EXTENDED TO NOVEMBER 15, 2017 Return of Private Foundation

Form **990-PF**

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public. Information about Form 990-PF and its separate instructions is at www irs gov/form990pf OMB No 1545-0052

For calendar year 2016 or tax year beginning and ending Name of foundation A Employer identification number DAVID HOCKNEY FOUNDATION INC 26-2906501 C/O CAROL SAVOIE, DELOITTE TAX Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number 555 W. 5TH STREET 2700 213-996-5785 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here LOS ANGELES, CA 90013-1010 Initial return G Check all that apply: Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change X Section 501(c)(3) exempt private foundation H Check type of organization; E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J Accounting method: | X | Cash Accruat If the foundation is in a 60-month termination Other (specify) under section 507(b)(1)(B), check here (from Part II, col. (c), line 16) 195, 860, 000. (Part I, column (d) must be on cash basis) ▶\$ Part I Analysis of Revenue and Expenses (d) Disbursements for charitable purposes (cash basis only) (a) Revenue and (b) Net investment (c) Adjusted net (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) expenses per books ıncome 7,968,344 Contributions, gifts, grants, etc., received 2 Check If the foundation is not required to attach Sch. B 3 Interest on savings and temporary cash investments 4 Dividends and interest from securities (W) . (...) NOV 17 2017 5a Gross rents b Net rental income or (loss) 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a TOTAL CONTRACTOR OF THE PARTY O 0 7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain 0. DEC 9 Income modifications · (0/9/9/9 000000.8 10a Gross sales less returns and allowances b Less Cost of goods sold SCANNED c Gross profit or (loss) 11 Other income 7,968,344. 0. 0. 12 Total. Add lines 1 through 11 0. 0. 0. 0. Compensation of officers, directors, trustees, etc 93,624. 0. 93,624. 14 Other employee salaries and wages 0. 15 Pension plans, employee benefits Ō. 16a Legal fees STMT 1 15,505. 0. 15,505. 26,852. STMT 2 0. 26,852. **b** Accounting fees ٥. c Other professional fees STMT 3 81,383. 0. 81,383. 0. 17 Interest 15,221 STMT 4 18 Taxes 0. 0. 15,221. 30,470. 19 Depreciation and depletion 0. 0. 20 Occupancy 1,311. 21 Travel, conferences, and meetings 0. 0. 1,311. 22 Printing and publications STMT 5 203,978 0. 23 Other expenses 0. 203,978. 24 Total operating and administrative 468,344 expenses. Add lines 13 through 23 Ω. n 437,874. 25 Contributions, gifts, grants paid 0. med day 41.54 ٥. 26 Total expenses and disbursements. 468,344 Add lines 24 and 25 0 n 437,874. 27 Subtract line 26 from line 12: Jan V 500,000 & Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) 0. c Adjusted net income (if negative, enter -0-) anarith a 623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions.

C/O CAROL SAVOIE, DELOITTE TAX

		Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	Er	10 01	year
· P	arτ	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	İ	(c) Fair Market Value
	1	Cash - non-interest-bearing				
		Savings and temporary cash investments			\neg	
				Strong to annual strong to the	***	
,	3	Accounts receivable	* Shapen and the second		**********	
		Less: allowance for doubtful accounts	The second secon	***************************************	_	C Company Company
	4	Pledges receivable -	- ` ` ` ` \			**************************************
		Less: allowance for doubtful accounts				
	5	Grants receivable	<u> </u>		_	
	6	Receivables due from officers, directors, trustees, and other				
		disqualified persons				***************************************
	7	Other notes and loans receivable	**************************************		. 782 istax	
		Less; allowance for doubtful accounts				
'n	8	Inventories for sale or use				
ssets	9	Prepaid expenses and deferred charges				
As		Investments - U.S. and state government obligations				
	١	Investments - corporate stock				
		Investments - corporate bonds				
		.	**************************************		Down	
	11	Investments - land, buildings, and equipment basis	* , ,	25.5.5		
		Less accumulated depreciation				
	12	Investments - mortgage loans		<u> </u>	_	
	13	Investments - other	Supple 2000			* * * * * * * }
	14	Land, buildings, and equipment basis				
		Less accumulated depreciation		105 060 000		105 060 000
	15	Other assets (describe ► ARTWORK-SEE STMT 6_)	188,360,000.	195,860,000	.	195,860,000.
	16	Total assets (to be completed by all filers - see the			_	
		instructions. Also, see page 1, item I)	188,360,000.	195,860,000	0.	195,860,000.
	17	Accounts payable and accrued expenses				
	18	Grants payable				**************************************
w	19	Deferred revenue				
iabilities	20	Loans from officers, directors, trustees, and other disqualified persons				
Ē	21	Mortgages and other notes payable				
Ë		Other liabilities (describe				
	23	Total liabilities (add lines 17 through 22)	0.	(0.	
		Foundations that follow SFAS 117, check here				
		and complete lines 24 through 26 and lines 30 and 31.				
S	24	Unrestricted			1	s, a v , mode structibility on
č	25	Temporarily restricted				* * * * * * * * * * * * * * * * * * * *
ala	26	Permanently restricted				1
d B	20	Foundations that do not follow SFAS 117, check here				
5		and complete lines 27 through 31.				. * * * * * * * * * * * * * * * * * * *
5	27	Capital stock, trust principal, or current funds	0.	(ο.	you . In
ets	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.		0.	** * * * * * * * * * * * * * * * * * * *
188	29	Retained earnings, accumulated income, endowment, or other funds	188,360,000.	195,860,000		, ,,,,,,
Net Assets or Fund Balance	30	Total net assets or fund balances	188,360,000.	195,860,000		· • • •
ž	30	Total net assets of fund balances	100,500,000.	133,000,000	•	
	24	Total liabilities and net assets/fund balances	188,360,000.	195,860,000	n	**** * ;
_	31			133,000,000	•	
<u>P</u>	art:	Analysis of Changes in Net Assets or Fund Ba	alances			
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line	30			
		at agree with end-of-year figure reported on prior year's return)			1	188,360,000.
	,	amount from Part I, line 27a			2	7,500,000.
		r increases not included in line 2 (itemize)			3	0.
		lines 1, 2, and 3			4	195,860,000.
5	Decr	eases not included in line 2 (itemize)		ļ	5	0.
-		net assets or fund halances at end of year (line 4 minus line 5) - Part II or	dumn (h) line 30		-	195 860 000-

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8 Enter qualifying distributions from Part XII, line 4

Enter 1% of net investment income (1% of Part I, line 27b)

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

437,874.

6

0.

0.

7 Add lines 5 and 6

	1 990-PF (2016) C/O CAROL SAVOIE, DELOITTE TAX Int'VI Excise Tax Based on Investment Income (Section 4940)	(a), 49	940(b), 4940(e), or 4		2906 see ir		ction	Page 4
18	Exempt operating foundations described in section 4940(d)(2), check here and entire and entire and entire are a section 4940(d)(2).			agenci va		· · ·	٠,	
	Date of ruling or determination letter: (attach copy of letter if nece		•	didition.	(8.9%)	٠	v4 A	
ь	Domestic foundations that meet the section 4940(e) requirements in Part V, check here		-	1				0.
	of Part I, line 27b			******	'au '	ŵ,	ν,	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of	of Part I	I, line 12, col. (b). 丿		25°5;	·	· ·· ·	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Other	ers ente	er -0-)	2				0.
3	Add lines 1 and 2			3				0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Other	hers en	ter -0-)	4				0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			5			***************************************	0.
6	Credits/Payments:		•	20000000000000000000000000000000000000		aeragiejere Armonogen Kalandalasi	****** () **********************************	% S
8	2016 estimated tax payments and 2015 overpayment credited to 2016	68		***************************************	. ,	. \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		
	Exempt foreign organizations - tax withheld at source	6b	ARI JAN 8		W.Y.		•	
	Tax paid with application for extension of time to file (Form 8868)	6c		1 000	OQUURIEN CANSAN SERVERA ANNA Exemples Anna			
d	Backup withholding erroneously withheld	6d			westphysics, evi collected according	Pariso se	*	
7	Total credits and payments. Add lines 6a through 6d			7				0.
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attack	hed		8				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			9				0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		la	10_				
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax TayVII::A* Statements Regarding Activities		Refunded	11				
_						Ι.	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legisla	ation or	did it participate or intervene	ın		4.	163	+
	any political campaign?	/	444.64.64.64.6	١٥.		1a		X
O	Did it spend more than \$100 during the year (either directly or indirectly) for political purpose					1b		A
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and	а сори	es of any materials publisf	ea or				l.,
	distributed by the foundation in connection with the activities					1c		X
	Did the foundation file Form 1120-POL for this year? Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the y	/ρar•				1G	<i>λ</i>	1
u	(1) On the foundation. \triangleright \$ (2) On foundation managers.		0.			495334444 21.24	~	
م	Enter the reimbursement (if any) paid by the foundation during the year for political expenditu			•			× .	
·	managers. > \$0 .		mpooda on toundation			7,000		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS	52				2		X
_	If "Yes." attach a detailed description of the activities					, "	~~~	y esp
3	Has the foundation made any changes, not previously reported to the IRS, in its governing ins	strumer	nt, articles of incorporation, o	r		14.4/17		
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes					3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?					4a		Х
b	If "Yes," has it filed a tax return on Form 990-T for this year?			N	/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?					5		X
	If "Yes," attach the statement required by General Instruction T					***;	#8##PO-43	2000
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either	ier:					2 8,780,480	`
	By language in the governing instrument, or						``	
	By state legislation that effectively amends the governing instrument so that no mandatory of the state	directio	ons that conflict with the state	law				
_	remain in the governing instrument?					6	X	<u> </u>
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," comp	plete F	Part II, col (c), and Part XV	•		7	X	<u> </u>
						65. 105/444-11		
88	Enter the states to which the foundation reports or with which it is registered (see instructions CA	s) -				x.,,		
							** ·	
0	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Atto	orney G	eneral (or designate)				200	<u> </u>
9	of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of sections.	ion 404	10(1)(0) or 4040(1)(5) 4			8b	X	
3	year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," co.		+2(])(3) UI 4942(])(3) TOF CAIR	idaf			×	ļ:
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule	inplete	PAIT XIV			10		X
	Tes, attach a schedule	noung tr	IOII HOLLIES ALIU AUCT ESSES		For)-PF	(2016)

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Part VII: A Statements Regarding Activities (continued)

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11 Al any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach solidate (see instructions) 2 12 Did the foundation make a distribution to a donor advised turn over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 3 Did the foundation correply with the public inspection requirements for its annual returns and exemption application? Website address ▶ THERIOCKNISTYPOUNDATION. ORG 11 The books are one or or ▶ CAROL SAVOITE, DELOTITE TAX 12 Located at ▶ 555 W. 5TH ST., STB 2700, LOS ANGBLES, CA 13 Section 487(01) to necessing to intained trusts filting From 114. If "Yes," enter the name of the foreign country. ▶ UNITED X. The state of the section of the sectio				Yes	No
sections 12(D)(13)? If Yes, attach schridule (see instructions) 10 bid the foundation make a distribution to a dinor advised fund over which the foundation or a disqualified person had advisory privilegie? 11'Yes, attach statement (see instructions) 12 bid the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 bid the foundation comply with the public inspection requirements for its annual returns and exemption application? 14 The books are in care of bid CAROL SAVOIE, DELOTITE TAX Telephone no. \$\rightarrow\$ 213 - 996 - 578 5 15 Section 4947(a)(1) nearway that the public inspection from 900-Fit in laur of Form 1041 - Chack here and retire the around to tax-exempt interest received or accord during the year. 16 Aray time during calendar year 2016, dut the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 15 Section 4947(a)(1) nearway times is checked in the "Yes" column, unless an exception applies. 16 Any time fly one of the securities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 16 Duming they add the foundation of the "Yes" column, unless an exception applies. 17 Summits goods, services, or teating of properly with a disqualited person? 18 Section of the securities of the	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	1		ļ
12 Dut the foundation make a distribution to a donor advesed fund over which the foundation or a disqualified person had advesory privileges? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ THEHOCKNEYFOUNDATION. ORG Website address ▶ THEHOCKNEYFOUNDATION. ORG 14 The blooks are in ear of ▶ CAROLI, SAVOITE, DELOTITE TAX Located at ▶ 555 W. 5TH ST., STE 270.0, LOS ANGBLES, CA Located at ▶ 555 W. 5TH ST., STE 270.0, LOS ANGBLES, CA 15 Section 4987(4)1) monexempt charactise trust filing form 990-Fir in least of form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time diveng calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, enter the name of the foreign country ▶ UNITED KINGDOM Part VIII-B.] Statements Regarding Activities for Which Form 4720 May Be Required Filis Form 4720 if any them is checked in the "Yee" colum, unless an exception applies. 1a Dump the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money (a), or otherwise existed credit to (or accept if from) a disqualified person? (3) Firms floods, services, or refamilies to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reinfluse the expenses of, a disqualified person? (5) Transfer any mome or assets to adequalified person (or make a great to or to employ the official for a period after termination of government service, if termination within 90 days.) 1 If was not a received the tax year beginning in 2018? 2 Taxes on failure to distribution imcome (expending disaster assetance (see instructions)? (6) Agree to pay money or property to a g			11		Х
11	12				
13 Dut the foundation cample) with the public inspection requirements for its annual returns and exemption application? 14 The books are in care of CAROL SAVOIE, DELOITTE TAX Telephone no. 213-996-5785 15 Located at 555 N. 5TH ST., STE 2700, LoS ANGILES, CA Telephone no. 213-996-5785 16 Section 487(4)(1) tonexempt charactable trusts timing form 990-Fir lives of form 1041-Chack here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year of the manufacturing year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filling requirements for finice. If form 114, If "Yes," enter the name of the foreign country? UNITED KINGDOM Part VIII-B. Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 18 During the year did the foundation (either directly or indirectly). (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money from property to a government official? (Exception, Check 'No Yes No (3) Furnisher any incinent or acception the expenses of, a disqualified person? Yes No (4) Pay complexation in, or pay or remitures the expenses of, a disqualified person? Yes No (4) Pay complexation in, or pay or remitures the expenses of, a disqualified person? Yes No (4) Pay complexation in, or pay or remitures the expenses of, a disqualified person? Yes No (4) Pay complexation in, or pay or remitures the expenses of, a disqualified person or			12		Х
Western andress METHENCKNEYFOUNDATION.ORG	13		13	Х	
t.coated at \$ 555 W. 5TH ST., STE 2700, LOS ANGELES, CA 7P+4 \$ 90013 15 Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Ferm 1041 - Chack here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendary year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Set the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country \$ \text{UINTED KINGDOM} Part VII-B1. Statements Regarding Activities for Which Form 4720 May Be Required FILE Form 4720 if any fitten is checked in the "Yes" column, unless an exception applies. 10 During the year off the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Firmish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remitures the expenses of, a disqualified person? (5) Agrice to pay money or property to a government official? (Exception. Chick "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) 10 If any answers Vers 10 13(1)-60, did any of the actis fat to qualify under the exceptions described in Regulations section 33-491(0)-3 or in a current notice regarding dissater assistance (see instructions)? 10 If the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the taxyear beginning in 2016? 10 If the foundation hold more than 2% direct or indirect interest in any business enterprise at any time during the year? 10 If the foundation hold more than 2% direct or indirect i		Website address ► THEHOCKNEYFOUNDATION.ORG			
t.coated at \$ 555 W. 5TH ST., STE 2700, LOS ANGELES, CA 7P+4 \$ 90013 15 Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Ferm 1041 - Chack here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendary year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Set the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country \$ \text{UINTED KINGDOM} Part VII-B1. Statements Regarding Activities for Which Form 4720 May Be Required FILE Form 4720 if any fitten is checked in the "Yes" column, unless an exception applies. 10 During the year off the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Firmish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remitures the expenses of, a disqualified person? (5) Agrice to pay money or property to a government official? (Exception. Chick "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) 10 If any answers Vers 10 13(1)-60, did any of the actis fat to qualify under the exceptions described in Regulations section 33-491(0)-3 or in a current notice regarding dissater assistance (see instructions)? 10 If the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the taxyear beginning in 2016? 10 If the foundation hold more than 2% direct or indirect interest in any business enterprise at any time during the year? 10 If the foundation hold more than 2% direct or indirect i	14	The books are in care of ► CAROL SAVOIE, DELOITTE TAX Telephone no. ► 213-99	6-5	785	_
**A all any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, If "yes," enter the name of the foreign country \rightarrow Interest or exceptions and filing requirements for FinCEN Form 114, If "yes," enter the name of the foreign country \rightarrow \text{ Interest or exceptions and filing requirements for FinCEN Form 174. If "yes," enter the name of the foreign country \rightarrow \text{ Interest or exceptions and filing requirements for FinCEN Form 174. If "yes," enter the name of the foreign country \rightarrow \text{ Interest or exceptions and filing requirements for FinCEN Form 174. If "yes," enter the name of the foreign country \rightarrow \text{ Interest or exceptions and filing requirements for FinCEN Form 174. If "yes," enter the name of the foreign country \rightarrow \text{ Interest or exceptions and filing requirements for the foreign country \rightarrow \text{ Interest or exceptions and filing requirements for any time or country \rightarrow \text{ Interest or exceptions or or discountry in the foreign country \rightarrow \text{ Interest or exceptions or designation or or otherwise extend credit to (or accept in from) a disqualified person? \text{ Yes \text{ Interest or or or discountry or or exceptions or or discountry or or exceptions or or discountry or or or exceptions or or discountry or		Located at ► 555 W. 5TH ST., STE 2700, LOS ANGELES, CA ZIP+4 ►90	013		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securines, or other financial account in a foreign country? Sea the instructions for exceptions and filiar greatinements for FinCEN Form 114. If "Yes," enter the name of the foreign country. ▶ UNITED KINGDOM Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person?	15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		>	•
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. TIPED KINCEDOM Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly); (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money (to, or otherwise extend credit to (or accept if from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available to the benefit or use of a disqualified person) or if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days. If any answer is "Yes" to 1a(1)-(6), did any of the acts fatal to qualify under the exceptions described in Regulations section 53.491(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? If it is the end of tax year 2016, did the foundation is not applying the provisions of section 4422(a)(2) (reli		and enter the amount of tax-exempt interest received or accrued during the year	N	_	
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country UNITED KINGDOM [Part VII-5] Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed or make a grant to to te employ the official for a period after termination of government service, if terminating within 90 days.) Yes X No (7) If the foundation engage in a prior year in any of the acts described in the exceptions described in Regulations section 5494(10)-3 or in a current notice regarding disaster assistance (see instructions)? N/A (7) In the foundation engage in a prior year in any of the acts described in Canadian engage in a prior year in any of the acts described in Canadian engage in a prior year in any of the acts described in Canadian engage in a prior year in any of the acts described in Canadian engage in a prior year in any of the acts described in Canadian engage in a prior year in any of the acts described in Canadian engage in a prior year in any of the years	16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank,		•	No
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b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? It is the provision of the tax year beginning in 2016? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years is listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. It is the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A But the foundation invest during the year any amount in a manner that would jeopardize its charitable purpose? Ab Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in			*******	, ,	
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					x
Form 930-FF (2016))-PF	

DAVID HOCKNEY FOUNDATION INC	26.2	006501
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Information About Officers, Directors, Trustees, Foundation Managers, High Paid Employees, and Contractors (continued)	ily	
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000 (b) Type of	service	(c) Compensation
NONE		
		
		
_		
Total number of others receiving over \$50,000 for professional services		<u>▶</u> 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the		Expenses
number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Exhenses
1 THE FOUNDATION PROVIDES PUBLIC ACCESS TO THE ARTWORK OF		
DAVID HOCKNEY THROUGH ITS WEBSITE AND A LENDING PROGRAM.		
		304,424.
2		
3		
4		
· · · · · · · · · · · · · · · · · · ·		
Part IX-B: Summary of Program-Related Investments		 -
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1 N/A		711104111
I		
2		
		
All alternatives of the description of the descript		
All other program-related investments. See instructions.		
3		
·		
	\longrightarrow	
Total, Add lines 1 through 3	•	0.

Rart X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: 0. a Average monthly fair market value of securities 1a b Average of monthly cash balances 1b c Fair market value of all other assets 1c 0. d Total (add lines 1a, b, and c) 1d e Reduction claimed for blockage or other factors reported on lines 1a and 1e 1c (attach detailed explanation) 0. Acquisition indebtedness applicable to line 1 assets Subtract line 2 from line 1d 3 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) 4 0. Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 5 Minimum investment return. Enter 5% of line 5 Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here \(\nabla \) and do not complete this part.) Minimum investment return from Part X, line 6 2a Tax on investment income for 2016 from Part VI, line 5 b Income tax for 2016. (This does not include the tax from Part VI.) c Add lines 2a and 2b 2c Distributable amount before adjustments. Subtract line 2c from line 1 3 Recoveries of amounts treated as qualifying distributions 4 5 Add lines 3 and 4 6 Deduction from distributable amount (see instructions) Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 Part XII Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 19 b Program-related investments - total from Part IX-B 1b Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes 2 Amounts set aside for specific charitable projects that satisfy the: a Suitability test (prior IRS approval required) **b** Cash distribution test (attach the required schedule) 3Ь 437,874. Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 4 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b Adjusted qualifying distributions. Subtract line 5 from line 4 Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

Form 990-PF (2016)

4940(e) reduction of tax in those years.

Part XIII Undistributed income (see instructions)	N/A		
	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI,		***	, 14	
line 7		. , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, , , , , , , , , , , , , , , , , , ,	
2 Undistributed income, if any, as of the end of 2016	/*************************************	12 25 25 - 25 5 5 5 5 5 5 5 5 5 5 5 5 5 5		She disa o Alla 25
a Enter amount for 2015 only	** ^			\$* X1 - 44 -
b Total for prior years:				
3 Excess distributions carryover, if any, to 2016:	* * * * * * * * * * * * * * * * * * *	200000 1 000000000000000000000000000000		ww.
a From 2011	- Annual State - Annu			h
b From 2012				~^ ~ \$ ^
c From 2013	**************************************		2000	^^
d From 2014	200000000000000000000000000000000000000		20000000000000000000000000000000000000	, ,
e From 2015			**************************************	38.000 c.X
f Total of lines 3a through e		***************************************		\$2.7 (*e.2 /
4 Qualifying distributions for 2016 from	× ">4140/380440544		· www.	· 999 /404/40
Part XII, line 4: ► \$		Salatana (manana da)	in .: %. 28 men proper so	Sh. No. App. 1
a Applied to 2015, but not more than line 2a			na ana ang ang ang ang ang ang ang ang a	
b Applied to undistributed income of prior	· Madalalalalar · · · · · · · · · · · · · · · · · · ·			
years (Election required - see instructions)			www.w/w	
c Treated as distributions out of corpus		, , , , , , , , , , , , , , , , , , ,		* S
(Election required - see instructions)			Allegandren.	www.arryb,
d Applied to 2016 distributable amount	2 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	**************************************	**************************************	
e Remaining amount distributed out of corpus		**************************************	· maringingam : · · · · · · · · · · · · · · · · · ·	MANUS A.
5 Excess distributions carryover applied to 2016		**************************************	~···	
(If an amount appears in column (d), the same amount must be shown in column (a).)		And An Annah and		
6 Enter the net total of each column as	46333336663336666		and a constant of the constant	
indicated below:		**************************************	Maryon of American	
& Corpus Add lines 3f, 4c, and 4e Subtract line 5			-> \(\text{\tince{\text{\texi{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\tinit}\\ \text{\texi}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\ti}\tint{\text{\text{\text{\text{\text{\tin}\tint{\text{\text{\texict{\text{\text{\text{\text{\texi}\tint{\text{\text{\text{\texi}\text{\text{\text{\texi}\text{\texi\tint{\tinte\tintet{\text{\ti}\tinttitt{\texi}\tint{\text{\texi}\tint{\text{\tini}\tint{\tin}	20 2 40 20
b Prior years' undistributed income. Subtract			444 - 22/08/2 20/20 2 17/75 444 - 22/08/2 20/20/20 2 17/75	***
line 4b from line 2b				*
c Enter the amount of prior years'	\$\$\$\$\$.00.00.00.00.00.00.00.00.00.00.00.0		5,000,000	* * /
undistributed income for which a notice of		,		186 2 V
deficiency has been issued, or on which the section 4942(a) tax has been previously			77.	M. J. L.
assessed	Section of the sectio			* *
d Subtract line 6c from line 6b. Taxable	/ Sec. 4 (1986)		" " " " " " " " " " " " " " " " " " "	,, v*
amount - see instructions	** C Transport (19 to 19		****	San Called de Sand San galante de San Lantana anterna
e Undistributed income for 2015. Subtract line	A STATE OF THE PARTY OF THE PAR	\$1.50 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		* * * * * * * * * * * * * * * * * * * *
4a from line 2a. Taxable amount - see instr.		Total Application .		*
f Undistributed income for 2016. Subtract	, 126" (29-20-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-	444	,	
lines 4d and 5 from line 1. This amount must				
be distributed in 2017	A CONTRACT CONTRACTOR	,		
7 Amounts treated as distributions out of			* ************************************	AND A STANDARD AND A
corpus to satisfy requirements imposed by				was not a man. " " " "
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)		A CONTRACTOR CONTRACTOR STATE OF THE STATE O	2000 100 100 100 100 100 100 100 100 100	· * · * · · · · · · · · · · · · · · · ·
8 Excess distributions carryover from 2011		Mary Committee of the C		* 4/8w
not applied on line 5 or line 7		And the second s		
9 Excess distributions carryover to 2017.				, 100 m
Subtract lines 7 and 8 from line 6a				<u></u>
IO Analysis of line 9:	College Control Control	. ~ /***********************************		
a Excess from 2012	Same and the same		Manage Salaman (1885) No. 10	**************************************
b Excess from 2013				A
c Excess from 2014	And the Anna Comments of the A	**************************************		Cha in
d Excess from 2015		The second of a	A STATE OF THE STA	,
A EVEREC TEAM VILLE [15 15 15 15 15 15 15 15 15 15 15 15 15 1			,

C/O CAROL SAVOIE, DELOITTE TAX

Part XIV: Private Operating Foundations (see instructions and Part VII-A, question 9) 1 a If the foundation has received a ruling or determination letter that it is a private operating 05/05/10 foundation, and the ruling is effective for 2016, enter the date of the ruling **X** 4942(1)(3) or 4942(1)(5) b Check box to indicate whether the foundation is a private operating foundation described in section Prior 3 years 2 a Enter the lesser of the adjusted net Tax year (b) 2015 (c) 2014 (a) 2016 (d) 2013 (e) Total income from Part I or the minimum investment return from Part X for 0. 0 0 0. ٥. each year listed 0. 0. 0. 0. 0. **b** 85% of line 2a c Qualifying distributions from Part XII, 352,780. 339,268. 437,874. 385,631 1,515,553. line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of 0 0 0 0. 0 exempt activities e Qualifying distributions made directly for active conduct of exempt activities. 437,874. 385,631. 352.780. 339,268. 1,515,553. Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: 195860000. 195860000. (1) Value of all assets (2) Value of assets qualifying 0. under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year 0. c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 0. 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in 0. section 4942(j)(3)(B)(III) (3) Largest amount of support from an exempt organization 0. 0. (4) Gross investment income Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.) Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) DAVID HOCKNEY b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here Fig. 1 if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d. a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: "N/A: OPERATING FOUNDATION ONLY", (213)996-5785 555 W. 5TH ST., STE 2700, LOS ANGELES, CA 90013 b The form in which applications should be submitted and information and materials they should include: N/A c Any submission deadlines: N/A d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors; N/A

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C/O CAROL SAVOIE, DELOITTE TAX

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Part:XV: Supplementary Information			· · · · · · · · · · · · · · · · · · · 	
3 Grants and Contributions Paid During the Ye	ear or Approved for Future P	ayment		
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		· <u></u> -
Paid during the year				
NONE				
				· · ·
	-			
		i		
T. 1. 1				
Total Appropried for future powerst			▶ 3a	0.
Approved for future payment	į			
			i	
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities	Part XVI-A	Analysis of	Income-Producing	Activities
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Enter gross amounts unless otherwise indicated.	(a) Business	(b)		(c) Exclu-	ed by section 512, 513, or 514 (d)	(e) Related or exempt
1 Program service revenue:	Business	Amount		sion	Amount	function income
8						
b	_	******				
c	_					
d	1 1				<u>.</u>	
e	_					
f						<u> </u>
g Fees and contracts from government agencies						
2 Membership dues and assessments				\vdash		
3 Interest on savings and temporary cash	1					
investments						
4 Dividends and interest from securities		agmanamations \ 1 c	. 1000000000 2007***/	\$00\$\$V 30.0		
5 Net rental income or (loss) from real estate:	, <u></u>	***************************************	205,7*x / 3	"i	***************************************	
a Debt-financed property						
b Not debt-financed property				-		
6 Net rental income or (loss) from personal						
property	<u> </u>					
7 Other investment income						
8 Gain or (loss) from sales of assets other	1			ı i		
than inventory	<u> </u>					
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						<u> </u>
11 Other revenue:						
a	_					
b	_					
c	_					
d						
e						
12 Subtotal. Add columns (b), (d), and (e)	200 - Sandana , am 1,		0.		0.	
13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations					13 _	<u> </u>
Part XVI-B Relationship of Activities	s to the Acco	<u> </u>			<u> </u>	
Line No. Explain below how each activity for which in the foundation's exempt purposes (other the				contribu	ited importantly to the accor	mplishment of
						
_		· · · · ·				
_						
						
				_		
				-		

Form 990-PF (2016) C/O CAROL SAVOIE, DELOITTE TAX 26-2906501 Page 13 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable **Exempt Organizations** Yes No Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code rother than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of 1a(1) (1) Cash (2) Other assets X 1a(2) **b** Other transactions X (1) Sales of assets to a noncharitable exempt organization 16(1) X (2) Purchases of assets from a noncharitable exempt organization 16(2) X 1b(3) (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements 1b(4) X X (5) Loans or loan guarantees 1b(5) X 16(6) (6) Performance of services or membership or fundraising solicitations X e Sharing of facilities, equipment, mailing lists, other assets, or paid employees 1¢ d if the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received (c) Name of noncharltable exempt organization (b) Amount involved (d) Description of transfers transactions and sharing arrangements N/A 2a is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described Yes X No in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? If "Yes," complete the following schedule (a) Name of organization (b) Type of organization (c) Description of relationship N/A Sign Here OFFICER X Yes Signature of officer or trustee Title Print/Type preparer's name Check Preparer's signature Date self- employed Paid CAROL SAVOIE

Form 990-PF (2016)

P01233299

Firm's EIN ► 86-1065772

Phone no. 213-688-0800

Preparer

Use Only

Firm's name DELOITTE TAX LLT

Firm's address ▶ 555 W. 5TH STREET; SUITE 2700 LOS ANGELES, CA 90013

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs.gov/form990 .

OMB No 1545-0047

Name of the organization

DAVID HOCKNEY FOUNDATION INC C/O CAROL SAVOIE, DELOITTE TAX Employer identification number

26-2906501

Organization type (check one)							
Filers of:	Section:						
Form 990 or 990-EZ	501(c)() (enter number) organization						
	4947(a)(1) nonexempt charitable trust not treated as a private foundation						
	527 political organization						
Form 990-PF	X 501(c)(3) exempt private foundation						
	4947(a)(1) nonexempt charitable trust treated as a private foundation						
501(c)(3) taxable private foundation							
, ,	s covered by the General Rule or a Special Rule. (7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions						
General Rule							
	n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions						
Special Rules							
sections 509(a)(1) a any one contributo	For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.						
year, total contribu	For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III						
year, contributions is checked, enter h purpose Don't cor	For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year						
but it must answer "No" on	at isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization
DAVID HOCKNEY FOUNDATION INC
C/O CAROL SAVOIE, DELOITTE TAX

Employer identification number

26-2906501

Part I	Contributors (See instructions) Use duplicate copies of Part I if addit	tional space is needed.	_
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID HOCKNEY C/O CAROL SAVOIE: 555 W. 5TH ST., #2700 LOS ANGELES, CA 90013	\$7,968,344.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person Payroll Oncash Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person Payroll Noncash (Complete Part II for noncash contributions.)

Name of organization

DAVID HOCKNEY FOUNDATION INC

C/O CAROL SAVOIE, DELOITTE TAX

Employer identification number

26-2906501

Part II	Noncash Property (See instructions) Use duplicate copies of Part II if	additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
	ARTWORK - SEE ATTACHED		
1			
		\$ 7,500,000.	06/07/16
(a)		(0)	
No.	(b)	(c) FMV (or estimate)	(d)
from	Description of noncash property given	(See instructions)	Date received
Part I		,	
		.	
		-	
		- ੍ਰ	
		- \$	
(a) No.	(b)	(c)	(d)
from	Description of noncash property given	FMV (or estimate)	Date received
Part I	Boompton of nonouch property given	(See instructions)	Duto 10001100
		 	
(a)		(0)	
No.	(b)	(c) FMV (or estimate)	(d)
from	Description of noncash property given	(See instructions)	Date received
Part I		(222	
		. _	
		. \$	
(a)		 	, <u>, , , , , , , , , , , , , , , , , , </u>
No.	(b)	(c)	(d)
from	Description of noncash property given	FMV (or estimate)	Date received
Part i		(See instructions)	
		.	
		\$	
-,			
(a) No.	4.5	(c)	
from	(b)	FMV (or estimate)	(d)
Part I	Description of noncash property given	(See instructions)	Date received
		1	
		\$	

Schedule B (Form 990, 990-EZ, or 990-PF) (2016) **Employer identification number** Name of organization DAVID HOCKNEY FOUNDATION INC 26-2906501 C/O CAROL SAVOIE, DELOITTE TAX Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations Part III completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) Use duplicate copies of Part III if additional space is needed (a) No. from Part I (c) Use of gift (d) Description of how gift is held (b) Purpose of gift (e) Transfer of gift Relationship of transferor to transferee Transferee's name, address, and ZIP + 4 (a) No. (d) Description of how gift is held from Part I (c) Use of gift (b) Purpose of gift (e) Transfer of gift Relationship of transferor to transferee Transferee's name, address, and ZIP + 4 (a) No. from Part I (d) Description of how gift is held (b) Purpose of gift (c) Use of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from Part I (b) Purpose of gift (c) Use of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee

FORM 990-PF	LEGAL	FEES	S'	FATEMENT 1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	15,505.	0.	0.	15,505.
TO FM 990-PF, PG 1, LN 16A	15,505.	0.	0.	15,505.
FORM 990-PF	ACCOUNTI	NG FEES	S	FATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	26,852.	0.	0.	26,852.
TO FORM 990-PF, PG 1, LN 16B	26,852.	0.	0.	26,852.
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	81,383.	0.	0.	81,383.
TO FORM 990-PF, PG 1, LN 16C	81,383.	0.	0.	81,383.
FORM 990-PF	TAX	ES	SI	PATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROPERTY TAXES PAYROLL TAXES LICENSES AND PERMITS	3,430. 11,101. 690.	0. 0. 0.	0. 0. 0.	3,430. 11,101. 690.
TO FORM 990-PF, PG 1, LN 18	15,221.	0.	0.	15,221.
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FORM 990-PF	OTHER EXPENSES		STATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	113,398.	0.	0.	113,398.
SUPPLIES	64,440.	0.	0.	64,440.
UTILITIES	5,695.	0.	0.	5,695.
REPAIRS & MAINTENANCE	5,125.	0.	0.	5,125.
MISCELLANEOUS	15,320.	0.	0.	15,320.
TO FORM 990-PF, PG 1, LN 23	203,978.	0.	0.	203,978.

GENERAL EXPLANATION STATEMENT 6

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990PF, PAGE 2 - 2015 BALANCE SHEET BEGINING OF THE YEAR BALANCES

EXPLANATION:

THE 2015, PAGE 2 BALANCE SHEET, BEGINING OF YEAR, IS BEING AMENDED TO INCLUDE THE VALUE OF A 2015 DONATION OF \$52,065,000. THIS AMOUNT WAS OMMITTED BECAUSE A PROPER VALUATION WAS NOT RECEIVED IN TIME TO FILE THE RETURN TIMELY.