efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93491319029839

OMB No 1545-0052

2018

Return of Private Foundation

Department of the Treasury Internal Revenue Service

Form 990-PF

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
 Go to <u>www.irs.gov/Form990PF</u> for instructions and the latest information.

Open to Public Inspection

For	cale	ndar year 2018, or tax year beginning 01-01-20)18 , aı	nd ending 12-31-	2018	
		undation HAM FAMILY FOUNDATION			entification numbe	r
				26-2992045		
		d street (or P O box number if mail is not delivered to street address) ALLEY ROAD NO 703	Room/suite	B Telephone nu	mber (see instruction	ns)
				(805) 451-1200)	
		n, state or province, country, and ZIP or foreign postal code BARA, CA 93108		C If exemption	application is pendin	g, check here
	ack al		former public charity	D 1 Foreign or	ganizations, check he	,
G CI	ieck ai	Final return Amended return	Tormer public charity	_	ganizations, check no	▶ ⊔
		Address change Name change			k here and attach co	
H C	neck ty	/pe of organization ✓ Section 501(c)(3) exempt private	foundation		undation status was t n 507(b)(1)(A), chec	
	Section	<u> </u>	e private foundation	under seedo	11 307(1)(1)(1), circe	in Here
			☑ Cash ☐ Accru		ation is in a 60-mont	
		from Part II, col (c), ▶\$ 45,266,399	be on cash basis)	under sectio	n 507(b)(1)(B), chec	k nere
Da	rt I	Analysis of Revenue and Expenses (The total		1	I	(d) Disbursements
1.1		of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ► ☑ if the foundation is not required to attach				
	_	Sch B	62.554	62.554		
	3	Interest on savings and temporary cash investments Dividends and interest from securities	62,551 907,605			
	5a	Gross rents	3,900			
	ь	Net rental income or (loss) 3,900	<u>'</u>	5,555		
e	6a	Net gain or (loss) from sale of assets not on line 10	2,359,619)		
Revenue	ь	Gross sales price for all assets on line 6a				
šev	_	3,350,122		2 252 612		
ш	7	Capital gain net income (from Part IV, line 2)		2,359,619		
	8	Income modifications				
	10a	Gross sales less returns and allowances				
	ь	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	98,056	C		
	12	Total. Add lines 1 through 11	3,431,731	3,333,675		
	13	Compensation of officers, directors, trustees, etc	250,000	37,500		125,000
	14	Other employee salaries and wages	79,600	C		79,600
es	15	Pension plans, employee benefits	25,183			25,183
ens	16a	Legal fees (attach schedule)	6,118			6,118
and Administrative Expenses	Ь	Accounting fees (attach schedule)	72,275	•		55,887
ve l	_ c	Other professional fees (attach schedule)	41,182	24,047		17,135
atı	17	Interest	643.000			25.242
II ST	18	Taxes (attach schedule) (see instructions)	9 613,232			25,243
Ē	19	Depreciation (attach schedule) and depletion				E0 240
Ad	20 21	Occupancy	59,340			59,340
pu	22	Printing and publications				
	23	Other expenses (attach schedule)	291,657	187,816		103,841
Operating	24	Total operating and administrative expenses.				
ber		Add lines 13 through 23	1,439,167	265,751		497,347
Ō	25	Contributions, gifts, grants paid	1,648,000			1,648,000
	26	Total expenses and disbursements. Add lines 24 and 25	3,087,167	265,751		2,145,347
	27	Subtract line 26 from line 12	3,007,107	203,731		2,173,347
	а	Excess of revenue over expenses and				
	Ь	disbursements Net investment income (if negative, enter -0-)	344,564			
	c	Adjusted net income (if negative, enter -0-)		3,067,924		
For		work Reduction Act Notice, see instructions.	I	Cat No 11289)	I For	<u>l</u> m 990-PF (2018)

2,898

161

44.318.693

250,000

45,266,399

44.318.693

45,266,399

45,266,399

45,266,399

45,266,399

1

2

3

4

5

6

50,679,869

51,024,433

5,758,034

45,266,399 Form **990-PF** (2018)

344,564

50,679,869

50,679,869

50,679,869

250,000

	3	Accounts receivable ▶		
		Less allowance for doubtful accounts ▶		
	4	Pledges receivable ▶		
		Less allowance for doubtful accounts ▶		
	5	Grants receivable		
	6	Receivables due from officers, directors, trustees, and other		
		disqualified persons (attach schedule) (see instructions)		
	7	Other notes and loans receivable (attach schedule)		
		Less allowance for doubtful accounts ▶		
ķ	8	Inventories for sale or use		
Assets	9	Prepaid expenses and deferred charges	2,898	2,89
As	10a	Investments—U S and state government obligations (attach schedule)		
	ь	Investments—corporate stock (attach schedule)		
	c	Investments—corporate bonds (attach schedule)		
	11	Investments—land, buildings, and equipment basis ►		
		Less accumulated depreciation (attach schedule) ►	742	16
	12	Investments—mortgage loans		

13 Investments—other (attach schedule) 48,508,819 14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) <u>چ</u> Other assets (describe > _ 250,000 15 Total assets (to be completed by all filers—see the 16 instructions Also, see page 1, item I) 50,679,869 17 Accounts payable and accrued expenses . 18 Grants payable Deferred revenue . 19 20 Loans from officers, directors, trustees, and other disqualified persons 21 Mortgages and other notes payable (attach schedule). Other liabilities (describe ▶_ 22

Total liabilities(add lines 17 through 22)

Foundations that follow SFAS 117, check here ▶

and complete lines 24 through 26 and lines 30 and 31.

Foundations that do not follow SFAS 117, check here ▶

Capital stock, trust principal, or current funds

Paid-in or capital surplus, or land, bldg, and equipment fund

Retained earnings, accumulated income, endowment, or other funds

Total net assets or fund balances (see instructions)

Total liabilities and net assets/fund balances (see instructions) .

Analysis of Changes in Net Assets or Fund Balances

Total net assets or fund balances at beginning of year-Part II, column (a), line 30 (must agree with end-

Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30

Liabilities

or Fund Balances

Net Assets

23

24

25

26

27

28

29

30

31

Part III

2

3

4

Unrestricted

Temporarily restricted

Permanently restricted

and complete lines 27 through 31.

of-year figure reported on prior year's return)

Other increases not included in line 2 (itemize)

Enter amount from Part I, line 27a

Add lines 1, 2, and 3

Decreases not included in line 2 (itemize) ▶

	e the kınd(s) of property sold (e g , rehouse, or common stock, 200 shs	MLC Co) P—	(b) v acquired Purchase Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1aSee Additional Data Table	9				
b					
С					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other b plus expense of		Gain o	h) r (loss)) minus (g)
a See Additional Data Table					
b					
С					
d					
e					
Complete only for assets	showing gain in column (h) and ow	ned by the foundation on 12/	31/69	(I)
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col over col (j), if	` '	Gains (Col (col (k), but not	h) gain minus less than -0-) or om col (h))
a See Additional Data Table		(3),	,	·	
b					
С					
d					
e					
	ain or (loss) as defined in sections I t I, line 8, column (c) (see instruction	, , , , ,	}	3	2,359,619
Part V Qualification U	Inder Section 4940(e) for Re	duced Tax on Net Inve	stment In	come	
_	rivate foundations subject to the sec				
If section 4940(d)(2) applies, le Was the foundation liable for the	•	ole amount of any year in the			es 🗸 No
1 Enter the appropriate am	ount in each column for each year,	see instructions before makir	ig any entrie:	5	
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use a	ssets	(d) Distribution rati (col (b) divided by c	ol (c))
2017	2,641,057	· · · · · · · · · · · · · · · · · · ·	08,583		0 057404
2016	3,201,494		23,089		0 077102
2015	2,828,570		33,534		0 063802
2014	3,849,749		22,372		0 084198
2013	3,619,392		88,056	I	0 079919
2 Total of line 1, column (c	•		2		0 362425
number of years the foun	for the 5-year base period—divide to dation has been in existence if less incharitable-use assets for 2018 from	than 5 years	y the 3		0 072485 48,102,013
5 Multiply line 4 by line 3			5		3,486,674
6 Enter 1% of net investme	ent income (1% of Part I, line 27b)		6		30,679
			7		3,517,353
	ons from Part XII, line 4		8		2,145,347
	ater than line 7, check the box in Pa				

Page **6**

	rt VIII-B Statements Regard	lina	Activities for Which	Form 4720 May Bo	Peguired (contin	ued)				age o
				Form 4720 May Be	Required (contin	ieu)		l	V	N _a
5a	(4) 6								Yes	No
			e attempt to influence legislation (section 4945(e))? Yes Vo							
	(2) Influence the outcome of any specific public election (see section 4955), or to carry									
	on, directly or indirectly, any voter registration drive?									
	(3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described									
	in section 4945(d)(4)(A)? See ii				·	_	1			
	(5) Provide for any purpose other ti					s 🗸	No			
	educational purposes, or for the					_	1			
b	If any answer is "Yes" to $5a(1)-(5)$,		•		Ye ceptions described in	s 🗸	No			
_	Regulations section 53 4945 or in a		=					5b		
	Organizations relying on a current n				_	• _	1			
c	If the answer is "Yes" to question 5a						J			
_	tax because it maintained expenditu			•		_	1			
	If "Yes," attach the statement requi		· · · · · · · · · · · · · · · · · · ·			s	No			
6a	Did the foundation, during the year,		· -		ims on					
•	a personal benefit contract?				_	_	1			
ь	Did the foundation, during the year,				nefit contract?	s	No	6ь		No
_	If "Yes" to 6b, file Form 8870	F /	F,,,	,, F						
7a	At any time during the tax year, wa	s the	foundation a party to a p	prohibited tax shelter trai	nsaction?	. /	1			
	If yes, did the foundation receive ar				⊔ Ye	s . ⊻	No	7ь		
8	Is the foundation subject to the sec		•							
	excess parachute payment during th	ne ye	ar [?]		· ·		1			
	Information About (Ye		No mplo	VOOC		
Pa	and Contractors	,,,,	ers, Directors, Trust	iccs, i odiladiloli i-ic	inagers, mgmy r	ala L	шрю	y ccs,	,	
_	List all officers, directors, truste	ac f	nundation managers ar	nd their compensation	See instructions					
	List an officers, uncetors, trustee		(b) Title, and average	(c) Compensation (If	(d) Contribution	s to	Τ,,	_		
	(a) Name and address		hours per week	not paid, enter	employee benefit pla	ns and	71 ` 1		nse acc Ilowan	
			devoted to position	-0-)	deferred compens					
	T BRITTINGHAM	30 0	SIDENT 0	150,000			이			9,042
	E VALLEY ROAD 703 A BARBARA, CA 93108									
ELLA	BRITTINGHAM		AND SECRETARY	100,000	0					92
	E VALLEY ROAD 703	30 0	0							
<u>SAN I</u>	A BARBARA, CA 93108 Compensation of five highest-pa	ida	nnlauges (ather than t	hasa ingludad an lina 1	soo instructions	Tf no	<u> </u>	ntor "	NONE	"
	Compensation of five nignest-pa	ia ei	npioyees (other than ti	lose included on line 1	(d) Contribution		ne, e	nter	NONE	•
(a)	Name and address of each employee	paid	(b) Title, and average	(-) (employee bene		(e)	Expen	se acco	ount,
	more than \$50,000		hours per week devoted to position	(c) Compensation	plans and defer		ot	her all	owanc	es
	T-11/01 FR 0111 TH 0		'	=1.00	compensation					
	TALYN FROHLING		FOUNDATION ADMINISTR	51,00	90	0				1,025
	E VALLEY ROAD 703 ΓΑ BARBARA, CA 93108		30 00							
	IE A CONROY		FOUNDATION	28,60	<u>, </u>	8,167				7,069
	E VALLEY ROAD 703		ADMINISTR	20,00		0,107				7,005
	TA BARBARA, CA 93108		35 00							
	•									
			1							
			1							
			1							
Tota	l number of other employees paid ov	er \$5	0,000		 •	•				n
	1 / 1		•	<u> </u>			For	m 99	0-PF	(2018)
										. ,

Part VIII Information About Officers, Directors, Trus and Contractors (continued)	,	,
3 Five highest-paid independent contractors for professional	services (see instructions). If none, enter "NO	NE".
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
FIDELITY INVESTMENTS	INVESTMENT ADVISOR FEES	90,600
PO BOX 28019		,
ALBUQUERQUE, NM 87125		
Total number of others receiving over \$50,000 for professional service	s	0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Incorganizations and other beneficiaries served, conferences convened, research pape		Expenses
1		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation 1	during the tax year on lines 1 and 2	Amount
		1
2		
		-
All other program-related investments See instructions 3		
		1
Total Add lines 1 through 2		_
Total. Add lines 1 through 3	<u> </u>	' 0 Form 990-PF (2018)

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for

1b

2

3a 3h

4

5

2.145.347

2.145.347

Form 990-PF (2018)

Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4

Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,

Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

Amounts set aside for specific charitable projects that satisfy the

the section 4940(e) reduction of tax in those years

2

3

4

5

2,061,104

2,061,104

Form **990-PF** (2018)

0

2018

Page 9

90-PF	(2	01	8)
			-	

0-PF	(20	018)	

- Undistributed Income (see instructions)
- Distributable amount for 2018 from Part XI, line 7

(a)

Corpus

5.682.768

84.243

5,767,011

1.391.371

4,375,640

1.616.484 634,940

973.372

84.243

1,066,601

(b)

Years prior to 2017

(c)

2017

- 2 Undistributed income, if any, as of the end of 2018 a Enter amount for 2017 only.
- **b** Total for prior years
- Excess distributions carryover, if any, to 2018 1,391,371 1,616,484

- a From 2013. **b** From 2014. c From 2015. . .
- 634 940 d From 2016. 973.372 1,066,601 e From 2017.
- f Total of lines 3a through e. 4 Qualifying distributions for 2018 from Part

d Applied to 2018 distributable amount. . . . e Remaining amount distributed out of corpus

same amount must be shown in column (a))

5 Excess distributions carryover applied to 2018

a Corpus Add lines 3f, 4c, and 4e Subtract line 5

applied on line 5 or line 7 (see instructions) . . .

Subtract lines 7 and 8 from line 6a

9 Excess distributions carryover to 2019.

10 Analysis of line 9

a Excess from 2014. . .

c Excess from 2016. . . .

d Excess from 2017. . .

e Excess from 2018. . .

b Excess from 2015. .

(If an amount appears in column (d), the

6 Enter the net total of each column as

b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. d Subtract line 6c from line 6b Taxable amount e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see f Undistributed income for 2018 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2013 not

indicated below:

XII, line 4 🕨 \$ a Applied to 2017, but not more than line 2a **b** Applied to undistributed income of prior years (Election required—see instructions). c Treated as distributions out of corpus (Election

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

THE FOUNDATION EVALUATES GRANT REQUESTS QUARTERLY FROM CERTAIN COUNTIES IN THE STATES OF CALIFORNIA AND COLORADO

factors



(a) (b) (c) (u)	Enter gross amounts unless otherwise indicated		Unrelated bu	isiness income	Excluded by section	(e) Related or exempt	
b c d d e e	_						function income (See instructions)
d g Fees and contracts from government agencies Membership dues and assessments. Interest on savings and temporary cash investments Investment income or (loss) from personal property Investment income or (loss) from personal property Investment income or (loss) from sales of assets other than inventory Investment income or (loss) from sales of inventory Investments I							
g Fees and contracts from government agencies 2 Membership dues and assessments. 3 Interest on savings and temporary cash investments 4 Dividends and interest from securities. 5 Net rental income or (loss) from real estate a Debt-financed property. 6 Not debt-financed property. 7 Other investment income. 9 Net rental income or (loss) from personal property 7 Other investment income. 14 98,056 8 Gann or (loss) from special events 16 3,900 17 Other investment income. 18 Gann or (loss) from special events 19 Net income or (loss) from sales of inventory 10 Other revenue a b c d d e 2 Subtotal Add columns (b), (d), and (e). 2 Subtotal Add columns (b), (d), and (e). 3 Total. Add line 12, columns (b), (d), and (e). 5 Relationship of Activities to the Accomplishment of Exempt Purposes Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (See							
g Fees and contracts from government agencies 2 Membership dues and assessments							
g Fees and contracts from government agencies Membership dues and assessments. Interest on savings and temporary cash investments Investments It is interest from securities. It is interest on savings and temporary cash investments It is investment income or (loss) from real estate It is investment income or (loss) from personal property It is investment income. It is investment investment income. It is inves							
g Fees and contracts from government agencies 2 Membership dues and assessments							
Membership dues and assessments. Interest on savings and temporary cash investments							
Investments 14 62,551 A Dividends and interest from securities. 14 907,605 Net rental income or (loss) from real estate a Debt-financed property. b Not debt-financed property. Cother investment income. Cother income incom	_						
A Dividends and interest from securities							
Solution Process of Soluti							
a Debt-financed property					14	907,605	
b Not debt-financed property							
6 Net rental income or (loss) from personal property 7 Other investment income					16	3 900	
7 Other investment income						2,500	
Inventory Net income or (loss) from special events Gross profit or (loss) from sales of inventory Other revenue a b c d e Subtotal Add columns (b), (d), and (e). Total. Add line 12, columns (b), (d), and (e). See worksheet in line 13 instructions to verify calculations) Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See					14	98,056	
9 Net income or (loss) from special events 0 Gross profit or (loss) from sales of inventory 1 Other revenue a b c d e 2 Subtotal Add columns (b), (d), and (e). 3 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations) Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See		, ,					
O Gross profit or (loss) from sales of inventory 1 Other revenue a b c d e 2 Subtotal Add columns (b), (d), and (e). 3 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations) Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See		,			14	2,359,619	
1 Other revenue a b c d e 2 Subtotal Add columns (b), (d), and (e). 3 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations) Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See							
b c d d d d d d d d d d d d d d d d d d			-				
c							
d			1				
Subtotal Add columns (b), (d), and (e). Total. Add line 12, columns (b), (d), and (e). See worksheet in line 13 instructions to verify calculations) Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See							
2 Subtotal Add columns (b), (d), and (e). 3 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations) Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See	e						
3,431,731 (See worksheet in line 13 instructions to verify calculations) Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See				0		3.431.731	(
Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See							
Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See							
	raru AV.	Relationship of Activities to the	e Accomplish	ment of Exem	ot Purposes		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		
	ine No.	Explain below how each activity for which the accomplishment of the foundation's ex	income is report	ed in column (e) of	Part XVI-A contribu		

, (20	,10)
П	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
	Exclipt Organizations

Part	XATI	Exempt Organi	zations								
1 Did	the org	anization directly or in han section 501(c)(3)	directly enga						n 501	Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of											
(1) Cash									. 1a(1)		No
` '		assets							. 1a(2)		No
		sactions									
		of assets to a nonchar							. 1b(1)		No
		ases of assets from a r l of facilities, equipmer							1b(2)		No No
		oursement arrangemen	· ·						. 1b(3)		No
		or loan guarantees.							. 1b(5)		No
		nance of services or m							. 1b(6)		No
٠.		facilities, equipment, n	•	-					. 1c		No
		er to any of the above									
		ls, other assets, or ser saction or sharing arra									
Ша	ny uan	saction or snaring arra	ngement, sn	ow in column (e	u) the value of the	ne goods, i	ouner asse	is, or services receiv	eu		
(a) Line	No	(b) Amount involved	(c) Name of	noncharitable exe	mpt organization	(d) De	escription of	transfers, transactions,	and sharing arra	ngemen	ts
2a Is th	ne foun	dation directly or indire	ectly affiliate	d with, or relate	d to, one or mo	e tax-exer	mpt organı	zations	_		
		n section 501(c) (othei		n 501(c)(3)) or	ın section 527? .			∐Ye	s 🔽 No		
b If "\	es," co	mplete the following s									
		(a) Name of organization	on	(1	b) Type of organiza	ation		(c) Description	of relationship		
	of my	r penalties of perjury, vknowledge and belief n preparer has any kno	, it is true, c								
Sign	*	****	Wieuge		2019-11-11		*****		May the IRS di	scuss th	nis
Here						—)			with the prepa below	rer shov	vn
	' s	ignature of officer or t	rustee		Date	<u>, </u>	Title		(see instr)?	√ Yes	□ _{No}
		Print/Type preparer's	name	Preparer's Sigi	nature	Date		Check if self-	PTIN		
Do:-J		CHRISLEY N REED	СРА					employed ▶ □	P00025	230	
Paid Prep	arer	Francis access to 1400	OMANI CURT	T D M A NINI							
Use (OWAN GUNT					F	irm's EIN ▶95	-36801	.71
		Firm's address ► 11	1 E VICTORI	A ST 2ND FLOO	PR						
SANTA BARBARA, CA 931012018					Phone no (805) 962-9	9175				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d List and describe the kind(s) of property sold (e g , real estate, (b) (c) (d) How acquired (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) Date acquired Date sold P-Purchase (mo, day, yr) (mo, day, yr) D-Donation FIDELITY #4790 Р 2018-06-30 2018-12-31 1 FIDELITY #4790 Ρ 2017-06-30 2018-12-31 FIDELITY #4774 Р 2018-06-30 2018-12-31 FIDELITY #4774 Р 2017-06-30 2018-12-31 MERRILL LYNCH Р 2018-06-30 2018-12-31 Р CITI BANK 2017-06-30 2018-12-31 Р CITI BANK 2018-06-30 2018-12-31 CAPITAL GAINS DIVIDENDS Р RCG K-1 Ρ MISCELLANEOUS Р Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h (e) Gross sales price Depreciation allowed Cost or other basis Gain or (loss) (f) (or allowable) (g) plus expense of sale (h) (e) plus (f) minus (g) 49,326 272,188 222,862 68,458 69,313 -855 70,077 71,572 -1.4952,671,643 597,357 2,074,286 3,712 -3,71225,687 -25,687 20,620 20,620 233,601 233,601 9,800 9,800 3,735 3,735 Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Gains (Col (h) gain minus col (k), but not less than -0-) or (i) F M V as of 12/31/69 Adjusted basis Excess of col (i) **(I)** Losses (from col (h)) (k) over col (j), if any (j) as of 12/31/69 49,326 -855 -1,495 2,074,286 -3,712-25,687 20,620 233,601 9,800 3,735

Recipient Foundation Purpose of grant or If recipient is an individual, Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year ADVANCEMENT PROJECT NONE PC GENERAL SUPPORT 1,000 1910 W SUNSET BLVD 500

LOS ANGELES, CA 90026				
ANGELS FOSTER CARE 3905 STATE STREET 7-115 SANTA BARBARA, CA 93105	NONE	PC	GENERAL SUPPORT	5,000
ASPEN CENTER FOR ENVIRONMENTAL STUDIES 100 PUPPY SMITH ST ASPEN, CO 816111451	NONE	PC	GENERAL OPERATING SUPPORT	11,000

Total .

1,648,000

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year ASPEN INSTITUTE INC NONE PC **GENERAL** 3,500

2300 N STREET NW 700 WASHINGTON, DC 20037				,
ASPEN VALLEY HOSPITAL FOUNDATION 0401 CASTLE CREEK RD ASPEN, CO 816111451	NONE	PC	GENERAL	1,000
BOYS & GIRLS CLUB OF SANTA	NONE	PC	GENERAL	3,500

1,648,000

BARBARA

Total .

632 E CANNON PERDIDO ST SANTA BARBARA, CA 93103

Recipient If recipient is an individual, Purpose of grant or Amount Foundation show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year				
CASA DEL HERRERO FOUNDATION 1387 EAST VALLEY ROAD	NONE	PC	GENERAL	1,000

1387 EAST VALLEY ROAD MONTECITO, CA 93108				
COASTAL RANCHES CONSERVANCY 1482 E VALLEY RD SUIRE 135 SANTA BARBARA, CA 93108	NONE	PC	GENERAL OPERATING SUPPORT	5,000

1482 E VALLEY RD SUIRE 135 SANTA BARBARA, CA 93108	NONE		GENERAL OF ENATING SOFT ON	3,000
COMMUNITY COUNSELING CENTER INC 923 OLIVE STREET SANTA BARBARA, CA 931011447	NONE	PC	SPANISH SPEAKING FAMILIES PROGRAM	1,000

Total			▶ 3a	1,648,000
COMMUNITY COUNSELING CENTER INC 923 OLIVE STREET SANTA BARBARA, CA 931011447	NONE	PC	SPANISH SPEAKING FAMILIES PROGRAM	1,00
SANTA BARBARA, CA 93108				

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor

a Paid during the year				
COMMUNITY ENVIRONMENTAL COUNCIL 26 W ANAPAMU ST 2ND FLOOR	NONE	PC	GENERAL	2,500
CANTA BADBADA CA 02101				

ANE COUNTRY DAY SCHOOL 95 SAN LEANDRO LANE NAT BARBARA, CA 931082639	NONE	PC	ANNUAL FUND
		1	

Total .

CRANE COUNTRY DAY SCHOOL 1795 SAN LEANDRO LANE SANAT BARBARA, CA 931082639	NONE	PC	ANNUAL FUND	10,000
DREAM FOUNDATION 1528 CHAPALA ST SUITE 304 SANAT BARBARA. CA 931018821	NONE	PC	GENERAL OPERATING SUPPORT	5,000

1,648,000

SANAT BARBARA, CA 931082639				
DREAM FOUNDATION 1528 CHAPALA ST SUITE 304 SANAT BARBARA, CA 931018821	NONE	PC	GENERAL OPERATING SUPPORT	5,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year FAMILY THERAPY INSTITUTE OF SANTA NONE PC GENERAL 1,000 BARBARA ADDELLACA CT

SANTA BARBARA, CA 93101				
FOUR WINDS WESTWARD HO CAMP PO BOX 140 DEER HARBOUR, WA 98243	NONE	PC	GENERAL OPERATING SUPPORT	10,000
FRACTURED ATLAS PRODUCTIONS INC 248 WEST 35TH ST 10TH FLOOR	NONE	PC	GENERAL OPERATING SUPPORT	62,000

DEER HARBOUR, WA 98243				
FRACTURED ATLAS PRODUCTIONS INC 248 WEST 35TH ST 10TH FLOOR NEW YORK, NY 10001	NONE	PC	GENERAL OPERATING SUPPORT	62,000

Total .

1,648,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment Recipient Purpose of grant or If recipient is an individual, Foundation Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year FUND FOR SANTA BARBARA INC. NONE PC. GENERAL OPERATING SUPPORT 25.000 26 W ANAPAMU ST SUITE 100 SANTA BARBARA, CA 93101

GOOD SAMARITAN HOSPITAL 1225 WILSHIRE BOULEVARD ANGELES, CA 90017	NONE	PC	GENERAL OPERATING SUPPORT	1,000
GREATER GOODS145 W EL ROBLAR DR	NONE	PC PC	GENERAL	10.000

ANGELES, CA 90017				
GREATER GOODS145 W EL ROBLAR DR OJAI, CA 930238304	NONE	PC	GENERAL	10,000

Total . .

1,648,000

▶ 3a

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year HEAL THE OCEANPO BOX 90106 NONE PC GENERAL OPERATING SUPPORT 10,000 SANTA BARBARA, CA 93109

KCRW FOUNDATION1900 PICO BLVD SANTA MONICA, CA 90405	NONE	PC	GENERAL	1,000
LAS MADRINASA650 W SUNSET BLVD 4	NONE	DC.	GENERAL	25,000

SANTA MONICA, CA 90405				
LAS MADRINAS4650 W SUNSET BLVD 4 LOS ANGELES, CA 90027	NONE	PC	GENERAL	25,000

1,648,000

SANTA MONICA, CA 90405				
LAS MADRINAS4650 W SUNSET BLVD 4	NONE	PC	GENERAL	25,0

Total .

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment Recipient Purpose of grant or If recipient is an individual, Foundation Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year LOBERO THEATRE FOUNDATION NONE PC GENERAL OPERATING SUPPORT 1.000 33 E CANON PERDIDO ST SANTA BARBARA, CA 931012213

LOS PADRES FOREST WATCH PO BOX 831 SANTA BARBARA, CA 931020831	NONE	PC	GENERAL OPERATING SUPPORT	1,000
MAYO CLINICAGO EIRST ST SW	NONE	DC.	GENERAL	49.000

SANTA BARBARA, CA 931020831				
MAYO CLINIC200 FIRST ST SW ROCHESTER, MN 55905	NONE	PC	GENERAL	49,000

1,648,000

▶ 3a

SANTA BANBANA, CA 931020031				
MAYO CLINIC200 FIRST ST SW	NONE	PC	GENERAL	49,0

Total . .

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year MISSION SPRINGS CHRISTIAN CAMP NONE PC **GENERAL** 25,000 1050 LOCKHART GULCH RD SCOTTS VALLEY, CA 95066 OΩ

MONTECITO TRAILS FOUNDATION PO BOX 5481 SANTA BARBARA, CA 93150	NONE	PC	GENERAL	10,000
PLANNED PARENTHOOD SANTA BARBARA 518 GARDEN ST	NONE	PC	GENERAL	10,000

5. 11.17.1 27.11.12.11.11.1, G.1. 30200				
PLANNED PARENTHOOD SANTA BARBARA 518 GARDEN ST SANTA BARBARA, CA 93101	NONE	PC	GENERAL	10,000
Total			▶ 3a	1,648,000

Recipient Foundation Purpose of grant or If recipient is an individual, Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year SANTA BARBARA CENTER FOR THE NONE PC. GENERAL OPERATING SUPPORT 2.500 PERFORMING ARTS INC 1330 STATE ST SANTA BARBARA, CA 931012608

SANTA BARBARA FIREFIGHERS ALLIANCE PO BOX 3776 SANTA BARBARA, CA 931300772	NONE	PC	GENERAL	10,000
SANTA BARBARA MUSEUM OF ART 1130 STATE STREET	NONE	PC	GENERAL OPERATING SUPPORT	1,000

PO BOX 3776 SANTA BARBARA, CA 931300772				
SANTA BARBARA MUSEUM OF ART 1130 STATE STREET SANTA BARBARA. CA 931010000	NONE	PC	GENERAL OPERATING SUPPORT	1,000

Total .

3a

1,648,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year SANTA BARBARA MUSEUM OF NATURAL NONE PC GENERAL OPERATING SUPPORT 81,000 HISTORY 2559 PUESTA DEL SOL SANTA BARBARA, CA 931052936 SCHOLARSHIP FOUNDATION OF SANTA NONE PC. CSUCI BSN NURSING PROGRAM 20,000 BARBARA AT COTTAGE SCHOLARSHIPS PO BOX 3620 SANTA BARBARA, CA 931303620

PC

CARDINAL SERVICE SEED

SUMMER INTERNSHIP PROGRAM

За

1,000,000

1,648,000

NONE

STANFORD UNIVERSITY

STANFORD, CA 943056010

434 GALVEZ MALL

Total . .

Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business)

or substantial contributor

	or substantial contributor			
a Paid during the year				
STANFORD UNIVERSITY 434 GALVEZ MALL	NONE	PC	ATHLETIC DEPARTMENT	10,000

STANFORD, CA 943056010				
SURF HAPPENS FOUNDATION 13 EAST HALEY ST SANTA BARBARA, CA 93101	NONE	PC	GENERAL OPERATING SUPPORT	10,000

SURF HAPPENS FOUNDATION 13 EAST HALEY ST SANTA BARBARA, CA 93101	NONE	PC	GENERAL OPERATING SUPPORT	10,000
THACHER SCHOOL INC 5025 THACHER RD 01A1 CA 930238304	NONE	PC	GENERAL OPERATING	25,000

Total			> 3a	1,648,000
THACHER SCHOOL INC 5025 THACHER RD OJAI, CA 930238304	NONE	PC	GENERAL OPERATING	25,000
SANTA BARBARA, CA 93101				

Recipient Foundation Purpose of grant or If recipient is an individual, Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year THE LAND TRUST FOR SANTA BARBARA NONE PC GENERAL OPERATING SUPPORT 1,000 COUNTY PO BOX 91830 CANTA DADDADA CA 021001020

SANTA BARBARA, CA 931901030				
TRANSITION HOUSE425 E COTA ST SANTA BARBARA, CA 93101	NONE	PC	GENERAL	5,000
UNIVERSITY OF SOUTHERN CALIFORNIA 3501 WATT WAY HERITAGE HALL 203A LOS ANGELES CA 900890602	NONE	PC	ATHLETIC DEPARTMENT	40,000

Total .

1,648,000

If recipient is an individual, Foundation Purpose of grant or Recipient Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year UNIVERSITY OF SOUTHERN NONE PC GENERAL 1,000 CALIFORNIA 3501 WATT WAY HERITAGE HALL 203A LOS ANGELES, CA 900890602 UNIVERSITY OF WISCONSIN NONE PC SCOTT AND FILA BRITTINGHAM 120,000 FOUNDATION VIKING FUND 1848 UNIVERSITY AVE MADISON, WI 537264090 NONE PC **GENERAL** 1,000 VISITING NURSE & HOSPICE CARE OF

1,648,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

SANTA BARBARA 512 E GUTIERREZ ST SANTA BARBARA, CA 93103

Total . .

Recipient If recipient is an individual, show any relationship to status of reconstribution Stat

recipient

1,648,000

any foundation manager

or substantial contributor

Name and address (home or business)

Total

	or substantial contributor			
a Paid during the year				
WILDERNESS YOUTH PROJECT INC 5386 HOLLISTER AVE D SANTA BARBARA, CA 93111	NONE	PC	GENERAL	10,000

SANTA BARBARA, CA 93111				
WILLIAMS COLLEGE75 PARK STREET WILLIAMSTOWN, MA 012673163	NONE	PC	ANNUAL FUND	20,000

efile GRAPHIC print - DO NOT F	ROCESS	As Filed Da	ata -			DLN: 93491319029839
TY 2018 Accounting Fees Schedule						
Name: BRITTINGHAM FAMILY FOUNDATION EIN: 26-2992045						
Category	Am	ount		nvestment ncome	Adjusted Net Income	Disbursements for Charitable Purposes

16,388

55,887

72,275 ACCOUNTING FEES

etile GRAPHIC print -	DO NOT PROCESS	AS Filed Data -	DLN: 93491319029839

TY 2018 Investments - Other Schedule

Name: BRITTINGHAM FAMILY FOUNDATION

FTN: 26-2992045

EIN: 20-	2992043		
Investments Other Schedule 2			
Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FIDELITY #4790	AT COST	7,303,654	7,303,654
FIDELITY #4774	AT COST	22,877,051	22,877,051
CITI	AT COST	228,336	228,336
MERRILL LYNCH	AT COST	1,013,499	1,013,499
RUANE	AT COST	2,811,984	2,811,984
1561 EAST VALLEY ROAD	AT COST	5,100,000	5,100,000
1857 SAN LEANDRO	AT COST	2,289,001	2,289,001
SONOS	AT COST	707,040	707,040
HARBOUR REALTY	AT COST	1,373,261	1,373,261
RCG VENTURES	AT COST	153,304	153,304
EMMETT OCHS	AT COST	461,563	461,563

efile GRAPHIC print - DO NOT PROCE	SS As Filed Data	-	DL	N: 93491319029839	
TY 2018 Legal Fees Schedu	ule			_	
Nar	ne: BRITTINGHA	M FAMILY FOUND	ATION		
EIN: 26-2992045					
		<u> </u>		1	
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes	
LEGAL FEES	6,118	0		6,118	

Description

efile GRAPHIC print - DO NOT PROCESS

DLN: 93491319029839

End of Year - Fair

Market Value

250,000

Name: BRITTINGHAM FAMILY FOUNDATION

Other Assets Schedule

NOTE RECEIVABLE

Beginning of Year -

Book Value

250,000

End of Year - Book

Value

250,000

EIN: 26-2992045

As Filed Data -

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319029839				
TY 2018 Other Decreases Schedule						
Name:	BRITTINGHAM FAMILY FOU	INDATION				
EIN:	26-2992045					
Description		Amount				

UNREALIZED LOSS

5,758,034

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -		DLN	l: 93491319029839
TY 2018 Other Expenses Sche	dule			
EIN:	BRITTINGHAM F 26-2992045	FAMILY FOUNDAT	TION	
Other Expenses Schedule Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES	187,816	187,816		0
INSURANCE	836	0		836
PROPERTY	103,005	0		103,005

As Filed Data -

Name: BRITTINGHAM FAMILY FOUNDATION

Description

Other Income Schedule

MISCELLANEOUS

efile GRAPHIC print - DO NOT PROCESS

EIN: 26-2992045

0

98,056

Revenue And **Expenses Per Books**

98,056

Net Investment Income

Adjusted Net Income

DLN: 93491319029839

efile GRAPHIC print - DO NOT PROCESS	S As Filed Data	DLN: 93491319029839				
TY 2018 Other Professional	Fees Schedul	le				
Name: BRITTINGHAM FAMILY FOUNDATION EIN: 26-2992045						
LIN. 20-23320+3						
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable		

41,182

24,047

Purposes

CONTRACT SERVICES

efile GRAPHIC print - DO NOT PR	ROCESS As Filed Data	-	DLN: 93491319029839	
TY 2018 Taxes Schedule				
Name: BRITTINGHAM FAMILY FOUNDATION EIN: 26-2992045				
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	25,243	0		25,243
EXCISE TAXES	587,989	0		0