2949102603903undation
Private Foundation

9^{ATTACHMENT 2}

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public

► Go to www irs gov/Form990PF for instructions and the latest information.

	<u>F</u>	or ca	lendar year 2017 or	tax year begin	ning			, 2017	, and endir	ng				, 20	
	Name of foundation							-			Employe	r iden	tific	ation number	_
		ALC	OA FOUNDATION								36-48	317	59)	
	Ī	Numb	er and street (or P O box r	number if mail is not	delivered to	street	address)		Room/suite	В	Telepho	ne nun	nbe	r (see instructions)	_
	_		ISABELLA STRE			1			<u> </u>		(412	2) 5	55.	3-3279	_
	,	City of	town, state or province, or	ountry, and ZIP or to	reign posta	ostal code				c	If exempt	on appl	licat	ion is	٦ ,
_		PIT	TSBURGH, PA 15	212							pending, check here				
2	G	Che	ck all that apply	Initial return	1	L	Initial return	of a former p	ublic chari	ty D	1 Foreign	organı	ızatı	ons, check here 🕨 🗔]
KI			Ļ	Final return			Amended ref							ons meeting the ere and attach	٦
Ψ		01-		Address ch		<u> </u>	Name chang		-1/						J
'	ī	$\overline{}$	eck type of organization						OX	ノ E				status was terminated	٦
			Section 4947(a)(1) none market value of a				Other taxable pr		rual	⊢_				(1)(A), check here . P	J
	•		of year (from Part II	I		_		DIFIED C						n a 60-month termination 1)(8), check here	٦
			▶ \$ 128,46°				(d) must be on ca	ish basis)		-				.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	_
	6	art	Analysis of Reven			(a)	Revenue and							(d) Disbursements	
			total of amounts in co may not necessarily e column (a) (see instru	equal the amounts			xpenses per books	(b) Net inve incom		(c) <i>i</i>	Adjusted income	net		for charitable purposes (cash basis only)	
	_	1	Contributions, gifts, grants, etc.		edule) .		200,508.			•			7		
		2	Check I If the fou	undation is not re											_
		3	Interest on savings and te				287,129.		7,129.						_
2019		4	Dividends and interest	t from securitie:			259,089.	52	7,888.						_
		5 a	Gross rents												
ro	•	b	•	•		1	.0,651,740.						-		_
	une	6a b	Net gain or (loss) from sa Gross sales pnce for all	ale of assets not on li 163,583			.0,031,740.								_
SCANNED MAR 1	Revenue	7	assets on line 6a Capital gain net incom					9,57	5,191.			1	Ť	RECEIVED	
2	ž	8	Net short-term capital	•	•							Г	╡		<u>–</u> د
Ш)]	9	Income modifications	-								三		DEC 1 9 2018	
Z	•	10 a	Gross sales less returns and allowances									8	_	DEC 1 9 2010	I (C
4	:	b	Less Cost of goods sold									<u> </u>	=		_]≅
ن	Š	С	Gross profit or (loss) (a				26,737.	11	6,173.			<u> </u>	4	OGDEN, UT	
U.	,	11	Other income (attach s	schedule) ATCH	1	1	1,425,203.		6,381.				\dashv		
	_	-	Total. Add lines 1 thro				524.	10,00	524.	····			\dashv		-
	S	13 14	Compensation of officers, Other employee salarie		· · · · —							·	┪		_
	enses	15	Pension plans, employ	_									T	•	_
			Legal fees (attach sche				6,296.					•		6,29	6.
	Exp	l h	Accounting fees (attac				24,749.		2,374.					12,37	
en .	ξį	С	Other professional fee	s (attach schedule	.[.4]		673,408.	18	5,637.				_	487,77	<u>1</u> .
6	tra	17	Interest				121 003	4	1 000				4		_
1/	nis	18	Taxes (attach schedule		·		131,261.		1,899.						15.
81181 8 WESC	and Administrative	19	Depreciation (attach s	, ,			· — —						\dashv		_
	¥	20 21	Occupancy Travel, conferences, as				940.						\dashv	94	<u> </u>
9	ğ	22	Printing and publication		ľ								7		_
4	ğ	23	Other expenses (attack				115,250.	19	6,481.				┪	112,80	9.
4	Operating	24	Total operating and a			***									_
$\check{\Delta}$	Jer.		Add lines 13 through 2	=			952,428.	40	6,915.				_	620,20	
\mathcal{Q}	ŏ		Contributions, gifts, gr	rants paid	L		5,800,784.		6 015				\Box	5,800,78	
-		26	Total expenses and disburse		and 25		6,753,212.	40	6,915.				0.	6,420,99	<u>U</u> .
		27	Subtract line 26 from I		-		4,671,991.								
		a Excess of revenue over expenses and dist			_		4,0/1,991.	10 00	9,466.				\dashv		
			Net investment income (Adjusted net income (· -			10,09	5, 400.		_	_	\dashv		
JS	А F		perwork Reduction Ac					<u> </u>					1	Form 990-PF (201	17)

JSA For Paperwork Reduction Act Notice, see instructions 7E1410 1 000 7716ME 2755

Page 2

_		· , , , , , , , , , , , , , , , , , , ,	Attached schedules and amounts in the	Beginning of year	E	End o	f year
Р	art'll	Balance Sheets	description column should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value		(c) Fair Market Value
	1	Cash - non-interest-bear	ing		1,532,1	81.	1,532,181
			cash investments				
,	3	Accounts receivable ▶	118,417.			-	
			obtful accounts ▶		118,4	17.	<u> </u>
	4	Pledges receivable ▶_				_	
		Less allowance for dou	ibtful accounts ▶				
	5	Grants receivable					
	6	Receivables due from	officers, directors, trustees, and other				
		disqualified persons (at	tach schedule) (see instructions)				
	7	Other notes and loans	receivable (attach schedule)			_	
		Less allowance for dou	ibtful accounts >				
Assets	8	Inventories for sale or us	se				
SS	9	Prepaid expenses and o	deferred charges		33,873,7	20	22 072 720
٨			te government obligations (attach schedule)			_	
		·	e stock (attach schedule)		34,800,1	90.	34,600,190
	11 11	Investments - corporate Investments - land, building	e bonds (attach schedule),				
		and equipment basis Less accumulated depreci		•	•		-
		(attach schedule)	<u> </u>				
	12 13	Investments - mortgage	loansATCH 7		58,142,6	90.	58,142,690
	14	Land, buildings, and	.				00,212,000
		equipment basis Less accumulated deprecia	ation				
	15	(attach schedule) Other assets (describe	-				
	16	Total assets (to be	completed by all filers - see the				
			page 1, item I)		128,467,20	06.	128,467,206
	17	Accounts payable and a	accrued expenses				
	18						
es	19	Deferred revenue		 .			
ij	20	Loans from officers, directo	ors, trustees, and other disqualified persons				
ab	19 20 21 22	Mortgages and other no	otes payable (attach schedule)				
٦	22	Other liabilities (describe	e >)				
		Takal Habildaa (add laa	47 Abraugh 22)	0.		Ο.	
_			es 17 through 22)			· ·	
Ś			w SFAS 117, check here Land				
S		·	• '				
alances							
ä	25	Temporarily restricted					i
п	27 28 29 30 31		ot follow SFAS 117, check here ▶ X				
ß		and complete lines 27					
ō	27	•	cipal, or current funds		128,467,20	06.	
ets	28		r land, bldg , and equipment fund				
SS	29	Retained earnings, accum	ulated income, endowment, or other funds				
it A	30	Total net assets or fund	d balances (see instructions)	0.	128,467,2	06.	
ž	31	Total liabilities and	net assets/fund balances (see				·
				0.	128,467,2	06.	
			nges in Net Assets or Fund Bala				
1			palances at beginning of year - Part				_
_			ed on prior year's return)			1_	4,671,991
2	Ente	er amount from Part I	, line 27a		-	2	124,613,002
			ded in line 2 (itemize) ► ATCH 8			3_4	129,284,993
4	Doo	reases not included ii	n line 2 (itemize) ► ATCH 9			5	817,787
			palances at end of year (line 4 minus	line 5) - Part II, column (b) line 30	6	128,467,206
	. 016	abbeta of fulld t	Januarios at one of Jean time + minus	mile of a citin, column	ω _{/1} mio σσ	<u> </u>	

Page 3

	brick warehouse, or common stock, 200	shs MLC Co)	P - Purchase D - Donation		(mo , day,)
SEE PART IV SCHE	DULE				
b					
C					_
d					
9	•				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (lo ((e) plus (f) mini	
a					
· · · · · · · · · · · · · · · · · · ·				,	-
			<u> </u>		
<u></u>					
		•			
	showing gain in column (h) and owned	by the foundation on 12/31/69		Co: (Col. (b) or	
outpiete only re- decete	T	(k) Excess of col (ı)		Gains (Col (h) ga (k), but not less tl	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	over col (j), if any		Losses (from co	
		U ,	 		
			+		
<u> </u>			+		
	<u> </u>	 	+		
	<u></u>	<u> </u>			
Capital gain net income	or (not conital loce)	ain, also enter in Part I, line 7)		
· -	f 11 (loss), enter -0- in Part I, line 7] 2	9,	575,191
	gain or (loss) as defined in sections				
If gain also optor in	Part I, line 8, column (c) See in	ctructions If (loss) ontor O in]		
ii yaiii, aiso enter in i	Part I, line 6, column (c) See in	structions in (ioss), enter -o- in			
Part I, line 8			J 3		
Part I, line 8 Int V Qualification r optional use by domest ection 4940(d)(2) applies s the foundation liable for	Under Section 4940(e) for Re ic private foundations subject to the , leave this part blank r the section 4942 tax on the distril	duced Tax on Net Investment le section 4940(a) tax on net inves	stment inco	_	0 Yes X
Part I, line 8 Part I, line 8 Qualification r optional use by domest ection 4940(d)(2) applies s the foundation liable for res," the foundation does	Under Section 4940(e) for Re ic private foundations subject to the , leave this part blank The section 4942 tax on the distribute the section 4940(e)	duced Tax on Net Investment the section 4940(a) tax on net investigation to the section the details amount of any year in the Do not complete this part	stment inco	d?	
Part I, line 8 Int V Qualification r optional use by domest ection 4940(d)(2) applies s the foundation liable for (es," the foundation does Enter the appropriate a	Under Section 4940(e) for Report of the private foundations subject to the part blank of the section 4942 tax on the distribution of the part blank of the section 4940(e) amount in each column for each year.	duced Tax on Net Investment the section 4940(a) tax on net invest the butable amount of any year in the Do not complete this part tear, see the instructions before ma	stment inco	ntries	Yes X
Part I, line 8 Int V Qualification r optional use by domest ection 4940(d)(2) applies s the foundation liable for (es," the foundation does Enter the appropriate a (a) Base period years	Under Section 4940(e) for Re ic private foundations subject to the , leave this part blank The section 4942 tax on the distribute the section 4940(e)	duced Tax on Net Investment the section 4940(a) tax on net investigation to the section the details amount of any year in the Do not complete this part	stment inco	ntries (d) Distribution ra	Yes X
Part I, line 8	Under Section 4940(e) for Reic private foundations subject to the leave this part blank the section 4942 tax on the distributed for the section 4940(e) amount in each column for each years.	butable amount of any year in the Do not complete this part ear, see the instructions before ma	stment inco	ntries (d) Distribution ra (col (b) divided by	Yes X
Part I, line 8	Under Section 4940(e) for Report of the private foundations subject to the private foundations subject to the private foundations subject to the private foundations are private for the section 4942 tax on the distribution of the private formula for each year of the private for each year of the private for	butable amount of any year in the Do not complete this part ear, see the instructions before ma (c) Net value of nonchantable-use assets 131,801,943.	stment inco	ntries (d) Distribution ra (col (b) divided by	Yes X itio col (c)) 0.05160
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Part I, line 8 tV Qualification optional use by domest ction 4940(d)(2) applies the foundation liable for es," the foundation does Enter the appropriate a (a) Base period years andar year (or tax year beginning in) 2016 2015 2014 2013	Under Section 4940(e) for Report to the private foundations subject to the private foundations subject to the private foundations subject to the private foundations are the section 4942 tax on the distribution of the private formula of the private form	butable amount of any year in the Do not complete this part ear, see the instructions before ma (c) Net value of nonchantable-use assets 131,801,943. 139,660,717. 141,730,475. 138,399,889.	stment inco	ntries (d) Distribution ra (col (b) divided by	Yes X titio col (c)) 0.05160 0.05130 0.05014 0.05110
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rm 990	P-PF'(2017) ALCOA FOUNDATION / 3	6-483	1759	۶	age 4
art V	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948	- see i	nstru	ction	s)
1a Ex	empt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1				,
Da	te of ruling or determination letter (attach copy of letter if necessary - see instructions)				I
	mestic foundations that meet the section 4940(e) requirements in Part V, check		1	.00,9	95.
he	re 🕨 🗓 and enter 1% of Part I, line 27b				
	other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of				
	rt I, line 12, col (b)			_	
	x under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)				
	id lines 1 and 2		1	.00,9	95.
	obtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)				0.
	the first in the f			.00,9	95.
	2 dated on investment meeting data and investment meeting and invest				
	edits/Payments 17 estimated tax payments and 2016 overpayment credited to 2017 6a 117, 433.				
	The destinated tax payments and 2010 overpayment diseases to 2011.				1
	empt foreign organizations - tax withheld at source				
	x paid with application for extension of time to file (Form 8868) 6c				
d Ba	ckup withholding erroneously withheld		 1	17,4	
	tal credits and payments Add lines 6a through 6d			11,4	
3 En	ster any penalty for underpayment of estimated tax. Check here if Form 2220 is attached8				
7 Ta	x due. If the total of lines 5 and 8 is more than line 7, enter amount owed				
) Ov	erpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			16,4	38.
	ter the amount of line 10 to be Credited to 2018 estimated tax ▶ 16,438. Refunded ▶ 11				
art V	/II-A Statements Regarding Activities				
a Du	iring the tax year, did the foundation attempt to influence any national, state, or local legislation or	did it		Yes	No
ра	rticipate or intervene in any political campaign?		1a		Х
b Di	d it spend more than \$100 during the year (either directly or indirectly) for political purposes? Se	e the			
	structions for the definition		1 b		Х
	the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any ma	aterials			
	blished or distributed by the foundation in connection with the activities				l
•	d the foundation file Form 1120-POL for this year?		1c	•	X
	iter the amount (if any) of tax on political expenditures (section 4955) imposed during the year	• • •			
	On the foundation > \$ 0. (2) On foundation managers > \$ 0.				1
	ter the reimbursement (if any) paid by the foundation during the year for political expenditure tax impo	cod			1
	foundation managers > \$	360			
			- <u>-</u> -		x
	is the foundation engaged in any activities that have not previously been reported to the IRS?	• • • •	 		
	'Yes," attach a detailed description of the activities		1		
	is the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles				 X
	corporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	X	<u> </u>
	d the foundation have unrelated business gross income of \$1,000 or more during the year? $\dots \dots \dots \dots$		4a		
b If'	'Yes," has it filed a tax return on Form 990-T for this year?		4b	X	
W:	as there a liquidation, termination, dissolution, or substantial contraction during the year?		5		Х
lf '	'Yes," attach the statement required by General Instruction T				
Ar.	e the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either	i			1
•	By language in the governing instrument, or				1
•	By state legislation that effectively amends the governing instrument so that no mandatory directions	s that			
со	nflict with the state law remain in the governing instrument?		6	Х	L
	d the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Pa		7	X	
	ater the states to which the foundation reports or with which it is registered. See instructions.				
PA	· · · · · · · · · · · · · · · · · · ·				l
b If	the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney C	General	_		l.
	designate) of each state as required by General Instruction G? If "No," attach explanation		8b	X	Ī
			- -		
	the foundation claiming status as a private operating foundation within the meaning of section 4942(j)	• •			
	42(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If				x
	mplete Part XIV		9		
	d any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing				Х
na	mes and addresses		10		᠘^

10 X Form **990-PF** (2017)

Page !

	assert (sett) ALCOA FOUNDATION 30-4831	. 737		aye 🗸
Pai	t VII-A Statements Regarding Activities (continued)		Yes	No
44	At any time divine the uses did the foundation dispatily as indicately give a controlled paths, within the		162	140
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified	<u> </u>		
	person had advisory privileges? If "Yes," attach statement See instructions	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► N/A			
14	The books are in care of ▶ MEGAN SMITH Telephone no ▶ 412-315-	-2872	2	
	Located at ▶201 ISABELLA STREET PITTSBURGH, PA ZIP+4 ▶ 15212			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here		>	
	and enter the amount of tax-exempt interest received or accrued during the year	N/		Na
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority		Yes	No X
	over a bank, securities, or other financial account in a foreign country?	16		
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	N/	/A	
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Ī	Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a	}		
	disqualified person?	Ì		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for			
	the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception, Check "No" if the			
	foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	1		
_	section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		Х
	Organizations relying on a current notice regarding disaster assistance, check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that	<u> </u>		
	were not corrected before the first day of the tax year beginning in 2017?	1c		. Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private	Į.		
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))	ĺ		
а	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2017?			
h	If "Yes," list the years ►			
b	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer "No" and attach statement - see instructions)	2b	N	'A
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
	▶,N/A,,			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			
	at any time during the year?			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or			
	disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of			
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			
-	foundation had excess business holdings in 2017)	3b	N	/A X
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		
D	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		 _
	Similario perpose triat rise not been territoria recharge before the first day of the tax year beginning in 2017?		A DE	

Form	990-PF (2017) ALCOA E OUNDAL.				1031739		age o	
Pa	rt VII-B Statements Regarding Activities	for Which Form	4720 May Be Red	uired (continued)				
5a	During the year, did the foundation pay or incur any am	ount to				Yes	No	
	(1) Carry on propaganda, or otherwise attempt to influ	ence legislation (sectio	n 4945(e))?	. Yes X No	,			
	(2) Influence the outcome of any specific public ele	ection (see section 4	1955), or to carry or	າ,				
•	directly or indirectly, any voter registration drive?			Yes X No	,		ļ	
	(3) Provide a grant to an individual for travel, study, or o				,			
	(4) Provide a grant to an organization other than a							
	section 4945(d)(4)(A)? See instructions				,			
	(5) Provide for any purpose other than religious, cl	haritable, scientific, I	iterary, or educations					
	purposes, or for the prevention of cruelty to children				,			
b	If any answer is "Yes" to 5a(1)-(5), did any of the				,			
	Regulations section 53 4945 or in a current notice regain				5b		_x	
	Organizations relying on a current notice regarding disa							
c	If the answer is "Yes" to question 5a(4), does the				_		ļ '	
_	because it maintained expenditure responsibility for the				,			
	If "Yes," attach the statement required by Regulations s						ŀ	
6a	Did the foundation, during the year, receive any ful		ectiv, to pay premiur	ms	1			
	on a personal benefit contract?	•			.	١		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?							Х	
	If "Yes" to 6b, file Form 8870	-					,	
7a	At any time during the tax year, was the foundation a p	arty to a prohibited tax	shelter transaction?	Yes X No	, <u> </u>	_	l	
b	b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?							
Pa	rt VIII Information About Officers, Directo	rs, Trustees, Fou	ndation Manager	s, Highly Paid Emp	loyees,			
1	and Contractors List all officers, directors, trustees, foundation is	managers and their	compensation Sec	instructions	<u> </u>			
		(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expens	se accor	unt.	
	(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation	other all			
ATC	CH 10		0.	0.			0.	
			··					
2	Compensation of five highest-paid employees "NONE."	(other than thos	e included on lin	e 1 - see instruction	ons). If n	one,	enter	
	NONE.	(b) Title, and average		(d) Contributions to				
(a) Name and address of each employee paid more than \$50,000	hours per week	(c) Compensation	employee benefit plans and deferred	(e) Expens	se accou	unt, es	
		devoted to position		compensation				
	WOND							
	NONE	ļ		<u> </u>				
							_	
							_	
Tota	I number of other employees paid over \$50,000.	<u></u> ,	<u> </u>					

Form 990-PF (2017)

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Form 990-PF (2017)		Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation and Contractors (continued)		
3 Five highest-paid independent contractors for professional services.	See instructions. If none, enter "NONE	."
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
AMOUL 11		160 714
ATCH 11		169,714
		
		
Total number of others receiving over \$50,000 for professional services		
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1 N/A		
2		
3		
4	· · · · · · · · · · · · · · · · · · ·	
Part IX-B Summary of Program-Related Investments (see instruction	ons)	
Describe the two largest program-related investments made by the foundation during the tax year of	n lines 1 and 2	Amount
1 NONE		
2		
All other program-related investments. See instructions		
3 NONE		
Total, Add lines 1 through 3	<u> </u>	

Form 990-PF (2017) 1 Page 8 Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., 122,529,180. 1a 77,782. 1b 1 c 122,606,962. 1d Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 2 2 122,606,962. 3 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) 1,839,104. Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. 5 120,767,858. 6,038,393. 6 Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ and do not complete this part) 6,038,393. Minimum investment return from Part X, line 6 100,995. 2a Tax on investment income for 2017 from Part VI, line 5 b Income tax for 2017 (This does not include the tax from Part VI). . 2b 100,995. С 2c 5,937,398. Distributable amount before adjustments Subtract line 2c from line 1 3 3 4 5,937,398. 5 5 6 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, 5,937,398. 7 Part XII Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes 6,420,990. 1a 1b Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required) 3a Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 6,420,990. Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income 100,995. 6,319,995. 6 Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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	rt XIII Undistributed Income (see instr	uctions)			Page 9
Le	Ondistributed income (see insti	(a)	(b)	(c)	(d)
ì	Distributable amount for 2017 from Part XI,	Corpus	Years prior to 2016	(c) 2016	2017 5,937,398.
2	Undistributed income, if any, as of the end of 2017		·		1
	Enter amount for 2016 only				
Н	Total for prior years 20 15 ,20 14 ,20 13				
	Excess distributions carryover, if any, to 2017				
	From 2012 233,072.				!
	From 2013 222,905.)
	From 2014 161,754.				
d	From 2015 302,576.				(
е	From 2016 223, 964.				
f	Total of lines 3a through e	1,144,271.			
4	Qualifying distributions for 2017 from Part XII, line 4 > \$ 6,420,990.				
а	Applied to 2016, but not more than line 2a		· · · · · · · · · · · · · · · · · · ·		
b	Applied to undistributed income of prior years (Election required - see instructions)				•
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2017 distributable amount				5,937,398.
е	Remaining amount distributed out of corpus	483,592.			1
5	Excess distributions carryover applied to 2017				
6	(If an amount appears in column (d), the same amount must be shown in column (a)) Enter the net total of each column as indicated below:				1
а	Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,627,863.			;
	Prior years' undistributed income Subtract				
c	line 4b from line 2b				
d	Subtract line 6c from line 6b Taxable				
е	amount - see instructions				
	Undistributed income for 2017 Subtract lines				
i	4d and 5 from line 1 This amount must be distributed in 2018				
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				1
0	Excess distributions carryover from 2012 not				
0	applied on line 5 or line 7 (see instructions)	233,072.			
9	Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	1,394,791.			
10	Analysis of line 9				1
	Excess from 2013 222, 905.]	1
	Excess from 2014 161,754.			i	ļ
С	Excess from 2015 302,576.				
d	Excess from 2016 223, 964.				'
e	Excess from 2017 483,592 .				
					Form 990-PF (2017)

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

N/A JSA 7E1490 1 000 7716ME 2755

factors

N/A

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Part XV Supplementary Information (continued)				
3 Grants and Contributions Paid Durin	ng the Year or Appr	oved for F	uture Payment	· · · · · · · · · · · · · · · · · · ·
Recipient	show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	contribution	
3 Grants and Contributions Paid Durin Recipient Name and address (home or business) a Paid during the year SEE ATTACHMENT 13	show any feationship to any foundation manager or substantial contributor	status of recipient	contribution	5,800,784.
Total	l <u> </u>		▶ 3a	5,800,784.
b Approved for future payment	· · · · · · · · · · · · · · · · · · ·			3,000,101
Total				

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Part XVI	-A Analysis of Income-Prod	ucing Act	ivities	<u> </u>		
Enter gross	amounts unless otherwise indicated	Unrela	ated business income	Excluded by	section 512, 513, or 514	(e)
1 Program	service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
_						(out mondation)
е						•
f						
g Fees	and contracts from government agencies					
2 Member	ship dues and assessments					
3 Interest o	on savings and temporary cash investments .			14	287,129.	
4 Dividend	ds and interest from securities			14	259,089.	
5 Net rent	al income or (loss) from real estate					
a Debt	-financed property			<u> </u>		
b Not o	debt-financed property	000000	21 122	10	10 630 617	
6 Net renta	I income or (loss) from personal property	900099	21,123.	18	10,630,617.	
7 Other in	vestment income			ļ		
8 Gain or (I	loss) from sales of assets other than inventory	 		 		
9 Net inco	me or (loss) from special events · · ·					
,	rofit or (loss) from sales of inventory			 		
11 Other re	venue a			ļ	26,737.	
	ATCH 12				20,737.	
-				 		
						.
e	Add columns (b), (d), and (e)		21,123.		11,203,572.	
	dd line 12, columns (b), (d), and (e)			 		11,224,695.
	neet in line 13 instructions to verify calc					
Part XVI-	Relationship of Activitie	s to the A	ccomplishment of Ex	cempt Pur	poses	·
Line No	Explain below how each activit	v for which	n income is reported in	n column (e	e) of Part XVI-A contribu	ited importantly to the
▼	accomplishment of the foundation	-				
N/A	N/A					
						··· -
					 	
					- · · · -	
					·	
						
					_	
			· · · · · · · · · · · · · · · · · · ·		<u>-</u> .	
	 	···· ··- <u> </u>		_		
						
					<u> </u>	
						
					<u> </u>	
	I					

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Part X\	VII	Information R Exempt Organ		ransfers	to and Tra	nsacti	ons a	nd Rel	ations	ships	With N	lonch	arit	able	
ın	sect	organization direct ion 501(c) (other ations?					-	-	-					Yes	No
•		rs from the reportin	o foundation to	o a noncha	rıtable exem	ot organ	ization	of							
		h	-									1	a(1)		
		er assets											a(2)		
b Oth	ner tr	ansactions													
		es of assets to a no										1			
		chases of assets fro													
		tal of facilities, equi nbursement arrang													
		ns or loan guarante													
		formance of service													
	_	of facilities, equipn	_									_			
		nswer to any of th													
		f the goods, other any transaction of													
(a) Line no		(b) Amount involved	, 	-	e exempt organiz						nsactions, an				
		N/A	(-,				N/A	-					<u> </u>	3	
	\perp														
	_														
	-	. <u> </u>				·· · · ·									
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	+												—		
	+	·							-						
	\top													•	
des	scrib	oundation directly ed in section 501(c) ' complete the follo	(other than se							_				es 🗌] No
	103,	(a) Name of organization		Τ	(b) Type of organ	nization		T		(c) Desc	cription of re	elationsh	ıp		
								1							
				ļ <u>.</u> ,				ļ .							
	Jnder	penalties of penjury, I decla	are that I have exan	nined this return	n including accor	mpanying so	hedules a	nd stateme	nts. and	to the b	est of my kn	nowledge	and b	elief. it	is true
Sign	correct,	and complete Declaration of the Cartes of Officer or trustee					oreparer h		vledge		DN May th	he IRS he prep tructions	discuss	s this	
	<u> </u>	Print/Type preparer's na	me	Prepare	er's signature			Dat	e	<u>-</u>	Check	, PT	'IN		
Paid		ADAM BROWN		Cie		12		- 1	2/13/	/18	self-employ	J "		6626	6
Prepare			NST & YOUN	NG U.S.	LLP			· ['		Firm's	- 3	4-65			
Use On	าไy	Firm's address > 2	N CENTRAL	AVENUE,	SUITE 2	300						-			
		PH	OENIX, AZ	Z			8	5004		Phone	no 60:	2-322			
												Form	990	PF	(2017)

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ► Go to www.irs.gov/Form990 for the latest information.

Employer identification number

ALCOA FOUNDATION 36-4831759 Organization type (check one) Filers of: Section: Form 990 or 990-EZ 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization Form 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions General Rule [X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions Special Rules For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Scriedule B (Fulli 990, 95	10-EZ, 01 990-PF) (2017)	Pa
Name of organization	ALCOA FOUNDATION	Employer identification number
		36-4831759

Rart I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed					
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
	ALCOA CORPORATION 201 ISABELLA STREET PITTSBURGH, PA 15212-5858	\$200,508.	Person X Payroll Noncash (Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)			

			Page	3	
/er	identification	number		_	

Name of organization ALCOA FOUNDATION

Employer identification number 36-4831759

gart III	Noncash Property (see instructions) Use duplicate copies of	of Part II if additional space is ne	eded.
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See Instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		 \$	

Name of o	rganization ALCOA FOUNDATION			Employer Identification number					
	Exclusively religious, charitable, etc., c (10) that total more than \$1,000 for the the following line entry. For organization contributions of \$1,000 or less for the y. Use duplicate copies of Part III if addition	e year from any one is completing Part III, year (Enter this infori	e contributor. Co enter the total of	mplete columns (a) through (e) and exclusively religious, charitable, etc.					
(a) No from Part I	(b) Purpose of gift	(c) Use of g	ift	(d) Description of how gift is held					
	-								
									
		(e) Transfer o	f gift						
	Transferee's name, address, and a	ZIP + 4	Relations	nip of transferor to transferee					
(a) No from Part I	(b) Purpose of gift	(c) Use of g	ıft	(d) Description of how gift is held					
			···· -						
	(e) Transfer of gift								
	Transferee's name, address, and a	Relations	lationship of transferor to transferee						
			·						
(a) No. from Part I	(b) Purpose of gift	(c) Use of g	ift	(d) Description of how gift is held					
			-						
		-							
	(e) Transfer of gift								
	Transferee's name, address, and 2	Relations	nip of transferor to transferee						
(a) No. from Part I	(b) Purpose of gift	(c) Use of g	ift	(d) Description of how gift is held					
			-						
		(e) Transfer of gift							
	Transferee's name, address, and a	Relationship of transferor to transferee							
		-							
	<u> </u>								

2017 FORM 990-PF ALCOA FOUNDATION

36-4831759

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

		INS AND LO	ISSES FOI	K LAX ON	INVEST	M P		
Kind of F				ription		D D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PUBLICLY TRA					VAR	VAR
163363701.		154415747.					8,947,954.	
9,942.		ADAMS STREE' PROPERTY TY		CT FUND		Р	VAR 9,942.	VAR
3,312.							5,542.	
11,406.		ADAMS STREET PROPERTY TY		ND - 2004 NC	N-U.S.	D	VAR 11,406.	VAR
11,400.							11,400.	
E1 700		ADAMS STREET PROPERTY TY		D - 2004 U.S	S. FUND	D	VAR	VAR
51,708.							51,708.	
44.460		ADAMS STREET PROPERTY TY		D - 2007 NON	I-U.S.	D	VAR	VAR
44,460.							44,460.	
		ADAMS STREET PROPERTY TY		D - 2007 U.S	S. FUND	D	VAR	VAR
89,105.							89,105.	
		BCP V-S LP PROPERTY TY	PE: OTHER			D	VAR	VAR
31,908.							31,908.	
		BCP V-S PRIN				D	VAR	VAR
6,758.							6,758.	
		BLACKSTONE (S (CAYMAN II) VI	D	VAR	VAR
34,430.							34,430.	
		BLACKSTONE (S (CAYMAN II	I) V	D	VAR	VAR
9,136.							9,136.	
		BLACKSTONE (INERS (CAYMA	AN) V	D	VAR	VAR
-449.							-449.	
		BLACKSTONE (INERS (CAYMA	AN) VI	D	VAR	VAR
15,452.				,			15,452.	
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2017 FORM 990-PF ALCOA FOUNDATION 36-4831759
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property			Description			P Or D	Date acquired	Date sold	
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)		
		BLACKSTONE (rners (Caym	AN) VI	D	VAR	VA	
-40,995.		PROPERTY TY	PE: OTHER				-40,995.		
		BLACKSTONE (TNERS V		D	VAR	VA	
109,419.		PROPERTY TY	PE: OTHER				109,419.		
		BLACKSTONE (rners v pri	ME	D	VAR	VA	
14,110.		PROPERTY	PE: OINER				14,110.		
		BLACKSTONE (TNERS VI		D	VAR	VA	
72,584.		PROFERIT III	L. OINEK				72,584.		
		BLACKSTONE O		NERS VI-Q	L.P	D	VAR	VA	
337.			. D. OINBK				337.		
		BLACKSTONE F		L PARTNERS	V	D	VAR	V	
71.		I TROPENTE TE	. D. OIIIDI				71.		
		HARBOURVEST PROPERTY TYPE		NAL PE III		D	VAR	V	
8,574.							8,574.		
		HARBOURVEST PROPERTY TYPE		NAL PE V		D	VAR	V	
129,046.							129,046.		
		HARBOURVEST PROPERTY TYPE		NAL PE VI		D	VAR	V	
105,937.			, = , = , = , .				105,937.		
		KKR 2006 FUN PROPERTY TY		5)		D	VAR	V	
80,684.							80,684.		
		OCM EUROPEAN		OPPS FUND	II	D	VAR	V	
23,025.							23,025.		
		PEG U.S. POO PROPERTY TYPE		ATE FINANCE	INST.	D	VAR	V	
53,280.							53,280.		

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36-4831759

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

	CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME							
Kind of Property		Description			or D	Date acquired	Date sold	
- Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		POMONA CAPIT				D	VAR	VAR
-4,340.			ib. Ombit				-4,340.	
		SEQUOIA CAP: PROPERTY TY:		FUND III		D	VAR	VAR
54,565.							54,565.	
		SEQUOIA CAP		GROWTH FUND	I	D	VAR	VAR
2,087.							2,087.	
		SEQUOIA CAPT		GROWTH FUND	II	D	VAR	VAR
23,473.							23,473.	
		SEQUOIA CAP: PROPERTY TY:		III		D		
4,685.							4,685.	
		SEQUOIA CAPT		IV		D	VAR	VAR
-2,277.							-2,277.	
		SEQUOIA CAP: PROPERTY TY		ROWTH FUND	IV	D	VAR	VAR
55,140.							55,140.	
		WARBURG PING PROPERTY TY		A) PE IX		Р	VAR	VAR
-837.							-837.	
		WARBURG PING PROPERTY TY		EQUITY IX		D	VAR	VAR
119.							119.	
		WESTERN ASSI		BOND FUND		D	VAR	VAR
-365,306.							-365,306.	
EOENT CATNUI	200						0.575.101	
TOTAL GAIN(LC)55)		• • • • • • • • • • • • •				9,575,191.	
							:	
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ALCOA FOUNDATION

36-4831759

ATTACHMENT

PART I - OTHER INCOME FORM 990PF,

PARTNERSHIP INCOME
PORTFOLIO INCOME K-1
OTHER INCOME K-1
CANCELLATION OF DEBT K-1
ROYALTY INCOME K-1
ORDINARY INCOME K-1 DESCRIPTION

79,188. 55,361. 2,085. 533. INVESTMENT INCOME EXPENSES
PER BOOKS
26,737. AND

REVENUE

116,173.

26,737.

TOTALS

7716ME 2755

ALCOA FOUNDATION

5		CHARITABLE	6,296.	6,296.
ATTACHMENT 2		ADJUSTED NET INCOME		
		NET INVESTMENT INCOME		
		REVENUE AND EXPENSES PER BOOKS	6,296.	6,296.
	FORM 990PF, PART I - LEGAL FEES			TOTALS
	FORM 990PF, PAI	DESCRIPTION	LEGAL FEES	

ALCOA FOUNDATION

FORM 990PF, PART I - ACCOUNTING FEES

TOTALS

TAX PREPARATION EY AUDIT/ACCOUNTING FEES

DESCRIPTION

36-4831759

-			
	CHARITABLE PURPOSES	5,310. 7,065.	12,375.
	ADJUSTED NET INCOME		
	NET INVESTMENT INCOME	5,309.	12,374.
<u>.</u>	REVENUE AND EXPENSES PER BOOKS	10,619. 14,130.	24,749.

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FORM 990PF, PART I - OTHER PROFESSIONAL FEES

NET INVESTMENT INCOME	54,197. 131,291. 149.
REVENUE AND EXPENSES PER BOOKS	541,968. 131,291. 149.
DESCRIPTION	ALCOA MANAGEMENT FEES INVESTMENT ADVISORY FEES MELLON CAP INVESTMENT MGMT FEE

CHARITABLE PURPOSES	487,771
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185,637.

673,408.

TOTALS

36-4831759	ATTACHMENT 5	·	CHARITABLE <u>PURPOSES</u>	15.	15.
F ace			NET INVESTMENT INCOME	8,183. 3,716.	11,899.
2017 FORM 990-PF			REVENUE AND EXPENSES PER BOOKS	123,063. 8,183. 15.	131,261.
Z		FORM 990PF, PART I - TAXES		LD S	TOTALS
ALCOA FOUNDATION		FORM 990PF,	DESCRIPTION	EXCISE TAX TAXES WITHHELD FOREIGN TAXES STATE TAXES	

2017 FORM 990-PF

ALCOA FOUNDATION

36-4831759

ATTACHMENT

Q

- OTHER EXPENSES PART I FORM 990PF,

MISCELLANEOUS
PORTFOLIO DEDUCTIONS K-1 OTHER EXPENSES K-1 INTEREST EXPENSE K-1 ROYALTY DEPLETION K-1 INVESTMENT EXPENSES GRANT MANAGEMENT RISK ANALYSIS DESCRIPTION

INVESTMENT 84,146. EXPENSES PER BOOKS AND

REVENUE

INCOME

2,441. 2,208. 26,410.

2,441.

2,208.

84,146.

CHARITABLE PURPOSES

177,747. 9,462. 6,820.

196,481.

115,205.

TOTALS

7716ME 2755

ATTACHMENT 8

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

TRANSFER FROM LEGACY ALCOA FOUNDATION

124,613,002.

TOTAL 124,613,002.

ATTACHMENT 9

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

UNREALZIED LOSS

817,787.

TOTAL

817,787.

ALCOA FOUNDATION	2017 FORM 990-PF			36-4831759	
FORM 990PF, PART VIII - LIST OF OFFICERS,	ERS, DIRECTORS, AND TRUSTEES		ATTACHMENT	IMENT 10	·
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES	•
ROSA GARCIA PINEIRO 201 ISABELLA STREET PITTSBURGH, PA 15212	PRESIDENT, DIRECTOR 22.00	·	Ö	Ö	
OTAVIO CARVALHEIRA 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	Ċ	Ö	Ö	
NICOLE COUTU 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	ò	ó	ó	
LEIGH ANN FISHER 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	Ċ	.0	Ö	
ED HEMMERSBACH 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	Ö	ó	Ö	
MICHELLE O'NEILL 201 ISABELLA STREET PITTSBURGH, PA 15212	DIRECTOR 1.50	Ö	.0	.0	

2017 FORM 990-PF ALCOA FOUNDATION

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990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

	ATTACHM	ENT 11
NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
ALCOA CORPORATION 201 ISABELLA STREET PITTSBURGH, PA 15212	MANAGEMENT	65,243.
NGO SOURCE 435 BRANNAN ST, STE 100 SAN FRANCISCO, CA 94107	GRANT MANAGEMENT	51,710.
BRANDES INVESTMENT PARTNERS 36 LOWER BAGGOT STREET DUBLIN 2 IRELAND	INVESTMENT MGMT	52,761.
TOTAL COMPENSA	ATION	169,714.

ALCOA FOUNDATION

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE				ATTACHMENT 12	12
DESCRIPTION	BUSINESS	AMOUNT	EXCLUSION	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
PARTNERSHIP INCOME PARTNERSHIP EXPENSE			01	24,533. 2,204.	
TOTALS			. "	26,737.	