

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation LLEWELLYN FAMILY FOUNDATION		A Employer identification number 38-4004143	
Number and street (or P.O. box number if mail is not delivered to street address) CO RPA 6 WEST 48TH STREET 10TH F		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100361802		B Telephone number (see instructions) (212) 812-4330	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 69,075,792		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	37,685	37,685		
	4 Dividends and interest from securities	1,948,107	1,929,875		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,243,569			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		1,243,569		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	213	213		
	12 Total. Add lines 1 through 11	3,229,574	3,211,342		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	69,946	0		69,946
	b Accounting fees (attach schedule)	109,532	0		109,532
	c Other professional fees (attach schedule)	291,162	189,954		101,208
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	30,000	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	10,774	0		10,774
	22 Printing and publications				
	23 Other expenses (attach schedule)	3,000	0		3,000
	24 Total operating and administrative expenses. Add lines 13 through 23	514,414	189,954		294,460
	25 Contributions, gifts, grants paid	3,335,000			3,335,000
	26 Total expenses and disbursements. Add lines 24 and 25	3,849,414	189,954		3,629,460
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-619,840			
	b Net investment income (if negative, enter -0-)		3,021,388		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	1,549,755	1,700,008	1,700,008
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	2,425,920	2,787,948	4,164,221
	c Investments—corporate bonds (attach schedule)	2,446,426	2,272,432	2,337,535
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	53,568,682	52,610,555	60,874,028
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	59,990,783	59,370,943	69,075,792	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	59,990,783	59,370,943	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	59,990,783	59,370,943	
	30 Total liabilities and net assets/fund balances (see instructions) .	59,990,783	59,370,943	

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	59,990,783
2 Enter amount from Part I, line 27a	2	-619,840
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	59,370,943
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	59,370,943

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES			
b C E UNTERBERG TOWBIN CAPITAL PARTNERS I LP	D	2018-02-27	2019-01-22
c CAPITAL GAINS DIVIDENDS	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 22,108,957		20,725,223	1,383,734
b 25,514		500,000	-474,486
c 334,321			334,321
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1,383,734
b			-474,486
c			334,321
d			
e			

2 Capital gain net income or (net capital loss) <div style="float: right; border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	1,243,569
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	3,550,569	67,448,254	0 052641
2017	2,061,713	65,976,325	0 031249
2016	0	42,155,336	0 000000
2015			
2014			

2 Total of line 1, column (d)	2	0 083890
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 027963
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	66,565,145
5 Multiply line 4 by line 3	5	1,861,361
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	30,214
7 Add lines 5 and 6	7	1,891,575
8 Enter qualifying distributions from Part XII, line 4	8	3,629,460

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	30,214
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	30,214
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	30,214
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	52,673
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	52,673
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	22,459
11	Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> 22,459 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ ROCKEFELLER PHILANTHROPY ADVISORS Telephone no ▶ (212) 812-4330			

Located at **▶** 6 WEST 48TH STREET 10TH FLOOR NEW YORK NY ZIP+4 **▶** 100361802

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
<i>If "Yes" to 6b, file Form 8870</i>			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALEXANDRA LLEWELLYN CLANCY C/O RPA 6 WEST 48TH STREET 10TH FL NEW YORK, NY 100361802	DIRECTOR/PRESIDENT/CHAIRPERSON 2 00	0	0	0
MAURICE SONNENBERG C/O RPA 6 WEST 48TH STREET 10TH FL NEW YORK, NY 100361802	DIRECTOR/VICE PRESIDENT 2 00	0	0	0
EDWARD LEWIS C/O RPA 6 WEST 48TH STREET 10TH FL NEW YORK, NY 100361802	DIRECTOR/TREASURER 2 00	0	0	0
DONALD F MCHENRY C/O RPA 6 WEST 48TH STREET 10TH FL NEW YORK, NY 100361802	DIRECTOR/SECRETARY 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
JPMORGAN CHASE BANK NA 270 PARK AVENUE NEW YORK, NY 100172014	INVESTMENT MGMT & ADVISORY	160,394
PKF O'CONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 100225342	ACCOUNTING, TAX & ADMINISTRATION	109,532
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FL NEW YORK, NY 100361802	PHILANTHROPIC & GRANTMAKING ADVISORY	101,208
MILBANK TWEED HADLEY & MCCLOY LLP 55 HUDSON YARDS NEW YORK, NY 100012163	LEGAL SERVICES	69,946
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	65,900,099
b	Average of monthly cash balances.	1b	1,678,728
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	67,578,827
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	67,578,827
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,013,682
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	66,565,145
6	Minimum investment return. Enter 5% of line 5.	6	3,328,257

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,328,257
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	30,214
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	30,214
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	3,298,043
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	3,298,043
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	3,298,043

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,629,460
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,629,460
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	30,214
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,599,246

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				3,298,043
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			1,665,997	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 3,629,460				
a Applied to 2018, but not more than line 2a			1,665,997	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				1,963,463
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				1,334,580
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	3,335,000
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments. . . .						
3 Interest on savings and temporary cash investments				14	37,685	
4 Dividends and interest from securities. . . .				14	1,948,107	
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.				14	213	
8 Gain or (loss) from sales of assets other than inventory				18	1,243,569	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e). .			0		3,229,574	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)				13		3,229,574

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2020-06-17	*****	May the IRS discuss this return with the preparer shown below? (see instr.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	JOSEPH L ALI		2020-05-29		P02093808
	Firm's name ▶ PKF O'CONNOR DAVIES LLP				Firm's EIN ▶ 27-1728945
Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 100225342					Phone no (212) 286-2600

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BUSINESSES UNITED IN INVESTING LENDING & DEVELOPMENT (BUILD) PO BOX 3316 REDWOOD CITY, CA 940643316	N/A	PC	TO SUPPORT GENERAL OPERATIONS OF NEW YORK CITY PROGRAM	200,000
HARLEM EDUCATIONAL ACTIVITIES FUND INC 2090 ADAM CLAYTON POWELL JR BOULEVARD NEW YORK, NY 100274990	N/A	PC	GENERAL SUPPORT OF COLLEGE ACCESS AND SUCCESS PROGRAMS	350,000
HOT BREAD KITCHEN LTD 1590 PARK AVENUE BOX 1 NEW YORK, NY 100291857	N/A	PC	GENERAL SUPPORT OF CULINARY WORKFORCE TRAINING AND SMALL BUSINESS INCUBATOR	500,000
Total ► 3a				3,335,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
IMENTOR INCORPORATED 199 WATER STREET 8TH FLOOR NEW YORK, NY 100383586	N/A	PC	GENERAL SUPPORT OF COLLEGE ACCESS AND SUCCESS PROGRAMS	200,000
MANAGEMENT LEADERS FOR TOMORROW 7201 WISCONSIN AVENUE SUITE 400 BETHESDA, MD 208144848	N/A	PC	GENERAL OPERATING SUPPORT	35,000
OPEN FUTURE INSTITUTE 301 WEST 57TH STREET 45A NEW YORK, NY 100193180	N/A	PC	TO SUPPORT THE QUESTION PROJECT	35,000
Total ▶ 3a				3,335,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PHILADELPHIA FUTURES 230 SOUTH BROAD STREET 7TH FLOOR PHILADELPHIA, PA 191024121	N/A	PC	GENERAL OPERATING SUPPORT	250,000
SCHOOL OF AMERICAN BALLET INC 70 LINCOLN CENTER PLAZA NEW YORK, NY 100236548	N/A	PC	TO SUPPORT THE ARTHUR MITCHELL SCHOLARSHIP FUND	15,000
THE BOTTOM LINE INC 50 MILK STREET 16TH FLOOR BOSTON, MA 021095002	N/A	PC	GENERAL SUPPORT OF COLLEGE ACCESS AND SUCCESS PROGRAMS	500,000
Total ▶ 3a				3,335,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE BRONX INSTITUTE CO HERBERT H LEHMAN COLLEGE FOUNDATION 250 BEDFORD PARK BOULEVARD WEST APEX 241 BRONX, NY 104681527	N/A	PC	TO SUPPORT GENERAL OPERATIONS OF THE ENGAGING LATINO COMMUNITIES IN EDUCATION PROGRAM	200,000
THE HISPANIC FEDERATION INC 55 EXCHANGE PLACE 5TH FLOOR NEW YORK, NY 100053301	N/A	PC	TO SUPPORT THE EXPANSION OF THE CREAR FUTUROS PROGRAM	250,000
THE JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEW YORK, NY 100236500	N/A	PC	GENERAL SUPPORT OF MUSIC ADVANCEMENT PROGRAM	100,000
Total ▶ 3a				3,335,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE STUDIO MUSEUM IN HARLEM 144 WEST 125TH STREET NEW YORK, NY 100274423	N/A	PC	TO SUPPORT THE STUDIO MUSEUM INSTITUTE	200,000
VILLAGE ACADEMIES NETWORK INC 35 WEST 124TH STREET NEW YORK, NY 100275634	N/A	PC	GENERAL SUPPORT OF COLLEGE ACCESS AND SUCCESS PROGRAMS	500,000
Total ▶ 3a				3,335,000

TY 2019 Accounting Fees Schedule**Name:** LLEWELLYN FAMILY FOUNDATION**EIN:** 38-4004143

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING, TAX PREPARATION & ADMINISTRATION	109,532	0		109,532

TY 2019 Investments Corporate Bonds Schedule

Name: LLEWELLYN FAMILY FOUNDATION

EIN: 38-4004143

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BK OF AMER CORP VAR RT PERP 192,000.000 QUANTITY	204,306	217,920
CAP ONE FINL CO VAR RT PERP 127,000.000 QUANTITY	126,909	128,888
CENTERPOINT ENERGY INC SR NTS VAR RT PERP 85,000.000 QUANTITY	86,851	89,888
CITIGROUP INC VAR RT PERP 170,000.000 QUANTITY	185,207	193,171
CITIGROUP INC VAR RT PERP 30,000.000 QUANTITY	32,246	31,875
DISCOVER FINL SVS VAR RT PERP 105,000.000 QUANTITY	106,990	110,565
GEN ELEC CO VAR RT PERP 82,000.000 QUANTITY	83,428	80,316
GEN MOTORS FINL CO VAR RT PERP 130,000.000 QUANTITY	130,844	132,275
GOLDMAN SACHS GROUP INC VAR RT PERP 69,000.000 QUANTITY	69,768	69,854
GOLDMAN SACHS GROUP INC VAR RT PERP 80,000.000 QUANTITY	84,790	86,000
GOLDMAN SACHS GROUP INC VAR RT PERP 90,000.000 QUANTITY	92,506	96,300
KEYCORP VAR RT PERP 120,000.000 QUANTITY	119,519	127,517
METLIFE INC VAR RT PERP 140,000.000 QUANTITY	138,171	141,803
PNC FINL SERVICES VAR RT PERP 99,000.000 QUANTITY	112,461	105,148
PROGRESSIVE CORP VAR RT 40,000.000 QUANTITY	40,320	42,038
ST STR CORP VAR RT PERP 111,000.000 QUANTITY	112,748	113,828
TRUIST FIN CORP VAR RT PERP 90,000.000 QUANTITY	89,663	92,250
TRUIST FIN CORP VAR RT PERP 90,000.000 QUANTITY	91,849	91,800
US BANCORP VAR RT PERP 89,000.000 QUANTITY	89,580	91,512
VOYA FINL INC VAR RT PERP 95,000.000 QUANTITY	96,410	102,125

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
WELLS FARGO & CO VAR RT PERP 173,000.000 QUANTITY	177,866	192,462

TY 2019 Investments Corporate Stock Schedule

Name: LLEWELLYN FAMILY FOUNDATION
EIN: 38-4004143

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCENTURE PLC-CL A (ACN) 784.000 SHARES	68,199	165,087
ALLSTATE CORP PFD (ALLPH) 2,560.000 SHARES	64,486	66,918
AMERICAN EQUITY INVESTME (AELPA) 1,290.000 SHARES	32,960	33,218
ASPEN INSURANCE HLDG LTD (AHLPC) 1,870.000 SHARES	47,909	52,098
ATHENE HOLDING LTD PFD (ATHPA) 1,085.000 SHARES	30,868	30,651
BECTON DICKINSON AND CO (BDX) 101.000 SHARES	26,564	27,469
BROADCOM INC (AVGO) 410.000 SHARES	107,671	129,568
CISCO SYSTEMS INC (CSCO) 3,359.000 SHARES	101,964	161,098
CITIZENS FINANCIAL GROUP (CFGPD) 1,155.000 SHARES	29,198	32,675
COMCAST CORP-CLASS A (CMCSA) 3,041.000 SHARES	107,799	136,754
CONSTELLATION BRANDS INC-A (STZ) 617.000 SHARES	111,517	117,076
FIFTH THIRD BANCORP PFD (FITBI) 3,400.000 SHARES	96,424	97,614
HOME DEPOT INC (HD) 673.000 SHARES	60,269	146,970
HONEYWELL INTERNATIONAL INC (HON) 150.000 SHARES	26,444	26,550
ILLINOIS TOOL WORKS (ITW) 746.000 SHARES	53,471	134,004
JOHNSON & JOHNSON (JNJ) 1,050.000 SHARES	78,835	153,164
MARSH & MCLENNAN COS (MMC) 1,104.000 SHARES	92,415	122,997
MCDONALD'S CORP (MCD) 735.000 SHARES	70,891	145,243
MICROSOFT CORP (MSFT) 1,248.000 SHARES	52,053	196,810
MORGAN STANLEY (MSPE) 4,705.000 SHARES	132,211	134,610
MORGAN STANLEY (MSPI) 1,805.000 SHARES	48,591	50,847
NEXTERA ENERGY INC (NEE) 604.000 SHARES	50,377	146,265
NIKE INC -CL B (NKE) 1,594.000 SHARES	97,905	161,488
PEPSICO INC (PEP) 1,243.000 SHARES	99,788	169,881
PHILLIPS 66 (PSX) 1,546.000 SHARES	122,281	172,240
PNC FINANCIAL SERVICES GROUP (PNC) 1,048.000 SHARES	90,250	167,292
REGIONS FINANCIAL CORP - PFD (RFPB) 4,310.000 SHARES	111,571	121,628
REGIONS FINANCIAL CORP (RFPC) 560.000 SHARES	14,207	15,618
ROYAL CARIBBEAN CRUISES LTD (RCL) 1,041.000 SHARES	116,123	138,984
SHERWIN-WILLIAMS CO/THE (SHW) 236.000 SHARES	63,600	137,715

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STATE STREET CORP (STTPD) 1,770.000 SHARES	46,761	48,604
TE CONNECTIVITY LTD (TEL) 693.000 SHARES	63,933	66,417
TEXAS INSTRUMENTS INC (TXN) 1,425.000 SHARES	121,579	182,813
UNION PACIFIC CORP (UNP) 814.000 SHARES	133,886	147,163
UNITEDHEALTH GROUP INC (UNH) 674.000 SHARES	92,378	198,143
US BANCORP (USBPM) 2,265.000 SHARES	60,769	62,559
VOYA FINANCIAL INC PFD (VOYAPB) 2,445.000 SHARES	61,801	65,990

TY 2019 Investments - Other Schedule

Name: LLEWELLYN FAMILY FOUNDATION
EIN: 38-4004143

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMER FNDS INTL VNTG-F3 (AIVGX) 79,107.738 SHARES	AT COST	922,529	1,143,898
BLCKRCK HI YLD BND PORT-K (BRHYX) 20,231.07 SHARES	AT COST	150,342	157,398
COHEN & STEER PR SEC&INC-F (CPXFX) 40,138.979 SHARES	AT COST	490,196	573,185
DOUBLELINE TTL RTRN BND-I (DBLTX) 91,162.92 SHARES	AT COST	978,144	969,062
DOUBLELINE TTL RTRN BND-I (DBLTX) 94,321.28 SHARES	AT COST	1,009,820	1,002,635
FEDERATED INST HI YLD BND-R6 (FIHLX) 16,738.09 SHARES	AT COST	156,334	166,377
FIDELITY 500 INDEX FD-AI (FXAIX) 47,464.353 SHARES	AT COST	4,481,843	5,316,957
FIDELITY SECS FD ADV RLESTAT Z (FIKMX) 15,935.67 SHARES	AT COST	201,687	198,399
HARTFORD CORE EQUITY-F (HGIFX) 15,151.011 SHARES	AT COST	443,402	530,285
ISHARES 20+ YEAR TREASURY BO (TLT) 7,214.00 SHARES	AT COST	1,020,655	977,353
ISHARES 3-7 YEAR TREASURY BO (IEI) 1,811.00 SHARES	AT COST	228,172	227,733
ISHARES 7-10 YEAR TREASURY B (IEF) 18,448.00 SHARES	AT COST	1,998,670	2,033,339
ISHARES CORE MSCI EAFE ETF (IEFA) 16,602.000 SHARES	AT COST	1,016,040	1,083,114
ISHARES MSCI EAFE ETF (EFA) 22,899.000 SHARES	AT COST	1,200,179	1,590,107
ISHARES SHORT-TERM CORPORATE (IGSB) 6,837.00 SHARES	AT COST	363,665	366,668
JH II STRAT INC OPPORT-R6 (JIPRX) 14,986.22 SHARES	AT COST	160,681	160,802
JPM CORE BOND FD - USD - R6 ISIN (JCBUX) 706,219.10 SHARES	AT COST	7,971,554	8,361,634
JPM GL RES ENH IDX FD - USD - R6 (JEIYX) 129,436.083 SHARES	AT COST	2,368,457	2,767,343
JPMORGAN BETABUILDERS CANADA (BBCA) 40,996.000 SHARES	AT COST	1,003,402	1,066,306
JPMORGAN BETABUILDERS EUROPE ETF (BBEU) 41,245.000 SHARES	AT COST	913,565	1,055,460
JPMORGAN BETABUILDERS JAPAN ETF (BBJP) 25,117.000 SHARES	AT COST	578,057	616,371
LORD ABBETT SHRT DUR INC-F3 (LOLDX) 71,338.89 SHARES	AT COST	308,847	301,050
MAINSTAY HI YLD CORP BND-R6 (MHYSX) 53,610.76 SHARES	AT COST	294,298	303,973
MATTHEWS ASIAN GR & INC-INST (MICSX) 10,240.755 SHARES	AT COST	159,365	160,780
MFS MUNI HIGH INCOME-R6 (MMHKX) 47,077.15 SHARES	AT COST	384,384	400,627
MTRPLTN WST TTL RTRN BND-PLN (MWTSX) 110,869.67 SHARES	AT COST	1,118,104	1,140,849
MUZINICH LOW DURAT-SUPR INST (MZLSX) 46,334.63 SHARES	AT COST	463,000	465,663
NUVEEN PREFERRED SECURIT-R6 (NPSFX) 14,032.144 SHARES	AT COST	234,481	246,825
PEAR TREE POL FRGN VAL-R6 (QFVRX) 10,635.469 SHARES	AT COST	121,701	122,733
PGIM TOTAL RETURN BOND-R6 (PTRQX) 72,264.82 SHARES	AT COST	1,004,090	1,042,059

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PIMCO INVESTMENT GRADE CORPORATE BOND FUND (PIGIX) 97,675.11 SHARES	AT COST	986,519	1,066,612
PIMCO MTGE OPPORTUNITIES-IS (PMZIX) 45,823.194 SHARES	AT COST	502,377	496,723
PIMCO UNCONSTRAINED BOND-INS (PFIUX) 28,219.197 SHARES	AT COST	307,341	299,688
SCHWAB U.S. TIPS ETF (SCHP) 9,781.00 SHARES	AT COST	524,318	553,898
SIX CIRCLES INTERNATIONAL UNCONSTRAINED EQTY FD (CIUEX) 108,719.756 SHARES	AT COST	999,106	1,042,622
SIX CIRCLES U.S. UNCONSTRAINED EQUITY FUND (CUSUX) 304,888.970 SHARES	AT COST	3,052,529	3,390,365
SIX CIRCLES ULTRA SHORT DURATION FUND (CUSDX) 154,831.42 SHARES	AT COST	1,549,820	1,546,766
SPDR S&P 500 ETF TRUST (SPY) 36,815.000 SHARES	AT COST	7,067,066	11,849,276
STORAGE ENGINE INC.	AT COST	0	4,185
T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND (RPIFX) 16,641.07 SHARES	AT COST	167,271	164,580
VANGUARD DIVIDEND APPREC ETF (VIG) 5,260.000 SHARES	AT COST	564,363	655,712
VANGUARD TOTAL INTL BND-ADM (VTABX) 231,056.53 SHARES	AT COST	5,116,064	5,226,499
VINTAGE 2019 PRIVATE INVESTMENTS OFFSHORE SICAV-RAIF S.C. SP.	AT COST	28,117	28,117

TY 2019 Legal Fees Schedule**Name:** LLEWELLYN FAMILY FOUNDATION**EIN:** 38-4004143

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE LEGAL COUNSEL	69,946	0		69,946

TY 2019 Other Expenses Schedule**Name:** LLEWELLYN FAMILY FOUNDATION**EIN:** 38-4004143**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	3,000	0		3,000

TY 2019 Other Income Schedule

Name: LLEWELLYN FAMILY FOUNDATION

EIN: 38-4004143

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	213	213	213

TY 2019 Other Professional Fees Schedule**Name:** LLEWELLYN FAMILY FOUNDATION**EIN:** 38-4004143

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT AND ADVISORY	189,954	189,954		0
PHILANTHROPIC AND GRANTMAKING ADVISORY SERVICES	101,208	0		101,208

TY 2019 Taxes Schedule**Name:** LLEWELLYN FAMILY FOUNDATION**EIN:** 38-4004143

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	30,000	0		0