

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	439,069	15,813,596	15,813,596
	2 Savings and temporary cash investments	539,530	1,632,994	1,632,994
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	144,913,448	137,046,496	46,290,099
	c Investments—corporate bonds (attach schedule)	64,686,836	65,072,289	65,002,069
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	1,378,125	1,378,125	1,378,125	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	211,957,008	220,943,500	130,116,883	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	211,957,008	220,943,500	
30 Total net assets or fund balances (see instructions)	211,957,008	220,943,500		
31 Total liabilities and net assets/fund balances (see instructions) .	211,957,008	220,943,500		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	211,957,008
2 Enter amount from Part I, line 27a	2	9,018,977
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	220,975,985
5 Decreases not included in line 2 (itemize) ▶ _____	5	32,485
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	220,943,500

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	16,701,339
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	12,065,331	173,168,513	0 069674
2016	13,176,563	247,816,441	0 053171
2015	7,494,048	269,466,895	0 027811
2014	7,803,790	178,748,015	0 043658
2013	6,211,738	135,857,795	0 045722

2 Total of line 1, column (d)	2	0 240036
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 048007
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	137,272,541
5 Multiply line 4 by line 3	5	6,590,043
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	190,598
7 Add lines 5 and 6	7	6,780,641
8 Enter qualifying distributions from Part XII, line 4	8	9,998,575

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	190,598
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	190,598
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	190,598
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	398,929
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	500,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	898,929
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	708,331
11	Enter the amount of line 10 to be Credited to 2019 estimated tax <input type="checkbox"/> 708,331 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>STEVE HASSAN</u> Telephone no ▶ <u>(310) 836-6400</u>			

Located at ▶ 9922 JEFFERSON BOULEVARD CULVER CITY CA ZIP+4 ▶ 90232

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>	15		
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PATRICK SOON-SHIONG 11444 W OLYMPIC BOULEVARD 11TH FL LOS ANGELES, CA 90064	DIRECTOR, PRESIDENT 0 5	0	0	0
MICHELE SOON-SHIONG 11444 W OLYMPIC BOULEVARD 11TH FL LOS ANGELES, CA 90064	DIRECTOR, CFO, SECRETARY 0 5	0	0	0
CHARLES KENWORTHY 11444 W OLYMPIC BOULEVARD 11TH FL LOS ANGELES, CA 90064	EXECUTIVE VICE PRESIDENT 0 5	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

3	Five high
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Total number**Part IX-A**

List the foundations

1 PROVIDIN

Part IX-B

Describe the

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	131,607,043
b	Average of monthly cash balances.	1b	6,377,818
c	Fair market value of all other assets (see instructions).	1c	1,378,125
d	Total (add lines 1a, b, and c).	1d	139,362,986
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	139,362,986
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	2,090,445
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	137,272,541
6	Minimum investment return. Enter 5% of line 5.	6	6,863,627

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	6,863,627
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	190,598
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	190,598
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	6,673,029
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	6,673,029
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	6,673,029

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	9,998,575
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	9,998,575
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	190,598
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	9,807,977

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				6,673,029
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			9,947,189	
b Total for prior years 2016, 2015, 2014		90,793		
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>9,998,575</u>				
a Applied to 2017, but not more than line 2a			9,947,189	
b Applied to undistributed income of prior years (Election required—see instructions).		51,386		
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		39,407		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.		39,407		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				6,673,029
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) PATRICK MICHELE SOON-SHIONG	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
a The name, address, and telephone number or email address of the person to whom applications should be addressed	
b The form in which applications should be submitted and information and materials they should include	
c Any submission deadlines	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				
b <i>Approved for future payment</i>				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

1 Program service revenue

a _____

b _____

c _____

d _____

e _____

f _____

g Fees and contracts from government agencies

2 Membership dues and assessments.**3** Interest on savings and temporary cash investments**4** Dividends and interest from securities.**5** Net rental income or (loss) from real estate

a Debt-financed property.

b Not debt-financed property.

6 Net rental income or (loss) from personal property**7** Other investment income.**8** Gain or (loss) from sales of assets other than inventory**9** Net income or (loss) from special events**10** Gross profit or (loss) from sales of inventory**11** Other revenue a _____

b _____

c _____

d _____

e _____

12 Subtotal. Add columns (b), (d), and (e).**13 Total.** Add line 12, columns (b), (d), and (e). **13** 19,421,163
(See worksheet in line 13 instructions to verify calculations.)**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes****Line No.**

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2020-08-01	*****	May the IRS discuss this return with the preparer shown below? (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	MICHAEL S DREYER				P00002084
	Firm's name ▶ HOLTHOUSE CARLIN & VAN TRIGT LLP Firm's address ▶ 355 S GRAND AVE STE 1710 LOS ANGELES, CA 90071				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 ML #07341 - LT	D	2010-10-19	2019-07-29
1 ML #07341 - ST	P		
ML #07341 - LT	P		
ML #04269 - ST	P		
ML #04269 - LT	P		
ML #04269 - LT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,061,594		7,874,989	17,186,605
50		34	16
86		81	5
2,307,926		2,394,751	-86,825
6,820,965		7,077,454	-256,489
4,974,296		5,116,269	-141,973

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			17,186,605
			16
			5
			-86,825
			-256,489
			-141,973

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ARIZONA STATE UNIVERSITY - MCCAIN INSTITUTE 1800 I ST NW SIXTH FLOOR WASHINGTON, DC 20006	NONE	PC	CHARITABLE	100,000
BANK OF AMERICA CHARITABLE GIFT FUND PO BOX 1802 PROVIDENCE, RI 02901	NONE	PC	CHARITABLE	8,547,272
BIG SUNDAY6111 MELROSE AVE LOS ANGELES, CA 90038	NONE	PC	CHARITABLE	2,500
Total ▶ 3a				9,947,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BORN FREE USA 8737 COLESVILLE ROAD SUITE 715 SILVER SPRING, MD 20910	NONE	PC	CHARITABLE	5,000
CHILDREN'S HOSPITAL LOS ANGELES 4650 SUNSET BOULEVARD LOS ANGELES, CA 90027	NONE	PC	CHARITABLE	10,000
CITY OF HOPE1500 EAST DUARTE ROAD DUARTE, CA 91010	NONE	PC	CHARITABLE	10,000
Total ▶ 3a				9,947,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMITTEE TO PROTECT JOURNALISTS PO BOX 2675 NEW YORK, NY 10108	NONE	PC	CHARITABLE	20,000
EL SEGUNDO EDUCATION FOUNDATION 641 SHELDON STREET EL SEGUNDO, CA 90245	NONE	PC	CHARITABLE	750
GASOL FOUNDATION 700 HARRIS ST STE 201 CHARLOTTESVILLE, VA 22903	NONE	PC	CHARITABLE	25,000
Total ▶ 3a				9,947,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HARVARD WESTLAKE SCHOOL 700 NORTH FARING ROAD LOS ANGELES, CA 90077	NONE	PC	CHARITABLE	40,000
HSA COUNCIL5679 WILSHIRE BLVD 550 LOS ANGELES, CA 90036	NONE	PC	CHARITABLE	10,000
MARYMOUNT HIGH SCHOOL 10643 SUNSET BOULEVARD LOS ANGELES, CA 90077	NONE	PC	CHARITABLE	10,000
Total ▶ 3a				9,947,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RESURRECTION CATHOLIC CHURCH 3324 EAST OPAL STREET LOS ANGELES, CA 90023	NONE	PC	CHARITABLE	30,000
RESURRECTION CATHOLIC SCHOOL 3360 EAST OPAL STREET LOS ANGELES, CA 90023	NONE	PC	CHARITABLE	30,000
ROMAN CATHOLIC ARCHBISHOP OF LA 3424 WILSHIRE BLVD LOS ANGELES, CA 90010	NONE	PC	CHARITABLE	300,000
Total ▶ 3a				9,947,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SCHWARZMAN SCHOLAR PROGRAM 345 PARK AVENUE NEW YORK, NY 10154	NONE	PC	CHARITABLE	666,667
ST MARTIN OF TOURS 11955 SUNSET BOULEVARD LOS ANGELES, CA 90049	NONE	PC	CHARITABLE	80,000
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE	PC	CHARITABLE	10,000
Total ▶ 3a				9,947,189

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TESS RESEARCH FOUNDATION 655 OAK GROVE AVE 53 MELO PARK, CA 94026	NONE	PC	CHARITABLE	25,000
THE HELP GROUP13130 BURBANK BLVD SHERMAN OAKS, CA 91401	NONE	PC	CHARITABLE	10,000
THE PAINTED TURTLE1300 4TH ST 300 SANTA MONICA, CA 90401	NONE	PC	CHARITABLE	15,000
Total ▶ 3a				9,947,189

TY 2018 Accounting Fees Schedule**Name:** CHAN SOON-SHIONG FAMILY FOUNDATION**EIN:** 26-4384360

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	46,525	23,262		23,263

TY 2018 Applied to Prior Year Election

Name: CHAN SOON-SHIONG FAMILY FOUNDATION

EIN: 26-4384360

Election: Election Permitting Private Foundation to Avoid Excise Tax for Failure to Distribute Income IRC Section 4942(h)(2) Section 4942 (h)(2) Election Chan Soon-Shiong Family Foundation, elects pursuant to Section 4942(h)(2) of the Internal Revenue Code and Reg. 53.4942(a)-3(d)(2) to treat qualifying distributions for the current tax year ended 09/30/2019, in excess of the undistributed income of the immediately preceding taxable year as being made from the Chan Soon-Shiong Family Foundation as follows: Income Tax Year Amount Investment 2016 51,386.

TOTAL 51,386.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: CHAN SOON-SHIONG FAMILY FOUNDATION

EIN: 26-4384360

TY 2018 General Explanation Attachment**Name:** CHAN SOON-SHIONG FAMILY FOUNDATION**EIN:** 26-4384360**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	SECTION 4942(h)(2)	FORM 990PF, PART XIII, LINE 4B - Section 4942(h)(2) Election	Election Permitting Private Foundation to Avoid Excise Tax for Failure to Distribute Income IRC Section 4942(h)(2) Section 4942(h)(2) Election Chan Soon-Shiong Family Foundation, elects pursuant to Section 4942(h)(2) of the Internal Revenue Code and Reg 53 4942(a)-3(d)(2) to treat qualifying distributions for the current tax year ended 09/30/2019, in excess of the undistributed income of the immediately preceding taxable year as being made from the Chan Soon-Shiong Family Foundation as follow s Income Tax Year Amount Investment 2016 51,386 _____ TOTAL 51,386 SIGNED ELECTION IS ATTACHED

General Explanation Attachment

Identifier	Return Reference	Explanation	
2	FORM 990PF, PART XIII, LINE 2 - UNDISTRIBUTED INCOME AS OF THE END OF 2018	FORM 990PF, PART XIII, LINE 2 - UNDISTRIBUTED INCOME AS OF 2018	As of September 2019, Chan Soon-Shiong Family Foundation was not using for an exempt purpose its Jungfrau painting by Anhelm Kiefer, which the foundation acquired on September 8, 2017. Since the painting is now deemed to be a nonexempt purpose asset, the foundation is including the lesser of the fair market value, as of September 30, 2019, or the purchase price of the painting in its distributable amount, when determining its undistributed income for purposes of IRC 4942(a) for the immediately preceding year.

TY 2018 Investments Corporate Bonds Schedule

Name: CHAN SOON-SHIONG FAMILY FOUNDATION

EIN: 26-4384360

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
226,337 SHS AB HIGH INCOME MUN	2,466,861	2,682,096
245,933 SHS AMG MANAGERS DBL	2,528,186	2,641,315
153,084 SHS ANGEL OAK MULTI	1,685,458	1,693,112
223,931 SHS BLACKROCK TOT RET	2,536,400	2,675,981
164,918 SHS BNY MELLON GLOBAL	3,506,149	3,656,222
577,754 SHS COLUMBIA US GOVT.	0	0
465,641 SHS DELAWARE POOLED TR	0	0
149,850 SHS DREYFUS/STANDISH	0	0
65,979 SHS FIRST TRUST	3,370,867	3,430,248
126,631 SHS GUGGENHEIM	3,370,915	3,476,019
211,437 SHS HOTCHKIS & WILEY H	2,663,601	2,418,843
195,356 SHS LOOMIS SAYLES BOND	2,770,967	2,670,515
832,575 SHS LORD ABBETT SHORT	3,692,892	3,505,142
288,962 SHS METROPOLITAN WEST	0	0
241,659 SHS NEUBERGER BERMAN	2,522,433	2,658,253
27,430 SHS ISHARES CORE US AGG	0	0
344,322 SHS VOYA INTERMEDIATE	3,370,915	3,594,725
310,977 SHS WESTERN ASSET SHOR	1,908,140	1,629,520
239,689 SHS AMG MANAGERS SHORT	2,310,491	2,250,676
465,641 SHS DELAWARE LIMITED	3,978,729	3,864,821

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
1,041,969 SHS JANUS SHORT TERM	3,174,259	3,146,748
315,967 SHS JP MORGAN LIMITED	3,165,663	3,191,273
274,878 SHS LOOMIS SAYLES LTD	3,184,270	3,128,106
530,099 SHS MFS LTD MATURITY	3,185,564	3,175,296
285,121 SHS NUVEEN LIMITED TRM	3,177,672	3,181,947
239,056 SHS THORNBURG LTD TERM	3,177,914	3,131,628
677,878 SHS VIRTUS MULTI SECT	3,323,943	3,199,583

TY 2018 Investments Corporate Stock Schedule

Name: CHAN SOON-SHIONG FAMILY FOUNDATION
EIN: 26-4384360

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
265,786 SHS CELGENE CORP COM	7,699,156	26,392,550
1,301,000 SHS CELGENE CORP CVR	0	741,570
38,725.521 SHS PROMETIC LIFE	85,525,001	402,463
TAX BASIS \$7,590,475		
5,618,326 SHS NANTKWEST INC	34,103,239	6,854,358
8,940 SHS AMERICAN ELECTRIC	503,395	837,589
20,300 SHS AT&T INC	653,775	768,152
808 SHS BLACKROCK INC	238,773	360,077
26,167 SHS CENTURYLINK INC	596,609	326,564
8,120 SHS CHEVRON CORP	622,067	963,032
18,457 SHS CISCO SYSTEMS INC	478,592	911,960
3,933 SHS CORTEVA INC	86,346	110,124
13,437 SHS CONOCOPHILLIPS	623,211	765,640
11,801 SHS DOWDUPONT INC	0	0
3,933 SHS DOW INC	155,955	187,408
3,933 SHS DUPONT DE NEMOURS	238,010	280,462
7,857 SHS EXXON MOBIL CORP	574,385	554,783
36,206 SHS FORD MOTOR CO	479,293	331,647
19,444 SHS GENERAL ELECTRIC	478,814	173,829
16,432 INTEL CORP	479,641	846,741
12,661 INTERNATIONAL PAPER CO	479,807	529,483
5,162 SHS MERCK AND CO INC	251,233	434,537
16,192 SHS PFIZER INC	502,433	581,779
20,415 SHS PPL CORPORATION	655,456	642,868
6,613 SHS PROCTER & GAMBLE CO	479,291	822,525
14,803 SHS VERIZON COMMUNICATI	653,497	893,509
23,492 SHS STARWOOD PPTY TR IN	480,399	568,976
104 SHS WABTEC	8,118	7,473

TY 2018 Legal Fees Schedule**Name:** CHAN SOON-SHIONG FAMILY FOUNDATION**EIN:** 26-4384360

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	37,150	9,287		27,863

TY 2018 Other Assets Schedule

Name: CHAN SOON-SHIONG FAMILY FOUNDATION

EIN: 26-4384360

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK FOR CHARITABLE USE	1,378,125	1,378,125	1,378,125

TY 2018 Other Decreases Schedule**Name:** CHAN SOON-SHIONG FAMILY FOUNDATION**EIN:** 26-4384360

Description	Amount
DELAWARE DIVERSIFIED - BASIS ADJUSTMENTS	28,492
VIRTUS NEWFLEET - BASIS ADJUSTMENTS	3,928
ROUNDING/OTHER ADJUSTMENTS	65

TY 2018 Other Expenses Schedule**Name:** CHAN SOON-SHIONG FAMILY FOUNDATION**EIN:** 26-4384360**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES/FEES - ML-07341	150	75		75
FEES - ML-04269	68,852	68,852		0
FEES - ML-02028	45,060	45,060		0
FEES - JPM - REFUND	-30	-30		0
FILING FEES - CA ATTORNEY GEN	150	0		150
CA SECRETARY OF STATE	25	0		25
STORAGE - ART HELD FOR CHAR	7,105	0		0

TY 2018 Taxes Schedule**Name:** CHAN SOON-SHIONG FAMILY FOUNDATION**EIN:** 26-4384360

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL	250,000	0		0
CALIFORNIA	10	0		10