

Form **990-PF****Return of Private Foundation**

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, 2019, and ending

, 20

 Name of foundation **MICHAEL E HORN FAMILY FOUNDATION INC**
 630029015

 A Employer identification number
 26-1375584

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

P.O. BOX 1944

270-313-0425

City or town, state or province, country, and ZIP or foreign postal code

OWENSBORO, KY 42302

G Check all that apply:

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation
 I Fair market value of all assets at
 end of year (from Part II, col. (c), line
 16) ▶ \$ 13,539,692.
J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d), must be on cash basis.)

C If exemption application is
pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the
85% test, check here and attach
computationE If private foundation status was terminated
under section 507(b)(1)(A), check hereF If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	318,270.			
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B.				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	292,473.	292,473.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	155,762.			
	b	Gross sales price for all assets on line 6a 1,312,072.				
	7	Capital gain net income (from Part IV, line 2)		155,762.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	766,505.	448,235.		
	13	Compensation of officers, directors, trustees, etc.	94,822.	1,896.		92,926.
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 2	4,000.	600.	NONE	3,400.
	c	Other professional fees (attach schedule) STMT 3	49,975.	49,975.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 4	8,662.	1,553.		7,109.
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule) STMT 5	58,310.	679.		57,631.
	24	Total operating and administrative expenses. Add lines 13 through 23.	215,769.	54,703.	NONE	161,066.
	25	Contributions, gifts, grants paid	542,975.			542,975.
	26	Total expenses and disbursements Add lines 24 and 25	758,744.	54,703.	NONE	704,041.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	7,761.			
	b	Net investment income (if negative, enter -0-)		393,532.		
	c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			49,034.	29,234.	29,234.
	2 Savings and temporary cash investments			617,511.	656,776.	656,776.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) ▶					
	Less: allowance for doubtful accounts ▶		NONE			
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations (attach schedule)	STMT 6		329,104.	316,785.	344,531.
	b Investments - corporate stock (attach schedule)	STMT 7		5,199,465.	4,742,886.	8,485,574.
	c Investments - corporate bonds (attach schedule)	STMT 8		2,414,676.	2,717,655.	2,713,932.
	11 Investments - land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule) ▶					
	12 Investments - mortgage loans					
	13 Investments - other (attach schedule)	STMT 9		1,029,796.	1,192,129.	1,309,645.
	14 Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule) ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			9,639,586.	9,655,465.	13,539,692.
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)				NONE	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.					
	24 Net assets without donor restrictions					
	25 Net assets with donor restrictions					
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30					
	26 Capital stock, trust principal, or current funds			9,639,586.	9,655,465.	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund					
	28 Retained earnings, accumulated income, endowment, or other funds					
	29 Total net assets or fund balances (see instructions)			9,639,586.	9,655,465.	
	30 Total liabilities and net assets/fund balances (see instructions)			9,639,586.	9,655,465.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,639,586.
2 Enter amount from Part I, line 27a	2	7,761.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	13,033.
4 Add lines 1, 2, and 3	4	9,660,380.
5 Decreases not included in line 2 (itemize) ▶ 2018 NON DIVIDENDS DISTRIBUTIONS	5	4,915.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	9,655,465.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,312,072.		1,156,310.	155,762.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))		
a			155,762.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	155,762.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	698,214.	12,063,738.	0.057877
2017	653,373.	11,798,871.	0.055376
2016	632,550.	11,238,832.	0.056283
2015	759,507.	11,484,202.	0.066135
2014	481,527.	8,869,059.	0.054293
2 Total of line 1, column (d)			2 0.289964
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.057993
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 12,476,826.
5 Multiply line 4 by line 3.			5 723,569.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,935.
7 Add lines 5 and 6			7 727,504.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 704,041.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	7,871.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	NONE
3 Add lines 1 and 2		3	7,871.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,871.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,576.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	12,576.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,705.	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> 4,705. Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.HORNFAMILYFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>SEE STATEMENT 11</u> Telephone no. ► _____ Located at ► _____ ZIP+4 ► _____		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		15
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► _____		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
Organizations relying on a current notice regarding disaster assistance, check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael E Horn	Director			
PO Box 1944, Owensboro, KY 42302	10	-0-	-0-	-0-
Michael Andrew Horn	Director			
PO Box 1944, Owensboro, KY 42302	10	-0-	-0-	-0-
Kayla Horn Walker	Director			
PO Box 1944, Owensboro, KY 42302	20	37,622.	-0-	-0-
Kathryn R Crowe	Director			
PO Box 1944, Owensboro, KY 42302	40	57,200	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE
Total number of other employees paid over \$50,000				NONE

Form 990-PF (2019)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3

Form **990-PF** (2019)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,046,553.
b	Average of monthly cash balances	1b	620,275.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	12,666,828.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d.	3	12,666,828.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	190,002.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,476,826.
6	Minimum investment return. Enter 5% of line 5	6	623,841.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	623,841.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	7,871.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	7,871.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	615,970.
4	Recoveries of amounts treated as qualifying distributions.	4	NONE
5	Add lines 3 and 4	5	615,970.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	615,970.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	704,041.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	704,041.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	704,041.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				615,970.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2019				
a From 2014	45,040.			
b From 2015	194,735.			
c From 2016	82,582.			
d From 2017	71,659.			
e From 2018	100,179.			
f Total of lines 3a through e	494,195.			
4 Qualifying distributions for 2019 from Part XII, line 4. ► \$ 704,041.				
a Applied to 2018, but not more than line 2a . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2019 distributable amount.				615,970.
e Remaining amount distributed out of corpus. . .	88,071.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	582,266.			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .	45,040.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	537,226.			
10 Analysis of line 9				
a Excess from 2015 . . .	194,735.			
b Excess from 2016 . . .	82,582.			
c Excess from 2017 . . .	71,659.			
d Excess from 2018 . . .	100,179.			
e Excess from 2019 . . .	88,071.			

NOT APPLICABLE

- 15 -

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Scholarships (See Attached)			SEE ATTACHED	53,000.
Grant Allocations (See Attached)			SEE ATTACHED	489,975.
Total			3a	542,975.
b Approved for future payment				
Total			3b	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
TOTAL INTEREST	50,599.	50,599.
TOTAL DIVIDENDS	241,874.	241,874.
	-----	-----
TOTAL	292,473.	292,473.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
ACCOUNTING FEES	4,000.	600.		3,400.
TOTALS	4,000.	600.	NONE	3,400.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
TRUSTEE FEES	49,975.	49,975.
	-----	-----
TOTALS	49,975.	49,975.
	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
FOREIGN TAXES	1,408.	1,408.	
PAYROLL TAXES	7,254.	145.	7,109.
	-----	-----	-----
TOTALS	8,662.	1,553.	7,109.
	=====	=====	=====

MICHAEL E HORN FAMILY FOUNDATION INC

26-1375584

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
US GOVERNMENT OBLIGATIONS	165,557.	175,823.
STATE MUNICIPAL BONDS	151,228.	168,708.
	-----	-----
TOTALS	316,785.	344,531.
	=====	=====

MICHAEL E HORN FAMILY FOUNDATION INC
FORM 990PF, PART II - CORPORATE STOCK
=====

26-1375584

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
CORPORATE STOCK	4,742,886.	8,485,574.
	-----	-----
	4,742,886.	8,485,574.
	=====	=====

TOTALS

MICHAEL E HORN FAMILY FOUNDATION INC
FORM 990PF, PART II - CORPORATE BONDS
=====

26-1375584

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
CORPORATE BONDS	2,717,655.	2,713,932.
	-----	-----
TOTALS	2,717,655.	2,713,932.
	=====	=====

MICHAEL E HORN FAMILY FOUNDATION INC

26-1375584

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION -----	COST/ FMV C OR F -----	ENDING BOOK VALUE -----	ENDING FMV ---
ALTERNATIVE INVESTMENTS	C	1,055,000.	1,166,901.
MISCELLANEOUS	C	137,129.	142,744.
		-----	-----
TOTALS		1,192,129.	1,309,645.
		=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

2019 NON DIVIDEND DISTRIBUTIONS

8,202.

TIMING DIFFERENCES-MUTUAL FUNDS

1,751.

FEDERAL TAX REFUND

3,080.

TOTAL

13,033.

=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: THE GLENVIEW TRUST COMPANY

ADDRESS: 4969 US HIGHWAY 42, SUITE 2000
LOUISVILLE, KY 40222

TELEPHONE NUMBER: (502)212-7814

RECIPIENT NAME:

KATHRYN CROWE

ADDRESS:

PO BOX 1944

OWENSBORO, KY 42302

RECIPIENT'S PHONE NUMBER: 270-313-0245

FORM, INFORMATION AND MATERIALS:

GRANT AND SCHOLARSHIP APPLICATIONS-SPECIFIC INFORMATION
TO INCLUDE IS STATED IN THE APPLICATION

SUBMISSION DEADLINES:

SCHOLARSHIP APPLICATION DEADLINE IS MARCH 1ST

FOUNDATION GRANT DEADLINE IS OCTOBER 15TH

RESTRICTIONS OR LIMITATIONS ON AWARDS:

SEE ATTACHED STATEMENT

Recipient Name	2019 Grants	Grant Amount	Purpose
American Legion Baseball Post 9 c/o Larry Vanover, Director 2825 Summer Valley Lane Owensboro, KY 42303 Tax ID# 61-0389253		22,000.00	Youth baseball programs
Bluegrass Music Museum 311 West Second Street Owensboro, KY 42303 Attention: Chris Joslin Tax ID # 61-1229037		45,000.00	ROMP, Bluegrass in the Schools Education Group Museum Volunteer Development ROMP streamline at Museum Kathryn donation
Carenet 922 Triplett Street Suite 7 Owensboro, KY 42301 Attention: Lisa Hyland Tax ID # 20-0736119		95,000.00	Sexual Ingegrity Classes in local high schools Capital Campaign
Carmel Home 2501 Old Hartford Road Owensboro, KY. 42303 Attention: Sister Francis Terese Tax ID #61-0487564		8,000.00	Donation
CASA of Ohio Valley 415 St Ann Street Owensboro, KY 42303 Attention: Rosemary Conder Tax ID #61-1303511		5,000.00	Community Partner Super Child Hero Fund
Casting A Net, Inc 50 Brooks School House Rd Spur Calhoun, KY 42327 Attention: Layla Thompson Tax ID # 46-2373489		3,500.00	Programs for Youth
Catholic Mens Conference of Western Kentucky 600 Locust Street Owensboro, KY. 42301 Attention: Robert Edge Tax ID # 53-0196617		1,000.00	Educational Support for Conferences for Men Dir Donation
Catholic Prison Ministry 600 Locust Street Owensboro, KY 42301 Attention: Fr. Ray Clark Tax ID # 53-0196617		725.00	Ed materials
Chaplin Ministry for Owensboro Health 600 Locust Street Owensboro, KY 42301 Attention: Fr. Richard Cash Tax ID # 53-0196617		5,200.00	Materials and supplies for chapel
Cliff Hagan Boys & Girls Club 3415 Buckland Square Owensboro, KY. 42301 Attention: Steve Winkler Tax ID #61-0663746		32,500.00	Upgrade to security and refinish gym floor Operating Expenses for Mike Horn Unit

Community Dental Clinic of Owensboro 2811 New Hartford Rd Suite A Owensboro, KY 42303 Attention: Dr Brandon Taylor Tax ID # 26-2343126	7,500.00	Capital Campign for purchase of building
Daviness County Public School Health Program 1622 Southeastern Pkwy Owensboro, KY 42303 Attention: Wendi Kozel, RN Tax ID # 61-1346930	5,000.00	Ed materials and supplies for health rooms
Diocese of West KY Office Family Life 600 Locust Street Owensboro, KY 42301 Attention: Danny May Tax ID # 53-0196617	6,600.00	Clean Heart Inititive Program
DreamRiders P.O. Box 172 Philpot, KY 42366 Attention: Kelly Flick Tax ID#01-0802025	5,000.00	Programing for Special Needs Children
FOCUS Fellowship of Catholic University Students 601 Cascadig Creek Ln Winter Garden, FL 34787 Attention: Andy Day Tax ID # 84-1522811	7,500.00	Ministry work at Western Ky Univ and Murray St Univ
Franciscan University of Steubenville 1235 University Boulevard Steubenville, Ohio 43952-1763 Tax ID# 34-0714818	500.00	Scholarships General Funds
Fraternal Order of Police Lodge #16 P.O. Box 401 Owensboro, KY. 42302-0401 Tax ID # 23-7238401	500.00	Shop with a Cop Christmas
Gennesaret Retreat Ministries of Dioceses of West KY c/o Tom Payne 2251 Becklynn Dr Owensboro, KY 42303 Tax ID# 53-0196617	2,500.00	retreats for chronically ill patients
Gospel Community Church P.O. Box 22668 Owensboro, KY. 42304 Attention: Joseph D. Hinton, Pastor Tax ID# 81-2755376	5,000.00	update security and repair HVAC system
Green River Area Down Syndrome (GRADSA) P.O. Box 2031 Owensboro, KY 42302 Attention: Tiffany Thrash Tax ID #61-1312541	5,000.00	Programming for Youth with Special needs
Honor Flight-Bluegrass Chapter 222 St. Ann Street	450.00	Donation

Owensboro, KY 42301
Tax ID # 26-2237257

Imagination Library of Daviess County 1700nWest Fifth Street Owensboro, KY. 42301 Attention: Diane Bowers Tax ID # 23-7364935	2,500 00	book program for children 0-5 years of age
---	----------	--

Immaculate Conception Catholic Church c/o McRaith Catholic Center 600 Locust Street Owensboro, KY. 42301 Tax ID# 53-0196617	3,000.00	Mothers of Mercy Messengers speaking Event at Cathedral March 27, 28, 2019
---	----------	---

Institute for Young Musicians P.O Box 1714 Owensboro, KY. 42302 Attention: Cheston Hoover Tax ID #61-1153717	2,500.00	Youth Programming
--	----------	-------------------

Maximilian Montessori Academy 1401 B Spring Bank Dr Owensboro, KY. 42303 Attention: Amy Kemper Tax ID # 26-2559147	12,500.00	Educational Programming
--	-----------	-------------------------

Mentor Kids Kentucky 2815 Veach Road Owensboro, KY 42303 Attention. Berly Sullivan Tax ID # 61-1222299	2,000.00	office supplies/building materials
--	----------	------------------------------------

Murray State University 102 Curris Center Murray, KY. 42071 Tax ID # 61-6053844	5,000 00	Scholarship general fund
--	----------	--------------------------

Owensboro Catholic High School 1524 West Parrish Avenue Owensboro, KY 42301 Attention. Jennifer Westerfield Tax ID # 53-0196617	2,500.00	Project graduation
---	----------	--------------------

Owensboro Catholic Consolidated Schools 1524 West Parrish Avenue (School Health Program) Owensboro, KY 42301 Attention. Sherry Krampee, RN, BSN Tax ID # 53-0196617	2,000 00	Ed materials health room supplies
---	----------	-----------------------------------

Owensboro Community & Tech College 4800 New Hartford Road Owensboro, KY. 42303 Tax ID #61-1109704	5,000 00	Scholarship Program
--	----------	---------------------

Owensboro Dance Theatre, Inc 2705 Breckenridge Street Owenboro, KY 42303 Attention: Jennie Boggess Tax ID # 61-1040701	5,000 00	Dance for Life Projects with special need students
--	----------	--

Owensboro Museum of Fine Arts 901 Frederica St Owensboro, KY. 42301 Attention: Mary Bryan Hood Tax ID# 31-0896672	20,000.00	Artland Program
Owensboro Symphony Orchestra, Inc 211 East Second Street Owensboro, KY. 42303 Attention: Dan Griffith Tax ID # 61-6055984	5,000.00	Underwrite String Residency Program with elem schools
Passionist Nuns 8564 Crisp Rd Whitesville, KY. 42378 Tax ID# 53-0196617	6,000.00	Men and Women Retreats
Pleasant Valley Community Church 800 Pleasant Valley Rd Owensboro, KY 42303 Attention: Jamus Edwards Tax ID # 31-1571467	57,500.00	Love Loud and various youth projects Director Donation to be used in youth projects
Right to Life of Owensboro Ed Program 1115 Tamarack Rd Suite 200 Owensboro, KY 42301 Attention: Stacey Davis Tax ID# 30-0007710	16,000.00	various ed program and materials Bus trip ed to Washington, DC
RiverPark Center 101 Daviess Street Owensboro, KY 42303 Attention: Roxi Witt Tax ID # 61-1147328	5,000.00	support arts and education programs Art Teach Kids
St Bernard Preparatory School, Inc. 1600 St. Bernard Drive SE Cullman, AL 35055 Attention: Fr Marcus J. Voss, OSB Tax ID# 53-0196617	13,000.00	Update various heating and water systems
St Mary of the Woods Church P.O Box 1 Whitesville, KY. 42378 Attention: Fr Brian Roby Tax ID # 53-0196617	5,000.00	Youth Programs Dir Donation
St Mary of the Woods Catholic School 10521 Franklin Street Whitesville, KY. 42378 Attention: Emily Hernandez, Principal Tax ID # 53-0196617	10,000.00	Update computer labs and library
Thruston Philpot Volunteer Fire Dept 2592 Reid Road Owensboro, KY 42303 Attention: Chief Bob Newman Tax ID # 61-1007649	5,000.00	Operational Expenses -Drew Dir Donation
Trinity High School 10510 Main Cross Street	25,000.00	Turtion Suppliment Assistance

Whitesville, KY 42378
Attn. Emily Hernandez/ Principal
Tax ID# 53-0196617

University of Kentucky Newman Center
c/o Lisa Bivins
320 Rose Ln
Lexington, KY. 40508
Tax ID #61-1132894

2,000.00

Youth Programming

Youth 2000-Marian Shrine Committee
c/o Diocese of Owensboro
601 Locust Street
Owensboro, KY. 42301
Tax ID# 53-0196617

15,000.00

Youth Programs

Total Grant Dollars for 2019

489,975.00

FORM: 990PF

			Federal	Federal	
			Tax Due:	Refund Due:	EFTPS PIN:
Fed Tax ID:	Acct No:	Account Name:			
62-6323409	450001011	JESSIE BARKER MCKELLAR	\$0 00	\$0 00	0048
26-1375584	630029015	MICHAEL E HORN	\$0 00	\$0 00	9919

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

- ▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Go to www.irs.gov/F1041 for instructions and the latest information.

OMB No 1545-0092

2019

Name of estate or trust

Employer identification number

MICHAEL E HORN FAMILY FOUNDATION INC

26-1375584

Did you dispose of any investment(s) in a qualified opportunity fund during the tax year? ☐ Yes ☐ No

If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Generally Assets Held One Year or Less (see instructions)

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	1,546.	1,553.		-7.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2018 Capital Loss Carryover Worksheet			6	()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶			7	-7.

Part II Long-Term Capital Gains and Losses - Generally Assets Held More Than One Year (see instructions)

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	1,288,556.	1,154,757.		133,799.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts			12	
13 Capital gain distributions			13	21,970.
14 Gain from Form 4797, Part I			14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2018 Capital Loss Carryover Worksheet			15	()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶			16	155,769.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2019

Part III Summary of Parts I and II**Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss).	17		-7.
18 Net long-term gain or (loss):			
a Total for year	18a		155,769.
b Unrecaptured section 1250 gain (see line 18 of the worksheet.) . .	18b		809.
c 28% rate gain	18c		
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		155,762.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **don't** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
 a The loss on line 19, column (3) **or b** \$3,000 **20** ()

Note: If the loss on line 19, column (3), is more than \$3,000, **or** if Form 1041, page 1, line 23 (or Form 990-T, line 39), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 23, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 39, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 23 (or Form 990-T, line 39). . .	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,650	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26	32		
33 Enter the smaller of line 21 or \$12,950	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15% (0.15) ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% (0.20) ▶	41		
42 Figure the tax on the amount on line 27. Use the 2019 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2019 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, Part I, line 1a (or Form 990-T, line 41) ▶	45		

Schedule D (Form 1041) 2019

Schedule of Contributors

OMB No. 1545-0047

2019

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

Name of the organization

Employer identification number

MICHAEL E HORN FAMILY FOUNDATION INC

26-1375584

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization MICHAEL E HORN FAMILY FOUNDATION INC	Employer identification number 26-1375584
---	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Michael E Horn Clat #1 4969 US Hwy 42 Ste 2000 Louisville, KY 40222	\$ 318,270.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)