	Fa. e.m.	990-T	EX	empt Organization						ОМВ	No 1545-0047
	rorm	<b>330</b> -1		(and proxy tax					လွှေ့	6	\@ <b>4</b>
<i>\</i>			For cale	ndar year 2019 or other tax year begin					2020	2	:W 19
ķ		ment of the Treasury  I Revenue Service		► Go to www.irs.gov/Form990						Open to F	Public Inspection for Organizations Only
	_		Do	not enter SSN numbers on this form a  Name of organization (		me changed and so					Organizations Only Cation number
	^ L	Check box if address changed		Name of organization ( Check but	UX II IIai	me changed and se	ee instruction	3)			ee instructions )
	B Exe	mpt under section		NORTHWESTERN UNIVER	SITY	· 					
	X	501( C ) <b>Q</b> 3_)	Print	Number, street, and room or suite no	lf a P O	box, see instruction	ons			167817	
		408(e) 220(e)	Type							lated busine nstructions )	ess activity code
		408A530(a)	•	633 CLARK STREET		_			,	,	
		529(a)		City or town, state or province, country	y, and 2	ZIP or foreign posta	I code				
		k value of all assets nd of year		EVANSTON, IL 60208					5200	00	
				up exemption number (See instruct	<u> </u>		T <sub>-</sub> .		1		· · · · · · · · · · · · · · · · · · ·
		846964843.				rporation	501(c	) trust	401(a)		Other trust
				nization's unrelated trades or busine	sses					y (or first) u	
		de or business he					•	complete Parts I			, describe the
				end of the previous sentence, cor	mpiete	Parts I and II, co	ompiete a S	chedule M for eac	cn additio	nai	
		de or business, th						and and and and			Yes X No
				corporation a subsidiary in an affili			Subsidiary	controlled group?			res No
		<u> </u>		identifying number of the parent co COLE VAN LAAN	rporatio	on P	Telephor	ne number > 84	7-491	-4722	
				or Business Income		(A) Inco		(B) Expen		T	(C) Net
	1a	Gross receipts or		Di Busilios lilesilio		(14)	•	(0) 2			(0,1101
	b	Less returns and allow		c Balance ▶	1 c	1					
	2			ule A, line 7)	2						
	3			2 from line 1c	3						
	4a	•		ttach Schedule D)	4a	19,63	3,946.			]	19,633,946.
	b			Part II, line 17) (attach Form 4797)	4b						
	С	- ,		rusts	4c		-				
	5	-		r an S corporation (attach statement)	5	-43,46	5,763	ATCH 1		- 4	13,465,763.
	6	Rent income (Sch	edule C)		6	· ·					
	7	Unrelated debt-fi	nanced in	come (Schedule E)	7						
	8	Interest, annuities, roy	alties, and re	ents from a controlled organization (Schedule F)	8						
	9	Investment income of	a section 50	1(c)(7) (9) or (17) organization (Schedule G)	9/	ļ				ļ	
	10	Exploited exempt	activity ii	ncome (Schedule I)	10						
	11	Advertising incom	ne (Sched	dule J)	11					<b>_</b>	
	12	Other income (Se	ee instruc	tions, attach schedule)	12				<u>.</u>	<del> </del>	
	13			ough 12	13	-23,83	-		<del></del>		23,831,817.
	Par			Taken Elsewhere (See insti		ons for limitat	ions on c	seauctions ) (i	Jeauct	ions mus	it be directly
	-			ne unrelated business incom			·	7	<del></del>	1	
	14	Compensation of	otticers,	directors, and trustees (Schedule K)	1	· RECEI	<b>/にい・</b>	·	14		· -
	15	Salaries and wag	25		1 · i-		<del></del>	<u> </u>	15		
	16 17	Rad dobte	KendiiCe	<b>/</b>	181	1111 1 9	2021	S-0-S	17		
	18			(see instructions)				属	18		
	19				1 1		LIT		19		151,551.
	20	Depreciation (att	ach Form	4562)	.1.	OGUE	20-1		.   -	_	<del></del>
	21	•	-	on Schedule A and elsewhere on re			21a		211	_	
	22					_					
	23			compensation plans							
	24	/		s							
	25	/		Schedule I)							
	26			chedule J)							
	27			chedule)							741,672.
	28	,		s 14 through 27							893,223.
	29	,		le income before net operating						-2	24,725,040.
	30	<i>(</i>		g loss arising in tax years beginnir						ļ	
-	31 /			e income Subtract line 30 from line	29 .	<u> </u>	<u></u>	<u>, , , , , , , , , , , , , , , , , , , </u>	31		24,725,040.
	For P	aperwork Reduct	ion Act N	lotice, see instructions.						Fo	orm <b>990-T</b> (2019)

9X2740 1 000 3638NG 1353

Signature of officer Date (see Instructions)? X Yes Preparer's signature PTIN Print/Type preparer's name Date Paid TARA D'AGOSTINO 7/12/21 P01245482 self-employed Preparer Firm's name KPMG LLP Firm's EIN ▶ 13-5565207 **Use Only** Phone no 312-665-1000 Firm's address ▶ 200 EAST RANDOLPH ST, STE 5500, CHICAGO, IL 60601 Form 990-T (2019) 3638NG 1353

Form 990-T (2019)								Page 3
Schedule A - Cost of Go	ods Sold. En	ter method	of invento				<del> </del>	
1 Inventory at beginning of ye	·					ar	6	
2 Purchases	2			7 Cost of	goods so	ld. Subtract line		
3 Cost of labor	3					here and in Part		
4a Additional section 263A co	sts			I, line 2 .			7	<del>,</del>
(attach schedule)	4a					section 263A (w	· ·	Yes No
<b>b</b> Other costs (attach schedul	e) . 4b				-	or acquired for		
5 Total. Add lines 1 through				to the orga	anization?	<u> </u>	<del></del>	X
Schedule C - Rent Income	(From Real P	roperty an	nd Persor	nal Property	Leased V	Vith Real Proper	rty)	
(see instructions)					_			
1 Description of property			· · · · · · · · · · · · · · · · · · ·					
(1)								
(2)								
(3)								
(4)		-				T		
	2. Rent receiv	ved or accrue	ed			_		
(a) From personal property (if the p for personal property is more tha more than 50%)		percenta	ige of rent for	personal property personal property based on profit or	exceeds		rectly connected with a) and 2(b) (attach so	
(1)								
(2)								
(3)								
(4)				<u></u>				
Total		Total		<del></del>		(b) Total deductio	ne	
(c) Total income. Add totals of co						Enter here and on	page 1,	
here and on page 1, Part I, line 6,						Part I, line 6, colur	mn (B) 🕨	
Schedule E - Unrelated De	ebt-Financed li	ncome (se	e instruction	ons)	3 1	Deductions directly cor	nected with or alloca	ble to
4. 5	4 <b>5 dd</b> .			ncome from or	3.1	debt-financ		DIE IO
1 Description of deb	t-inanceo property			o debt-financed operty		ht line depreciation ich schedule)	(b) Other ded (attach sche	
(1)						·		
(2)	-					•		-
(3)								_
(4)								
4 Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	5. Average adju- of or alloca debt-financed (attach sche	ble to property	4	Column divided column 5		income reportable n 2 x column 6)	8. Allocable de (column 6 x total 3(a) and 3	of columns
(1)				%				
(2)				%				
(3)		-		%				
(4)				%				
						re and on page 1, ne 7, column (A)	Enter here and Part I, line 7, co	on page 1, llumn (B)
Totals				▶		•		<u> </u>

Schedule F - Interest, Ann	uities, Royaities			ontrolled Or			tions (sec	e instruction	115)	
Name of controlled organization	2. Employer identification numb	er 3.	. Net unre	lated income instructions)	4. Total	of specifier	included	f column 4 tha in the controll ion's gross inco	ling	Deductions directly connected with income in column 5
(1)										
(2)										
(3)		_								
(4)										
Nonexempt Controlled Organiz	zations									
7. Taxable Income	8 Net unrelated in (loss) (see instruct			Total of specifications of the payments made		ınclu	art of column ded in the co zation's gros	ntrolling		Deductions directly nected with income in column 10
(1)	·									
(2)	<u> </u>									<del></del>
(3)	•									
(4)										
Totals		tion 50	 1(c)(7)	(9), or (1)	▶ /\ Orga	Enter Part	columns 5 a here and on I, line 8, column 1, (see inst	page 1, mn (A)	Ent	Id columns 6 and 11 er here and on page 1, rt I, line 8, column (B)
Schedule G- investment in		<del>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</del>	1,0,7,7	3. Dedu	ctions			t-asides		5. Total deductions
1. Description of income	2 Amount of	income		directly co (attach sc				schedule)	-	and set-asides (col. 3 plus col. 4)
(1)	-								$\dashv$	
(2)									$\dashv$	
(3)			_	<del></del> -						<u> </u>
(4)	Enter here and	on nage 1								Enter here and on page 1,
Totals ▶	Part I, line 9, o						,			Part I, line 9, column (B)
Schedule I - Exploited Exe	empt Activity In	come. C	ther TI	han Advert	ising In	come	see instru	ctions)		
Description of exploited activity	2. Gross unrelated business income from trade or business	3 Exp dire connect produc unre business	penses ectly ted with ction of lated	4. Net inconfrom unrelated or business 2 minus could fa gain, cools 5 thr	me (loss) ted trade (column lumn 3) compute	5. Gro from a	ss income ctivity that unrelated ss income	6 Expensattributab column	le to	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4)
(1)										_
(2)										
(3)										
(4)						<u> </u>				
	Enter here and on page 1, Part I, line 10, col (A)	Enter her page 1, line 10,	, Part I,							Enter here and on page 1, Part II, line 25
Totals ▶ Schedule J-Advertising Ir		· · otropol								
			<u> </u>	lidated De						
Part I Income From Per	lodicals Report	ed on a	Conso	ilidated ba	515	Ι		T		
1 Name of periodical	2. Gross advertising income	3. D advertisi	rect ing costs	4. Adver gain or (lo 2 minus o a gain, co cols 5 thr	ss) (col ol 3) If ompute		rculation come	6. Reader costs		7. Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)						_	•			
(2)	· · · · · ·									
(3)										
(4)				†		·				
Totals (carry to Part II, line (5))										Form <b>990-T</b> (2019)

Page 5

(4)

Total. Enter here and on page 1, Part II, line 14

Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in columns Part II 2 through 7 on a line-by-line basis) 4. Advertising 7 Excess readership gain or (loss) (col costs (column 6 2. Gross 3. Direct 5. Circulation 6 Readership minus column 5, but 2 minus col 3) If 1 Name of periodical advertising costs advertising ∞sts income not more than a gain, compute ıncome column 4) cols 5 through 7 (1) (2) (3) (4) Totals from Part I. Enter here and on Enter here and Enter here and on page 1, Part I. page 1, Part I. on page 1, Part II, line 26 line 11, col (B) line 11, ∞l (A) Totals, Part II (lines 1-5) . Schedule K - Compensation of Officers, Directors, and Trustees (see instructions) 3. Percent of time devoted to business 4. Compensation attributable to 1. Name 2. Title unrelated business (1) % (2) % (3) % %

Form **990-T** (2019)

# Form **4136**

## **Credit for Federal Tax Paid on Fuels**

OMB No 1545-0162

•	al Revenue Service (99)	WFONN4130 IOT INSU	uctions and th	ie latest information.	Sequence N	。 <b>23</b>
	e (as shown on your income tax return)			Taxpayer identifi		
NORT	HWESTERN UNIVERSITY			36-2167817		
	Caution: Claimant has the name and address claims on lines 1c and 2b (type of u For claims on lines 1c and 2b (type credit card issuer	se 13 or 14), 3d,	4c, and 5, cla	aimant has not waive	ed the right to make t	he clair
1	Nontaxable Use of Gasoline			Note:	CRN is credit reference	e numbe
		(a) Type of use	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CR
а	Off-highway business use		\$ 183	1425		1
b	Use on a farm for farming purposes		183	>		362
С	Other nontaxable use (see Caution above line 1)		183	J	\$ 261	
d	Exported		184			411
2	Nontaxable Use of Aviation Gasoline				1	
		(a) Type of use	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRI
а	Use in commercial aviation (other than foreign trade)		\$ 15		\$	354
ь	Other nontaxable use (see Caution above line 1)		193			324
С	Exported		194			412
d	LUST tax on aviation fuels used in foreign trade		001			433
	Claimant certifies that the diesel fuel did not contain Exception. If any of the diesel fuel included in this cla			ye, attach an explanatio	on and check here	. ▶ 🗆
		(a) Type of use	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRI
а	Nontaxable use	02	\$ 243	3186		
b	Use on a farm for farming purposes		243	<u> </u>	\$ 774.	360
С	Use in trains		243			353
d	Use in certain intercity and local buses (see Caution					
	above line 1)		17			350
е	Exported		244			413
1 a b c d d 2 a b c d d 4 a b c d d	Nontaxable Use of Undyed Kerosene (Other T	Than Kerosene Us	ed in Aviation	n)		
	Claimant certifies that the kerosene did not contain v	risible evidence of dye				
	Exception. If any of the kerosene included in this clair	(a) Type of use	(b) Rate	e, attach an explanation (c) Gallons	(d) Amount of credit	. ▶(e) CRI
		(a) Type of use		(C) Gallons	(a) Amount of credit	(E) OR
_	Nontaxable use taxed at \$ 244		\$ 243	} }		
Ь	Use on a farm for farming purposes		243	<u>J</u>	\$	346
С	Use in certain intercity and local buses (see Caution					
	above line 1)	,	17	-		347
	Exported		244			414
е	Nontaxable use taxed at \$ 044	1	043		1	377

218

For Paperwork Reduction Act Notice, see the separate instructions.

f Nontaxable use taxed at \$ 219

Form **4136** (2019)

369

#### 5 Kerosene Used in Aviation (see Caution above line 1)

		(a) Type of use	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRN
а	Kerosene used in commercial aviation (other than foreign trade) taxed at \$ 244	,	\$ 200		\$	.417
b	Kerosene used in commercial aviation (other than foreign trade) taxed at \$ 219	,	175			355
С	Nontaxable use (other than use by state or local government) taxed at \$ 244		243	-		346
d	Nontaxable use (other than use by state or local government) taxed at \$ 219		218			369
е	LUST tax on aviation fuels used in foreign trade		001			433

#### '6 Sales by Registered Ultimate Vendors of Undyed Diesel Fuel

Registration No. >

Claimant certifies that it sold the diesel fuel at a tax-excluded price, repaid the amount of tax to the buyer, or has obtained the written consent of the buyer to make the claim. Claimant certifies that the diesel fuel did not contain visible evidence of dye

Exception If any of the diesel fuel included in this claim d	id contain visible evidence of	dye, attach an explana	tion and check here	<u> </u>
	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRN
Use by a state or local government	\$ 243		\$	360
Use in certain intercity and local buses	17			350

#### 7 Sales by Registered Ultimate Vendors of Undyed Kerosene (Other Than Kerosene For Use in Aviation)

Registration No. >

Claimant certifies that it sold the kerosene at a tax-excluded price, repaid the amount of tax to the buyer, or has obtained the written consent of the buyer to make the claim. Claimant certifies that the kerosene did not contain visible evidence of dye

Use by a state or local government \$ 243  Sales from a blocked pump 243 \$ 34				
	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRN
Use by a state or local government	\$ 243	7		
Sales from a blocked pump	243	<i></i>	\$	346
Use in certain intercity and local buses	17		\	347

#### 8 Sales by Registered Ultimate Vendors of Kerosene For Use in Aviation

Registration No. >

Claimant sold the kerosene for use in aviation at a tax-excluded price and has not collected the amount of tax from the buyer, repaid the amount of tax to the buyer, or has obtained the written consent of the buyer to make the claim. See the instructions for additional information to be submitted.

	to be submitted				T.	
		(a) Type of use	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRN
а	Use in commercial aviation (other than foreign trade) taxed at \$ 219	• .	\$ 175		\$	355
þ	Use in commercial aviation (other than foreign trade) taxed at \$ 244	,	200	,	,	417
C	Nonexempt use in noncommercial aviation		025			418
d	Other nontaxable uses taxed at \$ 244		243			346
e	Other nontaxable uses taxed at \$ 219		218			369_
f	LUST tax on aviation fuels used in foreign trade		001			433

Form 4136 (2019)

a b

## 9 Reserved for future use

#### Registration No. ▶

		(b) Rate	(c) Gallons of alcohol	(d) Amount of credit	(e) CRN
а	Reserved for future use			\$	
b	Reserved for future use				

#### 10 Biodiesel or Renewable Diesel Mixture Credit

#### Registration No. >

Biodiesel mixtures. Claimant produced a mixture by mixing biodiesel with diesel fuel. The biodiesel used to produce the mixture met ASTM D6751 and met EPA's registration requirements for fuels and fuel additives. The mixture was sold by the claimant to any person for use as a fuel or was used as a fuel by the claimant. Claimant has attached the Certificate for Biodiesel and, if applicable, the Statement of Biodiesel Reseller. Renewable diesel mixtures. Claimant produced a mixture by mixing renewable diesel with liquid fuel (other than renewable diesel). The renewable diesel used to produce the renewable diesel mixture was derived from biomass, met EPA's registration requirements for fuels and fuel additives, and met ASTM D975, D396, or other equivalent standard approved by the IRS. The mixture was sold by the claimant to any person for use as a fuel or was used as a fuel by the claimant. Claimant has attached the Certificate for Biodiesel and, if applicable, Statement of Biodiesel Reseller, both of which have been edited as discussed in the instructions for line 10. See the instructions for line 10 for information about renewable diesel used in aviation.

		(b) Rate	(c) Gallons of biodiesel or renewable diesel	(d) Amount of credit	(e) CRN
а	Biodiesel (other than agri-biodiesel) mixtures	\$ 1 00		\$	388
b	Agri-biodiesel mixtures	1 00			390
С	Renewable diesel mixtures	1 00			307

#### 11 Nontaxable Use of Alternative Fuel

Caution: There is a reduced credit rate for use in certain intercity and local buses (type of use 5) See instructions

		(a) Type of use	(b) Rate	(c) Gallons, or gasoline or diesel gallon equivalents	(d) Amount of credit	(e) CRN
а	Liquefied petroleum gas (LPG) (see instructions)		\$ 183		\$	419
b	"P Series" fuels		183			420
C	Compressed natural gas (CNG) (see instructions)	_	183			421
d	Liquefied hydrogen		183			422
е	Fischer-Tropsch process liquid fuel from coal					
	(including peat)		243			423
f	Liquid fuel derived from biomass		243			424
g	Liquefied natural gas (LNG) (see instructions)		243			425
h	Liquefied gas derived from biomass		183			435

#### 12 Alternative Fuel Credit

### Registration No. ▶

	(b) Rate	(c) Gallons, or gasoline or diesel gallon equivalents	(d) Amount of credit	(e) CRN
a Liquefied petroleum gas (LPG) (see instructions)	\$ 50		\$	426
b "P Series" fuels	50			427
Compressed natural gas (CNG) (see instructions)	50			428
d Liquefied hydrogen	50			429
e Fischer-Tropsch process liquid fuel from coal (including peat)	50			430
f Liquid fuel derived from biomass	50	_		431
g Liquefied natural gas (LNG) (see instructions)	50			432
h Liquefied gas derived from biomass	50			436
i Compressed gas derived from biomass	50			437

Form 4136 (2019)

13	Registered Credit Card Issuers	Re			
		(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRN
а	Diesel fuel sold for the exclusive use of a state or local government	\$ 243		\$	360
b	Kerosene sold for the exclusive use of a state or local government	243			346
С	Kerosene for use in aviation sold for the exclusive use of a state or local	0 243			
	government taxed at \$ 219	218			369
14	Nontaxable Use of a Diesel-Water Fuel Emulsion	<b></b>	,	1	

	Caution: There is a reduced credit rate for use in certain intercity and local buses (type of use 5). See instructions							
		(a) Type of use	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRN		
а	Nontaxable use		\$ 197		\$	309		
b	Exported		198			306		

#### 15 Diesel-Water Fuel Emulsion Blending

Re	ais	tra	tion	ı No.	
UC	uis	ua		I IVO.	

	(b) Rate	(c) Gallons	(d) Amount of credit	(e) CRN
Blender credit	\$ 046		\$	310

#### 16 Exported Dyed Fuels and Exported Gasoline Blendstocks

		(b) Rate	(c) Gallon	s	(d) Amount of credit	(e) CRN
а	Exported dyed diesel fuel and exported gasoline blendstocks taxed at \$ 001	\$ 001		\$		415
b	Exported dyed kerosene	001				416
17	Total income tax credit claimed. Add lines 1 through 16, column (d) Enter h 3 (Form 1040 or 1040-SR), line 12, Form 1120, Schedule J, line 20b, Form 1 1041, Schedule G, line 16b, or the proper line of other returns	120-S, line 23	Bc, Form	7 \$	1,035	

Form **4136** (2019)

5044NX 1353

#### Unrelated Business Taxable Income from an **Unrelated Trade or Business**

OMB No 1545-0047

Department of the Treasury Internal Revenue Service Name of the organization

For calendar year 2019 or other tax year beginning  $\frac{09/01}{}$  , 2019, and ending

▶ Go to www.irs.gov/Form990T for instructions and the latest information. ▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

Employer identification number

NORTHWESTERN	UNIVERSITY

36-2167817

Unrelated Business Activity Code (see instructions) ▶ 810000 Describe the unrelated trade or business ▶ PARKING GARAGE

Pai	t I Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1 a	Gross receipts or sales			•	
ь	Less returns and allowances c Balance ▶	1c		•	
2	Cost of goods sold (Schedule A, line 7)	2			
3	Gross profit Subtract line 2 from line 1c	3			_
4a	Capital gain net income (attach Schedule D)	4a			
ь	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b			
С	Capital loss deduction for trusts	4c			
6	Income (loss) from a partnership or an S corporation (attach				i
	statement) ATCH 4 .	5	-53.		-53
6	Rent income (Schedule C)	6			
7	Unrelated debt-financed income (Schedule E)	7			
8	Interest, annuities, royalties, and rents from a controlled				
	organization (Schedule F)	8			
9	Investment income of a section 501(c)(7), (9), or (17)				
	organization (Schedule G)	9			
0	Exploited exempt activity income (Schedule I)	10			
1	Advertising income (Schedule J)	11			
2	Other income (See instructions, attach schedule) ATCH. 5.	12	3,436,697.		3,436,697
3	Total. Combine lines 3 through 12	13	3,436,644.		3,436,644

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions ) (Deductions must be directly connected with the unrelated business income )

14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages		181,211.
16	Repairs and maintenance		
17	Bad debts	17	
18	Interest (attach schedule) (see instructions)	18	
19	Taxes and licenses	i	
20	Depreciation (attach Form 4562)		
21	Less depreciation claimed on Schedule A and elsewhere on return	21b	
22	Depletion	22	
23	Contributions to deferred compensation plans	23	
24	Employee benefit programs	24	
25	Excess exempt expenses (Schedule I)	25	
26	Excess readership costs (Schedule J)	26	
27	Other deductions (attach schedule)	27	580,928.
28	Total deductions. Add lines 14 through 27	28	762,139.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	2,674,505.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
	instructions),		
31	Unrelated business taxable income Subtract line 30 from line 29		2,674,505.
<del>-</del>			

For Paperwork Reduction Act Notice, see instructions.

### Unrelated Business Taxable Income from an Unrelated Trade or Business

OMB No 1545-0047

2019

Department of the Treasury Internal Revenue Service For calendar year 2019 or other tax year beginning  $\frac{09/01}{}$  , 2019, and ending  $\frac{08/31}{}$  , 2

▶ Go to www.irs.gov/Form9907 for instructions and the latest information.
 ▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

Name of the organization

Employer identification number

NORTHWESTERN UNIVERSITY

Unrelated Business Activity Code (see instructions) ▶ 540000

	Describe the unrelated trade or business ► SPONSORSHIP	s, R	ESEARCH SERVICES	& ALUMNI MA	GAZI	NE
Pai	t I Unrelated Trade or Business Income		(A) Income	(B) Expenses		(C) Net
1 a	Gross receipts or sales					
ь	Less returns and allowances c Balance	1c				
2	Cost of goods sold (Schedule A, line 7)	2				
3	Gross profit Subtract line 2 from line 1c	3	<del></del>			
4a	Capital gain net income (attach Schedule D)	4a	_			
b	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b		,		
С	Capital loss deduction for trusts	4c				
5	Income (loss) from a partnership or an S corporation (attach			-	•	
	statement)	5				
6	Rent income (Schedule C)	6				
7	Unrelated debt-financed income (Schedule E),	7				
8	Interest, annuities, royalties, and rents from a controlled					
	organization (Schedule F)	8				
9	Investment income of a section 501(c)(7), (9), or (17)					
	organization (Schedule G)	9				
10	Exploited exempt activity income (Schedule I)	10				
11	Advertising income (Schedule J)	11				
12	Other income (See instructions, attach schedule) ATCH. 7.	12	1,481,846.			1,481,846.
13	Total Combine lines 3 through 12		1,481,846.			1,481,846.
Pa	connected with the unrelated business income )			·	1	e directly
14	Compensation of officers, directors, and trustees (Schedule K)				14	
15	Salaries and wages				15	
16	Repairs and maintenance				16	
17	Bad debts				17	
18	Interest (attach schedule) (see instructions)				18_	
19	Taxes and licenses				19_	
20	Depreciation (attach Form 4562)				21b	
21					22	
22	Depletion					
23	Employee benefit programs				23	<u>.</u>
24					24	
25	Excess exempt expenses (Schedule I)				25	<del></del>
26	Excess readership costs (Schedule J)				26	334,269.
27	Other deductions (attach schedule)				27	334,269.
28	Total deductions. Add lines 14 through 27				28	1,147,577.
29	Unrelated business taxable income before net operating				29	2,137,077.
30	Deduction for net operating loss arising in tax years				20	
0.4	Instructions)				30	1,147,577.
31	Uniterated dusiness taxable income. Subtract line 30 from line	ZJ			JT	_, _, _ <u>, , , , , , , , , , , , , , , , </u>

For Paperwork Reduction Act Notice, see instructions.

#### Unrelated Business Taxable Income from an **Unrelated Trade or Business**

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

09/01 , 2019, and ending 08/31 <sub>20</sub>20 For calendar year 2019 or other tax year beginning \_\_

► Go to www.irs.gov/Form990T for instructions and the latest information. ▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

	_	_	_				_
Name	of t	he	or	gar	ıza	tron	

NORTHWESTERN UNIVERSITY

Total. Combine lines 3 through 12......

36-2167817

Employer identification number

Unrelated Business Activity Code (see instructions) ▶ 530000 Describe the unrelated trade or business ▶ SCIENTIFIC EQUIPMENT RENTAL, OTHER RENTAL INCOME, AND PSHIP (C) Net Part I Unrelated Trade or Business Income (A) income (B) Expenses Gross receipts or sales b Less returns and allowances 2 2 Cost of goods sold (Schedule A, line 7)...... Gross profit Subtract line 2 from line 1c . . . . . . . . . 3 4a Capital gain net income (attach Schedule D) . . . . . . . 4a Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797). . 4b Income (loss) from a partnership or an S corporation (attach 6,019 6,019. statement) . . . . . . . . . . . . ATCH 9 . 5 6 Unrelated debt-financed income (Schedule E)..... 7 interest, annuities, royalties, and rents from a controlled Investment income of a section 501(c)(7), (9), or (17) 9 Exploited exempt activity income (Schedule I) . . . . . . 10 10 Advertising income (Schedule J) . . . . . . . . . . . . . . . 11 2,563,912. 2,563,912. 12 Other income (See instructions, attach schedule) ATCH. 10 12 2,569,931. 2,569,931.

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions ) (Deductions must be directly connected with the unrelated business income )

14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	49,390.
16	Repairs and maintenance	16	
17	Bad debts	17	
18	Interest (attach schedule) (see instructions)	18	
19	Taxes and licenses	1	64.
20	Depreciation (attach Form 4562)	J	
21	Less depreciation claimed on Schedule A and elsewhere on return	21Ь	
22	Depletion	22	
23	Contributions to deferred compensation plans	23	
24	Employee benefit programs	24	
25	Excess exempt expenses (Schedule I)	25	
26	Excess readership costs (Schedule J)	26	
27	Other deductions (attach schedule)	27	964,686.
28	Total deductions. Add lines 14 through 27		1,014,140.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	1,555,791.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
	instructions)	30	
31	Unrelated business taxable income Subtract line 30 from line 29	31	1,555,791.

For Paperwork Reduction Act Notice, see Instructions.

#### Unrelated Business Taxable Income from an **Unrelated Trade or Business**

OMB No 1545-0047

Department of the Treasury

08/31 20 20

Internal Revenue Service

▶ Go to www.irs.gov/Form990T for instructions and the latest information. Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3)

229,424.

229,424.

Employer identification number Name of the organization 36-2167817 NORTHWESTERN UNIVERSITY Unrelated Business Activity Code (see instructions) ▶ 560000

Describe the unrelated trade or business ▶ ALUMNI TRAVEL TOURS REVENUE Part I Unrelated Trade or Business Income (A) Income (B) Expenses (C) Net 1 a Gross receipts or sales b Less returns and allowances 2 Cost of goods sold (Schedule A, line 7) . . . . . . . . . . . 2 Gross profit Subtract line 2 from line 1c . . . . . . . . . 3 4a Capital gain net income (attach Schedule D) . . . . . . . . 4a 4h Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797) . . Income (loss) from a partnership or an S corporation (attach 5 6 7 Unrelated debt-financed income (Schedule E). . . . . . . Interest, annuities, royalties, and rents from a controlled 8 Investment income of a section 501(c)(7), (9), or (17) 9 10 10 Exploited exempt activity income (Schedule I) . . . . . . 11 11

229,424.

229,424.

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions ) (Deductions must be directly connected with the unrelated business income )

14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	4	63,537.
16	Repairs and maintenance , ,	16	
17	Bad debts,	17	
18	Interest (attach schedule) (see instructions)	18	
19	Taxes and licenses	19	
20	Depreciation (attach Form 4562)		
21	Less depreciation claimed on Schedule A and elsewhere on return	21b	
22	Depletion	22	
23	Contributions to deferred compensation plans	23	
24	Employee benefit programs	24	18,119.
25	Excess exempt expenses (Schedule I)	25	
26	Excess readership costs (Schedule J)	26	
27	Other deductions (attach schedule)	27	48,160.
28	Total deductions Add lines 14 through 27	28	129,816.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	99,608.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
	Instructions)	30	
31	Unrelated business taxable income Subtract line 30 from line 29	31	99,608.

For Paperwork Reduction Act Notice, see instructions.

Other income (See instructions, attach schedule) ATCH, 12

Total. Combine lines 3 through 12......

### Unrelated Business Taxable Income from an **Unrelated Trade or Business**

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

For calendar year 2019 or other tax year beginning  $\underline{-09/01}$  , 2019, and ending 08/31 .20 20

▶ Go to www.irs.gov/Form990T for instructions and the latest information.

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Employer identification number 36-2167817

Name of the organization NORTHWESTERN UNIVERSITY

Unrelated Business Activity Code (see instructions) ▶ 210000

	Describe the unrelated trade or business ▶ PARTNERSHIP	INI	/ESTMENT - SILO 6			
Par	t I Unrelated Trade or Business Income		(A) Income	(B) Expenses		(C) Net
1 a	Gross receipts or sales					i
b	Less returns and allowences c Balance	1c				
2	Cost of goods sold (Schedule A, line 7)	2				
3	Gross profit Subtract line 2 from line 1c	3		·		
4a	Capital gain net income (attach Schedule D)	4a	101,172.			101,172.
b	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b		•		
С	Capital loss deduction for trusts	4c				
5	Income (loss) from a partnership or an S corporation (attach					
	statement) ATCH 14	5	-3,365,120.			-3,365,120.
6	Rent income (Schedule C)	6		•		
7	Unrelated debt-financed income (Schedule E)	7				
8	Interest, annuities, royalties, and rents from a controlled					
	organization (Schedule F)	8				
9	Investment income of a section 501(c)(7), (9), or (17)					
	organization (Schedule G)	9				
10	Exploited exempt activity income (Schedule I)	10				<u>-</u>
11	Advertising income (Schedule J)	11				
12	Other income (See instructions, attach schedule)	12				
13	Total Combine lines 3 through 12	13	-3,263,948.			-3,263,948.
Par 14	Deductions Not Taken Elsewhere (See instruction connected with the unrelated business income.)  Compensation of officers, directors, and trustees (Schedule K)				1	e directly
15	Salaries and wages					
16	Repairs and maintenance					
17	Bad debts					
18	Interest (attach schedule) (see instructions)				18	
19	Taxes and licenses					
20	Depreciation (attach Form 4562)					
21	Less depreciation claimed on Schedule A and elsewhere on re				21 b	
22	Depletion					
23	Contributions to deferred compensation plans				•	
24	Employee benefit programs				24	
25						
	Excess exempt expenses (Schedule I)				26	<u> </u>
26	Other deductions (attach schedule)				$\overline{}$	12,721.
27	Total deductions. Add lines 14 through 27				28	12,721.
28					29	-3,276,669.
29	Unrelated business taxable income before net operating				79	3,2.0,303.
30	Deduction for net operating loss arising in tax years	_	-		20	
••	Instructions)				30	-3,276,669.
31	Unrelated business taxable income Subtract line 30 from line	29 .	<u></u>	· · · · · · · · · · · · · · · · · · ·	31	5,270,009.

For Paperwork Reduction Act Notice, see Instructions.

### Unrelated Business Taxable Income from an **Unrelated Trade or Business**

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

For calendar year 2019 or other tax year beginning  $\frac{09/01}{}$  , 2019, and ending  $\frac{08/31}{}$  , 20  $\frac{20}{}$ 

► Go to www.irs.gov/Form990T for instructions and the latest information

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3). Employer identification number Name of the organization 36-2167817 NORTHWESTERN UNIVERSITY

Pai	t I Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1 a	Gross receipts or sales			٠. :	-
ь	Less returns and allowances c Balance ▶	1c		<u> </u>	`
2	Cost of goods sold (Schedule A, line 7)	2			1
3	Gross profit Subtract line 2 from line 1c	3	•		
4a	Capital gain net income (attach Schedule D)	4a	32,055.	·	32,055
ь	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b		•	
С	Capital loss deduction for trusts	4c			
5	Income (loss) from a partnership or an S corporation (attach			•	
	statement)	5		· ,	
6	Rent income (Schedule C)	6			
7	Unrelated debt-financed income (Schedule E)	7			
8	Interest, annuities, royalties, and rents from a controlled				
	organization (Schedule F)	8			
9	Investment income of a section 501(c)(7), (9), or (17)				
	organization (Schedule G)	9			
0	Exploited exempt activity income (Schedule I)	10			
1	Advertising income (Schedule J)	11			
2	Other income (See instructions, attach schedule)	12			
3	Total. Combine lines 3 through 12	13	32,055.		32,055
Pa	Deductions Not Taken Elsewhere (See instruction connected with the unrelated business income )	ns for	limitations on deductions	s ) (Deductions mus	t be directly
4	Compensation of officers, directors, and trustees (Schedule K)			14	1
5	Salaries and wages			<u>1</u> !	5
6	Repairs and maintenance			10	6

	connected with the difference business income )		
14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance	16	
17	Bad debts	ı	
18	Interest (attach schedule) (see instructions)	18	
19	Taxes and licenses	l	
20	Depreciation (attach Form 4562)		
21	Less depreciation claimed on Schedule A and elsewhere on return	21b	
22	Depletion	22	
23	Contributions to deferred compensation plans	23	
24	Employee benefit programs	24	
25	Excess exempt expenses (Schedule I)	25	
26	Excess readership costs (Schedule J)	26	
27	Other deductions (attach schedule)		428.
28	Total deductions. Add lines 14 through 27	28	428.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	31,627.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
	instructions), , , ,	l	
31	Unrelated business taxable income Subtract line 30 from line 29		31,627.

For Paperwork Reduction Act Notice, see Instructions.

### Unrelated Business Taxable Income from an **Unrelated Trade or Business**

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

08/31 .20 20

► Go to www.irs.gov/Form990T for instructions and the latest information ▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

(C) Net

Name of the organization

NORTHWESTERN UNIVERSITY

Part I Unrelated Trade or Business Income

Employer identification number

(A) Income

36-2167817

(B) Expenses

Unrelated Business Activity Code (see instructions) ► 480000 Describe the unrelated trade or business ▶ PARTNERSHIP INVESTMENT - SILO 8

1 a	Gross receipts or sales			'		
b	Less returns and allowances c Balance ▶	1c				. • ]
2	Cost of goods sold (Schedule A, line 7)	2			<u>.                                     </u>	• 1
3	Gross profit Subtract line 2 from line 1c	3				
4a	Capital gain net income (attach Schedule D)	4a			•	
b	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b				
С	Capital loss deduction for trusts	4c		`		
5	Income (loss) from a partnership or an S corporation (attach			•		
	statement) ATCH 17	5	-377,770.	· "'		<u>-377,770.</u>
6	Rent income (Schedule C)	6				*****
7	Unrelated debt-financed income (Schedule E)	7				
8	Interest, annuities, royalties, and rents from a controlled					
	organization (Schedule F)	8				
9	Investment income of a section 501(c)(7), (9), or (17)					
	organization (Schedule G)	9				
10	Exploited exempt activity income (Schedule I)	10				
11	Advertising income (Schedule J)	11				
12	Other income (See instructions, attach schedule)	12		,	١,	
13	Total. Combine lines 3 through 12	13	-377,770.			-377,770.
Ра	Deductions Not Taken Elsewhere (See instruction connected with the unrelated business income )	ns foi	r limitations on deductio	ns ) (Deductions i	nust b	e directly
	connected with the unrelated business income )				,	e directly
14	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K)				14	e directly
14 15	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages				14	e directly
14 15 16	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages				14 15 16	e directly
14 15 16 17	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages				14 15 16 17	e directly
14 15 16 17	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages				14 15 16 17 18	e directly
14 15 16 17	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages				14 15 16 17 18	e directly
14 15 16 17 18	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages		20		14 15 16 17 18	e directly
14 15 16 17 18 19	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages	• • • • • • • • • • • • • • • • • • •	20 21a		14 15 16 17 18 19	e directly
14 15 16 17 18 19 20 21	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages	· · · · · · · · · · · · · · · · · · ·	20 21a		14 15 16 17 18 19 21b	e directly
14 15 16 17 18 19 20 21	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages	• • • • • • • • • • • • • • • • • • •	20 21a		14 15 16 17 18 19 21b 22 23	e directly
14 15 16 17 18 19 20 21 22 23	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages		20 21a		14 15 16 17 18 19 21b 22 23 24	e directly
14 15 16 17 18 19 20 21 22 23 24	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages Repairs and maintenance Bad debts Interest (attach schedule) (see instructions) Taxes and licenses Depreciation (attach Form 4562) Less depreciation claimed on Schedule A and elsewhere on red Depletion Contributions to deferred compensation plans Employee benefit programs	• • • • • • • • • • • • • • • • • • •	20 21a		14 15 16 17 18 19 21b 22 23 24	
14 15 16 17 18 19 20 21 22 23 24 25	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages Repairs and maintenance Bad debts Interest (attach schedule) (see instructions) Taxes and licenses Depreciation (attach Form 4562) Less depreciation claimed on Schedule A and elsewhere on red Depletion Contributions to deferred compensation plans Employee benefit programs Excess exempt expenses (Schedule I)	• • • • • • • • • • • • • • • • • • •	20 21a		14 15 16 17 18 19 21b 22 23 24 25 26	e directly
14 15 16 17 18 19 20 21 22 23 24 25 26	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages Repairs and maintenance Bad debts Interest (attach schedule) (see instructions) Taxes and licenses Depreciation (attach Form 4562) Less depreciation claimed on Schedule A and elsewhere on red Depletion Contributions to deferred compensation plans Employee benefit programs Excess exempt expenses (Schedule I) Excess readership costs (Schedule J)	• • • • • • • • • • • • • • • • • • •	20 21a	ATCH 1	14 15 16 17 18 19 21b 22 23 24 25 26	254. 254.
14 15 16 17 18 19 20 21 22 23 24 25 26 27	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages Repairs and maintenance Bad debts. Interest (attach schedule) (see instructions).  Taxes and licenses Depreciation (attach Form 4562). Less depreciation claimed on Schedule A and elsewhere on reductions.  Contributions to deferred compensation plans Employee benefit programs Excess exempt expenses (Schedule I) Excess readership costs (Schedule J).  Other deductions (attach schedule)	• • • • • • • • • • • • • • • • • • •	20 21a	ATCH 1	14 15 16 17 18 19 21b 22 23 24 25 26	254.
14 15 16 17 18 19 20 21 22 23 24 25 26 27	connected with the unrelated business income )  Compensation of officers, directors, and trustees (Schedule K) Salaries and wages	eturn	20 21a deduction Subtract line	ATCH 1:	14 15 16 17 18 19 21b 22 23 24 25 26 27 28	254. 254.
14 15 16 17 18 19 20 21 22 23 24 25 26 27 28	Compensation of officers, directors, and trustees (Schedule K) Salaries and wages Repairs and maintenance Bad debts Interest (attach schedule) (see instructions) Taxes and licenses Depreciation (attach Form 4562) Less depreciation claimed on Schedule A and elsewhere on re Depletion Contributions to deferred compensation plans Employee benefit programs Excess exempt expenses (Schedule I) Excess readership costs (Schedule J) Other deductions (attach schedule) Total deductions Add lines 14 through 27 Unrelated business taxable income before net operating	eturn	deduction Subtract line uning on or after Januar	ATCH 13 28 from line 13 ary 1, 2018 (see	14 15 16 17 18 19 21b 22 23 24 25 26 27 28	254. 254.

For Paperwork Reduction Act Notice, see instructions.

#### Unrelated Business Taxable Income from an **Unrelated Trade or Business**

OMB No 1545-0047

2019

Department of the Treasury Internal Revenue Service

09/01 , 2019, and ending 08/31 .20 20 For calendar year 2019 or other tax year beginning

▶ Go to www.irs.gov/Form9907 for instructions and the latest information.

Open to Public Inspection for 501(c (3) Organizations Only Employer identification number

NORTHWESTERN UNIVERSITY

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3). Name of the organization

36-2167817

Unrelated Business Activity Code (see instructions) ▶ 230000

Describe the unrelated trade or business ▶ PARTNERSHIP INVESTMENT - SILO 9 (C) Net Part I Unrelated Trade or Business Income (A) Income (B) Expenses 1 a Gross receipts or sales b Less returns and allowances 2 Cost of goods sold (Schedule A, line 7) . . . . . . . . . . . . . . 2 Gross profit Subtract line 2 from line 1c . . . . . . . . . 3 4a Capital gain net income (attach Schedule D) . . . . . . . . Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797). . Income (loss) from a partnership or an S corporation (attach 100,919. 100,919 5 6 Unrelated debt-financed income (Schedule E). . . . . . . Interest, annuities, royalties, and rents from a controlled 8 Investment income of a section 501(c)(7), (9), or (17) Exploited exempt activity income (Schedule I) . . . . . . 10 10 Advertising income (Schedule J) . . . . . . . . . 11 12 12 Other income (See instructions, attach schedule) . . . . . 100,919. 100,919. 13 Total Combine lines 3 through 12......

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions ) (Deductions must be directly connected with the unrelated business income )

_			
14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance	16	
17	Bad debts	17	
18	Interest (attach schedule) (see instructions)	18	
19	Taxes and licenses	19	1,067.
20	Depreciation (attach Form 4562)		
21	Less depreciation claimed on Schedule A and elsewhere on return	21b	
22	Depletion	22	
23	Contributions to deferred compensation plans	23	
24	Employee benefit programs		
25	Excess exempt expenses (Schedule I)	25	
26	Excess readership costs (Schedule J)	26_	
27	Other deductions (attach schedule)	J	1,222.
28	Total deductions. Add lines 14 through 27	28	2,289.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	98,630.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
	instructions),	30	
31	Unrelated business taxable income Subtract line 30 from line 29	31	98,630.

For Paperwork Reduction Act Notice, see instructions.

#### Unrelated Business Taxable Income from an Unrelated Trade or Business

OMB No 1545-0047

2019

Department of the Treasury Internal Revenue Service

For calendar year 2019 or other tax year beginning  $\frac{09/01}{}$  , 2019, and ending  $\frac{08/31}{}$  , 20  $\frac{20}{}$ 

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Open to Public Inspection for 501(c)(3) Organizations Only

25,033.

Name of the organization

NORTHWESTERN UNIVERSITY

Unrelated Business Activity Code (see instructions) ▶ 720000

Other income (See instructions, attach schedule) . . . . .

Employer identification number

36-2167817

Describe the unrelated trade or business ▶ PARTERSHIP INVESTMENT - SILO 10 Part I Unrelated Trade or Business Income (A) Income (B) Expenses (C) Net 1 a Gross receipts or sales 1c b Less returns and allowances Cost of goods sold (Schedule A, line 7) . . . . . . 2 2 Gross profit Subtract line 2 from line 1c . . . . . 3 18,675. 18,675. 4a Capital gain net income (attach Schedule D) . . . . . . . 4a Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797). . Income (loss) from a partnership or an S corporation (attach 6,358 6,358. statement) . . . . . . . . . . . . ATCH 21 5 6 7 Unrelated debt-financed income (Schedule E). . . . . . . interest, annuities, royalties, and rents from a controlled 8 Investment income of a section 501(c)(7), (9), or (17) 9

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions ) (Deductions must be directly connected with the unrelated business income )

10

11

12

25,033.

	·		
14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance	16	
17	Bad debts	17_	
18	Interest (attach schedule) (see instructions)	18	
19	Taxes and licenses	19	265.
20	Depreciation (attach Form 4562)		
21	Less depreciation claimed on Schedule A and elsewhere on return	21b	
22	Depletion	22	
23	Contributions to deferred compensation plans	23	
24	Employee benefit programs	l	
25	Excess exempt expenses (Schedule I)		
26	Excess readership costs (Schedule J)	26	
27	Other deductions (attach schedule)		303.
28	Total deductions. Add lines 14 through 27		568.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	24,465.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
_	instructions),	30	
31	Unrelated business taxable income Subtract line 30 from line 29		24,465.

For Paperwork Reduction Act Notice, see instructions

Schedule M (Form 990-T) 2019

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### Unrelated Business Taxable Income from an Unrelated Trade or Business

OMB No 1545-0047

2019

Department of the Treasury Internal Revenue Service

For calendar year 2019 or other tax year beginning  $\frac{09/01}{}$  , 2019, and ending  $\frac{08/3}{}$ 

08/31,2020

Go to www.irs.gov/Form990T for instructions and the latest information.
 Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

Name of the organization	Name
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NORTHWESTERN UNIVERSITY

Employer identification number 36-2167817

Unrelated Business Activity Code (see instructions) ► 420000

Describe the unrelated trade or business ► PARTNERSHIP INVESTMENT - SILO 11

(C) Net Part I Unrelated Trade or Business Income (A) Income (B) Expenses 1 a Gross receipts or sales b Less returns and allowances Cost of goods sold (Schedule A, line 7)..... 2 2 Gross profit Subtract line 2 from line 1c . . . . . . . . . 3 4a Capital gain net income (attach Schedule D) . . . . . . . 4a b Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797). . 4b Income (loss) from a partnership or an S corporation (attach -112,209. statement) . . . . . . . . . . . . ATCH 23 -112,2095 6 Unrelated debt-financed income (Schedule E). . . . . . . 7 Interest, annuities, royalties, and rents from a controlled 8 Investment income of a section 501(c)(7), (9), or (17) 9 Exploited exempt activity income (Schedule I) . . . . . . 10 11 Advertising income (Schedule J) . . . . . . . . . . Other income (See instructions, attach schedule) . . . . . 12 -112,209. -112,209. Total Combine lines 3 through 12 . . . . . . . . . .

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions ) (Deductions must be directly connected with the unrelated business income )

14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance		
17	Bad debts	17	. <u> </u>
18	Interest (attach schedule) (see instructions)	18	
19	Taxes and licenses	l	
20	Depreciation (attach Form 4562)		
21	Less depreciation claimed on Schedule A and elsewhere on return	21 b	
22	Depletion	22	
23	Contributions to deferred compensation plans	I	
24	Employee benefit programs	I .	
25	Excess exempt expenses (Schedule I)		
26	Excess readership costs (Schedule J)		
27	Other deductions (attach schedule)		2,573.
28	Total deductions. Add lines 14 through 27	I	2,573.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	-114,782.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
30	Instructions)	30	
31	Unrelated business taxable income Subtract line 30 from line 29	I	-114,782.
3 I	Official dustriess rayable income Subtract line so northline 23		<u> </u>

For Paperwork Reduction Act Notice, see instructions.

#### Unrelated Business Taxable Income from an Unrelated Trade or Business

OMB No 1545-0047

2019

Department of the Treasury Internal Revenue Service For calendar year 2019 or other tax year beginning 09/01, 2019, and ending 08/31, 20 20

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

► Go to www.irs.gov/Form990T for instructions and the latest information.

Open to Public Inspection for 501(c)(3) Organizations Only

Name of the organization

NORTHWESTERN UNIVERSITY

Unrelated Business Activity Code (see instructions) ▶ 510000

Investment income of a section 501(c)(7), (9), or (17)

Other income (See instructions, attach schedule) . . . . .

Total. Combine lines 3 through 12 . . . . . . . . . . . . . . . . .

Employer identification number

36-2167817

Describe the unrelated trade or business ▶ PARTNERSHIP INVESTMENT - SILO 12 (C) Net Part I Unrelated Trade or Business Income (A) Income (B) Expenses 1a Gross receipts or sales Less returns and allowances Cost of goods sold (Schedule A, line 7)...... 2 2 Gross profit Subtract line 2 from line 1c . . . . . . . . . . 3 4a Capital gain net income (attach Schedule D) . . . . . . . 4a Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797). . 4b Income (loss) from a partnership or an S corporation (attach -248,077. statement) . . . . . . . . . . . . ATCH 25 -248,077 5 6 Unrelated debt-financed income (Schedule E). . . . . . . . 7 Interest, annuities, royalties, and rents from a controlled 8 

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions ) (Deductions must be directly connected with the unrelated business income )

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12

-248,077.

	·		
14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance		
17	Bad debts		
18	Interest (attach schedule) (see instructions)		
19	Taxes and licenses		
20	Depreciation (attach Form 4562)		
21	Less depreciation claimed on Schedule A and elsewhere on return	21b	
22	Depletion	22	
23	Contributions to deferred compensation plans	$\overline{}$	
24	Employee benefit programs		
25	Excess exempt expenses (Schedule I)		
26	Excess readership costs (Schedule J)		-
27	Other deductions (attach schedule)		1,475.
28	Total deductions. Add lines 14 through 27		1,475.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	-249,552.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
50	instructions)		•
31	Unrelated business taxable income Subtract line 30 from line 29		-249,552.
J 1	Official Co Business taxable meeting Cabitate into Co North line Lo		

For Paperwork Reduction Act Notice, see instructions.

Schedule M (Form 990-T) 2019

-248,077.

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#### Unrelated Business Taxable Income from an Unrelated Trade or Business

OMB No 1545-0047

2019

Department of the Treasury Internal Revenue Service For calendar year 2019 or other tax year beginning  $\underline{-09/01}$  , 2019, and ending  $\underline{-}$ 

\_\_\_08/31 , 20 20

▶ Go to www.irs.gov/Form990T for instructions and the latest information.
 ▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for -

Name of the orga	31	1	Z	ation
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Unrelated Business Activity Code (see instructions) ▶ 330000

Employer identification number

NORTHWESTERN UNIVERSITY 36-2167817

Describe the unrelated trade or business ▶ PARTNERSHIP INVESTMENT - SILO 13 Part I Unrelated Trade or Business Income (C) Net (A) Income (B) Expenses 1a Gross receipts or sales Less returns and allowances Cost of goods sold (Schedule A, line 7)..... 2 3 Gross profit Subtract line 2 from line 1c . . . . . . . . . 4a Capital gain net income (attach Schedule D) . . . . . . . 4a Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797). . 4c Income (loss) from a partnership or an S corporation (attach -549,274 -549,274. statement) . . . . . . . . . ATCH 27 6 7 Unrelated debt-financed income (Schedule E). . . . . . . Interest, annuities, royalties, and rents from a controlled R Investment income of a section 501(c)(7), (9), or (17) 10 Exploited exempt activity income (Schedule I) . . . . . . Advertising income (Schedule J) . . . . . . . . . . 11 Other income (See instructions, attach schedule) . . . . . 12 -549,274. -549,274. Total. Combine lines 3 through 12........ 13

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions ) (Deductions must be directly connected with the unrelated business income )

	,		
14	Compensation of officers, directors, and trustees (Schedule K)	14	
15	Salaries and wages	15	
16	Repairs and maintenance	16	
17	Bad debts	17	
18	Interest (attach schedule) (see instructions)	18	
19	Taxes and licenses	19	
20	Depreciation (attach Form 4562)		
21	Less depreciation claimed on Schedule A and elsewhere on return	21 b	
22	Depietion	22	
23	Contributions to deferred compensation plans	23	
24	Employee benefit programs	24	
25	Excess exempt expenses (Schedule I)	25	
26	Excess readership costs (Schedule J)	f	
27	Other deductions (attach schedule)	27	18,226.
28	Total deductions Add lines 14 through 27	28	18,226.
29	Unrelated business taxable income before net operating loss deduction. Subtract line 28 from line 13	29	-567,500.
30	Deduction for net operating loss arising in tax years beginning on or after January 1, 2018 (see		
	instructions)	30	
31	Unrelated business taxable income Subtract line 30 from line 29	31	-567,500.
	<del></del>		

For Paperwork Reduction Act Notice, see instructions.

#### **SCHEDULE D** (Form 1120)

Capital Gains and Losses

► Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.

OMB No 1545-0123

2019

Department of the Treasury Internal Revenue Service

▶ Go to www irs.gov/Form1120 for instructions and the latest information Employer identification number 36-2167817 NORTHWESTERN UNIVERSITY Did the corporation dispose of any investment(s) in a qualified opportunity fund during the tax year? Yes X No If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss Short-Term Capital Gains and Losses (See instructions ) (g) Adjustments to gain (h) Gain or (loss) See instructions for how to figure the amounts to enter on (d) (e) Subtract column (e) from the lines below or loss from Form(s) Proceeds Cost 8949, Part I, line 2, column (d) and combine This form may be easier to complete if you round off cents to (or other basis) (sales price) column (g) the result with column (g) whole dollars 1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b . . . 1b Totals for all transactions reported on Form(s) 8949 Totals for all transactions reported on Form(s) 8949 Totals for all transactions reported on Form(s) 8949 12,936. 4 Short-term capital gain from installment sales from Form 6252, line 26 or 37 5 Short-term capital gain or (loss) from like-kind exchanges from Form 8824 6 Unused capital loss carryover (attach computation) 6 12,936. Long-Term Capital Gains and Losses (See instructions (g) Adjustments to gain (h) Gain or (loss) See instructions for how to figure the amounts to enter on (e) or loss from Form(s) Subtract column (e) from the lines below Proceeds Cost 8949, Part II, line 2, column (d) and combine This form may be easier to complete if you round off cents to (sales price) (or other basis) the result with column (g) column (g) whole dollars 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However if you choose to report all these transactions on Form 8949 leave this line blank and go to line 8b 8b Totals for all transactions reported on Form(s) 8949 Totals for all transactions reported on Form(s) 8949 with Box Echecked . . . . . . . . . . . . . . . . Totals for all transactions reported on Form(s) 8949 16,015,117. 3,757,795. 11 Enter gain from Form 4797, line 7 or 9 Long-term capital gain from installment sales from Form 6252, line 26 or 37 12 12 Long-term capital gain or (loss) from like-kind exchanges from Form 8824 13 Capital gain distributions (see instructions) 14 19,772,912. Net long-term capital gain or (loss) Combine lines 8a through 14 in column h Part III Summary of Parts I and II 12,936. Enter excess of net short-term capital gain (line 7) over net long-term capital loss (line 15) 16 19,772,912.

Note: If losses exceed gains, see Capital Losses in the instructions For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Net capital gain Enter excess of net long-term capital gain (line 15) over net short-term capital loss (line 7). . . .

Add lines 16 and 17 Enter here and on Form 1120, page 1, line 8, or the proper line on other returns

Schedule D (Form 1120) 2019

19,785,848.

17

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## Form 8949

## Sales and Other Dispositions of Capital Assets

▶ Go to www.irs.gov/Form8949 for instructions and the latest information.

OMB No 1545-0074

Department of the Treasury Internal Revenue Service

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Social security number or taxpayer Identification number

36-2167817

Name(s) shown on return NORTHWESTERN UNIVERSITY

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker A substitute statement will have the same information as Form 1099-B Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check

Short-Term. Transactions involving capital assets you held 1 year or less are generally short-term (see instructions) For long-term transactions, see page 2 Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D. line 1a, you aren't required to report these transactions on Form 8949 (see instructions) You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above) (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS (C) Short-term transactions not reported to you on Form 1099-B Adjustment, if any, to gain or loss. If you enter an amount in column (a).

(a) Description of property	Date acquired Date	(c) Date sold or	(d) Proceeds (sales price) (see instructions)	Cost or other basis See the Note below	enter a co See the sepa	Gain or (loss) Subtract column (e)	
(Example 100 sh XYZ Co)		disposed of (Mo, day, yr)		and see Column (e) in the separate instructions	(f) Code(s) from instructions	(g) Amount of adjustment	from column (d) and combine the result with column (g)
FROM SCH. K-1 (1065)							50,787
PARTNERSHIP INVESTMENT - SILO 6							-37,851.
					<u></u> .		
2 Totals. Add the amounts in columns negative amounts) Enter each total Schedule D. line 1b (if Box A above schedule D. line) or line 1 (if Box C above schedule D. line) and if Box C above schedule D. line 1 (if Box C above schedule D. line) and if Box C above schedule D. line 1 (if Box C above schedule D. line) and if Box C above schedule D. line 1 (if Box C above schedule D. line) and in the line is the line	here and inc is checked), lin	lude on your e 2 (if Box B					12,936

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

Attachment Seque	ence No 1	2A
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Foliii 6545 (2015)	Attention and adjusted to 1211
Name(s) shown on return Name and SSN or taxpayer Identification no not required if shown on other side	Social security number or taxpayer identification number
NORTHWESTERN UNIVERSITY	36-2167817

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check

Part II

Long-Term. Transactions involving capital assets you held more than 1 year are generally long-term (see instructions) For short-term transactions, see page 1

Note: You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 8a, you aren't required to report these transactions on Form 8949 (see instructions)

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

	[ID] Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
	(E) Long-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS
X	(F) Long-term transactions not reported to you on Form 1099-B

(a) Description of property	(b) Date acquired	(c) Date sold or disposed of	(d) Proceeds (sales price)	(e) Cost or other basis See the Note below and see Column (e)	Adjustment, if any, to gain or loss if you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and
(Example 100 sh XYZ Co)	(Mo, day, yr)	(Mo , day, yr )	(see instructions)	in the separate	(f) Code(s) from instructions	(g) Amount of adjustment	combine the result with column (g)
FROM SCH. K-1 (1065)							15,844,650.
PARTNERSHIP INVESTMENT - SILO 7							32,055.
PARTNERSHIP INVESTMENT - SILO 6							138,412.
				-			
	_						
	<u> </u>						
2 Totals. Add the amounts in columns negative amounts) Enter each total Schedule D, line 8b (if Box D above above is checked), or line 10 (if Box D above Is checked).	here and inclusion the here and inclusion inclusion including the here and including the he	ude on your • 9 (if Box E ecked) ▶					16,015,117.

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

Form 8949 (2019)

## Form 3800

## **General Business Credit**

► Go to www.irs.gov/Form3800 for instructions and the latest information

You must attach all pages of Form 3800, pages 1, 2, and 3, to your tax return

OMB No 1545-0895

2019
Attachment
Sequence No 22

Department of the Treasury Internal Revenue Service (99) Name(s) shown on return

Identifying number

	WESTERN UNIVERSITY		10/01/
Part I	Current Year Credit for Credits Not Allowed Against Tentative Minimum Tax (TMT (See instructions and complete Part(s) III before Parts I and II)	)	
1	General business credit from line 2 of all Parts III with box A checked	1	64,025
2	Passive activity credits from line 2 of all Parts III with box B checked 2		
3	Enter the applicable passive activity credits allowed for 2019 See instructions	3	
4	Carryforward of general business credit to 2019 Enter the amount from line 2 of Part III with		
	box C checked See instructions for statement to attach	4	1,091,649.
5	Carryback of general business credit from 2020 Enter the amount from line 2 of Part III with		
	box D checked See instructions	5	
6	Add lines 1, 3, 4, and 5	6	1,155,674.
Part II	Allowable Credit		
7	Regular tax before credits		
	Individuals Enter the sum of the amounts from Form 1040 or 1040-SR, line 12a, and Schedule 2 (Form 1040 or 1040-SR), line 2, or the sum of the amounts from Form 1040-NR, lines 42 and 44	7	
8	Alternative minimum tax	4	
	• Individuals Enter the amount from Form 6251, line 11	<u></u>	
	• Corporations Enter -0	8	
	• Estates and trusts Enter the amount from Schedule I (Form 1041), line 54	*	
	·		
9	Add lines 7 and 8	9	- <del></del>
10a	Foreign tax credit		
b	Certain allowable credits (see instructions)	]	
	Add lines 10a and 10b	10c	
_			
11	Net income tax. Subtract line 10c from line 9 If zero, skip lines 12 through 15 and enter -0- on line 16	11	
12	Net regular tax. Subtract line 10c from line 7 If zero or less, enter -0-	<u> </u>	
42	Enter 25% (0 25) of the excess, if any, of line 12 over \$25,000 See		
13	Instructions	1 1	
14	Tentative minimum tax	1	
14	• Individuals Enter the amount from Form 6251, line 9		
	\	.	
	Corporations Enter -0	1	
	line 52	ll	
15	Enter the greater of line 13 or line 14	15	
16	Subtract line 15 from line 11 If zero or less, enter -0	16	
17	Enter the smaller of line 6 or line 16 · · · · · · · · · · · · · · · · · ·	17	
• • •	C corporations: See the line 17 instructions if there has been an ownership change, acquisition,	` <u> </u>	
	or reorganization	-r	
For Pap	C corporations: See the line 17 instructions if there has been an ownership change, acquisition,		Form 3800 (2019

Part			
Note:	If you are not required to report any amounts on line 22 or 24 below, skip lines 18 through 25 and enter	er -0-	on line 26
18	Multiply line 14 by 75% (0 75) See instructions	18	
19	Enter the greater of line 13 or line 18	19	
20	Subtract line 19 from line 11 If zero or less, enter -0	20	
21	Subtract line 17 from line 20 If zero or less, enter -0	21	
22	Combine the amounts from line 3 of all Parts III with box A, C, or D checked	22	
23	Passive activity credit from line 3 of all Parts III with box B checked 23	_	
24	Enter the applicable passive activity credit allowed for 2019 See instructions	24	
25	Add lines 22 and 24	25_	
26	Empowerment zone and renewal community employment credit allowed Enter the smaller of line 21 or line 25	26	
27	Subtract line 13 from line 11 If zero or less, enter -0	27	
28	Add lines 17 and 26	28	
29	Subtract line 28 from line 27 If zero or less, enter -0	29	
30	Enter the general business credit from line 5 of all Parts III with box A checked	30	156,506
31	Reserved	31_	
32	Passive activity credits from line 5 of all Parts III with box B checked 32		
33	Enter the applicable passive activity credits allowed for 2019 See instructions	33	
34	Carryforward of business credit to 2019 Enter the amount from line 5 of Part III with box C checked and line 6 of Part III with box G checked See instructions for statement to attach	34	1,409
35	Carryback of business credit from 2020 Enter the amount from line 5 of Part III with box D checked See instructions	35	
36	Add lines 30, 33, 34, and 35	36	157,915.
37	Enter the smaller of line 29 or line 36	37	
38	Credit allowed for the current year. Add lines 28 and 37 Report the amount from line 38 (if smaller than the sum of Part I, line 6, and Part II, lines 25 and 36, see instructions) as indicated below or on the applicable line of your return  Individuals Schedule 3 (Form 1040 or 1040-SR), line 6, or Form 1040-NR, line 51		
	Corporations Form 1120, Schedule J, Part I, line 5c	38	

Form 3800 (2019)

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Form 3800 (2019) Identifying number Name(s) shown on return 36-2167817 NORTHWESTERN UNIVERSITY Part III General Business Credits or Eligible Small Business Credits (see instructions) Complete a separate Part III for each box checked below See instructions Α General Business Credit From a Non-Passive Activity Reserved В F General Business Credit From a Passive Activity Reserved G C Eligible Small Business Credit Carryforwards General Business Credit Carryforwards D н Reserved General Business Credit Carrybacks if you are filing more than one Part III with box A or B checked, complete and attach first an additional Part III combining amounts from all Parts ill with box A or B checked Check here if this is the consolidated Part III (a) Description of credit (b)
If claiming the credit (c) Enter the Note On any line where the credit is from more than one source, a separate Part III is needed for each from a pass-through appropriate entity, enter the EIN pass-through entity amount 1a Investment (Form 3468, Part II only) (attach Form 3468) . . . . . . . . . . . . . . . 1a 1b Reserved 64,025. 1c Low-income housing (Form 8586, Part I only) 1d Disabled access (Form 8826) (see instructions for limitation) . . . . . . . . . 1e

Renewable electricity, refined coal, and Indian coal production (Form 8835) 1f Indian employment (Form 8845) 1g Orphan drug (Form 8820) 1h New markets (Form 8874) 11 Small employer pension plan startup costs (Form 8881) (see instructions for limitation) 1j k Employer-provided child care facilities and services (Form 8882) (see instructions for limitation) 1k 11 Biodiesel and renewable diesel fuels (attach Form 8864) m Low sulfur diesel fuel production (Form 8896)........ 1<sub>m</sub> Distilled spirits (Form 8906)...... 1n Nonconventional source fuel (carryforward only)...... 10 Energy efficient home (Form 8908) 1p 1q Alternative motor vehicle (Form 8910) 1r 1s 1t 1u 1٧ 1w Carbon oxide sequestration (Form 8933)........ 1x Qualified plug-in electric drive motor vehicle (Form 8936)........ 1y z Qualified plug-in electric vehicle (carryforward only) 1z aa Employee retention (Form 5884-A) 1aa bb General credits from an electing large partnership (carryforward only) . . . . . . 1<sub>bb</sub> zz Other Oil and gas production from marginal wells (Form 8904) and certain other credits (see instructions) 1zz 2 64,025 2 Add lines 1a through 1zz and enter here and on the applicable line of Part I Enter the amount from Form 8844 here and on the applicable line of Part II 3 4a Investment (Form 3468, Part III) (attach Form 3468) 4a Work opportunity (Form 5884) 4b Biofuel producer (Form 6478) Low-income housing (Form 8586, Part II) 4d Renewable electricity, refined coal, and Indian coal production (Form 8835) 4e Employer social security and Medicare taxes paid on certain employee tips (Form 8846) 156,506. 4f 4g Small employer health insurance premiums (Form 8941) 4h Increasing research activities (Form 6765) 4i Employer credit for paid family and medical leave (Form 8994) . . . . . . . . . 4j 4z Other Add lines 4a through 4z and enter here and on the applicable line of Part II 5 156,506. 5 Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . . 220,531.

Form 3800 (2019)

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Form	3800 (2019)			Page
Name	(s) shown on return		Identifying number	
NOR	THWESTERN UNIVERSITY		36-2167817	
Par	t III General Business Credits or Eligible Small Business Credits (see ins	structio	ns)	
Com	plete a separate Part III for each box checked below See instructions			
Α	X General Business Credit From a Non-Passive Activity E Reserved			
В	General Business Credit From a Passive Activity F Reserved			
С	<del></del>	l Busin	ess Credit Carryforwa	rds
D	General Business Credit Carrybacks H Reserved			-
	If you are filing more than one Part III with box A or B checked, complete and attach first an a	addition	al Part III combining an	nounts from all Parte
	Ill with box A or B checked Check here if this is the consolidated Part III			
	(a) Description of credit	<del></del>	(b)	(c)
Nata	On any line where the credit is from more than one source, a separate Part III is needed for		If claiming the credit	Enter the
	Ton any line where the credit is from more than one source, a separate Part III is needed for a -through entity		from a pass-through entity, enter the EIN	appropriate amount
	Investment (Form 3468, Part II only) (attach Form 3468)	1a	and and and and	
	Reserved	1b	-	<del></del>
C		1c	81-3567354	7,704.
d		1d	31 330/331	1,101.
e e		1e	- -	
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
	1.1	1g		
g h		19 1h		
11		11	+	
1	New markets (Form 8874)	11 1i		
l l		<del>  ''  </del>		·
k		1 k		
	Instructions for limitation)  Biodiesel and renewable diesel fuels (attach Form 8864)	11		<del> </del>
		1m		
, n		<del> </del>		
, n		1n	+	
0	* // // * * * * * * * * * * * * * * * *	10		
P	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1p		
9	** ** ** ** ** ** ** ** ** ** ** ** **	1q		
r	Alternative motor vehicle (Form 8910)	1r		
S		1s		
t	Enhanced oil recovery credit (Form 8830)			
u		1u		
V	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1v		
W	Employer differential wage payments (Form 8932)	1w		
	Carbon oxide sequestration (Form 8933)	1x		
у		1y		
Z	~ , , , , , , , , , , , , , , , , , , ,	1z		
a.	a Employee retention (Form 5884-A)	1aa		<del> </del>
	b General credits from an electing large partnership (carryforward only)	1bb		
Z	other Oil and gas production from marginal wells (Form 8904) and certain			
	other credits (see instructions)	1zz		
2	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		7,704.
3	Enter the amount from Form 8844 here and on the applicable line of Part II	3		
4a	Investment (Form 3468, Part III) (attach Form 3468)	4a		
b		4b		
C	Biofuel producer (Form 6478)	4c		
d	. , , , , , , , , , , , , , , , , , , ,	4d		
е		4e		
f	Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f	36-4788073	3,428.
g	Qualified railroad track maintenance (Form 8900)	4g		
h		4h		
i	Increasing research activities (Form 6765)	4i		
) j	Employer credit for paid family and medical leave (Form 8994)	4j		
z		4z		
5	Add lines to through 47 and enter here and on the applicable line of Part II			3 129

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11,132.

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . .

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	3800 (2019)		Identifying number	Page
	e(s) shown on return			
	RTHWESTERN UNIVERSITY		36-2167817	
	General Business Credits or Eligible Small Business Credits (see ins	structi	ons)	
Cor	nplete a separate Part III for each box checked below See instructions			
A	General Business Credit From a Non-Passive Activity E Reserved			
В	General Business Credit From a Passive Activity F Reserved		0 110	
C		I Busi	ness Credit Carryforwa	ras
D	General Business Credit Carrybacks H Reserved			
1	If you are filing more than one Part III with box A or B checked, complete and attach first an a III with box A or B checked. Check here if this is the consolidated Part III			
	(a) Description of credit	<u> </u>	(b) If claiming the credit	(c)
Note	e: On any line where the credit is from more than one source, a separate Part III is needed for	each	If claiming the credit from a pass-through	Enter the appropriate
	-through entity		entity, enter the EIN	amount
	Investment (Form 3468, Part II only) (attach Form 3468)	1a		
1	Reserved	1b		
	Increasing research activities (Form 6765)	1c	37-1603473	8,136.
	Low-income housing (Form 8586, Part I only)	1d		
	Disabled access (Form 8826) (see instructions for limitation)	1e		
1	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
9	Indian employment (Form 8845)	1g		
ı	Orphan drug (Form 8820)	1h		
i	New markets (Form 8874)	1i		
j	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	1j		
I	Employer-provided child care facilities and services (Form 8882) (see			
	instructions for limitation)	1k		
J	Biodiesel and renewable diesel fuels (attach Form 8864)	11_		
1	n Low sulfur diesel fuel production (Form 8896)	1m		
1	Distilled spirits (Form 8906)	<u>1n</u>		
•	Nonconventional source fuel (carryforward only)	10		
I	Energy efficient home (Form 8908)	1p		
(	Energy efficient appliance (carryforward only)	1q		
ı	Alternative motor vehicle (Form 8910)	1r		
	Alternative fuel vehicle refueling property (Form 8911)	1s		
1	, , , , , , , , , , , , , , , , , , , ,	1t		
	Mine rescue team training (Form 8923)	1u 1v		
	Agricultural chemicals security (carryforward only)	1w		<del></del>
	w Employer differential wage payments (Form 8932)			
	Carbon oxide sequestration (Form 8933)	1x 1y		
_		1z		
	· ·	1aa		
	ua Employee retention (Form 5884-A)	1bb		•
	2 Other Oil and gas production from marginal wells (Form 8904) and certain			
•	other credits (see instructions)	1zz		
2	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		8,136.
3	Enter the amount from Form 8844 here and on the applicable line of Part II	3		
4:		4a		
_	Work opportunity (Form 5884)	4b		
Ċ	- 4 (= 0.450)	4c		
Ì		4d		
	Renewable electricity, refined coal, and Indian coal production (Form 8835)	4e		
1		4f	90-0857562	7,983.
	Qualified railroad track maintenance (Form 8900)	4g		
	Small employer health insurance premiums (Form 8941)	4h		
į	Increasing research activities (Form 6765)	4i_		
) i	Employer credit for paid family and medical leave (Form 8994)	4j		
	Other	4-		

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7,983.

16,119.

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Add lines 4a through 4z and enter here and on the applicable line of Part II . . . .

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . . .

Page	3
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143,514.

4g

4h

4i

4j

Qualified railroad track maintenance (Form 8900)

Increasing research activities (Form 6765)..........

Employer credit for paid family and medical leave (Form 8994)......

Add lines 4a through 4z and enter here and on the applicable line of Part II

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . .

Other

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Form 3800 (2019)	Page 3
Name(s) shown on return	Identifying number
NORTHWESTERN UNIVERSITY	36-2167817

NOR'	THWESTERN UNIVERSITY		36-2167817	
Par	General Business Credits or Eligible Small Business Credits (see ins	structi	ons)	
Com	plete a separate Part III for each box checked below See instructions			
A	General Business Credit From a Non-Passive Activity E Reserved			
В	General Business Credit From a Passive Activity F Reserved			
С	- · · · · · · · · · · · · · · · · · · ·	l Busi	ness Credit Carryforwa	rds
D	General Business Credit Carrybacks H Reserved		•	
	f you are filing more than one Part III with box A or B checked, complete and attach first an a	additio	nal Part ill combining an	nounts from all Parts
	· · · · · · · · · · · · · · · · · · ·			
	(a) Description of credit		(b)	(c)
	On any line where the credit is from more than one source, a separate Part III is needed for otherwise.	each	If claiming the credit from a pass-through entity, enter the EIN	Enter the appropriate amount
	Investment (Form 3468, Part II only) (attach Form 3468)	1a	entity, enter the Liv	umount
b	Reserved	1b		· · · · · · · · · · · · · · · · · · ·
c	Increasing research activities (Form 6765)	1c	26-2377163	2,209.
d	Low-income housing (Form 8586, Part I only)	1d	20 23.7103	2,203.
e	Disabled access (Form 8826) (see instructions for limitation)	1e		
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	11		
-	Indian employment (Form 8845)	1g		
9		1h		***
h	Orphan drug (Form 8820)	1i	_	<del></del>
j	New markets (Form 8874)	11		<del></del>
J	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	۳-		
k	Employer-provided child care facilities and services (Form 8882) (see	4.6		
	instructions for limitation)	1 k		
J	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
	Low sulfur diesel fuel production (Form 8896)	1m		
n	Distilled spirits (Form 8906)	1n		
0	Nonconventional source fuel (carryforward only)	10		
P	Energy efficient home (Form 8908)	1p		
q	Energy efficient appliance (carryforward only)	1q		
r	Alternative motor vehicle (Form 8910)	1r		
S	Alternative fuel vehicle refueling property (Form 8911)	1s		
t	Enhanced oil recovery credit (Form 8830)	1t		· · · · · · · · · · · · · · · · · · ·
u	Mine rescue team training (Form 8923)	1u		
V	Agricultural chemicals security (carryforward only)	1٧		
w	Employer differential wage payments (Form 8932)	1w		
X	Carbon oxide sequestration (Form 8933)	1x		
У	Qualified plug-in electric drive motor vehicle (Form 8936)	<u>1y</u>		
	Qualified plug-in electric vehicle (carryforward only)	1z		
aa	Employee retention (Form 5884-A)	1aa	_	
bl	General credits from an electing large partnership (carryforward only)	1bb		<u> </u>
22	Other Oil and gas production from marginal wells (Form 8904) and certain			
	other credits (see instructions)	1zz		
2	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		2,209.
3	Enter the amount from Form 8844 here and on the applicable line of Part II	3		
4a	Investment (Form 3468, Part III) (attach Form 3468)	4a		
b	Work opportunity (Form 5884)	4b		
С	Biofuel producer (Form 6478)	4c		
d	Low-income housing (Form 8586, Part II)	4d		
e	Renewable electricity, refined coal, and Indian coal production (Form 8835).	4e		
f	Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f	26-1163727	1,581.
g	Qualified railroad track maintenance (Form 8900)	4g		
h	Small employer health insurance premiums (Form 8941)	4h		
i	Increasing research activities (Form 6765)	4i		
j	Employer credit for paid family and medical leave (Form 8994)	4j		
Z	Other	4z		
5	Add lines 4a through 4z and enter here and on the applicable line of Part II	5		1,581.
6	Add lines 2.3. and 5 and enter here and on the applicable line of Part II	6		3 790

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Page	3	

Form 3800 (2019) ldentifying number Name(s) shown on return 36-2167817 NORTHWESTERN UNIVERSITY General Business Credits or Eligible Small Business Credits (see instructions) Complete a separate Part III for each box checked below See instructions General Business Credit From a Non-Passive Activity Reserved В F General Business Credit From a Passive Activity Reserved C G Eligible Small Business Credit Carryforwards General Business Credit Carryforwards D General Business Credit Carrybacks Н Reserved If you are filing more than one Part III with box A or B checked, complete and attach first an additional Part III combining amounts from all Parts Ill with box A or B checked Check here if this is the consolidated Part III (a) Description of credit (c) Enter the if claiming the credit Note: On any line where the credit is from more than one source, a separate Part III is needed for each from a pass-through appropriate pass-through entity entity, enter the EIN amount 1a Investment (Form 3468, Part II only) (attach Form 3468) 1b c Increasing research activities (Form 6765) 1c 47-4531004 22. d Low-income housing (Form 8586, Part I only) Disabled access (Form 8826) (see instructions for limitation) 1e Renewable electricity, refined coal, and Indian coal production (Form 8835) 1f Indian employment (Form 8845) 1h h Orphan drug (Form 8820) 1i New markets (Form 8874) Small employer pension plan startup costs (Form 8881) (see instructions for limitation) 1j i k Employer-provided child care facilities and services (Form 8882) (see instructions for limitation) 1k Biodiesel and renewable diesel fuels (attach Form 8864) 11 m Low sulfur diesel fuel production (Form 8896) 1m n Distilled spirits (Form 8906) 1n Nonconventional source fuel (carryforward only) Energy efficient home (Form 8908) 1<u>p</u> Alternative motor vehicle (Form 8910) 1r Alternative fuel vehicle refueling property (Form 8911) . . . . . . . . . . . . . . . . 1s 1t Mine rescue team training (Form 8923) 1u Agricultural chemicals security (carryforward only) 1v 1w Carbon oxide sequestration (Form 8933) 1x y Qualified plug-in electric drive motor vehicle (Form 8936)....... 1 y z Qualified plug-in electric vehicle (carryforward only)......... aa Employee retention (Form 5884-A) bb General credits from an electing large partnership (carryforward only) zz Other Oil and gas production from marginal wells (Form 8904) and certain other credits (see instructions) 1zz 2 22. 2 Add lines 1a through 1zz and enter here and on the applicable line of Part I Enter the amount from Form 8844 here and on the applicable line of Part II 3 4a Investment (Form 3468, Part III) (attach Form 3468) Work opportunity (Form 5884) 4b Biofuel producer (Form 6478) 4c Renewable electricity, refined coal, and Indian coal production (Form 8835). . . . Employer social security and Medicare taxes paid on certain employee tips (Form 8846) Increasing research activities (Form 6765) 4i Employer credit for paid family and medical leave (Form 8994) . . . . . . . . . . 4j 4z Other 5 Add lines 4a through 4z and enter here and on the applicable line of Part II Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . .

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NORT	HWESTERN UNIVERSITY		36-2167817	
Part		struction		
	plete a separate Part III for each box checked below See instructions			
A X	General Business Credit From a Non-Passive Activity E Reserved			
B A	General Business Credit From a Passive Activity F Reserved			
H	<del></del>	I Duan	none Credit Comforte	rdo
-		Dusi	ness Credit Carryforwai	ius
<u>ــ</u>			1.5. 1.11	
	you are filing more than one Part III with box A or B checked, complete and attach first an a			
IH	with box A or B checked Check here if this is the consolidated Part III	• • •		
	(a) Description of credit		(b) If claiming the credit	(c) Enter the
	On any line where the credit is from more than one source, a separate Part III is needed for	each	from a pass-through	appropriate
	hrough entity		entity, enter the EIN	amount
	Investment (Form 3468, Part II only) (attach Form 3468)	1a		
b	Reserved	1b		
	Increasing research activities (Form 6765)	1c	98-0546998	5,796
	Low-income housing (Form 8586, Part I only)	1d		
e	Disabled access (Form 8826) (see instructions for limitation)	1e		
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
g	Indian employment (Form 8845)	1g_		
	Orphan drug (Form 8820)	1h		
i	New markets (Form 8874)	1i	_	
	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	1i		
-	Employer-provided child care facilities and services (Form 8882) (see			
	instructions for limitation)	1k		
	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
	Low sulfur diesel fuel production (Form 8896)	1m		
		1n		
n	Distilled spirits (Form 8906)	10		
	Nonconventional source fuel (carryforward only)			
	Energy efficient home (Form 8908)	1p		
q	Energy efficient appliance (carryforward only)	1q		····
	Alternative motor vehicle (Form 8910)	1r		
S	Alternative fuel vehicle refueling property (Form 8911)	<u>1s</u>		
t	Enhanced oil recovery credit (Form 8830)	1t		
	Mine rescue team training (Form 8923)	1u		
٧	Agricultural chemicals security (carryforward only)	1v		
w	Employer differential wage payments (Form 8932)	1w		
X	Carbon oxide sequestration (Form 8933)	1x		
	Qualified plug-in electric drive motor vehicle (Form 8936)	1y		
	Qualified plug-in electric vehicle (carryforward only)	1z	i	
	Employee retention (Form 5884-A)	1aa		
bb	General credits from an electing large partnership (carryforward only)	1bb		
	Other Oil and gas production from marginal wells (Form 8904) and certain			=
	other credits (see instructions)	1zz		
	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		5,79
	Enter the amount from Form 8844 here and on the applicable line of Part II	3		5,,,,
	Investment (Form 3468, Part III) (attach Form 3468)	4a		
b	Work opportunity (Form 5884)	4b		
	Biofuel producer (Form 6478)	4c		
	Low-income housing (Form 8586, Part II)	4d		
	Renewable electricity, refined coal, and Indian coal production (Form 8835)	4e		
	Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f		
	Qualified railroad track maintenance (Form 8900)	4g		
	Small employer health insurance premiums (Form 8941)	4h		
i	Increasing research activities (Form 6765)	4i		
	Employer credit for paid family and medical leave (Form 8994)	4j		
	Other	4z		
5	Add lines 4a through 4z and enter here and on the applicable line of Part II	5		
	Add lines 2, 3, and 5 and enter here and on the applicable line of Part II	6		5,796
1	-1-1			Form 3800 (20

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Form 3800				Page
Name(s) sh	nown on return		ldentifying number	
NORTHW	ESTERN UNIVERSITY	_	36-2167817	
Part III	General Business Credits or Eligible Small Business Credits (see ins	tructio	ns)	
Complete	e a separate Part III for each box checked below See instructions			
A X	General Business Credit From a Non-Passive Activity E Reserved			
в	General Business Credit From a Passive Activity F Reserved			
c $\square$	General Business Credit Carryforwards G Eligible Small	Busin	ess Credit Carryforwai	rds
ם ם	General Business Credit Carrybacks H Reserved			
I If you	are filing more than one Part III with box A or B checked, complete and attach first an a	addition	al Part III combining am	ounts from all Parts
	th box A or B checked Check here if this is the consolidated Part III			
	(a) Description of credit		(b)	_ (c)
Note: On	any line where the credit is from more than one source, a separate Part III is needed for e		If claiming the credit from a pass-through	Enter the appropriate
pass-throu	·		entity, enter the EIN	amount
1a Inv	vestment (Form 3468, Part II only) (attach Form 3468)	1a		
<b>b</b> Re	served	1b		
<b>c</b> Inc	creasing research activities (Form 6765)	1c	46-2874903	653.
	w-income housing (Form 8586, Part I only)	1d		
	sabled access (Form 8826) (see instructions for limitation)	1e		
	newable electricity, refined coal, and Indian coal production (Form 8835)	1f		
g Ind	dian employment (Form 8845)	1g		
_	phan drug (Form 8820)	1h		
	w markets (Form 8874)	1i		
	nall employer pension plan startup costs (Form 8881) (see instructions for limitation)	1j		
	nployer-provided child care facilities and services (Form 8882) (see			
	structions for limitation)	1 k		
	odiesel and renewable diesel fuels (attach Form 8864)	11		
	w sulfur diesel fuel production (Form 8896)	1m		
	stilled spirits (Form 8906)	1n		
o No	onconventional source fuel (carryforward only)	10		
	ergy efficient home (Form 8908)	1p		
	ergy efficient appliance (carryforward only)	1q		
	ternative motor vehicle (Form 8910)	1r		
	ternative fuel vehicle refueling property (Form 8911)	1s		
	shanced oil recovery credit (Form 8830)	1t		
	ne rescue team training (Form 8923)	<del></del>		
	ricultural chemicals security (carryforward only)	10		
	nployer differential wage payments (Form 8932)	1w		
	rbon oxide sequestration (Form 8933).	1x		<del></del>
	ualified plug-in electric drive motor vehicle (Form 8936)	1y		
	ualified plug-in electric vehicle (carryforward only)	1z		
	nployee retention (Form 5884-A)	1aa		
	eneral credits from an electing large partnership (carryforward only)	1bb		
	her Oil and gas production from marginal wells (Form 8904) and certain	1.50	- ,	
		1zz		
יונט פ	ner credits (see instructions)	2		653.
		3		033.
	tter the amount from Form 8844 here and on the applicable line of Part II	4a		
	/estment (Form 3468, Part III) (attach Form 3468)	<del></del>	-	
	ork opportunity (Form 5884)	4b		
	ofuel producer (Form 6478)	4c		
	w-income housing (Form 8586, Part II)	4d		
	enewable electricity, refined coal, and Indian coal production (Form 8835)	4e		
	poloyer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f		
_	ualified railroad track maintenance (Form 8900)	4g		
	nall employer health insurance premiums (Form 8941)	4h		
	creasing research activities (Form 6765)	4i		
	oployer credit for paid family and medical leave (Form 8994)	Ai l		

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Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . . .

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Form 3	800 (2019)			Page 3
Name(	s) shown on return		Identifying number	
NOR	THWESTERN UNIVERSITY		36-2167817	
Part	General Business Credits or Eligible Small Business Credits (see ins	struction	ons)	
Com	plete a separate Part III for each box checked below. See instructions		-	
A 2	General Business Credit From a Non-Passive Activity E Reserved			
в	General Business Credit From a Passive Activity F Reserved			
c [	General Business Credit Carryforwards G Ligible Small	l Busıı	ness Credit Carryforwa	rds
D	General Business Credit Carrybacks H Reserved			
1 1	you are filing more than one Part III with box A or B checked, complete and attach first an a	addıtıoı	nal Part III combining an	nounts from all Parts
	I with box A or B checked Check here if this is the consolidated Part III		<u> </u>	<u> ▶ </u>
	(a) Description of credit		(b)	_ (c)
	On any line where the credit is from more than one source, a separate Part III is needed for e through entity	each	If claiming the credit from a pass-through entity, enter the EIN	Enter the appropriate amount
<u></u>	Investment (Form 3468, Part II only) (attach Form 3468)	1a		
	Reserved	1b		
С	Increasing research activities (Form 6765)	1c	38-3865939	10,967.
d	Low-income housing (Form 8586, Part I only)	1d		<u> </u>
е	Disabled access (Form 8826) (see instructions for limitation)	1e		
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
g	Indian employment (Form 8845)	1g		
h	Orphan drug (Form 8820)	1h		
i	New markets (Form 8874)	1i		
j	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	1j		
k	Employer-provided child care facilities and services (Form 8882) (see			
	instructions for limitation)	1k		
- 1	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
m	Low sulfur diesel fuel production (Form 8896)	1m		
n	Distilled spirits (Form 8906)	1n		
0	Nonconventional source fuel (carryforward only)	10		
Р	Energy efficient home (Form 8908)	1p		
q	Energy efficient appliance (carryforward only)	19		
r	Alternative motor vehicle (Form 8910)	1r		_
s	Alternative fuel vehicle refueling property (Form 8911)	1s		_
t	Enhanced oil recovery credit (Form 8830)	1t		
	Mine rescue team training (Form 8923)	1u		
V	Agricultural chemicals security (carryforward only)	1۷		
w	Employer differential wage payments (Form 8932)	1w		
X	Carbon oxide sequestration (Form 8933)	1x		<del> </del>
У	Qualified plug-in electric drive motor vehicle (Form 8936)	1у		
Z	Qualified plug-in electric vehicle (carryforward only)	1z		
aa	Employee retention (Form 5884-A)	1aa		_
	General credits from an electing large partnership (carryforward only)	1bb		
22	Other Oil and gas production from marginal wells (Form 8904) and certain			
	other credits (see instructions)	1zz		
2	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		10,967.
3	Enter the amount from Form 8844 here and on the applicable line of Part II	3		
4a	Investment (Form 3468, Part III) (attach Form 3468)	4a		
b	Work opportunity (Form 5884)	4b		
С	Biofuel producer (Form 6478)	4c		
d	Low-income housing (Form 8586, Part II)	4d		
e	Renewable electricity, refined coal, and Indian coal production (Form 8835)	4e		<del> </del>
f	Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f		
g	Qualified railroad track maintenance (Form 8900)	4g		

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h Small employer health insurance premiums (Form 8941) . . . . . .
i Increasing research activities (Form 6765) . . . . . .
j Employer credit for paid family and medical leave (Form 8994) . . . .

Add lines 4a through 4z and enter here and on the applicable line of Part II

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . .

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Form 3800 (2019)

Form 3800 (2019) Page 3 Name(s) shown on return Identifying number NORTHWESTERN UNIVERSITY 36-2167817 General Business Credits or Eligible Small Business Credits (see instructions) Complete a separate Part III for each box checked below. See instructions A General Business Credit From a Non-Passive Activity Reserved В F General Business Credit From a Passive Activity Reserved C G General Business Credit Carryforwards Eligible Small Business Credit Carryforwards D General Business Credit Carrybacks Н Reserved If you are filing more than one Part III with box A or B checked, complete and attach first an additional Part III combining amounts from all Parts Ill with box A or B checked Check here if this is the consolidated Part III . (a) Description of credit If claiming the credit Enter the Note: On any line where the credit is from more than one source, a separate Part III is needed for each from a pass-through appropriate pass-through entity entity, enter the EIN amount 1a Investment (Form 3468, Part II only) (attach Form 3468) Reserved 1b Increasing research activities (Form 6765) 68-0683554 2,117. 1c d Low-income housing (Form 8586, Part I only) 1d Disabled access (Form 8826) (see instructions for limitation) . . . . . . . . . . 1e Renewable electricity, refined coal, and Indian coal production (Form 8835) 1f 1g 1h New markets (Form 8874) 1i Small employer pension plan startup costs (Form 8881) (see instructions for limitation) 1j k Employer-provided child care facilities and services (Form 8882) (see instructions for limitation) 1k Biodiesel and renewable diesel fuels (attach Form 8864) 11 m Low sulfur diesel fuel production (Form 8896) 1m Distilled spirits (Form 8906) 1n 10 Energy efficient home (Form 8908) 1p 1q Alternative motor vehicle (Form 8910) 1 г 1s 1t Mine rescue team training (Form 8923) 1u 1۷ Employer differential wage payments (Form 8932) 1w Carbon oxide sequestration (Form 8933) 1x 1y z Qualified plug-in electric vehicle (carryforward only)........... 1z aa Employee retention (Form 5884-A) 1aa bb General credits from an electing large partnership (carryforward only) . . . . . . 1<sub>bb</sub> zz Other Oil and gas production from marginal wells (Form 8904) and certain other credits (see instructions) 1zz 2 Add lines 1a through 1zz and enter here and on the applicable line of Part I 2 2,117 Enter the amount from Form 8844 here and on the applicable line of Part II 3 4a Investment (Form 3468, Part III) (attach Form 3468) 4a Work opportunity (Form 5884) 4b Biofuel producer (Form 6478) 4c Low-income housing (Form 8586, Part II) 4d Renewable electricity, refined coal, and Indian coal production (Form 8835) 4e Employer social security and Medicare taxes paid on certain employee tips (Form 8846). . . 4f Qualified railroad track maintenance (Form 8900) 4g Small employer health insurance premiums (Form 8941) 4h Increasing research activities (Form 6765) 4i Employer credit for paid family and medical leave (Form 8994)..... 4j Other ..... 4z

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Add lines 4a through 4z and enter here and on the applicable line of Part II

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . . .

Form 3800 (2019) Name(s) shown on return Identifying number NORTHWESTERN UNIVERSITY 36-2167817 General Business Credits or Eligible Small Business Credits (see instructions) Complete a separate Part III for each box checked below See instructions Α General Business Credit From a Non-Passive Activity Reserved В F General Business Credit From a Passive Activity Reserved G С General Business Credit Carryforwards Eligible Small Business Credit Carryforwards ח н General Business Credit Carrybacks Reserved If you are filing more than one Part III with box A or B checked, complete and attach first an additional Part III combining amounts from all Parts Ill with box A or B checked Check here if this is the consolidated Part III (b)
If claiming the credit (a) Description of credit (c) Enter the Note: On any line where the credit is from more than one source, a separate Part III is needed for each from a pass-through appropriate pass-through entity entity, enter the EIN amount 1a Investment (Form 3468, Part II only) (attach Form 3468) 1a Reserved 1b 26-1274505 643 1c d Low-income housing (Form 8586, Part I only) 1d Disabled access (Form 8826) (see instructions for limitation) . . . . . . . . . 1e Renewable electricity, refined coal, and Indian coal production (Form 8835) 1f Indian employment (Form 8845) 1g Orphan drug (Form 8820) 1h New markets (Form 8874) 1i Small employer pension plan startup costs (Form 8881) (see instructions for limitation) 1j Employer-provided child care facilities and services (Form 8882) (see instructions for limitation) 1k Biodiesel and renewable diesel fuels (attach Form 8864) 11 m Low sulfur diesel fuel production (Form 8896) 1m Distilled spirits (Form 8906) 1n 10 Energy efficient home (Form 8908) 1 p 1q Alternative motor vehicle (Form 8910) 1s 1t Mine rescue team training (Form 8923) 1u Agricultural chemicals security (carryforward only) 1v 1w Carbon oxide sequestration (Form 8933)....... 1x 1y z Qualified plug-in electric vehicle (carryforward only) 1z aa Employee retention (Form 5884-A) 1aa bb General credits from an electing large partnership (carryforward only) . . . . . . 1bb zz Other Oil and gas production from marginal wells (Form 8904) and certain other credits (see instructions) 1zz 2 Add lines 1a through 1zz and enter here and on the applicable line of Part I 2 643 Enter the amount from Form 8844 here and on the applicable line of Part II 3 4a Investment (Form 3468, Part III) (attach Form 3468) 4a Work opportunity (Form 5884) 4b Biofuel producer (Form 6478) C 4c Low-income housing (Form 8586, Part II) 4d Renewable electricity, refined coal, and Indian coal production (Form 8835). . . . 4e Employer social security and Medicare taxes paid on certain employee tips (Form 8846) 4f Qualified railroad track maintenance (Form 8900) 4g Small employer health insurance premiums (Form 8941) . . . . . . . . . . . . . . . . . 4h Increasing research activities (Form 6765) 4í Employer credit for paid family and medical leave (Form 8994)..... 4j 4z Add lines 4a through 4z and enter here and on the applicable line of Part II 5 5

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	sabled access (Form 8826) (see instructions for limitation)	1e	-	
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	w markets (Form 8874)	1i		
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	pployer-provided child care facilities and services (Form 8882) (see	- 1		
	structions for limitation)	1k		
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	w sulfur diesel fuel production (Form 8896)	1m		
	stilled spirits (Form 8906)	1n		
	nconventional source fuel (carryforward only)	10		
	ergy efficient home (Form 8908)	1p		
	ergy efficient appliance (carryforward only)	1 <b>q</b>		
	ernative motor vehicle (Form 8910)	1r		,
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	hanced oil recovery credit (Form 8830)	1t		
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	ricultural chemicals security (carryforward only)	1v		
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	rbon oxide sequestration (Form 8933)	1x		
	ialified plug-in electric drive motor vehicle (Form 8936)	1у		
•	ialified plug-in electric vehicle (carryforward only)	1z		
	nployee retention (Form 5884-A)	1aa		
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	ner credits (see instructions)	1zz		
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	ter the amount from Form 8844 here and on the applicable line of Part II	3		
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	ork opportunity (Form 5884)	4b		
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	ployer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f		
	ralified railroad track maintenance (Form 8900)	4g		
-	nall employer health insurance premiums (Form 8941)	4h		
	creasing research activities (Form 6765)	41		
	nployer credit for paid family and medical leave (Form 8994)	4j		
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Part II		struction	ons)	
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4 <u>X</u>	General Business Credit From a Non-Passive Activity E Reserved			
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1a Ir	nvestment (Form 3468, Part II only) (attach Form 3468)	1a		
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	ncreasing research activities (Form 6765)	1c	46-1506586	
d L	ow-income housing (Form 8586, Part I only)	1d		
e D	Disabled access (Form 8826) (see instructions for limitation)	1e		
f R	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
g Ir	ndian employment (Form 8845)	1g		
	Orphan drug (Form 8820)	1h		
	lew markets (Form 8874)	1i		
	small employer pension plan startup costs (Form 8881) (see instructions for limitation)	1j		
	imployer-provided child care facilities and services (Form 8882) (see			
	nstructions for limitation)	1k		
	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
	ow sulfur diesel fuel production (Form 8896)	1m		
	Distilled spirits (Form 8906)	1n		
0 1	Ionconventional source fuel (carryforward only)	10		
	nergy efficient home (Form 8908)	1p		
	nergy efficient appliance (carryforward only)	1q		
	Alternative motor vehicle (Form 8910)	1r		
	Alternative fuel vehicle refueling property (Form 8911)	1s		
	Inhanced oil recovery credit (Form 8830)	1t		
	fine rescue team training (Form 8923)	1u		
	Agricultural chemicals security (carryforward only)	1v		
	imployer differential wage payments (Form 8932)			
	Carbon oxide sequestration (Form 8933)	1x		
	Qualified plug-in electric drive motor vehicle (Form 8936)	1y		
-	Qualified plug-in electric vehicle (carryforward only)	1z		•••
	Employee retention (Form 5884-A)	1aa		
	Seneral credits from an electing large partnership (carryforward only)	1bb		
	Other Oil and gas production from marginal wells (Form 8904) and certain	100		
	ther credits (see instructions)	1zz		
	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		
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	envestment (Form 3468, Part III) (attach Form 3468)	4a		
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	Biofuel producer (Form 6478)	40 4d	-	
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	Qualified railroad track maintenance (Form 8900)	4g 4b		
	Small employer health insurance premiums (Form 8941)	4h		
	ncreasing research activities (Form 6765)	41		
-	imployer credit for paid family and medical leave (Form 8994)	4j		
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zz Other Oil and gas production from marginal wells (Form 8904) and certain other credits (see instructions) 1zz 2 14,216. 2 Add lines 1a through 1zz and enter here and on the applicable line of Part I Enter the amount from Form 8844 here and on the applicable line of Part II 3 4a Investment (Form 3468, Part III) (attach Form 3468) 4a Work opportunity (Form 5884) 4Ь Biofuel producer (Form 6478) 4c Low-income housing (Form 8586, Part II) 4d Renewable electricity, refined coal, and Indian coal production (Form 8835). . . . 4e 4f Employer social security and Medicare taxes paid on certain employee tips (Form 8846) Qualified railroad track maintenance (Form 8900) 4g Small employer health insurance premiums (Form 8941) 4h Increasing research activities (Form 6765) 4i Employer credit for paid family and medical leave (Form 8994) 4j 4z 5 Add lines 4a through 4z and enter here and on the applicable line of Part II 5 14,216. 6 Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . . . Form 3800 (2019) 5044NX 1353 3472990

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art III General Business Credits or Eligible Small Business Credits (see in	structi	ons)	
mplete a separate Part III for each box checked below See instructions			
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ss-through entity		entity, enter the EIN	amount
a Investment (Form 3468, Part II only) (attach Form 3468)	1a		<del></del> .
<b>b</b> Reserved			_
c Increasing research activities (Form 6765)		47-4708811	
<b>d</b> Low-income housing (Form 8586, Part I only)			
e Disabled access (Form 8826) (see instructions for limitation)	1e		
Renewable electricity, refined coal, and Indian coal production (Form 8835)	11		
g Indian employment (Form 8845)	1g		
h Orphan drug (Form 8820)	1h		
i New markets (Form 8874)	1i		
j Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	<u> 1j</u>		
k Employer-provided child care facilities and services (Form 8882) (see			
instructions for limitation)			
I Biodiesel and renewable diesel fuels (attach Form 8864)	11		
m Low sulfur diesel fuel production (Form 8896)	1m		<del></del>
n Distilled spirits (Form 8906)	1n		
o Nonconventional source fuel (carryforward only)			<del></del>
p Energy efficient home (Form 8908)			
q Energy efficient appliance (carryforward only)			
r Alternative motor vehicle (Form 8910)	1r		
s Alternative fuel vehicle refueling property (Form 8911)	<u>1s</u>		
t Enhanced oil recovery credit (Form 8830)	1t		
u Mine rescue team training (Form 8923)			
v Agricultural chemicals security (carryforward only)	1۷		
w Employer differential wage payments (Form 8932)			
x Carbon oxide sequestration (Form 8933)	1x		
y Qualified plug-in electric drive motor vehicle (Form 8936)			
z Qualified plug-in electric vehicle (carryforward only)	1z		
aa Employee retention (Form 5884-A)	1aa		
${\bf bb}$ General credits from an electing large partnership (carryforward only) $\dots \dots \dots$	1bb		
zz Other Oil and gas production from marginal wells (Form 8904) and certain			
other credits (see instructions)	1zz		
Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		
Enter the amount from Form 8844 here and on the applicable line of Part II	3		
a Investment (Form 3468, Part III) (attach Form 3468)	4a		
<b>b</b> Work opportunity (Form 5884)	4b		
c Biofuel producer (Form 6478)	4c		
d Low-income housing (Form 8586, Part II)	4d		
e Renewable electricity, refined coal, and Indian coal production (Form 8835)	4e		
f Employer social security and Medicare taxes paid on certain employee tips (Form 8846)			
g Qualified railroad track maintenance (Form 8900)			
h Small employer health insurance premiums (Form 8941)			_
i Increasing research activities (Form 6765)			
j Employer credit for paid family and medical leave (Form 8994)			
z Other	4z		
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Part III General Business Credits or Eligible Small Business Credits (see ins	structio	ons)	
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b Reserved	<del> </del>		
c Increasing research activities (Form 6765)	1c	98-0678098	
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g Indian employment (Form 8845)	1g	-	
h Orphan drug (Form 8820)	1h		
i New markets (Form 8874)	11		
j Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	1j		
k Employer-provided child care facilities and services (Form 8882) (see	41.		
Instructions for limitation)	1 k		
Biodiesel and renewable diesel fuels (attach Form 8864)	11		
m Low sulfur diesel fuel production (Form 8896)	1m		
n Distilled spirits (Form 8906)	1n		-
o Nonconventional source fuel (carryforward only)	10		
p Energy efficient home (Form 8908)	1p		
q Energy efficient appliance (carryforward only)	1q		
r Alternative motor vehicle (Form 8910)	1r	<del></del> -	
s Alternative fuel vehicle refueling property (Form 8911)	1s		
t Enhanced oil recovery credit (Form 8830)	1t		
u Mine rescue team training (Form 8923)	1u		
v Agricultural chemicals security (carryforward only)	1v		
w Employer differential wage payments (Form 8932)	1w		
x Carbon oxide sequestration (Form 8933)	1x		
y Qualified plug-in electric drive motor vehicle (Form 8936),	<u>1y</u>		
z Qualified plug-in electric vehicle (carryforward only)	1z		
aa Employee retention (Form 5884-A)	1aa		
bb General credits from an electing large partnership (carryforward only)	1bb		
zz Other Oil and gas production from marginal wells (Form 8904) and certain			
other credits (see instructions)	1zz		
2 Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		
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4a Investment (Form 3468, Part III) (attach Form 3468)	4a		
b Work opportunity (Form 5884)	4b		
c Biofuel producer (Form 6478)	4c		
d Low-income housing (Form 8586, Part II)	4d		<del></del>
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	instructions for limitation)	1 k		
- 1	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
m	Low sulfur diesel fuel production (Form 8896)	1m		
n	Distilled spirits (Form 8906)	1n		
0	Nonconventional source fuel (carryforward only)	10		
Р	Energy efficient home (Form 8908)	1р		
q	Energy efficient appliance (carryforward only)	1q		
r	Alternative motor vehicle (Form 8910)	1r		
s	Alternative fuel vehicle refueling property (Form 8911)	1s		
t	Enhanced oil recovery credit (Form 8830)	1t		
u	Mine rescue team training (Form 8923)	1u		
V	Agricultural chemicals security (carryforward only)	1v		
w	Employer differential wage payments (Form 8932)	1w		
x	Carbon oxide sequestration (Form 8933)	1x		
У	Qualified plug-in electric drive motor vehicle (Form 8936),	1y	·	
Z	Qualified plug-in electric vehicle (carryforward only)	1z		
	Employee retention (Form 5884-A)	1aa		
bl	General credits from an electing large partnership (carryforward only)	1bb		
22	Other Oil and gas production from marginal wells (Form 8904) and certain			
	other credits (see instructions)	1zz		
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C	Biofuel producer (Form 6478)	4c		
d	Low-income housing (Form 8586, Part II)	4d		
e	Renewable electricity, refined coal, and Indian coal production (Form 8835)	4e		
f	Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f		
g	Qualified railroad track maintenance (Form 8900)	4g		
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j	Employer credit for paid family and medical leave (Form 8994)	4j		
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Form 3800 (2019) Identifying number Name(s) shown on return NORTHWESTERN UNIVERSITY 36-2167817 General Business Credits or Eligible Small Business Credits (see instructions) Complete a separate Part III for each box checked below. See instructions Х General Business Credit From a Non-Passive Activity Reserved В F General Business Credit From a Passive Activity Reserved Eligible Small Business Credit Carryforwards С G General Business Credit Carryforwards D General Business Credit Carrybacks н Reserved If you are filing more than one Part III with box A or B checked, complete and attach first an additional Part III combining amounts from all Parts III with box A or B checked Check here if this is the consolidated Part III (a) Description of credit If claiming the credit Enter the Note: On any line where the credit is from more than one source, a separate Part III is needed for each from a pass-through appropriate pass-through entity entity, enter the EIN amount 1b Reserved c Increasing research activities (Form 6765) 90-0818534 1c 236 Low-income housing (Form 8586, Part I only) 1d Disabled access (Form 8826) (see instructions for limitation) . . . . . . . . . . . . . 1e Renewable electricity, refined coal, and Indian coal production (Form' 8835) 1f 1g h Orphan drug (Form 8820) 1h New markets (Form 8874) 1i i Small employer pension plan startup costs (Form 8881) (see instructions for limitation) 1j Employer-provided child care facilities and services (Form 8882) (see instructions for limitation) 1k Biodiesel and renewable diesel fuels (attach Form 8864) 11 m Low sulfur diesel fuel production (Form 8896) 1m Distilled spirits (Form 8906)....... 1n 10 Energy efficient home (Form 8908) 1 p 1q Alternative motor vehicle (Form 8910) 11 Alternative fuel vehicle refueling property (Form 8911) 1s 1t Mine rescue team training (Form 8923) 1u 1٧ 1w Carbon oxide sequestration (Form 8933) 1x y Qualified plug-in electric drive motor vehicle (Form 8936)...... 1y z Qualified plug-in electric vehicle (carryforward only) 1z aa Employee retention (Form 5884-A) 1aa bb General credits from an electing large partnership (carryforward only) . . . . . . . 1bb zz Other Oil and gas production from marginal wells (Form 8904) and certain other credits (see instructions) 1zz 2 2 Add lines 1a through 1zz and enter here and on the applicable line of Part I 236 Enter the amount from Form 8844 here and on the applicable line of Part II 3 4a Investment (Form 3468, Part III) (attach Form 3468) 4a 4b Biofuel producer (Form 6478)...... 4c Low-income housing (Form 8586, Part II) 4d Renewable electricity, refined coal, and Indian coal production (Form 8835) 4e 4f Employer social security and Medicare taxes paid on certain employee tips (Form 8846) Qualified railroad track maintenance (Form 8900) 4g 4h 4i Employer credit for paid family and medical leave (Form 8994)..... 4j 4z Other \_\_\_\_\_

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, ,	STERN UNIVERSITY		36-2167817	
Part III	General Business Credits or Eligible Small Business Credits (see in:	etructi		<del></del>
	a separate Part III for each box checked below. See instructions	Sti ucu		<del></del>
	General Business Credit From a Non-Passive Activity E Reserved			
· — ·	General Business Credit From a Passive Activity F Reserved			
	· · · · · · · · · · · · · · · · · · ·	I Bucu	noce Cradit Carrifoni	nrde .
	General Business Credit Carrylorwards  H Reserved	i Dusi	ness Credit Carryforw	alus
			nal Cod III combining o	mounts from all Darts
	are filing more than one Part III with box A or B checked, complete and attach first an box A or B checked. Check here if this is the consolidated Part III			
111 441111	(a) Description of credit	· · ·	(b)	(c)
	, ,		If claiming the credit	Enter the
Note: On ar bass-through	ny line where the credit is from more than one source, a separate Part III is needed for the outby.	each	from a pass-through entity, enter the EIN	appropriate amount
	stment (Form 3468, Part II only) (attach Form 3468)	1a	entity, enter the Lin	amount
	erved	1b		
c Incre	easing research activities (Form 6765)		46-5728474	460.
	-income housing (Form 8586, Part I only)	1d	10 3720171	
	bled access (Form 8826) (see instructions for limitation)	1e		<del></del>
	ewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
	an employment (Form 8845)	1g		
	nan drug (Form 8820)	1h		
	markets (Form 8874)	1i		
	Il employer pension plan startup costs (Form 8881) (see instructions for limitation)	1j		
-	oloyer-provided child care facilities and services (Form 8882) (see	<b>'</b>		
	ructions for limitation)	1k		
	liesel and renewable diesel fuels (attach Form 8864)	11		
	sulfur diesel fuel production (Form 8896)	1m		
	lled spirits (Form 8906)			
o None	conventional source fuel (carryforward only)	10		<del></del>
	rgy efficient home (Form 8908)	1p		
	rgy efficient appliance (carryforward only)	1q		_
	rnative motor vehicle (Form 8910)	1r		· · · · · · · · ·
s Alter	rnative fuel vehicle refueling property (Form 8911)	1s		
	anced oil recovery credit (Form 8830)	1t		
	e rescue team training (Form 8923)	1u		
	cultural chemicals security (carryforward only)	1v		
	oloyer differential wage payments (Form 8932)	1w		
	oon oxide sequestration (Form 8933)	1x		
	lified plug-in electric drive motor vehicle (Form 8936)	1y		
	lified plug-in electric vehicle (carryforward only)	1z		
	oloyee retention (Form 5884-A)	1aa		
	eral credits from an electing large partnership (carryforward only)	1bb		
	or Oil and gas production from marginal wells (Form 8904) and certain			
	r credits (see instructions)	1zz		
2 Add	lines 1a through 1zz and enter here and on the applicable line of Part I	2		460.
	r the amount from Form 8844 here and on the applicable line of Part II	3		
	stment (Form 3468, Part III) (attach Form 3468)	4a		_
	k opportunity (Form 5884)	4b		
	uel producer (Form 6478)	4c		

	3800 (2019)			Page 3
Name	(s) shown on return		identifying number	
	THWESTERN UNIVERSITY		36-2167817	<del></del>
Par		struction	ons)	-
1	plete a separate Part III for each box checked below See instructions			
• •	X General Business Credit From a Non-Passive Activity E Reserved			
В	General Business Credit From a Passive Activity F Reserved			
C		Busir	ness Credit Carryforw	ards
D	General Business Credit Carrybacks H Reserved		15 111 1	
	If you are filing more than one Part III with box A or Bichecked, complete and attach first an a III with box A or Bichecked. Check here if this is the consolidated Part III			
	(a) Description of credit		(b)	(c)
Mato	On any line where the credit is from more than one source, a separate Part III is needed for e	nach	If claiming the credit	Enter the
	through entity	sacii	from a pass-through entity, enter the EIN	appropriate amount
_	Investment (Form 3468, Part II only) (attach Form 3468)	1a	•	
b	Reserved	1b		
C		1c	61-1758706	30.
d	Low-income housing (Form 8586, Part I only)	1d		
е		1e		
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
g	Indian employment (Form 8845)	1g		
h		1h		
i	New markets (Form 8874)	11		
j	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	<u>1j</u>		
k	Employer-provided child care facilities and services (Form 8882) (see	ا ا		
	instructions for limitation)	1k		
1	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
	Low sulfur diesel fuel production (Form 8896)	1m		
n	· · · · · · · · · · · · · · · · · · ·	1n		
0	, , , , , , , , , , , , , , , , , , , ,	10 1p		<del></del>
q q	Energy efficient home (Form 8908)  Energy efficient appliance (carryforward only)	1q		
r	Alternative motor vehicle (Form 8910)	1r		
s	A	1s		
t	Enhanced oil recovery credit (Form 8830)	1t		
u		1u		•
v	Agricultural chemicals security (carryforward only)	1v		
w		1w		
х	Carbon oxide sequestration (Form 8933)	1x		
У	Qualified plug-in electric drive motor vehicle (Form 8936)	1y		_
Z	Qualified plug-in electric vehicle (carryforward only)	1z		
a	a Employee retention (Form 5884-A)	1aa		
	b General credits from an electing large partnership (carryforward only)	1bb		
Z	Other Oil and gas production from marginal wells (Form 8904) and certain			
	other credits (see instructions)	1zz		
2	Add lines 1a through 1zz and enter here and on the applicable line of Part I	_2		30.
3	Enter the amount from Form 8844 here and on the applicable line of Part II	3		
4 a	Investment (Form 3468, Part III) (attach Form 3468)	4a		
þ		4b		
C	Biofuel producer (Form 6478)	4c		
d	Low-income housing (Form 8586, Part II)	4d		
e	Renewable electricity, refined coal, and Indian coal production (Form 8835) Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	4e 4f		· · · ·
f	Qualified railroad track maintenance (Form 8900)	-	<del></del>	
g	Small employer health insurance premiums (Form 8941)	4g 4h		
h i	Increasing research activities (Form 6765)	411 4i		
i	Employer credit for paid family and medical leave (Form 8994)	4j		_
J Z	Other	4z		-
5	Add lines 4a through 4z and enter here and on the applicable line of Part II	5	<del>-</del>	
_		- 1	•	

30.

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . .

٦a	ae	3

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Add lines 4a through 4z and enter here and on the applicable line of Part II

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . . .

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1,450

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Form 3	800 (2019)		_	Page 3
Name(	s) shown on return		Identifying number	
NORT	HWESTERN UNIVERSITY		36-2167817	
Part		tructio	ns)	
Com	plete a separate Part III for each box checked below. See instructions			
A [	General Business Credit From a Non-Passive Activity E Reserved			
в	General Business Credit From a Passive Activity F Reserved			
С	General Business Credit Carryforwards G Eligible Small	Busir	ess Credit Carryforw	rards
ם [	General Business Credit Carrybacks H Reserved			
I II	you are filing more than one Part III with box A or B checked, complete and attach first an a	ddition	al Part III combining a	mounts from all Parts
	with box A or B checked Check here if this is the consolidated Part III	<u></u>	<u></u>	<u></u>
	(a) Description of credit		(b)	(c)
pass-1	On any line where the credit is from more than one source, a separate Part III is needed for entry	ach	If claiming the credit from a pass-through entity, enter the EIN	Enter the appropriate amount
1 a	Investment (Form 3468, Part II only) (attach Form 3468)	1a		
ь	Reserved	1b		
С	Increasing research activities (Form 6765)	1c	82-3267168	1,746.
d	Low-income housing (Form 8586, Part I only)	1d		
e	Disabled access (Form 8826) (see instructions for limitation)	1e		
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		_
g	Indian employment (Form 8845)	1g		
h	Orphan drug (Form 8820)	1h		
i	New markets (Form 8874)	1i		
j	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	<u>1j</u>	_	
k	Employer-provided child care facilities and services (Form 8882) (see			
	instructions for limitation)	1k		
I	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
m	Low sulfur diesel fuel production (Form 8896)	1m	· · · · · · · · · · · · · · · · · · ·	
п	Distilled spirits (Form 8906)	1n		
0	Nonconventional source fuel (carryforward only)	10		
Р	Energy efficient home (Form 8908)	1p		
q	Energy efficient appliance (carryforward only)	1q		
r	Alternative motor vehicle (Form 8910)	1r		
S	Alternative fuel vehicle refueling property (Form 8911)	1s		
t	Enhanced oil recovery credit (Form 8830)	1t		
u	Mine rescue team training (Form 8923)	1u		<del></del>
V	Agricultural chemicals security (carryforward only)	1۷		<del></del>
w	Employer differential wage payments (Form 8932)	1w	<del></del> .	
x	Carbon oxide sequestration (Form 8933)	1x		······································
У	Qualified plug-in electric drive motor vehicle (Form 8936)	1y		
Z	Qualified plug-in electric vehicle (carryforward only)	1z		
	Employee retention (Form 5884-A)	1aa		
	General credits from an electing large partnership (carryforward only) Other Oil and gas production from marginal wells (Form 8904) and certain	1bb		<del>.</del>
22	- · · · · · · · · · · · · · · · · · · ·	1zz		
•	other credits (see instructions)  Add lines 1a through 1zz and enter here and on the applicable line of Part I	2	···-	1,746.
2	Enter the amount from Form 8844 here and on the applicable line of Part II	3	_	1,730.
3	Investment (Form 3468, Part III) (attach Form 3468)	4a		
4a	Work opportunity (Form 5884)	4b		_
b	Biofuel producer (Form 6478)	4c		
d	Low-income housing (Form 8586, Part II)	4d		
e	Renewable electricity, refined coal, and Indian coal production (Form 8835)	4e	_	<del></del>
f	Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f		· -
g	Qualified railroad track maintenance (Form 8900)	4g	-	
9 h	Small employer health insurance premiums (Form 8941)	4h		
i	Increasing research activities (Form 6765)	4i		· ·
j	Employer credit for paid family and medical leave (Form 8994)	4j	-	· <u>-</u> ,
J Z	Other	4z		
5	Add lines 4a through 4z and enter here and on the applicable line of Part II	5		
-	Add lines 2.3. and 5 and enter here and on the applicable line of Part II	6		1.746.

Employer credit for paid family and medical leave (Form 8994).....

Add lines 4a through 4z and enter here and on the applicable line of Part II

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . .

4j

4z

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	800 (2019)		I denté una	Page 3
	s) shown on return		Identifying number	
	CHWESTERN UNIVERSITY		36-2167817	
Par		struct	ons)	
Г	plete a separate Part III for each box checked below See instructions			
- T	General Business Credit From a Non-Passive Activity E Reserved			
В	General Business Credit From a Passive Activity  F Reserved		0 110 4	1
C		l Busi	ness Credit Carryforwa	rds
D L	General Business Credit Carrybacks H Reserved		. =	
	you are filing more than one Part III with box A or B checked, complete and attach first an a			
!	I with box A or B checked Check here if this is the consolidated Part III	<u> </u>	(b)	
Note:	(a) Description of credit  On any line where the credit is from more than one source, a separate Part III is needed for o	each	If claiming the credit from a pass-through	(c) Enter the appropriate
<u> </u>	through entity	1	entity, enter the EIN	amount
1 a	Investment (Form 3468, Part II only) (attach Form 3468)	1a		
b	Reserved	1b		
C	Increasing research activities (Form 6765)	1c	47-5484227	700.
ď	Low-income housing (Form 8586, Part I only)	1d		
e	Disabled access (Form 8826) (see instructions for limitation)	1e		
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		_ <u>-</u> -
g	Indian employment (Form 8845)	1g		
h	Orphan drug (Form 8820)	1h		
i	New markets (Form 8874)	1i		
J	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)			
k	Employer-provided child care facilities and services (Form 8882) (see			
	Instructions for limitation)	1k		_
 	Biodiesel and renewable diesel fuels (attach Form 8864)	-		
	Low sulfur diesel fuel production (Form 8896)	1m		
n	Distilled spirits (Form 8906)	1n		
0	Nonconventional source fuel (carryforward only)	10		
P	Energy efficient home (Form 8908)	1p		
9	Energy efficient appliance (carryforward only)	1q 1r		
r	Alternative motor vehicle (Form 8910)	1s		
S 4	Alternative fuel vehicle refueling property (Form 8911)	1t		
t	Enhanced oil recovery credit (Form 8830)	1u		<del>,</del>
u	Mine rescue team training (Form 8923)	10		
٧	Employer differential wage payments (Form 8932)	1w		
w	Carbon oxide sequestration (Form 8933)	1x		
X	Qualified plug-in electric drive motor vehicle (Form 8936)	1y		
у -	Qualified plug-in electric vehicle (carryforward only)	1z		
Z	Employee retention (Form 5884-A)	1aa		
	General credits from an electing large partnership (carryforward only)	1bb		
	Other Oil and gas production from marginal wells (Form 8904) and certain	100	-	
	other credits (see instructions)	1zz		
2	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		700.
3	Enter the amount from Form 8844 here and on the applicable line of Part II	3		
4 a	Investment (Form 3468, Part III) (attach Form 3468)	4a		<u> </u>
b	Work opportunity (Form 5884)	4b		<del></del>
c	Biofuel producer (Form 6478)	4c		<del></del>
ď	Low-income housing (Form 8586, Part II)	4d		
e	Renewable electricity, refined coal, and Indian coal production (Form 8835).	4e		
f	Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	41		
g g	Qualified railroad track maintenance (Form 8900)	4g		
h	Small employer health insurance premiums (Form 8941)	4h		
i	Increasing research activities (Form 6765)	4i		
i	Employer credit for paid family and medical leave (Form 8994)	4j		
J Z	Other	4z		
5	Add lines 4a through 4z and enter here and on the applicable line of Part II	5		
6	Add lines 2, 3, and 5 and enter here and on the applicable line of Part II	6		700.
_				,

4a Investment (Form 3468, Part III) (attach Form 3468)

**b** Work opportunity (Form 5884)

С

4a

4b

4c

Form 3	800 (2019)			Page <b>3</b>
Name(	s) shown on return		Identifying number	
NOR	THWESTERN UNIVERSITY		36-2167817	
Part	General Business Credits or Eligible Small Business Credits (see ins	structio	ns)	
Com	plete a separate Part III for each box checked below. See instructions			
A 2	General Business Credit From a Non-Passive Activity E Reserved			
в	General Business Credit From a Passive Activity F Reserved			
c	General Business Credit Carryforwards G Ligible Smal	l Busin	ess Credit Carryforw	ards
D	General Business Credit Carrybacks H Reserved			
	you are filing more than one Part III with box A or B checked, complete and attach first an a			
	I with box A or B checked Check here if this is the consolidated Part III	<del> ,</del>	<u> </u>	
	(a) Description of credit		(b)	(c)
	On any line where the credit is from more than one source, a separate Part III is needed for o brough entity	each	If claiming the credit from a pass-through entity, enter the EIN	Enter the appropriate amount
1a	Investment (Form 3468, Part II only) (attach Form 3468)	1a		·
b	Reserved	1b		
C	Increasing research activities (Form 6765)	1c	27-3125579	663.
d	Low-income housing (Form 8586, Part I only)	1d		
е	Disabled access (Form 8826) (see instructions for limitation)	1e		
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
g	Indian employment (Form 8845)	1g		
h	Orphan drug (Form 8820)	1h		
i	New markets (Form 8874)	1i		
j	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	1j		
k	Employer-provided child care facilities and services (Form 8882) (see			
	instructions for limitation)	1k		
ı	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
m	Low sulfur diesel fuel production (Form 8896)	1m		
n	Distilled spirits (Form 8906)	1n		
0	Nonconventional source fuel (carryforward only)	10		
P	Energy efficient home (Form 8908)	1p		
q	Energy efficient appliance (carryforward only)	1q		
r	Alternative motor vehicle (Form 8910)	1r		
	Alternative fuel vehicle refueling property (Form 8911)	1s		
t	Enhanced oil recovery credit (Form 8830)	1t		
u	Mine rescue team training (Form 8923)	1u		
٧	Agricultural chemicals security (carryforward only)	10		
W	Employer differential wage payments (Form 8932)	1w		
Х	Qualified plug-in electric drive motor vehicle (Form 8936)		+	
у -	Qualified plug-in electric drive motor verifice (Porm 6936)	1y 1z	+	
	Employee retention (Form 5884-A)	1aa		
	General credits from an electing large partnership (carryforward only)	1bb		
	Other Oil and gas production from marginal wells (Form 8904) and certain	100		-
	other credits (see instructions)	1zz		
2	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		663.
3	Enter the amount from Form 8844 here and on the applicable line of Part II	3		
4a	Investment (Form 3468, Part III) (attach Form 3468)	4a		
b	Work opportunity (Form 5884)	4b		
C	Biofuel producer (Form 6478)	4c		
d	Low-income housing (Form 8586, Part II)	4d		
e	Renewable electricity, refined coal, and Indian coal production (Form 8835)	4e		
f	Employer social security and Medicare taxes paid on certain employee tips (Form 8846)	4f		
g	Qualified railroad track maintenance (Form 8900)	4g		
h	Small employer health insurance premiums (Form 8941)	4h		
i	Increasing research activities (Form 6765)	4i		
j	Employer credit for paid family and medical leave (Form 8994)	4j		
z	Other	4z		
5	Add lines 4a through 4z and enter here and on the applicable line of Part II	5		
6	Add lines 2, 3, and 5 and enter here and on the applicable line of Part II	6		663.

		<del></del>
Part III	General Business Credits or Eligible Small Business Credits (see instruction	ns)
Northwe:	stern University	36-2167817
Name(s) snow	n on return	toentrying number

Nor	thwestern University		36-2167817	
Part	General Business Credits or Eligible Small Business Credits (see ins	truct	ons)	
Com	plete a separate Part III for each box checked below. See instructions			-
Α	General Business Credit From a Non-Passive Activity E Reserved			
в	General Business Credit From a Passive Activity F Reserved			
c [	General Business Credit Carryforwards G Eligible Small	Busi	ness Credit Carryforwa	rds
ם [	General Business Credit Carrybacks H Reserved			
1 1	f you are filing more than one Part III with box A or B checked, complete and attach first an a	dditio	nal Part III combining an	nounts from all Parts
	Il with box A or B checked Check here if this is the consolidated Part III			
	(a) Description of credit		(b)	_ (c)
pass-	On any line where the credit is from more than one source, a separate Part III is needed for ethrough entity	ach	If claiming the credit from a pass-through entity, enter the EIN	Enter the appropriate amount
1 a	Investment (Form 3468, Part II only) (attach Form 3468)	1a		
b	Reserved	1b		
С	Increasing research activities (Form 6765)	1c		127,065.
d	Low-income housing (Form 8586, Part I only)	1d		
е	Disabled access (Form 8826) (see instructions for limitation)	1e		
f	Renewable electricity, refined coal, and Indian coal production (Form 8835)	1f		
g	Indian employment (Form 8845)	1g		
h	Orphan drug (Form 8820)	1h_		
ì	New markets (Form 8874)	1 <u>i</u>		
j	Small employer pension plan startup costs (Form 8881) (see instructions for limitation)	1j		
k	Employer-provided child care facilities and services (Form 8882) (see			
	instructions for limitation)	1k		
- 1	Biodiesel and renewable diesel fuels (attach Form 8864)	11		
m	Low sulfur diesel fuel production (Form 8896)	1m		
n	Distilled spirits (Form 8906)	1 <u>n</u>		
0	Nonconventional source fuel (carryforward only)	10		<u> </u>
р	Energy efficient home (Form 8908) STMT 31	1p		93.
q	Energy efficient appliance (carryforward only)	1q		
r	Alternative motor vehicle (Form 8910)  Alternative fuel vehicle refueling property (Form 8911)  STMT 32	1r		
s	Alternative fuel vehicle refueling property (Form 8911)	1s		818.
t	Enhanced oil recovery credit (Form 8830)	1t		963,673.
u	Mine rescue team training (Form 8923)	1u		
v	Agrıcultural chemicals security (carryforward only)	1 <u>v</u>		
w	Employer differential wage payments (Form 8932)	1w		
x	Carbon oxide sequestration (Form 8933)	1x		
У	Qualified plug-in electric drive motor vehicle (Form 8936)	1 y		
	Qualified plug-in electric vehicle (carryforward only)	1z		
	Employee retention (Form 5884-A)	1aa		
	General credits from an electing large partnership (carryforward only)	1bb		<u> </u>
ZZ	Other Oil and gas production from marginal wells (Form 8904) and certain			
	other credits (see instructions)	1zz		
2	Add lines 1a through 1zz and enter here and on the applicable line of Part I	2		1,091,649.
3	Enter the amount from Form 8844 here and on the applicable line of Part II	3		
4a	Investment (Form 3468, Part III) (attach Form 3468)	4a		
b	Work opportunity (Form 5884)	4b		
C	Biofuel producer (Form 6478)	4c		
d	Low-income housing (Form 8586, Part II)	4d		
e	Renewable electricity, refined coal, and Indian coal production (Form 8835)	4e		<del></del>
f	Employer social security and Medicare taxes paid on certain employee tips (FortSTMT34.	4f		1,409.
g	Qualified railroad track maintenance (Form 8900)	4g		- <del></del>
h	Small employer health insurance premiums (Form 8941)	4h		
i	Increasing research activities (Form 6765)	41		
j	Employer credit for paid family and medical leave (Form 8994)	4j_		

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1,409.

Add lines 4a through 4z and enter here and on the applicable line of Part II . . . .

Add lines 2, 3, and 5 and enter here and on the applicable line of Part II . . . . . .

4z

## FORM 990T - LINE 5 -INCOME (LOSS) FROM PARTNERSHIPS OR S CORPORATIONS

INCOME (LOSS) FROM PARTNERSHIPS

-43,465,763.

INCOME (LOSS) FROM PARTNERSHIPS

-43,465,763.

 $\overline{\phantom{a}}$ 

#### FORM 990T - PART II - LINE 27 - TOTAL OTHER DEDUCTIONS

TAX PREPARATION FEES INVESTMENT FEES

111,287. 630,385.

PART II - LINE 27 - OTHER DEDUCTIONS

741,672.

PRE 2018 - NOL DEDUCTION

CARRYOVER TO NEXT YEAR	158,039. 22,264,624.	22,422,663.
CONVERTED CONTRIBUTIONS	135,987.	135,987.
AM	5,632,203.	5,632,203.
	5,654,255.** 22,264,624.	27,918,879.
ORIGINAL NOL	27,168,333. 22,336,618.	49,504,951.
YEAR ENDING		TOTAL =

<sup>\*\*</sup> CHANGES TO 8/31/2016 NOL WERE DUE TO THE FINAL 512(A)(6) REGULATIONS CHANGING FROM USE OF TRANSITION RULE TO NAICS CODES FOR PARTNERSHIP INVESTMENTS AS WELL AS A REDUCED STATE TAX DEDUCTION FROM THE FILING OF AN AMENDED STATE RETURN.

SCHEDULE M - OTHER INCOME

CHICAGO PARKING GARAGES REVENUE

3,436,697.

TOTAL

3,436,697.

3638NG 1353

	ATTACHMENT 6
FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS	
PARKING OFFICE EXPENSES MISCELLANEOUS TAX PREPARATION FEES	362,624. 215,610. 2,694.
PART II - LINE 27 - OTHER DEDUCTIONS	580,928.

SCHEDULE M - OTHER INCOME

SPONSORSHIPS RESEARCH SERVICES 932,470. 549,376.

TOTAL

1,481,846.

3638NG 1353

	ATTACHMENT 8
FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS	
RESEARCH SERVICES	333,113.
TAX PREPARATION FEES	1,156.
PART II - LINE 27 - OTHER DEDUCTIONS	334.269

	_	
ATTACHMENT	9	·

SCIENTIFIC EQUIPMENT + RENTALS

## SCHEDULE M - INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

PARTNERSHIP INVESTMENT

6,019.

INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

6,019.

SCHEDULE M - OTHER INCOME

SCIENTIFIC EQUIPMENT RENTAL RENTALS

903,298. 1,660,614.

TOTAL

2,563,912.

3638NG 1353

COTENETE C POLITOMENE DENEMIC	671 262
SCIENTIFIC EQUIPMENT RENTALS RENTALS	671,263. 291,790.
TAX PREPARATION FEES	
INVESTMENT FEES	1,571. 62.
INVESTMENT FEES	02.

SCHEDULE M - OTHER INCOME

ALUMNI TRAVEL TOURS REVENUE

229,424.

TOTAL

229,424.

3638NG 1353

ATTACHMENT	13

#### FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS

SPECIAL EVENTS - DEVELOPMENT TRANSPORTATION SUPPLIES/PRINTING/MAILING TAX PREPARATION FEES	38,144. 8,490. 1,426. 100.
PART II - LINE 27 - OTHER DEDUCTIONS	48,160.

	-	4
ATTACHMENT		

PARTNERSHIP INVESTMENT - SILO 6

# SCHEDULE M - INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

PARTNERSHIP INVESTMENT

-3,365,120.

INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

-3,365,120.

	ATTACHMENT 15
FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS	
TAX PREPARATION FEES INVESTMENT FEES	1,908. 10,813.
PART II - LINE 27 - OTHER DEDUCTIONS	12.721.

	ATTACHMENT 16	_
FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS	<del></del>	
TAX PREPARATION FEES INVESTMENT FEES	64. 364.	
PART II - LINE 27 - OTHER DEDUCTIONS	428.	

PARTNERSHIP INVESTMENT - SILO 8

## SCHEDULE M - INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

PARTNERSHIP INVESTMENTS

-377,770.

INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

-377,770.

	ATTACHMENT 18	
FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS		
TAX PREPARATION FEES INVESTMENT FEES	38. 216.	
PART II - LINE 27 - OTHER DEDUCTIONS	254.	

ATTACHMENT	19

PARTNERSHIP INVESTMENT - SILO 9

## SCHEDULE M - INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

PARTNERSHIP INVESTMENT

100,919.

INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

100,919.

FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS	<u> </u>
TAX PREPARATION FEES INVESTMENT FEES	184. 1,038.
PART II - LINE 27 - OTHER DEDUCTIONS	1.222.

ATTACHMENT	21	

PARTNERSHIP INVESTMENT - SILO 10

# SCHEDULE M - INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

PARTNERSHIP INVESTMENT

6,358.

INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

6,358.

FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS	<del></del>
TAX PREPARATION FEES INVESTMENT FEES	46. 257.
PART II - LINE 27 - OTHER DEDUCTIONS	303.

ATTACHMENT	23

PARTNERSHIP INVESTMENT - SILO 11

## SCHEDULE M - INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

PARTNERSHIP INVESTMENT

-112,209.

INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

-112,209.

X PREPARATION FEES	386.
VESTMENT FEES	2,187.

PARTNERSHIP INVESTMENT - SILO 12

## SCHEDULE M - INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

PARTNERSHIP INVESTMENT

-248,077.

INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

-248,077.

	ATTACHMENT 26
FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS	= <u></u>
TAX PREPARATION FEES INVESTMENT FEES	221. 1,254.
PART II - LINE 27 - OTHER DEDUCTIONS	1,475.

3638NG 1353

PARTNERSHIP INVESTMENT - SILO 13

## SCHEDULE M - INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

PARTNERSHIP INVESTMENT

-549,274.

INCOME (LOSS) FROM PARTNERSHIPS AND/OR S CORPORATIONS

-549,274.

	ATTACHMENT 28
FORM 990T - PART II LINE 27 TOTAL OTHER DEDUCTIONS	5
TAX PREPARATION FEES INVESTMENT FEES	2,735. 15,491.
PART II - LINE 27 - OTHER DE	EDUCTIONS 18,226.

LINE 19 - CO	LINE 19 - CONTRIBUTIONS DEDUCTION				
1. TAXABLE INCOME EXCLUDING CONTRIBUTIONS 2. LESS: NOL CARRYOVER 3. PLUS: CAPITAL LOSS CARRYBACK 4. TAXABLE INCOME WITHOUT REGARD TO CONTRIBUTIONS, SPECIAL DEDUCTIONS, NOL CARRYBACKS, AND CAPITAL LOSS CARRYBACKS				5,632,203. 27,918,879. -22,286,676.	
5. CONTRIBU	JTION DEDUCTION LIMI OF DEDUCTIBLE CONTRI	TATION (TAXABL		NONE 105,174.	
7. CONTRIBU	JTION DEDUCTION (LES	SER OF LINE 5	OR LINE 6)	NONE	
LINE 19 - 5	YEAR CONTRIBUTION C	ARRYOVER - 15%	INCOME CAP		
YEAR ENDING	AMOUNT AVAILABLE		CONVERTED TO NOL CARRYOVER		
08/31/2020		NONE			
TOTAL	30,813.	NONE		=========	
LINE 19 - 5 YEAR CONTRIBUTION CARRYOVER - 10% INCOME CAP					
YEAR ENDING	AMOUNT AVAILABLE		CONVERTED TO NOL CARRYOVER	CARRYOVER TO NEXT YEAR	
08/31/2020	105,174.	NONE	105,174.		
TOTAL	105,174.	NONE	105,174.	=========	

FORM 3800, PAGE 3, BOX C DETAIL

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# LINE 1C - CARRYFORWARD OF CREDIT FOR INCREASING RESEARCH

	===========		=============
TOTALS	191,090.		191,090.
08/31/2018 08/31/2019 08/31/2020	82,018. 45,047. 64,025.		82,018. 45,047. 64,025.
TAX YEAR	AVAILABLE	UTILIZED	CARRYFORWARD

TOTAL CARRIED FORWARD FROM PRIOR YEARS

127,065.

### FORM 3800, PAGE 3, BOX C DETAIL

# LINE 1P - CARRYFORWARD OF ENERGY EFFICIENT HOME CREDIT

TAX YEAR	AVAILABLE	UTILIZED	CARRYFORWARD
08/31/2018	93.		93.
TOTALS	93.		93.
	=======================================	==========	

TOTAL CARRIED FORWARD FROM PRIOR YEARS

93.

FORM 3800, PAGE 3, BOX C DETAIL

# LINE 15°- CARRYFORWARD OF ALTERNATIVE FUEL VEHICLE CREDIT

			===========
TOTALS	818.	-	818.
08/31/2018	818.		818.
TAX YEAR	AVAILABLE	UTILIZED	CARRYFORWARD

TOTAL CARRIED FORWARD FROM PRIOR YEARS

818.

STATEMENT 32

5044NX 1353 / 3472990

TOTALS

FORM 3800, PAGE 3, BOX C DETAIL

# LINE 1T - CARRYFORWARD OF ENHANCED OIL RECOVERY

379,207.		379,207.
•		297,747.
286.719.		286,719.
AVAILABLE	UTILIZED	CARRYFORWARD
	AVAILABLE	286,719. 297,747.

TOTAL CARRIED FORWARD FROM PRIOR YEARS

963,673.

963,673.

963,673.

Form 3800, Page 3, Box C Detail

Line 4f - Carryforward of post-2006 FICA Credit

7	Tax Year	Available	Utilized	Carryforward
(	08/31/2019 08/31/2020	1,409. 156,506.		1,409. 156,506.
	Totals	157,915. =======		157,915.

Total carried forward from prior years

1,409.

### FEDERAL FORM 990-T

NET OPERATING LOSS - PARTNERSHIP INVESTMENTS

TAX YEAR	LOSS GENERATED	LOSS UTILIZED	AMOUNT REMAINING
8/31/2019	(58,550,300)		(58,550,300)
8/31/2020	(24,725,040)		(24,725,040)
TOTAL	(83,275,340)	<del>-</del>	(83,275,340)

NET OPERATING LOSS -NAICS CODE 210000 - SILO 6

TAX YEAR	LOSS GENERATED	LOSS UTILIZED	AMOUNT REMAINING
8/31/2019	(4,722,039)		(4,722,039)
8/31/2020	(3,276,669)		(3,276,669)
TOTAL	(7,998,708)	, -	(7,998,708)

NET OPERATING LOSS - NAICS CODE 480000 - SILO 8

TAX YEAR	LOSS GENERATED	LOSS UTILIZED	AMOUNT REMAINING
8/31/2019	(12,622)		(12,622)
8/31/2020	(378,025)		(378,025)
TOTAL	(390,647)	<del>-</del>	(390,647)

NET OPERATING LOSS - NAICS CODE 420000 - SILO 11

TAX YEAR	LOSS GENERATED	LOSS UTILIZED	AMOUNT REMAINING
8/31/2020	(114,782)		(114,782)
TOTAL	(114,782)	_	(114,782)

NET OPERATING LOSS - NAICS CODE 510000 - SILO 12

	TAX YEAR	LOSS GENERATED	LOSS UTILIZED	AMOUNT REMAINING
	8/31/2020	(249,552)		(249,552)
•	TOTAL	(249,552)	<del></del>	(249,552)

NET OPERATING LOSS - NAICS CODE 330000 - SILO 13

	TAX YEAR	LOSS GENERATED	LOSS UTILIZED	AMOUNT REMAINING
	8/31/2020	(567,500)		(567,500)
٠-	TOTAL	(567,500)	-	(567,500)

## FEDERAL FORM 990-T

## LINE 51e - BACKUP WITHHOLDING

NAME	EIN	AMOUNT
THRIFT SAVINGS PLAN	52-1529691	17,790
MATRIX PARTNERS CHINA II, LP	98-0694342	1,561
NGP NATURAL RESOURCES XI, LP	47-1245315	35
WATERTON MINING PARALLEL FUND OFFSHORE MASTER, LP	98-1287605	1,258
WESTBRIDGE CROSSOVER TRANCHE III, LLC	98-1469471	3,679
YORKTOWN ENERGY PARTNERS VII, L.P.	20-5451317	6
YORKTOWN ENERGY PARTNERS VIII, LP	26-2754039	25
YORKTOWN ENERGY PARTNERS IX, LP	46-2445852	9
YORKTOWN ENERGY PARTNERS X, L.P.	81-1279864	6
TOTAL		24,369