Form **990** 

DLN: 93493318050037

OMB No 1545-0047

2016

# **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public

▶ Information about Form 990 and its instructions is at www. IRS gov/form990

Open to Public

		f the Treasur nue Service		t Form 990 and its instructions is					Open to Public Inspection	
A F	or the	e <b>2016</b> ca	lendar year, or tax year begin	ning 01-01-2016 ,and endin	g 12-31-2	016				
☐ Ad		pplicable change	C Name of organization HEALTHPARTNERS INC				<b>D Employ</b> 41-169		ication number	
		_	Doing business as							
☐ Initial return Final ☐deturn/terminated ☐ Amended return			Number and street (or P O box if ma	ulus not delivered to street address)	Room/suite		E Telephor	ne number		
_			8170 33RD AVENUE SOUTH PO BOX		Roomysuite		(952) 8	883-6584		
⊔ Ар	рисац	on pending	City or town, state or province, coun MINNEAPOLIS, MN 554401309	try, and ZIP or foreign postal code			<b>G</b> Gross re	eceints \$ 1	,715,031,459	
			<b>F</b> Name and address of principal	officer	Н	(a) Is this		•	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
			DAVE A DZIUK 8170 33RD AVENUE SOUTH PO E MINNEAPOLIS, MN 554401309	3OX 1309		subord ( <b>b)</b> Are all	dinates? subordina		□Yes ☑No □Yes □No	
<b>I</b> Ta	x-exen	npt status	☐ 501(c)(3) <b>☑</b> 501(c)(4) <b>◄</b> (	insert no )	527	include If "No,		list (see	instructions)	
J W	ebsit	e:► WW	W HEALTHPARTNERS COM		— Н	(c) Group	exemption	number	•	
<b>K</b> Fori	n of or	rganization	✓ Corporation ☐ Trust ☐ Associ	ciation ☐ Other ▶	LY	Year of forma	tion 1984	<b>M</b> State	of legal domicile	
Pa		Sumi	<b>.</b>							
	1 E	Briefly des SEE SCHEI	cribe the organization's mission or DULE O - EXEMPT PURPOSE AND A	most significant activities						
Activities & Governance	-									
Шa	-									
o Ve			s box $ ightharpoonup$ if the organization disc						ı	
ড ×ধ	1		of voting members of the governing					3	15	
<u>6</u>	1		of independent voting members of Ther of individuals employed in cal				•	5	12	
Ĭ	1		nber of volunteers (estimate if nec	, , , , ,				6	135	
ACI	1		elated business revenue from Part			7a	C			
	ь	Net unrela	ated business taxable income from	Form 990-T, line 34				7b	C	
						Pric	or Year		Current Year	
ā	1		ons and grants (Part VIII, line 1h)				0			
Rəvenue	1	-	service revenue (Part VIII, line 2g		:	1,420,351,342 1,368,6				
Ę.	1		nt income (Part VIII, column (A),	•	•		7,978,	_	10,555,899	
	1		enue (Part VIII, column (A), lines enue—add lines 8 through 11 (mus		a 12)	5,917,297 1,434,246,958			6,430,742 1,385,632,860	
	+		id similar amounts paid (Part IX, c		e 12)			0	1,555,552,55	
	1		paid to or for members (Part IX, co				0	(		
<b>\$</b> 2	1		other compensation, employee be			115,291,803 93,			93,572,789	
Expenses	16a	Professio	nal fundraising fees (Part IX, colur	nn (A), line 11e)	•			0	(	
хbе	Ь	Total fundra	aısıng expenses (Part IX, column (D), lıı							
ш	1		penses (Part IX, column (A), lines		1,241,133,941			1,266,694,124		
	1		enses Add lines 13-17 (must equ		1,356,425,744 1,360,					
× 00	19	Revenue	less expenses Subtract line 18 fro	m line 12	•	Beginning (	77,821, of Current Y		25,365,943 End of Year	
Net Assets or Fund Balances							883,878,			
Ass.	1		ets (Part X, line 16)						859,228,924	
ž E	1		lities (Part X, line 26)		318,981,		276,234,363			
	22 1 <b>3</b> 11		s or fund balances Subtract line 2 ature Block	1 from line 20			564,897,	6/1	582,994,563	
Unde know	r pena	alties of pe and belief	erjury, I declare that I have exami f, it is true, correct, and complete							
						2017	7-11-14			
Sign		Signatu	ire of officer			Date	2			
Here	2		DZIUK SVP-FINANCE & CFO							
		17	print name and title	Dranavav's starthing	Is:	1	-	DTIN		
Doi	4		nt/Type preparer's name ONROE JORDAN GIERL	Preparer's signature MONROE JORDAN GIERL	Date	l I	ck ∐ ıf	PTIN P0141323	7	
Paid	a pare	or	rm's name <b>KPMG</b> LLP				employed ı's EIN ► 13	-5665207		
	on:	*!   <del>  .</del>	rm's address ▶ 4200 WELLS FARGO CT	R 90 S 7TH			ne no (612)			
	. JII	-9	STREET MINNEAPOLIS, MN 554	102						
Mav t	he IR	S discuss	this return with the preparer show	n above? (see instructions)				<b>√</b> \	res □ No	

Form	990 (2016)					1	Page <b>2</b>
Par	t IIII Statement	of Program Serv	ice Accomplis	hments			
	Check If Sche	edule O contains a res	ponse or note to a	any line in this Part III			<b>✓</b>
1	Briefly describe the o	organization's mission					
HEAL	THPARTNERS' MISSIC	ON IS TO IMPROVE HE	ALTH AND WELL-	BEING IN PARTNERSHIF	WITH OUR MEMBERS, PATIENTS	AND COMMUNITY	
2	Did the organization	undertake any signifi	cant program serv	vices during the year wh	nich were not listed on		
	the prior Form 990 o	or 990-EZ?				☐ Yes 🗹 N	0
	If "Yes," describe the	ese new services on S	chedule O				
3	Did the organization	cease conducting, or	make significant (	changes in how it condu	cts, any program		
	services?	🗌 Yes 🗸	No				
	If "Yes," describe the						
4	Section 501(c)(3) an		ions are required	to report the amount of	argest program services, as mea f grants and allocations to others,		
4a	(Code	) (Expenses \$	1,283,086,310	ıncludıng grants of \$	) (Revenue \$	1,368,646,219 )	
	See Additional Data						
4b	(Code	) (Expenses \$		including grants of \$	) (Revenue \$	)	
4c	(Code	) (Expenses \$		including grants of \$	) (Revenue \$	)	
	-						
	-						
4d	Other program servi	ces (Describe in Sche	dule O )				
	(Expenses \$	ın	cluding grants of	\$	) (Revenue \$	)	
4e	Total program serv	vice expenses ▶	1,283,086,3	10			

Yes

Yes

Yes

Yes

Yes

Yes

Yes

1

2

3

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11a

11b

11c

11d

11e

11f

12a

12b

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14a

14b

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19

Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates

or X as applicable

Section 501(c)(3) organizations.

5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues,

Did the organization receive or hold a conservation easement, including easements to preserve open space,

the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 🛸 🔒 Did the organization maintain collections of works of art, historical treasures, or other similar assets?

assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 🛸 . . . . . . . . . . .

to provide advice on the distribution or investment of amounts in such funds or accounts?

a Did the organization report an amount for land, buildings, and equipment in Part X, line 10?

12a Did the organization obtain separate, independent audited financial statements for the tax year?

Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E

14a Did the organization maintain an office, employees, or agents outside of the United States? . . .

column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) . . . .

foreign organization? If "Yes," complete Schedule F, Parts II and IV . . . . . .

or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV . . . .

b Was the organization included in consolidated, independent audited financial statements for the tax year?

**b** Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments

valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV . . . . . . . . . . . . .

assessments, or similar amounts as defined in Revenue Procedure 98-19?

Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year?

Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right

Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation

Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments,

Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its

d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported

Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D. Part X 🕏

Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses

the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 🛸

If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 🕏

Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any

Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to

Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX.

Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII,

Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"

Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total

permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 🕏 . . . . . . . If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, VIII, IX,

Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . .

Νo Νo Nο

Page 3

No

Nο Nο Nο

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Nο

Nο

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Nο

No

Nο

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Form 990 (2016)							
Par	art IV Checklist of Required Schedules (continued)						
			Yes	No			
20a	<b>0a</b> Did the organization operate one or more hospital facilities? <i>If "Yes,"</i>	complete Schedule H 20a		No			
Ь	$\boldsymbol{b}$ $$ If "Yes" to line 20a, did the organization attach a copy of its audited f	inancial statements to this return?					
21	Did the organization report more than \$5,000 of grants or other assis government on Part IX, column (A), line 1º If "Yes," complete Schedu			No			
22	Did the organization report more than \$5,000 of grants or other assis column (A), line 2º If "Yes," complete Schedule I, Parts I and III.			No			
23	3 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or current and former officers, directors, trustees, key employees, and h complete Schedule J	ighest compensated employees? If "Yes," 23	Yes				
24a	4a Did the organization have a tax-exempt bond issue with an outstandi the last day of the year, that was issued after December 31, 2002? It complete Schedule K. If "No," go to line 25a	"Yes," answer lines 24b through 24d and		No			
b	<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyon	d a temporary period exception? 24b					
С	c Did the organization maintain an escrow account other than a refund to defease any tax-exempt bonds?	· · · · · · · · · · · · · · · · · · ·					
d	$\boldsymbol{d}$ $$ Did the organization act as an "on behalf of" issuer for bonds outstan	ding at any time during the year? 24d					
25a	5a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.  Did the organization engage in an excess benefit transaction with a d  complete Schedule L, Part I			No			
b	<b>b</b> Is the organization aware that it engaged in an excess benefit transacthat the transaction has not been reported on any of the organization			No			

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35b

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Yes

Yes

Yes

Yes

Yes

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Νo

Νo

Νo

Νo

Nο

Nο

Nο

Nο

Nο

Nο

Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets?

35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?

instructions for applicable filing thresholds, conditions, and exceptions)

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Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or

Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial

b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part

c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an

Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation

Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections

Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and

within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 . . . 🛸

is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI 🛸

b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity

Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related

Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that

Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 197 Note.

Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I .

contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member

former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons?

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Par	t V Statements Regarding Other IRS Filings and Tax Compliance			
	Check if Schedule O contains a response or note to any line in this Part V			
			Yes	No
	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable 1a 0			
	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable  1b  0			
	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		
	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by			
	this return	   2ь		
D	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?  Note.If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	20		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		No
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b		
	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		N-
b	If "Yes," enter the name of the foreign country	-Ta		No
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No
	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			
-		5c		
	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	<b>6</b> a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		No
	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as	$\vdash$		110
9	required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
	Sponsoring organizations maintaining donor advised funds.  Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		
0-	Did the energering organization make any taxable distributions under section 49662	9a		
	Did the sponsoring organization make any taxable distributions under section 4966?	9a 9b		
	Section 501(c)(7) organizations. Enter	90		
	Initiation fees and capital contributions included on Part VIII, line 12   10a			
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities  10b			
	Section 501(c)(12) organizations. Enter			
	Gross income from members or shareholders			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them )			
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year   12b			
L3	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O	13a		
L.	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans			
D	· · · · · · · · · · · · · · · · · · ·			
	Enter the amount of reserves on hand	]		
С	Enter the amount of reserves on hand	14a		No

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Par	8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions	·		
Se	Check if Schedule O contains a response or note to any line in this Part VI	• •		
1a	Enter the number of voting members of the governing body at the end of the tax year 11 12 15		Yes	No
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent  1b 12			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? .	3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .	5		No
6	Did the organization have members or stockholders?	6	Yes	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
а	The governing body?	8a	Yes	
ь	Each committee with authority to act on behalf of the governing body?	<b>8</b> b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No
Se	ction B. Policies (This Section B requests information about policies not required by the Internal Revenu	e Code	⊋.)	
			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
а	The organization's CEO, Executive Director, or top management official	15a	Yes	<u> </u>
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		
Se	ction C. Disclosure	100		<u> </u>
17	List the States with which a copy of this Form 990 is required to be filed▶			
	MN MN			
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply			
	Own website Another's website Upon request Other (explain in Schedule O)			
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year			
20	State the name, address, and telephone number of the person who possesses the organization's books and records  **KEVIN J BRANDT DIRECTOR OF FINANCIAL REPORTING 8170 33RD AVE S PO BOX 1309 MINNEAPOLIS, MN 5544013	809 (95	2) 883-	6584

compensated employees, and former such persons

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, Part VII

and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year • List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount

- of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid • List all of the organization's current key employees, if any See instructions for definition of "key employee" • List the organization's five current highest compensated employees (other than an officer, director, trustee or key employee)
- who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's former officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest

(C) (A) (B) (D) (F) (E) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless person compensation compensation amount of other week (list is both an officer and a from the from related compensation organization (Wanv hours director/trustee) organizations from the for related 2/1099-MISC) (W- 2/1099organization and Highest co Individual trustee or director Former Q#||5€| organizations related MISC) Institutional Trustee below dotted employee organizations line) compensated

See Additional Data Table Form 990 (2016)

Part	Part VIII Section A. Officers, Directors, Trustees, Key Employees, and High								est Compensated Employees (continued)						
	(A) Name and Title Average hours per week (list any hours			one bo	ox, u ın off	t che inles ficer	eck moss pers and a ee)	on	(D) Reportable compensation from the organization (W-	(E) Reportable compensation from related organizations (W-	Estin amount compe from	ated of other nsation the			
		for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	2/1099-MISC)	2/1099-MISC)	rela	ition and ited zations			
See A	additional Data Table														
					$\vdash$										
					$\vdash$										
				_											
					<u> </u>										
	ub-Total			<del></del>			<b>&gt;</b>								
	otal from continuation sheets to P			•	•		<b>&gt;</b>  -		0	26,347,184		5,807,600			
2	otal (add lines 1b and 1c)  Total number of individuals (including	· · · · ·				h a	N		,			3,807,600			
	of reportable compensation from the			e liste	ed ai	DOVE	e) wno	rece	eived more than \$10	30,000					
											Yes	No			
3	Did the organization list any <b>former</b> line 1a? <i>If "Yes," complete Schedule 3</i>			ee, ke	ey eı •	mplo •	yee, c	r hi	ghest compensated	· '	<b>3</b> Yes				
4	For any individual listed on line 1a, is	the sum of repo	ortable (	comp	ensa	ation	and o	ther	compensation from	<u> </u>	- 1.33	+			
	organization and related organization individual	s greater than \$	150,00	0? <i>If</i> •	"Yes	," co	omplet • •	e Sc	hedule J for such		1 Yes				

4	For any individual listed on line 1a, is the organization and related organizations of individual
5	Did any person listed on line 1a receive

e or accrue compensation from any unrelated organization or individual for

S	ection B. Independent Contractors
	services rendered to the organization? If "?
5	Did any person listed on line 1a receive or

compensation from the organization ▶ 0

.a	receive
זור	ation? <i>I</i>

(A)

Name and business address

If "Yes," complete Schedule J for such person .

from the organization Report compensation for the calendar year ending with or within the organization's tax year

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation

5

(B)

Description of services

Yes

(C)

Compensation

Form **990** (2016)

Νo

Part	VI.	Statement of	Revenue									
		Check if Schedul	e O contains	a respo	onse or note to any l						<u></u>	<u> </u>
						Total re		Rel e>	(B) ated or cempt nction	(C) Unrela busin rever	ated ess	(D) Revenue excluded from tax under sections
	1:	a Federated campaigi	ne	1a				re	venue			512-514
nts nts		<b>b</b> Membership dues		1b								
rat 100		c Fundraising events		1c								
s. G Am		_										
iffs ar	'	d Related organizatio		1d								
Contributions, Giffs, Grants and Other Similar Amounts		e Government grants (co		1e								
	1	f All other contributions, and similar amounts no	gifts, grants, ot included	1f								
out he		above										
重豆		g Noncash contribution in lines 1a-1f \$	ons included									
Cor		Total.Add lines 1a-1	f	<u> </u>	•							
	ľ				Business	Code						
Service Revenue	2a	EARNED MEDICAL PREM	IUM			524114	666,2	202,143	666,20	2,143		
Ę.	b	MEDICARE & MEDICAID	PA			524114	635,0	023,863	635,02	3,863		
2	c	EARNED DENTAL PREMI	UMS			524114	57,4	456,306	57,45	6,306		
ξer	d	OTHER REVENUE				524114	9,9	963,907	9,96	3,907		
S L	e	,		_								
Program	f	All other program se	rvice revenue									
<b>4</b>	g	Total.Add lines 2a-2f			<b>1</b> ,368,6	46,219						
	3	Investment income (ir	ncluding divid	ends, ı	nterest, and other	1						
	5	sımılar amounts) .			<b>&gt;</b>		6,819,899	7				6,819,899
		Income from investme										
	5	Royalties	(ı) Rea		(u) Davagnal	<u> </u>						
	62	Gross rents	(I) Rea	I	(II) Personal							
			9,4	95,341								
	t	Less rental expenses	3,0	64,599								
	١,	<b>c</b> Rental income or 6,430,742										
		(loss)	,	ŕ								
	•	Net rental income or	r (loss)	•			6,430,742	2				6,430,742
	_	Cross amount	(ı) Securit	ies	(II) Other							
	/a	Gross amount from sales of	330,0	70,000								
		assets other than inventory										
	Ŀ	Less cost or										
		other basis and sales expenses	326,3	34,000								
		Gain or (loss)		'36,000								
		l Net gain or (loss) .			<b>•</b>		3,736,000					3,736,000
a)	8a	Gross income from fo (not including \$		ents of								
Other Revenue		contributions reporte	d on line 1c)									
eve		See Part IV, line 18										
Ř	l	Less direct expenses  Net income or (loss)		b	onts							
the		Gross income from g			ents •	1						
ō		See Part IV, line 19										
				а								
		Less direct expenses		b								
		Net income or (loss)  Gross sales of invent		activit	les •	1						
		returns and allowand										
				а								
	l t	Less cost of goods s	old	b								
	_	Net income or (loss)  Miscellaneous		invent	ory ► Business Code							
	11		Revenue		Busiliess Code							
	Ŀ	·										
	•	,										
	_											
	(	-										
		A All attack						-				
		d All other revenue . Total. Add lines 11a			•	<u> </u>						
					•							
	12	<b>2 Total revenue.</b> See	Instructions	• •	· · · •	1	,385,632,860	0	1,368,646,219		0	,,
												Form 990 (2016)

Form 990 (2016)				Page <b>10</b>
Part IX Statement of Functional Expenses Section 501(c)(3) and 501(c)(4) organizations must complete all co	olumns All other orga	anızatıons must comp	elete column (A)	
Check if Schedule O contains a response or note to any	line in this Part IX			🗆
Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	<b>(C)</b> Management and general expenses	(D) Fundraisingexpenses
Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21			-	
<b>2</b> Grants and other assistance to domestic individuals See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.				
4 Benefits paid to or for members				
<b>5</b> Compensation of current officers, directors, trustees, and key employees				
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	72,157,557	35,505,019	36,652,538	
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	5,353,801	2,460,180	2,893,621	
9 Other employee benefits	12,492,230	5,740,447	6,751,783	
<b>10</b> Payroll taxes	3,569,201	1,640,120	1,929,081	
11 Fees for services (non-employees)				
a Management				
<b>b</b> Legal				
<b>c</b> Accounting				
<b>d</b> Lobbying				
e Professional fundraising services See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	867,544	600,126	267,418	
12 Advertising and promotion	1,463,395	1,037,130	426,265	
13 Office expenses	501,285	355,268	146,017	
14 Information technology	130,707	92,634	38,073	
15 Royalties				
<b>16</b> Occupancy	5,075,117	3,336,539	1,738,578	
<b>17</b> Travel	21,196	15,022	6,174	
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials .				
19 Conferences, conventions, and meetings	5,156	3,654	1,502	
20 Interest	1 056 422	360 349	1 506 073	

10,755,000

1,189,656,675

37,886,556

16,527,593

27,627

1,510,256

1,360,266,913

309,595

1,502,000

1,189,656,675

37,882,849

1,851,165

19,580

807,674

1,283,086,310

219,879

9,253,000

89,716

3,707

8,047

702,582

Form 990 (2016)

77,180,603

14,676,428

21 Payments to affiliates . .

expenses on Schedule O )

**b** TAXES AND SURCHARGES

d MAINTENANCE & REPAIR

e All other expenses

c MEDICAL/PHARMACY SUPPLI

a MEDICAL SERVICES

23 Insurance . . .

22 Depreciation, depletion, and amortization .

24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e

25 Total functional expenses. Add lines 1 through 24e 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ If following SOP 98-2 (ASC 958-720)

Œ.	ru X	Balance Sneet			
		Check if Schedule O contains a response or note to any line in this Part IX .			🗆
			(A) Beginning of year		(B) End of year
T	1	Cash-non-interest-bearing	3,160,691	1	2,694,554
	2	Savings and temporary cash investments	200,026,843	2	154,539,707
	3	Pledges and grants receivable, net		3	
	4	Accounts receivable, net	302,173,693	4	220,465,253
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L	41,337.500	6	41.337,500
<u>.</u>	7	Notes and loans receivable, net	41,337,500		41,337,300
:	8	Inventories for sale or use		8	
٠I	٥	Proposed expanses and deferred charges	6 448 243	0	6 721 605

Page **11** 

582,994,563

Form **990** (2016)

ts	7	section 4958(f)(1)), persons described in sectio contributing employers and sponsoring organiza- voluntary employees' beneficiary organizations Part II of Schedule L Notes and loans receivable, net	f section 501(c)(9)	41.337.500	6	41.337,500	
ssets	8	Inventories for sale or use			41,007,000	8	41,337,300
As	9	Prepaid expenses and deferred charges		•	6.448.243		6.721.695
	_	• •			0,440,243	9	0,721,090
	IUa	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	35,782,281			
	b	Less accumulated depreciation	10b	12,994,698	23,830,589	<b>10</b> c	22,787,583
	11	Investments—publicly traded securities .			258,183,000	11	346,264,000
	12	Investments—other securities See Part IV, line	47,200,000	12	62,905,542		
	13	Investments—program-related See Part IV, line		13			
	14	Intangible assets		14			
	15	Other assets See Part IV, line 11			1,518,436	15	1,513,090
	16	Total assets.Add lines 1 through 15 (must equ	al line 3	34)	883,878,995	16	859,228,924
	17	Accounts payable and accrued expenses			64,634,876	17	31,326,094
	18	Grants payable				18	
	19	Deferred revenue			68,517,945	19	69,939,092
	20	Tax-exempt bond liabilities				20	
Ś	21	Escrow or custodial account liability Complete F	art IV	of Schedule D		21	
Liabilities	22	Loans and other payables to current and former key employees, highest compensated employee					
jab		persons Complete Part II of Schedule L $$ .				22	
7	23	Secured mortgages and notes payable to unrela	ted thir	d parties	95,026,200	23	89,725,334
	24	Unsecured notes and loans payable to unrelated	parties		24		
	25	Other liabilities (including federal income tax, pa and other liabilities not included on lines 17-24) Complete Part X of Schedule D	to related third parties,	90,802,303	25	85,243,841	
	26	Total liabilities. Add lines 17 through 25		318,981,324	26	276,234,361	

Net Assets or Fund Balances 30 Capital stock or trust principal, or current funds . . . 30 31 Paid-in or capital surplus, or land, building or equipment fund . . . 31 32 Retained earnings, endowment, accumulated income, or other funds 32 582,994,563 33 Total net assets or fund balances 564,897,671 33 859,228,924 34 Total liabilities and net assets/fund balances 883,878,995 34

564.897.671

28

29

Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and

complete lines 27 through 29, and lines 33 and 34.

Organizations that do not follow SFAS 117 (ASC 958), check here ▶ □ and complete lines 30 through 34.

Unrestricted net assets

Temporarily restricted net assets

Permanently restricted net assets

27 28

29

9	Other changes in net assets or fund balances (explain in Schedule O)	9	-10,016,975		016,975
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)	10	582,994,563		
Par	Financial Statements and Reporting	_			
	Check if Schedule O contains a response or note to any line in this Part XII				
				Yes	No
1	Accounting method used to prepare the Form 990				
	If the organization changed its method of accounting from a prior year or checked "Other," explain in				

If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis,

If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required

c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?

audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

☐ Both consolidated and separate basis

☐ Both consolidated and separate basis

2b

2c

3а

3b

Yes

Yes

Nο

Form 990 (2016)

separate basis, consolidated basis, or both

Consolidated basis

b Were the organization's financial statements audited by an independent accountant?

Consolidated basis

Separate basis

consolidated basis, or both

Audit Act and OMB Circular A-133?

Separate basis

# Additional Data

Software ID: Software Version:

EIN: 41-1693838

Name: HEALTHPARTNERS INC

Form 990 (2016)

701111 990 (2010)

Form 990, Part III, Line 4a:
SEE SCHEDULE O - EXEMPT PURPOSE AND ACHIEVEMENTS FOR A DESCRIPTION OF PROGRAM SERVICE ACCOMPLISHMENTS

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation compensation amount of other week (list person is both an officer from the from related compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W-2/1099organization and Highest compensat Former Individual trustee or director Institutional organizations MISC) MISC) related director. below dotted organizations employee line) 3 70 THOMAS BRINSKO ...... Х 25,000 28,000 28,000

28,000

40,000

25,000

29,750

28,000

28,000

25,000

3 70

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3 50

3 90

2 80

3 30

1 50

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DIRECTOR			
JUDITH CORSON	3 10		ſ
DIRECTOR	•••••	X	
LUZ MARIA FRIAS	3 20	×	Ī
DIRECTOR		^	

SUSAN HOYT

DONALD LEWIS

DIRECTOR & CHAIR

**DIRECTOR & TREASURER** 

DIRECTOR & VICE CHAIR

JAMES MALECHA

RUTH MICKELSEN

LAURA OBERST

GREGORY STRONG

RICHARD STRUTHERS

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

Compensated Employees, and Independent Contractors (C) (D) (E) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation compensation amount of other week (list person is both an officer from the from related compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W-2/1099organization and Highest compensatemplovee Former Institutional MISC) MISC) organizations employee

Trustee

(F)

related

65,282

258,672

49,485

49,050

158,013

150,356

160,644

88,923

28,000

28,000

822,402

2.376.909

268,398

319,999

600.956

650,767

586,066

498,767

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

3 90

0 50

63 50 1 00

51 00 0 50

49 50 9.80

30 20 7 90

39 10 12 40

37 60 4 90

45 10 0 50

49 50

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	organizations below dotted line)	ndradual trustee or director
CHRIS TASHJIAN MD	3 00	
DIRECTOR		×

KEN THOME

DIRECTOR

DIRECTOR

SRVP & CIO

BRIAN H RANK MD

ERIC SCHNED MD

ALAN V ABRAMSON

SCOTT A AEBISCHER

CALVIN U ALLEN

FELIX ANKEL MD

SR VP CUSTOMER SERV/PRODUC

SR VP STRAT PLANNING/HR

EXEC DIR - HEALTH PROFESSI

CHARLES J ABRAHAMSON

**DIRECTOR & SECRETARY** 

JEFFREY MENDELOFF MD

DIRECTOR & MEDICAL DIRECTOR

VP-NETWORK MGMT & PROVIDER RELATIONS

Compensated Employees, and Independent Contractors (C) (D) (E) Name and Title Average Position (do not check more Reportable Reportable hours per than one box, unless compensation compensation amount of other week (list person is both an officer from the from related compensation any hours and a director/trustee) organization organizations for related (W-2/1099-(W-2/1099organization and 옥页 Highest compensatemplovee Former organizations | MISC) MISC) organizations employee

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(F)

Estimated

from the

related

127,474

75,411

36,551

525,742

91,038

79,407

68,312

294,094

141,724

72,004

463,254

300,578

183,064

2.506.070

282,282

256,530

248,279

931,838

556,375

370,881

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

45 10 0 50

39 50 12 80

37 20 7 80

37 20 9 10

40 90 12 80

42 20 12 70

42 80 9 90

25 10 0 50

49 50

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	below dotted line)	dradual trustee director	istitutional frustee
BABETTE A APLAND	0 50		
SR VP, MELROSE INSTITUTE & BEHAVIORAL HEALTH	49 50		
SHANNON B BEAUDIN-KLEIN	14 90		
	•••••		ĺ

VP MARKETING & COMMUNICATIONS

VP CARE GROUP COMPLIANCE OFFICER

VP INTERNAL AUDIT, INTEGRITY & COMPLIANCE

......

COURTNEY BIBEE

MARY K BRAINERD

PRESIDENT & CEO

VP PHARMACY SERVICES

VP PHARMACY SERVICES

KATHLEEN M COONEY

**EXECUTIVE VP & CAO** 

ROBERT B CUMMING

VP FINANCE & PLANNING

TRICIA L DEGE

SR VP ACTUARIAL/UNDERWRITING

RICK J BRUZEK

STEVEN D BUNDE

DAVID BUSCH

Compensated Employees, and Independent Contractors (C) (D) (E) Name and Title Average Position (do not check more Reportable Reportable than one box, unless amount of other hours per compensation compensation person is both an officer from related week (list from the any hours and a director/trustee) organization organizations for related (W- 2/1099-(W-2/1099organization and MISC)

(F)

Estimated

compensation

from the

related organizations

443,478

301,917

147,320

638,260

520.124

264,392

594,370

254,050

182,518

438,155

40,531

48,788

27,129

204,665

102.715

68,725

96,919

86,002

30,381

122,006

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

	organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former	MISC
ATRICIA S DENNIS	0 50			١.,				
R VP HEALTH & CARE ENGAGEMENT				×				
N THE TETT & COURT ENGAGEMENT	54 50							
MY L DEWANE	0 50							

49 50

0.50

49 50 12 90

42 10 12 20

37 80 12 20

37 80 0.50

54 50 8 80

36 20 0 50

39 50 12 20

37 80

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**GROUP** 

LESLIE DOCKAN

DAVID A DZIUK

CHARLES J FAZIO MD

JASON GALLAGHER

DAVID S GESKO

TIM M HALEY

VP BROKER SALES

MARK HANSBERRY

SUSAN M KNUDSON

VP HEALTH INFORMATICS

VP.HEALTH INFORMATICS

SR VP - DENTAL DIRECTOR

VP, BUSINESS DEVELOPMENT INITIATIVES

......

VP - CARE SYSTEMS GROWTH & PARTNERSHIP CARE

......

VP, PRIMARY CARE & CLINIC OPERTIONS

SR VP & CHIEF FINANCIAL OFFICER

HEALTH PLAN MEDICAL DIRECTOR

Compensated Employees, and Independent Contractors (D) (E) Name and Title Average Position (do not check more Reportable Reportable hours per than one box, unless compensation compensation amount of other person is both an officer week (list from the from related any hours and a director/trustee) organization organizations (W-2/1099-(W-2/1099organization and Highest compensat Former MISC) MISC) employee

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(F)

Estimated

compensation

from the

related organizations

49,944

49,098

84,409

67,009

227,296

102,772

77,598

72,296

102,811

17,332

381,642

157,911

376,715

289,131

804,655

315,103

253,122

321,436

280,092

73,616

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

7 60 8 60

41 40 1 70

48 30 0 50

49 50 7 60

42 40 8 30

41 70 0.30

49 70 0 50

53 50 0 50

44 50

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	l e'			
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	
STEVEN C HOUSH	0 50			
	•••••			
VP ORTHOPEDIC SERVICES	54 50			
GEORGE J ISHAM MD MS	2 40			

SENIOR ADVISOR

VP IS&T CARE DELIVERY

VP - SUPPLY CHAIN SERVICES

CHIEF OPERATING OFFICER

VP - TREASURY & REAL ESTATE

VP - TECHNOLOGY & INFRASTR

VP - HPI EXECUTIVE DIRECTOR

VP - NATIONAL ACCOUNT HLTH SOLUTIONS

......

VINI T MANCHANDA

NANCY A MCCLURE

FRANK P MCOUILLAN

FRANK MULLER

PEGGY S NEALE

ANDREW F NELSON

JEFFREY OGDEN

VP - HP DENTAL PLAN

KIM R LAREAU

Compensated Employees, and Independent Contractors (C) (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless amount of other hours per compensation compensation week (list person is both an officer from the from related compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W-2/1099organization and Officer Highest compense employee Former Individual trustee or director Key employee Institutional organizations MISC) MISC) related below dotted organizations line) Truste

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

		4		# 6 <u>0</u>			
KEVIN J PALATTAO	0 50		v		0	352,492	84,885
VP CLINIC PATIENT CARE SYSTEM	54 50		Ŷ.			332,492	84,863
NICO PRONK PHD	12 20		v		0	347,920	86,607
VP & HEALTH SCIENCE OFFICER	41 80		^			347,320	80,007
KEVIN R RONNEBERG	39 50		\ \		0	396 490	50.605
VP - ASSOCIATE MEDICAL DIRECTOR	0 50		^			386,480	50,605
KATIE B SAYRE	13 30						

KEVIN K KONNEBERG			νl		l	386,480	
VP - ASSOCIATE MEDICAL DIRECTOR	0 50		^			300,400	
KATIE B SAYRE	13 30					402.605	
SR VP HLTH PLAN OPS & GOV PROGS	36 70	'	<u> </u>		٥	482,605	
SCOTT A SCHNUCKLE	8 10		ŢΤ		0	443,021	
SR VP PHARMACY & BUSINESS	41 90		^			443,021	

KATIE B SAYRE	13 30		х		0	482,605	
SR VP HLTH PLAN OPS & GOV PROGS	36 70	^^	^`			192,000	
SCOTT A SCHNUCKLE	8 10		х		0	443,021	
SR VP PHARMACY & BUSINESS	41 90		^			445,021	
DOLIC N SMITH	9 80						

SR VP HLTH PLAN OPS & GOV PROGS	••••••		×			0	482,605	165,540
SK VF HEITI FLAN OF3 & GOV FROGS	36 70							
SCOTT A SCHNUCKLE	8 10							
			×			0	443,021	115,584
SR VP PHARMACY & BUSINESS	41 90							
DOUG N SMITH	9 80							
			×Ι			0	486,644	139,186
CD VD CALEC		1 1	- 1	l			l '	1

SCOTT A SCHNUCKLE	8 10		νl		0	442.024	115 504
SR VP PHARMACY & BUSINESS	41 90		^		0	443,021	115,584
DOUG N SMITH	9 80		v		0	486,644	139,186
SR VP SALES	50 20		^			400,044	139,100

SK VP PHARMACY & BUSINESS	41 90						
DOUG N SMITH	9 80						
			x		0	486,644	139,186
SR VP SALES	50 20					,	
ELIZABETH I CWANCON	3 90						

Х

Х

81,937

84,184

168,232

240,458

345,983

729,217

816,842

SR VP SALES	50 20					,	
ELIZABETH L SWANSON	3 90						
			X		0	281,421	
VP HUMAN RESOURCES	36 10						
TORI TANZER	10 70						

39 30 13 10

41 90 14 70

40 30

......

TOBI TANZER

VP CORPORATE INTEGRITY

BARBARA E TRETHEWAY

SR VP GENERAL COUNSEL

EXEC VP & CHIEF MARKETING

ANDREA M WALSH

Compensated Employees, and Independent Contractors (C) (D) (E) Name and Title Average Position (do not check more Reportable Reportable hours per than one box, unless compensation compensation amount of other week (list person is both an officer from the from related

(F)

Estimated

compensation

49,491

48,547

61,298

45,948

465,934

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

	any hours	and	a dır	ecto	r/tr	ustee	)	organization	organizations	from the
	for related organizations below dotted line)	individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(W- 2/1099- MISC)	(W- 2/1099- MISC)	organization and related organizations
BETH A WATERMAN CHIEF IMPROVEMENT OFFICER	12 20 37 80			x				o	375,921	98,436
DONNA J ZIMMERMAN SR VP GOVT & COMMUNITY RELATIONS	15 40			×				0	380,862	116,054
PAMELA S ZOELLER	0 50			v				0	200 443	49 491

		1	 XI		ı	I U	3/5,921	
CHIEF IMPROVEMENT OFFICER	37 80		,.			,	0.0,522	
DONNA J ZIMMERMAN	15 40		v			0	380,862	
SR VP GOVT & COMMUNITY RELATIONS	39 60					0	360,602	
PAMELA S ZOELLER	0 50							

	0.00								
DONNA J ZIMMERMAN	15 40								
			X				0	380,862	
SR VP GOVT & COMMUNITY RELATIONS	39 60								
PAMELA S ZOELLER	0 50								
			Ιx				l o	299,443	
VD - CDECIALTY CADE & CLINIC ODEDATIONS		l	l	l	l	l	I	,	

SR VP GOVT & COMMUNITY RELATIONS	39 60		^			300,002	
PAMELA S ZOELLER	0 50		¥		0	299,443	
VP - SPECIALTY CARE & CLINIC OPERATIONS	49 50		_^_			239,443	
DENNIS M ZUZEK	7 80						

PAMELA S ZOELLER	0 50							
	•••••		Х			0	299,443	
VP - SPECIALTY CARE & CLINIC OPERATIONS	49 50							
DENNIS M ZUZEK	7 80							
22			l x l			0	285.315	

PAMELA S ZUELLER			v					299,443	
VP - SPECIALTY CARE & CLINIC OPERATIONS	49 50		^				0	299,443	
DENNIS M ZUZEK	7 80		,					205 245	
VD. HEALTH DIAM & ENTEDDRICE CVC			X				l o	285,315	

	49 50						
ENNIS M ZUZEK	7 80						
	•••••		X		0	285,315	
P - HEALTH PLAN & ENTERPRISE SYS	37 20						
	0.50						

Х

			x			0	285,315	
VP - HEALTH PLAN & ENTERPRISE SYS	37 20							
GREGG DAHLGREN	0 50							
	•••••	1			l x l	0	264,912	

39 50 0 50

39 50

VP DENTAL PLAN

ROBERT H VAN WHY

SR VP PRIMARY CARE/CLINIC

efile GRAPHIC print - DO NOT PROCESS As Filed Data -

# **Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527 ▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ. ▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at

Open to Public

OMB No 1545-0047

DLN: 93493318050037

Department of the Treasury Internal Revenue Service

EZ)

SCHEDULE C (Form 990 or 990-

www.irs.gov/form990. Inspection If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then • Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C • Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B Section 527 organizations Complete Part I-A only If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then • Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B • Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then • Section 501(c)(4), (5), or (6) organizations Complete Part III Name of the organization **Employer identification number** HEALTHPARTNERS INC 41-1693838 Complete if the organization is exempt under section 501(c) or is a section 527 organization. Part I-A Provide a description of the organization's direct and indirect political campaign activities in Part IV Political expenditures Volunteer hours Part I-B Complete if the organization is exempt under section 501(c)(3). Enter the amount of any excise tax incurred by the organization under section 4955 1 2 Enter the amount of any excise tax incurred by organization managers under section 4955 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes Was a correction made? ☐ Yes □ No h If "Yes," describe in Part IV Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3). 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities 3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b 4 Did the filing organization fileForm 1120-POL for this year? 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV (a) Name (b) Address (c) EIN (d) Amount paid from (e) Amount of political filing organization's contributions received funds If none, enter and promptly and -0directly delivered to a separate political organization If none, enter -0-3 5

e Grassroots ceiling amount
(150% of line 2d, column (e))

f Grassroots lobbying expenditures

Schedule C (Form 990 or 990-EZ) 2016

Grassroots nontaxable amount

activity

Volunteers?

Media advertisements?

Return Reference

1

b

(b)

Amount

(a)

Yes

No

Schedule C (Form 990 or 990EZ) 2016

Mailings to members, legislators, or the public? Publications, or published or broadcast statements? Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? Other activities? Total Add lines 1c through 1i 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? If "Yes," enter the amount of any tax incurred under section 4912 If "Yes," enter the amount of any tax incurred by organization managers under section 4912 If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c) (6). Yes No Were substantially all (90% or more) dues received nondeductible by members? 1 Yes 1 2 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less? Yes Did the organization agree to carry over lobbying and political expenditures from the prior year? No Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6)Part III-B and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes." Dues, assessments and similar amounts from members 1 1 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). 2a Current year 2b Carryover from last year c Total 2c 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues 3 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? 4 5 Taxable amount of lobbying and political expenditures (see instructions) Part IV Supplemental Information Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see

Explanation

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying

Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?

instructions), and Part II-B, line 1 Also, complete this part for any additional information

During the year, did the filing organization attempt to influence foreign, national, state or local legislation,

including any attempt to influence public opinion on a legislative matter or referendum, through the use of

efile GRAPHIC print - DO NOT PROCESS **SCHEDULE D** 

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

As Filed Data -

**Supplemental Financial Statements** 

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

DLN: 93493318050037 OMB No 1545-0047

> Open to Public Inspection

Department of the Treasury Internal Revenue Service

(Form 990)

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

	me of the organization LTHPARTNERS INC			Employer id	entification r	number
				41-1693838		
Pa	Organizations Maintaining Donor Complete if the organization answere			ls or Accounts.		
		(a) Donor advised fund	·	(b)Funds an	nd other accou	nts
1	Total number at end of year					
2	Aggregate value of contributions to (during year)					
3	Aggregate value of grants from (during year)					
4	Aggregate value at end of year					
5	Did the organization inform all donors and donor funds are the organization's property, subject to t			r advised		es 🗆 No
6	Did the organization inform all grantees, donors, used only for charitable purposes and not for the conferring impermissible private benefit?				□ Y <sub>4</sub>	es 🗌 No
Pai	rt III Conservation Easements. Complet	e if the organization answ	ered "Yes" on F	Form 990, Part I\	/, line 7.	
1	Purpose(s) of conservation easements held by the	e organization (check all that a	apply)			
	$\square$ Preservation of land for public use (e g , rec	reation or education)	Preservation o	f an historically imp	oortant land ar	ea
	Protection of natural habitat		Preservation o	f a certified historic	structure	
	Preservation of open space					
2	Complete lines 2a through 2d if the organization leasement on the last day of the tax year	neld a qualified conservation c	ontribution in the		ation at the End of	the Year
а	Total number of conservation easements			2a		
b	Total acreage restricted by conservation easemen	ts		2b		
С	Number of conservation easements on a certified	`	•	2c		
d	Number of conservation easements included in (c) structure listed in the National Register	acquired after 8/17/06, and	not on a historic	2d		
3	Number of conservation easements modified, transtax year ▶	nsferred, released, extinguishe	ed, or terminated	by the organization	n during the	
4	Number of states where property subject to conse	ervation easement is located	<u> </u>	<u> </u>		
5	Does the organization have a written policy regar and enforcement of the conservation easements i		inspection, handl	ing of violations,	☐ Yes	□ No
6	Staff and volunteer hours devoted to monitoring,	inspecting, handling of violation	ons, and enforcir	ng conservation eas	ements during	the year
7	Amount of expenses incurred in monitoring, insper	cting, handling of violations, a	and enforcing cor	nservation easemen	its during the	year
8	Does each conservation easement reported on lineand section $170(h)(4)(B)(ii)$ ?	e 2(d) above satisfy the requi	rements of section	on 170(h)(4)(B)(ı)	☐ Yes	□ No
9	In Part XIII, describe how the organization report balance sheet, and include, if applicable, the text	of the footnote to the organiz			and	_ 110
Par	the organization's accounting for conservation ear	ions of Art, Historical T		Other Similar A	ssets.	
1a	Complete if the organization answere  If the organization elected, as permitted under SF art, historical treasures, or other similar assets he provide, in Part XIII, the text of the footnote to it	AS 116 (ASC 958), not to rep ld for public exhibition, educa	ort in its revenue ition, or research	in furtherance of p		orks of
b	If the organization elected, as permitted under SF historical treasures, or other similar assets held for following amounts relating to these items	AS 116 (ASC 958), to report	ın ıts revenue sta	atement and balanc		
,	i) Revenue included on Form 990, Part VIII, line 1			▶ ¢		
•						
•	i) Assets included in Form 990, Part X	nistorical tronsuros, or other -	umilar acceta fo-	financial dain, prov	ıde tha	
2	If the organization received or held works of art, following amounts required to be reported under					
a	Revenue included on Form 990, Part VIII, line 1			<b>▶</b> \$ _		
b	Assets included in Form 990, Part X			▶ \$		

Cat No 52283D

Schedule D (Form 990) 2016

Par	t 1111	Organizations Maintaining Co	llections of	Art, His	torical	Treas	ures, or	Other	Similar A	ssets (d	continuea	<u>')                                    </u>
3		the organization's acquisition, accession (check all that apply)	on, and other i	records, ch	eck any	of the f	ollowing t	hat are a	sıgnıfıcant ı	use of its	collectio	n
а		Public exhibition			d _	Loar	n or excha	ange prog	ırams			
b		Scholarly research			е _	Othe	er					
c		Preservation for future generations										
4	Provid Part >	de a description of the organization's co KIII	ollections and o	explain hov	v they fu	rther th	ne organız	ation's ex	kempt purpo	se in		
5		g the year, did the organization solicit s to be sold to raise funds rather than							ular	☐ Ye	es 🗌	No
Pa	rt IV	Escrow and Custodial Arrang Complete if the organization and X, line 21.		on Form	990, Pa	rt IV, I	line 9, or	reporte	ed an amou	unt on F	orm 99	0, Part
1a		e organization an agent, trustee, custoo ded on Form 990, Part X?	dian or other ir	ntermediary	for con	tributio	ns or othe	er assets I	not	☐ Ye	s 🗌	No
ь	If "Y∈	es," explain the arrangement in Part XI	II and complet	e the follow	ving tabl	e	Γ		Α	mount		
С	Begin	ining balance					[	1c				
d	Addıt	ions during the year					Ī	1d				
е	Dıstrı	butions during the year					[	1e				
f	Endın	g balance					[	1f				
<b>2</b> a	Did th	ne organization include an amount on F	orm 990, Part	X, line 21,	for escr	ow or c	ustodial a	ccount lia	ability?	□ үе	.s 🗆	No.
ь	16 "Va	s," explain the arrangement in Part XII	II Chaek hara	if the evel	anation b	.a. b.a.	a providos	d in Dort \	VIII		_	1
	rt V	Endowment Funds. Complete					<u>'</u>				• -	
Fe	II C V	Endowment Funds. Complete	(a)Current		(b)Prior y				(d)Three year		(e)Four y	ears back
1a	Beginn	ing of year balance	(=)=====	,	<u>( - )</u> ,		(-)		(-, , - ,		(-) ,	
b	Contrib	putions										
С	Net inv	estment earnings, gains, and losses										
d	Grants	or scholarships										
e		expenditures for facilities ograms										
f	Admını	strative expenses										
g	End of	year balance										
2	Provid	de the estimated percentage of the cur	rent year end	balance (lır	ne 1g, co	lumn (a	a)) held as	s				
а	Board	d designated or quasi-endowment 🕨										
Ь	Perm	anent endowment 🕨										
С	Temp	orarily restricted endowment <b>&gt;</b>										
	The p	ercentages on lines 2a, 2b, and 2c sho	uld equal 100°	%								
3a	organ	nere endowment funds not in the posse dization by	ession of the oi	rganızatıon	that are	held a	nd admini	stered fo	r the	_	Ye	s No
		nrelated organizations									a(i)	<del> </del>
b		elated organizations	ne listed as so	oured or	 Schodula	 R2					a(ii) 3b	<del>                                     </del>
4		ribe in Part XIII the intended uses of th		•			•			<u></u>	30	
	rt VI	Land, Buildings, and Equipme				-						
		Complete if the organization ans		on Form 9	90, Par	t IV, lı	ne 11a.	See For	m 990, Par	t X, line	e 10.	
	Descri	ption of property (a) Cost or o		( <b>b)</b> Cost or o	ther basis	(other)	(c)Accu	ımulated d	epreciation	(	( <b>d)</b> Book va	alue
<b>1</b> a	Land						1					
b	Buildin	gs			33	,216,517	7		10,428,934			22,787,583
c	Leaseh	old improvements										
d	Equipm	nent			2	,417,710			2,417,710			0
е	Other					148,054	1		148,054			0
Tota	al. Add	lines 1a through 1e (Column (d) must	equal Form 99	0, Part X, 0	column (	B), line	10(c)).		<b>&gt;</b>			22,787,583

	restments—Other Securities. Complete if the Form 990, Part X, line 12.	ne organiza	ition answered '	Yes' on Form	990, Part	IV, line 11b.
	n) Description of security or category (including name of security)	<b>(b)</b> Bool	< value		lethod of va	uation narket value
L)Fınancıal deriv	vatives				na or year n	idinot faide
<b>2)</b> Closely-held e <b>3)</b> Other	_					
	IN HEALTHPARTNERS ADMINISTRATORS, INC		3,700,000		C	
B) INVESTMENT B)	IN HEALTHPARTNERS UNITYPOINT HEALTH, INC	'	9,205,542		С	
<u> </u>						
D)						
≣)						
=)						
G)						
٦)						
otal. (Column (b)	must equal Form 990, Part X, col (B) line 12 )	. 6	2,905,542			
	vestments—Program Related. Complete if	the organi	zation answered	'Yes' on For	m 990, Pa	t IV, line 11c.
<u> 5e</u>	e Form 990, Part X, line 13.  (a) Description of investment	(b) E	Book value		Method of va	luation narket value
1)					ila or year i	iarket value
2)						
3)						
4)						
5)						
5)						
7)						
8)						
9)						
otal. (Column (b)	must equal Form 990, Part X, col (B) line 13 )	<b>•</b>				
Part IX Oth	ner Assets. Complete if the organization answered (a) Description		rm 990, Part IV, lır	ne 11d See F	orm 990, Pa	t X, line 15 (b) Book value
1)						
2)						
3)						
1)						
5)						
5)						
7)						
3)						
9)						
	b) must equal Form 990, Part X, col (B) line 15)	· ·	(asi an Farm 00)		•	1.6
See	ner Liabilities. Complete if the organization as Form 990, Part X, line 25.	Inswered		· · · · ·	ie iie oi i	
• 1) Federal Incom	(a) Description of liability ne taxes		(b) Book valu	ne		
LAIMS PAYABLE 2)			85,2	243,841		
3)						
<del>-                                    </del>						
5)						
6)						
7)						
8)						
9)						
	must equal Form 990, Part X, col (B) line 25 )	<b>•</b>		243,841		
	certain tax positions. In Part XIII, provide the text o	£ +L	0.40.46.	ania F	otot '	that passet - +1

1

2

а

b

c

5

1

2

а b

C

d

е 3

а

b

C

Part XIII

5

4

Part XII

Schedule D (Form 990) 2016

Page 4

Other (Describe in Part XIII ) . . . . . d е 3 4 b

Subtract line **2e** from line **1** . . . . . Amounts included on Form 990, Part VIII, line 12, but not on line 1 Investment expenses not included on Form 990, Part VIII, line 7b . Add lines 4a and 4b . . . . . C

Other losses . . . . . . .

Add lines 2a through 2d . . . . .

Subtract line 2e from line 1 .

Add lines 4a and 4b .

Other (Describe in Part XIII ) . . . . .

Donated services and use of facilities . . .

Amounts included on Form 990, Part IX, line 25, but not on line 1: Investment expenses not included on Form 990, Part VIII, line 7b . . .

**Supplemental Information** 

Amounts included on line 1 but not on Form 990, Part VIII, line 12 Net unrealized gains (losses) on investments . . . .

Recoveries of prior year grants . . . . . .

Total expenses and losses per audited financial statements .

Total revenue, gains, and other support per audited financial statements . . . . . .

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Amounts included on line 1 but not on Form 990, Part IX, line 25 Donated services and use of facilities . Prior year adjustments . . . . .

Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12) . . . . . . Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

2a

2b

2c

2d

4a

4b

2a 2b

**2**c

2d

4b

Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18) . . . . . . .

4c 5

2e

3

2e

3

4c

ınformatıon

	rt II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, es 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information
Return Reference	Explanation
See Additional Data Table	
	Schedule D (Form 990) 2015

Page <b>5</b>	Schedule D (Form 990) 2015
tinued)	Part XIII Supplemental Information (co
Explanation	Return Reference

Schedule D (Form 990) 2016

## Additional Data

Software ID: Software Version:

> **EIN:** 41-1693838 Name: HEALTHPARTNERS INC

Supplemental Information

Return Reference

2015 FOR UNRECOGNIZED TAX BENEFITS

PART X, LINE 2

Explanation HEALTHPARTNERS, INC (HP) CONSOLIDATED AUDITED FINANCIAL STATEMENT FOOTNOTES CONTAIN THE F

OLLOWING EXPLANATION HP'S ACCOUNTING POLICY PROVIDES THAT A TAX BENEFIT FROM AN UNCERTAIN TAX POSITION MAY BE RECOGNIZED WHEN IT IS MORE LIKELY THAN NOT THAT THE POSITION WILL BE SUSTAINED UPON EXAMINATION, INCLUDING RESOLUTIONS OF ANY RELATED APPEALS OR LITIGATION PRO CESSES, BASED ON THE TECHNICAL MERITS HP RECORDED NO LIABILITIES AT DECEMBER 31, 2016 OR

efile GRAPHIC print - DO NOT PROCESS As Filed Data -

DLN: 93493318050037

# OMB No 1545-0047

2015

Open to Public Inspection

### Schedule J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees** 

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ► Attach to Form 990.

Department of the Treasury Internal Revenue Service

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

**Employer identification number** Name of the organization HEALTHPARTNERS INC 41-1693838 **Questions Regarding Compensation** Yes No Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items First-class or charter travel Housing allowance or residence for personal use Travel for companions Payments for business use of personal residence Tax idemnification and gross-up payments Health or social club dues or initiation fees Discretionary spending account Personal services (e.g., maid, chauffeur, chef) If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain 1b Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a? 2 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III Compensation committee Written employment contract Independent compensation consultant Compensation survey or study Form 990 of other organizations Approval by the board or compensation committee During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization Receive a severance payment or change-of-control payment? 4a Yes Participate in, or receive payment from, a supplemental nonqualified retirement plan? 4h Yes Participate in, or receive payment from, an equity-based compensation arrangement? 4c Νo If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9. For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of The organization? 5а Νo 5h Any related organization? Νo If "Yes," on line 5a or 5b, describe in Part III For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of The organization? 6a Νo Any related organization? 6b Yes If "Yes," on line 6a or 6b, describe in Part III For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III 7 Νo Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe ın Part III 8 Νo

If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations

section 53 4958-6(c)?

Schedule J (Form 990) 2015							Page Z
Part III Officers, Director	s, Trustees, Key Er	mployees, and Hig	hest Compensate	<b>ed Employees.</b> Use	duplicate copies i	f additional space is	needed.
For each individual whose compens instructions, on row (ii) Do not list <b>Note.</b> The sum of columns (B)(i)-(ii	any individuals that are	not listed on Form 990	, Part VII		-	·	
(A) Name and Title	(B) Breakdown o	f W-2 and/or 1099-MI	SC compensation	(C) Retirement and	( <b>D)</b> Nontaxable	(E) Total of columns	<b>(F)</b> Compensation in
	Base (1) compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(ı)-(D)	column(B) reported as deferred on prior Form 990

See Additional Data Table

Schedule J (Form 990) 2015

Cahadula 1 (Farm 000) 201 F

Schedule J (Form 990) 2015

PART I. LINES 4A-B

PRIOR REPORTED

COMPENSATION

Schedule J (Form 990) 2015

Page 3

# Return Reference

THE AMOUNT OF SEVERANCE COMPENSATION PROVIDE TO EACH OF THE INDIVIDUALS ON SEVERANCE DURING 2016 IS AS FOLLOWS GREGG DAHLGREN \$ 105,606 ROBERT H VAN WHY \$ 305,587 DEFERRED COMPENSATION IN COLUMN C OF SCHEDULE J. PART II INCLUDES

AMOUNTS FROM A NONQUALIFIED 457(F) PLAN FOR THE FOLLOWING DIRECTORS AND OFFICERS ALAN ABRAMSON \$ 18,207 SCOTT A

PART I, LINE 6

FACH PARTICIPANT

FORM 990, SCHEDULE J, PART II -

28,382 SCOTT A SCHNUCKLE \$ 10,161 DOUG A SMITH \$ 13,764 BARBARA E TRETHEWAY \$ 63,735 ROBERT H VAN WHY \$ 9,272 ANDREA M WALSH \$ 62,553 DONNA J ZIMMERMAN \$ 22,584 ANY ANALYSIS OF EARNINGS FOR THE CURRENT YEAR, FOR THESE PARTICIPANTS OF THE

OFFICERS ALAN V ABRAMSON \$ 58,537 SCOTT AEBISCHER \$ 172,257 CALVIN U ALLEN \$ 19,591 BABETTE A APLAND \$ 9,408 MARY K BRAINERD \$ 592,699 KATHLEEN M COONEY \$ 53,743 ROBERT B CUMMING \$ 21,347 PATRICA DENNIS \$ 7,179 DAVID A DZIUK \$ 49,042 CHARLES FAZIO \$ 18,378 DAVID GESKO, DDS \$ 14,205 NANCY A MCCLURE \$ 29,263 BRIAN H RANK, MD \$ 1,563,465 KATIE B SAYRE \$

COLUMN (C) OF PREVIOUS YEARS' 990'S

PRIOR YEARS' 990'S, AS RETIREMENT AND DEFERRED COMPENSATION, FOR THE FOLLOWING DIRECTORS, OFFICERS, AND FORMER

COLUMN (F) INCLUDES AMOUNTS PAID TO PARTICIPANTS IN THE CURRENT YEAR, WHICH WERE PREVIOUSLY REPORTED IN COLUMN (C) OF

PLAN, SHOULD EXCLUDE THE AMOUNT IN COLUMN FAS PART OF THE ANALYSIS SINCE THOSE EARNINGS WERE ALREADY REPORTED IN

Explanation

LEADERSHIP DEVELOPMENT, CARE DELIVERY, PATIENT EDUCATION, TRIPLE AIM, MARKET SHARE, STRATEGIC CAPABILITIES, FINANCIAL PERFORMANCE (NET MARGIN), ETC , AND WILL BE DEFINED ANNUALLY FOR EACH YEAR'S PROGRAM A NET MARGIN THRESHOLD MUST BE MET FOR ANY PAYMENT TO BE MADE FROM THE PROGRAM AND THERE IS A CAP ON THE MAXIMUM INCENTIVE POTENTIALLY AVAILABLE TO

OTHER SPECIFICALLY IDENTIFIED LEADERS) AND THE ACHIEVEMENT OF BUSINESS AND HEALTH IMPROVEMENT GOALS ESTABLISHED IN A VARIETY OF AREAS GOALS WILL BE RELATED TO THE ORGANIZATION'S STRATEGIC PLAN AND WILL BE BALANCED THESE AREAS MAY INCLUDE BUT ARE NOT LIMITED TO PATIENT SATISFACTION, EMPLOYEE SATISFACTION, WORK ENVIRONMENT, EMPLOYEE AND/OR

MANAGEMENT INCENTIVE PROGRAM (PROGRAM) OF GROUP HEALTH PLAN INC , A RELATED ORGANIZATION THE PROGRAM INCENTS AND REWARDS BUSINESS LEADERS WHO HELP THE ORGANIZATION ACHIEVE STATED BUSINESS AND/OR HEALTH IMPROVEMENT GOALS FOR A SPECIFIC FISCAL YEAR THE PROGRAM IS A KEY ELEMENT OF THE PARTICIPANT'S TOTAL COMPENSATION PACKAGE THE PROGRAM REWARDS ARE BASED ON POSITION IN THE ORGANIZATION (E.G. SENIOR VICE PRESIDENT, VICE PRESIDENT, DIRECTOR, MANAGER,

HEALTHPARTNERS, INC 'S OFFICERS AND HIGHEST COMPENSATED EMPLOYEES MAY RECEIVE COMPENSATION BASED ON THE

ROBERT B CUMMING \$ 18.536 DAVID A DZIUK \$ 41.532 CHARLES J FAZIO \$ 34,997 DAVID S GESKO \$ 21.556 SUSAN M KNUDSON \$ 11.841 NANCY A MCCLURE \$ 34.487 BRIAN H RANK \$ 37.165 KATHERINE B SAYRE \$ 32.071 SCOTT A SCHNUCKLE \$ 11.437 DOUGLAS N SMITH \$ 14,588 BARBARA E TRETHEWAY \$ 27,308 ANDREA M WALSH \$ 67,979 DONNA J ZIMMERMAN \$ 24,967

AEBISCHER \$ 13.871 CALVIN U ALLEN \$ 40.400 BABETTE APLAND \$ 12.409 MARY K BRAINERD \$148,306 KATHLEEN M COONEY \$ 58.834

## Software ID: Software Version:

**EIN:** 41-1693838

Name: HEALTHPARTNERS INC

# Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Part	(A) Name and Title		· · · · · · · · · · · · · · · · · · ·	W-2 and/or 1099-MI:  (ii)  Bonus &		(C) Retirement and other deferred compensation	(D) Nontaxable benefits		<b>(F)</b> Compensation in column (B) reported as deferred
Department				ıncentive	reportable				
1968   1969		(1)	0	0	o	o	0	0	0
BRING 14 PM   10		(11)	740,917	29,273	52,212	24,843		887,684	0
SAMESTER		(1)	0	0	0	0	0	0	0
STREET   S		(11)	614,649	184,069	1,578,191	199,672			1,563,465
Company   Comp	2ERIC SCHNED MD	(1)	0	0	0	0	59,000	2,635,581	0
Communication   Communicatii   Communication   Communication   Communication   Communication	DIRECTOR		774 842						
90-STREAM REALTH			224,042	5,670	37,886	24,789		317,883	0
March   Marc	VP-NETWORK MGMT &	(1)	0	0	0	0	0	0	0
March Processes   10	PROVIDER RELATIONS	(11)	253,353	59,281	7,365	19,875		_ 369,049	0
STATE   1965		(1)	0	0	0	0	0	0	0
Second Assistance   0		(11)	395,346	118,580	87,030	124,764	-	-	58,537
STATE   STAT		(1)	0	0	0	0	33,249	758,969	
SAME OF LAMES AND STATE OF THE PROPERTY OF T			363.892	104.097	101 000	117.053			172.257
SA VESTACT PLANELING: 8  10 1398.477 163.514 26.075 127.611 33,033 746,710 19,50  PRINCELING 10 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0				104,987	161,888	117,032		801,123	1/2,25/
TOTAL MARKEL NO		(1)	0	0	0	0	0	0	0
Tellum marker		(11)	396,477	163,514	26,075	127,611	33.033	746 710	19,591
PRICE SEAS   00   385,754   90,040   22,673   57,156   31,767   587,690		(1)	0	0	0	0	0	0	0
BANKETTE A APLANO   10		(11)	385,754	90.040	22.973	57.156			0
SA VERLOSE INSTITUTE  ***STAMP (10)**329,195***********************************	PRADETTE A ADIAND				22,373	37,200		587,690	
129,199   107,502   26,497   107,039   20,435   590,728   9,40	SR VP, MELROSE INSTITUTE		0	0	0	0	0	0	0
SPANNING READING KIERS   0	a belinviouv	(11)	329,195	107,562	26,497	107,039		- 590,728	9,408
COMMUNICATIONS   (8)   236,650   55,899   8,129   46,518   28,893   375,989   100,000   10   0   0   0   0   0   0   0   0		(1)	0	0	0	0	0	0	0
SOCINET PUBBLE   0   0   0   0   0   0   0   0   0		(11)	236,650	55,599	8,329	46,518			0
MARY K BRAINERD   10   177,910   0   5,154   0   36,551   219,615   1	10COURTNEY BIBEE	(1)	0	0	0	0	28,893	375,989	0
TAMAY K BRANERD   10			177 910						
PRESIDENT & CEO			177,510	0	5,154	U	36,551	219,615	0
PARTICLE   BRUZEK   0		(1)	0	0	0	0	0	0	0
12RICECT DRUZEK   (1)		(11)	1,305,446	564,364	636,260	468,540		2 021 012	592,699
135TEVEN D BUNDE		(1)	0	0	0	0	0	0	0
STEVEN D BLINDE   (1)	VP FINANMACT SERVICES	(11)	217,821	56,246	8,215	62,410			0
VP   DITERNAL AUDIT,   DITER	13STEVEN D BUNDE	(1)	0	·	,			373,320	
14DAVID BUSCH   10	VP INTERNAL AUDIT,			0	0	0	0	0	0
PHARMACY SERVICES   (II)   205,889   31,056   11,334   40,177   28,135   316,591			202,900	47,568	6,062	51,324		- 335,937	0
15KATHLEEN M COONEY   CAO   CO   CO   CO   CO   CO   CO   C		(1)	0	0	0	0	0	0	0
15KATHLEEN M COONEY EXECUTIVE VP & CAO   10		(11)	205,889	31,056	11,334	40,177	-		0
Company   Care   Care		(1)	0	0	0	0	28,135	316,591	0
16ROBERT B CUMMING   CI	EXECUTIVE VP & CAO		608.106	224 671	90.061	256.047			52.742
SR VP ACTUARIAL/UNDERWRITING  (II) 346,960 167,641 41,774 109,293 2,431 698,099  17TRICIA L DEGE VP FINANCE & PLANNING  (I) 0 0 0 0 0 0 0 0 0  (II) 268,358 66,070 36,453 42,091 2,913 442,885  18PATRICIA S DENNIS SR VP HEALTH & CARE ENGAGEMENT  (II) 326,637 96,362 20,479 19,875 - 7,17  19AMY L DEWANE VP - CARE SYSTEMS GROWTH & PARTNERSH  (II) 266,850 21,560 13,507 19,875		Ш		234,071	89,001	230,047		1,225,932	33,743
17TRICIA L DEGE VP FINANCE & PLANNING  (I)  268,358  66,070  36,453  18PATRICIA S DENNIS SR VP HEALTH & CARE ENGAGEMENT  (II)  326,637  96,362  20,479  19,875  20,656  484,009  19,875  20,656  484,009  19,875	SR VP	(1)	0	0	0	0	0	0	0
17TRICIA L DEGE   VP FINANCE & PLANNING	ACTOARIAL UNDERWRITING	(11)	346,960	167,641	41,774	109,293		698 099	21,347
(II) 268,358 66,070 36,453 42,091 29,913 442,885  18PATRICIA S DENNIS SR VP HEALTH & CARE ENGAGEMENT (II) 326,637 96,362 20,479 19,875 - 7,17  19AMY L DEWANE VP - CARE SYSTEMS GROWTH & PARTNERSH (II) 266,850 21,560 13,507 19,875		(1)	0	0	0	0	0	0	0
18PATRICIA S DENNIS SR VP HEALTH & CARE ENGAGEMENT	VF I IVANCE & FLANIVING	(11)	268,358	66,070	36,453	42,091			0
SR VP HEALTH & CARE ENGAGEMENT  (II) 326,637 96,362 20,479 19,875 7,17  19AMY L DEWANE VP - CARE SYSTEMS GROWTH & PARTNERSH  (II) 266,850 21,560 13,507 19,875	18PATRICIA S DENNIS	(1)	0		· _		29,913	442,885	_
19AMY L DEWANE VP - CARE SYSTEMS GROWTH & PARTNERSH (II) 266,850 21,560 13,507 19,875	SR VP HEALTH & CARE		226.627	0		0	0		
19AMY L DEWANE VP - CARE SYSTEMS GROWTH & PARTNERSH (I) 266,850 21,560 13,507 19,875		(11)	326,63/	96,362	20,479	19,875		484,009	7,179
(II) 266,850 21,560 13,507 19,875	VP - CARE SYSTEMS	(1)	0	0	0	0	0	0	0
	GROWTH & PARTNERSH	(11)	266,850	21,560	13,507	19,875			0
20/213 330/103	<u> </u>	<u> </u>			<u> </u>	<u> </u>	28,913	350,705	

Form 990, Schedule J, Pa	art I	I - Officers, Direc	tors, Trustees, Ke	ey Employees, and	d Highest Compen	sated Employee	s	
(A) Name and Title		(B) Breakdown of	W-2 and/or 1099-MIS	SC compensation (iii)	(C) Retirement and other deferred	<b>(D)</b> Nontaxable benefits	(E) Total of columns (B)(I)-(D)	<b>(F)</b> Compensation in column (B)
		Base Compensation	Bonus & Incentive	Other reportable	compensation		, . ,	reported as deferred on prior Form 990
<b>21</b> LESLIE DOCKAN			compensation	compensation		l	I	· I
VP, PRIMARY CARE & CLINIC OPERTIONS	(1)	0	0	0	0	0	0	0
	(11)	97,430	32,500	17,390	11,035	16,094	- 174,449	0
1 DAVID A DZIUK SR VP & CHIEF FINANCIAL	(1)	0	0	0	0	0	0	0
OFFICER	(11)	424,044	136,814	77,402	170,875	22.700	042.025	49,042
2CHARLES J FAZIO MD	(1)	0	0	0	0	33,790	842,925	0
HEALTH PLAN MEDICAL DIRECTOR	(11)	351,751	117,173	51,200	80,620			18,378
3JASON GALLAGHER	(1)	0	, 	, 	, 	22,095	622,839	,
VP, HEALTH INFORMATICS	(11)	199,651						
		199,031	56,604	8,137	40,528	28,197	333,117	U
<b>4</b> DAVID S GESKO SR VP - DENTAL DIRECTOR	(1)	0	0	0	0	0	0	0
	(11)	421,375	146,116	26,879	63,937	32,982	- 691,289	14,205
5TIM M HALEY VP BROKER SALES	(1)	0	0	0	0	0	0	0
	(11)	237,509	6,201	10,340	57,955			0
6MARK HANSBERRY	(1)	0	0	0	0	28,047	340,052	0
VP, BUSINESS DEVELOPMENT INITIATIVES	(11)	179,536		2,982	10,570			
TOLICAN M VANUECON			0	2,362	10,370	19,811	212,899	0
<b>7</b> SUSAN M KNUDSON VP HEALTH INFORMATICS	(1)	0	0	0	0	0	0	0
	(11)	301,391	127,780	8,984	91,118	30,888	- 560,161	0
8STEVEN C HOUSH VP ORTHOPEDIC SERVICES	(1)	0	0	0	0	,	0	0
	(11)	304,704	66,628	10,310	19,875			0
9GEORGE J ISHAM MD MS	(1)	0	0	0	0	30,069	·	0
SENIOR ADVISOR	(11)	156,000	0	1,911	39,461			
10KIM R LAREAU				1,311	33,101	9,637	207,009	, and the second
VP IS&T CARE DELIVERY	(1)		0	0	0	0	0	0
	(11)	285,669	74,193	16,853	54,412	29,997	461,124	0
11VINI T MANCHANDA VP - SUPPLY CHAIN	(1)	0	0	0	0	0	0	0
SERVICES	(11)	212,583	69,781	6,767	38,282	28,727	- 356,140	0
12NANCY A MCCLURE CHIEF OPERATING OFFICER	(1)	0	0	0	0	0	0	0
CHIEF OF ENVITED OF FREEK	(11)	561,860	174,783	68,012	191,093			29,263
13FRANK P MCQUILLAN	(1)	0	0	0	0	36,203	1,031,951	0
VP - TREASURY & REAL ESTATE	(11)	246,545	57,288	11,270	73,668			
A SERANY MULER		,	37,200	11,270	73,008	29,104	417,875	0
14FRANK MULLER VP - TECHNOLOGY & INFRASTR	(1)		0	0	0	0	0	0
	(11)	199,583	46,903	6,636	48,802	28,796	- 330,720	0
15PEGGY S NEALE VP - NATIONAL ACCOUNT	(1)	0	0	0	0		0	0
HLTH SOLUTIONS	(11)	207,826	96,940	16,670	43,005			0
16ANDREW F NELSON	(1)	0	0	0	0	29,291	393,732	0
VP - HPI EXECUTIVE DIRECTOR	(11)	216,371	52,318	11,403	73,624			0
17KEVIN J PALATTAO	(1)	0	32,313	11,100	, 5,62	29,187	382,903	
VP CLINIC PATIENT CARE SYSTEM	(1)	375454	0	0	0	0	0	0
	(11)	275,151	65,431	11,910	55,239	29,646	437,377	0
18NICO PRONK PHD VP & HEALTH SCIENCE	(1)	0	0	0	0	0	0	0
OFFICER	(11)	276,149	63,581	8,190	57,198	29,409	434,527	0
19KEVIN R RONNEBERG VP - ASSOCIATE MEDICAL	(1)	0	0	0	0	29,409	134,327	0
DIRECTOR	(11)	282,006	87,750	16,724	19,875			0
			•			30,730	437,085	

# Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		•	W-2 and/or 1099-MIS  (ii)  Bonus &  Incentive  compensation	C compensation  (iii)  Other  reportable  compensation	(C) Retirement and other deferred compensation	<b>(D)</b> Nontaxable benefits		(F) Compensation in column (B) reported as deferred on prior Form 990
<b>41</b> KATIE B SAYRE SR VP HLTH PLAN OPS & GOV	(1)	0	0	0	0	0	0	0
PROGS	(11)	326,927	105,644	50,034	134,007	- 31,533	648,145	28,382
1SCOTT A SCHNUCKLE SR VP PHARMACY &	(1)	0	0	0	0	0	0	0
BUSINESS	(11)	315,452	98,429	29,140	84,625	30,959	558,605	10,161
2DOUG N SMITHSR VP SALES	(1)	0	0	0	0	0	0	0
	(11)	313,872	145,613	27,159	107,004		625,830	13,764
3ELIZABETH L SWANSON VP HUMAN RESOURCES	(1)	0	0	0	0	0	0	0
VF HOPIAN RESOURCES	(11)	212,158	50,715	18,548	53,321		363,358	0
4TOBI TANZER VP CORPORATE INTEGRITY	(1)	0	0	0	0	0	0	0
VP CORPORATE INTEGRITY	(11)	272,320	64,241	9,422	54,632		430,167	0
5BARBARA E TRETHEWAY SR VP GENERAL COUNSEL	(1)	0	0	0	0	0	0	0
SIN VI GENERAL COONSEL	(11)	499,666	151,511	78,040	143,941		897,449	63,735
6ANDREA M WALSH EXEC VP & CHIEF	(1)	0	0	0	0	0	0	0
MARKETING	(11)	508,137	209,528	99,177	204,177		1,057,300	62,553
<b>7</b> BETH A WATERMAN CHIEF IMPROVEMENT	(1)	0	0	0	0	0	0	0
OFFICER	(11)	269,032	86,475	20,414	68,452		474,357	0
8DONNA J ZIMMERMAN SR VP GOVT & COMMUNITY	(1)	0	0	0	0	0	0	0
RELATIONS	(11)	262,892	81,797	36,173	103,161		496,916	22,584
9PAMELA S ZOELLER VP - SPECIALTY CARE &	(1)	0	0	0	0	0	0	0
CLINIC OPERATI	(11)	233,115	55,787	10,541	20,614		348,934	0
10DENNIS M ZUZEK VP - HEALTH PLAN &	(1)	0	0	0	0	0	0	0
ENTERPRISE SYS	(11)	235,100	33,688	16,527	19,875		333,862	0
11GREGG DAHLGREN	(1)	0	0	0	0	28,072	333,882	0
VP DENTAL PLAN	(11)	104,780	49,264	110,868	33,168	-	33634	0
12ROBERT H VAN WHY	(1)	0	0	0	0	28,130	326,210	0
SR VP PRIMARY CARE/CLINIC	(11)	37,667	95,197	333,070	15,998			9,272
	<u> </u>	,	55,197	333,070	13,790	29,950	511,882	3,2,2

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Schedule L (Form 990 or 990	)-EZ)		► Comp	ns with li lete if the orga art IV, lines 2!	anization ans	swered		Bc,			MB No		
			or Form	n 990-EZ, Part ch to Form 99	V, line 38a d	r 40b.		•			20		0
Department of the Tre Internal Revenue Serv	asurv	ormation ab		ule L (Form 99 www.irs.gov	90 or 990-EZ		ructio	ns is	at	(	Open Insp		
Name of the org							E	mplo	yer ide	entifica	ation r	umb	er
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	ss Benefit Trail lete if the organiza									ne 40h			
	) Name of disquali			Relationship be					Descript		(d	) Cor	rected?
				(	organization			tr	ansacti	on	Y	es	No
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4958 3 Enter the ar	mount of tax incur mount of tax, if an ans to and/or mplete if the organ orted an amount o (b) Relationship with organization	y, on line 2, a  From Inter Ization answe in Form 990, I (c) Purpose	ested Pe red "Yes" o Part X, line (d) Loan orga	bursed by the corsons. In Form 990-EZ 5, 6, or 22 to or from the anization?	organization .		90, Pa	rt IV, ) In ault?	line 26 Appro boa comm	h) ved by rd or nittee?	( ag	i)Writ greem	tten ent?
			То	From			Yes	No	Yes	No	Yes		No
							-			<u> </u>			
Total				•	<b>\$</b>				l				
	nts or Assista												
	nplete if the organization (but into into into into into into into int		between n and the	'es" on Form 9		(d) Type	of ass	stand	ce	<b>(e)</b> Pu	rpose (	of ass	ıstance
For Danerwork Dec	fuction Act Notice	see the Instru	rtions for Fo	rm 990 or 990-l	F <b>7</b> . C:	<u> </u> at No 50056Δ		<b>C</b> -	hadula	L /Earm	000 ~	- 000	E7) 2016

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	of organization's revenues?	
				Yes	No
(1) VIBRANT HEALTH FAMILY CLINICS (VHFC)	MEMBER OF VHFC WAS A BOARD MEMBER OF HEALTHPARTNERS, INC		MEDICAL SERVICE CLAIM PAYMENTS		No

Explanation

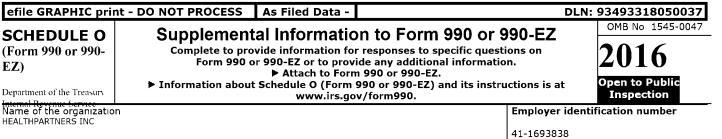
Schedule L (Form 990 or 990-EZ) 2016

**Supplemental Information** 

Part V

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference



990	Schedule	ο,	Suppl	ement	al I	nforn	natio	n

Return Reference	Explanation
FORM 990, PART III, LINE 4A - EXEMPT PURPOSE AND ACHIEVEMENTS	CORPORATE STRUCTURE, PURPOSE, GOVERNANCE HEALTHPARTNERS, INC. (HPI) IS A MINNESOTA NON-PRO FIT CORPORATION AND LICENSED HEALTH MAINTENANCE ORGANIZATION (HMO) RECOGNIZED AS EXEMPT FR OM FEDERAL INCOME TAX UNDER INTERNAL REVENUE CODE (IRC) SECTION SOI (C)(4) AND IS THE PAREN T ENTITY OF THE FAMILY OF HEALTHPARTNERS ORGANIZATIONS "HEALTHPARTNERS" FOUNDED IN 1957, HEALTHPARTNERS IS AN INTEGRATED SYSTEM OF HEALTH CARE DELIVERY AND HEALTH CARE FINANCING O RGANIZATIONS, AND IS ONE OF THE LARGEST CONSUMER-GOVERNED ORGANIZATIONS IN THE COUNTRY HE ALTHPARTNERS MISSION IS TO IMPROVE HEALTH AND WELL-BEING IN PARTNERSHIP WITH OUR MEMBERS, PATIENTS AND COMMUNITY HEALTHPARTNERS SEEKS TO TRANSFORM HEALTH CARE THROUGH A RELENTLES SPOCUS ON THE TRIPLE AIM - PROVIDING EXCEPTIONAL EXPERIENCE FOR THE INDIVIDUAL, IMPROVING THE HEALTH OF THE POPULATION, AND MAINTAINING AFFORDABILITY HEALTHPARTNERS INCLUDES AN A RRAY OF TAX-EXEMPT AND TAXABLE ORGANIZATIONS WITH HEALTH CARE ACTIVITIES PRIMARILY OPERATING IN MINNESOTA AND WESTERN WISCONSIN HEALTHPARTNERS PROVIDES A PULL RANGE OF HEALTH CARE DELIVERY AND HEALTH PLAN SERVICES, INCLUDING INSURANCE, PATIENT CARE, ADMINISTRATION AND HEALTH AND WELL-BEING PROGRAMS HEALTHPARTNERS MEDICAL CARE SYSTEM INCLUDES MORE THAN 1,700 PHYSICIANS AND DENTAL MEMBERS NATIONWIDE HEALTHPARTNERS MEDICAL CARE SYSTEM INCLUDES MORE THAN 1,700 PHYSICIANS AND DENTSTS, SIX OWNED HOSPITALS WITH OVER 1,000 ACUTE CARE BEDS, OVER 100 OWNED AND LEASED PRIMARY AND SPECIALTY CARE MEDICAL FACILITIES AND 20 DENTAL FACILITIES WITH PRACTICES IN MINNESOTA AND WESTERN WISCONSIN HEALTHPARTNERS ALSO CONTRACTS WITH OTHER PRIMARY AND SPECIALTY CARE MEDICAL FACILITIES AND 20 DENTAL FACILITIES AND THE PRACTICES IN MINNESOTA AND WESTERN WISCONSIN HEALTHPARTNERS ALSO CONTRACTS WITH OTHER PRIMARY AND SPECIALTY CARE MEDICAL FACILITIES AND DENTAL FACILITIES AND THE PRACTICES IN MINNESOTA AND WESTERN WISCONS IN HEALTHPARTNERS ALSO PROVIDES MEDICAL EDUCATION AND TRAINING TO MEDICAL PROFESSIONALS A ND CONDUCTS RESEARCH AND FUNDARISING ACTIVIT

990	Schedule	ο,	Suppl	ement	al I	nforn	natio	n

Return Reference	Explanation
FORM 990, PART III, LINE 4A - EXEMPT PURPOSE AND ACHIEVEMENTS	BASED PRACTICES), MENTAL HEALTH (REDUCING STIGMA, AND ASSURING ACCESS TO HIGH QUALITY CARE IN THE MOST APPROPRIATE SETTINGS), CHILDREN'S HEALTH (IMPROVING CHILD HEALTH BY PROMOTING EARLY BRAIN DEVELOPMENT, PROVIDING FAMILY CENTERED CARE, AND STRENGTHENING COMMUNITIES), AND SUSTAINABILITY (ENERGY EFFICIENCY, WASTE REDUCTION, AND RESOURCE MANAGEMENT), MEMBERSH IP HPI MEMBERS RECEIVE A FULL RANGE OF PREPAID HEALTH CARE SERVICES, INCLUDING PROFESSION AL CARE IN HOSPITALS AND PHYSICIAN OFFICES, IMAGING AND LABORATORY SERVICES, VARIOUS THERA PIES, PREVENTIVE SERVICES, HEALTH EDUCATION, AND CERTAIN PRESCRIPTION DRUGS HPI PROVIDES THESE SERVICES TO ITS MEMBERS AND PATIENTS THROUGH A BROAD NETWORK OF PHYSICIANS AND HOSPI TALS THIS NETWORK INCLUDES CLINICS STAFFED BY GROUP HEALTH PLAN, INC. (GHI) AND PARK NICO LLET CLINIC (PNC) EMPLOYED PHYSICIANS AND SIX HEALTHPARTNERS HOSPITALS REGIONS HOSPITAL, A LEVEL I ADULT AND PEDIATRIC CENTER IN ST PAUL, MINNESOTA, WESTFIELDS HOSPITAL, A CRITIC AL ACCESS HOSPITAL IN HUDSON, WISCONSIN, AMERY REGIONAL MEDICAL CENTER, A CRITICAL ACCESS HOSPITAL IN AMERY, WISCONSIN, LAKEVIEW MEMORIAL HOSPITAL, A LEADER IN CANCER, CARDIOVASCULAR AND MATE RNITY CARE IN ST LOUIS PARK, MINNESOTA IN ADDITION, HEALTHPARTNERS MEMBERS RECEIVE HEALT H CARE SERVICES THROUGH HEALTHPARTNERS EXTENSIVE NETWORK OF OWNED AND OPERATED BY GHI, KNOWN AS HEALTH PARTNERS MEMBERS RECEIVE HEALT H CARE SERVICES THROUGH HEALTHPARTNERS NETWORK OF OWNED AND OPERATED BY GHI, KNOWN AS HEALTH PARTNERS MEMBERS RECEIVE HEALT H CARE SERVICES THROUGH DENTAL PROVIDERS, INCLUDING OVER 93 MULTI-SPECIALTY CLINICS OWNED AND OPERATED BY GHI, KNOWN AS HEALTH PARTNERS MEMBERS RECEIVE HEALTH CARE SERVICES THROUGH PROVIDERS, INCLUDING OVER 93 MULTI-SPECIALTY CLINICS OWNED AND OPERATED BY GHI, KNOWN AS HEALTH PARTNERS MEDICAL GROUP (HPMG), PNC CLINICS, STILL WATER MEDICAL GROUP CLIN ICS, AND PHYSICIANS NECK AND BACK CLINICS HIP, GHI AND HEALTHPARTNERS INSURANCE COMPANY (HPIC) PROVIDE COMMERCIAL GROUP, COMMERCIAL INDIVIDUAL, MEDICARE, AND MEDICA

Return Reference	Explanation
FORM 990, PART III, LINE 4A - EXEMPT PURPOSE AND ACHIEVEMENTS	N ORTHODONTICS, ORAL SURGERY, PERIODONTICS, PROSTHODONTICS AND PEDIATRIC DENTISTRY HPDG D ENTISTS PRACTICE IN 24 DENTAL CLINICS OWNED AND OPERATED BY GHI FOR MORE THAN 30 YEARS, H PDG HAS BEEN RECOGNIZED AS A LEADER IN INNOVATIVE DENTISTRY TODAY HPDG REMAINS COMMITTED TO FINDING THE MOST EFFECTIVE WAYS TO IMPROVE OUR PATIENTS' DENTAL HEALTH HPDG IS ONE OF THE FEW DENTAL PRACTICES THAT CONDUCTS A RISK ASSESSMENT FOR PATIENTS, WHICH ALLOWS US TO CREATE AN INDIVIDUALIZED PROGRAM OF TRUE PREVENTIVE DENTAL CARE BASED ON PATIENT NEEDS AND DESIRES THE DIVERSITY OF THE PATIENTS WE SERVE ENCOURAGED HEALTHPARTNERS TO OPEN A DENTA L CLINIC IN THE HEART OF ST PAUL IN 2005 THE HEALTHPARTNERS MIDWAY DENTAL CLINIC FOCUSES ON SERVING NEW AMERICANS AND PROVIDES ASSISTANCE TO PATIENTS ENROLLING INTO MINNESOTA PUB LIC PROGRAMS BENEFIT TO THE COMMUNITY COMMUNITY HEALTH SERVICES HEALTHPARTNERS, THROUGH HPI, PARTICIPATED IN SEVERAL STATE PUBLIC HEALTH PROGRAMS, INCLUDING PRE-PAID MEDICAL AS SISTANCE PLAN (PMAP), A STATE- AND FEDERALLY-FUNDED PLAN FOR LOW-INCOME FAMILIES WITH CHIL DREN (74,143 MEMBERS), MINNESOTACARE, A STATE-FUNDED PLAN FOR LOW-INCOME FAMILIES WITH CHIL DREN (74,143 MEMBERS), MINNESOTACARE, A STATE-FUNDED PLAN FOR RESIDENTS WHO DO NOT HAVE AC CESS TO AFFORDABLE HEALTH CARE COVERAGE (15,796 MEMBERS), MINNESOTA SENIOR CARE PLUS (MSC+), A STATE-AND FEDERALLY-FUNDED PLAN FOR INDIVIDUALS OVER AGE 65 (2,009 MEMBERS), AND MIN NESOTA SENIOR HEALTH OPTIONS (MSHO), A STATE- AND FEDERALLY-FUNDED PLAN THAT PROVIDES COOR DINATED MEDICAL AND DRUG BENEFITS FOR INDIVIDUALS OVER AGE 65 (3,223 MEMBERS) IN JULY OF 2016, HEALTHPARTNERS LAUNCHED SPECIAL NEEDS BASIC CARE (SNBC), A STATE- AND FEDERALLY-FUNDE DPLAN FOR INDIVIDUALS WITH DISABILITIES WHO ARE 18-64 YEARS OLD (3,428 MEMBERS)

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Return Reference	Explanation
FORM 990, PART III, LINE 4A	THE HEALTHPARTNERS GOVERNMENT PROGRAMS DEPARTMENT SUPPORTS STATE PUBLIC PROGRAM MEMBERS BY PROVIDING INFORMATION ABOUT PLAN RESOURCES AND BENEFITS TO COUNTY EMPLOYEES, NURSING HOME S, HEALTH AND HOUSING ADVOCATES, FINANCIAL WORKERS AND COMMUNITY ORGANIZATIONS THROUGH A V ARIETY OF COMMUNITY EVENTS AND PROGRAMS INCLUDING MINNESOTA SOCIAL SERVICES ASSOCIATION M INNESOTA RURAL HEALTH CONFERENCE MINNESOTA FINANCIAL WORKER AND CASE AIDE ASSOCIATION VARI OUS COUNTY AND COMMUNITY HEALTH FAIRS AT THESE EVENTS, HEALTHPARTNERS DISTRIBUTED ID HOLDE RS AND CELL PHONE CARD HOLDERS AS GIVEAWAYS, TOTALING \$500 FOR 500 GIVEAWAYS HEALTHPARTNE RS GOVERNMENT PROGRAMS PROMOTES PREVENTIVE SERVICES THROUGH INCENTIVE PROGRAMS HEALTHPART NERS INVESTED A TOTAL OF \$282,387 FOR THESE INCENTIVE PROGRAMS IN 2016 THE WELL BABY INCENTIVE PROGRAM THE WELL BABY INCENTIVE PROGRAM MES ESTABLISHED AS AN OUTREACH PROGRAM AIME DAT CHILDREN ENROLLED IN PMAP OR MNCARE TO ENCOURAGE CAREGIVERS TO BRING INFANTS AGES 0 T O 15 MONTHS IN FOR ALL SIX WELL CHILD EXAMS KEEPING UP TO DATE ON THESE VISITS NOT ONLY KEEPS THE CHILD UP TO DATE ON IMMUNIZATIONS, BUT SUPPORTS ONGOING PREVENTIVE CARE THROUGHOU T THE CHILD'S LIFE A \$10 GIFT CARD IS SENT TO MEMBERS WHO HAVE A COMPLETE WELL CHILD VISIT WITHIN THE FIRST 15 MONTHS OF LIFE A MEMBER IS ELIGIBLE FOR UP TO SIX GIFT CARDS HEALT HPARTNERS DISTRIBUTED 11, 422 GIFT CARDS AND INVESTED \$131,410 ON THIS PROGRAM IN 2016 THE PRE-TEEN IMMUNIZATIONS INCENTIVE PROGRAM THE PRE-TEEN IMMUNIZATION PROGRAM IN 2016 ON THE MAIL HEALTHPARTNERS DISTRIBUTED 1,447 GIFT CARDS AND INVESTED \$62,662 ON THIS PROGRAM IN 2016 ON PROGRAM IN 2016 SING ON PROGRAM IN 2016 SING ON PROGRAM IN 2016 SING ON PROGRAM IN 2016 ON PROGRAM IN

Return Reference	Explanation
FORM 990, PART III, LINE 4A	ADVOCACY OUR PUBLIC POLICY PLATFORM, BASED ON TRIPLE AIM GOALS, ADDRESSES THE CHALLENGES IN ACCESS, IMPROVING COMMUNITY HEALTH AND AFFORDABILITY FOR CONSUMERS OUR WORK IN MEDICA RE TO ASSURE CHOICES AS A FIVE STAR PLAN CONTINUES TO BE IMPORTANT AS WE GROW IN NEW AREAS WE ARE ALSO THE ONLY MEDICARE NEXT GENERATION ACCOUNTABLE CARE ORGANIZATION IN MINNESOTA, AND ONE OF ONLY 19 NATIONWIDE WE WORK IN LEADERSHIP ROLES WITH COALITIONS AND ASSOCIATI ONS, SUCH AS THE ALLIANCE OF COMMUNITY HEALTH PLANS AND THE MN COUNCIL OF HEALTH PLANS TO ACHIEVE ADVOCACY AIMS, AND MOST IMPORTANTLY, THROUGH RELATIONSHIP BUILDING WITH OUR REGULA TORY AGENCY STAFF AND POLICY MAKERS THROUGHOUT THE YEAR TO PROVIDE TECHNICAL ASSISTANCE AN D INFORMATION IN THE 2016 MINNESOTA LEGISLATIVE SESSION, WE PROTECTED OUR IMPORTANT ROLE AS A DENTAL SAFETY NET PROVIDER FOR PERSONS ENROLLED IN STATE PUBLIC PROGRAMS WE ALSO HEL PED SUPPORT NEW FUNDING FOR COMMUNITY MENTAL HEALTH PROGRAMS AND A MODEST INCREASE IN FUND ING FOR MEDICAL EDUCATION WE WORKED WITH POLICYMAKERS AND OTHER CLINIC GROUPS AND PLANS TO ENSURE THAT HEALTH PLANS MAY CONTINUE TO WORK WITH PATIENTS AND PROVIDERS ON SAFETY, AFF ORDABILITY AND ACCESS TO THERAPIES AND PRESCRIPTION DRUGS WE ALSO WORKED VERY CLOSELY WITH 1 STATE AND FEDERAL REGULATORS AND POLICY MAKERS THROUGHOUT 2016 TO ADDRESS THE REAL CONCE RNS WITH THE INDIVIDUAL MARKET AND OFFER SHORT AND LONG TERM IDEAS FOR AFFORDABILITY AND S USTAINABILITY WE CONTINUED OUR WORK ON STATE TASK FORCES AND WITH OUR INTEGRATED HEALTH P ARTNERSHIP WITH ALLINIA AS EXAMPLES OF OUR HEALTH HEFORM WORK IN MINNESOTA COMMUNITY PARTNERSHIP WORK FOCUSED ON CHILDREN'S HEALTH THROUGH WORK IN OUR CLINICS, HOSPITALS, AND IN THE COMMUNITY THE EAST METRO OUR NEXT THE SECONDOMIC DETERMINANTS OF HEALTH THROUGH WORK IN OUR CLINICS, HOSPITALS, AND IN THE COMMUNITY THE EAST METRO OUR NEW PARTNERSHIP WITH THE SCIENCE MUSEUM AUNOCHORY PARTNERSHIP WORK FOCUSED ON CHILDREN'S HEALTH THROUGH HOORY THAT HE SCIENCE MUSEUM AND INTEREST ING MESSAGES ON TOPICS RANGING FRO

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4A	ILD'S BRAIN POWER EARLIER WITH READING, TALKING AND SINGING IN LATE 2016, WE ALSO CO-HOST ED A COMMUNITY EVENT IN PARTNERSHIP WITH THE GREATER TWIN CITIES UNITED WAY, BRIGHT FUTURE S BEGIN AT BIRTH, TO IGNITE COMMUNITY ACTION AROUND EARLY BRAIN DEVELOPMENT AND THE IMPORT ANCE OF THE FIRST 90 DAYS THIS EVENT IS A CATALYST FOR FURTHER PARTNERSHIP WORK TO ENGAGE FAMILIES AND COMMUNITIES AROUND THE STATE IN FURTHER WORK TO ENSURE CHILDREN HAVE A GREAT START WORK IS UNDERWAY WITH COMMUNITY PARTNERS, INCLUDING THE YMCA SOCIAL EMOTIONAL - WE HAVE EXPANDED EARLY CHILDHOOD SCREENING ACROSS ALL PRIMARY CARE CLINICS AT REGULAR INTER VALS USING CONSISTENT TOOLS EARLY DETECTION AND INTERVENTIONS HAVE THE GREATEST POTENTIAL IMPACT ON ADDRESSING THE EFFECTS OF CHILDHOOD TRAUMA AND MENTAL HEALTH DEVELOPMENT IN 20 17, WE WILL CONTINUE REFINING WORKFLOWS AND CONNECTING WITH THOSE IN THE COMMUNITY (DAYCAR ES, SCHOOLS, NON-PROFITS, ETC.) WHO ALSO HAVE A ROLE IN THIS WORK READ MORE ON OUR INTERN AL BLOG HEALTHY BEGINNINGS - ALL HEALTHPARTNERS AND PARK NICOLLET CLINICS ARE UNIVERSALLY SCREENING WOMEN FOR SUBSTANCE USE AT THEIR INITIAL OB VISIT THOSE WHO SCREEN POSITIVE AR E OFFERED THE 1 1 SUPPORT OF A HEALTHY BEGINNINGS SPECIALIST, WHO HELPS THE PATIENT REACH HER SOBRIETY GOALS STILLWATER MEDICAL GROUP ROLLED OUT THIS PROGRAM IN EARLY 2017 BRIGHT FUTURES - WE BEGAN A PARTNERSHIP WITH THE GREATER TWIN CITIES UNITED WAY IN 2016, HOSTING A BRIGHT FUTURES "READ, TALK, SING" INITIATIVE TO ENCOURAGE EARLY READING AND INTERACTION TO IMPROVE INFANT HEALTH AND DEVELOPMENT OUR FOCUS WILL BE ON BUILDING A MEDIA AND COMMU NITY GRASSROOTS APPROACH TO ENCOURAGE PARENTS AND CAREGIVERS TO SPEND TIME INTERACTING WITH THEIR BABIES IN THE FIRST THREE MONTHS OF LIFE FAMILY CENTERED CARE PROMOTE BREASTFEED ING - REGIONS HOSPITAL WAS ACCREDITED BY BABY-FRIENDLY US AND LAYEVED HEALTH HOPOYE MENT PROGRAM (SHIP) GRANT TO ENHANCE THE DESIGNATION IN 2017 AND LAKEVIEW HOSPITAL IN 2018, IN 2018, LAK EVIEW MEMORIAL HOSPITAL ASSOCIATION ALSO RECEIVED A WASHINGTO

Return Reference	Explanation
FORM 990, PART III, LINE 4A	POSTPARTUM DEPRESSION - ALREADY IN PLACE AT OUR PARK NICOLLET CLINICS, WE SUCCESSFULLY PIL OTED EXPANDING A MODEL TO SCREEN MOTHERS FOR POSTPARTUM DEPRESSION DURING PEDIATRIC VISITS AT FOUR HYMG CLINICS AND ONE STILLWATER MEDICAL GROUP CLINIC ORGANIZATION-WIDE ROLLOUT IS PLANNED FOR EARLY 2017. STANDARD WORKFLOWS. A HIGH FUNCTIONING PEDIATRIC WORKGROUP WAS FORMED WITH CLINICIAN REPRESENTATION FROM ALL MEDICAL GROUPS THEY'RE MEETING TO STANDARDI ZE THE INTERVALS, SCREENING TOOLS AND AFTER-VISIT SUMMARIES OF WELL CHILD CHECKS ACROSS THE ORGANIZATION PLANS TO IMPLEMENT THESE NEW TOOLS ARE SET FOR LATE 2017. OB-PEDIATRIC-FAM ILLY MEDICINE COLLABORATION - OUR PROGRESS IN THE OTHER NINE AREAS OF FOCUS WOULD NOT HAVE BEEN POSSIBLE WITHOUT STRONG COLLABORATION AMONG OB, PEDIATRICS AND FAMILY MEDICINE. WE HA VE A GROUP OF DEDICATED CLINICIANS MEETING REGULARLY WITH THE GOAL OF PROVIDING COORDINATE D CARE FOR FAMILIES ACROSS SPECIALTIES STRENGTHEN COMMUNITIES TEEN PREGNANCY PREVENTION - 1N 2016, WE LAUNCHED THREE WORKGROUPS FOCUSING ON CLINICAL STANDARDS FOR ADOLESCENT SEXUA L HEALTH, TEEN CONFIDENTIALITY AND LONG-TERM REVERSIBLE BIRTH CONTROL ACCESSIBILITY WE ALSO OPENED OUR FOURTH PARK NICOLLET SCHOOL-BASED HEALTH CENTER IN EARLY 2016 OUR HEALTH CENTER SADDRESSED 632 TEEN SEXUAL HEALTH ISSUES IN 2016 SUPPORT HIGH-RISK FAMILIES - WE CON TINUE TO EXPAND OUR COLLABORATION WITH COMMUNITY PARTNERS TO FURTHER SUPPORT OUR MOST VULN ERABLE PATIENTS AND MEMBERS FOR EXAMPLE, WORK IS UNDERWAY TO SYSTEMICALLY IDENTIFY AND CO NNECT MORE OF OUR ELIGIBLE MOTHERS WITH NURSE FAMILY PARTNERSHIP, A COUNTY NURSE HOME VISITING PROGRAM EARLY CHILDHOOD EXPERIENCE - WE CONTINUE TO EXPLORE THE RESEARCH AND PILOTS AROUND THE COUNTRY ON ADVERSE CHILDHOOD EXPERIENCES (ACES) WE'RE FACILITATING SESSIONS' AT ORGANIZATIONS WHO HAVE A STAKE IN THIS WORK, SUCH AS LIFETRACK, ST DAVID'S C ENTER AND THE AFRICAN AMERICAN LEADERSHIP FORUM - HEALTH SUBGROUP TO DET

Return Reference	Explanation
FORM 990, PART III, LINE 4A	AID PRESENTATION TO FAMILIES THE PROMISE NEIGHBORHOOD FAMILIES ARE MAKING STRONG PROGRESS SPPN WENT FROM REACHING 1.451 CHILDREN'S HOD THEIR FAMILIES IN 2015 TO 2.249 CHILDREN AND THEIR FAMILIES IN 2016 HEALTHPARTNERS AND CHILDREN'S HOSPITAL HEALTHY KIDS PARTNERSHIP HE ALTHPARTNERS AND CHILDREN'S HOSPITAL AND CLINICS OF MINNESOTA (CHILDREN'S) ARE COLLABORATI NG WITH COMMUNITY STAKEHOLDERS TO HELP PROMOTE CHILDHOOD HEALTH AND PREVENT OBESITY PHYSI CIAN CHAMPIONS IN THREE CLINICS ARE CONNECTING WITH SCHOOLS, COMMUNITY CENTERS, LOCAL BUSI NESSES AND PUBLIC HEALTH BY WORKING IN PARTNERSHIP, WE HOPE TO PROMOTE AND SUSTAIN CHANGE IN THE PLACES OUR KIDS LIVE, LEARN AND PLAY IN AN EFFORT TO PREVENT AND MANAGE CHILDHOOD OBESITY CHILDREN'S AND HEALTHPARTNERS HAVE TEAMED UP IN THREE NEIGHBORHOODS PHILLIPS IN INDEAPOLIS, RIVERSIDE-MINNEAPOLIS AND WHITE BEAR LAKE THIS INITIATIVE FOCUSES ON SPECIFIC ETHNIC COMMUNITIES IN MINNEAPOLIS - LATINO IN THE PHILLIPS NEIGHBORHOOD AND SOMALI IN RIVE RESIDE - AND THE WHITE BEAR LAKE COMMUNITY AS A WHOLE CHILDREN'S HOSPITAL PARTNERSHIP OUR PARTNERSHIP WITH CHILDREN'S HOSPITAL AND CLINICS FOCUSED ON TWO COMMUNITIES WHITE BEAR LA KE AND THE PHILLIPS NEIGHBORHOOD IN MINNEAPOLIS THE BEARPOWER INITIATIVE IN WHITE BEAR IN VOLVES OUR CLINICS, WHITE BEAR PUBLIC SCHOOLS, FESTIVAL FOODS AND THE YMCA TO FEATURE A CO MMUNITY/SCHOOL APPROACH TO HEALTHY EATING AND PHYSICAL ACTIVITY FOR CHILDREN BEARPOWER BE ARPOWER IS A COMMUNITY MOVEMENT HELPING FAMILIES EAT WELL AND BE ACTIVE THE INITIATIVE WAS S BORN FROM A PARTNERSHIP BETWEEN THE WHITE BEAR LAKE AREA SCHOOLS (150 624), THE WHITE BE AR AREA YMCA, CHILDREN'S AND HEALTHPARTNERS A COMMUNITY ADVISORY TEAM WAS CREATED AND CON SISTS OF LEADERS FROM THESE ORGANIZATIONS, ALONG WITH LOCAL BUSINESS LEADERS, PARENTS, TEA CHERS, LOCAL GOVERNMENT OFFICIALS AND OTHER COMMUNITY MEMBERS THE TEAM WORKED TOGETHER TO IDENTIFY LOCAL STRATEGIES FOR CHANGE AND THE COMMUNITY MEMBERS THE TEAM WORKED TOGETHER TO IDENTIFY LOCAL STRATEGIES FOR CHANGE AND FAMILIES TO EAT BETT

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FORM 990, PART III, LINE 4A	SCHOOLS, BUSINESSES, HEALTH CARE, FOOD RETAILERS, FAITH COMMUNITIES AND THE COMMUNITY, INC LUDING ADVISORY COMMITTEES, WITH BROAD LAKEVIEW AND COMMUNITY PARTICIPATION WORKING ON MU LTIPLE LEVELS TO IMPROVE EATING AND ACTIVITY ENVIRONMENTS, ENGAGE THE COMMUNITY, DELIVER E FFECTIVE PROGRAMS AND PROVIDE RESOURCES TO HEALTH CARE PROVIDERS MEASURING RESULTS ALONG THE WAY WITH AN EVALUATION FRAMEWORK, AND TRACKING AND MEASURING OF PARTICIPATION AND RESULTS MORE INFORMATION ABOUT POWERUP IS INCLUDED IN THE LAKEVIEW MEMORIAL HOSPITAL FOUNDATI ON FORM 990 RETURN CONTINUING EDUCATION HEALTH PARTNERS INSTITUTE (INSTITUTE) PROVIDES C ONTINUING EDUCATION THAT SUPPORTS THE IMPROVEMENT OF THE COMPETENCE OF PHYSICIANS AND HEAL TH CARE PROFESSIONALS, HEALTH CARE PRACTICE, AND THE HEALTH OF OUR PATIENTS AND COMMUNITY THE INSTITUTE AND PARK NICOLLET INSTITUTE CONTINUING MEDICAL EDUCATION TEAMS COMBINED IN 2014 PARK NICOLLET INSTITUTE IS ACCREDITED BY THE ACCREDITATION COUNCIL FOR CONTINUING ME DICAL EDUCATION (ACCME) TO PROVIDE CONTINUING MEDICAL EDUCATION COUNCIL FOR CONTINUING MEDICAL EDUCATION (ACCME) TO PROVIDE CONTINUING MEDICAL EDUCATION CONTINUING MEDICAL EDUCATION COLLE, FOR THE INSTITUTE TRAINS MORE THAN 500 RESIDENT PHYSICIA NS (130 FTES) ANNUALLY IN 22 PROGRAMS AT REGIONS, HOSPITAL AND THE HPMG FOR A FULL REPORT ON THE INSTITUTE'S PORM 990 RETURN SUBSIDIZED H EALTH SERVICES NATIONAL ALLIANCE ON MENTAL ILLNESS (NAMI) FOR THE PAST SEVERAL YEARS, HEA LTHPARTNERS BEHAVIORAL HEALTH PERSONNEL HAVE BEEN ON THE BOARD OF THE LEADING MINNESOTA ME NTAL HEALTH ADVOCACY ORGANIZATION, NATIONAL ALLIANCE ON MENTAL ILLNESS.  MINNESOTA (NAMI) AGAIN IN 2016, HEALTH PARTNERS WAS A GOLD SPONSOR OF MINNESOTA'S NAMI WALK, AN EVENT TO RA ISE AWARENESS AND REDUCE THE STIGMA ASSOCIATED WITH MENTAL ILLNESS (NAMI) MINN ESOTA, TWIN CITIES PUBLIC TV AND PRESTON KELLY, A CREATIVE ADVERTISING AGENCY TO CREATE A CAMPAIGN TO ELLIMINATE STIGMA ABOUT MENTAL ILLNESSES BY ENCOURAGING OPEN CONVERSATIONS AND EDUCATION ON THE TOPIC IN 2016, HEALTHPARTNERS EMP

Return Reference	Explanation
FORM 990, PART III, LINE 4A	MAJOR INITIATIVES TO RAISE AWARENESS AND PUBLICIZE THE MAKE IT OK WEBSITE WERE CONDUCTED IN 2016 AT LAKEVIEW MEMORIAL HOSPITAL ASSOCIATION IN STILLWATER, MINNESOTA AND IN AMERY REG IONAL MEDICAL CENTER IN WISCONSIN TO HELP ALL HEALTH CARE PROFESSIONALS AND HOSPITAL PATIE NTS UNDERSTAND THE VALUE OF ELIMINATING STIGMA EARNED MEDIA IMPRESSIONS IN 2016 WERE 3,76 2,881 TPT DOCUMENTARY BROADCAST VIEWS = 137,237, MAKE IT OK WEBSITE UNIQUE VISITS WERE 16,626, FACEBOOK LIKES WERE 2,400 AND 138 NEW TRAINERS WERE RECRUITED AND TRAINED FOR THE MA KE IT OK AMBASSADOR PROGRAM DUE TO THE HIGH QUALITY OF THE CONTENT AND THE ABILITY TO REA CH THE PUBLIC TO MAKE A POSITIVE DIFFERENCE, MAKE IT OK WAS NOMINATED FOR A WEBBY AWARD IN 2016 FINALLY, A NEW RELATED INITIATIVE TO ELIMINATE STIGMA ABOUT DEPRESSION WAS PURSUED BY HEALTHPARTNERS, MINNESOTA PUBLIC RADIO AND NAM CALLED THE HILARIOUS WORLD OF DEPRESSIO N PLANNING AND PRODUCTION WAS DONE IN 2016 AND THESE PODCASTS OF COMEDIANS TALKING ABOUT THEIR OWN EXPERIENCES OF COPING WITH DEPRESSION WERE LAUNCHED IN DECEMBER 2016 WITHIN THE FIRST 60 DAYS THERE WERE OVER A MILLION DOWNLOADS AND USA TODAY SELECTED THE HILARIOUS WO RLD OF DEPRESSION AS A PODCAST PICK WE TRIPLED TRAFFIC TO THE MAKE IT OK WEBSITE AND OVER 10,000 PEOPLE HAVE NOW TAKEN THE PLEDGE TO REDUCE STIGMA WE HAVE HAD INQUIRIES FROM AROU ND THE COUNTRY FROM PEOPLE INTERESTED IN THE CAMPAIGN OR IN SHARING THEIR OWN STORIES WE ALSO LAUNCHED MAKE IT OK INITIATIVE IN OUR ST CROIX VALLEY AREA HOSPITALS AND CLINICS, SC HOOLS, BUSINESSES AND PUBLIC HEALTH AGENCIES MENTAL HEALTH DRUG ASSISTANCE PROGRAM (MHDAP). WHICH HELP STO ALLEVIATE OR AVERT MANY PSYCHIATRIC CRISES IN THE EAST METRO AREA BY COVERING THE FU LL COST OR CO-PAYS OF MEDICATIONS FOR UN-INSURED AND UNDER-INSURED PATIENTS WHO CANNOT AFF ORD THEIR MEDICATIONS FOR UN-INSURED AND UNDER-INSURED PATIENTS WHO CANNOT AFF ORD THEIR MEDICATIONS FOR UN-INSURED AND UNDER-INSURED PATIENTS WHO CANNOT AFF ORD THEIR MEDICATIONS SINCE ITS INCEPTION THE PROGRAM HAS PROVIDERS IN THE EA

Return Reference	Explanation
FORM 990, PART III, LINE 4A	EST PRACTICES GUIDELINES FOR TREATMENT OF DEPRESSION INCLUDING MEDICATION MANAGEMENT AND S UPPORT FOR MEMBERS WHO ARE BATTLING DEPRESSION THE FOUR CLASSES OF PSYCHIATRIC MEDICATION THAT HEALTHPARTNERS SUPPORTS THROUGH MAILED REMINDER LETTERS FOR REFILLS ARE ANTIDEPRESS ANTS. ANTIPSYCHOTICS, MOOD STABILIZERS AND ANTI-CRAVING MEDICATIONS (USED IN THE TREATMENT OF ADDICTIONS) IN 2016 THERE WERE 157,937 REFILL REMINDER LETTERS AND HEALTH EDUCATION NEWSLETTERS SENT TO SOME 25,000 MEMBERS TO HELP SUPPORT MEDICATION ADHERENCE TO BEHAVIORAL HEALTH MEDICATIONS FOR THE CONDITIONS OF DEPRESSION, BIPOLAR DISORDER, SCHIZOPHENIA AND C HEMICAL DEPENDENCY IN ADDITION, TELEPHONE OUTREACH BY A BEHAVIORAL HEALTH PROFESSIONAL WAS MADE TO MEMBERS WHEN THE PATIENT WAS OVERDUE IN FILLING THEIR BEHAVIORAL HEALTH MEDICATIONS (INTIDEPRESSANT, ANTIPSYCHOTIC OR MOOD STABILIZER MEDICATION) FOR SELECTED HIGH RISK PATIENTS SERVED WITHIN HEALTHPARTNERS MEDICAL GROUP, PHONE CALLS WERE PLACED TO MEMBERS TO ASSIST THEM WITH GETTING MEDICATIONS THE TOTAL COST OF THIS PROGRAM WAS APPROXIMATELY \$1,85,000 REDUCING PSYCHIATRIC HOSPITALIZATIONS HEALTHPARTNERS STAFF PROVIDED BEHAVIORAL HEALTH COACHING AND CARE COORDINATION TO SUPPORT APPROXIMATELY 13,000 NEW HIGH-RISK MEMBERS TO PREVENT CRISES THAT LEAD TO EMERGENCY HOSPITALIZATIONS HEALTHPARTNERS STAFF PROVIDED BEHAVIORAL HEALTH COACHING AND CARE COORDINATION TO SUPPORT APPROXIMATELY 13,000 NEW HIGH-RISK MEMBERS TO PREVENT CRISES THAT LEAD TO EMERGENCY HOSPITALIZATION HEALTHPARTNERS SLASO HELPS THOSE LEAVING THE HOSPITALIZATIONS THE COST OF THIS PROGRAM WAS \$3,146,088 WITH 94% BEING SALARIES AND BENEFITS FOR STAFF IMPROVING PATIENT FROM AN OUTPATIENT MENTAL HEALTH PROVIDER THE 20 16 ANALYSIS INDICATES A 3 1 TO 2 6 TO 1 RETURN ON INVESTMENT WITH NET SAVINGS OF APPROXIMATELY 57-9M DUE TO FEWER HOSPITALIZATIONS THE COST OF THIS PROGRAM WAS \$3,146,088 WITH 94% BEING SALARIES AND BENEFITS FOR STAFF IMPROVING PATIENT SAFETY AND REDUCING INDICATES A 3 1 TO 2 6 TO 1 RETURN ON INVESTMENT WITH THE THE PROFESSIO

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FORM 990, PART III, LINE 4A	HOSPITAL AND INTEGRATED HEALTH CARE DELIVERY SYSTEM MEMBERS IN MINNESOTA AND SURROUNDING A REAS ICSI HELPS HEALTH CARE DELIVERY SYSTEMS APPLY EVIDENCE-BASED PRACTICES AND ALSO BRIN GS CLINICAL PRACTICE LESSONS BACK FOR SYSTEMS CHANGE IMPROVEMENT IN 2016, HEALTHPARTNERS CONTINUED TO CONTRIBUTE TIME AND EXPERTISE TO ICSI INITIATIVES MORE INFORMATION ABOUT HEA LTHPARTNERS AND ICSI'S WORK TOGETHER CAN BE FOUND AT WWW ICSI ORG FINANCIAL CONTRIBUTIONS HEALTHPARTNERS GIVES PRIORITY TO FUNDING PARTNERSHIPS AND PROJECTS THAT ARE CONSISTENT WI TH ITS STRATEGIC HEALTH PROMOTION INITIATIVES, FOCUS ON ACTIVITIES THAT PREVENT HEALTH PRO BLEMS, ADDRESS THE NEEDS OF PEOPLE IN OUR SERVICE AREA, AND/OR DISPLAY UNDERSTANDING OF THE DIVERSE NEEDS OF OUR POPULATION AND INDICATE HOW THEY WILL REDUCE DISPARITIES IN HEALTH OUTCOMES HEALTHPARTNERS EMPLOYEES GAVE WITH THEIR MILLION DOLLAR HEARTS TO THE COMMUNITY AND OUR FOUNDATION MISSIONS THROUGH THE COMMUNITY GIVING AND SHARING AT WORK CAMPAIGNS, AS WELL AS OUR WALK ENGAGEMENTS ADDITIONALLY, HEALTHPARTNERS PARTICIPATED IN COMMUNITY FEST IVALS AND EVENTS PROVIDING OUTREACH AND EDUCATION RESOURCES TO THE COMMUNITY ON A VARIETY OF HEALTH TOPICS, INCLUDING BODY MASS INDEX, HEALTHY EATING, SMOKING CESSATION, CHOLESTERO L, BLOOD PRESSURE, IMMUNIZATIONS AND MORE FUNDRAISING WALKS AMERICAN HEART WALK (MAY 14, 2016) - 437 REGISTERED WALKERS PLUS FRIENDS AND FAMILY RAISED \$3,712 NAMI WALK (SEP T 26, 2016) - 260 REGISTERED WALKERS PLUS FRIENDS AND FAMILY RAISED \$3,712 NAMI WALK (SEP T 26, 2016) - 260 REGISTERED WALKERS PLUS FRIENDS AND FAMILY RAISED S3,712 NAMI WALK (SEP T 26, 2016) - 200 REGISTERED WALKERS PLUS FRIENDS AND FAMILY RAISED S3,712 NAMI WALK (SEP T 26, 2016) - 200 REGISTERED WALKERS PLUS FRIENDS AND FAMILY RAISED S3,712 NAMI WALK (SEP T 26, 2016) - 200 REGISTERED WALKERS PLUS FRIENDS AND FAMILY RAISED S3,712 NAMI WALK (SEP T 26, 2016) - 200 REGISTERED WALKERS PLUS FRIENDS HOD DAYS FIESTA LATINA FAIR NAT IVE AMERICAN INDIAN HEALTH FAIR HMONG HEALTH FAIR AT JULY 4TH SOCCER TOURNAMENT

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FORM 990, PART III, LINE 4A	SPEED VOLUNTEERING SPEED VOLUNTEERING ARE VOLUNTEERING OPPORTUNITIES ORGANIZED BY HEALTHPA RTNERS COMMUNITY RELATIONS TO CONTINUE OUR EMPLOYEE ENGAGEMENT WITH OUR COMMUNITY ORGANIZA TIONS TWO-HOUR DROP-IN VOLUNTEERING SESSIONS ARE ORGANIZED ON SITE AND EMPLOYEES DONATE T HEIR TIME TO SUPPORT THE VOLUNTEER ACTIVITY VOLUNTEER INES HAVE RANGED ANYWHERE FROM FIVE MINUTES UP TO 120 MINUTES DEPENDING ON THE EMPLOYEE VOLUNTEER AVAILABILITY MARTIN LUTHE R KING, JR DAY OF SERVICE - 1/18 - 384 POUNDS OF FOOD DONATED, 1, 348 POUNDS OF PERSONAL HYGIENE, 330 SNACK PACKS AND 138 DETERGENT BAGS WERE DONATED TO COMMUNITY ORGANIZATIONS WI TH OVER 40 VOLUNTEERS AND A TOTAL OF 25 HOURS DONATED FOR THIS EVENT GARDENING MATTERS - 3/10, 4/27 - SINCE 2008, GARDENING MATTERS HAS BEEN DEDICATED TO THE SUCCESS AND SUSTAINAB ILITY OF COMMUNITY GARDENING MATTERS PROVIDES RESOURCES, TRAINING, REFERRALS, AND MUCH MORE HEALT HPARTNERS EMPLOYEES DONATED OVER 30 HOURS TO THIS EVENT AND REPACKAGED HUNDREDS OF SEEDS! CARD COLORING PARTNERSHIP WITH MINNESOTA BRAIN INJURY ALLIANCE - 6/22 - THE MINNESOTA BRAIN INJURY ALLIANCE - 6/22 - THE MINNESOTA BRAIN INJURY ALLIANCE FOR FACILITATION SERVICES, WHICH PROVIDE PERSONAL ONE-ON-ONE CO NNECTION TO INFORMATION AND RESOURCES HEALTHPARTNERS HAD 16 VOLUNTEERS WHO COLORED OVER 80 CARDS FOR THIS EVENT AND TOTALED 11 HOURS DONATED DONATIONS HEALTHPARTNERS HAD 16 VOLUNTEERS WHO COLORED OVER 80 CARDS FOR THIS EVENT AND TOTALED 11 HOURS DONATED DONATIONS HEALTHPARTNERS HAD AND AWO NDERFELL PARTNERSHIP WITH THE FOOD GROUP THE FOOD GROUP IS A FULL-SERVICE FOOD BANK WITH O VER 200 HUNGER RELIEF PARTNERS STATEWIDE PROVIDING FREE FOOD, ACCESS TO BULK FOOD PURCHASI NG, AND FORMALIZED FOOD DRIVE PROGRAMS WE ALSO OFFER FOOD SHELVES FREE DELIVERY, AND OUTR EACH AND ADVOCACY AND PUBLIC POWER STATEWING FREE FOOD BANKS IN 2016, HEALTHPARTNERS CONTRIBUTED 1, 348 POUNDS OF NON-FOOD ITEMS THAT INCLUDES PERSONAL HYGIENE ITEMS AND 2,532 NONFOOD ITEMS EMPLOYEE GIVING HEALTHPARTNERS. COMMITMENT TO WORK PARTNERS SHOULD FREE FOOD S

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FORM 990, PART III, LINE 4A	SED \$996,084 PATIENT CARE FUNDS FROM THE CAMPAIGN WERE SPLIT BETWEEN WISHING WELL, REACH OUT AND READ AND CARE IMPROVEMENT GRANTS THIRTY PROGRAMS RECEIVED PATIENT CARE GRANT SUPP ORT FOR A TOTAL OF \$172,141 WORTH OF ASSISTANCE THE 2016 SHARING AT WORK CAMPAIGN ALSO RA ISED MONEY FOR HEALTHPARTNERS HOSPICE AND PALLIATIVE CARE AND OTHER ORGANIZATIONS WITHIN H EALTHPARTNERS, INCLUDING AMERY REGIONAL MEDICAL CENTER FOUNDATION, HUDSON HOSPITAL FOUNDATION, WESTFIELDS HOSPITAL FOUNDATION AND LAKEVIEW MEMORIAL HOSPITAL FOUNDATION THE FUNDS R AISED THROUGH THE COMMUNITY GIVING CAMPAIGN SUPPORTS SEVEN LOCAL FEDERATIONS GREATER TWIN CITIES UNITED WAY, UNITED WAY OF WASHINGTON COUNTY-EAST, ST CROIX VALLEY UNITED WAY, AND UNITED WAY OF CHARLAL MINNESOTA, COMMUNITY SHARES MINNESOTA, COMMUNITY HEALTH CHARITIES-M INNESOTA AND THE MINNESOTA ENVIRONMENTAL FUND IN 2016, HEALTHPARTNERS' COMMUNITY GIVING C AMPAIGN RAISED \$341,708, WHICH INCLUDED EMPLOYEE PLEDGES THROUGH AUTOMATIC PAYROLL DEDUCTI ONS, \$60,000 FROM HEALTHPARTNERS THAT WAS DISTRIBUTED TO ALL FEDERATIONS, AND ADDITIONAL E MPLOYEE FUNDRAISING DOLLARS THROUGH SPECIAL EVENTS ACROSS THE ORGANIZATION IN-KIND DONATI ONS HEALTHPARTNERS SUPPORTS AND CONTRIBUTES TO NUMEROUS NON-PROFIT ORGANIZATIONS THROUGHOU T THE YEAR BY PROVIDING MEETING SPACE AND DONATING USED EQUIPMENT IN 2016, HEALTHPARTNERS DONATED OFFICE SUPPLIES AND FURNITURE TO LOCAL ORGANIZATIONS IN ADDITION, HEALTHPARTNERS PROVIDES TIME AND OPPORTUNITIES FOR EMPLOYEES TO COORDINATE DRIVES FOR FOOD, CLOTHING, BO OKS AND TOYS ON LOCATION AT THE WORK PLACE COMMUNITY-BUILDING ACTIVITIES TRIPLE AIM HEALT HPARTNERS IS SONATED OFFICE SUPPLIES AND FURNITURE FOR HEALTHCARE IMPROVEMENT'S TRIPLE AIM HEALT HPARTNERS IS ONE OF 12 ORGANIZATIONS PARTICIPATING IN THE INSTITUTE FOR HEALTHCARE IMPROVEMENT'S TRIPLE AIM HEALT HPARTNERS IS ONE OF 12 ORGANIZATION AND THE EXPERIENCE OF EACH INDIVIDU AL, AND REDUCE PER CAPITA HEALTH CARE LAMP PROJECT BASED ON INITIATIVE OF DEVELOP MODELS OF CARE THA T SIMULTANEOUSLY OPTIMIZE THE HEALTH OF T

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FORM 990, PART III, LINE 4A	Y MEASURES AND INITIATIVES, PARTICIPATE IN DEVELOPMENT OF PUBLIC POLICY AND COLLABORATE ON SYSTEM IMPROVEMENTS BY PARTNERING WITH PROVIDERS, MEMBERS, PURCHASERS, AND THE COMMUNITY, WE ARE LEVERAGING OUR PLAN CAPABILITIES TO DEVELOP INITIATIVES, WHICH IMPROVE HEALTH, ME MBER EXPERIENCE AND AFFORDABILITY TOTAL COST OF CARE TOTAL COST OF CARE (TCOC) IS A METHO D OF MEASURING HEALTH CARE AFFORDABILITY TOTAL COST OF CARE TOTAL COST OF CARE (TCOC) IS A METHO D OF MEASURING HEALTH CARE AFFORDABILITY TOOL MEASURES ARE POWERFUL ANALYTICAL TOOLS FOR HEALTH PLANS, PROVIDERS, MEDICAL GROUPS, GOVERNMENT AGENCIES, EMPLOYERS AND OTHERS WITH A STAKE IN REDUCING HEALTH CARE COST TRENDS THEY CAN HELP PINPOINT WAYS TO MAKE HEALTH CARE MORE AFFORDABLE WITHOUT SACRIFICING QUALITY OR EXPERIENCE MANY ORGANIZATIONS HAVE EXPERI MENTED WITH TCOC MODELS IN RECENT YEARS HEALTHPARTNERS' TOTAL COST OF CARE AND RESOURCE U SE (TCOC) FRAMEWORK ADDRESSES ONE OF THE MOST FUNDAMENTAL PROBLEMS RELATED TO POPULATION HEALTH RISING HEALTH CARE COSTS HEALTHPARTNERS HAS DEVELOPED A TCOC MODEL THAT IS UNIQUE IN A SIGNIFICANT WAY IT IS A FULL-POPULATION, PERSON-CENTERED MEASUREMENT TOOL THAT ACCOUNTS FOR 100 PERCENT OF THE CARE PROVIDED TO A PATIENT ADDITIONALLY, IT SUPPLIES A REPORTING SUITE TO SUPPORT MULTIPLE LEVELS OF ANALYSIS, CONSIDERING THE COST OF CARE PROVIDED TO A PATIENT (OR "TOTAL COST INDEX"), AND INCORPORATES AN INNOVATIVE APPROACH TO MEASURING RE SOURCES USED IN PROVIDING THAT CARE (OR "TOTAL RESOURCE USE INDEX") WHEN USED IN COMBINATION, THESE MEASURES YIELD MORE COMPREHENSIVE, REVEALING AND ACTIONABLE RESULTS THAN COST M EASURES ALONE USING THIS SYSTEM, WHICH HAS BEEN IN DEVELOPMENT AND STAGED-IN USE FOR MORE THAN A DECADE, HEALTHPARTNERS HAS OUTPERFORMED MINNESOTA, REGIONAL AND NATIONAL RISK-ADJU STED COST OF CARE BENCHMARKS FOR MANY YEARS HEALTHPARTNERS FINANCIALLY INCENTS PROVIDERS THROUGH ITS TCOC PROGRAM TO ACHIEVE THE INSTITUTE FOR HEALTHCARE IMPROVEMENT'S TRIPLE AIM IMPROVING THE HEALTH PARTNERS HAS BEEN DEVELOPING HEALTH CARE COS

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FORM 990, PART III, LINE 4A	SUSTAINABILITY OUR SUSTAINABILITY PROGRAM IS IN ALIGNMENT WITH AND FULLY SUPPORTS THE MISS ION OF OUR ORGANIZATION THE SUSTAINABILITY DEPARTMENT IS RESPONSIBLE FOR MONITORING AND M AKING RECOMMENDATIONS FOR IMPROVING ENERGY EFFICIENCY, MINIMIZING WASTE, PURCHASING ENVIRO NMENTALLY PREFERRED MATERIALS WHEN APPROPRIATE, AND INTERACTING WITH THE INTERESTED STAKEH OLDERS OF THE ORGANIZATION HEALTHPARTNERS IS COMMITTED TO CARING FOR THE PLACES WHERE WE LIVE AND WORK SO WE CAN PROVIDE A HEALTHIBE AND CLEANER COMMUNITY FOR OUR EMPLOYEES, MEMBE RS, PATIENTS AND FUTURE GENERATIONS OUR SUSTAINABILITY GOALS WILL BE TO EXPAND THE USE OF SUSTAINABLE AND EARTH-FRIENDLY PRACTICES THAT HELP US WORK SMARTER, BE HEALTHIER AND SAVE MONEY ENCOURAGE EMPLOYEES TO PARTICIPATE IN AND CHAMPION OR SUPPORT SUSTAINABILITY PRACTICES, BOTH AT WORK AND AT HOME MEASURE AND MONITOR OUR PROGRESS TOWARD OUR SUSTAINABILITY OR SUSTAINABILITY INITIATIVES GREEN TEAMS ACROSS OUR ORGANIZATION THAT WORK HARD ON LEA DING OUR SUSTAINABILITY INITIATIVES GREEN TEAMS ARE BASED AT OUR HEALTHPARTNERS HEADQUART ERS IN BLOOMINGTON, HUDSON HOSPITAL & CLINIC, AMERY HOSPITAL & CLINIC, AMERY HOSPITAL & CLINIC, AND THE MAIN CAMPUS CLIN IC COMPLEX IN ST LOUIS PARK WE HAVE ONE GREEN TEAM FOR OUR CLINICS IN 2016, HEALTHPARTN ERS SPONSORED AN ENTERPRISE-WIDE EARTH DAY EVENT WHERE WE HELD PUBLIC ELECTRONIC WASTE COL LECTION EVENTS AT NINE OF OUR SITES ACROSS THE ORGANIZATION WE PARTNEREED WITH TECHDUMP, A LOCAL NON-PROFIT THAT PROVIDES JOBS AND TRAINING FOR DISAONANTAGED INDIVIDUALS WE COLLEC TED OVER 16 TONS OF E-WASTE FROM THE PUBLIC, ENOUGH TO PROVIDE EMPLOYMENT FOR ONE INDIVIDUAL AT TECHDUMP IS ALOCAL MON-PROFIT THAT PROVIDES JOBS AND TRAINING FOR DISAONANTAGED INDIVIDUALS WE COLLEC TED OVER 16 TONS OF E-WASTE FROM THE PUBLIC, ENOUGH TO PROVIDE EMPLOYMENT FOR ONE INDIVIDUAL AT TECHDUMP IS ALOCAL MON-PROFIT THAT PROVIDES JOBS AND TRAINING FOR DISCHARGED TO THE ENVIRON MEMORY OF PROPERTY DISPOSE OF THESE MEDICATIONS SO THEY ARE NOT DISCHARGED TO THE ENVIRON MEMORY OF P

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FORM 990, PART III, LINE 4A	REGIONS HOSPITAL RECEIVED THE XCEL ENERGY 2011, 2012 AND 2013 ENERGY EFFICIENCY PARTNER A WARD, AND THE SUSTAINABLE SAINT PAUL AWARD IN 2014 AND AGAIN IN 2016 HUDSON HOSPITAL & CLINIC AND WESTFIELDS HOSPITAL & CLINIC HAVE BOTH RECEIVED A GREEN MASTERS AWARD FROM THE WI SCONSIN SUSTAINABLE BUSINESS COUNCIL, WITH AMERY HOSPITAL & CLINIC WINNING THE GREEN PROFE SSIONAL DESIGNATION FROM THE SAME ORGANIZATION IN 2016 AND IN EARLY 2016, METHODIST HOSPITAL RECEIVED A GRANT FROM HENNEPIN COUNTY TO ESTABLISH AN ORGANICS COLLECTION PROGRAM AT THE HOSPITAL EQUITABLE CARE HEALTHPARTNERS HAS A LONGSTANDING COMMITMENT TO IMPROVING THE HEALTH OF THE DIVERSE COMMUNITIES WE SERVE HEALTHPARTNERS CREATED A CROSS CULTURAL CARE AND SERVICE TASK FORCE IN 2001 TO LAY THE FOUNDATION FOR DELIVERING EQUITABLE CARE AND REDU CING DISPARITIES ORGANIZATION-WIDE PROGRAMMING FOR EQUITABLE CARE AND SERVICE ENCOMPASSES THE HEALTH PLANS, HEALTHPARTNERS BEDICAL GROUP AND HEALTHPARTNERS DENTAL EQUITABLE CARE AND REDU CINICS AND REGIONS HOSPITAL EQUITABLE CARE CHAMPIONS IN 2016, THE EQUITABLE CARE CHAMPION WAS LAUNCHED (PREVIOUSLY KNOWN AS THE EQUITABLE CARE CHAMPIONS IN 2016, THE EQUITABLE CARE CHAMPION WAS LAUNCHED (PREVIOUSLY KNOWN AS THE EQUITABLE CARE ELLOWS PROGRAM) "A CHAMPION IS A PERSON WHO VOLUNTARILY TAKES EXTRAORDINARY INTEREST IN THE ADDOPTION. IMPLEMENTATION AND SUCCESS OF A CAUSE, POLICY OR PROGRAM THROUGHOUT AN ORGANIZATION ALSO CALLED CHANGE AD VOCATE, CHANGE AGENT OR IDEA CHAMPION" "THIS IS HOW WE DEFINE OUR EQUITABLE CARE CHAMPIONS ACROSS THE HEALTHPARTNERS ORGANIZATION 2016 ACCOMPLISHMENTS INCLUDE PARTICIPATION OF 17 0 EQUITABLE CARE CHAMPIONS ORG WIDE 650 SUBSCRIBERS TO THE CULTURE ROOTS NEWSLETTER HOSTED ANNU AL EQUITABLE CARE CHAMPION OR WIDE 650 SUBSCRIBERS TO THE CULTURE ROOTS NEWSLETTER HOSTED ANNU AL EQUITABLE CARE CHAMPION OR WIDE FROM THE PRIMARY CARE CHAMPION OR PROGRAM THROUGH THE AUGUSTALE PROJECTS IN SEPTEMBE R 2016, PRIMARY CARE CHAMPION OR PROMOTE THE CULTURE OF HEALTH CHECKED FOR THE PROBLEM OF THE PROBLEM OF THE

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FORM 990, PART III, LINE 4A	THIS SECTION IN 2016, WE INITIATED A SERIES OF CONVERSATIONS ON EMBRACING DIFFERENCES AC ROSS THE ORGANIZATION AND WITH OUR BOARDS OF DIRECTORS THROUGH OUR DIVERSITY AND INCLUSION EFFORTS "BREAKING ICE" PROGRAM, IN PARTNERSHIP WITH THE PILLSBURY THEATER WORKPLACE DIVE RSITY HEALTHPARTNERS ACTIVELY SEEKS TO HIRE A DIVERSE STAFF AND OUR RECRUITMENT TEAM PARTI CIPATED IN SEVERAL CAREER FAIRS THAT FOCUSED ON DIVERSITY THESE EVENTS PARTNER WITH LOCAL COMMUNITY ORGANIZATIONS TO PROMOTE THEIR EVENTS AND PROVIDE AN OPPORTUNITY FOR RECRUITERS TO MEET WITH COMMUNITY MEMBERS IN AN EFFORT TO INCREASE DIVERSE HIRING WE ARE A SPONSOR OF THE UNIVERSITY OF ST THOMAS COLLEGE AND TWIN CITIES BLACK MBA THE FORUM ON WORKPLACE INCLUSION, WHICH OFFERS WORKSHOPS ON CULTURAL COMPETENCE AND WORKPLACE DIVERSITY HEALTHP ARTNERS COLLABORATED WITH THE AFRICAN AMERICAN LEADERSHIP FORUM ON BARRAZA - A BLACK WOMAN 'S HEALTH GATHERING BARAZA IS AN ANNUAL HEALTH CONFERENCE TARGETING AFRICAN AMERICAN WOME N HEALTHPARTNERS IS A PROUD SPONSOR OF THE BLACK WOMAN'S HEALTH GATHERING AT ST PAUL COLLEGE CAREER FAIR DIS APROUD HEALTHPARTNERS PARTICIPATED IN THIS MENTORING PROGRAM FO R ONE INDIVIDUAL THIS PROGRAM FOCUSES ON LEVERAGING WOMEN'S UNTAPPED LEADERSHIP CAPABILIT IES, BUILDING COMMUNITY ACROSS CULTURES AND CREATING UNIQUE GLOBAL EDUCATION THIS PROGRAM IS MULTICULTURAL, MULTIGENERATIONAL AND MULTIFACETED WE WORKED WITH MINNEAPOLIS PUBLIC S CHOOLS AND THEIR STEP-UP ACHIEVE INTERNSHIP PROGRAM TO PROVIDE SUMMER INTERNSHIPS, MENTORI NG AND CAREER COACHING FOR 13 DIVERSE STUDENTS IN 2016 IN ADDITION, WE OFFERED TRAINING O N MICROSOFT OUTLOOK AND RESUME BUILDING DATA COLLECTION HEALTHPARTNERS SYSTEMATICALLY COLLECTS DATA ON RACE, ETHNICITY AND LANGUAGE PREFERENCES DIRECTLY FROM PATIENTS AND MEMBERS IN A VARIETY OF WAYS, ALL OF THEM VOLUNTARY DATA IS COLLECTED THROUGH HEALTHPARTNERS COM, TELEPHONE CONTACTS WITH DEPARTMENTS SUCH AS MEMBER SERVICES AND CASE MANAGEMENT AND ONLINE THROUGH HEALTH ASSESSMENTS HEALTHPARTNERS USES THE ELECTRONIC MEDICAL RECORDS

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FORM 990, PART III, LINE 4A	HEALTH PLAN OPEN ENROLLMENT MATERIALS WERE PRODUCED BOTH IN ENGLISH AND SPANISH, AND A SPA NISH MICRO WEBSITE WAS CREATED TO BETTER SERVE OUR SPANISH-SPEAKING MEMBERS HEALTHPARTNERS A ALSO LICENSED WEBSITE CONTENT FROM THE HEALTHWISE ONLINE SPANISH HEALTH GUIDE AND OFFERE D OPEN ENROLLMENT MEETINGS IN SPANISH MINNESOTA HEALTH LITERACY PARTNERSHIP HEALTH PARTNERS IS A MEMBER OF THE MINNESOTA HEALTH LITERACY PARTNERSHIP (THE PARTNERSHIP), A COLLABORAT IVE OF HOSPITALS, CLINIC SYSTEMS, HEALTH PLANS AND COMMUNITY AGENCIES THAT SHARE INFORMATI ON AND ENGAGE IN JOINT PLANNING ON HEALTH LITERACY ISSUES RESEARCH SHOWS THAT NEARLY HALF OF AMERICANS HAVE TROUBLE UNDERSTANDING AND USING HEALTH INFORMATION, WHICH HAS HUGE IMPLICATIONS FOR HEALTH OUTCOMES, QUALITY, PATIENT SAFETY, AND COST-EFFECTIVENESS OF CARE MUL TILINGUAL HEALTH RESOURCES EXCHANGE (EXCHANGE) THE EXCHANGE IS A COLLABORATION AMONG MANY MINNESOTA ORGANIZATIONS (INCLUDING HOSPITALS, CLINIC SYSTEMS, HEALTH PLANS, PUBLIC HEALTH AGENCIES, AND COMMUNITY GROUPS) TO SHARE TRANSLATED HEALTH MATERIALS AND INFORMATION TO ME ET THE HEALTH EDUCATION AND INFORMATION NEEDS OF PEOPLE WITH LIMITED ENGLISH PROFICIENCY (LEP). HEALTHPARTNERS WAS INSTRUMENTAL IN STARTING THE EXCHANGE IN 2011 EACH MEMBER OF THE EACHANGE ONTRIBUTES MATERIALS TRANSLATED BY THEIR ORGANIZATION TO THE EXCHANGE WEBSITE W HERE ALL PARTNER ORGANIZATIONS CAN DOWNLOAD IT FOR USE WITH THEIR CLIENTS AND PATIENTS THIS GREATLY INCREASES THE AMOUNT OF HEALTH EDUCATION AVAILABLE IN LANGUAGES OTHER THAN ENTS THIS GREATLY INCREASES THE AMOUNT OF HEALTH EDUCATION AVAILABLE IN LANGUAGES OTHER THAN ENDS THE ROPADH AS STARTING ORGANIZATIONS HEALTHPARTNERS CONTRIBUTES \$2,750 ANNUALLY TO THE EXCHANGE MINNESOTA COUNCIL OF HEALTH PLANS DELEGATION COLLABORATIVE THE MINNESOTA COUNCIL OF HEALTH PLANS DELEGATION COLLABORATIVE THE MINNESOTA COUNCIL OF HEALTH PLANS TO SUPPORT COLLABORATIVE THE MINNESOTA COUNCIL OF HEALTH PLANS TO UTILIZE ALL MINDESOTA BASED HEALTH PLANS OF FILE DATA AND POLICIES AND PROCEDURES HEALTHPARTNERS

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FORM 990, PART III, LINE 4A	VIDEOS, TEXT AND WEB RESOURCES TO SUPPORT COMMUNITY DISCUSSIONS HEALTHPARTNERS IS AN ONGO ING SPONSOR OF THIS INITIATIVE, ALONG WITH TWIN CITIES PUBLIC TELEVISION AND THE CITIZENS LEAGUE HEALTHPARTNERS ALSO PROVIDED EDUCATION AND INFORMATIONAL RESOURCES ABOUT HONORING CHOICES AT HEALTH FARTNERS ALSO PROVIDED EDUCATION AND INFORMATIONAL RESOURCES ABOUT HONORING CHOICES AT HEALTH FAIR EVENTS HEALTHPARTNERS IN PARTNERSHIP WITH ALLINA ARE WORKING TOGET HER WITH THE TWIN CITIES MEDICAL SOCIETY, AND MINNESOTA PUBLIC RADIO'S CATHY WURZER, ALONG WITH KARE 11, TO SPONSOR COMMUNITY CONVENINGS AROUND THE STATE THAT HELP FAMILIES AND COM MUNITIES HAVE DISCUSSIONS ABOUT MEANINGFUL LIVING AND ADVANCED CARE PLANNING PHYSICALL ACT IVITY AND WELLNESS HEALTHPARTNERS OFFERED INCENTIVES IN 2016 FOR GETTING PHYSICALLY ACTIVE AND STAYING FIIT THROUGH HEALTHPARTNERS FREQUENT FITNESS AND FREQUENT FITNESS CHALLENGE PR OGRAMS THE FREQUENT FITNESS PROGRAM PROVIDES FULLY INSURED MEMBERS THE OPPORTUNITY TO EAR NA REIMBURSEMENT OF UP TO \$20 ON THEIR MONTHLY FITNESS CLUB DUES AT OVER 5,000 HEALTH CLU B LOCATIONS IF THEY ACHIEVE AT LEAST 12 WORKOUTS IN THE MONTH (SELF-INSURED MEMBERS HAD AC CESS TO THE FREQUENT FITNESS PROGRAM IF THEIR EMPLOYER ELECTED TO OFFER THE PROGRAMM, MORE THAN ONE OUT OF THREE MEMBERS PROGRAM IF THEIR EMPLOYER ELECTED TO OFFER THE PROGRAMM, MORE THAN ONE OUT OF THREE MEMBERS ENROLLED IN THE PROGRAM MET THE MONTHLY WORKOUT GOAL IN 2016 AND GOT REIMBURSED THE FREQUENT FITNESS CHALLENGE OFFERS MEMBERS AN ADDITIONAL INCENTIVE BY AWARDING THEM FOM MEETING THEIR VISIT CRITERIA AND GETTING REIMBURSED FOR EIGHT OUT OF 12 MONTHS YUMPOWER 8, YUMPOWER SCHOOL CHALLENGE IN 2011, HEALTHPARTNERS LAUNCHED YUMPOWER R, A COMMUNITY-BASED INITIATIVE TO PROMOTE INTAKE OF FRUITS AND VEGETABLES AND BETTER-FOR-YOU FOOD CHOICES AS PART OF THIS LAUNCH, HEALTHPARTNERS YUMPOWER SCHOOLS, THE MEALTHPARTNERS YUMPOWER SCHOOLS, THE PROBLEM OF THE PROGRAM HERE OF THE PROBLEM OF

Return Reference	Explanation
FORM 990, PART III, LINE 4A	ERCENT FAMILIES AND MORE THAN83 PERCENT OF SCHOOL STAFF OBSERVED THAT KIDS TRY MORE FRUITS AND VEGGIES AS A RESULT OF THE THREE-WEEK SCHOOL CHALLENGE NINETY-FIVE PERCENT OF THOSE RESPONDING TO A COMMUNITY SURVEY INDICATED THAT POWER UP WAS VERY IMPORTANT FOR THE COMMUN ITY IN ADDITION, WE DISSEMINATED THE MODEL WORK WE HAVE DONE WITH THE VALLEY FOOD SHELF IN STILLWATER, TO HELP OTHER FOOD SHELF ORGANIZATIONS OFFER HEALTHY CHOICES AT A MORE AFFOR DABLE COST TO FAMILIES FRUIT AND VEGGIE RY WE EXPANDED THE FRUIT AND VEGGIE PRESCRIPTION PROGRAM FROM 15 CLINICS IN 2015 TO 57 CLINICS IN 2016 THE PROGRAM IS POPULAR WITH FAMILIE S AND OUR CLINICIANS, WITH THE MESSAGE TO TRY NEW FRUITS AND VEGETABLES CUB FOODS IS OUR PARTNER IN THE PROGRAM, PROVIDING COUPONS FOR FRUITS AND VEGETABLES IN THEIR STORES COMMUN INTY BENEFIT OPERATIONS COMMUNITY HEALTH NEEDS ASSESSMENT (CHNA) IN 2015, A COMPREHENSIVE, SIX-STEP COMMUNITY HEALTH NEEDS ASSESSMENT ("CHNA) IN 2015, A COMPREHENSIVE, SIX-STEP COMMUNITY HEALTH NEEDS ASSESSMENT ("CHNA") COLLABORATION WAS CONDUCTED FOR HEAL THPARTNERS AND ITS HOSPITAL, REGIONAL MEDICAL CENTER, AND PARK NICOLLET METHO DIST HOSPITAL, WESTFIELDS HOSPITAL, AMERY REGIONAL MEDICAL CENTER, AND PARK NICOLLET METHO DIST HOSPITAL, WESTFIELDS HOSPITAL CONSULTING TO DETERMINE THE GREATEST HEALTH NEEDS IN THE COMMUNITIES THEY SERVE THESE HOSPITALS SERVE SIMILAR COMMUNITIES AND HAVE OVERLAPPING STUDY AREAS THE SYSTEM'S STUDY AREA IS DEFINED AS DAKOTA, HENNEPIN, RAMSEY, SCOTT, AND W ASHINGTON COUNTIES IN MINNESOTA AND POLK AND ST CROIX COUNTIES IN WISCONSIN DATA ELEMENT S REGARDING ALL SEVEN COUNTIES IN THE SYSTEM'S STUDY AREA IS DEFINED AS DAKOTA, HENNEPIN, RAMSEY, SCOTT, AND W ASHINGTON COUNTIES IN MINNESOTA AND POLK AND ST CROIX COUNTIES IN WISCONSIN DATA ELEMENT S REGARDING ALL SEVEN COUNTIES IN THE SYSTEM'S STUDY AREA FOR INCLUDED IN THIS REPORT FOR COMPARISON, AND ARE ALSO PROVIDED AS AN OPPORTUNITY FOR THE HOSPITALS TO WORK TOGETHER TO MEET THE NEEDS IDENTIFIED IN THE OVERLAPPING COUNTIES IN AND POLK AND STALL

Return Reference	Explanation
FORM 990, PART III, LINE 4A	CENTER FOR COMMUNITY HEALTH (CCH) CCH IS A COLLABORATIVE WITH HEALTH PLANS, HOSPITALS AND LOCAL PUBLIC HEALTH AGENCIES IN THE SEVEN-COUNTY METRO AREA IN MINNESOTA CCH WAS FORMED F OR TWO PUPPOSES 1) TO ALIGN THE PROCESSES OF THE COMMUNITY HEALTH NEEDS ASSESSMENTS (CHNA ) THAT ARE REQUIRED OF LOCAL PUBLIC HEALTH AGENCIES AND HOSPITALS, AND 2) TO COLLECTIVELY ACT TO IMPACT A SHARED PUBLIC HEALTH PRIORITY CCH HAS TWO WORK GROUPS TO ACCOMPLISH THOSE OBJECTIVES THE COLLECTIVE ACTION WORKGROUP WAS TASKED WITH DEVELOPING AND IMPLEMENTING A CTIVITIES THAT ADDRESS A SHARED PUBLIC HEALTH PRIORITY AREA BASED ON CHNAS THE COLLECTIVE ACTION WORKGROUP MEETS ONCE EACH MONTH AT THE MINNESOTA COUNCIL OF HEALTH PLANS. ITS MEMB ERS INCLUDE REPRESENTATIVES FROM THE HOSPITALS, HEALTH PLAN, AND PUBLIC HEALTH SECTORS THE ASSESSMENT ALIGNMENT WORKGROUP WAS TASKED WITH ASSESSING AND DEVELOPING A FRAMEWORK WITH COMMON LANGUAGE AND PROCESSES TO GUIDE MEMBERS IN CONDUCTING FUTURE COMMUNITY HEALTH NEEDS ASSESSMENT ALIGNMENT WORKGROUP WAS TASKED WITH ASSESSING AND DEVELOPING A FRAMEWORK WITH COMMON LANGUAGE AND PROCESSES TO GUIDE MEMBERS IN CONDUCTING FUTURE COMMUNITY HEALTH NEEDS ASSESSMENTS AND ALLOWS FOR AGGREGATE ANALYSIS OF COMMUNITY HEALTH NEEDS ACROSS THE TWIN CITIES SEVEN-COUNTY METRO AREA ADDITIONALLY. THE GROUP WILL DETERMINE MORE EFFECTIVE USE OF DATA BY IDENTIFYING OPPORTUNITIES FOR COLLABORATIVE DATA COLLECTION AND ANALYSIS AND EL IMINATING BARRIERS TO DATA SHARING AMONG MEMBERS ITS MEMBERS INCLUDE REPRESENTATIVES FROM THE HOSPITALS, HEALTH PLAN, AND PUBLIC HEALTH PAGETORS OR GARRIAGATION AWARDS AND ACHIEVEMENT TO REPORT AND ANALYSIS, AND ELIMINATING BARRIERS RECEIVED A NUMBER OF AWARDS THAT RECOGNIZE OUR COMMITMENT TO PROVIDING HIGH-QUALITY CARE, COVERAGE AND SERVICE FOR OUR MEMBERS AND PATIENTS THE RANGE OF AWARDS IS ASTOUDING, FROM THOSE THAT HONOR INNOVATION, HEALTH OUT COMES AND PATIENT SAFETY WE RECEIVED MORE THAN 50 AWARDS AND ACCOUNTION, FROM THIRD-PARTY ORGANIZATIONS IN 2016 THROUGH SUCH RECOGNITION, WE KNOW THAT WE AR

Return

Reference	·
FORM 990,	LOYER (PARK NICOLLET HEALTH SERVICES) MINNEAPOLIS ST PAUL BUSINESS JOURNAL 40 UNDER 40 (DR DREW
PART III,	ZINKEL) HEALTH DATA MANAGEMENT MOST POWERFUL WOMEN IN HEALTHCARE IT (MARY BRAINERD) CITY OF ST
LINE 4A	PAUL SUSTAINABLE ST PAUL AWARDS (REGIONS HOSPITAL) MINNESOTA SAFETY COUNCIL GOVERNOR'S
	WORKPLACE SAFETY AWARD (PARK NICOLLET) MPLS ST PAUL MAGAZINE TOP DOCTORS MINNE SOTA MONTHLY
	BEST DOCTORS HOSPITAL AND HEALTH NETWORK MAGAZINE'S MOST WIRED (HEALTHPARTNER S) MODERN
	HEALTHCARE 100 MOST INFLUENTIAL PEOPLE IN HEALTHCARE (MARY BRAINERD) MINNESOTA P HYSICIAN TOP 100
	LEADERS IN HEALTH CARE (MARY BRAINERD, DR BRIAN RANK, DR STEVE CONNELLY , MEGAN REMARK)
	MINNESOTA BUSINESS MAGAZINE LEADERS IN HEALTH CARE AWARDS (DR. DREW ZINKEL.) CENTERS FOR
	MEDICARE AND MEDICAID SERVICES CMS 5 STAR RATING (MEDICARE PLANS) ASSOCIATIO N OF COMMUNITY
	CANCER CENTERS INNOVATOR AWARD (FRAUENSHUH CANCER CENTER) BECKER'S HOSPITAL REVIEW 130 WOMEN
	HOSPITAL AND HEALTH SYSTEM LEADERS TO KNOW (MARY BRAINERD)

Explanation

Return Explanation

LINE 6

FORM 990, HPI MEMBERS ARE EACH CONTRACT HOLDER OF HPI OR ITS RELATED ORGANIZATIONS EACH MEMBER HAS ONE PART VI, VOTE BYLAWS, SECTION 1 1 SECTION A.

Return Explanation

FORM 990, PART VI, SECTION A, LINE 7A

ARE PRESENTED TO THE FULL BOARD OF DIRECTORS

Return

Reference	·
FORM 990,	HPI'S 990 RETURN HAS A COMPREHENSIVE REVIEW PROCESS THAT IS FOLLOWED BEFORE IT IS PRESENTED TO THE
PART VI,	GOVERNING BODY OF HPI THE REVIEW PROCESS INCLUDES A LAYERED REVIEW BY THE INTERNAL TAX
SECTION B,	DEPARTMENT OF GHI, THE MANAGEMENT TEAM, THE LEGAL DEPARTMENT AND HPI'S OUTSIDE INDEPENDENT
LINE 11B	ACCOUNTANTS EACH ONE OF THOSE AREAS HAS AN OPPORTUNITY TO REVIEW, ASK QUESTIONS AND MAKE
	COMMENTS BACK TO THE TAX DEPARTMENT OF GHI BEFORE THE FORM 990 IS PRESENTED TO THE GOVERNING
	BODY OF HPI HPI MAKES AVAILABLE, TO THE AUDIT AND COMPLIANCE COMMITTEE OF THE BOARD OF DIRECTORS
	AND TO THE FULL BOARD OF DIRECTORS, A COPY OF THE 990 FOR REVIEW AND COMMENT PRIOR TO THE FILING OF
	THE 990 RETURN THIS COPY IS PROVIDED IN THE HEALTHPARTNERS BOARDEFFECT PORTAL FOR ALL BOARD
	MEMBERS TO REVIEW PRIOR TO THE FILING OF THE 990, AND IS AN AGENDA ITEM AT A COMMITTEE MEETING THIS
	PROCESS IS NOTED AND DOCUMENTED IN THE WRITTEN COMMITTEE MINUTES OF THE MEETING. THESE MINUTES

Explanation

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	HPI'S BOARD MONITORS POTENTIAL CONFLICTS OF INTEREST ON THE PART OF BOARD MEMBERS, OFFICERS AND KEY EMPLOYEES PURSUANT TO IT'S CONFLICT OF INTEREST POLICY UNDER THE POLICY, ALL BOARD MEMBERS AND OFFICERS ANNUALLY ARE PROVIDED WITH A COPY OF THE POLICY AND REQUIRED TO COMPLETE A QUESTIONNAIRE IDENTIFYING ANY POTENTIAL CONFLICTS OF INTEREST THE GENERAL COUNSEL REVIEWS THE COMPLETED QUESTIONNAIRES AND PROVIDES A REPORT TO THE GOVERNANCE COMMITTEE OF THE BOARD THE REPORT IDENTIFIES ANY SIGNIFICANT POTENTIAL CONFLICTS DISCLOSED IN THE COMPLETED QUESTIONNAIRES A WRITTEN REPORT IS PROVIDED TO THE CHAIR AND CHIEF EXECUTIVE OFFICER (CEO) BOARD AGENDAS AND EXECUTIVE DECISIONS ARE MONITORED IN RELATION TO THIS POLICY

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	HPI HAS NO EMPLOYEES ALL OFFICERS, DIRECTORS AND KEY EMPLOYEES ARE PAID BY GROUP HEALTH PLAN, INC ("GHI") GHI HAS AN ANNUAL PROCESS TO REVIEW THE MARKET COMPARABILITY OF THE TOTAL COMPENSATION OF ITS CEO AND ITS OTHER OFFICERS EVERY THREE YEARS, UNDER THE DIRECTION OF THE BOARD OF DIRECTORS' COMPENSATION AND LEADERSHIP DEVELOPMENT COMMITTEE (COMPENSATION OF THE BOARD OF DIRECTORS' COMPENSATION MARKET REVIEW IS COMPLETED BY AN EXTERNAL COMPENSATION CONSULTANT THE REVIEW INCLUDES ALL COMPONENTS OF COMPENSATION, BASE SALARY, ANNUAL INCENTIVES, BENEFITS AND PERQUISITES THE MARKET SURVEY RESULTS ARE PRESENTED TO, REVIEWED BY AND APPROVED BY THE INDEPENDENT COMPENSATION COMMITTEE BASED ON THIS MARKET DATA, THE COMPENSATION COMMITTEE DETERMINES MINIMUM AND MAXIMUM TOTAL COMPENSATION RANGES FOR EACH OFFICER IN INTERIM YEARS, GHI'S HUMAN RESOURCES STAFF, UNDER THE DIRECTION OF THE COMPENSATION COMMITTEE, UPDATES CHANGES IN THE SALARY STRUCTURE BASED ON THE SAME INDEPENDENT STUDIES PERFORMED BY THE COMPENSATION CONSULTANT FOR THE COMPENSATION COMMITTEE FOR THE CHIEF EXECUTIVE OFFICER AND CERTAIN OTHER POSITIONS FULL INDEPENDENT REVIEWS ARE PERFORMED TO SET SALARY RANGES BASED ON THE COMPETITIVE MARKET DATA SPECIFIC TO THOSE POSITIONS THE COMPENSATION COMMITTEE REVIEWS AND APPROVES EACH YEAR'S COMPENSATION RESULTS IN ALL CASES, COMMITTEE MEMBERS COMPLETE AN ANNUAL CONFLICT OF INTEREST SURVEY TO ASSURE THE COMPENSATION COMMITTEE MEMBERS COMPLETE AN ANNUAL CONFLICT OF INTEREST SURVEY TO ASSURE THE COMPENSATION OF VOTE INCLUDING EXECUTIVE SESSIONS, AND CONTEMPORANEOUS MINUTES ARE KEPT THE BOARD OF DIRECTORS HAS DELEGATED TO THE SECCUTIVE COMMITTEE THE ACCOUNTABILITY TO CONDUCT AN ANNUAL PERFORMANCE EVALUATION AND TO DETERMINE THE COMPENSATION OF THE COMPENSATION COMMITTEE THE BOARD OF DIRECTORS HAS DELEGATED TO THE EXECUTIVE COMMITTEE THE ACCOUNTABILITY TO CONDUCT AN ANNUAL PERFORMANCE EVALUATION AND TO DETERMINE THE COMPENSATION OF ALL OTHER OFFICERS WITHIN THE COMPENSATION IN EXCESS OF THE APPROVED BY THE COMPENSATION COMM

990 Schedule O, Supplemental Information

Return Explanation

Reference

INCICIONOC	
FORM 990,	HPI'S FINANCIAL STATEMENTS AND 990 RETURNS ARE MADE AVAILABLE TO ANY PERSON WHO REQUESTS THE
PART VI,	INFORMATION FROM HPI HPI'S ARTICLES OF INCORPORATION ARE AVAILABLE TO ANY PERSON WHO REQUESTS
SECTION C,	THE INFORMATION THROUGH THE MINNESOTA SECRETARY OF STATE'S OFFICE HPI'S CONFLICT OF INTEREST
LINE 19	POLICY CAN BE VIEWED THROUGH THE HEALTHPARTNERS COM WEBSITE

Return Reference	Explanation
FORM 990, PART VII, SECT A, COL B, AVERAGE HOURS - RELATED ORGANIZATION	ALL OFFICERS OF HPI ARE EMPLOYED AND COMPENSATED BY GHI AND PNHS REPORTED AVERAGE HOURS WORKED ARE BASED ON TOTAL COMPENSATION FOR ALL RELATED ORGANIZATIONS

990 Schedule O, Supplemental Information

Return

Reference	·
FORM 990, PART XI,	EQUITY TRANSFER TO AFFILIATED ORGANIZATIONS -8,722,517 EARNINGS IN AFFILIATED ORGANIZATION -1,294,458
LINE 9	

Explanation

efile GRAPHIC print - DO NOT PROCESS As Filed Data -**SCHEDULE R** (Form 990)

Department of the Treasury

Internal Revenue Service Name of the organization

HEALTHPARTNERS INC

Part I

#### **Related Organizations and Unrelated Partnerships**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

► Attach to Form 990. ▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990. OMB No 1545-0047 2016

DLN: 93493318050037

Open to Public Inspection

**Employer identification number** 

41-1693838

(a) Name, address, and EIN (if applicable) of disregarded entity	<b>(b)</b> Primary activity		(c) Legal domicile (state or foreign country)		(d) Total income	(e) End-of-year assets	<b>(f)</b> Direct controlling entity	}	
(1) HEALTHPARTNERS EAST SIDE HOLDING LLC 8170 33RD AVENUE SOUTH PO BOX 1309 MINNEAPOLIS, MN 554401309 20-1282428	REAL ESTATE HOLDING COMPANY		DE				N/A		_
(2) HEALTHPARTNERS PROPERTY DEVELOPMENT COMPANY LLC 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 45-5122853	PROPERTY DEVELOPME	NT	MN				N/A		
									_
									_
Part II Identification of Related Tax-Exempt Organizations (related tax-exempt organizations during the tax year.	Complete if the orga	ınızatı	on answered	"Yes"	on Form 990	Part IV, line 34 b	ecause it had one or	more	
See Additional Data Table  (a)  Name, address, and EIN of related organization	<b>(b)</b> Primary activity	Primary activity Legal do		(c) (d) I domicile (state preign country)		(e) Public charity status (if section 501(c)(3))	<b>(f)</b> Direct controlling entity	Section (13) co	g) 1512(b) Introlled
								Yes	No
For Paperwork Reduction Act Notice, see the Instructions for Form 990.			Cat No 5013	5Y			Schedule R (Form	990) 20	016

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

	514)		Yes	No	Yes	No	
						-	
-					l		
1			1				
-							
						or Trust Complete If the organization answered "Yes" on Form 990, Part IV, line on or trust during the tax year.	or Trust Complete If the organization answered "Yes" on Form 990, Part IV, line 34

Part IV Identification of Related Organi because it had one or more related					n answered "Yes	s" on Form 990,	Part IV, line	34	
(a) Name, address, and EIN of related organization	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total Income	(g) Share of end-of- year assets	(h) Percentage ownership	Section (13) co	(i) n 512(b) ontrolled tity?
(1)HEALTHPARTNERS ADMINISTRATORS INC	THIRD PARTY ADMINISTRATOR	MN	N/A	С				Yes	
8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-1629390									
(2)HEALTHPARTNERS ASSOCIATES INC 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 52-2365151	MEDICAL CLINIC STAFFING AND ASSET MANAGEMENT	MN	HEALTHPARTNERS ADMINISTRATORS INC	С					No
(3)HEALTHPARTNERS SERVICES INC 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-1683568	MEDICAL CLINIC STAFFING AND ASSET MANAGEMENT	MN	HEALTHPARTNERS ADMINISTRATORS INC	С					No
(4)HEALTHPARTNERS INSURANCE COMPANY 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-1683523	MEDICAL AND DENTAL INSURANCE	MN	HEALTHPARTNERS ADMINISTRATORS INC	С					No
(5)DENTAL SPECIALTIES INC 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 45-1297583	PROFESSIONAL DENTAL SERVICES	MN	HEALTHPARTNERS ADMINISTRATORS INC	С					No
(6)HEALTHPARTNERS CENTRAL MINNESOTA CLINICS INC 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-1236798	MEDICAL CLINIC STAFFING	MN	HEALTHPARTNERS ADMINISTRATORS INC	c					No
(7)PARK NICOLLET ENTERPRISES  6500 EXCELSIOR BLVD ST LOUIS PARK, MN 55426 41-1656735	REAL ESTATE FOR RELATED ORGANIZATIONS	MN	PARK NICOLLET HEALTH SERVICES	C					No
						Sch	edule R (Forn	n 990) 2	2016

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.									
Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule		Yes	No						
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?									
a Receipt of (i) interest, (ii)annuities, (iii) royalties, or(iv) rent from a controlled entity	1a		No						
<b>b</b> Gift, grant, or capital contribution to related organization(s)	1b	Yes							
c Gift, grant, or capital contribution from related organization(s)	1c		No						
d Loans or loan guarantees to or for related organization(s)	1d		No						
e Loans or loan guarantees by related organization(s)	1e		No						
f Dividends from related organization(s)	1f		No						
g Sale of assets to related organization(s)	<b>1</b> g		No						
h Purchase of assets from related organization(s)	1h		No						
i Exchange of assets with related organization(s)	1i		No						
j Lease of facilities, equipment, or other assets to related organization(s)	1j		No						
k Lease of facilities, equipment, or other assets from related organization(s)	1k		No						
I Performance of services or membership or fundraising solicitations for related organization(s)	11		No						
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	Yes							
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	Yes							
o Sharing of paid employees with related organization(s)	10	Yes							
p Reimbursement paid to related organization(s) for expenses	<b>1</b> p	Yes							

Page 3

Schedule R (Form 990) 2016

K	Lease of facilities, equipment, or other assets from related organization(s)	1-4	1	140
1	Performance of services or membership or fundraising solicitations for related organization(s)	11		No
m	Performance of services or membership or fundraising solicitations by related organization(s)	1n	1 Yes	
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	Yes	
0	Sharing of paid employees with related organization(s)	10	Yes	
р	Reimbursement paid to related organization(s) for expenses	1р	Yes	
q	Reimbursement paid by related organization(s) for expenses	<b>1</b> q	Yes	
r	Other transfer of cash or property to related organization(s)	1r	1	No

 Other transfer of cash or property to related organization(s). 1s No 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds See Additional Data Table (c) Amount involved

(a) Name of related organization (d) Method of determining amount involved (b) Transaction type (a-s)

Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

<b>1</b>													
<b>(a)</b> Name, address, and EIN of entity	<b>(b)</b> Primary activity	domicile	(d) Predominant Income (related, unrelated, excluded from tax under sections 512- 514)	or	(e) re all partners section 501(c)(3) rganizations?	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtiona allocations?		Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)		<u></u>	<b>(k)</b> Percentage ownership
			514)	Yes	No	<b>!</b>		Yes	No		Yes	No	
										Schedul	e R (Form	1 990	D) 2016



6500 EXCELSIOR BLVD ST LOUIS PARK, MN 55426 23-7346465 Software ID: Software Version:

Softwar	e Version: EIN: 41-1693838						
	Name: HEALTHPARTNERS	INC					
Form 990, Schedule R, Part II - Identification of Rel		1	l (4)	1 (-)	1 (5)	1 .	
(a) Name, address, and EIN of related organization	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	<b>(f)</b> Direct controlling entity	Section (b)(contrepresentation)	n 512 13) folled ity?
(1)	CORPORATE PLANNING AND	MN	501(C)(3)	509(A)(3) TYPE I	N/A	Yes Yes	No
8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-1793333	OVERSIGHT						
(1) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309	STAFF MODEL HEALTH MAINTENANCE ORGANIZATION	MN	501(C)(3)	170(B)(1) (A)(III)	N/A	Yes	
41-0797853 (2) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309	HEALTHCARE EDUCATION & RESEARCH	MN	501(C)(3)	509(A)(3) TYPE I	N/A	Yes	
41-1670163 (3)	POST HOSPITALIZATION	MN	501(C)(3)	170(B)(1) (A)(III)	HPI - RAMSEY	Yes	
8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-2011453	PATIENT CARE	PIN	301(C)(3)	170(B)(1) (A)(III)	nri - Kambei	165	
(4) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309	HOSPITAL	MN	501(C)(3)	170(B)(1) (A)(III)	HPI - RAMSEY	Yes	
<u>41-0956618</u> (5)	PROVIDE SUPPORT TO	MN	501(C)(3)	170(B)(1) (A)(VI)	HPI - RAMSEY	Yes	
8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-1888902	HOSPITAL AND TO IMPROVE COMMUNITY HEALTH						
(6) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309	HEALTHCARE STAFFING	MN	501(C)(3)	509(A)(3) TYPE II	N/A	Yes	
41-1891928 (7) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309	CORPORATE PLANNING AND OVERSIGHT	WI	501(C)(3)	509(A)(3) TYPE I	HPI - RAMSEY	Yes	
20-2287016 (8) 8170 33RD AVE S PO BOX 1309	SPECIALTY PATIENT CARE	MN	501(C)(3)	509(A)(3) TYPE II	GROUP HEALTH PLAN INC	Yes	
MPLS, MN 554401309 <u>27-0684883</u> (9)	HOSPITAL	WI	501(C)(3)	170(B)(1) (A)(III)	RH-WISCONSIN	Yes	
8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 39-0804125							
(10) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309	PROVIDE SUPPORT TO HOSPITAL AND TO IMPROVE COMMUNITY HEALTH	WI	501(C)(3)	170(B)(1) (A)(VI)	HUDSON HOSPITAL INC	Yes	
39-1279567 (11) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309	PROVIDE HOSPITAL PROGRAM FINANCIAL SUPPORT	MN	501(C)(3)	509(A)(3) TYPE II	STILLWATER HEALTH SYSTEM	Yes	
41-1386635 (12)	HOSPITAL	MN	501(C)(3)	170(B)(1) (A)(III)	STILLWATER HEALTH	Yes	
8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-0811697	PHYSICIANS GROUP	MN	E01/C)/2)	EQQ(A)(2) TYPE I	SYSTEM STILLWATER HEALTH	Yes	
(13) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 83-0379473	PHISICIANS GROUP	IMIN	501(C)(3)	509(A)(3) TYPE I	SYSTEM SYSTEM	res	
(14) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309	CORPORATE PLANNING AND OVERSIGHT	MN	501(C)(3)	509(A)(3) TYPE II	HPI - RAMSEY	Yes	
30-0221189 (15) 8170 33RD AVE S PO BOX 1309	HOSPITAL	WI	501(C)(3)	170(B)(1) (A)(III)	RH-WISCONSIN	Yes	
MPLS, MN 554401309 39-0808442							
(16) 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 39-1770913	PROVIDE HOSPITAL PROGRAM FINANCIAL SUPPORT	WI	501(C)(3)	509(A)(3) TYPE I	WESTFIELDS HOSPITAL INC	Yes	
(17) 8170 33RD AVE S PO BOX 1309	IN-HOME PATIENT CARE	MN	501(C)(3)	509(A)(2)	HPI - RAMSEY	Yes	
MPLS, MN 554401309 41-1503090 (18)	CORPORATE PLANNING AND	MN	501(C)(3)	509(A)(2)	N/A	Yes	
6500 EXCELSIOR BLVD ST LOUIS PARK, MN 55426 36-3465840	OVERSIGHT					_	
(19) 6500 EXCELSIOR BLVD	GRANTS TO SERVE THE COMMUNITY	MN	501(C)(3)	170(B)(1) (A)(VI)	PARK NICOLLET HEALTH SERVICES	l Yes	

(f) Name, address, and EIN of related organization Primary activity Legal domicile Exempt Code Public charity Direct controlling Section 512 (state section status entity (b)(13)or foreign country) (if section 501(c) controlled entity? (3)) No

(c)

MN

MN

MN

WI

WI

(d)

501(C)(3)

501(C)(3)

501(C)(3)

501(C)(3)

501(C)(3)

(e)

509(A)(3) TYPE I

170(B)(1) (A)(III)

509(A)(3) TYPE I

170(B)(1) (A)(III)

170(B)(1) (A)(VI)

(q)

Yes

Yes

Yes

PARK NICOLLET HEALTH Yes

PARK NICOLLET HEALTH Yes

PARK NICOLLET CLINIC

IRH-WISCONSIN

AMERY REGIONAL

MEDICAL CENTER INC.

SERVICES

SERVICES

					Yes
(21)	HOSPITAL	MN	501(C)(3)	PARK NICOLLET HEALTH SERVICES	Yes
6500 EXCELSIOR BLVD ST LOUIS PARK, MN 55426					
41-0132080					

HEALTHCARE PRODUCTS

HEALTHCARE

ESTATE

HOSPITAL

HEALTH

HEALTHCARE REAL

PROVIDE SUPPORT TO

IMPROVE COMMUNITY

HOSPITAL AND TO

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(1)

(2)

(4)

(5)

01-0638901

41-0834920 (3)

41-1741792

6500 EXCELSIOR BLVD ST LOUIS PARK, MN 55426

6500 EXCELSIOR BLVD ST LOUIS PARK, MN 55426

6500 EXCELSIOR BLVD ST LOUIS PARK, MN 55426

8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 39-0908320

8170 33RD AVE S PO BOX 1309

MPLS, MN 554401309

39-1726539

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust (b) (c) (d) (e) (f) (g) (h) (i) (a) Name, address, and EIN of Primary activity Legal Direct controlling Type of entity Share of total Share of end-of-Percentage Section 512 related organization domicile (C corp. S corp. ownership (b)(13)entity income vear (state or foreign or trust) assets controlled entity? country) Yes No (1) HEALTHPARTNERS ADMINISTRATORS INC THIRD PARTY MN IN/A Yes 8170 33RD AVE S PO BOX 1309 | ADMINISTRATOR MPLS, MN 554401309 41-1629390 (1) HEALTHPARTNERS ASSOCIATES INC MEDICAL CLINIC MN **HEALTHPARTNERS** Nο STAFFING AND ASSET ADMINISTRATORS 8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 **IMANAGEMENT** linc 52-2365151 (2) HEALTHPARTNERS SERVICES INC MEDICAL CLINIC MN **HEALTHPARTNERS** No 8170 33RD AVE S PO BOX 1309 STAFFING AND ASSET IADMINISTRATORS MPLS. MN 554401309 **IMANAGEMENT** INC 41-1683568 (3) HEALTHPARTNERS INSURANCE COMPANY MEDICAL AND DENTAL MN HEALTHPARTNERS Nο 8170 33RD AVE S PO BOX 1309 INSURANCE | ADMINISTRATORS MPLS, MN 554401309 linc 41-1683523 (4) DENTAL SPECIALTIES INC PROFESSIONAL DENTAL MN HEALTHPARTNERS Nο 8170 33RD AVE S PO BOX 1309 SERVICES ADMINISTRATORS MPLS, MN 554401309 IINC 45-1297583 MEDICAL CLINIC MN HEALTHPARTNERS Nο HEALTHPARTNERS CENTRAL MINNESOTA STAFFING IADMINISTRATORS CLINICS INC IINC

PARK NICOLLET

HEALTH SERVICES

Nο

MN

REAL ESTATE FOR

ORGANIZATIONS

RELATED

8170 33RD AVE S PO BOX 1309 MPLS, MN 554401309 41-1236798

(6) PARK NICOLLET ENTERPRISES

6500 EXCELSIOR BLVD ST LOUIS PARK, MN 55426

41-1656735

Form 990, Schedule R, Part V - Transactions With Related Organizations (b) (c) Name of related organization Transaction Amount Involved (d) type(a-s) Method of determining amount involved (1) GROUP HEALTH PLAN INC - CLAIMSHEALTHCARE SERVICES Р 61,344,458 HUDSON HOSPITAL INC - CLAIMSHEALTHCARE SERVICES 100,147 (1) Ρ (2) PHYSICIANS NECK AND BACK CLINICS - CLAIMSHEALTHCARE SERVICES Р 573,690 RAMSEY INTEGRATED HEALTH SERVICES - CLAIMSHEALTHCARE SERVICES (3) Ρ 1.350.447 (4) LAKEVIEW MEMORIAL HOSPITAL ASSOCIATION INC - CLAIMSHEALTHCARE SERVICES Р 4.295.561 (5) STILLWATER MEDICAL GROUP - CLAIMSHEALTHCARE SERVICES Р 87,918 (6) REGIONS HOSPITAL - CLAIMSHEALTHCARE SERVICES 62,374,490 (7) WESTFIELDS HOSPITAL INC - CLAIMSHEALTHCARE SERVICES Р 371.543 (8) CAPITOL VIEW TRANSITIONAL CARE CENTER - CLAIMSHEALTHCARE SERVICES Р 299,764 (9) **REGIONS HOSPITAL - RENT** Q 717.000 (10) GROUP HEALTH PLAN INC - MANAGEMENT & HEALTHCARE SUPPORT SERVICES М 116,704,000 AMERY REGIONAL MEDICAL CENTER INC - CLAIMSHEALTHCARE SERVICES (11) Р 225,221 (12) PARK NICOLLET METHODIST HOSPITAL - CLAIMSHEALTHCARE SERVICES 19,376,161 (13) PARK NICOLLET CLINIC - CLAIMSHEALTHCARE SERVICES Р 24,890,762 (14)HPI-RAMSEY - NET ASSET TRANSFER В 7,897,517