2020949100906401 EXTENDED TO NOVEMBER ;16, 2
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning and ending Name of foundation A Employer identification number 30-6015902 BRENDALYN AND ERNEST STEMPEL FOUNDATION Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number DDK & CO LLP -1 PENN PLAZA 660 212-997-0600 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here NEW YORK, NY 10119 G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return 2 Foreign organizations meeting the 85% test, check here and attach computation X Address change Name change Section 501(c)(3) exempt private foundation H Check type of organization: 01 E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust _____ Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J Accounting method: Accrual If the foundation is in a 60-month termination (from Part II, col. (c), line 16) Other (specify) under section 507(b)(1)(B), check here 3,638,317. (Part I, column (d), must be on cash basis) ▶\$ Part I Analysis of Revenue and Expenses (d) Disbursements for charitable purposes (cash basis only) (c) Adjusted net (a) Revenue and (b) Net investment (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) expenses per books income ıncome N/A Contributions, gifts, grants, etc., received Check X if the foundation is not required to attach Sch. B. Interest on savings and temporary cash investments 923 923 STATEMENT 92,615 92,615 4 Dividends and interest from securities STATEMENT 5a Gross rents b Net rental income or (loss) 107,687 6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 8a 1,602,596. 107,687 Capital gain net income (from Part IV, line 2) Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) OGDEN-11 Other income 201,225 201 225 Total Add lines 1 through 11 28,813. 28,813 Compensation of officers, directors, trustees, etc. Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees 10,000 6.000 b Accounting fees STMT 3 0. c Other professional fees 17 Interest STMT 4 3,154 1,654 0. 18 19 Depreciation and depletion Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 2,288. STMT 5 18,209 15,921 23 Other expenses Total operating and administrative expenses Add lines 13 through 23 60,176 23,575 31,101. 465,000 465,000. Contributions, gifts, grants paid Total expenses and disbursements. 496,101. 525,176 23,575 Add lines 24 and 25 27 Subtract line 26 from line 12: -323,951 a Excess of revenue over expenses and disbursements 177,650 b Net investment income (if negative, enter -0-) N/A C Adjusted net income (if negative, enter -0-)

Form 990-PF

Department of the Treasury

		0-PF (2019) BRENDALYN AND ERNEST ST		·	6015902 Page 2
P	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End o	
		Colonial Strong De for End-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	155,532.	131,064.	131,064.
	3	Accounts receivable >		-	-
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable			
		Less ⁻ allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
হ	8	Inventories for sale or use	,		=
Assets	9	Prepaid expenses and deferred charges			
ĕ	10a	Investments - U.S. and state government obligations			
	1	Investments - corporate stock			
	1	Investments - corporate bonds			
		Investments - land, buildings, and equipment basis			
		Less accumulated depreciation	-		
	12	Investments - mortgage loans			
	13	Investments - other STMT 6	3,271,769.	2,973,228.	3,501,061.
	14	Land, buildings, and equipment: basis ▶	•		/3
	' '	Less accumulated depreciation	•		
	15	Other assets (describe STATEMENT 7)	7,134.	6,192.	6,192.
		Total assets (to be completed by all filers - see the	,,1010	3,72321	<u> </u>
	10	instructions Also, see page 1, item 1)	3,434,435.	3,110,484.	3,638,317.
_	17	Accounts payable and accrued expenses	3/434/4336	3/110/1011	3703073171
	18	Grants payable			
	19	Deferred revenue			
Liabilities					
Ē	20	Loans from officers, directors, trustees, and other disqualified persons			:
Lia	21	Mortgages and other notes payable	- 1		
	22	Other liabilities (describe			
	00	Tatal liabilities (add lines 17 through 22)	0.	0.	
_	23	Total liabilities (add lines 17 through 22)			
		Foundations that follow FASB ASC 958, check here			
Net Assets or Fund Balances		and complete lines 24, 25, 29, and 30.			•
lan	24	Net assets without donor restrictions			
Ва	25	Net assets with donor restrictions		· · · · · · · · · · · · · · · · · · ·	' '
pu		Foundations that do not follow FASB ASC 958, check here			
Ī		and complete lines 26 through 30.	31,295.	31,295.	
õ	26	Capital stock, trust principal, or current funds	3,403,140.	3,079,189.	
set	27	Paid-in or capital surplus, or land, bldg., and equipment fund	3,403,140.	3,079,189.	
As	28	Retained earnings, accumulated income, endowment, or other funds	3,434,435.	3,110,484.	
Vet	29	Total net assets or fund balances	3,434,435.	3,110,404.	
_			2 424 425	3,110,484.	
	30	Total liabilities and net assets/fund balances	3,434,435.	3,110,404.	<u> </u>
P	art	III Analysis of Changes in Net Assets or Fund B	alances		
_	T *-	and accepts on fined belonging at horizontal actions. Don't Hispanian (-) has	20		·
1		net assets or fund balances at beginning of year - Part II, column (a), line	29		2 121 125
_	•	st agree with end-of-year figure reported on prior year's return)		1 2	3,434,435. -323,951.
		r amount from Part I, line 27a		2	_323,931.
		r increases not included in line 2 (itemize)		3	3,110,484.
		lines 1, 2, and 3		4	3,110,484.
		eases not included in line 2 (itemize)	aluma (h) lina 00		3,110,484.
6	Lota	net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	olumn (b), line 29		Form 990-PF (2019)
					101111 220 11 (2013)

			N AND ERNES			ĪDA	TION		30-60	15902	Page 3
<u> </u>	(a) List and describe	the kind(s)	of property sold (for exar	mple, real esta	-	, b	How acqu - Purcha - Donatio	se i ''	c) Date acquired (mo., day, yr.)	(d) Date (mo., day	
	PER SCHEDULE -	<u>_</u>				╁	P			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
	PER SCHEDULE -			<u></u>		1	P			 	
	PER SCHEDULE -				 		P				
_	CAPITAL GAINS										
e	CHITTAL GAIND	DI VID.	Виро								
	(e) Gross sales price		preciation allowed or allowable)		st or other basis expense of sale	<u> </u>		((h) Gain or (los (e) plus (f) minus	s) (g))	
	571,376.				551,67	9.				19.	697.
<u>-</u>	979,921.				899,90						015.
C	40,563.				43,32						761.
ď	10,736.				10 / 0 2					10	736.
e						•					750.
	Complete only for assets showin	a gain in co	lumn (h) and owned by t	he foundation	on 12/31/69.	•			Sains (Col. (h) gai	n minus	
			Adjusted basis		cess of col. (1)			cól. (I	k), but not less th	an -0-) or	
	(i) FMV as of 12/31/69		s of 12/31/69		col. (j), if any			٦	Losses (from col.	(h)) ´	
_						\exists		_		10	697.
_ <u>a</u> _						\dashv		_	 -		$\frac{037.}{015.}$
<u>b</u>											
				· · · · · · · · · · · · · · · · · · ·							<u>761.</u>
<u>d</u>		 -					-				736.
<u>e</u>						_		_			
2	Capital gain net income or (net ca	pital loss)				}	2			107,	<u>687.</u>
			d in sections 1222(5) ani	d (6):		٦					
	If (loss), enter -0- in Part I, line 8					للِ	3		N/2	A	
P	art V Qualification U	gain net income or (net capital loss) { If gain, also enter in Part I, line 7									
(For	optional use by domestic private	foundation	s subject to the section 4	940(a) tax on	net investment in	come	:.)				
If ce	ection AQAO(d)(2) annives leave th	ue nart hlan	b								
11 30	1011011 4040(B)(2) applies, icave ti	no part blan	Ν.								
						iods				└── Yes └	X No
_										 	
1		ach column	for each year; see the in	structions be	fore making any ei	ntries				7.5	
	(a) Base period years		(b)	i		(c)			Distr	(d) ibution ratio ivided by col. (c	
C	alendar year (or tax year beginnir	ng In)	Adjusted qualifying dist		Net value of no				_ (col. (b) d		
	2018			6, <u>299</u> .				<u>,225.</u>			<u> 2878</u>
	2017			2,444.				<u>,681.</u>			<u> 7079</u>
	2016		33	<u>6,162.</u>		4	<u>,190</u>	<u>,415.</u>		.08	<u>0222</u>
	2015		22	6,262.		_4	<u>,518</u>	<u>,803.</u>		.05	<u>0071</u>
	2014		34!	5,422.		4	<u>,823</u>	,626.		.07	1610
2	Fotal of line 1, column (d)								2	.44	<u> 1860</u>
3 /	Average distribution ratio for the 5	year base	period - divide the total o	n line 2 by 5.0), or by the numbe	er of y	ears/		Ì		
	the foundation has been in existen								3	.08	8372
4 F	Enter the net value of noncharitable	le-use asset	s for 2019 from Part X II	ine 5					4	3,615,	227.
•	the net value of mononariaes	10 430 4350								0 1 0 2 0 1	
5 1	Multiply line 4 by line 3								5	319,	485
J 1	TOTO PLY THE Y DY THE S								-	<u></u>	<u> </u>
<i>.</i> .	inton 40/ of put incoming and incomi	n /40/ -47-	urt I lina 2751						ایا	1 '	<u>777.</u>
o t	Enter 1% of net investment incom	12 (1% OT Pa	ITL 1, IINE 27D)						6		<u>, , , , , , , , , , , , , , , , , , , </u>
_									<u> </u>	201	262
7 /	Add lines 5 and 6								7	321,	<u> </u>
8 F	Enter qualifying distributions from	Part XII lir	ne 4						8	496,	101.
	· · ·			1h and	late that part uses	~ ~ 4^	/ tax rata				
	f line 8 is equal to or greater than See the Part VI instructions.	ine /, chec	k tile box in Part VI, line	io, and comp	icie mat part usin(ya I	o idx fale	i.			

Form **990-PF** (2019)

	1990-PF (2019) BRENDALYN AND ERNEST STEMPEL FOUNDATION 5 30-6	:015	902		Page 4	
	n 990-PF (2019) BRENDALYN AND ERNEST STEMPEL FOUNDATION 30-6 art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - 3	see i	<u> ୨⊍⊿</u> nstru	ctio		
	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.					
14	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)					
ь	Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1%	•	-	$\overline{1}$, 7	<u>77.</u>	
·	of Part I, line 27b					
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)				ı	
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)				0.	
3	Add lines 1 and 2			1,7	77.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)				0.	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			1,7	77.	
6	Credits/Payments:					
а	2019 estimated tax payments and 2018 overpayment credited to 2019 6a 2,933.					
b	Exempt foreign organizations - tax withheld at source 6b 0.					
C	Tax paid with application for extension of time to file (Form 8868) 6c 0.					
d	Backup withholding erroneously withheld 6d 0.			_		
7	Total credits and payments. Add lines 6a through 6d		_	<u>2,9</u>	<u>33.</u>	
8	Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached				0.	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed					
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			<u>1,1</u>	<u>56.</u>	
	Enter the amount of line 10 to be: Credited to 2020 estimated tax				<u>0.</u>	
Pa	rt VII-A Statements Regarding Activities		1 1			
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in			Yes		
	any political campaign?		1a		X	
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		1b		X	
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or					
	distributed by the foundation in connection with the activities.					
	Did the foundation file Form 1120-POL for this year?		1c		X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:					
	(1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$ 0 .				İ	
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation					
	managers. ► \$		ا ا	-	x	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2			
_	If "Yes," attach a detailed description of the activities.					
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or		3	*	X	
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		4a		X	
	Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," has it filed a tax return on Form 990-T for this year?	' Δ	4b			
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	А	5		X	
5	If "Yes," attach the statement required by General Instruction T					
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		li			
0	By language in the governing instrument, or				١ ١	
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law				l	
	remain in the governing instrument?		6	x		
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		7	X		
•	bio the feather that our local transcolor at any time earning the year.					
8a	Enter the states to which the foundation reports or with which it is registered. See instructions.					
J u	DE					
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)				ا <u>ـ</u> ـا	
-	of each state as required by General Instruction G? If "No," attach explanation		8b	$\bar{\mathbf{x}}$		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar			,		7
-	year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		9		_X	4
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10		X	
		For	m 990)-PF	(2019)	

Yes No section 512(b) (3)? If Yes, attach schedule. See instructions 11	Pi	art VII-A Statements Regarding Activities (continued)			
11 A day time during the year, dot the foundation, directly or indirectly, own a controlled entity within the meaning of section \$15(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1)(1	Γ	Yes	No
section 5/2(b)(13)? If Yes, a takeh scholdule. See instructions If Yes, a takeh statement. See instructions of the statement. See instructions If Yes, Instructions of the statement. See instructions If Yes, Instructions of the statement is the statement. See instructions If Yes, Instructions of the statement. See instructions If Yes, Instructions of the statement is the statement. See instructions If Yes, Instructions of the statement. See instructions. If Yes, Instructions of the statement. See instructions. If Yes, Instructions of the statement. See instructions. If Yes, Instructions of yes of the statement. See instructions. If Yes, Institute instructions. If Yes, Institute instructions. If Yes, Institu	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
12 Dit the foundation make a distribution to a dinore advised fund over which the foundation or a disqualified person had advisory privileges? 12 X 13 X			11		x
If Yes, a talch sistement See instructions 13	12				
13 Out the foundation comply with the public inspection requirements for its annual returns and exemption application?			12		x
Webste address N/A Telephone no. 212-997-0600	13	·		X	
14 The books are nate of PDIX & CO					
Located al ▶ 1 PENN PLACA, STE 660, NEW YORK, NY Section 4947(a)(1) nonexempt chararable trusts filing form 990 PF in Neu of Form 1041 - check here and other the amount of tax-exempt inferest received or accreed during the year 16 Alary time during calendary year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, enter the name of the foreign country. ▶ Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1	14		7-0	600	
15 Section 4947(a)(1) nonement charatable trusts timp form 990 PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 16 All any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnsh boods, services, or facilities to (or accept ithem from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a designalified person or make any of either available for the benefit or use of a disqualified person? (6) Agree to pay money or property to a povernment offical? (Exception, Check 'No' if the foundation argued to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) 1b Irany assets in 'Yes' to 14(1)-6, did any of the acts last to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance? See instructions In Iransfer to distribute incoming section 4942(a)(2) in	•				
and enter the amount of the exempt interest received or accorded during the year 16. All any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, scurtuse, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country! ▶ Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a dequalified person? (4) Pay compensation to, or pay or remburss the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the person of the order of the disqualified person (or make any of either available for the person and acquarts of the acquarts of the person and	15		,,	_	
No securities, or other financial account in a foreign country			N	/A	
Set the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, "enter the name of the foreign country! Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 During the year, did the foundation (either directly or indirectly); (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No	16				No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the forting country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required			16		_
Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): 1) Engage in the sale or exchange, or leasing of property with a disqualified person?			"		
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 During the year, dut the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person of or make any of either available for the benefit or use of a disqualified person of or make any of either available for the termination of government service, if termination of government service, if terminating within 90 days.) b If any answers "Yes" to 1a(1)-(6), did any of the acts fast to quality under the exceptions described in Regulations N/A b If any answers "Yes" to 1a(1)-(6), did any of the acts fast to quality under the exceptions described in Regulations N/A 1b C Did the foundation engage in a prior year in any of the acts assistance, check here c Did the foundation engage in a prior year in any of the acts assistance, check here c Did the foundation engage in a prior year in any of the acts assistance, check here c Did the foundation engage in a prior year in any of the act					
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) or make any of either available for the benefit or use of a disqualified person) (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation argend to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to quelify under the exceptions described in Regulations section 39.491(d):30 in a current notice regarding disaster assistance, See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? 2 Taxes on failure to distribute income (Section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(a)(3) or 4942(i)(5)): a Aft the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all	Pá				
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3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	_	·	20		
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had not been removed from jeopardy before the first day of the tax year beginning in 2019?			74		
	E		4h	*	x
				-PF	

NONE

Form **990-PF** (2019)

Total number of other employees paid over \$50,000

Form 990-PF (2019) BRENDALYN AND ERNEST STEMPEL FOUN		30-6015902 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	on Managers, High	nly
3 Five highest-paid independent contractors for professional services. If none, enter "l	NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of se	ervice (c) Compensation
NONE		
		
		
		1
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical	il information such as the	F
number of organizations and other beneficiaries served, conferences convened, research papers produc	ed, etc.	Expenses
1N/A		
2		
3		
3		
4		
Doubliv Dila		
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on line		
	is ranuz.	Amount
1N/A		
2		
All other program-related investments. See instructions.		
3		
		
		——
		
Total. Add lines 1 through 3		▶ 0.
		Form 990-PF (2019)

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations, s	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,510,871.
	Average of monthly cash balances	1b	159,410.
	Fair market value of all other assets	1c	
	Total (add lines 1a, b, and c)	1d	3,670,281.
	Reduction claimed for blockage or other factors reported on lines 1a and		
-	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,670,281.
3 4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	55,054.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,615,227.
-	Minimum investment return Enter 5% of line 5	6	180,761.
<u>6</u>	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are		100,701.
	foreign organizations, check here and do not complete this part.)	iu cei iaiii	
1	Minimum investment return from Part X, line 6	1	180,761.
	Tax on investment income for 2019 from Part VI, line 5		
b	Income tax for 2019. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	1,777.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	178,984.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	178,984.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	178,984.
<u>′</u> Р	art XII Qualifying Distributions (see instructions)		110,30±0
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	496,101.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
-	Suitability test (prior IRS approval required)	3a	
	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	496,101.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
J	income. Enter 1% of Part I, line 27b	5	1.777.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	494,324.
U	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of		

Form **990-PF** (2019)

4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI,		, saile prior to 20 le	20,0	
line 7				178,984.
2 Undistributed income, if any, as of the end of 2019			_	
a Enter amount for 2018 only			0.	
b Total for prior years:		0		
3 Excess distributions carryover, if any, to 2019:		0.		
a From 2014 114, 481.				
b From 2015 1, 459.				
c From 2016 127, 949.				
d From 2017 77,996.				
e From 2018 521,046.				
f Total of lines 3a through e	842,931.			
4 Qualifying distributions for 2019 from	012/021	· · · · · · · · · · · · · · · · · · ·	·	
Part XII, line 4: ►\$ 496,101.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2019 distributable amount				178,984.
e Remaining amount distributed out of corpus	317,117.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
8 Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,160,048.			ı
b Prior years' undistributed income Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed	: :	0.		
d Subtract line 6c from line 6b. Taxable		0.		
amount - see instructions		0.		
e Undistributed income for 2018. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	ı
f Undistributed income for 2019. Subtract				
lines 4d and 5 from line 1. This amount must		. [
be distributed in 2020				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			·····
8 Excess distributions carryover from 2014		_		
not applied on line 5 or line 7	114,481.			
9 Excess distributions carryover to 2020.				
Subtract lines 7 and 8 from line 6a	1,045,567.			
10 Analysis of line 9:		•		
a Excess from 2015 1,459.				
b Excess from 2016 127, 949.				
c Excess from 2017 77,996.				
d Excess from 2018 521,046.				
e Excess from 2019 317,117.				Form 990-PF (2019)

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Form 990-PF (2019) BRENDALY Part XIV Private Operating Form		EST STEMPEL		30-60	15902 Page 10
			-A, question 9)	N/A	- /
1 a If the foundation has received a ruling or d					
foundation, and the ruling is effective for 2			▶	140,404,401	40(1)(E)
b Check box to indicate whether the foundate		ing foundation described		4942(j)(3) or 49	42(j)(5)
2 a Enter the lesser of the adjusted net	Tax year	41.0040	Prior 3 years	(1) 0046	(a) Tatal
income from Part I or the minimum	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4, for each year listed			/	1	
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly			/		
for active conduct of exempt activities.			. ·		
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:	/				
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section					
512(a)(5)), or royalties)		-	 		
(2) Support from general public and 5 or more exempt					
organizations as provided in					
section 4942(j)(3)(B)(iii)			<u> </u>		
(3) Largest amount of support from					
an exempt organization			<u> </u>		
(4) Gross investment income				1 105 000	• •
Part XV Supplementary Inform			if the foundation	nad \$5,000 or mo	ore in assets
at any time during the	e year-see inst	ructions.)		····	
1 Information Regarding Foundation	Managers:				
a List any managers of the foundation who l	have contributed more	than 2% of the total con	tributions received by the	foundation before the clos	e of any tax
year (but only if they have contributed mo	re than \$5,000). (See	section 507(d)(2).)			
NONE					
b List any managers of the foundation who	own 10% or more of t	he stock of a corporation	(or an equally large porti	on of the ownership of a pa	rtnership or
other entity) of which the foundation has a					
NONE					
2 Information Regarding Contribution	n Grant Gift Loan	. Scholarship, etc., P	rograms:		
Check here X if the foundation only				not accept unsolicited requi	ests for funds. If
the foundation makes gifts, grants, etc., to	individuals or organiz	rations under other condi	tions, complete items 2a,	b, c, and d.	
a The name, address, and telephone numbe					
a The hame, address, and telephone humbe	or cinali addices or i	inc person to whom appn		50u.	
b The form in which applications should be	submitted and informa	ation and materials they s	hould include:		
Any cultimission deadlines:					
c Any submission deadlines:					
d Any restrictions or limitations on awards,	such as by geographic	cal areas, charitable fields	, kınds of institutions, or	other factors:	

▶ 3b

Total

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated	business income		oy section 512, 513, or 514	(e)
	(a) Business	(b) Amount	Exclu- sion	(d) Amount	Related or exempt function income
1 Program service revenue	code	Amount	code	Allouit	Tunction income
a					
b	 				
C	<u> </u>				
d	<u> </u>				
e					
f	<u></u>				
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments			14	923.	
4 Dividends and interest from securities			14	92,615.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property		<u> </u>			
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	107,687.	•
9 Net income or (loss) from special events					
O Gross profit or (loss) from sales of inventory					
1 Other revenue					
a	1				
b	1				
c					
d					<u> </u>
e					
2 Subtotal. Add columns (b), (d), and (e)		·····).	201,225.	0
3 Total Add line 12, columns (b), (d), and (e)				13	201,225
See worksheet in line 13 instructions to verify calculations.)					

the foundation's exempt purposes (other than by providing funds for such pur	, , , , , , , , , , , , , , , , , , , ,
N/AN/A	
	<u> </u>
21 12-17-19	Form 990-PF (2

Form 990-PF (2019) BRENDALYN AND ERNEST STEMPEL FOUNDATION 30-6015902 Page 13 Information Regarding Transfers to and Transactions and Relationships With Noncharitable **Exempt Organizations** Yes No Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash 1a(1) (2) Other assets X 1a(2) b Other transactions: (1) Sales of assets to a noncharitable exempt organization X 16(1) X (2) Purchases of assets from a noncharitable exempt organization 1b(2) X (3) Rental of facilities, equipment, or other assets 1b(3) (4) Reimbursement arrangements X 1b(4) (5) Loans or loan guarantees X 1b(5) X (6) Performance of services or membership or fundraising solicitations 16(6) X Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. (a) Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangements N/A 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described X No Yes in section 501(c) (other than section 501(c)(3)) or in section 527? b If "Yes," complete the following schedule. (a) Name of organization (b) Type of organization (c) Description of relationship N/A Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge May the IRS discuss this and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge return with the preparer shown below? See inst Sign Here TRUSTEE X Yes

> Phone no. 212-997-0600 Form **990-PF** (2019)

P00051912

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Firm's EIN $\triangleright 13 - 3652579$

Check self- employed

Paid

Preparer

Use Only

Date

RICHARD EISENBERG

Preparer's signature

Title

11/09/20

Date

Signature of officer or trustee

Print/Type preparer's name

RICHARD EISENBERG

Firm's name ► DDK & COMPANY LLP

Firm's address ▶ ONE PENN PLAZA, 6TH FLR

NEW YORK, NY 10119

FORM 990~PF INTERE	ST ON SAVI	INGS AND TEM	PORARY	CASH IN	IVESTMENTS	STATEMENT	1
SOURCE		(A REVE PER B	NUE		(B) IVESTMENT ICOME	(C) ADJUSTED NET INCOME	
JP MORGAN - A/C A14	259005	· · · · ·	923.		923.		
TOTAL TO PART I, LI	NE 3		923.		923.		
FORM 990-PF	DIVIDENI	S AND INTER	EST FRO	M SECUR	RITIES	STATEMENT	2
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDEND	RE	(A) VENUE BOOKS	(B) NET INVEST MENT INCOM		
JP MORGAN - A/C A14259005	103,351	10,73	6.	92,615.	92,615	•	
TO PART I, LINE 4	103,351	10,73	6.	92,615.	92,615	•	
FORM 990-PF		ACCOUNTI	NG FEES			STATEMENT	3
DESCRIPTION		(A) EXPENSES PER BOOKS	(B NET IN MENT I	VEST-	(C) ADJUSTED NET INCOME	(D) CHARITAI PURPOSI	
ACCOUNTING AND TAX		10,000.	·	6,000.			0.
TO FORM 990-PF, PG	1, LN 16B =	10,000.	======	6,000.		= =====	0.
FORM 990~PF		TAX	ES			STATEMENT	4
DESCRIPTION		(A) EXPENSES PER BOOKS	(B NET IN MENT I	VEST-	(C) ADJUSTED NET INCOME	(D) CHARITAI PURPOSI	
FOREIGN TAX IRS	_	1,654. 1,500.		1,654.			0.
TO FORM 990-PF, PG	1, LN 18	3,154.	·	1,654.			0.

FORM 990-PF	OTHER E	XPENSES		<u>.</u>	STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INV MENT IN		(C) ADJUSTED NET INCOM		
INVESTMENT MANAGEMENT FEES OFFICE EXPENSE	15,921. 2,288.	15	,921.		2,2	0. 88.
TO FORM 990-PF, PG 1, LN 23	18,209.	15	,921.		2,2	88.
FORM 990-PF	OTHER INV	ESTMENTS			STATEMENT	6
DESCRIPTION		LUATION ETHOD	вос	OK VALUE	FAIR MARKE VALUE	T
PER SCHEDULE ATTACHED (JPM A/O PER SCHEDULE ATTACHED (JPM A/O PER SCHEDULE ATTACHED (JPM A/O	C) (COST COST COST		1,244,970. 1,554,229. 174,029.	1,275,4 2,052,2 173,3	66.
TOTAL TO FORM 990-PF, PART II	, LINE 13			2,973,228.	3,501,0	61.
FORM 990-PF	OTHER A	ASSETS			STATEMENT	7
DESCRIPTION		NING OF K VALUE		OF YEAR OK VALUE	FAIR MARKE VALUE	т
DIVIDENDS RECEIVABLE		7,134.		6,192.	6,1	92.
TO FORM 990-PF, PART II, LINE	15	7,134.		6,192.	6,1	92.

BRENDALYN and ERNEST STEMPEL FOUNDATION

XX-6015902

2019

FORM 990 - PF

ATTACHMENT TO PART II, BALANCE SHEET - END OF THE YEAR

LINE 13 - OTHER INVESTMENTS	END OF THE YEAR -12/31/2019		
	page # out of 16	BOOK VALUE	FMV
SCHEDULE 1 - FIXED INCOME			
US FIXED INCOME	11	785,562	801,471
NON- US FIXED INCOME	11	459,407	473,935
TOTAL		1,244,970	1,275,407
SCHEDULE 2 - EQUITY			
US LARGE CAP EQUITY	6	888,672	1,317,423
EAFE EQUITY	6	518,996	564,394
JAPANESE LARGE CAP EQUITY	6	32,531	35,951
GLOBAL EQUITY	7	114,030	134,499
TOTAL		1,554,229	2,052,266
SCHEDULE 3 - ALTERNATIVE ASSETS			
HEDGE FUNDS	8	174,029	173,388
TOTAL LINE 13		2,973,227	3,501,061

BRENDALYN and ERNEST STEMPEL FOUNDATION 2019 FORM 990 - PF ATTACHMENT TO PART XV -LINE 3

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

XX-6015902

<u>Recipient</u>	<u>Designation</u>	<u>Amount</u>	
Africa Foundation	CLEF Scholarships (Community Living Education Fund)	30,000.00	
(The) American Fund for Charities	FBO Children's Hospital Trust, Red Cross War	10,000.00	
Diocesan College High School (South Africa)	The Hoffman Stempel Endowment for student	300,000.00	
through Bishops Foundation, USA	bursaries and financial aid - Bursaries for		
Herschel School for Girls	Rumbelow Student Bursary	5,000.00	
Herschel School for Girls	Herschel School for Girls - General Support and/or ongoing costs	20,000 00	
(The) Laureus Sport For Good Foundation USA	Split 50/50 between Coolplay in Bredasdorp AND WavesFor Change in Muizenberg, Western Cape	20,000.00	
Operation Smile	Support for Operation Smile in South Africa	10,000.00	
(The) American Fund for Charities	F/B/O STEPS Charity, NPC, South Africa Initial Grant	10,000.00	
University of CapeTown Fund, Inc SHAWCO	Student Health and Welfare	15,000.00	
University of CapeTown Fund, Inc SHAWCO	Student Health and Welfare	25,000.00	
American Friends of Masterworks, Inc.	Designated for Summer Camp Scholarships	10,000.00	
Young Audiences Arts for Learning	General Support	10,000.00	
TOTAL - GRANTS		465.000 00	

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