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Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2020

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

A For the 2020 calendar year, or tax year beginning 01-01-2020 , and ending 12-31-2020

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
THE ROCK AND ROLL HALL OF FAME
AND MUSEUM INC

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)Room/suite

1100 ROCK AND ROLL BOULEVARD

City or town, state or province, country, and ZIP or foreign postal code
CLEVELAND, OH 441141022

D Employer identification number
34-1520995

E Telephone number
(216) 781-7625

G Gross receipts \$ 25,515,250

F Name and address of principal officer:
GREG HARRIS
1100 ROCKROLL BLVD
CLEVELAND, OH 44114

H(a) Is this a group return for subordinates?
☐ Yes ☒ No

H(b) Are all subordinates included?
☐ Yes ☐ No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀(insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.ROCKHALL.COM

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1985

M State of legal domicile: OH

Part I

Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities:
THE MISSION OF THE MUSEUM IS TO ENGAGE, TEACH, AND INSPIRE THROUGH THE POWER OF ROCK AND ROLL. SEE SCHEDULE O FOR FURTHER DETAILS.

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a)

4 Number of independent voting members of the governing body (Part VI, line 1b)

5 Total number of individuals employed in calendar year 2020 (Part V, line 2a)

6 Total number of volunteers (estimate if necessary)

7a Total unrelated business revenue from Part VIII, column (C), line 12

7b Net unrelated business taxable income from Form 990-T, line 39

1010920148650,21866,834

Revenue

8 Contributions and grants (Part VIII, line 1h)

9 Program service revenue (Part VIII, line 2g)

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)

Prior Year

Current Year

18,723,10312,827,623

11,200,1673,147,213

989,421634,679

5,890,4741,376,398

36,803,16517,985,913

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)

14 Benefits paid to or for members (Part IX, column (A), line 4)

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)

16a Professional fundraising fees (Part IX, column (A), line 11e)

b Total fundraising expenses (Part IX, column (D), line 25) ▶1,132,100

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)

18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)

19 Revenue less expenses. Subtract line 18 from line 12

1,0000

12,273,0409,469,621

373,646181,426

16,116,67313,203,347

28,764,35922,854,394

8,038,806-4,868,481

Net Assets or Fund Balances

20 Total assets (Part X, line 16)

21 Total liabilities (Part X, line 26)

22 Net assets or fund balances. Subtract line 21 from line 20

Beginning of Current Year

End of Year

140,245,161136,154,520

8,489,4577,509,247

131,755,704128,645,273

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

2021-08-20

Date

GREG HARRIS PRESIDENT & CEO

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN P00226559

Firm's name ▶ MALONEY NOVOTNY LLC

Firm's EIN ▶ 34-0677006

Firm's address ▶ 1111 SUPERIOR AVE SUITE 700

Phone no. (216) 363-0100

CLEVELAND, OH 441142540

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat. No. 11282Y

Form 990 (2020)

Part III**Statement of Program Service Accomplishments**Check if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission:

SEE SCHEDULE O THE ROCK AND ROLL HALL OF FAME AND MUSEUM'S MISSION IS TO "ENGAGE, TEACH, AND INSPIRE THROUGH THE POWER OF ROCK AND ROLL". IT CARRIES OUT THIS MISSION THROUGH ITS OPERATION OF A WORLD-CLASS MUSEUM THAT COLLECTS, PRESERVES, EXHIBITS, AND INTERPRETS THIS ART FORM THROUGH ITS LIBRARY AND ARCHIVES AS WELL AS ITS EDUCATIONAL PROGRAMS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code:) (Expenses \$ 16,956,385 including grants of \$ 0) (Revenue \$ 3,274,632)
See Additional Data	

4b	(Code:) (Expenses \$ 123,158 including grants of \$ 0) (Revenue \$ 0)
See Additional Data	

4c	(Code:) (Expenses \$ 127,421 including grants of \$ 0) (Revenue \$ 334,576)
See Additional Data	

4d	Other program services (Describe in Schedule O.)
(Expenses \$ including grants of \$) (Revenue \$)	

4e	Total program service expenses ▶ 17,206,964
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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	No

Part IV Checklist of Required Schedules (continued)

		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a	No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a	No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b	No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26	No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27	No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV	28a	No
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b	No
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV	28c	No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Yes
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31	No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32	No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	Yes
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	Yes
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36	No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37	No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	38	Yes

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	91
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes

Part V **Statements Regarding Other IRS Filings and Tax Compliance** (continued)

2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	<div style="border: 1px solid black; padding: 2px;"> 2a 201 </div>				
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year? . . .			3a	Yes	
b If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation in Schedule O</i> . . .			3b	Yes	
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . .			4a		No
b If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).					
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .			5a		No
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . .			6a		No
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7 Organizations that may receive deductible contributions under section 170(c).					
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?			7a	Yes	
b If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Yes	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .			7f		No
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			8		
9 Sponsoring organizations maintaining donor advised funds.					
a Did the sponsoring organization make any taxable distributions under section 4966?			9a		
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . .			9b		
10 Section 501(c)(7) organizations. Enter:					
a Initiation fees and capital contributions included on Part VIII, line 12	10a				
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11 Section 501(c)(12) organizations. Enter:					
a Gross income from members or shareholders	11a				
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b				
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?					
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b		12a		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.					
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.			13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c Enter the amount of reserves on hand	13c				
14a Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O</i> . . .			14b		
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 7220, Schedule N.			15		No
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? . . . If "Yes," complete Form 4720, Schedule O.			16		No

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	10	
b	Enter the number of voting members included in line 1a, above, who are independent	9	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	Yes	
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	Yes	
b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?		No
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	Yes	
13	Did the organization have a written whistleblower policy?	Yes	
14	Did the organization have a written document retention and destruction policy?	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	Yes	
b	Other officers or key employees of the organization	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed▶
AL, AK, AR, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, ME, MD, MA, MI, MN, MS, MO, NH, NJ, NM, NY, NC, ND, NV, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:
▶TIM OFFTERMATT 1100 ROCK AND ROLL BLVD CLEVELAND, OH 44114 (216) 781-7625

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) NICK AKINS TRUSTEE/MEMBER	1.00 0.00	X						0	0	0
(2) RICK CHIRICOSTA TRUSTEE/MEMBER	1.00 0.00	X						0	0	0
(3) PAUL CLARK TRUSTEE/MEMBER, CO-CHAIR	8.00 0.00	X						0	0	0
(4) CHRIS CONNOR TRUSTEE/MEMBER	1.00 0.00	X						0	0	0
(5) ROB LIGHT TRUSTEE/MEMBER	1.00 0.00	X						0	0	0
(6) PAM KAUFFMAN TRUSTEE/MEMBER	1.00 0.00	X						0	0	0
(7) JOEL PERESMAN TRUSTEE/MEMBER	1.00 0.00	X						0	456,000	41,244
(8) CURTIS SCHENKER TRUSTEE/MEMBER	1.00 0.00	X						0	0	0
(9) JOHN SYKES TRUSTEE/MEMBER, CO-CHAIR	2.00 0.00	X						0	0	0
(10) ALEC WIGHTMAN TRUSTEE/MEMBER	1.00 0.00	X						0	0	0
(11) GREG HARRIS PRESIDENT & CEO	40.00 0.00			X				577,733	0	52,508
(12) TIM OFFTERMATT VP-FINANCE	40.00 0.00			X				244,269	0	27,280
(13) KAREN HERMAN VP-CURATORIAL AFFAIRS	40.00 0.00				X			239,640	0	17,349
(14) DIONNE BROADUS VP-DEVELOPMENT	40.00 0.00				X			239,684	0	3,697
(15) DAWN WAYT VP-SALES & MARKETING	40.00 0.00				X			221,557	0	7,656
(16) JASON HANLEY VP-EDUCATION	40.00 0.00				X			202,242	0	32,176
(17) LIZ PESCHGES VP-CULTURE & STRATEGY	40.00 0.00					X		212,248	0	6,737

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) TIM PARNIN	40.00					X		187,596	0	36,816
VP - DIGITAL TECHNOLOGY	0.00									
(19) CRAIG INCIARDI	40.00					X		150,406	0	28,201
CURATOR AND DIRECTOR OF ACQUISITIONS	0.00									
(20) ROB WEIL	40.00					X		126,734	0	22,622
SR DIRECTOR OF PRODUCTION	0.00									
(21) STEVE DOBO	40.00					X		120,739	0	29,339
DIRECTOR OF STRATEGIC PARTNERSHIPS	0.00									

1b Sub-Total			
c Total from continuation sheets to Part VII, Section A			
d Total (add lines 1b and 1c)	2,522,848	456,000	305,625

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 23**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
COMMUNITY COUNSELLING SERVICE CO LLC 527 MADISON AVE 5TH FLOOR NEW YORK, NY 10022	CAPITAL CAMPAIGN MANAGEMENT	181,426
GREENDAY SYSTEMS LLC 35595 CURTIS BLVD UNIT A EASTLAKE, OH 44095	ORDER FULFILL.&STORAGE	167,235
BLUEBRIDGE NETWORKS 1255 EUCLID AVE STE 500 CLEVELAND, OH 44115	NETWORK SECURITY&DIG.STORAGE	118,541

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 3**

Form 990 (2020)										Page 9	
Part VIII Statement of Revenue											
Check if Schedule O contains a response or note to any line in this Part VIII <input type="checkbox"/>											
				(A) Total revenue		(B) Related or exempt function revenue		(C) Unrelated business revenue		(D) Revenue excluded from tax under sections 512 - 514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . .			1a							
	b Membership dues . . .			1b		1,049,635					
	c Fundraising events . . .			1c		11,428					
	d Related organizations			1d		100,000					
	e Government grants (contributions)			1e		3,203,721					
	f All other contributions, gifts, grants, and similar amounts not included above			1f		8,462,839					
	g Noncash contributions included in lines 1a - 1f:\$			1g		64,362					
	h Total. Add lines 1a-1f ▶				12,827,623						
Program Service Revenue				Business Code							
	2a ADMISSIONS			900099		2,651,103		2,651,103			
	b EXHIBIT RENTAL			900099		328,500		328,500			
	c PROGRAM ADMISSIONS&FEES			900099		71,503		71,503			
	d CAFE REVENUE			722210		61,112				61,112	
	e MEMBERSHIP DUES			900099		34,995		34,995			
	f All other program service revenue.										
g Total. Add lines 2a-2f. ▶				3,147,213							
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶					686,871				592	
	4 Income from investment of tax-exempt bond proceeds ▶										
	5 Royalties ▶					32,875					
				(i) Real		(ii) Personal					
	6a Gross rents			6a		240,639					
	b Less: rental expenses			6b		197,915					
	c Rental income or (loss)			6c		42,724					
	d Net rental income or (loss) ▶					42,724				42,724	
				(i) Securities		(ii) Other					
	7a Gross amount from sales of assets other than inventory			7a		5,875,018					
	b Less: cost or other basis and sales expenses			7b		5,927,210					
	c Gain or (loss)			7c		-52,192					
	d Net gain or (loss) ▶					-52,192				-52,192	
	8a Gross income from fundraising events (not including \$ 11,428 of contributions reported on line 1c). See Part IV, line 18			8a		3,872					
	b Less: direct expenses			8b		3,872					
	c Net income or (loss) from fundraising events ▶					0					
	9a Gross income from gaming activities. See Part IV, line 19			9a							
	b Less: direct expenses			9b							
	c Net income or (loss) from gaming activities ▶										
	10a Gross sales of inventory, less returns and allowances . . .			10a		2,309,862					
b Less: cost of goods sold . . .			10b		1,400,340						
c Net income or (loss) from sales of inventory ▶					909,522		320,053		589,469		
Miscellaneous Revenue			Business Code								
11a SATELLITE TOWER			900099		170,790				170,790		
b CAPITAL INVESTMENT			900099		151,181		151,181				
c PROMOTIONS REVENUE			900004		17,433				17,433		
d All other revenue					51,873		51,873				
e Total. Add lines 11a-11d ▶					391,277						
12 Total revenue. See instructions ▶					17,985,913		3,609,208		650,218		
						898,864					
Form 990 (2020)											

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,895,228	1,071,788	391,684	431,756
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	5,651,595	3,876,322	1,635,210	140,063
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	138,450	98,165	37,849	2,436
9 Other employee benefits	1,283,795	971,441	248,930	63,424
10 Payroll taxes	500,553	349,060	123,423	28,070
11 Fees for services (non-employees):				
a Management	41,513	19,784	21,729	
b Legal	347,310	117,541	227,847	1,922
c Accounting	75,900		75,900	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	181,426			181,426
f Investment management fees	63,249	3,235	56,727	3,287
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)				
12 Advertising and promotion	620,971	615,282	5,004	685
13 Office expenses	1,111,585	738,157	283,519	89,909
14 Information technology	451,016	131,470	298,126	21,420
15 Royalties				
16 Occupancy	1,424,813	1,168,960	227,651	28,202
17 Travel	149,305	83,682	41,976	23,647
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	44,056	18,475	23,794	1,787
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	5,387,159	5,098,445	262,178	26,536
23 Insurance	240,742	35,582	194,881	10,279
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a INDUCTION CEREMONY&DEV.	1,339,616	1,267,302		72,314
b CURATORIAL&EXH.EXP.	799,928	799,928		
c MUSEUM STORE EXPENSES	474,264	226,015	248,249	
d EDUC.&PROG.EXPENSES	175,972	175,972		
e All other expenses	455,948	340,358	110,653	4,937
25 Total functional expenses. Add lines 1 through 24e	22,854,394	17,206,964	4,515,330	1,132,100
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		392,543	1	49,098
	2	Savings and temporary cash investments		17,729,288	2	29,048,309
	3	Pledges and grants receivable, net		20,452,852	3	13,682,304
	4	Accounts receivable, net		1,740,675	4	488,474
	5	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use		778,364	8	2,355,749
	9	Prepaid expenses and deferred charges		600,892	9	442,334
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a	143,043,946		
	b	Less: accumulated depreciation	10b	74,995,212		
	11	Investments—publicly traded securities		26,274,935	11	22,039,518
	12	Investments—other securities. See Part IV, line 11			12	
	13	Investments—program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11			15	
16	Total assets. Add lines 1 through 15 (must equal line 33)		140,245,161	16	136,154,520	
Liabilities	17	Accounts payable and accrued expenses		4,901,916	17	2,100,127
	18	Grants payable			18	
	19	Deferred revenue		3,587,541	19	3,284,520
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D		0	25	2,124,600
	26	Total liabilities. Add lines 17 through 25		8,489,457	26	7,509,247
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.					
	27	Net assets without donor restrictions		56,186,500	27	51,016,441
	28	Net assets with donor restrictions		75,569,204	28	77,628,832
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.					
	29	Capital stock or trust principal, or current funds			29	
	30	Paid-in or capital surplus, or land, building or equipment fund			30	
	31	Retained earnings, endowment, accumulated income, or other funds			31	
	32	Total net assets or fund balances		131,755,704	32	128,645,273
33	Total liabilities and net assets/fund balances		140,245,161	33	136,154,520	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	17,985,913
2	Total expenses (must equal Part IX, column (A), line 25)	2	22,854,394
3	Revenue less expenses. Subtract line 2 from line 1	3	-4,868,481
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	131,755,704
5	Net unrealized gains (losses) on investments	5	1,758,050
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	128,645,273

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Software ID:
Software Version:
EIN: 34-1520995
Name: THE ROCK AND ROLL HALL OF FAME
AND MUSEUM INC

Form 990 (2020)

Form 990, Part III, Line 4a:

SEE SCHEDULE OMUSEUM OPERATIONS: THE ROCK AND ROLL HALL OF FAME HAS ESTABLISHED ITSELF AS THE PRE-EMINENT HOME FOR THE CELEBRATION AND STUDY OF ROCK AND ROLL. IT IS A WORLD-CLASS MUSEUM AND LIBRARY AND ARCHIVES THAT EDUCATES ITS VISITORS ABOUT THE HISTORY OF ROCK AND ROLL, FROM ITS ROOTS TO THE PRESENT, AND ABOUT ROCK AND ROLL'S IMPACT ON OUR CULTURE AND SOCIETY. IT ACCOMPLISHES ALL OF THIS WITH SEVEN FLOORS OF EXHIBITS FEATURING THOUSANDS OF HISTORIC ARTIFACTS, AS WELL AS NUMEROUS FILMS AND INTERACTIVE EXHIBITS. IN ADDITION TO THE PERMANENT EXHIBITS, THE ROCK HALL CURATES SEVERAL NEW TEMPORARY EXHIBITS EACH YEAR WHICH FOCUS ON INDIVIDUAL ARTISTS, VARIOUS GENRES OF MUSIC OR IMPORTANT ANNIVERSARIES OF HISTORIC EVENTS. IN 2020, THE TEMPORARY EXHIBITS FEATURED PLAY IT LOUD: INSTRUMENTS OF ROCK & ROLL, ICONIC: BARON WOLMAN IMAGES OF AN ERA, FOREVER WARPED, IT'S BEEN SAID ALL ALONG: VOICES OF RAGE, HOPE AND EMPOWERMENT, INDUCTION ALL ACCESS: PHOTOGRAPHY BY KEVIN MAZUR, THE ONCE LOST PHOTOGRAPHY OF MICHAEL FRIEDMAN, 2020 NEW INDUCTEES, AND IN MEMORIAM. THE ROCK HALL ALSO CURATES AN INVENTORY OF TRAVELING EXHIBITS THAT ARE FEATURED AT OTHER MUSEUMS AND VISITOR ATTRACTIONS. FURTHER, THE LIBRARY & ARCHIVES, FOR WHICH THE ROCK HALL HAS BEEN COLLECTING ARTIFACTS SINCE IT OPENED IN 1995, HELPS THE ROCK HALL FULFILL ITS MISSION BY MAKING ONE-OF-A-KIND AUDIO AND VIDEO RECORDINGS, PROMOTIONAL MATERIALS, PERSONAL PAPERS AND BUSINESS RECORDS OF POPULAR MUSIC'S MOST INFLUENTIAL FIGURES ACCESSIBLE TO THE PUBLIC FOR THE FIRST TIME. THE LIBRARY AND ARCHIVES ALSO ENHANCES THE MUSEUM'S EDUCATION PROGRAMS AT THE UNIVERSITY LEVEL.

Form 990, Part III, Line 4b:

SEE SCHEDULE O
PLAY IT LOUD: INSTRUMENTS OF ROCK & ROLL: CO-ORGANIZED WITH THE MET IN NEW YORK CITY, THE EXHIBIT OFFERS A RARE, IN-DEPTH LOOK AT THE INSTRUMENTS THAT INSPIRED THE MUSICIANS AND MADE POSSIBLE THE SONGS WE KNOW AND LOVE. PLAY IT LOUD: INSTRUMENTS OF ROCK & ROLL IS THE LARGEST SINGLE EXHIBIT THE ROCK HALL HAS EVER DISPLAYED AND TAKES OVER FOUR FLOORS OF THE MUSEUM. INSTRUMENTS SHOWCASED SPAN FROM 1939 TO TODAY AND MANY HAVE NEVER BEEN DISPLAYED OUTSIDE OF THEIR ORIGINAL CONCERT PERFORMANCE SETTING. THIS IS THE ONLY TIME THESE ICONIC INSTRUMENTS WILL BE SEEN TOGETHER IN ONE MASSIVE COLLECTION.

Form 990, Part III, Line 4c:

SEE SCHEDULE O EDUCATION & PUBLIC PROGRAMS: THE ROCK AND ROLL HALL OF FAMES'S EDUCATION AND VISITOR ENGAGEMENT DIVISION PRODUCES SCORES OF ACTIVITIES AND EVENTS EACH YEAR THAT REINFORCE THE ROCK HALL'S COMMITMENT TO THE STUDY AND INTERPRETATION OF ROCK AND ROLL MUSIC AND ITS ROOTS. THE CONTENT OF THESE PROGRAMS HIGHLIGHTS NOT ONLY THE WORK OF ROCK AND ROLL HALL OF FAME INDUCTEES, BUT ALSO A BROAD SPECTRUM OF POPULAR MUSIC RANGING FROM THE BLUES, COUNTRY, AND GOSPEL OF THE EARLY 1900S TO THE CLASSIC ROCK OF THE 1960S TO THE HIP HOP AND POP SOUNDS OF TODAY. THESE PROGRAMS ARE PRESENTED IN A VARIETY OF FORMATS INCLUDING INTERVIEWS, CONCERTS, LECTURES, FILM SCREENINGS, MASTER CLASSES, AND CONFERENCES AT VENUES BOTH ON- AND OFF-SITE. FURTHER, THE ROCK HALL HOSTED COMMUNITY FESTIVALS CELEBRATING THE INTERSECTION OF MUSIC, CULTURE, AND SOCIETY.

SCHEDULE A

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization

THE ROCK AND ROLL HALL OF FAME AND MUSEUM INC

Employer identification number

34-1520995

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III.
If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support							
	Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . .	20,352,416	18,268,754	25,072,456	18,723,103	12,827,623	95,244,352
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3	The value of services or facilities furnished by a governmental unit to the organization without charge..						
4	Total. Add lines 1 through 3	20,352,416	18,268,754	25,072,456	18,723,103	12,827,623	95,244,352
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . .						7,841,003
6	Public support. Subtract line 5 from line 4.						87,403,349

Section B. Total Support							
Calendar year (or fiscal year beginning in) ►		(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
7	Amounts from line 4. . .	20,352,416	18,268,754	25,072,456	18,723,103	12,827,623	95,244,352
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	806,049	1,890,529	1,157,507	905,569	889,944	5,649,598
9	Net income from unrelated business activities, whether or not the business is regularly carried on . . .	1,295,152	1,128,841	1,303,778	1,088,299	17,364	4,833,434
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). . .						
11	Total support. Add lines 7 through 10						105,727,384
12	Gross receipts from related activities, etc. (see instructions)					12	54,888,341
13	First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage						
14	Public support percentage for 2020 (line 6, column (f) divided by line 11, column (f))					14 82.670 %
15	Public support percentage for 2019 Schedule A, Part II, line 14					15 81.540 %
16a	33 1/3% support test—2020. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>					
b	33 1/3% support test—2019. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>					
17a	10%-facts-and-circumstances test—2020. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>					
b	10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>					
18	Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>					

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 Total support. (Add lines 9, 10c, 11, and 12.) . .						
14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ► <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2020 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2019 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2020 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2019 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2020. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests—2019. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
1		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
2		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer lines 3b and 3c below.</i>		
3a		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
3b		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
3c		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</i>		
4a		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
4b		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
4c		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
5a		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
5b		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
5c		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
6		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .</i>		
7		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
9a		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9b		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9c		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
10a		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		
10b		

Part IV

Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?		
b A family member of a person described in 11a above?		
c A 35% controlled entity of a person described in line 11a or 11b above? If "Yes" to 11a, 11b, or 11c, provide detail in Part VI.		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
3 By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2 Activities Test. Answer lines 2a and 2b below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
b Did the activities described in line 2a constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
3 Parent of Supported Organizations. Answer lines 3a and 3b below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? If "Yes" or "No" provide details in Part VI.		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? If "Yes," describe in Part VI. the role played by the organization in this regard.		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations			
1 <input type="checkbox"/> Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (<i>explain in Part VI</i>). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.			
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>):		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by 0.035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	1
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3
4	Amounts paid to acquire exempt-use assets	4
5	Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5
6	Other distributions (describe in Part VI). See instructions	6
7	Total annual distributions. Add lines 1 through 6.	7
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	8
9	Distributable amount for 2020 from Section C, line 6	9
10	Line 8 amount divided by Line 9 amount	10

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2020	(iii) Distributable Amount for 2020
1	Distributable amount for 2020 from Section C, line 6		
2	Underdistributions, if any, for years prior to 2020 (reasonable cause required-- explain in Part VI). See instructions.		
3	Excess distributions carryover, if any, to 2020:		
a	From 2015.		
b	From 2016.		
c	From 2017.		
d	From 2018.		
e	From 2019.		
f	Total of lines 3a through e		
g	Applied to underdistributions of prior years		
h	Applied to 2020 distributable amount		
i	Carryover from 2015 not applied (see instructions)		
j	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.		
4	Distributions for 2020 from Section D, line 7: \$		
a	Applied to underdistributions of prior years		
b	Applied to 2020 distributable amount		
c	Remainder. Subtract lines 4a and 4b from line 4.		
5	Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI. See instructions.		
6	Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI. See instructions.		
7	Excess distributions carryover to 2021. Add lines 3j and 4c.		
8	Breakdown of line 7:		
a	Excess from 2016.		
b	Excess from 2017.		
c	Excess from 2018.		
d	Excess from 2019.		
e	Excess from 2020.		

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization THE ROCK AND ROLL HALL OF FAME AND MUSEUM INC	Employer identification number 34-1520995
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")	
2	Political campaign activity expenditures (see instructions)	\$
3	Volunteer hours for political campaign activities (see instructions)	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	\$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	\$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV.	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	\$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	\$
3	Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b	\$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)		7,500													
c Total lobbying expenditures (add lines 1a and 1b)		7,500													
d Other exempt purpose expenditures		17,199,464													
e Total exempt purpose expenditures (add lines 1c and 1d)		17,206,964													
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		1,000,000													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g Grassroots nontaxable amount (enter 25% of line 1f)		250,000													
h Subtract line 1g from line 1a. If zero or less, enter -0-		0													
i Subtract line 1f from line 1c. If zero or less, enter -0-		0													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	72,000	71,000	10,000	7,500	160,500
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
PART II-A, LINE 1B:	ADDITIONAL INFORMATION REGARDING LOBBYING ACTIVITIES: NOT INCLUDED ON LINE 1B OF PART II-A OF SCHEDULE C WERE \$180,000 OF IN-KIND LOBBYING EXPENDITURES PROVIDED BY A TRUSTEE OF THE MUSEUM. AS FORM 990 DOES NOT PERMIT REPORTING OF IN-KIND EXPENSES AND THE CORRESPONDING REVENUE, WE HAVE EXCLUDED THIS AMOUNT FROM SCHEDULE C.

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SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
THE ROCK AND ROLL HALL OF FAME
AND MUSEUM INC

Employer identification number
34-1520995

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

☐ Yes ☐ No

Part II

Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4 Number of states where property subject to conservation easement is located ►

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ► \$

(ii) Assets included in Form 990, Part X ► \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ► \$

b Assets included in Form 990, Part X ► \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 52283D

Schedule D (Form 990) 2020

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a☒ Public exhibition

b☒ Scholarly research

c☒ Preservation for future generations

d☒ Loan or exchange programs

e☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes ☒ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐

Part V

Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	10,656,140	8,571,726	9,096,634	7,903,270	7,253,238
b Contributions	484,792	278,108	193,000		111,250
c Net investment earnings, gains, and losses	1,235,198	1,806,306	-717,908	1,193,364	538,782
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	12,376,130	10,656,140	8,571,726	9,096,634	7,903,270

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment 91.737 %

b Permanent endowment 8.018 %

c Term endowment 0.245 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) Unrelated organizations

(ii) Related organizations

b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		No
3a(ii)		No
3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings		113,720,450	51,334,845	62,385,605
c Leasehold improvements				
d Equipment		11,057,608	8,224,321	2,833,287
e Other		18,265,888	15,436,046	2,829,842
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				68,048,734

Part VII

Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII

Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.) ▶		

Part IX

Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.) ▶	

Part X

Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) U.S. SBA PAYCHECK PROTECTION PROGRAM LOAN	2,124,600
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.) ▶	2,124,600

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	20,130,637
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	1,758,050
b	Donated services and use of facilities	2b	188,759
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	197,915
e	Add lines 2a through 2d	2e	2,144,724
3	Subtract line 2e from line 1	3	17,985,913
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	17,985,913

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	23,241,068
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	188,759
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	197,915
e	Add lines 2a through 2d	2e	386,674
3	Subtract line 2e from line 1	3	22,854,394
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	22,854,394

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 34-1520995
Name: THE ROCK AND ROLL HALL OF FAME
AND MUSEUM INC

Supplemental Information

Return Reference	Explanation
PART III, LINE 1A:	FINANCIAL STATEMENT FOOTNOTE FOR COLLECTION: THE ROCKHALL EXHIBITS, COLLECTS, PRESERVES, AND INTERPRETS THE ARTISTIC AND SOCIO-CULTURAL MILIEU OF ROCK AND ROLL MUSIC. THE CURATORIAL COLLECTION CONSISTS OF RECORDINGS AND TWO- AND THREE-DIMENSIONAL ARTIFACTS INCLUDING LYRICS, CORRESPONDENCE, STAGE COSTUMES, AND INSTRUMENTS. THE COLLECTION IS HELD FOR PUBLIC EXHIBITION AND EDUCATION. IT IS THE ROCKHALL'S POLICY NOT TO CAPITALIZE ITEMS THAT ARE DONATED TO OR PURCHASED FOR ITS CURATORIAL COLLECTION.

Supplemental Information	
Return Reference	Explanation
PART V, LINE 4:	INTENDED USE OF ENDOWMENT FUNDS: THE MUSEUM'S ENDOWMENTS CONSIST OF BOARD-DESIGNATED ENDOWMENT FUNDS ESTABLISHED TO SUPPORT THE MUSEUM'S MISSION.

Supplemental Information

Return Reference	Explanation
PART X, LINE 2:	<p>FIN 48 (ASC 740) FOOTNOTE: THE MUSEUM DOES NOT HAVE ANY MATERIAL UNCERTAIN TAX RETURN POSITIONS IN ITS FINANCIAL STATEMENTS. THE FOOTNOTE FROM THE AUDITED FINANCIAL STATEMENTS REGARDING FIN 48 (ASC 740) IS AS FOLLOWS: THE ROCKHALL HAS ADOPTED THE ACCOUNTING STANDARD ON ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, WHICH ADDRESSES THE DETERMINATION OF WHETHER T</p> <p>AX BENEFITS CLAIMED OR EXPECTED TO BE CLAIMED ON A TAX RETURN SHOULD BE RECORDED IN THE CONSOLIDATED FINANCIAL STATEMENTS. UNDER THIS GUIDANCE, THE ROCKHALL MAY RECOGNIZE THE TAX BENEFIT FROM AN UNCERTAIN TAX POSITION ONLY IF IT IS MORE LIKELY THAN NOT THAT THE TAX POSITION WILL BE SUSTAINED ON EXAMINATION BY TAXING AUTHORITIES, BASED ON THE TECHNICAL MERITS OF THE POSITION. AT DECEMBER 31, 2020 AND 2019, MANAGEMENT HAS EVALUATED THE MUSEUM'S TAX POSITIONS AND HAS CONCLUDED THAT THE MUSEUM HAS TAKEN NO UNCERTAIN TAX POSITIONS THAT REQUIRE ADJUSTMENT TO THE CONSOLIDATED FINANCIAL STATEMENTS TO COMPLY WITH THE PROVISIONS OF THIS GUIDANCE.</p>

Supplemental Information	
Return Reference	Explanation
PART XI, LINE 2D - OTHER ADJUSTMENTS:	RENTAL EXPENSES 197,915.

Supplemental Information	
Return Reference	Explanation
PART XII, LINE 2D - OTHER ADJUSTMENTS:	RENTAL EXPENSES 197,915.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		AUCTION (event type)	(event type)	(total number)	(add col. (a) through col. (c))
Revenue					
	1 Gross receipts	15,300			15,300
	2 Less: Contributions	11,428			11,428
	3 Gross income (line 1 minus line 2)	3,872			3,872
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	3,872			3,872
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				3,872
11 Net income summary. Subtract line 10 from line 3, column (d) ▶				0	

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

11

Does the organization conduct gaming activities with nonmembers?

☐ Yes ☐ No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No

13

Indicate the percentage of gaming activity conducted in:

a	The organization's facility	13a	%
b	An outside facility	13b	%

14

Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶

Address ▶

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No

b

If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the amount of gaming revenue retained by the third party ▶ \$.

c

If "Yes," enter name and address of the third party:

Name ▶

Address ▶

16

Gaming manager information:

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

☐ Director/officer

☐ Employee

☐ Independent contractor

17

Mandatory distributions:

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$

Part IV

Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Return Reference	Explanation
PART I, LINE 2B - ADDITIONAL INFORMATION REGARDING FUNDRAISER:	COMMUNITY COUNSELING SERVICE (CCS) PROVIDED ONLY CONSULTING SERVICES TO THE MUSEUM FOR ITS CAPITAL CAMPAIGN. CCS DID NOT SOLICIT CONTRIBUTIONS FROM DONORS NOR DID IT HAVE CUSTODY OF FUNDS AT ANY TIME.

Schedule J (Form 990)	Compensation Information	OMB No. 1545-0047
		2020
		Open to Public Inspection
Department of the Treasury Internal Revenue Service	For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ▶ Attach to Form 990. ▶ Go to www.irs.gov/Form990 for instructions and the latest information.	
Name of the organization THE ROCK AND ROLL HALL OF FAME AND MUSEUM INC		Employer identification number 34-1520995

Part I	Questions Regarding Compensation		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <div><div><input type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</div></div>			
b	If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: <div><div>a Receive a severance payment or change-of-control payment?</div><div>b Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div><div>c Participate in, or receive payment from, an equity-based compensation arrangement?</div></div> If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.	4a	Yes	
		4b	Yes	
		4c		No
	Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: <div><div>a The organization?</div><div>b Any related organization?</div></div> If "Yes," on line 5a or 5b, describe in Part III.	5a	Yes	
		5b		No
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: <div><div>a The organization?</div><div>b Any related organization?</div></div> If "Yes," on line 6a or 6b, describe in Part III.	6a		No
		6b		No
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	7	Yes	
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	8		No
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINES 4A-B	SEVERANCE PAYMENTS AND SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN: DIONNE BROADUS RECEIVED A SEVERANCE PAYMENT THIS YEAR OF \$154,501. GREG HARRIS PARTICIPATED IN A SECTION 457(B) PLAN DURING 2020. THE MUSEUM MADE CONTRIBUTIONS TO THE PLAN FOR MR. HARRIS OF \$19,500.
PART I, LINE 5	COMPENSATION CONTINGENT ON REVENUE: STEVE DOBO IS THE DIRECTOR OF STRATEGIC PARTNERSHIPS AND A PORTION OF HIS COMPENSATION INCLUDES COMMISSION ON REVENUE GENERATED.
PART I, LINE 7	NON-FIXED PAYMENTS: THE MUSEUM CREATES A BONUS POOL FOR ROCK HALL STAFF IF OPERATING CASH FLOW OF THE ORGANIZATION SIGNIFICANTLY EXCEEDS THE PLAN. THE BONUS POOL IS ALLOCATED TO MEMBERS OF ROCK HALL STAFF BASED UPON THEIR INDIVIDUAL PERFORMANCE. DISTRIBUTIONS FROM THE POOL ARE APPROVED BY THE FINANCE COMMITTEE OF THE BOARD OF DIRECTORS.
PART II, COLUMN B(II) - ADDITIONAL INFORMATION ABOUT COMPENSATION:	BONUS AND INCENTIVE COMPENSATION RELATES TO 2019 ACTIVITY DISTRIBUTED IN JANAURY, 2020. DUE TO THE NEGATIVE IMPACT OF THE PANDEMIC, BONUSES WERE SUSPENDED IN 2020.

Additional Data

Software ID:
Software Version:
EIN: 34-1520995
Name: THE ROCK AND ROLL HALL OF FAME
AND MUSEUM INC

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1GREG HARRIS PRESIDENT & CEO	(i)	380,475	170,000	27,258	8,550	43,958	630,241	0
	(ii)	0	0	0	0	0	0	0
1JOEL PERESMAN TRUSTEE/MEMBER	(i)	0	0	0	0	0	0	0
	(ii)	456,000	0	0	0	41,244	497,244	0
2TIM OFFTERMATT VP-FINANCE	(i)	203,873	40,000	396	7,435	21,960	273,664	0
	(ii)	0	0	0	0	0	0	0
3KAREN HERMAN VP-CURATORIAL AFFAIRS	(i)	163,742	35,000	40,898	5,078	14,243	258,961	0
	(ii)	0	0	0	0	0	0	0
4DIONNE BROADUS VP-DEVELOPMENT	(i)	55,161	30,000	154,523	951	3,265	243,900	0
	(ii)	0	0	0	0	0	0	0
5JASON HANLEY VP-EDUCATION	(i)	167,104	35,000	138	6,241	28,018	236,501	0
	(ii)	0	0	0	0	0	0	0
6DAWN WAYT VP-SALES & MARKETING	(i)	189,467	32,000	90	6,399	3,005	230,961	0
	(ii)	0	0	0	0	0	0	0
7TIM PARNIN VP - DIGITAL TECHNOLOGY	(i)	155,458	32,000	138	5,882	32,532	226,010	0
	(ii)	0	0	0	0	0	0	0
8LIZ PESCHGES VP-CULTURE & STRATEGY	(i)	172,194	40,000	54	6,372	1,457	220,077	0
	(ii)	0	0	0	0	0	0	0
9CRAIG INCIARDI CURATOR AND DIRECTOR OF ACQUISITIONS	(i)	145,148	5,000	258	4,699	24,568	179,673	0
	(ii)	0	0	0	0	0	0	0
10STEVE DOBO DIRECTOR OF STRATEGIC PARTNERSHIPS	(i)	103,954	16,650	135	3,387	26,838	150,964	0
	(ii)	0	0	0	0	0	0	0
11ROB WEIL SR DIRECTOR OF PRODUCTION	(i)	118,976	7,500	258	3,995	19,508	150,237	0
	(ii)	0	0	0	0	0	0	0

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
►Attach to Form 990.
►Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
THE ROCK AND ROLL HALL OF FAME
AND MUSEUM INC

Employer identification number
34-1520995

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures . .				
3 Art—Fractional interests . .				
4 Books and publications . .				
5 Clothing and household goods				
6 Cars and other vehicles . . .				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded .	X	11	64,362	FAIR MARKET VALUE
10 Securities—Closely held stock .				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous . .				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential . .				
16 Real estate—Commercial . .				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies .				
21 Taxidermy				
22 Historical artifacts	X	549	0	N/A
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ()				
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				

29

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

0

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?

30a

Yes

No

b

If "Yes," describe the arrangement in Part II.

31

Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

Yes

b

If "Yes," describe in Part II.

33

If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 32B:	USE OF THIRD PARTIES: THE MUSEUM USES A SECURITIES BROKER TO SELL DONATED SECURITIES. THE FEES CHARGED BY THE BROKER ARE AT OR BELOW THE FAIR MARKET VALUE FOR SUCH SERVICES.
PART I, LINE 33:	REPORTING OF HISTORICAL ARTIFACTS: UNDER FINANCIAL ACCOUNTING STANDARDS, THE MUSEUM HAS ELECTED TO NOT RECORD REVENUE OR THE CORRESPONDING ASSETS FOR ITS COLLECTION OF ARTIFACTS, MEMORABILIA, AND CERTAIN OTHER NON-CASH ITEMS. THIS METHOD OF ACCOUNTING IS ALLOWABLE UNDER GENERALLY ACCEPTED ACCOUNTING PRINCIPLES.

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -	DLN: 93493242005201
SCHEDULE O (Form 990 or 990-EZ)	Supplemental Information to Form 990 or 990-EZ Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ▶ Attach to Form 990 or 990-EZ. ▶ Go to <u>www.irs.gov/Form990</u> for the latest information.		OMB No. 1545-0047
			2020
Department of the Treasury Internal Revenue Service			Open to Public Inspection
Name of the organization THE ROCK AND ROLL HALL OF FAME AND MUSEUM INC		Employer identification number 34-1520995	

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4 - OTHER PROGRAM SERVICES:	<p>THE ROCK HALL DEVELOPS AND PRESENTS WORLD-CLASS EXHIBITS AND PROGRAMS THAT HELP ADVANCE ITS MISSION OF EDUCATING THE WORLD ABOUT THE HISTORY AND SIGNIFICANCE OF ROCK AND ROLL MUSIC. IN ORDER TO PROVIDE A DYNAMIC ENVIRONMENT FOR THIS TO OCCUR, IN ADDITION TO ITS PERMANENT EXHIBITS, THE ROCK HALL PRESENTED NINE (9) TEMPORARY EXHIBITS DURING 2020. THE MUSEUM'S LIBRARY AND ARCHIVES HOUSES THE MOST COMPREHENSIVE REPOSITORY OF MATERIALS RELATING TO THE HISTORY OF ROCK AND ROLL.</p> <p>2020 MUSEUM EXHIBITIONS: 2020 INDUCTEES AUGUST 14, 2020 JULY 26, 2021 THE EXHIBIT HIGHLIGHTS THE 2020 CLASS (WHITNEY HOUSTON, DEPECHE MODE, NINE INCH NAILS, IRVING AZOFF, DOOBIE BROTHERS, T-REX, JON LANDAU, NOTORIOUS B.I.G) AND THEIR IMPACT ON MUSIC HISTORY BY SHOWCASING MANY ICONIC ITEMS THAT SPAN THE ARTISTS' CAREERS. ICONIC: BARON WOLMAN IMAGES OF AN ERA OCTOBER 17, 2020 PRESENT THIS EXHIBITION FEATURES PHOTOGRAPHS AND ARTIFACTS FROM THE COLLECTION OF BARON WOLMAN, ROLLING STONE MAGAZINE'S FIRST CHIEF PHOTOGRAPHER. IN MEMORIAM APRIL 7, 2018 PRESENT INSIDE THE HALL OF FAME GALLERY, THE IN MEMORIAM EXHIBIT IS DEDICATED TO DISPLAYING ITEMS FROM RECENTLY DEPARTED HALL OF FAME INDUCTEES AND ARTISTS. IT'S BEEN SAID ALL ALONG: VOICES OF RAGE, HOPE AND EMPOWERMENT JULY 26, 2020 PRESENT THIS IS AN INTERACTIVE EXHIBIT SHOWCASING ROCK-THEMED, PLAYABLE PINBALL MACHINES COMBINED WITH HISTORIC MERCHANDISE AND ARTIFACTS TO EXPLORE THE ARTISTIC PORTRAYAL OF ARTISTS AND BANDS. ROCK & ROLL AND PINBALL HAVE A LOT IN COMMON. LOUD, COLORFUL AND REBELLIOUS, IT WAS INEVITABLE THAT THE TWO WOULD COMBINE TO CELEBRATE ROCK'S ICONS. INSIDE THE EXHIBIT VISITORS WILL FIND MACHINES FEATURING ICONS SUCH AS KISS, GUNS 'N' ROSES, ALICE COOPER, DOLLY PARTON, THE WHO, ELTON JOHN AND MORE. ALL OF THE MACHINES ON DISPLAY ARE PLAYABLE BY VISITORS. INDUCTION ALL ACCESS: PHOTOGRAPHY BY KEVIN MAZUR OCTOBER 31, 2020 PRESENT KEVIN MAZUR HAS BEEN THE OFFICIAL PHOTOGRAPHER FOR ALL BUT TWO OF THE ROCK & ROLL HALL OF FAME INDUCTION CEREMONIES. THIS SPECIAL EXHIBITION FEATURES MAZUR'S PERSONALLY CURATED SELECTION OF HIS INTIMATE BACKSTAGE PORTRAITS AND EPIC ONSTAGE IMAGES, PROVIDING FANS WITH AN UP-CLOSE AND PERSONAL LOOK AT PAST INDUCTION MOMENTS. PLAY IT LOUD: INSTRUMENTS OF ROCK & ROLL NOVEMBER 22, 2019 JANUARY 3, 2021 CO-ORGANIZED WITH THE MET, THE EXHIBIT OFFERS A RARE, IN-DEPTH LOOK AT THE INSTRUMENTS THAT INSPIRED THE MUSICIANS AND MADE POSSIBLE THE SONGS WE KNOW AND LOVE. PLAY IT LOUD: INSTRUMENTS OF ROCK & ROLL IS THE LARGEST SINGLE EXHIBIT THE ROCK HALL HAS EVER DISPLAYED AND TAKES OVER FOUR FLOORS OF THE MUSEUM. INSTRUMENTS SHOWCASED SPAN FROM 1939 TO TODAY AND MANY HAVE NEVER BEEN DISPLAYED OUTSIDE OF THEIR ORIGINAL CONCERT PERFORMANCE SETTING. THIS IS THE ONLY TIME THESE ICONIC INSTRUMENTS WILL BE SEEN TOGETHER IN ONE MASSIVE COLLECTION. EXPOSED! MUSIC LEGENDS 1969-1973 THE ONCE-LOST PHOTOGRAPHY OF MICHAEL FRIEDMAN OCTOBER 22, 2019 OCTOBER 11, 2020 IN THE LATE 1960S, MICHAEL FRIEDMAN, A MUSIC PUBLICIST (AND LA</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4 - OTHER PROGRAM SERVICES:	<p>TER MANAGER AND MUSIC PRODUCER), STOOD IN THE HURRICANE'S EYE OF AMERICA'S FOLK, ROCK & ROLL, AND POP MUSIC SCENE. HE WAS ALSO AN AVID PHOTOGRAPHER. BETWEEN 1969 AND 1973, ARMED WITH A PENTAX CAMERA AND TRI-X FILM, FRIEDMAN PHOTOGRAPHED SOME OF THE MOST NOTABLE MUSICIANS OF THE TIME, ON AND OFF STAGE. BUT BEFORE HE PRINTED MOST OF THE PHOTOS, HE LOST TRACK OF THE NEGATIVES AND EVENTUALLY CONSIDERED THEM LOST. IN 2017, AFTER 45 YEARS, HE DISCOVERED THE NEGATIVES IN HIS ATTIC. THE REMARKABLE COLLECTION OF CANDIDS, BLACK AND WHITE PHOTOS OF ICONIC MUSICIANS AND PERFORMERS ARE NOW BEING RESTORED. LIKE A '60S ROCK TIME CAPSULE " SAYS ANDY VASOYAN, OF THE ARGONAUT, "EVERY PICTURE IN THIS REDISCOVERED PHOTO COLLECTION REALLY DOES TELL A STORY." THIS EXHIBIT SHOWCASES SOME OF THE RARE PHOTOS FROM THE COLLECTION. IN THE ACCOMPANYING LABELS, FRIEDMAN HIMSELF RECOUNTS THE STORIES BEHIND THE PHOTOS. FOREVER WARPED: 25 YEARS OF VANS WARPED TOUR JUNE 8, 2019 OCTOBER 12, 2020 THE HALL OF FAME IS PARTNERING WITH THE VANS WARPED TOUR TO CREATE A SPECIAL NEW EXHIBIT CELEBRATING A QUARTER-CENTURY OF THE ICONIC TRAVELING MUSIC FESTIVAL. THIS COMPREHENSIVE EXHIBIT DOCUMENTS THE HISTORY OF THE TOUR FROM ITS INCEPTION IN 1995 THROUGH THE FINAL CROSS-COUNTRY RUN IN 2018. YOU WILL SEE ARTIFACTS FROM QUINTESSENTIAL WARPED BANDS AND BREAKOUT ARTISTS FROM THE TOUR'S LENGTHY RUN INCLUDING FALL OUT BOY, NO DOUBT, RANCID, REEL BIG FISH, ICE-T AND HALL OF FAME INDUCTEE, JOAN JETT. THE EXHIBIT ALSO PRESENTS ITEMS FROM THE VERY FIRST WARPED TOUR, AS WELL AS FROM 2018'S FINAL TOURING RUN AND MORE. THE GARAGE JULY 1, 2019 - PRESENT PICK UP AN INSTRUMENT, CRANK UP THE VOLUME AND MAKE YOUR OWN MUSIC IN THE MUSEUM. DESIGNED TO EVOKE THE BIRTHPLACE OF ROCK BANDS FOR DECADES, THE GARAGE IS WHERE IT'S YOUR TURN TO PLAY! THE GARAGE CONSISTS OF FIVE MAIN AREAS WHERE FOR THE FIRST TIME, FANS CAN PICK UP REAL INSTRUMENTS, LEARN TO PLAY, JAM WITH FRIENDS, BRAND THEIR BAND WITH CUSTOM MERCHANDISE AND CHECK OUT OUR GREAT GEAR AND INSTRUMENTS FROM THE MUSEUM'S COLLECTION. 2020 TRAVELING EXHIBITIONS: LOUDER THAN WORDS DURHAM MUSEUM (OMAHA, NE) -> SEPTEMBER 29, 2019 - FEBRUARY 2, 2020 GEORGE BUSH SR. LIBRARY (COLLEGE STATION, TX) -> MARCH 1, 2020 DECEMBER 13, 2020 PART OF THE MACHINE: ROCK AND PINBALL JOSEPH BRANDT MUSEUM (BURLINGTON ON, CANADA) -> OCTOBER 6, 2019 JANUARY 12, 2020 UNIVERSAL PRESERVATION HALL (SARATOGA SPRINGS, NY) -> JULY 26, 2020 SEPTEMBER 26, 2020 STAY TUNED: ROCK ON TV MUSEUM OF BROADCAST COMMUNICATIONS (CHICAGO, IL) -> OCTOBER 18, 2019 NOVEMBER 29, 2020 COMMUNITY PROGRAMS & PARTNERSHIPS THIS YEAR BROUGHT WITH IT SEVERAL CHALLENGES WHEN IT CAME TO WORKING WITH OUR MANY COMMUNITY PARTNERS AND PLANNING AND EXECUTING PROGRAMS AND EVENTS WITH THEM. WE HAD A LOT OF EXCITING PLANS IN MOTION, INCLUDING BUILDING OFF OF PREVIOUS EVENTS AND PARTNERSHIPS, BUT ALSO FORGING NEW ONES. WE HOSTED SEVERAL FAMILY SUNDAYS AT THE ROCK HALL AND CONTINUED IN THE WINTER WITH A VIRTUAL VERSION OF OUR FAM</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4 - OTHER PROGRAM SERVICES:</p>	<p>JAM EVENT, HOSTING MULTIPLE MINI LIVE EVENTS WHERE WE PARTNERED WITH THE BECK CENTER FOR THE ARTS, CLEVELAND PUBLIC LIBRARY AND THE CHILDREN'S MUSEUM OF CLEVELAND TO BRING MUSIC FOCUSED EDUCATIONAL ACTIVITIES INTO FAMILY HOMES. IN PLACE OF OUR YEARLY ROCK AND RESILIENCE EVENT WE HOSTED A VIRTUAL PANEL DISCUSSION OF WORLD MENTAL HEALTH DAY. CORNERSTONE PARTNERSHIPS, SUCH AS STOP THE HATE, WITH THE MALTZ MUSEUM AND ROOTS OF AMERICAN MUSIC MADE A SHIFT TO BE COMPLETELY ONLINE FOR THIS SCHOOL YEAR, WITH US UTILIZING THE ROCK HALL EDUCATION PLATFORM TO PROVIDE THE INFORMATION AND EXPERIENCE THAT THE PARTICIPATING CLASSES WOULD HAVE NORMALLY GOTTEN ONSITE DURING A VISIT TO THE ROCK HALL. ADDITIONALLY, WE OFFERED UP THE ROCK HALL PLAZA AS A LOCATION FOR PARTNER ORGANIZATIONS, SUCH AS RAINEY INSTITUTE AND THE BOARD OF ELECTIONS, TO HOST THEIR CLASSES, PERFORMANCES AND OUTREACH EFFORTS, SO THAT THEY COULD CONNECT WITH THEIR AUDIENCES AND IN A SAFE, SOCIALLY DISTANCED, SPACE. THE ROCK HALL'S ANNUAL DR. MARTIN LUTHER KING, JR. CELEBRATION DAY IS FILLED WITH LIVE PERFORMANCES, EDUCATION PROGRAMS AND FAMILY ACTIVITIES THAT HIGHLIGHT HOW PEOPLE USE MUSIC TO FIND THEIR VOICE, CREATE A SENSE OF COMMUNITY, AND INCITE SOCIAL CHANGE. FREE ADMISSION IS OFFERED TO ALL VISITORS. THE ROCK HALL ALSO TAKES DONATIONS OF HATS, SCARVES, GLOVES AND OTHER COLD WEATHER CLOTHES TO BENEFIT CLEVELANDERS IN NEED. THE ROCK HALL'S ANNUAL BLACK HISTORY MONTH CELEBRATION IS A CORNERSTONE OF ITS PROGRAMMING AND OUTREACH, RECOGNIZING THE EXTRAORDINARY CONTRIBUTIONS THAT AFRICAN-AMERICANS HAVE MADE TO ROCK AND ROLL MUSIC. SINCE 1996, HIGHLIGHTS HAVE INCLUDED CONVERSATIONS WITH SOLOMON BURKE, HOLLAND-DOZIER-HOLLAND, RUTH BROWN, AL GREEN, BOBBY WOMACK, BOOTSY COLLINS, CHUCK D, RAHIEM, AND LITTLE ANTHONY AND THE IMPERIALS. THE JOY RIDE WAS A NEW WAY WE ADAPTED TO CONNECT WITH LOCAL ORGANIZATIONS AND PEOPLE IN 2020. WE WORKED WITH SEVERAL ORGANIZATIONS TO BRING OUR JOY RIDE VAN TO THE SITES OF THE IR EVENTS AND VOLUNTEER OPPORTUNITIES TO BRING JOY AND HOPE TO THEM AND KEEP SPIRITS HIGH. WE WERE ABLE TO BRING POSITIVITY AND GREAT ROCK AND ROLL MUSIC TO OVER 40 EVENTS IN THE CLEVELAND REGION. VIRTUAL PROGRAMMING WHILE OUR SUMMER FOCUS WAS LIVE MUSIC ON OUR PLAZA, WHEN THE COLDER MONTHS WERE ON OUR HORIZON WE SHIFTED OUR FOCUS TO CREATING ENGAGING AND EXCITING VIRTUAL EVENTS THAT OUR AUDIENCES WOULD LOVE. WE HOSTED INTERVIEWS AND PERFORMANCES WITH ARTISTS SUCH AS ZIGGY MARLEY AND BOOTSY COLLINS, HAD INTERVIEWS WITH AUTHORS, AND PARTNERED WITH CLEVELAND MUSEUM OF ART TO COHOST A VIRTUAL CMA: MIX EVENT. ADDITIONALLY, WE SHIFTED OUR INDUCTION PROGRAMMING TO BE VIRTUAL AS WELL, INCLUDING INTERVIEWS WITH C.J. WALLACE AND INDUCTEE JON LANDAU, AS WELL AS A RED CARPET LIVE STREAM JUST PRIOR TO THE INDUCTION EVENT ON HBO.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4 - OTHER PROGRAM SERVICES (CONT.):	<p>ROCK HALL LIVE THIS PAST YEAR, LIKE OUR OTHER PROGRAMS AND EVENTS, WE HAD TO QUICKLY SHIFT OUR PLANS DUE TO COVID. IT WAS IMPORTANT THAT WE CONTINUED TO HOST LIVE MUSIC AND SUPPORT LOCAL MUSICIANS. SINCE WE COULD NOT HAVE THE LARGER AND MID-LEVEL EVENTS WE HAVE HAD IN P AST YEARS, WE FOCUSED ON SOME GREAT ATMOSPHERIC MUSIC DURING THE AFTERNOONS AND A SERIES O F LOCAL ACTS, ALL TAKING PLACE IN OUR BEER GARDEN STAGE ON OUR PLAZA. WE WERE STILL ABLE T O HOST OVER 50 LIVE MUSIC PERFORMANCES THIS SUMMER. - LUNCH BY THE LAKE: OUR LUNCH BY THE LAKE SERIES CONSISTED OF OUR INHOUSE MUSICIANS AND OUR RESIDENT ROCKER ARTISTS. THESE PRIM ARILY TOOK PLACE ON THURSDAYS, FRIDAYS AND SATURDAYS AND ADDED SOME ATMOSPHERIC MUSIC TO O UR GUESTS VISITS AND THEIR LUNCH OUR ON OUR PLAZA. - LIVE AND LOCAL: LIVE AND LOCAL WAS A SERIES THAT TOOK PLACE ON THURSDAY AND SATURDAY EVENING AND RAN FROM JULY THROUGH EARLY OC TOBER. WE HOSTED 27 LOCAL MUSICIANS AND BANDS, WHO EACH BROUGHT THEIR DIVERSE MUSICAL STYL ES AND TALENTS TO OUR BEER GARDEN STAGE. PROGRAMMING TOTALS (150 EVENTS): IN MUSEUM: 4 LIV E MUSIC: 56 VIRTUAL EVENTS: 11 COMMUNITY EVENTS: 36 JOY RIDE OUTREACHES: 43 IN MUSEUM PROG RAMMING (4) - ROCK HALL NIGHTS: MARDI GRAS FEBRUARY 5TH - BOOKER T JONES LECTURE AND BOOK SIGNING FEBRUARY 19TH - ROCK HALL NIGHTS: ON THE AIR MARCH 4TH - CAVS MARK'TED EVENT OCTOB ER 25TH LIVE MUSIC (56) - BIG SAM'S FUNKY NATION FEBRUARY 28TH - LUNCH BY THE LAKE'S (27 C ONCERTS) JULY & AUGUST - LIVE AND LOCAL (27 CONCERTS) - JUL BIG GREEN JULY 2ND - MADELINE FIN JULY 4TH - THOR PLATTER JULY 9TH - LIZ KELLY JULY 11TH - AUSTIN WALKIN CANE JULY 16TH - TOM EVANCHUCK JULY 18TH - MOISES BORGES JULY 23RD - DAVID SMELTZ JULY 25TH - AFI SCRUGGS JULY 30TH - BRENT KIRBY WITH RAY FLANAGAN AUGUST 1ST - ERIN NICOLE NEAL AUGUST 6TH - THE SHOOTOUTS AUGUST 8TH - KID TIGRRR AUGUST 13TH - BEN GAGE AUGUST 15TH - CATS ON HOLIDAY AUG UST 20TH - RAY FLANAGAN TRIO AUGUST 22ND - OREGON SPACE TRAIL OF JAZZ AUGUST 27TH - CLAY ' BAMA, CHELSEA PASTEL, DJ YOMI AUGUST 29TH - JUL BIG GREEN SEPTEMBER 3RD - CHRIS HATTON SEP TEMBER 10TH - BRENT KIRBY AND FRIENDS SEPTEMBER 12TH - VANITY CRASH SEPTEMBER 17TH - THE M ORNING BIRD SEPTEMBER 19TH - BROKEN TRANSMITTER SEPTEMBER 24TH - APOSTLE JONES SEPTEMBER 2 6TH - DETENTION & THE AUTUMN DOGS OCTOBER 3RD - RESIDENT ROCKERS SHOWCASE: SIENA BELLA & J ACK HARRIS OCTOBER 3RD - IT'S BEEN SAID ALL ALONG EXHIBIT OPENING JULY 25TH VIRTUAL PROGRA MMING (11) - JEFFERSON STARSHIP INTERVIEW AUGUST 21ST - THE HOUSE THAT ROCK BUILT - THE IN SIDE STORY SEPTEMBER 16TH - CMA MIX: AMPLIFY OCTOBER 2ND - ZIGGY MARLEY INTERVIEW OCTOBER 8TH - INTERVIEW WITH BOOTSY COLLINS & BRUCE TALAMON - OCTOBER 22ND - HALL OF FAME INTERVIEW W WITH C.J. WALLACE NOVEMBER 5TH - HALL OF FAME INTERVIEW WITH INDUCTEE JON LANDAU NOVEMBE R 5TH - IRVING AZOFF HOF SERIES CXL - NOVEMBER 6, 2020 - NINE INCH NAILS HOF SERIES - NOVE MBER 6, 2020 - INDUCTION RED CARPET NOVEMBER 7TH - STEVE VAI INTERVIEW NOVEMBER 18TH COMMU NITY PROGRAMMING (36) - MLK DA</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4 - OTHER PROGRAM SERVICES (CONT.):	Y JANUARY 20TH - FATHERHOOD INITIATIVE: DISCOVERING TOGETHER KICK OFF EVENT JANUARY 25TH - THE INTERSECTION OF HIP HOP CULTURE AND EDUCATION FEBRUARY 8TH - FAMILY SUNDAYS (6) JULY & AUGUST - YOGA SESSIONS (12) JULY, AUGUST, AND SEPTEMBER - RAINEY INSTITUTE DANCE PROGRAM SESSIONS (3) - JULY & AUGUST - CREATIVE FITNESS PERFORMANCES (2) - AUGUST - VIRTUAL INFO SESSION: STOP THE HATE TOUR, WORKSHOPS, & CONTEST OCTOBER 7TH - BOARD OF ELECTIONS VOTER REGISTRATION (5) SEPTEMBER & OCTOBER - MUSIC AND MENTAL HEALTH PANEL DISCUSSION OCTOBER 10TH - VIRTUAL FAMILY JAM EVENTS (2) - NOVEMBER & DECEMBER - CENSUS BUREAU OCTOBER 15TH JOY RIDE OUTREACH (43) - MULTIPLE LOCATIONS THROUGHOUT NORTHEAST OHIO BETWEEN APRIL AND DECEMBER 2020.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 2	REPORTABLE RELATIONSHIPS: THE FOLLOWING INDIVIDUALS HAVE A BUSINESS RELATIONSHIP: JOHN SYKES AND ROB LIGHT. ALEC WIGHTMAN AND NICK AKINS.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7A	ELECTION OF TRUSTEES: THERE ARE 10 TRUSTEES OF THE ROCK AND ROLL HALL OF FAME AND MUSEUM, INC. ("THE CORPORATION"). ROCK AND ROLL HALL OF FAME FOUNDATION, INC., A CHARITY RECOGNIZED BY THE INTERNAL REVENUE SERVICE (IRS) AS AN ORGANIZATION DESCRIBED IN INTERNAL REVENUE CODE (IRC) SECTION 501(C)(3), DESIGNATES INDIVIDUALS FOR NOMINATION FOR 5 OF THE 10 TRUSTEE POSITIONS AS CLASS A TRUSTEES, AND CLEVELAND ROCK AND ROLL, INC., A CHARITY RECOGNIZED BY THE IRS AS AN ORGANIZATION DESCRIBED IN IRC SECTION 501(C)(3), DESIGNATES INDIVIDUALS FOR NOMINATION FOR 5 OF THE 10 TRUSTEE POSITIONS AS CLASS B TRUSTEES. THE TRUSTEES OF THE CORPORATION THEN ELECT CLASS A AND CLASS B TRUSTEES FROM THOSE NOMINATED.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	FORM 990 PROVIDED TO GOVERNING BODY: THE MUSEUM HAS DISTRIBUTED FORM 990 TO THE FULL BOARD OF DIRECTORS WITH THE EXCEPTION OF DONOR INFORMATION ON SCHEDULE B, WHICH IT DEEMS TO BE PRIVATE AND CONFIDENTIAL. AS SUCH, WE ARE REQUIRED TO ANSWER "NO" TO THE QUESTION ON LINE 11A EVEN THOUGH A COPY OF FORM (WITH REDACTED DONOR INFORMATION ON SCHEDULE B) WAS PROVIDED TO THE BOARD.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	REVIEW OF FORM 990: FORM 990 IS REVIEWED INTERNALLY BY THE PRESIDENT AND CEO, THE VICE PRESIDENT OF FINANCE, AND THE DIRECTOR OF BUSINESS ANALYTICS. IT IS SUBMITTED FOR REVIEW TO THE FINANCE COMMITTEE OF THE BOARD OF DIRECTORS AND TO THE ROCK AND ROLL HALL OF FAME AND MUSEUM'S BOARD OF DIRECTORS.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	MONITORING AND ENFORCEMENT OF CONFLICT POLICY: AN ANNUAL QUESTIONNAIRE IS SENT TO ALL OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES. IF A TRANSACTION ARISES IN WHICH AN INDIVIDUAL HAS A CONFLICT, THE PERSON IS EXCUSED FROM THE MEETING FOR THE DISCUSSION AND VOTE BY DISINTERESTED TRUSTEES OR COMMITTEE MEMBERS. THE TRUSTEES AND/OR COMMITTEE MEMBERS BASE THEIR DECISION ON ALL THE FACTS AND CIRCUMSTANCES PRESENTED AND CONSIDER WHETHER THE TRANSACTION IS IN THE BEST INTERESTS OF THE MUSEUM. PROPER RECORDS OF THE BOARD, COMMITTEE, OR SUBCOMMITTEE ARE MAINTAINED TO VERIFY THAT THE CONFLICT OF INTEREST POLICY HAS BEEN FOLLOWED.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	COMPENSATION REVIEW AND APPROVAL: IN 2017, THE ROCK HALL'S COMPENSATION COMMITTEE HIRED AN OUTSIDE CONSULTANT TO REVIEW THE JOB DESCRIPTIONS AND ASSOCIATED COMPENSATION FOR THE CHIEF EXECUTIVE OFFICER AND TWENTY HIGHEST-PAID EMPLOYEES OF THE ORGANIZATION. THE CONSULTANT'S FINDINGS ARE REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF THE BOARD OF DIRECTORS. THE COMPENSATION COMMITTEE MEMBERS ARE INDEPENDENT OF THE CEO AND VICE PRESIDENTS. THE COMMITTEE'S DELIBERATIONS AND APPROVAL OF COMPENSATION ARE COMMUNICATED TO SENIOR MANAGEMENT BY THE COMPENSATION COMMITTEE CHAIR.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	AVAILABILITY OF DOCUMENTS: THE MUSEUM MAKES ITS CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE UPON REQUEST. ALSO, A BROAD MAILING IS MADE TO MANY CONSTITUENTS. THE MUSEUM DOES NOT MAKE ITS GOVERNING DOCUMENTS AVAILABLE TO THE PUBLIC.

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
THE ROCK AND ROLL HALL OF FAME
AND MUSEUM INC

Employer identification number

34-1520995

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) CMJ ROCK HALL MUSIC FEST LLC 1100 ROCK AND ROLL BLVD CLEVELAND, OH 44114 59-3796917	MUSIC EVENTS	OH	0	0	RRHOFM
(2) ROCK AND ROLL HALL OF FAME PRODUCTIONS 1100 ROCK AND ROLL BLVD CLEVELAND, OH 44114 13-3846461	MUSIC PRODUCTION	OH	0	0	RRHOFM

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1)ROCK & ROLL HALL OF FAME FOUNDATION INC 1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104 13-3171867	MUSIC EDUC	NY	501(C)(3)	LINE 10	N/A		No
(2)CLEVELAND ROCK AND ROLL INC 1100 ROCK AND ROLL BLVD CLEVELAND, OH 44114 34-1597956	MUSIC EDUC	OH	501(C)(3)	LINE 7	N/A		No

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

No

1c

Yes

1d

No

1e

No

1f

No

1g

No

1h

No

1i

No

1j

No

1k

No

1l

No

1m

No

1n

No

1o

No

1p

No

1q

No

1r

No

1s

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R. (see instructions).

Return Reference	Explanation