

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE RICHARD DIEBENKORN FOUNDATION		A Employer identification number 26-1517545	
% RICHARD GRANT			
Number and street (or P O box number if mail is not delivered to street address) 3214 COLLEGE AVENUE	Room/suite	B Telephone number (see instructions) (510) 428-1400	
City or town, state or province, country, and ZIP or foreign postal code BERKELEY, CA 94705			
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 35,414,402		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	129,002			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-97,879			
	b Gross sales price for all assets on line 6a	3,133,000			
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	3,105		3,105		
12 Total. Add lines 1 through 11	34,228		0	3,105	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	90,000	18,000		72,000
	14 Other employee salaries and wages	462,872	92,574		370,298
	15 Pension plans, employee benefits	24,271	4,854		19,417
	16a Legal fees (attach schedule)	11,373	2,275	0	9,098
	b Accounting fees (attach schedule)	121,842	24,368	0	97,474
	c Other professional fees (attach schedule)	151,239			151,239
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	44,043			44,043
	19 Depreciation (attach schedule) and depletion	20,080			
	20 Occupancy	100,457			100,457
	21 Travel, conferences, and meetings				
	22 Printing and publications	471,742			471,742
	23 Other expenses (attach schedule)	434,644		3,105	458,719
	24 Total operating and administrative expenses. Add lines 13 through 23	1,932,563	142,071	3,105	1,794,487
	25 Contributions, gifts, grants paid	1,000			1,000
26 Total expenses and disbursements. Add lines 24 and 25	1,933,563	142,071	3,105	1,795,487	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-1,899,335				
b Net investment income (if negative, enter -0-)		0			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	946,986	1,227,608	1,227,608
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ <u>26,350</u>			
	Less allowance for doubtful accounts ▶ _____	0	26,350	26,350
	4 Pledges receivable ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	39,090	38,990	38,990
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____			
Less accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment basis ▶ <u>37,101</u>				
Less accumulated depreciation (attach schedule) ▶ <u>11,442</u>	31,732	25,659	25,659	
15 Other assets (describe ▶ _____)	44,447,069	41,877,775	34,095,795	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	45,464,877	43,196,382	35,414,402	
Liabilities	17 Accounts payable and accrued expenses	308,350	281,746	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	25,192	26,904	
	23 Total liabilities (add lines 17 through 22)	333,542	308,650	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	45,131,335	42,887,732		
31 Total liabilities and net assets/fund balances (see instructions) .	45,464,877	43,196,382		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	45,131,335
2 Enter amount from Part I, line 27a	2	-1,899,335
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	43,232,000
5 Decreases not included in line 2 (itemize) ▶ _____	5	344,268
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	42,887,732

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SALE OF ARTWORK	D		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,133,000		3,230,879	-97,879
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-97,879
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-97,879
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

Yes No

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,253,020	1,288,812	0.972229
2014	1,353,835	463,152	2.92309
2013	900,262	502,165	1.792761
2012	792,107	728,097	1.087914
2011	206,987	767,085	0.269836

2 Total of line 1, column (d)	2	7.04583
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1.409166
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,076,919
5 Multiply line 4 by line 3	5	1,517,558
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7 Add lines 5 and 6	7	1,517,558
8 Enter qualifying distributions from Part XII, line 4	8	1,795,487

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total credits. Total amount owed is 26,140, with 26,140 overpaid and 0 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political campaign influence, political expenditures, and foundation activities.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of RICHARD GRANT Telephone no (510) 428-1400

Located at 3214 COLLEGE AVENUE BERKELEY CA ZIP+4 94705

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here. Yes No

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ANDREA L LIGUORI C/O THE RICHARD DIEBENKORN FDN BERKELEY, CA 94705	MANAGING DIRECTOR 40 0	120,000	8,500	0
ANGELA R DOCTOR C/O THE RICHARD DIEBENKORN FDN BERKELEY, CA 94705	EXEC ADMIN 40 0	98,100	2,075	0
RAKIA FABER C/O THE RICHARD DIEBENKORN FDN BERKELEY, CA 94705	COLLECT MGR/REGIST 40 0	70,568	4,780	0
CARL R SCHMITZ C/O THE RICHARD DIEBENKORN FDN BERKELEY, CA 94705	VIS RES/ART RES LIBR 40 0	89,936	6,326	0
DAISY M HOLMAN C/O THE RICHARD DIEBENKORN FDN BERKELEY, CA 94705	HEAD OF ARCHIVES 40 0	77,317	2,590	0

Total number of other employees paid over \$50,000. Yes No **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
anchin block & anchin llp 1375 broadway NEW YORK, NY 10018	accounting	121,842
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 CATALOGUING AND MAINTAINING THE ARTISTIC WORKS OF RICHARD DIEBENKORN (AMERICAN, 1922-1993)	1,794,487
2 _____	
3 _____	
4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____	
2 _____	
All other program-related investments. See instructions	
3 _____	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	1,093,319
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,093,319
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,093,319
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	16,400
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,076,919
6	Minimum investment return. Enter 5% of line 5.	6	53,846

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,795,487
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,795,487
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,795,487

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ _____				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. 2009-04-22

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0	0	0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	1,795,487	1,253,020	1,353,835	903,593	5,305,935
d Amounts included in line 2c not used directly for active conduct of exempt activities	1,000	4,230	78,150	4,000	87,380
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	1,794,487	1,248,790	1,275,685	899,593	5,218,555
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets	0				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.	35,897	42,961	15,439	16,739	111,036
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
 PHYLLIS DIEBENKORN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
 NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> INTERNATIONAL FOUNDATION FOR ART RESEARCH INC 500 FIFTH AVENUE STE 935 NEW YORK, NY 10110	none	PC	GENERAL PURPOSE	1,000
Total	▶ 3a			1,000
b <i>Approved for future payment</i>				
Total	▶ 3b			

Form 990FP Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Steven A Nash	PRESIDENT-DIRECTOR 1 0 c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705	0	0	0
c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705				
Colin B Bailey	DIRECTOR 1 0 c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705	0	0	0
c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705				
RICHARD GRANT	EXECUTIVE DIRECTOR 20 0 c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705	90,000	0	0
c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705				
DAVID WATTS	DIRECTOR 1 0 c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705	0	0	0
c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705				
KATHAN BROWN	DIRECTOR 1 0 c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705	0	0	0
c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705				
GEOFFREY THOMAS	DIRECTOR 1 0 c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705	0	0	0
c/o the richard diebenkorn fdn 3214 college avenue BERKELEY, CA 94705				
Michael W Wilsey	Director 1 0 c/o Richard diebenkorn fdn 3214 college avenue berkeley, CA 94705	0	0	0
c/o Richard diebenkorn fdn 3214 college avenue berkeley, CA 94705				
Leah Levy	Director 1 0 c/o the richard diebenkorn fdn 3214 college avenue berkeley, CA 94705	0	0	0
c/o the richard diebenkorn fdn 3214 college avenue berkeley, CA 94705				
sarah C Bancroft	director 1 0 c/o the richard diebenkorn fdn 3214 college avenue berkeley, CA 94705	0	0	0
c/o the richard diebenkorn fdn 3214 college avenue berkeley, CA 94705				

TY 2016 Accounting Fees Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND TAX RETURN				
PREPARATION FEES	121,842	24,368		97,474

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Amortization Schedule

Name: THE RICHARD DIEBENKORN FOUNDATION

EIN: 26-1517545

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
COPYRIGHTS	2011-09-03	728,277	70,025	52 0	14,005			84,030

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THE RICHARD DIEBENKORN FOUNDATION

EIN: 26-1517545

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
FURNISHINGS	2013-06-23	221	80	SL	7	32			
COMPUTER	2013-09-06	669	307	SL	5	134			
EQUIPMENT	2013-03-19	1,620	891	SL	5	324			
TELEPHONE	2014-10-21	1,558	260	SL	7	223			
DESKS	2014-10-28	610	102	SL	7	87			
CHAIRS	2014-10-29	420	70	SL	7	60			
WINDOW COVERINGS	2014-11-25	1,947	301	SL	7	278			
MONITOR	2014-10-30	156	35	SL	5	31			
MONITOR	2014-10-31	156	35	SL	5	31			
MONITORS	2014-10-31	156	35	SL	5	31			
CABINETS	2014-08-20	2,967	459	SL	7	424			
CABINETS	2014-09-10	796	123	SL	7	114			
MONITORS	2014-02-05	1,855	402	SL	5	371			
MONITORS	2014-06-10	729	158	SL	5	146			
MONITORS	2014-06-11	1,587	343	SL	5	317			
MONITORS	2014-12-01	1,987	430	SL	5	397			
MONITORS	2014-06-13	640	139	SL	5	128			
DESKS	2015-06-04	4,527	54	SL	7	647			
DESKS	2015-07-15	1,376	16	SL	7	197			
FURNITURE	2015-07-21	3,115	37	SL	7	445			

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LOBBY FURNITURE	2015-10-08	2,547	30	SL	7	364			
MONITORS/STANDS	2015-01-09	1,962	376	SL	5	392			
CABINETS	2015-01-22	1,215	159	SL	7	174			
MONITORS/STANDS	2015-05-27	2,460	287	SL	5	492			
FURNITURE	2016-01-17	1,802	236	SL	7	236			

**TY 2016 Land, Etc.
Schedule**

Name: THE RICHARD DIEBENKORN FOUNDATION

EIN: 26-1517545

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
FURNISHINGS	221	112	109	
COMPUTER	669	441	228	
EQUIPMENT	1,620	1,215	405	
TELEPHONE	1,558	483	1,075	
DESKS	610	189	421	
CHAIRS	420	130	290	
WINDOW COVERINGS	1,947	579	1,368	
MONITOR	156	66	90	
MONITOR	156	66	90	
MONITORS	156	66	90	
CABINETS	2,967	883	2,084	
CABINETS	796	237	559	
MONITORS	1,855	773	1,082	
MONITORS	729	304	425	
MONITORS	1,587	660	927	
MONITORS	1,987	827	1,160	
MONITORS	640	267	373	
DESKS	4,527	701	3,826	
DESKS	1,376	213	1,163	
FURNITURE	3,115	482	2,633	
LOBBY FURNITURE	2,547	394	2,153	
MONITORS/STANDS	1,962	768	1,194	
CABINETS	1,215	333	882	
MONITORS/STANDS	2,460	779	1,681	
FURNITURE	1,802	472	1,330	
COPYRIGHTS	728,277	84,030	644,247	

TY 2016 Legal Fees Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES IN CONNECTION WITH				
EXEMPT PURPOSES	11,373	2,275		9,098

TY 2016 Other Assets Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
WORKS OF ART - R DIEBENKORN	43,784,564	41,229,294	33,447,314
OTHER ASSETS	4,248	4,229	4,229
COPYRIGHTS	728,277	728,277	728,277
ACCUMULATED AMORTIZ-COPYRIGHTS	-70,020	-84,025	-84,025

TY 2016 Other Decreases Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545

Description	Amount
PRIOR PERIOD ADJUSTMENT	344,268

TY 2016 Other Expenses Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVERTISING	163,113			163,113
ALARM SYSTEMS	598			598
INSURANCE	70,996			70,996
BUSINESS REGISTRATION FEES	25			25
BANK CHARGES	221			221
OFFICE EQUIPMENT RENTAL	5,664			5,664
JANITORIAL SERVICE	11,660			11,660
REPAIRS AND MAINTENANCE	2,603			2,603
POSTAGE, MAILING SERVICE	3,628			3,628
SUPPLIES	12,954			12,954

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TELEPHONE	10,570			10,570
MEMBERSHIPS AND DUES	2,005			2,005
MISCELLANEOUS EXPENSES	667			667
CATALOGUE RAISONNE	133,969		3,105	130,864
PHOTO AND DUPLICATING EXPENSES	4,400			4,400
BOOKS,SUBSCRIPTIONS,REFERENCE	5,210			5,210
ADDBACK OF PY ACCRUED EXPENSES				269,520
LESS CY ACCRUED EXPENSES				-242,340
PENSION ADMINISTRATION FEES	500			500
PROGRAMS/EXHIBITIONS EXPENSE	5,861			5,861

TY 2016 Other Income Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
EXEMPT FUNCTION INCOME -			
CATALOGUE RAISONNE	3,105		3,105

TY 2016 Other Liabilities Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL LIABILITIES	18,962	19,692
CREDIT CARD PAYABLE	6,230	7,212

TY 2016 Other Professional Fees Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONTRACT AND CONSULTING FEES	151,239			151,239

TY 2016 Taxes Schedule**Name:** THE RICHARD DIEBENKORN FOUNDATION**EIN:** 26-1517545

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	44,043			44,043

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

OMB No 1545-0047
2016

Name of the organization
THE RICHARD DIEBENKORN FOUNDATION

Employer identification number
26-1517545

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

Form 990-PF

- 527 political organization
- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE RICHARD DIEBENKORN FOUNDATION	Employer identification number 26-1517545
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Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DIEBENKORN SURVIVOR'S TRUST 1169 RIVER PINE CIRCLE PETALUMA, CA94954	\$ 94,002	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
2	VAN DOREN WAXTER 23 E 73RD STREET NEW YORK, NY10021	\$ 25,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
3	NOVAK FINE ARTS INC 1880 CENTURY PARK EAST 100 LOS ANGELES, CA90067	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Name of organization THE RICHARD DIEBENKORN FOUNDATION	Employer identification number 26-1517545
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Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____