

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , and ending

Name of foundation: **ALCOA FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address): **201 ISABELLA ST**

Room/suite: _____

City or town, state or province, country, and ZIP or foreign postal code: **PITTSBURGH, PA 15212**

A Employer identification number: **36-4831759**

B Telephone number: **(412) 553-3279**

C If exemption application is pending, check here **6**

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation **04**
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16): **\$ 122,488,552.**

J Accounting method: Cash Accrual
 Other (specify) **MODIFIED CASH**
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	35,280.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	464,695.	464,695.		STATEMENT 1
	4 Dividends and interest from securities	362,335.	577,724.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	5,619,975.			Received
	b Gross sales price for all assets on line 6a	139,851,435.			11.11.19
	7 Capital gain net income (from Part IV, line 2)		4,522,814.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	202,017.	18,032.	0.	STATEMENT 3	
12 Total. Add lines 1 through 11	6,684,302.	5,583,265.	0.		
13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.	
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
Operating and Administrative Expenses	16a Legal fees STMT 4	2,972.	0.	0.	2,972.
	b Accounting fees STMT 5	95,929.	45,714.	0.	45,715.
	c Other professional fees STMT 6	839,910.	294,400.	0.	545,510.
	17 Interest		5,216.		
	18 Taxes STMT 7	135,319.	28,926.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 8	98,041.	160,290.	0.	83,462.	
24 Total operating and administrative expenses Add lines 13 through 23	1,172,171.	534,546.	0.	677,659.	
25 Contributions, gifts, grants paid	5,881,580.			5,881,580.	
26 Total expenses and disbursements Add lines 24 and 25	7,053,751.	534,546.	0.	6,559,239.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-369,449.				
b Net investment income (if negative, enter -0-)		5,048,719.			
c Adjusted net income (if negative, enter -0-)			0.		

950

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		1,532,181.	9,091,926.	9,091,926.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶	-1,537,354.			
		Less: allowance for doubtful accounts ▶		6,250,169.	-1,537,354.	-1,537,354.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations	STMT 9	33,873,720.	33,809,162.	33,809,162.
	b	Investments - corporate stock	STMT 10	28,657,253.	33,644,304.	33,644,304.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 11	58,153,883.	47,480,514.	47,480,514.	
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		128,467,206.	122,488,552.	122,488,552.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26, and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		128,467,206.	122,488,552.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
30	Total net assets or fund balances		128,467,206.	122,488,552.		
31	Total liabilities and net assets/fund balances		128,467,206.	122,488,552.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	128,467,206.
2	Enter amount from Part I, line 27a	2	-369,449.
3	Other increases not included in line 2 (itemize) ▶ TRANSFER FROM LEGACY ALCOA FDN	3	14,667.
4	Add lines 1, 2, and 3	4	128,112,424.
5	Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS	5	5,623,872.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	122,488,552.

Part IV Capital Gains and Losses for Tax on Investment Income **SEE ATTACHED STATEMENTS**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 140,082,557.		135,559,743.	4,522,814.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			4,522,814.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	4,522,814.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	4,345,138.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	6,319,995.	120,767,858.	.052332
2016	6,801,750.	131,801,943.	.051606
2015	7,164,664.	139,660,717.	.051300
2014	7,106,944.	141,730,475.	.050144
2013	7,073,142.	138,399,889.	.051107

2 Total of line 1, column (d)	2	.256489
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.051298
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	127,340,900.
5 Multiply line 4 by line 3	5	6,532,333.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	50,487.
7 Add lines 5 and 6	7	6,582,820.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	6,559,239.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	100,974.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	100,974.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	100,974.
6	Credits/Payments:		
6a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	119,938.
6b	Exempt foreign organizations - tax withheld at source	6b	0.
6c	Tax paid with application for extension of time to file (Form 8868)	6c	55,000.
6d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	174,938.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	73,964.
11	Enter the amount of line 10 to be Credited to 2019 estimated tax 73,964. Refunded	11	0.

Part VII A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a	X	
4b	X	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions STATEMENT 12 STATEMENT 13 STMT 14

Table with 3 columns: Question, Yes, No. Row 11: Yes [X], No []

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions

Table with 3 columns: Question, Yes, No. Row 12: Yes [], No [X]

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A

Table with 3 columns: Question, Yes, No. Row 13: Yes [X], No []

14 The books are in care of MEGAN SMITH Telephone no. 412-315-2872 Located at 201 ISABELLA STREET, PITTSBURGH, PA ZIP+4 15212

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A

16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Table with 3 columns: Question, Yes, No. Row 16: Yes [], No [X]

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? [] Yes [X] No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? [] Yes [X] No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? [X] Yes [] No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? [X] Yes [] No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? [] Yes [X] No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) [] Yes [X] No

Table with 3 columns: Question, Yes, No. Rows 1a-1f: All Yes/No columns are shaded.

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here

Table with 3 columns: Question, Yes, No. Row 1b: Yes [], No [X]

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?

Table with 3 columns: Question, Yes, No. Row 1c: Yes [], No [X]

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years

Table with 3 columns: Question, Yes, No. Row 2a: Yes [], No [X]

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)

Table with 3 columns: Question, Yes, No. Row 2b: Yes [], No [X]

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)

Table with 3 columns: Question, Yes, No. Row 3a: Yes [], No [X]

3b Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

Table with 3 columns: Question, Yes, No. Row 3b: Yes [], No [X]

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

Table with 3 columns: Question, Yes, No. Row 4a: Yes [], No [X]

4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

Table with 3 columns: Question, Yes, No. Row 4b: Yes [], No [X]

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	5b	X
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d) SEE STATEMENT 16	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ALCOA CORPORATION 201 ISABELLA STREET, PITTSBURGH, PA 15212	MANAGEMENT	499,066.
BANK OF NEW YORK MELLON 500 GRANT STREET, PITTSBURGH, PA 15258	INVESTMENT MANAGEMENT	96,632.
BRANDES INVESTMENT PARTNERS 36 LOWER BAGGOT STREET, DUBLIN, IRELAND 2	INVESTMENT MANAGEMENT	71,577.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	77,587,696.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	51,692,406.
d	Total (add lines 1a, b, and c)	1d	129,280,102.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	129,280,102.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,939,202.
5	Net value of noncharitable-use assets . Subtract line 4 from line 3. Enter here and on Part V, line 4	5	127,340,900.
6	Minimum investment return . Enter 5% of line 5	6	6,367,045.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,367,045.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	100,974.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	4,402.
c	Add lines 2a and 2b	2c	105,376.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,261,669.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	6,261,669.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted . Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,261,669.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,559,239.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions . Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	6,559,239.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions . Subtract line 5 from line 4	6	6,559,239.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				6,261,669.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	222,905.			
b From 2014	161,754.			
c From 2015	302,576.			
d From 2016	223,964.			
e From 2017	483,592.			
f Total of lines 3a through e	1,394,791.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 6,559,239.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				6,261,669.
e Remaining amount distributed out of corpus	297,570.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (e))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,692,361.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	222,905.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	1,469,456.			
10 Analysis of line 9:				
a Excess from 2014	161,754.			
b Excess from 2015	302,576.			
c Excess from 2016	223,964.			
d Excess from 2017	483,592.			
e Excess from 2018	297,570.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:
- b The form in which applications should be submitted and information and materials they should include:
- c Any submission deadlines:
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACADEMOS CYBERMENTORAT 296 SAINT-PAUL STREET WEST OFFICE 400 MONTREAL, QUEBEC, CANADA H2Y 2A3		PC	PROMOTE THE MANUFACTURING TRADES AND ALUMINUM PROCESSING TO YOUTH	30,000.
AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH 1789 MASSACHUSETTS AVE NW WASHINGTON, DC 20036-2103		PC	COUNTERING TRANSNATIONAL THREATS STEMMING FROM CHINA	20,000.
AMERICAN FORESTS XS WASHINGTON, DC 20005-4079		PC	FOREST RESTORATION AND COMMUNITY ENGAGEMENT	250,000.
ARKADELPHIA PUBLIC SCHOOLS 401 HIGH SCHOOL ROAD ARKADELPHIA, AR 71923		PC	BADGER ROBOTICS TEAM - STRATEGIC DEVELOPMENT	20,000.
ASSET INC. 2403 SIDNEY ST STE 800 PITTSBURGH, PA 15203-2176		PC	CLIMATE LEADERSHIP: FROM CLASSROOM TO COMMUNITY	20,000.
Total	SEE CONTINUATION SHEET(S)			5,881,580.
b Approved for future payment				
ACADEMOS CYBERMENTORAT 297 SAINT-PAUL STREET WEST OFFICE 400 MONTREAL, QUEBEC, CANADA H2Y 2A3		PC	PROMOTE THE MANUFACTURING TRADES AND ALUMINUM PROCESSING TO YOUTH	60,000.
BIRDLIFE AUSTRALIA 2-05/60 LEICESTER ST CARLTON, VICTORIA, AUSTRALIA 3053		PC	ALCOA AND COMMUNITIES WORKING TO HELP BLACK COCKATOOS	125,000.
BOYS AND GIRLS CLUB OF WHATCOM COUNTY 1616 CORNWALL AVE STE 111 BELLINGHAM, WA 98225-4642		PC	POSITIVE ACTION/PROJECT LEARN	18,000.
Total	SEE CONTINUATION SHEET(S)			2,212,443.

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545 0047

2018

Name of the organization

ALCOA FOUNDATION

Employer identification number

36-4831759**Organization type** (check one)**Filers of:****Section:**

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.**Special Rules** For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

Name of organization ALCOA FOUNDATION	Employer identification number 36-4831759
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALCOA MINING/BAUXITE 201 ISABELLA ST PITTSBURGH, PA 15212	\$ 35,280.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization ALCOA FOUNDATION	Employer identification number 36-4831759
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization ALCOA FOUNDATION	Employer identification number 36-4831759
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

ALCOA FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P		
b ADAMS STREET 2007 DIRECT FUND	P		
c ADAMS STREET PSHIP FUND - 2004 NON-US	P		
d ADAMS STREET PSHIP FUND - 2004 NON-US	P		
e ADAMS STREET PSHIP FUND - 2004 US FUND	P		
f ADAMS STREET PSHIP FUND - 2004 US FUND	P		
g ADAMS STREREET PSHIP FUND - 2007 NON-US	P		
h ADAMS STREREET PSHIP FUND - 2007 NON-US	P		
i ADAMS STERET PSHIP FUND - 2007 US FUND	P		
j ADAMS STERET PSHIP FUND - 2007 US FUND	P		
k BCP V-S	P		
l BLACKSTONE CAP PARTNERS (CAYMAN II) VI	P		
m BLACKSTONE CAP PARTNERS (CAYMAN) V LP	P		
n BLACKSTONE CAP PARTNERS (CAYMAN) VI IT-B	P		
o BLACKSTONE CAP PARTNERS (CAYMAN) VI	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 139,558,157.		134,783,080.	4,775,077.
b 15,096.			15,096.
c 7,147.			7,147.
d		23.	-23.
e 37,364.			37,364.
f		188.	-188.
g 56,704.			56,704.
h 273.			273.
i 70,093.			70,093.
j 348.			348.
k 4,481.			4,481.
l 13,591.			13,591.
m		6,359.	-6,359.
n 18,394.			18,394.
o 2,826.			2,826.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			** 4,775,077.
b			15,096.
c			7,147.
d			** -23.
e			37,364.
f			** -188.
g			56,704.
h			** 273.
i			70,093.
j			** 348.
k			4,481.
l			13,591.
m			-6,359.
n			18,394.
o			2,826.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

ALCOA FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BLACKSTONE CAP PARTNERS V	P		
b BLACKSTONE CAP PARTNERS VI	P		
c CARLYLE PARTNERS V SA1 CAYMAN	P		
d CARLYLE PARTNERS V	P		
e HARBOURVEST INTL PRIVATE EQUITY	P		
f HARBOURVEST INTL PRIVATE EQUITY	P		
g HARBOURVEST INTL PRIVATE EQUITY	P		
h HARBOURVEST INTL PRIVATE EQUITY	P		
i HARBOURVEST INTL PRIVATE EQUITY	P		
j KKR 2006 (OVERSEAS)	P		
k OCM EUROPEAN PRINCIPAL OPP FUND II	P		
l OCM EUROPEAN PRINCIPAL OPP FUND II	P		
m PEG US POOLED CORP FINANCE	P		
n PEG US POOLED CORP FINANCE	P		
o POMONA CAPITAL VII	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,867.			8,867.
b 5,534.			5,534.
c		5.	-5.
d		12,933.	-12,933.
e		970.	-970.
f		48.	-48.
g 65,355.			65,355.
h		47.	-47.
i 136,123.			136,123.
j		6,425.	-6,425.
k		4,399.	-4,399.
l		165,984.	-165,984.
m 14.			14.
n		7,270.	-7,270.
o 8,611.			8,611.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a			8,867.
b			5,534.
c			-5.
d			-12,933.
e			-970.
f			** -48.
g			65,355.
h			** -47.
i			136,123.
j			-6,425.
k			** -4,399.
l			-165,984.
m			** 14.
n			-7,270.
o			8,611.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

ALCOA FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEQUOIA CAP GROWTH FUND III	P		
b SEQUOIA CAP INDIA GROWTH FUND I	P		
c SEQUOIA CAP INDIA GROWTH FUND II	P		
d SEQUOIA CAPITAL ISREAL III	P		
e SEQUOIA CAPITAL ISREAL IV	P		
f SEQUOIA CAPITAL ISREAL IV	P		
g SEQUOIA CAPITAL GROWTH FUND IV	P		
h SEQUOIA CAPITAL GROWTH FUND IV	P		
i WARBURG PINCUS (BERMUDA) PE IX	P		
j WARBURG PINCUS PE IX	P		
k WESTERN ASSET US CORE BOND FUND	P		
l WESTERN ASSET US CORE BOND FUND	P		
m HARBOURVEST INTL PE - 1256 LOSS			
n WESTERN ASSET US CORE BOND - 1256 LOSS			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		35,015.	-35,015.
b		171.	-171.
c	35,699.		35,699.
d		17,610.	-17,610.
e		51.	-51.
f		613.	-613.
g	154.		154.
h	24,146.		24,146.
i	590.		590.
j	12,990.		12,990.
k		156,015.	-156,015.
l		92,580.	-92,580.
m		518.	-518.
n		269,439.	-269,439.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-35,015.
b			-171.
c			35,699.
d			-17,610.
e			** -51.
f			-613.
g			** 154.
h			24,146.
i			590.
j			12,990.
k			** -156,015.
l			-92,580.
m			** -518.
n			** -269,439.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	4,522,814.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	4,345,138.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AUSTURBRU SES TJARNARBRAUT 39E EGILSSTADIR, ICELAND 700		NC	AUSTURLAND'S ENERGY TRANSITION	40,000.
BACK THE PACK, INC. 36 WINDSOR RD MASSENA, NY 13662-1605		PC	MASSENA BACK THE PACK	20,000.
BIG BROTHERS BIG SISTERS OF EAST TENNESSEE 318 N GAY ST STE 100 KNOXVILLE, TN 37917-7553		PC	SCHOOL-BASED PLUS - AN ENHANCED SCHOOL-BASED MENTORING PROJECT	20,000.
BIODIVERSITY CENTRE OF QUEBEC IN BECANCOUR 1800 DES JASMIN AVENUE BECANCOUR, QUEBEC, CANADA G9H 2S2		NC	ALL ASPECTS OF BIODIVERSITY	20,000.
BIRDLIFE AUSTRALIA 2-05/60 LEICESTER ST CARLTON, VICTORIA, AUSTRALIA 3053		PC	ALCOA AND COMMUNITIES WORKING TO HELP BLACK COCKATOOS	175,000.
BOYS AND GIRLS CLUB OF MASSENA PO BOX 56 430 BAYLEY RD MASSENA, NY 13662-0564		PC	BOYS & GIRLS CLUB OF MASSENA STEAM LAB	20,000.
BOYS AND GIRLS CLUB OF WHATCOM COUNTY 1616 CORNWALL AVE STE 111 BELLINGHAM, WA 98225-4642		PC	POSITIVE ACTION/PROJECT LEARN	40,000.
CALHOUN COUNTY INDEPENDENT SCHOOL DISTRICT 525 NORTH COMMERCE STREET PORT LAVACA, TX 77979		PC	GROWING OUR FUTURE WORKFORCE WITH CAREER AND TECHNICAL EDUCATION	50,000.
CARVER COMMUNITY ORGANIZATION 400 SE 8TH ST EVANSVILLE, IN 47713-1819		PC	AARP FOUNDATION EXPERIENCE CORPS EVANSVILLE ACADEMIC TUTORING/MENTORING	20,000.
CDP WORLDWIDE/CDP NORTH AMERICA INC 127 W 26TH ST RM 300 NEW YORK, NY 10001-6881		PC	CATALYZING IMPROVED WATER STEWARDSHIP IN METALS AND MINING SECTOR	125,000.
Total from continuation sheets				5,541,580.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR STRATEGIC & INTERNATIONAL STUDIES 1616 RHODE ISLAND AVE NW WASHINGTON, DC 20036-3206		PC	THE EVOLVING RULES OF ORIGIN	50,000.
CENTRE DE PEDIATRIE SOCIALE CENTRE-SUD 2260 RUE LOGAN MONTREAL, QUEBEC, CANADA H2K 4K7		PC	VULNERABLE CHILDREN IN THE VILLE-MARIE/ST-JACQUES NEIGHBOURHOOD	25,000.
CENTRO DE EMPREENDEDORISMO DA AMAZONIA TRAVESSA DOM ROMUALDO DE SEIXAS 1698 SALA 2105 BELEM, PARA, BRAZIL 66055-058		PC	JURUTI UP	150,000.
CENTRO INTEGRADO DE FORMACION PROFESIONAL AVILES CALLE MARQUES S/N AVILES ASTURIAS, SPAIN 33402		PC	AUTOMATIC BY CNC PROGRAMMING PLASMA CUTTING MACHINE	40,000.
CHAMBRE DE COMMERCE DE BECANCOUR 17905 BOULEVARD DES ACADIENS BECANCOUR, QUEBEC, CANADA G9H 1M4		NC	CIRCULAR ECONOMY I NATURAL ECONOMIC ZONE	20,000.
CIVIL CENTRUM PUBLIC BENEFIT FOUNDATION SZIGET UTCA 39 SZEKESFEHERVAR, HUNGARY HU-8000		PC	MANAGEMENT DEVELOPMENT AND ENCOURAGING COOPERATION AMONG EMPLOYERS, YOUTH AND NGOS	20,000.
COMITE ZIP DE LA RIVE NORD DE LESTUAIRE 57 PLACE LASALLE BAIE-COMEAU, QUEBEC, CANADA G4Z 1J8		PC	RESTAURATION OF THE POINTE-AUX-OUTARDES SALT MARSH	48,994.
COMMITTEE ENCOURAGING CORPORATE PHILANTHROPY 5 HANOVER SQ RM 2102 NEW YORK, NY 10004-2635		PC	ANNUAL MEMBERSHIP	15,000.
CONSEIL DE DEVELOPPEMENT DU LOISIR SCIENTIFIQUE 4545 PIERRE-DE COUBERTIN MONTREAL, QUEBEC, CANADA H1V 0B2		PC	DARE SCIENCE WITH HELP OF QUEBEC YOUTH AMBASSADORS!	30,000.
CONSELLERIA DE EDUCACION E ORDENACION UNIVERSITARIA DE LA XUNTA DE GALICIA REGIONAL MINISTRY 15781 SANTIAGO DE COMPOSTELA SANTIAGO DE COMPOSTELA, SPAIN 15781		PC	NATURACCION-EDUCATION PROGRAM ON ENVIRONMET, MINIEMPRESAS, DRON PROJECT	185,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CULTURE ET PATRIMOINE DESCHAMBAULT-GRONDINES 117 RUE SAINT-JOSEPH DESCHAMBAULT-GRONDINES, CANADA G0A 1S0		PC	ALCOA ALLEY AT DOMAINE DE LA CHEVROTIERE	35,000.
EARTHWATCH INSTITUTE 114 WESTERN AVE BOSTON, MA 02134-1015		PC	ALCOA EARTHWATCH SUSTAINABILITY FELLOWS PROGRAM	96,633.
ECONOMICS FOR ENERGY CALLE GRAN VIA NO. 3 VIGO, SPAIN 36204		PC	ANALYSIS OF THE GLOBAL DEPLOYMENT OF RENEWABLE ENERGY SOURCES	50,000.
ENTRAIDE BECANCOUR INC. 3050 NICOLAS-PERROT BECANCOUR, QUEBEC, CANADA G9H 3B9		PC	ENLARGED BUILDING	20,000.
EVANSVILLE ZOOLOGICAL SOCIETY, INC. 1545 MESKER PARK DR EVANSVILLE, IN 47720-8224		PC	PENGUINS OF PATAGONIA	50,000.
FOUNDATION 'PLANTERS VAN RIKANAUMOFFO' (STG. PRO) RIKANAUMOFFO AAN DE COTTICARIVIER MAROWIJNE, MAROWIJNE, SURINAME NA		NC	SOCIAL CAPITAL AND HUMAN CAPITAL STRENGTHENING OF THE RIKANAUMOFFO COMMUNITY	80,000.
FUNDACAO PARA O DESENVOLVIMENTO TECNOLOGICO DA ENGENHARIA 412 AV. AFRANIO PEIXOTO BUTANTA, SAO PAULO, BRAZIL 05507-000		PC	BAUXITE RESIDUE REUSE TO REDUCE CEMENT INDUSTRY GHG/NON-RENEWABLE RAW-MATERIALS	150,000.
FUNDACION LA LABORAL - CENTRO DE ARTE Y CREACION INDUSTRIAL LOS PRADOS 121 GIJON, SPAIN 33203		PC	SCHOOLS FOR THE TWENTY-FIRST CENTURY	50,000.
FUTURESNW 2488 AUTUMNWOOD CT BELLINGHAM, WA 98229-8861		PC	WHATCOM DREAMS: PREPARING WHATCOM STUDENTS FOR WHATCOM JOBS	20,000.
GAJA KORNYEZETVEDO EGYESULET 5 PETOFI STREET SZEKESFEHERVAR, HUNGARY 8000		PC	MODERN NATURAL SCIENTIFIC LAB FOR STUDENTS	20,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GIRL SCOUTS OF SOUTHWEST INDIANA 223 NW SECOND STREET EVANSVILLE, IN 47708		PC	DREAM BIG, G.I.R.L. (GO-GETTER, INNOVATOR, RISK TAKER, LEADER)	22,500.
GRANDS FRERES GRANDES SOEURS DE TROIS-RIVIERES 1060 RUE ST-FRANCOIS XAVIER BUREAU 305 TROIS-RIVIERES, QUEBEC, CANADA G9A1R8		PC	BIG FRIENDS	25,000.
GREENING AUSTRALIA LEVEL 3 349 COLLINS STREET MELBOURNE, VICTORIA, AUSTRALIA 3000		PC	THREE RIVERS INITIATIVE: COMMUNITY-BASED RESTORATION FOR THE PEEL-HARVEY REGION	130,000.
INDIANA UNIVERSITY OF PENNSYLVANIA - RESEARCH INSTITUTE 1179 GRANT ST STE 1 INDIANA, PA 15701-2898		PC	VOCATIONAL AND ENVIRONMENTAL PROGRAMS FOR SURINAMESE STUDENTS WITH SPECIAL NEEDS	100,000.
INSTITUTO DO HOMEM E MEIO AMBIENTE DA AMAZONIA TRAVESSA DOM ROMUALDO DE SEIXAS 1698 11TH FLOOR UMARIZAL		PC	PROTECTED AREAS IN JURUTI	222,796.
IVY TECH FOUNDATION 50 W FALL CREEK PARKWAY NORTH DR EVANSVILLE, IN 46208-5752		PC	STEM CAREER PATHWAYS FOR FAMILIES IN THE PROMISE ZONE	20,000.
JEUNESSOR PORTNEUF 350 DE L'EGLISE PO BOX 3009 SAINT-BASILE, QUEBEC, CANADA G0A3G0		NC	EARLY INTERVENTION PREVENT HIGH SCHOOL DROPOUT	20,000.
JUNIOR ACHIEVEMENT OF SOUTHWESTERN INDIANA 431 E DIAMOND AVE EVANSVILLE, IN 47711-3713		PC	JUNIOR ACHIEVEMENT PROGRAMS IN WARRICK COUNTY	20,000.
KOCH FAMILY CHILDREN'S MUSEUM OF EVANSVILLE 22 SE 5TH STREET EVANSVILLE, IN 47708		PC	WET DECK IMPROVEMENTS	25,000.
LA CLEF DE LA GALERIE 204 RUE DE LA FABRIQUE FORTIERVILLE, QUEBEC, CANADA G0S 1J0		PC	COMMUNITY IMPROVEMENT PROJECT	20,000.
Total from continuation sheets				

ALCOA FOUNDATION

36-4831759

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LANDBOTASJODUR NORDUR HERADS BRUARASI EGILSSTADIR, ICELAND 701		PC	LAND RECLAMATION IN NORDUR HERAD MUNICIPALITY WITH FERTILISER AND SEEDING	40,000.
LAURA LEMERVEIL P.O BOX 8852 STATION STE-FOY QUBEC, QUEBEC, CANADA G1V 4N7		PC	LES ATELIERS SOCIOPROFESSIONNELS DES ARTISANS DE PORTNEUF	20,000.
LES FILLES ET LES SCIENCES, UN DUO ELECTRISANT! 691 DE ROBERVAL BOUCHERVILLE, QUEBEC, CANADA J4B 7S6		NC	GIRLS AND SCIENCES: AN ELECTRIFYING DUO!	25,000.
LUNGA SCHOOL SUDURGATE 4 SEYDISFJORDUR, ICELAND 710		PC	THE NET FACTORY	30,000.
MASSENA CENTRAL SCHOOL DISTRICT 84 NIGHTENGALE AVENUE MASSENA, NY 13662		PC	NIGHTENGALE GREENHOUSE PROJECT	22,000.
MILJOSTIFTELSEN BELLONA (THE BELLONA FOUNDATION) VULKAN 11 OSLO, NORWAY 178		PC	ENGAGING AND MOTIVATING YOUTH TO TACKLE CLIMATE AND ENVIRONMENTAL CHALLENGES	135,000.
MLLE 350 RUE DE L'EGLISE PO BOX 3009 SAINT-BASILE, QUEBEC, CANADA G0A3G0		NC	DEVELOP THE ENTREPRENEURIAL CULTURE OF YOUNG PORTNEUF PEOPLE	20,000.
MUNICIPALITY OF FJARDABYGGD HAFNARGATA 2 REYDARFJORDUR, ICELAND 730		PC	CLEANING THE COASTLINE OF FJARDABYGGD	30,000.
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190		PC	THE ALCOA WARMING WASTE WATER WATS WILDLIFE (ALCOA W5) PROJECT	259,274.
NATURE CONSERVANCY 620 EAST OHIO STREET INDIANAPOLIS, IN 46202		PC	FOREST CONSERVATION FOR DECLINING SONGBIRDS, REVITALIZATION OF PEEL-HARVEY ESTUARY	246,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATUREBRIDGE 28 GEARY ST STE 650 SAN FRANCISCO, CA 94108-5700		PC	ALCOA SCHOLARS, NATUREBRIDGE, YOSEMITE AND OLYMPIC NATIONAL PARKS	360,000.
NATURVERNFORBUNDET I OSTFOLD TOLDBODGATEN 35 FREDRIKSTAD, NORWAY 1632		PC	THE COASTAL CLEANUP LOTTERY (KYSTLOTTERIET)	60,000.
NOOKSACK SALMON ENHANCEMENT ASSOCIATION 3057 E BAKERVIEW RD BELLINGHAM, WA 98226-7709		PC	THE FUTURE LEADERS OF WHATCOM WATERS (FLOW) PROGRAM	30,000.
OWENSBORO COMMUNITY AND TECHNICAL COLLEGE FOUNDATION, INC. 4800 NEW HARTFORD RD OWENSBORO, KY 42303-1800		PC	OCTC MANUFACTURING SCHOLARS PROGRAM	20,000.
PARC NATURE DE POINTE-AUX-OUTARDES 4 RUE LABRIE POINTE-AUX-OUTARDES, QUEBEC, CANADA G0H1M0		PC	SALT MARSH PROTECTION	38,000.
PEEL-HARVEY CATCHMENT COUNCIL INC. 58 SUTTON STREET MANDURAH, WA, AUSTRALIA 6210		PC	CONNECTING CORRIDORS AND COMMUNITIES - RESTORING THE SERPENTINE RIVER	226,000.
POTSDAM COLLEGE FOUNDATION, INC. STATE UNIVERSITY COLLEGE POTSDAM, NY 13676-0000		PC	PROVIDING COMMUNITY AND ENVIRONMENTAL HEALTH	38,000.
PURE EARTH 475 RIVERSIDE DR RM 860 NEW YORK, NY 10115-0008		PC	BUILDING LOCAL CAPACITY TO REDUCE AND CLEANUP POLLUTION IN BRAZIL	50,000.
RESERVE MONDIALE DE LA BIOSPHERE MANICOUAGAN-UAPISHKA 31 AVENUE MARQUETTE BAIE-COMEAU, QUEBEC, CANADA G4Z 1K4		NC	IMPROVEMENT OF THE GREENHOUSE GAS EMISSIONS OF THE UAPISHKA STATION	48,006.
S.I.T. (SERVICE INTEGRATION AU TRAVAIL) MAURICIE 1090 DE LAVERENDRYE TROIS-RIVIERES, QUEBEC, CANADA G9A 2S8		PC	DEVELOPING A PROTOTYPE PROCESS FOR RECYCLING END-OF-LIFE ELECTRONIC MATERIALS	35,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SADC DE NICOLET-BECANCOUR 19205 BOULEVARD DES ACADIENS BECANCOUR, QUEBEC, CANADA G9H1M5		NC	PRO ACTION - SUPPORT PROGRAM FOR SUSTAINABLE DEVELOPMENT	20,000.
SADC PORTNEUF 120 RUE ARMAND BOMBARDIER BUREAU 201 DONNACONA, QUEBEC, CANADA G3M1V3		PC	OBJECTIF PERFORMANCE (CIRCULAR ECONOMY)	20,000.
SCHOOL-TO-SCHOOL GUINEA KA 019 CONAKRY, GUINEA 2229		PC	ALCOA FOUNDATION PROGRAM PREPARING FOR THE JOB MARKET	150,000.
SOIL CONSERVATION SERVICE OF ICELAND GUNNARSHOLT HELLA, ICELAND IS-851		PC	WETLAND RESTORATION IN EAST ICELAND AND LOCAL COMMUNITY PARTICIPATION	50,000.
STICHTING CULTUREEL CENTRUM SURINAME HENK ARRONSTRAAT 112-114 PARAMARIBO, SURINAME		PC	ENHANCING READING SKILLS OF YOUNGSTERS IN MOENGO	35,000.
STICHTING PEPPERPOT NATUUR BOS H. SOEMITAWEG 36 MEERZORG, SURINAME		PC	CONSTRUCTION NATURE TRAIL AND WATCH TOWER	35,000.
STIFTELSEN FEE NORWAY FRYSJAVEIEN 40 OSLO, NORWAY 884		PC	MIDDLE AND HIGH SCHOOL CLIMATE CHAMPIONSHIP	40,000.
THE ASIA SOCIETY 725 PARK AVE WASHINGTON, DC 10021-5088		PC	MULTILATERAL APPROACHES TO ADDRESSING STATE-RUN ECONOMIES	30,000.
THE RECYCLING PARTNERSHIP 125 ROWELL CT FALLS CHURCH, VA 22046-3126		PC	TRANSFORMING RECYCLING FOR GOOD WITH THE RECYCLING PARTNERSHIP	100,000.
THE SCHOOL VOLUNTEER PROGRAM LTD - TRADING AS EDCONNECT AUSTRALIA 28 EIGHTH AVENUE MAYLANDS, WA, AUSTRALIA 6051		PC	CONNECTING GENERATIONS FOR SCHOOL SUCCESS	60,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TRIPORT PORTNEUF 485 RUE NOTRE DAME DONNACONA, QUEBEC, CANADA G3M 1H9		PC	TRIPORT PORTNEUF REVIVAL	20,000.
TRI-STATE PUBLIC TELEPLEX, INC. 2 MAIN ST EVANSVILLE, IN 47708-1450		PC	RECYCLING IS FUN!	20,000.
TRUSTEES OF BOSTON COLLEGE 140 COMMONWEALTH AVE CHESTNUT HILL, MA 2467		PC	MEMBERSHIP IN THE BOSTON COLLEGE CENTER FOR CORPORATE CITIZENSHIP	10,000.
UNITED WAY OF SOUTHWEST LOUISIANA 815 RYAN STREET LAKE CHARLES, LA 70601		PC	SUMMER OF SERVICE	20,000.
UNIVERSITY OF A CORUNA REITORIA RUA DA MAESTRANZA 9 A CORUNA, SPAIN 15001		PC	MONELOS RIVER REVIVAL, EDUCATION FOR SUSTAINABILITY	105,000.
UNIVERSITY OF HOUSTON-VICTORIA 3007 N. BEN WILSON VICTORIA, TX 77901-5731		PC	HIGH SCHOOL STEM PROJECTS AT THE UNIVERSITY OF HOUSTON-VICTORIA (UHV)	30,000.
UNIVERSITY OF WESTERN AUSTRALIA 35 STIRLING HIGHWAY PERTH, WA, AUSTRALIA 6009		PC	ASPIRE UWA	224,000.
VETERANS LEADERSHIP PROGRAM OF WESTERN PENNSYLVANIA, INC. 2934 SMALLMAN ST PITTSBURGH, PA 15201-1523		PC	ASSISTS LOCAL VETERANS WITH EMPLOYMENT COUNSELING AND PLACEMENT SERVICES	35,000.
VICTORIA COLLEGE FOUNDATION INC 2200 E RED RIVER ST VICTORIA, TX 77901-4442		PC	VC PROCESS TECHNOLOGY PROGRAM CONTROL ROOM ENHANCEMENT INITIATIVE	45,000.
WARRICK COUNTY SCHOOL CORPORATION 300 EAST GUM STREET BOONVILLE, IN 47601		PC	ROBOTICS'	22,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WARRICK COUNTY SOIL & WATER CONSERVATION DISTRICT 1124 S. 8TH STREET BOONVILLE, IN 47601		PC	HEALTH AND WATER QUALITY RESTORATION INITIATIVE	50,000.
WHATCOM COMMUNITY COLLEGE FOUNDATION 237 W KELLOGG ROAD BELLINGHAM, WA 98226		PC	YEAR 2: ALCOA FOUNDATION PROGRAM FOR ENGINEERING STUDENT DEVELOPMENT/CAREER SUCCESS	30,000.
WHATCOM LITERACY COUNCIL P.O. BOX 1292 BELLINGHAM, WA 98227		PC	ADULT LITERACY FOR A HEALTHY COMMUNITY	20,000.
WORLD RESOURCES INSTITUTE 10 G STREET NE WASHINGTON, DC 20002-4213		PC	SETTING SCIENCE-BASED EMISSIONS REDUCTION TARGETS IN THE ALUMINUM SECTOR	150,000.
WRI BRASIL RUA CLAUDIO SOARES 72 CONJUNTO 1510 SAO PAULO, BRAZIL 05422-030		PC	GENDER-RESPONSIVE CLIMATE-SMART AGRICULTURE IN RURAL AMAZONIAN COMMUNITIES	151,877.
YOUNG MENS CHRISTIAN ASSOCIATION OF GOLDEN CRESCENT, INC. 1806 N NIMITZ ST PORT LAVACA, TX 77901-5534		PC	WATER SAFETY FOR CHILDREN AND HEALTHY ADULTS AQUATICS	25,000.
YOUTH FIRST, INC. PO BOX 3897 EVANSVILLE, IN 47737-3897		PC	SCHOOL SOCIAL WORK PROGRAM FOR WARRICK COUNTY, INDIANA SCHOOLS	20,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
CENTRO DE EMPREENDEDORISMO DA AMAZONIA TRAVESSA DOM ROMUALDO DE SEIXAS 1698 SALA 2105 BELEM, PARA, BRAZIL 66055-058		PC	JURUTI UP	61,867.
CONSEIL DE DEVELOPPEMENT DU LOISIR SCIENTIFIQUE 4545 PIERRE-DE COUBERTIN MONTREAL, QUEBEC, CANADA H1V 0B2		PC	DARE SCIENCE WITH HELP OF QUEBEC YOUTH AMBASSADORS!	30,000.
EARTHWATCH INSTITUTE 114 WESTERN AVE BOSTON, MA 02134-1015		PC	ALCOA EARTHWATCH SUSTAINABILITY FELLOWS PROGRAM	196,797.
INDIANA UNIVERSITY OF PENNSYLVANIA - RESEARCH INSTITUTE 1179 GRANT ST STE 1 INDIANA, PA 15701-2898		PC	VOCATIONAL AND ENVIRONMENTAL PROGRAMS FOR SURINAMESE STUDENTS WITH SPECIAL NEEDS	40,000.
INSTITUTO DO HOMEM E MEIO AMBIENTE DA AMAZONIA TRAVESSA DOM ROMUALDO DE SEIXAS 1698 11TH FLOOR UMARIZAL		PC	PROTECTED AREAS IN JURUTI	224,954.
LES FILLES ET LES SCIENCES, UN DUO ELECTRISANT! 691 DE ROBERVAL BOUCHERVILLE, QUEBEC, CANADA J4B 7S6		NC	GIRLS AND SCIENCES: AN ELECTRIFYING DUO!	25,000.
MILJOSTIFTELSEN BELLONA (THE BELLONA FOUNDATION) VULKAN 11 OSLO, NORWAY 178		PC	ENGAGING AND MOTIVATING YOUTH TO TACKLE CLIMATE AND ENVIRONMENTAL CHALLENGES	270,000.
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190		PC	THE ALCOA WARMING WASTE WATER WATTS WILDLIFE (ALCOA W5) PROJECT	57,831.
NATUREBRIDGE 28 GEARY ST STE 650 SAN FRANCISCO, CA 94108-5700		PC	ALCOA SCHOLARS, NATUREBRIDGE, YOSEMITE AND OLYMPIC NATIONAL PARKS	175,000.
NATURVERNFORBUNDET I OSTFOLD TOLDBODGATEN 35 FREDRIKSTAD, NORWAY 1632		PC	THE COASTAL CLEANUP LOTTERY (KYSTLOTTERIET)	120,000.
Total from continuation sheets				2,009,443.

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OWENSBORO COMMUNITY AND TECHNICAL COLLEGE FOUNDATION, INC. 4800 NEW HARTFORD RD OWENSBORO, KY 42303-1800		PC	OCTC MANUFACTURING SCHOLARS PROGRAM	20,000.
RESERVE MONDIALE DE LA BIOSPHERE MANICOUAGAN-UAPISHKA 31 AVENUE MARQUETTE BAIE-COMEAU, QUEBEC, CANADA G4Z 1K4		NC	IMPROVEMENT OF THE GREENHOUSE GAS EMISSIONS OF THE UAPISHKA STATION	11,994.
STIFTELSEN FEE NORWAY FRYSJAVEIEN 40 OSLO, NORWAY 884		PC	MIDDLE AND HIGH SCHOOL CLIMATE CHAMPIONSHIP	40,000.
THE RECYCLING PARTNERSHIP 125 ROWELL CT FALLS CHURCH, VA 22046-3126		PC	TRANSFORMING RECYCLING FOR GOOD WITH THE RECYCLING PARTNERSHIP	200,000.
THE SCHOOL VOLUNTEER PROGRAM LTD - TRADING AS EDCONNECT AUSTRALIA 28 EIGHTH AVENUE MAYLANDS, WA, AUSTRALIA 6051		PC	CONNECTING GENERATIONS FOR SCHOOL SUCCESS	120,000.
TRI-STATE PUBLIC TELEPLEX, INC. 2 MAIN ST EVANSVILLE, IN 47708-1450		PC	RECYCLING IS FUN!	20,000.
UNIVERSITY OF A CORUNA REITORIA RUA DA MAESTRANZA 9 A CORUNA, SPAIN 15001		PC	MONELOS RIVER REVIVAL, EDUCATION FOR SUSTAINABILITY	20,000.
UNIVERSITY OF WESTERN AUSTRALIA 35 STIRLING HIGHWAY PERTH, WA, AUSTRALIA 6009		PC	ASPIRE UWA	376,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST ON TEMP CASH INVESTMENTS	464,695.	464,695.	0.
TOTAL TO PART I, LINE 3	464,695.	464,695.	0.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	362,335.	0.	362,335.	577,724.	0.
TO PART I, LINE 4	362,335.	0.	362,335.	577,724.	0.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
P'SHIP INCOME - OTHER INCOME	0.	16,219.	0.
PARTNERSHIP INCOME	56,261.	0.	0.
OIL AND GAS ROYALTY	1,813.	1,813.	0.
TAX REFUND	143,943.	0.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	202,017.	18,032.	0.

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	2,972.	0.	0.	2,972.
TO FM 990-PF, PG 1, LN 16A	2,972.	0.	0.	2,972.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	95,929.	45,714.	0.	45,715.
TO FORM 990-PF, PG 1, LN 16B	95,929.	45,714.	0.	45,715.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ALCOA MANAGEMENT FEES	526,686.	0.	0.	526,686.
INVESTMENT ADVISORY FEES	294,400.	294,400.	0.	0.
ARCONIC CONTRACT SERVICES	18,824.	0.	0.	18,824.
TO FORM 990-PF, PG 1, LN 16C	839,910.	294,400.	0.	545,510.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX DISBURSEMENTS	108,160.	0.	0.	0.
TAXES WITHHELD FOR LP DISTRIBUTION	27,159.	27,159.	0.	0.
K-1 FLOW THROUGH FOREIGN TAX PAID	0.	1,767.	0.	0.
TO FORM 990-PF, PG 1, LN 18	135,319.	28,926.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANT MANAGEMENT	51,353.	0.	0.	51,353.
MISCELLANEOUS EXPENSES	29,680.	0.	0.	29,680.
INVESTMENT EXPENSES	14,579.	14,579.	0.	0.
RISK ANALYSIS	2,429.	0.	0.	2,429.
K-1 ROYALTY DEPLETION	0.	6.	0.	0.
K-1 OTHER EXPENSES	0.	145,705.	0.	0.
TO FORM 990-PF, PG 1, LN 23	98,041.	160,290.	0.	83,462.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FIXED INCOME SECURITIES	X		33,809,162.	33,809,162.
TOTAL U.S. GOVERNMENT OBLIGATIONS			33,809,162.	33,809,162.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			33,809,162.	33,809,162.

FORM 990-PF

CORPORATE STOCK

STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BRANDES EMERGING MARKETS CF	7,660,054.	7,660,054.
FIRST EAGLE GLOBAL MF	15,642,898.	15,642,898.
GMO QUALITY MF	8,988,838.	8,988,838.
MCM R3000 VALUE	1,352,514.	1,352,514.
TOTAL TO FORM 990-PF, PART II, LINE 10B	33,644,304.	33,644,304.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ADAMS ST 2007 DIRECT GLOBAL FUND	FMV	110,925.	110,925.
ADAMS ST 2007 NON-US FUND	FMV	295,680.	295,680.
ADAMS ST 2007 US FUND	FMV	436,440.	436,440.
ADAMS STREET 2004 NON-US FUND	FMV	55,174.	55,174.
ADAMS STREET 2004 US FUND	FMV	195,974.	195,974.
BLACKSTONE CAPITAL PARTNERS V	FMV	168,820.	168,820.
BLACKSTONE CAPITAL PARTNERS VI	FMV	1,402,329.	1,402,329.
BRAHAM PARTNERS II L/S	FMV	4,293,227.	4,293,227.
BREVAN HOWARD AH FUND LIMITED	FMV	7,973,044.	7,973,044.
BROOKSIDE CAYMAN CLASS 2-A	FMV	5,115,647.	5,115,647.
CARLYLE PARTNERS V	FMV	258,165.	258,165.
DE SHAW OCULUS INTERNATIONAL FUND	FMV	10,913,883.	10,913,883.
FUTURES CONTRACTS	FMV	-22,006.	-22,006.
HARBOURVEST HIPEP III	FMV	5,994.	5,994.
HARBOURVEST INT'L PEP V	FMV	392,031.	392,031.
HARBOURVEST INT'L PEP VI	FMV	1,024,951.	1,024,951.
JP MORGAN US POOLED FINANCE PE	FMV	149,792.	149,792.
KKR 2006 FUND LP I	FMV	447,970.	447,970.
OAKTREE EURO PRINCIPAL OPPORTUNITY II	FMV	54,454.	54,454.
POMONA CAPITAL VII LP	FMV	7,200.	7,200.
SEQUOIA CAPITAL GROWTH FUND IV	FMV	1,087,522.	1,087,522.
SEQUOIA CAPITAL GROWTH III	FMV	1,032,424.	1,032,424.
SEQUOIA CAPITAL INDIA GROWTH FUND I	FMV	227,572.	227,572.
SEQUOIA CAPITAL INDIA GROWTH FUND II	FMV	1,185,203.	1,185,203.
SEQUOIA CAPITAL ISRAEL III LP	FMV	739,013.	739,013.
SEQUOIA CAPITAL ISRAEL IV	FMV	669,469.	669,469.
VIKING GLOBAL EQUITIES III LS	FMV	9,170,638.	9,170,638.
WARBURG PINCUS PRIVATE EQUITY IX	FMV	94,844.	94,844.
WRITTEN OPTION EQUITY	FMV	-5,865.	-5,865.
TOTAL TO FORM 990-PF, PART II, LINE 13		47,480,514.	47,480,514.

FORM 990-PF

TRANSFERS TO CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 12

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

ALCOA FOUNDATION TRUST

25-1287580

ADDRESS

201 ISABELLA STREET
PITTSBURGH, PA 15212

DESCRIPTION OF TRANSFER

TRANSFER OF CASH

AMOUNT
OF TRANSFER

35,280.

TOTAL AMOUNT OF TRANSFERS TO CONTROLLED ENTITIES

35,280.

FORM 990-PF

TRANSFERS FROM CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 13

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

ALCOA FOUNDATION TRUST

25-1287580

ADDRESS

201 ISABELLA STREET
PITTSBURGH, PA 15212

DESCRIPTION OF TRANSFER

TRANSFER OF CASH

AMOUNT
OF TRANSFER

5,881,580.

TOTAL AMOUNT OF TRANSFERS FROM CONTROLLED ENTITIES

5,881,580.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

ALCOA FOUNDATION TRUST

25-1287580

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

201 ISABELLA STREET
PITTSBURGH, PA 15212

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROSA GARCIA PINEIRO 201 ISABELLA STREET PITTSBURGH, PA 15212	PRESIDENT 22.00	0.	0.	0.
OTAVIO CARVALHEIRA 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	0.	0.	0.
NICOLE COUTU 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	0.	0.	0.
LEIGH ANN FISHER 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	0.	0.	0.
ED HEMMERSBACH 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	0.	0.	0.
MICHELLE O'NEILL 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	0.	0.	0.
BILL OPLINGER 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	0.	0.	0.
MICHAEL PARKER 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	0.	0.	0.
CLAIRE MILLER 201 ISABELLA STREET PITTSBURGH, PA 15212	SECRETARY 2.00	0.	0.	0.
ALICE PAK TRUSCOTT 201 ISABELLA STREET PITTSBURGH, PA 15212	ASST SECRETARY, PROG MGR 35.00	0.	0.	0.

ALCOA FOUNDATION

36-4831759

MEGAN SMITH
201 ISABELLA STREET
PITTSBURGH, PA 15212

TREASURER
2.00

0.

0.

0.

KAYLA BRANCH
201 ISABELLA STREET
PITTSBURGH, PA 15212

GRANTS ADMINISTRATOR
9.00

0.

0.

0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.

0.

0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 16

GRANTEE'S NAME

AUSTURBRU SES

GRANTEE'S ADDRESS

TJARNARBRAUT 39E
EGILSSTADIR, ICELAND, 700

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
40,000.	08/10/18	14,720.

PURPOSE OF GRANT

AUSTURLAND'S ENERGY TRANSITION

DATES OF REPORTS BY GRANTEE

05/25/2019

RESULTS OF VERIFICATION

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE GRANT RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME

BIODIVERSITY CENTRE OF QUEBEC IN BECANCOUR

GRANTEE'S ADDRESS

1800 DES JASMIN AVENUE
BECANCOUR, QUEBEC, CANADA, G9H 2S2

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
20,000.	11/20/18	20,000.

PURPOSE OF GRANT

ALL ASPECTS OF BIODIVERSITY

DATES OF REPORTS BY GRANTEE

10/22/2019

RESULTS OF VERIFICATION

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE GRANT RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME

CHAMBRE DE COMMERCE DE BECANCOUR

GRANTEE'S ADDRESS

17905 BOULEVARD DES ACADIENS
BECANCOUR, QUEBEC, CANADA, G9H 1M4

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
20,000.	10/18/18	15,000.

PURPOSE OF GRANT

CIRCULAR ECONOMY; NATURAL ECONOMIC ZONE

DATES OF REPORTS BY GRANTEE

03/26/2019

RESULTS OF VERIFICATION

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE GRANT RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME

FOUNDATION "PLANTERS VAN RIKANAUMOFFO" (STG. PRO)

GRANTEE'S ADDRESS

RIKANAMUOFFO AAN DE
COTTICARIVIER, MAROWIJNE, SURINAME, NA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
80,000.	10/15/18	

PURPOSE OF GRANT

SOCIAL CAPITAL AND HUMAN CAPITAL STRENGTHENING OF THE RIKANAUMOFFO
COMMUNITY

DATES OF REPORTS BY GRANTEE

REPORT NOT YET RECEIVED

RESULTS OF VERIFICATION

REPORT PENDING

GRANTEE'S NAME

JEUNESSOR PORTNEUF

GRANTEE'S ADDRESS

350 DE L'EGLISE PO BOX 3009
SAINT-BASILE, QUEBEC, CANADA, G0A3G0

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
20,000.	09/24/18	20,000.

PURPOSE OF GRANT

EARLY INTERVENTION PREVENT HIGH SCHOOL DROPOUT

DATES OF REPORTS BY GRANTEE

08/30/2019

RESULTS OF VERIFICATION

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE GRANT RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME

LES FILLES ET LES SCIENCES, UN DUO ELECTRISANT!

GRANTEE'S ADDRESS

691 DE ROBERVAL
BOUCHERVILLE, QUEBEC, CANADA, J4B 7S6

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
25,000.	09/14/18	25,000.

PURPOSE OF GRANT

GIRLS AND SCIENCES: AN ELECTRIFYING DUO!

DATES OF REPORTS BY GRANTEE

05/08/2019

RESULTS OF VERIFICATION

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE GRANT RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME

MLLE

GRANTEE'S ADDRESS

350 DE L'EGLISE PO BOX 3009
SAINT-BASILE, QUEBEC, CANADA, G0A3G0

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
20,000.	11/27/18	

PURPOSE OF GRANT

DEVELOP THE ENTREPRENEURIAL CULTURE OF YOUNG PORTNEUF PEOPLE

DATES OF REPORTS BY GRANTEE

REPORT NOT YET RECEIVED

RESULTS OF VERIFICATION

REPORT PENDING

GRANTEE'S NAME

RESERVE MONDIALE DE LA BIOSPHERE MANICOUAGAN-UAPISHKA

GRANTEE'S ADDRESS

31 AVENUE MARQUETTE
BAIE-COMEAU, QUEBEC, CANADA, G4Z 1K4

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
48,006.	11/09/18	41,272.

PURPOSE OF GRANT

IMPROVEMENT OF THE GREENHOUSE GAS EMISSIONS OF THE UAPISHKA STATION

DATES OF REPORTS BY GRANTEE

03/13/2019

RESULTS OF VERIFICATION

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE GRANT RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME

SADC DE NICOLET-BECANCOUR

GRANTEE'S ADDRESS

19205 BOULEVARD DES ACADIENS
BCANCOUR, QUEBEC, CANADA, G9H1M5

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
20,000.	08/10/18	20,000.

PURPOSE OF GRANT

PRO ACTION - SUPPORT PROGRAM FOR SUSTAINABLE DEVELOPMENT

DATES OF REPORTS BY GRANTEE

07/31/2019

RESULTS OF VERIFICATION

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE GRANT RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GENERAL EXPLANATION

STATEMENT 17

DURING THE 2018 FISCAL YEAR, THE ALCOA FOUNDATION STRENGTHENED ITS SUPPORT OF NGO-LED PROGRAMS, SHOWN BEST THROUGH PARTNERSHIP FOR TREES PROGRAM WITH AMERICAN FORESTS, A PROGRAM AIMED TO ENHANCE BIODIVERSITY AND COMBAT CLIMATE CHANGE IN KEY AREAS ACROSS THE GLOBE. ALCOA FOUNDATION CONTRIBUTED OVER \$250,000 IN 2018 ALONE, HELPING TO RESTORE OVER 150 ACRES BY ENGAGING 2,900 VOLUNTEERS IN TREE PLANTINGS IN TEN LOCATIONS, INCLUDING BRAZIL'S ATLANTIC FOREST, COLD-WATER STREAMS IN THE PACIFIC NORTHWEST AND URBAN SCHOOLYARDS IN QUEBEC. FOR MORE INFORMATION ABOUT THE PARTNERSHIP FOR TREES PROGRAM, PLEASE VISIT [HTTPS://WWW.AMERICANFORESTS.ORG/AF-NEWS/AMERICAN-FORESTS-ANDALCOA-FOUNDATION-ANNOUNCE-2019-GRANT-AWARDS/](https://www.americanforests.org/af-news/american-forests-and-alcoa-foundation-announce-2019-grant-awards/).