

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, 2019, and ending

, 20

Name of foundation **MICHAEL E HORN FAMILY FOUNDATION INC**

630029015

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

P.O. BOX 1944

City or town, state or province, country, and ZIP or foreign postal code

OWENSBORO, KY 42302

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col. (c), line
16) \$ 13,539,692.J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d), must be on cash basis.)

A Employer identification number

26-1375584

B Telephone number (see instructions)

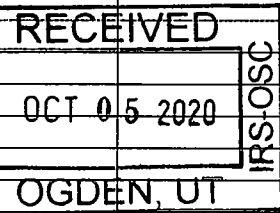
270-313-0425

C If exemption application is
pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the
85% test, check here and attach
computationE If private foundation status was terminated
under section 507(b)(1)(A), check hereF If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions).)(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	318,270.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	292,473.	292,473.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	155,762.			
b Gross sales price for all assets on line 6a 1,312,072.				
7 Capital gain net income (from Part IV, line 2)		155,762.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	766,505.	448,235.		
13 Compensation of officers, directors, trustees, etc.	94,822.	1,896.		92,926.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 2	4,000.	600.	NONE	3,400.
c Other professional fees (attach schedule) STMT 3	49,975.	49,975.		
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 4	8,662.	1,553.		7,109.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 5	58,310.	679.		57,631.
24 Total operating and administrative expenses. Add lines 13 through 23.	215,769.	54,703.	NONE	161,066.
25 Contributions, gifts, grants paid	542,975.			542,975.
26 Total expenses and disbursements. Add lines 24 and 25	758,744.	54,703.	NONE	704,041.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	7,761.			
b Net investment income (if negative, enter -0-)		393,532.		
c Adjusted net income (if negative, enter -0-)				



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		49,034.	29,234.	29,234.
	2	Savings and temporary cash investments		617,511.	656,776.	656,776.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶	NONE			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations (attach schedule)	STMT 6	329,104.	316,785.	344,531.
	b	Investments - corporate stock (attach schedule)	STMT 7	5,199,465.	4,742,886.	8,485,574.
	c	Investments - corporate bonds (attach schedule)	STMT 8	2,414,676.	2,717,655.	2,713,932.
	11	Investments - land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule)	STMT 9	1,029,796.	1,192,129.	1,309,645.
	14	Land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach schedule) ▶				
	15	Other assets (describe ▶)				
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		9,639,586.	9,655,465.	13,539,692.
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)			NONE	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>				
		and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>				
		and complete lines 26 through 30				
	26	Capital stock, trust principal, or current funds		9,639,586.	9,655,465.	
	27	Paid-in or capital surplus, or land, bldg, and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)		9,639,586.	9,655,465.	
	30	Total liabilities and net assets/fund balances (see instructions)		9,639,586.	9,655,465.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,639,586.
2	Enter amount from Part I, line 27a	2	7,761.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	13,033.
4	Add lines 1, 2, and 3	4	9,660,380.
5	Decreases not included in line 2 (itemize) ▶ 2018 NON DIVIDENDS DISTRIBUTIONS	5	4,915.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	9,655,465.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,312,072.		1,156,310.	155,762.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0- or Losses (from col. (h)))		
a			155,762.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	155,762.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	698,214.	12,063,738.	0.057877
2017	653,373.	11,798,871.	0.055376
2016	632,550.	11,238,832.	0.056283
2015	759,507.	11,484,202.	0.066135
2014	481,527.	8,869,059.	0.054293
2 Total of line 1, column (d)			2 0.289964
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.057993
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 12,476,826.
5 Multiply line 4 by line 3.			5 723,569.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,935.
7 Add lines 5 and 6.			7 727,504.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 704,041.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	7,871.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	NONE
3 Add lines 1 and 2		3	7,871.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,871.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,576.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	12,576.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,705.	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> 4,705. Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.HORNFAMILYFOUNDATION.ORG</u>	13	X
14 The books are in care of ► <u>SEE STATEMENT 11</u> Telephone no. ► _____ Located at ► _____ ZIP+4 ► _____		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► _____	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
Organizations relying on a current notice regarding disaster assistance, check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,046,553.
b	Average of monthly cash balances	1b	620,275.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	12,666,828.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d.	3	12,666,828.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	190,002.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,476,826.
6	Minimum investment return. Enter 5% of line 5	6	623,841.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	623,841.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	7,871.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	7,871.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	615,970.
4	Recoveries of amounts treated as qualifying distributions.	4	NONE
5	Add lines 3 and 4	5	615,970.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	615,970.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	704,041.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	704,041.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	704,041.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				615,970.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2019				
a From 2014	45,040.			
b From 2015	194,735.			
c From 2016	82,582.			
d From 2017	71,659.			
e From 2018	100,179.			
f Total of lines 3a through e	494,195.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 704,041.				
a Applied to 2018, but not more than line 2a . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2019 distributable amount.				615,970.
e Remaining amount distributed out of corpus. . .	88,071.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	582,266.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2018 Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .	45,040.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	537,226.			
10 Analysis of line 9.				
a Excess from 2015 . . .	194,735.			
b Excess from 2016 . . .	82,582.			
c Excess from 2017 . . .	71,659.			
d Excess from 2018 . . .	100,179.			
e Excess from 2019 . . .	88,071.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2019

(b) 2018

(c) 2017

(d) 2016

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII, line 4, for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section

4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed.

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Scholarships (See Attached)			SEE ATTACHED	53,000.
Grant Allocations (See Attached)			SEE ATTACHED	489,975.
Total ▶ 3a				542,975.
b Approved for future payment				
Total ▶ 3b				

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2019

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

Name of the organization

Employer identification number

MICHAEL E HORN FAMILY FOUNDATION INC

26-1375584

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

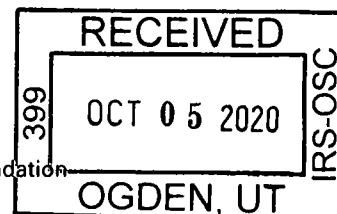
☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation



Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization MICHAEL E HORN FAMILY FOUNDATION INC	Employer identification number 26-1375584
---------------------------------------------------------------------	-----------------------------------------------------

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Michael E Horn Clat #1 4969 US Hwy 42 Ste 2000 Louisville, KY 40222	\$ 318,270.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT

2019 NON DIVIDEND DISTRIBUTIONS	8,202.
TIMING DIFFERENCES-MUTUAL FUNDS	1,751.
FEDERAL TAX REFUND	3,080.

TOTAL

13,033.
=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: THE GLENVIEW TRUST COMPANY

ADDRESS: 4969 US HIGHWAY 42, SUITE 2000
LOUISVILLE, KY 40222

TELEPHONE NUMBER: (502)212-7814

RECIPIENT NAME:

KATHRYN CROWE

ADDRESS:

PO BOX 1944

OWENSBORO, KY 42302

RECIPIENT'S PHONE NUMBER: 270-313-0245

FORM, INFORMATION AND MATERIALS:

GRANT AND SCHOLARSHIP APPLICATIONS-SPECIFIC INFORMATION
TO INCLUDE IS STATED IN THE APPLICATION

SUBMISSION DEADLINES:

SCHOLARSHIP APPLICATION DEADLINE IS MARCH 1ST

FOUNDATION GRANT DEADLINE IS OCTOBER 15TH

RESTRICTIONS OR LIMITATIONS ON AWARDS:

SEE ATTACHED STATEMENT

Recipient Name	2019 Grants	Grant Amount	Purpose
American Legion Baseball Post 9 c/o Larry Vanover, Director 2825 Summer Valley Lane Owensboro, KY. 42303 Tax ID# 61-0389253		22,000.00	Youth baseball programs
Bluegrass Music Museum 311 West Second Street Owensboro, KY 42303 Attention: Chris Joslin Tax ID # 61-1229037		45,000.00	ROMP, Bluegrass in the Schools Education Group Museum Volunteer Development ROMP streamline at Museum Kathryn donation
Carenet 922 Triplett Street Suite 7 Owensboro, KY 42301 Attention: Lisa Hyland Tax ID # 20-0736119		95,000.00	Sexual Ingegrity Classes in local high schools Capital Campaign
Carmel Home 2501 Old Hartford Road Owensboro, KY. 42303 Attention: Sister Francis Terese Tax ID #61-0487564		8,000 00	Donation
CASA of Ohio Valley 415 St Ann Street Owensboro, KY 42303 Attention: Rosemary Conder Tax ID #61-1303511		5,000.00	Community Partner Super Child Hero Fund
Casting A Net, Inc. 50 Brooks School House Rd Spur Calhoun, KY 42327 Attention: Layla Thompson Tax ID # 46-2373489		3,500.00	Programs for Youth
Catholic Mens Conference of Western Kentucky 600 Locust Street Owensboro, KY. 42301 Attention. Robert Edge Tax ID # 53-0196617		1,000.00	Educational Support for Conferences for Men Dir Donation
Catholic Prison Ministry 600 Locust Street Owensboro, KY. 42301 Attention: Fr. Ray Clark Tax ID # 53-0196617		725.00	Ed materials
Chaplin Ministry for Owensboro Health 600 Locust Street Owensboro, KY. 42301 Attention: Fr. Richard Cash Tax ID # 53-0196617		5,200 00	Materials and supplies for chapel
Cliff Hagan Boys & Girls Club 3415 Buckland Square Owensboro, KY. 42301 Attention: Steve Winkler Tax ID #61-0663746		32,500.00	Upgrade to security and refinish gym floor Operating Expenses for Mike Horn Unit

Community Dental Clinic of Owensboro 2811 New Hartford Rd. Suite A Owensboro, KY. 42303 Attention: Dr. Brandon Taylor Tax ID # 26-2343126	7,500.00	Capital Campign for purchase of building
Daviess County Public School Health Program 1622 Southeastern Pkwy Owensboro, KY. 42303 Attention: Wendi Kozel, RN Tax ID # 61-1346930	5,000.00	Ed materials and supplies for health rooms
Diocese of West KY Office Family Life 600 Locust Street Owensboro, KY. 42301 Attention: Danny May Tax ID # 53-0196617	6,600.00	Clean Heart Inititive Program
DreamRiders P.O. Box 172 Philpot, KY 42366 Attention: Kelly Flick Tax ID#01-0802025	5,000.00	Programing for Special Needs Children
FOCUS Fellowship of Catholic University Students 601 Cascadig Creek Ln Winter Garden, FL 34787 Attention: Andy Day Tax ID # 84-1522811	7,500.00	Ministry work at Western Ky Univ and Murray St Univ
Franciscan University of Stubenville 1235 University Boulevard Steubenville, Ohio 43952-1763 Tax ID# 34-0714818	500.00	Scholarships General Funds
Fraternal Order of Police Lodge #16 P.O. Box 401 Owensboro, KY. 42302-0401 Tax ID # 23-7238401	500.00	Shop with a Cop Christmas
Gennesaret Retreat Ministries of Dioceses of West KY c/o Tom Payne 2251 Becklynn Dr Owensboro, KY. 42303 Tax ID# 53-0196617	2,500.00	retreats for chronically ill patients
Gospel Community Church P.O. Box 22668 Owensboro, KY. 42304 Attention: Joseph D. Hinton, Pastor Tax ID# 81-2755376	5,000.00	update security and repair HVAC system
Green River Area Down Syndrome (GRADSA) P.O. Box 2031 Owensboro, KY. 42302 Attention: Tiffany Thrash Tax ID #61-1312541	5,000.00	Programming for Youth with Special needs
Honor Flight-Bluegrass Chapter 222 St. Ann Street	450.00	Donation

Owensboro, KY. 42301
Tax ID # 26-2237257

Imagination Library of Daviess County
1700nWest Fifth Street
Owensboro, KY. 42301
Attention: Diane Bowers
Tax ID # 23-7364935

2,500.00

book program for children 0-5 years of age

Immaculate Conception Catholic Church
c/o McRaith Catholic Center
600 Locust Street
Owensboro, KY. 42301
Tax ID# 53-0196617

3,000.00

Mothers of Mercy Messengers speaking
Event at Cathedral March 27, 28, 2019

Institute for Young Musicians
P.O. Box 1714
Owensboro, KY. 42302
Attention: Cheston Hoover
Tax ID #61-1153717

2,500.00

Youth Programming

Maximilian Montessori Academy
1401 B Spring Bank Dr.
Owensboro, KY. 42303
Attention: Amy Kemper
Tax ID # 26-2559147

12,500 00

Educational Programming

Mentor Kids Kentucky
2815 Veach Road
Owensboro, KY 42303
Attention: Berly Sullivan
Tax ID # 61-1222299

2,000.00

office supplies/building materials

Murray State University
102 Curris Center
Murray, KY. 42071
Tax ID # 61-6053844

5,000.00

Scholarship general fund

Owensboro Catholic High School
1524 West Parrish Avenue
Owensboro, KY 42301
Attention: Jennifer Westerfield
Tax ID # 53-0196617

2,500.00

Project graduation

Owensboro Catholic Consolidated Schools
1524 West Parrish Avenue (School Health Program)
Owensboro, KY. 42301
Attention: Sherry Krampee, RN, BSN
Tax ID # 53-0196617

2,000.00

Ed materials health room supplies

Owensboro Community & Tech College
4800 New Hartford Road
Owensboro, KY 42303
Tax ID #61-1109704

5,000.00

Scholarship Program

Owensboro Dance Theatre, Inc.
2705 Breckenridge Street
Owenboro, KY. 42303
Attention: Jennie Boggess
Tax ID # 61-1040701

5,000.00

Dance for Life Projects with special need students

Owensboro Museum of Fine Arts 901 Frederica St. Owensboro, KY. 42301 Attention: Mary Bryan Hood Tax ID# 31-0896672	20,000.00	Artland Program
Owensboro Symphony Orchestra, Inc 211 East Second Street Owensboro, KY. 42303 Attention: Dan Griffith Tax ID # 61-6055984	5,000.00	Underwrite String Residency Program with elem schools
Passionist Nuns 8564 Crisp Rd Whitesville, KY. 42378 Tax ID# 53-0196617	6,000.00	Men and Women Retreats
Pleasant Valley Community Church 800 Pleasant Valley Rd. Owensboro, KY. 42303 Attention: Jamus Edwards Tax ID # 31-1571467	57,500.00	Love Loud and various youth projects Director Donation to be used in youth projects
Right to Life of Owensboro Ed Program 1115 Tamarack Rd. Suite 200 Owensboro, KY. 42301 Attention: Stacey Davis Tax ID# 30-0007710	16,000.00	various ed program and materials Bus trip ed to Washington, DC
RiverPark Center 101 Daviess Street Owensboro, KY. 42303 Attention: Roxi Witt Tax ID # 61-1147328	5,000 00	support arts and education programs Art Teach Kids
St. Bernard Preparatory School, Inc 1600 St. Bernard Drive SE Cullman, AL 35055 Attention: Fr. Marcus J. Voss, OSB Tax ID# 53-0196617	13,000.00	Update various heating and water systems
St Mary of the Woods Church P.O. Box 1 Whitesville, KY. 42378 Attention: Fr. Brian Roby Tax ID # 53-0196617	5,000.00	Youth Programs Dir Donation
St Mary of the Woods Catholic School 10521 Franklin Street Whitesville, KY. 42378 Attention: Emily Hernandez, Principal Tax ID # 53-0196617	10,000.00	Update computer labs and library
Thruston Philpot Volunteer Fire Dept 2592 Reid Road Owensboro, KY 42303 Attention: Chief Bob Newman Tax ID # 61-1007649	5,000 00	Operational Expenses -Drew Dir Donation
Trinity High School 10510 Main Cross Street	25,000.00	Tuition Suppliment Assistance

Whitesville, KY. 42378
Attn: Emily Hernandex/ Principal
Tax ID# 53-0196617

University of Kentuciy Newman Center
c/o Lisa Bivins
320 Rose Ln
Lexington, KY. 40508
Tax ID #61-1132894

2,000.00

Youth Programming

Youth 2000-Marian Shrine Committee
c/o Diocese of Owensboro
601 Locust Street
Owensboro, KY. 42301
Tax ID# 53-0196617

15,000.00

Youth Programs

Total Grant Dollars for 2019

489,975.00