneficiaries served, conferences convened, research pa		Expenses
		1
-		1
		
		-
		-
		4
		_
		_
art IX-B Summary of Program-Related Investment	ts (see instructions)	
Describe the two largest program-related investments made by the foundatio	on during the tax year on lines 1 and 2.	Amount
All other program-related investments. See instructions.		
al. Add lines 1 through 3		> 0

Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . .

Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.

Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for

Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:

Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,

Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

Adjusted qualifying distributions. Subtract line 5 from line 4.

Qualifying Distributions (see instructions)

Amounts set aside for specific charitable projects that satisfy the:

the section 4940(e) reduction of tax in those years.

Part XII

1

2

3

4

5

b

7

1a

1b

2

3a 3b

4

5

3.166.893

20,322,868

20,322,868

20.322.868

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3,166,893

3,166,893

Form 990-PF (2020)

2020

orm 990-PF (20	020)
Part XIII	Undistributed Income (see instructions)

Distributable amount for 2020 from Part XI, line 7

2 Undistributed income, if any, as of the end of 2020: a Enter amount for 2019 only. **b** Total for prior years: 20______, 20______ Excess distributions carryover, if any, to 2020:

f Total of lines 3a through e.

d Applied to 2020 distributable amount. . . . e Remaining amount distributed out of corpus

same amount must be shown in column (a).)

5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the

a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5

applied on line 5 or line 7 (see instructions) . . .

Subtract lines 7 and 8 from line 6a

9 Excess distributions carryover to 2021.

10 Analysis of line 9:

a Excess from 2016. . .

c Excess from 2018. . .

d Excess from 2019. .

e Excess from 2020. .

b Excess from 2017. .

6 Enter the net total of each column as

b Prior years' undistributed income. Subtract c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. **d** Subtract line 6c from line 6b. Taxable amount —see instructions e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2015 not

indicated below:

4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 20,322,868 a Applied to 2019, but not more than line 2a **b** Applied to undistributed income of prior years (Election required—see instructions). c Treated as distributions out of corpus (Election required—see instructions). (a)

Corpus

87,930,707

17,155,975

105,086,682

8,609,624

96,477,058

8.609.624 8,449,971

26,020,767

24.190.263 20,660,082

8.449.971

26,020,767

24.190.263

20,660,082

17.155.975

(b)

Years prior to 2019

(c)

2019

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Part XIII	Undis

Form 990-PF (2	020)
Part XIII	Undi

From 2015.

b From 2016. c From 2017. . .

d From 2018.

e From 2019.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

c Any submission deadlines:

factors:

		_

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Part XV Supplementary Informa	ation (continued)			
3 Grants and Contributions Paid	d During the Year or Appr	oved for Futu	re Payment	
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	contribution	Amount
a Paid during the year See Additional Data Table				
Total	 		▶ 3a	20,292,132
b Approved for future payment				
			1	
			ı	
<u> </u>			1	
Total			▶ 3b	0

Enter gross amounts unless	otherwise indicated.	Unrelated bu	ısiness income	Excluded by section	n 512, 513, or 514	(e) Related or exempt
1 Program service revenue	:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	function income (See instructions.)
a						
b						
c d						
e						
f	•					
g Fees and contracts from						
2 Membership dues and as3 Interest on savings and investments				14	13,399	
4 Dividends and interest fr	om securities	531390	7	14	1,055,842	
5 Net rental income or (los	•					
a Debt-financed propertyb Not debt-financed prop						
6 Net rental income or (los						
7 Other investment income				18	6,805,194	
8 Gain or (loss) from sales inventory	of assets other than			40	255 744	
9 Net income or (loss) from				18	-255,741	
10 Gross profit or (loss) fro	•					
11 Other revenue:						
<u>DEERFIELD PRIVATE DESIG</u><u>b</u> DEERFIELD PRIVATE DESIGN		531390 531390	-95,511 -2.947			
DELINITED TRIVATE DESI-		331390	-2,547			
С						
c						
d e						
d	b), (d), and (e)		-98,451	-	7,618,694	7 520 243
de 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13)	b), (d), and (e)	ılations.)		1	7,618,694 3	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No Explain below	b), (d), and (e). nns (b), (d), and (e). 3 instructions to verify calcu	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243
d e 12 Subtotal. Add columns (13 Total. Add line 12, colur (See worksheet in line 13 Part XVI-B Relation Line No. Explain below the accomplish	b), (d), and (e) mns (b), (d), and (e) 3 instructions to verify calcuship of Activities to the	ulations.) ne Accomplish income is reporte		pt Purposes Part XVI-A contribu	3uted importantly to	7,520,243

Fo	rm 990-P	F (2020)				Pa	ge 13
	Part XV	Information Re Exempt Organi		ions and Relationships With Nonchari	table		
1			directly engage in any of the following with a organizations) or in section 527, relating to	ny other organization described in section 501 political organizations?		Yes	No
a	Transfe	s from the reporting foun	dation to a noncharitable exempt organization	on of:			
	(1) Ca	sh .			1a(1)		No
					1a(2)		No
Ŀ	Other tr	ansactions:					
	(1) Sa	es of assets to a nonchar	itable exempt organization		1b(1)		No
	(2) Pui	chases of assets from a r	noncharitable exempt organization		1b(2)		No
	(3) Re	ntal of facilities, equipmer	nt, or other assets		1b(3)		No
	(4) Re	imbursement arrangemen	ts		1b(4)		No
	(5) Lo	ans or loan guarantees.			1b(5)		No
	(6) Peri	ormance of services or m	embership or fundraising solicitations		1b(6)		No
c	Sharing	of facilities, equipment, n	nailing lists, other assets, or paid employees		1c		No
	of the g in any t	oods, other assets, or ser ransaction or sharing arra	vices given by the reporting foundation. If th ngement, show in column (d) the value of th		ie		
<u>(a</u>) Line No.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sha	ring arra	ngemer	its
_							
_							
_							
_							
_							
_							
			1				

		T			
(a) Line No.	(b) Amount involved	(c) Name of noncha	aritable exempt organization	(d) Desc	ription of transfers, transactions, and sharing arrangements
2a Is the fou	undation directly or indir	ectly affiliated with	, or related to, one or more	tax-exem	ot organizations
described	l in section 501(c) (othe	r than section 501(c)(3)) or in section 527?.		Yes 🛂 No
	complete the following s		-,(-,, -,		
2 1	(a) Name of organizati		(b) Type of organizat	ion	(c) Description of relationship
	(=) Hame or organizati		(b) 1,pc of organizat		(a) possiblion of foldermanip

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of

Sign Here

which preparer has any knowledge. May the IRS discuss this 2021-11-03 return with the preparer shown Signature of officer or trustee Title Date (see instr.) 🗹 Yes 🗆 No PTIN Print/Type preparer's name Preparer's Signature Date

Paid **Preparer Use Only**

Firm's name ▶ JOHNSON & WURZER PC Firm's address ▶ 820 GESSNER SUITE 800

HOUSTON, TX 77024

DENISE M STONER

Check if self-

employed ▶ 🔲

Phone	no.	(713)	627-2440	

P01235366

Firm's EIN ▶74-1988615

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d List and describe the kind(s) of property sold (e.g., real estate, (d) (b) (c) How acquired (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) Date acquired Date sold P-Purchase (mo., day, yr.) (mo., day, yr.) D-Donation CEREP III FEEDER, L.P. Р 2019-12-31 2020-12-31 DEERFIELD PRIVATE DESIGN FUND III, L.P. Ρ 2019-12-31 2020-12-31 DEERFIELD PRIVATE DESIGN FUND IV, L.P. Р 2019-12-31 2020-12-31 GLOBAL OPPORTUNISTIC FIXED INCOME PORTFOLIO (DIRECT SALES) Ρ 2019-12-31 2020-12-31 GLOBAL OPPORTUNISTIC FIXED INCOME PORTFOLIO (PASSTHROUGH) Ρ 2019-12-31 2020-12-31 Р PIVOTNORTH FUND II, LP 2019-12-31 2020-12-31 RESOURCE CAPITAL FUND VI L.P. Р 2019-12-31 2020-12-31 Р TWO SIGMA ACTIVE EXTENSION ALL CAP EQUITY CAYMAN FUND LTD 2019-12-31 2020-12-31 DEERFIELD PRIVATE DESIGN FUND III, L.P.- UBTI ADJUSTMENT Ρ 2019-12-31 2020-12-31 DEERFIELD PRIVATE DESIGN FUND III, L.P.- UBTI ADJUSTMENT Р 2019-12-31 2020-12-31 Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h (e) Gross sales price Depreciation allowed Cost or other basis Gain or (loss) (f) (or allowable) (g) plus expense of sale (h) (e) plus (f) minus (g) 60.880 60.880 40,142 40,142 39,995 -39,995 23,890,401 24,959,424 -1,069,023 841,034 841,034 191,329 -191,329 97,132 97,132 5,418 5,418 3,507 3,507 3,507 -3,507 Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Gains (Col. (h) gain minus col. (k), but not less than -0-) or (i) F.M.V. as of 12/31/69 Adjusted basis Excess of col. (i) **(I)** Losses (from col.(h)) (j) as of 12/31/69 (k) over col. (j), if any 60,880 40,142 -39,995 -1,069,023 841,034 -191,329 97,132 5,418 3,507 -3,507

Recipient If recipient is an individual, show any relationship to any foundation manager or substantial contributor

If recipient is an individual, show any relationship to any foundation manager or substantial contributor

Recipient Purpose of grant or contribution recipient or status of recipient or substantial contributor

a Paid during the year

Total .

ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVENUE BRONX, NY 10461	PC	GENERAL SUPPORT	376,622
BAYLOR COLLEGE OF MEDICINE PO BOX 301207	PC	GENERAL SUPPORT	129,567

PO BOX 301207 DALLAS, TX 75303			
BIOMEDICAL RESEARCH FOUNDATION ACADEMY OF ATHENS 4 SORANOU EPHESSIOU ST ATHENS GR	PC	GENERAL SUPPORT	70,329

▶ 3a

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of

any foundation manager

or substantial contributor

Name and address (home or business)

Total .

	or substantial continuator			
a Paid during the year				
BOSTON CHILDREN'S HOSPITAL 300 LONGWOOD AVENUE BOSTON, MA 02115		PC	GENERAL SUPPORT	307,005

recipient

319,133

20,292,132

▶ 3a

CASE MESTERN RESERVE HNDVERSITY	20	CENERAL CURRORT	
BOSTON, MA 02115			
75 FRANCIS STREET			
BRIGHAM & WOMEN'S HOSPITAL	PC	GENERAL SUPPORT	

BOSTON, MA 02115			
CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVENUE STE 615	PC	GENERAL SUPPORT	195,548
CLEVELAND, OH 441067037			

BUSTON, MA UZITS			
CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVENUE STE 615 CLEVELAND, OH 441067037	PC	GENERAL SUPPORT	195,548

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year

Total .

CENTRO NACIONAL DE INVESTIGACIONES CARDIOVASCULARES CARLOS III (FSP) 28029 MADRID SP	PC	GENERAL SUPPORT	180,094
CHILDREN'S HOSPITAL MEDICAL	PC	GENERAL SUPPORT	47,506

CENTER CINCINNATI 3333 BURNET AVENUE CINCINNATI, OH 452293039			
CLEVELAND CLINIC FOUNDATION 9500 EUCLID AVENUE JJN5-01 CLEVELAND, OH 44195	PC	GENERAL SUPPORT	110,935

CINCINNATI, OH 452293039			
CLEVELAND CLINIC FOUNDATION 9500 EUCLID AVENUE JJN5-01 CLEVELAND, OH 44195	PC	GENERAL SUPPORT	110,935

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution

recipient

▶ 3a

20,292,132

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

any foundation manager

or substantial contributor

Name and address (home or business)

GΜ

a Paid during the year			
COLUMBIA UNIVERSITYPO BOX 29789 NEW YORK, NY 100879789	PC	GENERAL SUPPORT	402,325
CVPATH INSTITUTE INC 19 FIRSTFIELD ROAD GAITHERSBURG, MD 20878	PC	GENERAL SUPPORT	159,463
DEUTSCHES HERZZENTRUM MUNCHEN LAZARETTSTRABE 36 MUNCHEN	GOV	GENERAL SUPPORT	115,375

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business)

Name and address (nome or business)	or substantial contributor			
a Paid during the year				
DIGNITY HEALTH350 W THOMAS ROAD		PC	GENERAL SUPPORT	105,570

		,
PC	GENERAL SUPPORT	392,155
	PC	PC GENERAL SUPPORT

DUKE UNIVERSITYPO BOX 602651 CHARLOTTE, NC 282602651	PC	GENERAL SUPPORT	
EMORY UNIVERSITY	PC	GENERAL SUPPORT	

CHARLOTTE, NC 282602651			
EMORY UNIVERSITY	PC	GENERAL SUPPORT	109,
1599 CLIFTON ROAD NE 4TH FLOOR			

	PC	GENERAL SUPPORT	109,:

EMORY UNIVERSITY	PC	GENERAL SUPPORT	109,183
1599 CLIFTON ROAD NE 4TH FLOOR			
ATLANTA, GA 303224250			

ATLANTA, GA 303224250		
1599 CLIFTON ROAD NE 4TH FLOOR		1

ATLANTA, GA 303224250		
Total	 ▶ 3a	20,292,132

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
FONDATION LEDUCQ 1 RUE LAURENT PICHAT PARIS 75116	POF-ERGA IN PLACE	SUPPORT INTERNATIONAAL EFFORTS TO COMBAT CARDIOVASCULAR AND NEUROVASCULAR DISEASE	6,000,000

FR		NEUROVASCULAR DISEASE	
HARVARD UNIVERSITY 7 DIVINITY AVENUE CAMBRIDGE, MA 02138	PC	GENERAL SUPPORT	,

7 DIVINITY AVENUE CAMBRIDGE, MA 02138	PC	GENERAL SUPPORT	90,032
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PLACE BOX 3500	PC	GENERAL SUPPORT	223,220

00 022

CAMBRIDGE, MA 02138			
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PLACE BOX 3500 NEW YORK, NY 100296574	PC	GENERAL SUPPORT	223,220

Total		▶ 3a	20 292 132
ONE GUSTAVE L LEVY PLACE BOX 3500 NEW YORK, NY 100296574			
MOUNT SINAI			,
ICAHN SCHOOL OF MEDICINE AT	PC	GENERAL SUPPORT	223,220

ONE GUSTAVE L LEVY PLACE BOX 3500 NEW YORK, NY 100296574			
Total	 	▶ 3a	20,292,132

ISTITUTO AUXOLOGICO ITALIANO VIA L ARIOSTO MILANO IT	PC	GENERAL SUPPORT	58,218
JOHNS HOPKINS UNIVERSITY 3400 N CHARLES STREET BALTIMORE, MD 21211	PC	GENERAL SUPPORT	369,820

3400 N CHARLES STREET BALTIMORE, MD 21211			303,020
KING'S COLLEGE LONDON 5-11 LAVINGTON STREET LONDON SE NZ UK	PC	GENERAL SUPPORT	113,159

За

20,292,132

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
KYOTO UNIVERSITY 36-1 YOSHIDAHONMACHI	POF-ERGA IN PLACE	GENERAL SUPPORT	96,114

PC GENERAL SUPPORT

Total .

JA LMU KLINKIKUM DER UNIVERSITAT 228,299 MUNCHEN SCHILLERSTRABE 40

MUNCHEN GM			
MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT STREET BOSTON, MA 02114	PC	GENERAL SUPPORT	242,992

MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT STREET BOSTON, MA 02114	PC	GENERAL SUPPORT	242,99
BOSTON, MA 02114			

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
MAX PLANCK INSTITUTE FOR HEART AND LUNG RESEARCH PARKSTR 1 D BAD NAUHFIM	PC	GENERAL SUPPORT	150,510

215,234

20,292,132

GM MAX PLANCK INSTITUTE FOR PC GENERAL SUPPORT MOLECULAR BIOMEDICINE **RONTGENSTRABE 20** MUNSTER

GM			
MEMORIAL SLOAN-KETTERING CANCER CENTER PO BOX 27084 NEW YORK, NY 100877084	PC	GENERAL SUPPORT	142,206

П	MEMORIAL SLOAN-KETTERING CANCER	PC	GENERAL SUPPORT	i
	CENTER			
	PO BOX 27084			
	NEW YORK, NY 100877084			
1				

Total . . .

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business)

a Paid during the year			
NATIONAL HEART LUNG AND BLOOD INSTITUTE 31 CENTER DRIVE MSC 2486	GOV	GENERAL SUPPORT	1,056

BETHESDA, MD 20892		
CHARITE UNIVERSITATSMEDIZIN BERLIN CHARITEPLATZ 1 BERLIN 10117 GM	PC	GENERAL SUPPORT

Total.

or substantial contributor

CHARITEPLATZ 1 BERLIN 10117 GM			
NETHERLANDS HEART INSTITUTE	PC	GENERAL SUPPORT	153,821

55,010

GM			
NETHERLANDS HEART INSTITUTE MOREELSEPARK 1 3511 EP UTRECHT NL	PC	GENERAL SUPPORT	153,821

Recipient If recipient is an individual, Purpose of grant or Foundation Amount show any relationship to status of contribution any foundation manager

recipient

▶ 3a

20,292,132

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

or substantial contributor

Name and address (home or business)

a Paid during the year			
NEW YORK UNIVERSITY 105 EAST 17TH STREET 3RD FLOOR NEW YORK, NY 10003	PC	GENERAL SUPPORT	221,965
NORTHWESTERN UNIVERSITY 633 CLARK STREET ROOM G-547	PC	GENERAL SUPPORT	747,769

NORTHWESTERN UNIVERSITY 633 CLARK STREET ROOM G-547 EVANSTON, IL 602081112	PC	GENERAL SUPPORT	747,7
NYU SCHOOL OF MEDICINE	PC	GENERAL SUPPORT	252,9

EVANSTON,IL 602081112			
NYU SCHOOL OF MEDICINE 550 FIRST AVENUE	PC	GENERAL SUPPORT	252,980

NYU SCHOOL OF MEDICINE	PC	GENERAL SUPPORT	252,980
550 FIRST AVENUE			
NEW YORK, NY 10016			

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to contribution status of any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
OREGON HEALTH & SCIENCE UNIVERSITY PO BOX 3003	GOV	GENERAL SUPPORT	295,939

PORTLAND, OR 972083003			
PRESIDENT AND FELLOWS OF HARVARD	PC	GENERAL SUPPORT	198,663
COLLEGE ON BEHALF OF HARVARD			
MEDICAL SCHOO			
25 SHATTUCK STREET SUITE 509			
BOSTON, MA 02115			

IDLIEGE ON BEHALF OF HARVARD IEDICAL SCHOO 5 SHATTUCK STREET SUITE 509 IOSTON, MA 02115			
UTGERS THE STATE UNIVERSITY OF IEW JERSEY 3 KNIGHTSBRIDGE RD 2ND FLOOR	GOV	GENERAL SUPPORT	

Total .

BOSTON, MA 02115			
RUTGERS THE STATE UNIVERSITY OF NEW JERSEY 33 KNIGHTSBRIDGE RD 2ND FLOOR EAST	GOV	GENERAL SUPPORT	304,86

BOSTON, MA 02115			
RUTGERS THE STATE UNIVERSITY OF NEW JERSEY	GOV	GENERAL SUPPORT	304,868
33 KNIGHTSBRIDGE RD 2ND FLOOR EAST			
PISCATAWAY, NJ 08854			

▶ 3a

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to contribution status of any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORREY PINES ROAD	PC	GENERAL SUPPORT	158,998

LA JOLLA, CA 920371002			
SAN DIEGO STATE UNIVERSITY RESEARCH FOUNDATION	PC	GENERAL SUPPORT	115,280
5250 CAMPANILE DRIVE			
SAN DIEGO CA 921821948			

RESEARCH FOUNDATION 5250 CAMPANILE DRIVE SAN DIEGO, CA 921821948			,
STANFORD UNIVERSITYPO BOX 44253	PC	GENERAL SUPPORT	722,203

5250 CAMPANILE DRIVE SAN DIEGO, CA 921821948			
STANFORD UNIVERSITYPO BOX 44253	PC	GENERAL SUPPORT	722,203

SAN DIEGO, CA 921821948			
STANFORD UNIVERSITYPO BOX 44253 STANFORD, CA 94305	PC	GENERAL SUPPORT	722,203

STANFORD UNIVERSITYPO BOX 44253	PC	GENERAL SUPPORT	722,2
STANFORD, CA 94305			

STANFORD UNIVERSITYPO BOX 44253	PC	GENERAL SUPPORT	/22,21
STANFORD, CA 94305			

Total .

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business) or substantial contributor

	or substantial contributor			
a Paid during the year				
HANNOVER MEDICAL SCHOOL CARL-NEUBERG-STR1 HANOVER 30624		PC	GENERAL SUPPORT	41,322

GM			
TECHNION RESEARCH & DEVELOPMENT FOUNDATION LTD TECHNION CITY HAIFA	PC	GENERAL SUPPORT	75,775

TECHNION CITY HAIFA IS			
THE BROAD INSTITUTE INC	PC	GENERAL SUPPORT	166,007

IS			
THE BROAD INSTITUTE INC 415 MAIN STREET CAMBRIDGE, MA 021421027	PC	GENERAL SUPPORT	166,00

THE BROAD INSTITUTE INC 415 MAIN STREET CAMBRIDGE, MA 021421027	PC	GENERAL SUPPORT	166,00

20,292,132

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year THE GEORGE WASHINGTON PC **GENERAL SUPPORT** 189,422 UNIVERSITY

ASHBURN, VA 20147			
THE UNIVERSITY OF ARIZONA 1303 E UNIVERSITY BLVD BOX 3 TUCSON, AZ 857190521	GOV	GENERAL SUPPORT	80,511
THE UNIVERSITY OF MANCHESTER SIMON BUILDING ROOM 121 BRUNSWICK STREET MANCHESTER M PL UK	PC	GENERAL SUPPORT	61,750

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20,292,132

TUCSON, AZ 857190521			
THE UNIVERSITY OF MANCHESTER SIMON BUILDING ROOM 121 BRUNSWICK STREET MANCHESTER M PL UK	PC	GENERAL SUPPORT	61,750

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year THE UNIVERSITY OF TEXAS HEALTH GOV GENERAL SUPPORT 425,945 SCIENCE CENTER AT HOUSTON PO BOX 301418 DALLAS, TX 753031418 35 633 THOMAS IEEEEDSON LINIT/EDSITY ا مر CENEDAL SUDDODT

170 S INDEPENDENCE MALL WEST SUITE 925 E BOX 21 PHILADELPHIA, PA 191063333	FC .	GENERAL SUFFORT	33,033
IMPERIAL COLLEGE LONDON SHERFIELD BUILDING-SOUTH KENSINGTON CAMPUS LONDON SW AZ	PC	GENERAL SUPPORT	9,742

▶ 3a

20,292,132

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Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to contribution status of any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
UNIVERSITY MEDICAL CENTER GOTTINGEN ROBERT-KOCH-STR 40 D GOTTINGEN GM	PC	GENERAL SUPPORT	161,651

UNIVERSITY MEDICAL CENTER UTRECHT PO BOX 85500 GA UTRECHT NL	GOV	GENERAL SUPPORT	123,651
UNIVERSITY OF CALIFORNIA IRVINE	GOV	GENERAL SUPPORT	249,758

GA UTRECHT NL			
UNIVERSITY OF CALIFORNIA IRVINE 120 THEORY SUITE 200 IRVINE, CA 925971050	GOV	GENERAL SUPPORT	249,758

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20,292,132

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to contribution status of any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
UNIVERSITY OF CALIFORNIA LOS ANGELES 405 HILGARD AVENUE BOX 957089 1125 MURPHY HALL	GOV	GENERAL SUPPORT	280,569

MURPHY HALL LOS ANGELES, CA 900959000			
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE 0954 LA 1011A CA 920930954	GOV	GENERAL SUPPORT	516,950

DIEGO 9500 GILMAN DRIVE 0954 LA JOLLA, CA 920930954			
UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE	PC	GENERAL SUPPORT	279,672

LA JOLLA, CA 920930954			
UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE CHICAGO, IL 60637	PC	GENERAL SUPPORT	279,67

UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE CHICAGO, IL 60637	PC	GENERAL SUPPORT	279,67
Total	 	▶ 3a	20,292,132

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to contribution status of

Name and address (home or business)	or substantial contributor	recipient		
a Paid during the year				
UNIVERSITY OF CINCINNATI		GOV	GENERAL SUPPORT	226,951

PO BOX 210222 CINCINNATI, OH 452210222			
UNIVERSITY OF COLORADO	GOV	GENERAL SUPPORT	16

Total .

UNIVERSITY OF COLORADO 12631 EAST 17TH AVE ROOM 7111 AURORA, CO 80045	GOV	GENERAL SUPPORT	162,211
UNIVERSITY OF FLORIDA	GOV	GENERAL SUPPORT	302,968

AURORA, CO 80045			
UNIVERSITY OF FLORIDA 123 GRINTER HALL-PO BOX 113001 GAINESVILLE, FL 32611	GOV	GENERAL SUPPORT	302,968

UNIVERSITY OF FLORIDA	GOV	GENERAL SUPPORT	302,968
123 GRINTER HALL-PO BOX 113001 GAINESVILLE, FL 32611			ŕ

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to contribution status of any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
UNIVERSITY OF MARYLAND BALTIMORE 220 ARCH STREET OFFICE LEVEL 2 BALTIMORE, MD 212011531	GOV	GENERAL SUPPORT	12,169
UNIVERSITY OF MASSACHUSETTS MEDICAL SCHOOL	GOV	GENERAL SUPPORT	6,628

55 LAKE AVENUE NORTH WORCESTER, MA 01655			
UNIVERSITY OF OXFORD UNIVERSITY OFFICES WELLINGTON SQUARE OXFORD OX ID	PC	GENERAL SUPPORT	113,385

UNIVERSITY OF OXFORD UNIVERSITY OFFICES WELLINGTON	PC	GENERAL SUPPORT	113,38
SQUARE			
OXFORD OX JD			
UK			

▶ 3a

20,292,132

Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year

Total .

UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET P221 FRANKLIN BLDG PHILADELPHIA, PA 191046205	PC	GENERAL SUPPORT	188,119
UNIVERSITY OF PITTSBURGH	GOV	GENERAL SUPPORT	150,515

За

UNIVERSITY OF PITTSBURGH 3100 CATHEDRAL OF LEARNING PITTSBURGH, PA 15260	GOV	GENERAL SUPPORT	150,51!
UNIVERSITY OF SOUTHERN CALIFORNIA 3500 S FIGUEROA STREET SUITE 102	GOV	GENERAL SUPPORT	99,342

PITTSBURGH, PA 15260			
UNIVERSITY OF SOUTHERN CALIFORNIA 3500 S FIGUEROA STREET SUITE 102 LOS ANGELES, CA 900898001	GOV	GENERAL SUPPORT	99,342

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year			
UNIVERSITY OF VERMONT 217 WATERMAN BUILDING 85 SOUTH PROSPECT STREET BURLINGTON VT. 054050160	GOV	GENERAL SUPPORT	2,712

BURLINGTON, VT 054050160			
UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE, VA 22904	GOV	GENERAL SUPPORT	

Total .

UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE, VA 22904	GOV	GENERAL SUPPORT	104,500
UNIVERSITY OF WASHINGTON 4300 ROOSEVELT WAY NE STE 300 BOX	GOV	GENERAL SUPPORT	149,027

CHARLOTTESVILLE, VA 22904			
UNIVERSITY OF WASHINGTON 4300 ROOSEVELT WAY NE STE 300 BOX 354966 SEATTLE WA 981954966	GOV	GENERAL SUPPORT	149,027

UNIVERSITY OF WASHINGTON 4300 ROOSEVELT WAY NE STE 300 BOX	GOV	GENERAL SUPPORT	149,027
354966			
SEATTLE, WA 981954966			

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Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

a Paid during the year			
UNIVERSITY OF WISCONSIN-MADISON OFFICE FOR RESEARCH SPONSORED	GOV	GENERAL SUPPORT	70,513

PROGRAMS DRAWER 538 MILWAUKEE, WI 532780538			
UT SOUTHWESTERN MEDICAL CENTER AT DALLAS	GOV	GENERAL SUPPORT	458,706

UT SOUTHWESTERN MEDICAL CENTER AT DALLAS 5323 HARRY PINES BLVD BOX 841765 DALLAS, TX 752841765	GOV	GENERAL SUPPORT	458,706
VANDERBILT UNIVERSITY	PC	GENERAL SUPPORT	78,862

5323 HARRY PINES BLVD BOX 841765 DALLAS, TX 752841765			
VANDERBILT UNIVERSITY 2301 VANDERBILT PLACE	PC	GENERAL SUPPORT	78,862

VANDERBILT UNIVERSITY 2301 VANDERBILT PLACE	PC	GENERAL SUPPORT	78,862
NASHVILLE, TN 372401591			

2301 VANDERBILT PLACE NASHVILLE, TN 372401591			
Total	 	 > 3a	20,292,132

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to contribution status of any foundation manager recipient Name and address (home or business) or substantial contributor

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

a Paid during the year			
VANDERBILT UNIVERSITY MEDICAL CENTER PO BOX 121236 DALLAS, TX 753121236	PC	GENERAL SUPPORT	289,456

DALLAS,TX 753121236			
YALE UNIVERSITYPO BOX 1873 NEW HAVEN, CT 065081873	PC	GENERAL SUPPORT	511,078
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION INC 4150 CLEMENT STREET 151NC	PC	GENERAL SUPPORT	20,509

NEW HAVEN, CT 065081873			
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION INC 4150 CLEMENT STREET 151NC SAN FRANCISCO, CA 94121	PC	GENERAL SUPPORT	20,509

FOR RESEARCH AND EDUCATION INC 4150 CLEMENT STREET 151NC SAN FRANCISCO, CA 94121			
Total	 	▶ 3a	20,292,132

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

,	a Paid during the year			
	THE RESEARCH FOUNDATION FOR STATE UNIVERSITY OF NEW YORK PO BOX 9 ALBANY, NY 122010009	PC	GENERAL SUPPORT	132,949

ALBANT, NT 122010003			
REGION HOVEDSTADENRIGSHOSPITALET VALDEMAR HANSENS VEJ 2 OPGANG 8 4 SAL GLOSTRUP DA	GOV	GENERAL SUPPORT	21,193

VALDEMAR HANSENS VEJ 2 OPGANG 8 4 SAL GLOSTRUP DA			
UNIVERSITE DE GENEVEGH-1211	PC	GENERAL SUPPORT	76 504

GLOSTRUP DA			
UNIVERSITE DE GENEVEGH-1211	PC	GENERAL SUPPORT	76,504

DA			
UNIVERSITE DE GENEVEGH-1211 GENEVE S7	PC	GENERAL SUPPORT	76,50
S/			

UNIVERSITE DE GENEVEGH-1211	PC	GENERAL SUPPORT	76,!
GENEVE			•
SZ			

GENEVE SZ		
Total	 ▶ 3a	20,292,132

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to contribution status of any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year

47,428

49,064

20,292,132

UNIVERSITY OF HELSINKI	PC	GENERAL SUPPORT	
PO BOX 53 FIN00014 HELSINKI			
FI			

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

UNIVERSITY OF LEICESTER UNIVERSITY ROAD EICESTER LE1 7RH UK	PC	GENERAL SUPPORT	

Total .

LEICESTER LE1 7RH UK			
UNIVERSITY OF LUBECK INSTITUTE FOR CARDIOGENETICS MARIA-GOEPERT STR 1 LUBECK 23562	PC	GENERAL SUPPORT	131,819

UNIVERSITY OF LUBECK INSTITUTE FOR CARDIOGENETICS	PC	GENERAL SUPPORT	131,819
MARIA-GOEPERT STR 1			
LUBECK 23562			
GM			

CHIVERSIII OF ECDECK INSTITUTE OR	' ~	OLIVER OF SOUR ORVI	1
CARDIOGENETICS			
MARIA-GOEPERT STR 1			
LUBECK 23562			
GM			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Expenditure Responsibility Statement

Name: LEDUCQ FOUNDATION

FOR CARDIOVASCULAR RESEARCH

EIN: 45-4696263

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
FONDATION LEDUCQ	1 RUE LAURENT PICHAT PARIS 75116 FR	2020-06-30	6,000,000	THE GRANT IS A CONTRIBUTION TO THE CHARITABLE ORGANIZATION'S MEDICAL RESEARCH, AS SUPPORTED BY AN EXPENDITURE RESPONSIBILITY GRANT AGREEMENT EXECUTED BY GRANTEE PRIOR TO THE GRANT. THE GRANTE WAS CREATED UNDER THE LAWS OF FRANCE AND IS OPERATED UNDER THE LAWS OF THE FRANCE EXCLUSIVELY FOR EDUCATIONAL OR CHARITABLE PURPOSES. IT IS RECOGNIZED BY FRANCE AS A FOUNDATION OF PUBLIC UTILITY AND BENEFIT, HAVING ACHIEVED THAT STATUS BY PUBLIC DECREE ON 27 NOVEMBER 1996. THE PURPOSE OF THE GRANT IS TO SUPPORT GRANTEE'S MISSION TO IMPROVE HUMAN HEALTH THROUGH INTERNATIONAL EFFORTS TO COMBAT CARDIOVASCULAR AND NEUROVASCULAR DISEASE. THESE INTERNATIONAL EFFORTS ARE ACCOMPLISHED BY RESEARCH GRANTS TO UNIVERSITIES AND MEDICAL ORGANIZATIONS LOCATED THROUGHOUT THE UNITED STATES AND THE WORLD.	6,000,000	NONE TO THE KNOWLEDGE OF THE LEDUCQ FOUNDATION.	2020 FORMAL WRITTEN REPORT	2021-06-01	THE 2020 FORMAL WRITTEN REPORT FOR THE PERIOD COVERING THE 2020 GRANT REFLECTS EXPENDITURE OF ENTIRE 2020 GRANT.
KYOTO UNIVERSITY	36-1 YOSHIDAHONMACHI SAKYOKU, KYOTO 606-8501 JA	2020-06-30	96,114	THE GRANT IS A CONTRIBUTION TO THE CHARITABLE ORGANIZATION'S CARDIOVASCULAR MEDICAL RESEARCH, AS SUPPORTED BY AN EXPENDITURE RESPONSIBILITY GRANT AGREEMENT EXECUTED BY GRANTEE PRIOR TO THE GRANT. THE PURPOSE OF THE GRANT IS TO SUPPORT GRANTEE'S MISSION TO IMPROVE HUMAN HEALTH THROUGH INTERNATIONAL EFFORTS TO COMBAT CARDIOVASCULAR AND NEUROVASCULAR DISEASE.	96,114	NONE TO THE KNOWLEDGE OF THE LEDUCQ FOUNDATION.	2020 FORMAL WRITTEN REPORT	2021-06-02	THE 2020 FORMAL WRITTEN REPORT FOR THE PERIOD COVERING THE 2020 GRANT REFLECTS EXPENDITURE OF ENTIRE 2020 GRANT.

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TY 2020 Investments - Other Schedule

Name: LEDUCQ FOUNDATION

FOR CARDIOVASCULAR RESEARCH

EIN: 45-4696263

Investments Other Schedule 2					
Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value		
CARLYLE EUROPE REAL ESTATE PARTNERS III, L.P.	FMV	1,329,472	221,113		
RESOURCE CAPITAL FUND VI L.P.	FMV	4,744,395	3,079,601		
DEERFIELD PRIVATE DESIGN FUND III, L.P.	FMV	3,473,594	3,522,565		
TWO SIGMA EQUITY	FMV	38,483,985	36,015,856		
OF IV INVESTORS, LP	FMV	1,774,415	1,510,444		
PIVOTNORTH FUND II, LP	FMV	592,577	1,466,653		
DEERFIELD PRIVATE DESIGN FUND IV, L.P.	FMV	3,413,472	3,836,089		

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TY 2020 Legal Fees Schedul	е			
Nam	e: LEDUCQ FOI	JNDATION		
FOR CARDIOVASCULAR RESEARCH				
FTI	N: 45-4696263			
	15 1050205	_		1
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - JOHNSON & WURZER P.C.	29,911	0		29,911

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TY 2020 Other Assets Schedule	2	
	-	

Name: LEDUCQ FOUNDATION

FOR CARDIOVASCULAR RESEARCH

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Other Assets Schedule		

Description

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ETN: 45-4696263

Beginning of Year -

Book Value

3,707

Value

324

DIN: 03401307015661

End of Year - Fair Market Value

End of Year - Book

324

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TY 2020 Other Expenses Schedule						
Name:	LEDUCQ FOUND	DATION				
FOR CARDIOVASCULAR RESEARCH						
EIN:	45-4696263					
Other Expenses Schedule						
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes		
BANK CHARGES	9,353	9,353		0		

Other Expenses Schedule	I.	1		
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	9,353	9,353		0
OTHER PORTFOLIO EXPENSE-DEERFIELD PRIVATE DESIGN III	49,991	49,991		0
OTHER PORTFOLIO EXPENSE-PIVOTNORTH FUND	20,256	20,256		0

OTHER PORTFOLIO EXPENSE-DEERFIELD PRIVATE DESIGN III	49,991	49,991	0
OTHER PORTFOLIO EXPENSE-PIVOTNORTH FUND	20,256	20,256	0
OTHER PORTFOLIO EXPENSE-RESOURCE CAPITAL FUND VI L.P.	93,836	93,836	0
OTHER PORTFOLIO EXPENSE-DEERFIELD PRIVATE DESIGN IV	71,303	71,303	0
CURRENCY LOSS - THE BANK OF NY MELLON	2,702	2,702	0
OTHER FUND EXPENSES	98,603	98,603	0
BUSINESS REGISTRATION FEES	388	388	0
BOOK ADJUSTMENTS - N/D	14,452	0	0

MISCELLANEOUS EXPENSE

efile GRAPHIC pri	nt - DO NOT PROCESS	As Filed Data -	DLN: 93491307015661

TY 2020 Other Income Schedule

Name: LEDUCQ FOUNDATION

FOR CARDIOVASCULAR RESEARCH

EIN: 45-4696263

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
TWO SIGMA EQUITY	7,471,756	7,471,756	7,471,756
RESOURCE CAPITAL FUND VI L.P.	-12,310	-12,310	-12,310
GLOBAL OPPORTUNISTIC FIXED INCOME PORTFOLIO	-380,654	-380,654	-380,654
DEERFIELD PRIVATE DESIGN FUND III, L.P.	-1,908	-1,908	-1,908
DEERFIELD PRIVATE DESIGN IV-UBTI ADJUSTMENT	95,511	95,511	95,511
DEERFIELD PRIVATE DESIGN FUND IV, L.P.	-95,427	-95,427	-95,427
DEERFIELD PRIVATE DESIGN III-UBTI ADJUSTMENT	2,947	2,947	2,947
CEREP III FEEDER, L.P.	-2,167	-2,167	-2,167
OF IV INVESTORS LIMITED PARTNERSHIP	-272,554	-272,554	-272,554
DEERFIELD PRIVATE DESIGN IV, L.P UBTI	-95,511		-95,511
DEERFIELD PRIVATE DESIGN III, L.P UBTI	-2,947		-2,947

efile GRAPHIC print - DO NOT PROC	ESS As Filed Data	-	DL	N: 93491307015661		
TY 2020 Other Professional Fees Schedule						
Name: LEDUCQ FOUNDATION FOR CARDIOVASCULAR RESEARCH EIN: 45-4696263						
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes		
INVESTMENT MANAGEMENT FEES-	113,326	113,326		0		

117,877

5,043

117,877

5,043

BRANDYWINE FUND

III FEEDER, L.P.

INVESTMENT MANAGEMENT FEES-

INVESTMENT MANAGEMENT FEES-CEREP

CAMBRIDGE ASSOCIATES LLC

efile GRAPHIC print - DO NOT PROCESS	As Filed Data	-	DL	N: 93491307015661		
TY 2020 Taxes Schedule						
Name	: LEDUCQ FOU	JNDATION				
	FOR CARDIOVASCULAR RESEARCH					
EIN	1: 45-4696263					
Taxes Schedule						
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes		
FOREIGN TAXES PAID	16,157	16,157		0		

efile GRAPHIC print - DO	NOT PROCESS As Filed Data	-		DLN: 93491307015661	
Schedule B	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.			OMB No. 1545-0047	
(Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service			mation.	2020	
Name of the organization LEDUCQ FOUNDATION FOR CARDIOVASCULAR RE	SEARCH		45-4696263	dentification number	
Organization type (chec					
Filers of:	Section:				
Form 990 or 990-EZ	501(c)() (enter number) organization				
	4947(a)(1) nonexempt charitable trust not treated as a private foundation				
	☐ 527 political organization				
Form 990-PF	✓ 501(c)(3) exempt private	501(c)(3) exempt private foundation			
	4947(a)(1) nonexempt charitable trust treated as a private foundation				
	501(c)(3) taxable private foundation				
money or other contributions.	cion filing Form 990, 990-EZ, or 990 property) from any one contributor.				
under sections 50 received from any	on described in section 501(c)(3) fil 9(a)(1) and 170(b)(1)(A)(vi), that c r one contributor, during the year, t 1h, or (ii) Form 990-EZ, line 1. Co	hecked Schedule A (Form 990 otal contributions of the greate	or 990-EZ), Part II, line 13,	16a, or 16b, and that	
during the year, to	on described in section 501(c)(7), (otal contributions of more than \$1,0 ne prevention of cruelty to children	000 exclusively for religious, ch	aritable, scientific, literary, d		
during the year, c If this box is chec purpose. Don't co	on described in section 501(c)(7), (ontributions exclusively for religiou ked, enter here the total contribution mplete any of the parts unless the le, etc., contributions totaling \$5,00	s, charitable, etc., purposes, but ons that were received during the General Rule applies to this o	ut no such contributions tota ne year for an <i>exclusively</i> re rganization because it rece	aled more than \$1,000. eligious, charitable, etc., ived <i>nonexclusively</i>	
990-EZ, or 990-PF), but i	that isn't covered by the General t must answer "No" on Part IV, line rt I, line 2, to certify that it doesn't i	e 2, of its Form 990; or check th	ne box on line H of its Form		
For Paperwork Reduction Ac	t Notice, see the Instructions	Cat. No. 30613X	Schedule B (Form 990), 990-EZ, or 990-PF) (2020)	

for Form 990, 990-EZ, or 990-PF.

(Complete Part II for noncash

Schedule B (Form 990, 990-EZ, or 990-PF) (2020)