

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2019
Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation THE PATTERSON FAMILY FOUNDATION		A Employer identification number 35-6975288	
Number and street (or P.O. box number if mail is not delivered to street address) C/O 500 FIFTH AVE 43 FLOOR	Room/suite	B Telephone number (see instructions) (212) 764-6455	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10110		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 27,255,440		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	9,074,392			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	7,436	7,436		
4	Dividends and interest from securities	642,002	642,002		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	2,106,933			
b	Gross sales price for all assets on line 6a	8,006,566			
7	Capital gain net income (from Part IV, line 2)		2,106,933		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	11,830,763	2,756,371		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	67,232	67,232		
17	Interest				
18	Taxes (attach schedule) (see instructions)	41,202	202		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	90		90	
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses.				
	Add lines 13 through 23	108,524	67,434	90	0
25	Contributions, gifts, grants paid	9,088,782			9,088,782
26	Total expenses and disbursements. Add lines 24 and 25	9,197,306	67,434	90	9,088,782
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	2,633,457			
b	Net investment income (if negative, enter -0-)		2,688,937		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	3,249,517	560,778	560,778
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	499,146		
	b Investments—corporate stock (attach schedule)	11,539,131	15,495,591	18,859,844
	c Investments—corporate bonds (attach schedule)	8,592,096	7,834,818	7,834,818
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	23,879,890	23,891,187	27,255,440	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	23,879,890	23,891,187	
29 Total net assets or fund balances (see instructions)	23,879,890	23,891,187		
30 Total liabilities and net assets/fund balances (see instructions) .	23,879,890	23,891,187		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)		1	23,879,890
2 Enter amount from Part I, line 27a		2	2,633,457
3 Other increases not included in line 2 (itemize) ▶ _____		3	
4 Add lines 1, 2, and 3		4	26,513,347
5 Decreases not included in line 2 (itemize) ▶ _____		5	2,622,160
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .		6	23,891,187

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a THROUGH JPM-SEE ATTACHED	P	2019-02-01	2019-12-31
b THROUGH JPM-SEE ATTACHED	P	2018-01-01	2019-12-31
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,228,932		1,152,754	76,178
b 6,777,634		4,746,879	2,030,755
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			76,178
b			2,030,755
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	2,106,933
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				3	76,178

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	5,743,375	26,317,292	0.218236
2017	12,573,912	27,436,840	0.458286
2016	14,131,484	23,488,064	0.601645
2015	12,341,723	18,572,095	0.664530
2014	2,942,546	11,336,273	0.259569
2 Total of line 1, column (d)			2 2.202266
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.440453
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 24,133,424
5 Multiply line 4 by line 3			5 10,629,639
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 26,889
7 Add lines 5 and 6			7 10,656,528
8 Enter qualifying distributions from Part XII, line 4			8 9,088,782

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes questions about exempt foundations, tax under section 511, and total tax due. Total tax due is 93,974.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and state reporting requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 500 FIFTH AVE 4300 NEW YORK NY ZIP+4 10110

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding foreign country interest.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)		
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities.	1a 23,893,370
b	Average of monthly cash balances.	1b 607,568
c	Fair market value of all other assets (see instructions).	1c 0
d	Total (add lines 1a, b, and c).	1d 24,500,938
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e
2	Acquisition indebtedness applicable to line 1 assets.	2
3	Subtract line 2 from line 1d.	3 24,500,938
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4 367,514
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 24,133,424
6	Minimum investment return. Enter 5% of line 5.	6 1,206,671

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here <input type="checkbox"/> and do not complete this part.)		
1	Minimum investment return from Part X, line 6.	1 1,206,671
2a	Tax on investment income for 2019 from Part VI, line 5.	2a 53,779
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b
c	Add lines 2a and 2b.	2c 53,779
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3 1,152,892
4	Recoveries of amounts treated as qualifying distributions.	4
5	Add lines 3 and 4.	5 1,152,892
6	Deduction from distributable amount (see instructions).	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7 1,152,892

Part XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a 9,088,782
b	Program-related investments—total from Part IX-B.	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required).	3a
b	Cash distribution test (attach the required schedule).	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 9,088,782
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6 9,088,782

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,152,892
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.				
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	2,457,088			
b From 2015.	11,608,585			
c From 2016.	13,030,819			
d From 2017.	11,304,211			
e From 2018.	4,444,664			
f Total of lines 3a through e.	42,845,367			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ _____ 9,088,782				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				1,152,892
e Remaining amount distributed out of corpus	7,935,890			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	50,781,257			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	2,457,088			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	48,324,169			
10 Analysis of line 9:				
a Excess from 2015.	11,608,585			
b Excess from 2016.	13,030,819			
c Excess from 2017.	11,304,211			
d Excess from 2018.	4,444,664			
e Excess from 2019.	7,935,890			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> VARIOUS SEE ATTACHED LIST NEW YORK, NY 10110			CHARITABLE	9,088,782
Total			▶ 3a	9,088,782
b <i>Approved for future payment</i>				
Total			▶ 3b	

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1)** Cash.
- (2)** Other assets.

	Yes	No
1a(1)		No
1a(2)		No
b Other transactions:		
(1) Sales of assets to a noncharitable exempt organization.		No
(2) Purchases of assets from a noncharitable exempt organization.		No
(3) Rental of facilities, equipment, or other assets.		No
(4) Reimbursement arrangements.		No
(5) Loans or loan guarantees.		No
(6) Performance of services or membership or fundraising solicitations.		No
1c		No

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

***** 2020-11-15 *****

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.) Yes No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	MARK WEISS		2020-11-15		P00642536
	Firm's name ▶ PEYSER & ALEXANDER MGMT INC	Firm's EIN ▶ 13-4125221			
	Firm's address ▶ 500 5TH AVE 43RD FLOOR NEW YORK, NY 101104400	Phone no. (212) 764-6455			

TY 2019 Investments Corporate Bonds Schedule**Name:** THE PATTERSON FAMILY FOUNDATION**EIN:** 35-6975288**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INVESTMENT IN CORPORATE BONDS	7,834,818	7,834,818

TY 2019 Investments Corporate Stock Schedule**Name:** THE PATTERSON FAMILY FOUNDATION**EIN:** 35-6975288

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTMENT IN SECURITY	15,495,591	18,859,844

TY 2019 Other Decreases Schedule**Name:** THE PATTERSON FAMILY FOUNDATION**EIN:** 35-6975288

Description	Amount
UNREALIZED LOSS	2,622,160

TY 2019 Other Professional Fees Schedule**Name:** THE PATTERSON FAMILY FOUNDATION**EIN:** 35-6975288

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	67,232	67,232		

TY 2019 Taxes Schedule**Name:** THE PATTERSON FAMILY FOUNDATION**EIN:** 35-6975288

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	41,000			
FOREIGN TAX WITHHELD	202	202		

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047
2019

Name of the organization
THE PATTERSON FAMILY FOUNDATION

Employer identification number
35-6975288

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE PATTERSON FAMILY FOUNDATION

Employer identification number
35-6975288

Part I
Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES PATTERSON 500 FIFTH AVE STE 4300 NEW YORK, NY 10110	 \$ 9,074,392	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization THE PATTERSON FAMILY FOUNDATION	Employer identification number 35-6975288
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Part II Noncash Property

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	SEE ATTACHED	\$ 9,074,392	2019-12-31
-	_____ _____ _____	\$ _____	_____
-	_____ _____ _____	\$ _____	_____
-	_____ _____ _____	\$ _____	_____
-	_____ _____ _____	\$ _____	_____
-	_____ _____ _____	\$ _____	_____

Name of organization
THE PATTERSON FAMILY FOUNDATION

Employer identification number
35-6975288

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

THE PATTERSON FAMILY FOUNDATION
 EIN 35-6975288

ATTACHMENT TO 990-PF 2019

PAGE 3 PART IV

CAPITAL GAIN & LOSSES FOR TAX ON INVESTMENT INCOME

S	DESCRIPTION	TICKER	TRADE DATE	QUANTITY	PRICE SOLD	COST(\$)	PRINCIPAL(\$)	SHORT TERM GAIN	LONG TERM GAIN	TOTAL GAIN
Sale	FIDELITY INTERNATIONAL INDEX FUND	FSPSX	05-Apr-2019	-5,297	40.81	243,097	216,155	0	-26,942	-26,942
L.T. Ca	FIDELITY 500 INDEX FD-AI	FXAIX	08-Apr-2019	-	-	0	5,164	0	5,164	5,164
Sale	PIMCO FD PAC INV MGMT SERIES HIGH YIELD FD - INSTL	PHIYX	17-Apr-2019	-41,439	8.86	359,332	367,153	0	7,821	7,821
Sale	PAYDEN & RYSEL INVT GROUP PAYD EMKT INST	PYEIX	17-Apr-2019	-19,007	13.34	236,636	253,552	16,916	0	16,916
Sale	VANGUARD SHORT-TERM BOND ETF	BSV	25-Sep-2019	-6,191	80.69	493,675	499,416	5,742	0	5,742
Sale	ISHARES SHORT TREASURY BOND	SHV	25-Sep-2019	-4,522	110.57	499,008	499,899	-16	907	891
Sale	ISHARES CORE U.S. AGGREGATE BOND ETF	AGG	25-Sep-2019	-1,129	113.16	125,517	127,734	0	2,217	2,217
Sale	VANGUARD S&P 500 ETF	VOO	20-Nov-2019	-1,047	284.36	237,537	297,696	0	60,159	60,159
Sale	ISHARES CORE MSCI EUROPE	IEUR	22-Nov-2019	-4,190	47.81	197,289	200,283	2,995	0	2,995
Sale	VANGUARD S&P 500 ETF	VOO	22-Nov-2019	-439	285.63	99,502	125,383	0	25,882	25,882
						2,491,591	2,592,435	25,637	75,208	100,845

Total long term gain 1,563,991 1,639,183 75,192
 Total short term gain 927,599 953,252 25,653
 Page total: 2,491,591 2,592,435 25,653 75,192 100,845

#9001

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CAPITAL GAIN & LOSSES FOR TAX ON INVESTMENT INCOME

SIDE	DESCRIPTION	TICKER	TRADE DATE	QUANTITY	PRICE	SOL	COST(\$)	PRINCIPAL(\$)	SHORT TERM	LONG TERM	TOTAL GAIN
Sale	OWENS-ILLINOIS INC	ZZZZ	06-Mar-2019	-1,674	20.43		30,533	34,035	0	3,501	3,501
Sale	SERVICE CORP INTERNATIONAL	SCI	06-Mar-2019	-3,500	40.93		88,266	142,888	0	54,622	54,622
Sale	AECOM	ACM	23-May-2019	-824	31.51		23,246	25,882	0	2,636	2,636
Sale	CROWN HOLDINGS INC	CCK	23-May-2019	-2,014	59.54		100,481	119,716	0	19,235	19,235
Sale	FEDEX CORP9	FDX	23-May-2019	-2,300	160.27		371,221	368,393	0	-2,828	-2,828
Sale	HCA HEALTHCARE INC	HCA	23-May-2019	-350	126.35		26,546	44,188	0	17,642	17,642
Sale	HERTZ RENTAL CAR HOLD CO	HTZGQ	23-May-2019	-773	15.57		7,558	11,958	0	4,400	4,400
Sale	LINCOLN NATIONAL CORP	LNC	23-May-2019	-1,108	62.18		46,084	68,783	0	22,699	22,699
Sale	ONEX CORPORATION	ONEXF	23-May-2019	-6,875	57.48		279,043	394,548	0	115,505	115,505
Sale	OURATE RETAIL, INC	ORTEA	23-May-2019	-1,143	13.02		10,428	14,770	0	4,342	4,342
Sale	SERVICE CORP INTERNATIONAL	SCI	23-May-2019	-1,050	43.20		26,480	45,256	0	18,776	18,776
Sale	TECH DATA CORP	TECD	23-May-2019	-600	92.23		56,909	55,276	0	-1,634	-1,634
Sale	THERMO FISHER SCIENTIFIC INC	TMO	23-May-2019	-1,350	270.86		185,804	365,515	0	179,710	179,710
Sale	WESTERN DIGITAL CORP	WDC	23-May-2019	-551	41.35		14,335	22,730	0	8,395	8,395
Sale	RECKITT BENCKISER GROUP PLC	RBK/	24-May-2019	-5,100	81.37		342,772	413,521	50,525	20,223	70,749
Sale	ACCENTURE PLC-CL A	ACN	08-Aug-2019	-250	193.08		9,432	48,243	0	38,811	38,811
Sale	AERCAP HOLDINGS NV	AER	08-Aug-2019	-2,000	52.90		25,860	105,598	0	79,738	79,738
Sale	AMERIPRISE FINANCIAL INC	AMP	08-Aug-2019	-996	134.32		142,259	133,680	0	-8,578	-8,578
Sale	ARAMARK	ARMK	08-Aug-2019	-13,375	37.72		380,991	503,392	0	122,401	122,401
Sale	ASSURANT INC	AIZ	08-Aug-2019	-600	118.95		20,286	71,309	0	51,023	51,023
Sale	AUTOMATIC DATA PROCESSING	ADP	08-Aug-2019	-189	168.80		16,210	31,883	0	15,674	15,674
Sale	AVIS BUDGET GROUP INC	CAR	08-Aug-2019	-1,500	31.68		51,975	47,369	0	-4,606	-4,606
Sale	BANK OF AMERICA CORP	BAC	08-Aug-2019	-6,205	28.42		90,597	175,785	0	85,187	85,187
Sale	BERKSHIRE HATHAWAY INC-CL B	BRK B	08-Aug-2019	-250	198.79		16,523	49,671	0	33,149	33,149
Sale	BERKSHIRE HATHAWAY INC-CL B	BRK B	08-Aug-2019	-375	199.60		23,797	74,811	0	51,014	51,014
Sale	BROADCOM INC	AVGO	08-Aug-2019	-416	270.25		16,458	112,380	0	95,922	95,922
Sale	BROOKFIELD ASSET MANAGE-CL A	BAM	08-Aug-2019	-1,500	49.68		36,663	74,368	0	37,705	37,705

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EW 35-6975288

Sale	CELANESE CORP-SERIES A	CE	08-Aug-2019	-1,000	109.65	44,400	109,548	0	65,148	65,148
Sale	CME GROUP INC	CME	08-Aug-2019	-1,305	209.55	142,001	273,327	0	131,326	131,326
Sale	DANAHER CORP	DHR	08-Aug-2019	-355	139.78	9,464	49,585	0	40,121	40,121
Sale	DANAHER CORP	DHR	08-Aug-2019	-700	140.96	18,661	98,600	0	79,939	79,939
Sale	DUNKIN' BRANDS GROUP INC	DNKN	08-Aug-2019	-600	81.94	31,813	49,102	0	17,289	17,289
Sale	FIDELITY NATIONAL INFO SERV	FIS	08-Aug-2019	-180	136.50	10,800	24,551	0	13,750	13,750
Sale	GOODYEAR TIRE & RUBBER CO	GT	08-Aug-2019	-3,139	12.49	39,867	38,891	0	-976	-976
Sale	HONEYWELL INTERNATIONAL INC	HON	08-Aug-2019	-275	166.12	11,250	45,655	0	34,405	34,405
Sale	MICROSOFT CORP	MSFT	08-Aug-2019	-350	138.05	25,587	48,282	0	22,694	22,694
Sale	MICROSOFT CORP	MSFT	08-Aug-2019	-3,822	138.10	220,144	527,426	0	307,283	307,283
Sale	MICROSOFT CORP	MSFT	08-Aug-2019	-350	138.27	18,273	48,359	0	30,086	30,086
Sale	MONDELEZ INTERNATIONAL INC-A	MDLZ	08-Aug-2019	-450	54.55	20,262	24,500	0	4,237	4,237
Sale	TEXAS INSTRUMENTS INC	TXN	08-Aug-2019	-226	174.17	12,886	28,040	0	15,154	15,154
Sale	THERMO FISHER SCIENTIFIC INC	TMO	08-Aug-2019	-250	279.40	34,408	69,824	0	35,415	35,415
Sale	THERMO FISHER SCIENTIFIC INC	TMO	08-Aug-2019	-450	280.71	61,935	126,272	0	64,337	64,337
Sale	US BANCORP	USB	08-Aug-2019	-875	52.93	15,535	46,225	0	30,690	30,690
Sale	JPM MANAGED INCOME FD	IMGIX	10-Sep-2019	-24,876	10.05	250,000	250,000	0	0	0
						3,408,042	5,414,131	50,525	1,955,563	2,006,089

	Short Term Gain page total					225,155	275,681	50,526		50,526
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	Long Term gain					117617	137840		20,223	
	Long Term gain					3,065,270	5,000,610	0	1,935,340	
	Long term gain page total					3,182,887	5,138,450	0	1,955,563	1,955,563

	ST FROM ABOVE					225,155	275,681	50,526		
	ST FROM PAGE #9001					927,599	953,252	25,653		
	GRAND TOTAL - SHORT TERM					1,152,754	1,228,932	76,178		76,178

	LT FROM ABOVE					3,182,887	5,138,450		1,955,563	
	LT FROM PAGE #9001					1563991	1639183		75192	
	GRAND TOTAL - LONG TERM					4,746,879	6,777,634	0	2,030,755	2,030,755

	TOTAL					5,899,633	8,006,566	76,178		2,106,933
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THE PATTERSON FAMILY FOUNDATION
 EIN 35-6975288
 ATTACHMENT TO 990-PF 2019
 PAGE 1 PART 1 LINE 25
 GRANTS AND CONTRIBUTION PAID

	DATE	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTORS	FOUNDATION STATUS OF RECIPIENTS	PURPOSE OF CONTRIBUTION	FOUNDATION STATUS OF RECIPIENTS
ALABAMA A&M UNIVERSITY	9/19/2019	None	Public Charity	General Support	2,500.00
AMERICAN BOOKSELLERS ASSOCIATION	11/21/2019	None	Public Charity	General Support	250,000.00
APPALACHIAN STATE UNIVERSITY FOUNDATION, IN	1/30/2019	None	Public Charity	General Support	90,000.00
AUTHORS GUILD FOUNDATION	4/18/2019	None	Public Charity	General Support	10,000.00
BARNES & NOBLE	11/7/2019	None	Public Charity	General Support	12,540.00
BARNES & NOBLE	11/8/2019	None	Public Charity	General Support	188,100.00
BINC FOUNDATION	1/23/2019	None	Public Charity	General Support	25,000.00
BROWN UNIVERSITY	3/31/2019	None	Public Charity	General Support	2,000,000.00
CENTER FOR CREATIVE EDUCATION	1/18/2019	None	Public Charity	General Support	2,500.00
EMPORIA STATE UNIVERSITY FOUNDATION	8/28/2019	None	Public Charity	General Support	96,000.00
FLORIDA ATLANTIC UNIVERSITY FOUNDATION, INC	11/5/2019	None	Public Charity	General Support	120,000.00
JEFFERSON ROAD ELEMENTARY SCHOOL	12/12/2019	None	Public Charity	General Support	500.00
MANHATTAN COLLEGE	9/10/2019	None	Public Charity	General Support	110,000.00
MAYO CLINIC	11/12/2019	None	Public Charity	General Support	25,000.00
MISSISSIPPI STATE UNIVERSITY FOUNDATION, INC	8/28/2019	None	Public Charity	General Support	96,000.00
OUR LADY OF LOURDES HIGH SCHOOL	1/23/2019	None	Public Charity	General Support	8,850.00
PALM BEACH CIVIC ASSOCIATION	11/14/2019	None	Public Charity	General Support	5,000.00
PALM BEACH CIVIC ASSOCIATION	6/19/2019	None	Public Charity	General Support	15,000.00
PALM BEACH DAY ACADEMY	2/22/2019	None	Public Charity	General Support	10,000.00
PALM BEACH STATE COLLEGE - LAKE WORTH	8/15/2019	None	Public Charity	General Support	2,500.00
PALM BEACH STATE COLLEGE FOUNDATION, INC.	10/24/2019	None	Public Charity	General Support	48,000.00
SCHOLASTIC BOOK CLUBS	5/29/2019	None	Public Charity	General Support	1,250,000.00
SCHOOL OF THE ARTS FOUNDATION, INC.	9/16/2019	None	Public Charity	General Support	5,000.00
SCHOOL OF THE ARTS FOUNDATION, INC.	10/8/2019	None	Public Charity	General Support	15,000.00
SCHOOL OF THE ARTS FOUNDATION, INC.	6/5/2019	None	Public Charity	General Support	72,825.00
SUNY ORANGE COUNTY COMMUNITY COLLEGE	9/11/2019	None	Public Charity	General Support	2,500.00
SUNY ORANGE COUNTY COMMUNITY COLLEGE	9/11/2019	None	Public Charity	General Support	2,500.00
THE UNIVERSITY OF FLORIDA FOUNDATION, INC.	6/13/2019	None	Public Charity	General Support	96,000.00
UNIVERSITY OF ALABAMA	8/21/2019	None	Public Charity	General Support	68,344.00
UNIVERSITY OF CENTRAL FLORIDA	3/19/2019	None	Public Charity	General Support	2,500.00
UNIVERSITY OF FLORIDA	3/31/2019	None	Public Charity	General Support	1,000,000.00
UNIVERSITY OF IOWA FOUNDATION	6/26/2019	None	Public Charity	General Support	75,000.00
UNIVERSITY OF PENNSYLVANIA	9/10/2019	None	Public Charity	General Support	96,000.00
UNIVERSITY OF WISCONSIN FOUNDATION	6/26/2019	None	Public Charity	General Support	183,000.00
UNIVERSITY OF WISCONSIN FOUNDATION	1/15/2019	None	Public Charity	General Support	3,000,000.00
VANDERBILT UNIVERSITY	2/6/2019	None	Public Charity	General Support	100,000.00
YORK STREET PROJECT	8/26/2019	None	Public Charity	General Support	2,622.80
					9,088,781.80

CONTRIBUTORS
ATTACHMENT TO 990-PF SCHEDULE B PART 1
THE PATTERSON FAMILY FOUNDATION
EIN 35-6975288
YE 12-31-19

	DESCRIPTION OF NONCASH PROPERTY GIVEN	FMV	DATE RECEIVED
1	VARIOUS SECURITIES	3,586,086.00	2/25/2019
2	VARIOUS SECURITIES	40,589.00	2/27/2019
3	VARIOUS SECURITIES	395,998.00	3/1/2019
4	VARIOUS SECURITIES	1,950,569.00	5/13/2019
5	VARIOUS SECURITIES	1,003,118.00	8/7/2019
6	VARIOUS SECURITIES	1,067,256.00	8/8/2019
7	VARIOUS SECURITIES	1,030,776.00	11/20/2019
		<u>9,074,392.00</u>	

INVESTMENTS
ATTACHMENT TO 990-PF PAGE 2 PART II
LINE 10B AND 10C
THE PATTERSON FAMILY FOUNDATION
EIN 35-6975288
YE 12-31-19

INVESTMENT - CORP STOCK	\$	18,859,844	10A - Corporate Stocks
INVESTMENT CORPORATE BON		7,834,818	10B - Corporate Bonds
Total FMV - JP MORG	\$	<u>26,694,662</u>	