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Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2019

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2019 calendar year, or tax year beginning 07-01-2019 , and ending 06-30-2020

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
% MEDHA NARVEKAR SECRETARY
Doing business as
Number and street (or P.O. box if mail is not delivered to street address) Room/suite
3451 WALNUT STREET Suite ROOM 305
City or town, state or province, country, and ZIP or foreign postal code
PHILADELPHIA, PA 191046284

D Employer identification number
23-1352685
E Telephone number
(215) 898-8967
G Gross receipts \$ 23,384,644,640

F Name and address of principal officer:
DR AMY GUTMANN PRESIDENT
3451 WALNUT STREET
PHILADLEPHIA, PA 19104

H(a) Is this a group return for subordinates?
☐ Yes ☒ No
H(b) Are all subordinates included?
☐ Yes ☐ No
If "No," attach a list. (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.UPENN.EDU

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1740

M State of legal domicile: PA

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities:
THE PROVISION OF EDUCATION, RESEARCH, AND CHARITABLE PATIENT CARE SERVICES.

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) 3 56

4 Number of independent voting members of the governing body (Part VI, line 1b) 4 56

5 Total number of individuals employed in calendar year 2019 (Part V, line 2a) 5 55,450

6 Total number of volunteers (estimate if necessary) 6 34,747

7a Total unrelated business revenue from Part VIII, column (C), line 12 7a 2,374,982

b Net unrelated business taxable income from Form 990-T, line 39 7b 0

Revenue

8 Contributions and grants (Part VIII, line 1h) 1,705,237,000 1,720,501,000

9 Program service revenue (Part VIII, line 2g) 5,334,589,156 5,296,302,040

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 811,502,000 518,611,000

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 91,415,844 89,124,960

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) 7,942,744,000 7,624,539,000

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3) 478,642,962 503,759,404

14 Benefits paid to or for members (Part IX, column (A), line 4) 0 0

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) 3,891,110,000 4,049,842,999

16a Professional fundraising fees (Part IX, column (A), line 11e) 708,182 1,569,916

b Total fundraising expenses (Part IX, column (D), line 25) ▶103,583,118

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) 2,608,533,856 2,657,874,681

18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25) 6,978,995,000 7,213,047,000

19 Revenue less expenses. Subtract line 18 from line 12 963,749,000 411,492,000

Net Assets or Fund Balances

20 Total assets (Part X, line 16) 22,754,777,000 24,607,104,000

21 Total liabilities (Part X, line 26) 6,635,778,000 8,280,756,000

22 Net assets or fund balances. Subtract line 21 from line 20 16,118,999,000 16,326,348,000

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer
Date 2021-04-30

MARYFRANCES MCCOURT ASSOCIATE COMPTROLLER
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name Preparer's signature Date

Check ☐ if self-employed PTIN P00858539

Firm's name ▶ PricewaterhouseCoopers LLP Firm's EIN ▶

Firm's address ▶ 2001 MARKET ST SUITE 1800
PHILADELPHIA, PA 19103 Phone no. (267) 330-3000

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions. Cat. No. 11282Y Form 990 (2019)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission:

THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") SEES ITSELF AS HAVING A PUBLIC SERVICE MISSION. IN SUCH REGARD, THE UNIVERSITY AIMS TO PROVIDE A RICH AND DIVERSE EDUCATIONAL ENVIRONMENT FOR ITS STUDENTS; TO PIONEER RESEARCH THAT PUSHES THE BOUNDARIES OF CURRENT HUMAN KNOWLEDGE; AND TO PROVIDE THE MOST CURRENT AND HIGHEST QUALITY PATIENT CARE THROUGH THE UNIVERSITY HEALTH SYSTEM.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code:) (Expenses \$ 3,396,147,639 including grants of \$ 503,759,404) (Revenue \$ 2,185,821,040)
See Additional Data	





























4b	(Code:) (Expenses \$ 3,041,519,473 including grants of \$ 0) (Revenue \$ 3,111,105,000)
See Additional Data	

4c	(Code:) (Expenses \$ including grants of \$) (Revenue \$)
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4d	Other program services (Describe in Schedule O.)
(Expenses \$ including grants of \$) (Revenue \$)	

4e	Total program service expenses ▶ 6,437,667,112
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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H 	20a Yes	
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? 	20b Yes	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II 	21 Yes	

Part IV Checklist of Required Schedules (continued)

		Yes	No	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26	Yes	
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV	28a		No
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		No
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☒

		Yes	No	
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	8,304	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes	

Part V **Statements Regarding Other IRS Filings and Tax Compliance** (continued)

2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 55,450			
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	Yes		
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes		
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	Yes		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes		
b If "Yes," enter the name of the foreign country: BD, BC, CA, CJ, CH, UC, FR, HK, EI, JA See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).				
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No	
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No	
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c			
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		No	
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b			
7 Organizations that may receive deductible contributions under section 170(c).				
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes		
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		No	
d If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No	
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		No	
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g			
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h			
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		No	
9 Sponsoring organizations maintaining donor advised funds.				
a Did the sponsoring organization make any taxable distributions under section 4966?	9a		No	
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		No	
10 Section 501(c)(7) organizations. Enter:				
a Initiation fees and capital contributions included on Part VIII, line 12	10a			
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11 Section 501(c)(12) organizations. Enter:				
a Gross income from members or shareholders	11a			
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?				
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b			
13 Section 501(c)(29) qualified nonprofit health insurance issuers.				
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a			
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c Enter the amount of reserves on hand	13c			
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		No	
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b			
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 720, Schedule N.	15	Yes		
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	16		No	

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	1a 56		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b Enter the number of voting members included in line 1a, above, who are independent	1b 56		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6 Did the organization have members or stockholders?	6		No
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		No
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		No
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8a	Yes	
b Each committee with authority to act on behalf of the governing body?	8b	Yes	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	Yes	

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	No
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13 Did the organization have a written whistleblower policy?	13	Yes
14 Did the organization have a written document retention and destruction policy?	14	Yes
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	Yes
b Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Yes

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed▶
AK, AR, CA, CO, CT, DC, FL, KS, KY, LA, MA, MI, MN, NH, ND, OH, OK, OR, PA, SC, UT, WA, WV

18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:
▶MEDHA NARVEKAR SECRETARY 211 COLLEGE HALL PHILADELPHIA, PA 191046303 (215) 898-7005

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

[illegible]

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

[illegible]

1b Sub-Total			
c Total from continuation sheets to Part VII, Section A			
d Total (add lines 1b and 1c)	45,228,812	0	6,370,944

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 9,381

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation
LF DRISCOLL COMPANY LLC, 401 CITY AVE STE 500 BALA CYNWYD, PA 19004	CONSTRUCTION MGMT	34,158,637
INTECH CONSTRUCTION INC, 3001 MARKET ST STE 140 1ST FL E PHILADELPHIA, PA 19104	CONSTRUCTION MGMT	32,517,261
DRISCOLL BALFOUR BEATTY, 401 CITY AVE STE 500 BALA CYNWYD, PA 19004	CONSTRUCTION MGMT	29,677,047
HUNTER ROBERTS CONSTRUCTION GROUP, 1717 ARCH ST SUITE 3410 PHILADELPHIA, PA 19103	CONSTRUCTION MGMT	25,079,088
CLEMENS CONSTRUCTION CO INC, 1435 WALNUT ST 2ND FL PHILADELPHIA, PA 19102	CONSTRUCTION MGMT	22,017,103

<p>2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 741</p>	
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Form 990 (2019)										Page 9			
Part VIII Statement of Revenue													
Check if Schedule O contains a response or note to any line in this Part VIII <input type="checkbox"/>													
						(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514				
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . .	1a											
	b Membership dues . . .	1b											
	c Fundraising events . . .	1c	600,312										
	d Related organizations	1d											
	e Government grants (contributions)	1e	871,731,000										
	f All other contributions, gifts, grants, and similar amounts not included above	1f	848,169,688										
	g Noncash contributions included in lines 1a - 1f:\$	1g	20,595,363										
	h Total. Add lines 1a-1f ▶			1,720,501,000									
Program Service Revenue			Business Code										
	2a TUITION & FEES	900099		1,504,479,000		1,504,479,000							
	b NET PATIENT SERVICE HEALTHCARE REVENUES	621110		3,111,105,000		3,111,105,000							
	c AUXILIARY ENTERPRISES	611710		220,645,000		220,645,000							
	d OTHER PROGRAM SERVICE REVENUES	611710		460,073,040		457,698,058		2,374,982					
	e												
	f All other program service revenue.												
	g Total. Add lines 2a-2f. ▶			5,296,302,040									
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶			103,425,000						103,425,000			
	4 Income from investment of tax-exempt bond proceeds ▶			3,915,000						3,915,000			
	5 Royalties ▶			70,002,000						70,002,000			
			(i) Real	(ii) Personal									
	6a Gross rents	6a	18,546,000	5,000									
	b Less: rental expenses	6b											
	c Rental income or (loss)	6c	18,546,000	5,000									
	d Net rental income or (loss) ▶			18,551,000						18,551,000			
			(i) Securities	(ii) Other									
	7a Gross amount from sales of assets other than inventory	7a	16,168,730,000	-788,000									
	b Less: cost or other basis and sales expenses	7b	15,756,671,000										
	c Gain or (loss)	7c	412,059,000	-788,000									
	d Net gain or (loss) ▶			411,271,000									
	8a Gross income from fundraising events (not including \$ 600,312 of contributions reported on line 1c). See Part IV, line 18	8a	231,600										
	b Less: direct expenses	8b	283,640										
	c Net income or (loss) from fundraising events . . . ▶			-52,040						-52,040			
	9a Gross income from gaming activities. See Part IV, line 19	9a	0										
	b Less: direct expenses	9b	0										
c Net income or (loss) from gaming activities . . . ▶			0										
10a Gross sales of inventory, less returns and allowances . . .	10a	3,775,000											
b Less: cost of goods sold . . .	10b	3,151,000											
c Net income or (loss) from sales of inventory . . . ▶			624,000		624,000								
Miscellaneous Revenue		Business Code											
11a													
b													
c													
d All other revenue													
e Total. Add lines 11a-11d ▶			0										
12 Total revenue. See instructions ▶			7,624,539,000		5,294,551,058		2,374,982		195,840,960				

Form 990 (2019)

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	4,971,681	4,971,681		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	496,753,934	496,753,934		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	2,033,789	2,033,789		
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	48,206,974	45,796,625	2,410,349	0
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	20,937,147	19,890,290	1,046,857	0
7 Other salaries and wages	3,154,293,769	2,753,741,263	346,972,315	53,580,191
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	220,412,000	192,423,000	24,245,000	3,744,000
9 Other employee benefits	394,371,109	344,291,330	43,380,822	6,698,957
10 Payroll taxes	211,622,000	184,749,000	23,278,000	3,595,000
11 Fees for services (non-employees):				
a Management	7,307,000	4,530,000	366,000	2,411,000
b Legal	16,941,000	14,061,000	2,871,000	9,000
c Accounting	2,831,000	2,463,000	368,000	0
d Lobbying	251,000	208,000	43,000	0
e Professional fundraising services. See Part IV, line 17	1,569,916			1,569,916
f Investment management fees	53,416,000	0	53,416,000	0
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	431,940,000	365,266,000	60,471,000	6,203,000
12 Advertising and promotion	25,721,000	21,236,000	4,115,000	370,000
13 Office expenses	238,807,000	213,623,000	16,717,000	8,467,000
14 Information technology	14,491,000	12,028,000	1,252,000	1,211,000
15 Royalties	1,805,000	1,805,000	0	0
16 Occupancy	198,303,000	178,472,000	15,261,000	4,570,000
17 Travel	63,258,000	55,195,000	5,060,000	3,003,000
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0	0	0	0
19 Conferences, conventions, and meetings	32,506,000	25,477,000	2,600,000	4,429,000
20 Interest	63,834,000	57,451,000	6,383,000	0
21 Payments to affiliates	-1,782,000	-1,782,000	0	0
22 Depreciation, depletion, and amortization	343,592,000	309,233,000	34,359,000	0
23 Insurance	74,538,000	64,848,000	9,681,000	9,000
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MEDICAL SUPPLIES	806,958,000	806,958,000	0	0
b OTHER TAXES	118,706,000	115,145,000	3,549,000	12,000
c SUBSCRIPTIONS/DUES	13,622,000	11,851,000	1,584,000	187,000
d ACADEMIC BAD DEBTS	9,939,000	8,647,000	1,292,000	0
e All other expenses	140,890,681	126,301,200	11,075,427	3,514,054
25 Total functional expenses. Add lines 1 through 24e	7,213,047,000	6,437,667,112	671,796,770	103,583,118
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☒

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		0	1	0
	2	Savings and temporary cash investments		1,169,301,000	2	1,681,542,000
	3	Pledges and grants receivable, net		483,068,000	3	473,985,000
	4	Accounts receivable, net		595,916,000	4	597,946,000
	5	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		250,000	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		700,238	6	637,381
	7	Notes and loans receivable, net		71,357,762	7	62,743,619
	8	Inventories for sale or use		38,694,000	8	40,043,000
	9	Prepaid expenses and deferred charges		65,302,000	9	88,490,000
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 11,362,493,000			
	b	Less: accumulated depreciation	10b 4,960,308,000	5,739,883,000	10c	6,402,185,000
	11	Investments—publicly traded securities		5,549,045,680	11	5,200,547,595
	12	Investments—other securities. See Part IV, line 11		8,861,362,320	12	9,526,822,405
	13	Investments—program-related. See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets. See Part IV, line 11		179,897,000	15	532,162,000
16	Total assets. Add lines 1 through 15 (must equal line 34)		22,754,777,000	16	24,607,104,000	
Liabilities	17	Accounts payable and accrued expenses		2,261,886,000	17	2,849,963,000
	18	Grants payable		0	18	0
	19	Deferred revenue		135,025,000	19	115,434,000
	20	Tax-exempt bond liabilities		2,155,528,000	20	2,862,562,000
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		12,774,000	23	182,382,000
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D		2,070,565,000	25	2,270,415,000
	26	Total liabilities. Add lines 17 through 25		6,635,778,000	26	8,280,756,000
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.					
	27	Net assets without donor restrictions		8,619,742,000	27	8,656,902,000
	28	Net assets with donor restrictions		7,499,257,000	28	7,669,446,000
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.					
	29	Capital stock or trust principal, or current funds			29	
	30	Paid-in or capital surplus, or land, building or equipment fund			30	
	31	Retained earnings, endowment, accumulated income, or other funds			31	
	32	Total net assets or fund balances		16,118,999,000	32	16,326,348,000
33	Total liabilities and net assets/fund balances		22,754,777,000	33	24,607,104,000	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	7,624,539,000
2	Total expenses (must equal Part IX, column (A), line 25)	2	7,213,047,000
3	Revenue less expenses. Subtract line 2 from line 1	3	411,492,000
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	16,118,999,000
5	Net unrealized gains (losses) on investments	5	28,699,000
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-232,842,000
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	16,326,348,000

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	No	
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	Yes	

Additional Data

Software ID:
Software Version:
EIN: 23-1352685
Name: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Form 990 (2019)

Form 990, Part III, Line 4a:

SEE SCHEDULE O

Form 990, Part III, Line 4b: <u>SEE SCHEDULE O</u>
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Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
RALPH MULLER FORMER CEO, UPHS	0.0 0.0						X	5,971,412	0	7,503
PETER AMMON CHIEF INVESTMENT OFFICER	55.0 0.0				X			3,483,137	0	1,716,887
LARRY JAMESON EXEC. VP UPHS & DEAN OF PSOM	54.0 1.0			X				3,694,570	0	965,596
DR AMY GUTMANN PRESIDENT, EX-OFFICIO TRUSTEE	55.0 0.0	X		X				3,072,450	0	864,879
CRAIG CARNAROLI EXECUTIVE VICE PRESIDENT	54.0 1.0			X				1,590,667	0	762,573
WILLIAM C WELCH MD CPUP - NEUROSURGERY	55.0 0.0					X		2,228,320	0	69,781
PHILLIP B STORM MD CHOP - NEUROSURGERY	55.0 0.0					X		2,140,229	0	49,123
MICHAEL SEAN GRADY MD SURGEON - NEUROSURGERY	55.0 0.0					X		2,105,404	0	52,625
N SCOTT ADZICK MD CHOP - SURGERY	55.0 0.0					X		2,057,731	0	44,049
KEVIN MAHONEY CEO, UPHS (AS OF 7/1/2019)	41.0 14.0				X			1,770,889	0	207,844

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JONATHAN CHEN CHOP - SURGEON	55.0 0.0					X		1,907,014	0	49,259
PHILLIP OKALA COO PHILADELPHIA OPERATIONS	47.0 8.0				X			1,588,534	0	193,693
KEITH KASPER CFO, UPHS	41.0 14.0				X			1,451,035	0	188,855
PETER D QUINN MD DMD VICE DEAN, PROF SRVCS	47.0 8.0				X			1,427,717	0	44,191
ELIZABETH B JOHNSTON EXEC. DIR. CPUP (THRU 10/2019)	53.0 2.0				X			1,198,763	0	156,420
REGINA CUNNINGHAM CEO HUP	54.0 1.0				X			1,155,853	0	163,692
WENDELL PRITCHETT PROVOST	54.0 1.0			X				939,020	0	333,543
DEBORAH A DRISCOLL MD SVP CPUP (AS OF 10/2019)	55.0 0.0				X			1,135,936	0	26,561
JOHN ZELLER SVP DEVELOPMENT & ALUMNI RLTNS	55.0 0.0			X				1,031,905	0	53,064
JON EPSTEIN VICE DEAN, PSOM	55.0 0.0				X			1,024,896	0	44,398

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
THOMAS E BEEMAN PHD FMR COO REGIONAL OPS UPHS	0.0 0.0						X	1,046,872	0	17,109
WENDY WHITE SVP & GENERAL COUNSEL	54.0 1.0			X				989,521	0	48,716
GREGORY ROST SVP & CHIEF OF STAFF	54.0 1.0			X				907,514	0	123,488
MARYFRANCES MCCOURT VP FIN. & TREASURER	53.0 2.0			X				692,540	0	87,693
THOMAS MURPHY VP INFO SYSTEMS AND COMPUTING	55.0 0.0			X				616,883	0	99,402
ANNE PAPAGEORGE VP FACILITIES AND REAL ESTATE	53.0 2.0			X				632,702	0	60,305
MEDHA NARVEKAR VP & SECRETARY	55.0 0.0			X				616,632	0	70,386
JOANN MITCHELL SVP INSTITUTIONAL AFFAIRS	55.0 0.0			X				624,319	0	60,032
JACK HEUER VP HUMAN RESOURCES	55.0 0.0			X				574,039	0	69,095
DAWN BONNELL SR VICE PROVOST FOR RESEARCH	55.0 0.0				X			568,478	0	36,609

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
CHRISTOPHER MASOTTI VICE DEAN ADMIN PSOM	55.0 0.0				X			549,598	0	45,829
STEPHEN J MACCARTHY VP COMMUNICATIONS	55.0 0.0			X				527,420	0	59,197
JEFFREY COOPER VP GOVT & COMMUNITY RELATIONS	55.0 0.0			X				486,604	0	51,058
MARIE WITT VP BUSINESS SERVICES	55.0 0.0			X				481,213	0	52,619
TREVOR LEWIS VP BUDGET AND MGMT ANALYSIS	55.0 0.0			X				451,157	0	69,348
MAUREEN RUSH VP PUBLIC SAFETY	54.0 1.0			X				461,523	0	44,117
LESLIE KRUHLY FMR VP AND SECRETARY	0.0 0.0						X	466,989	0	37,734
GREGORY PELLICANO VP, AUDIT, COMPLIANCE & PRIV'Y	55.0 0.0			X				400,313	0	81,708
BETH A WINKELSTEIN VICE PROVOST	55.0 0.0				X			443,034	0	34,532
JOHN HORN COMPTROLLER (THRU 12/31/19)	55.0 0.0			X				365,258	0	45,084

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JOHN SESTITO	55.0									
EXECUTIVE DIRECTOR CPUP	0.0				X			339,767	0	17,725
RUSSELL DILEO	55.0									
COMPTROLLER (AS OF 04/01/20)	0.0			X				193,473	0	64,997
VINCENT PRICE	0.0									
FORMER PROVOST	0.0						X	124,740	0	0
LAURA J ALBER	3.0									
TRUSTEE	0.0	X						0	0	0
BONNIE MIAO BANDEEN	3.0									
TRUSTEE	0.0	X						0	0	0
MICHAEL LLEWELLYN BARRETT	3.0									
TRUSTEE	0.0	X						0	0	0
BRETT H BARTH	3.0									
TRUSTEE	0.0	X						0	0	0
ADAM K BERNSTEIN	3.0									
TRUSTEE	0.0	X						0	0	0
DAVID S BLITZER	3.0									
TRUSTEE	0.0	X						0	0	0
SCOTT L BOK ESQ	3.0									
TRUSTEE	0.0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
WILLIAM POLK CAREY II TRUSTEE	3.0 0.0	X						0	0	0
ALBERTO J CHAMORRO TRUSTEE	3.0 0.0	X						0	0	0
JAMES G DINAN TRUSTEE	3.0 0.0	X						0	0	0
LEE SPELMAN DOTY TRUSTEE	3.0 0.0	X						0	0	0
CONNIE K DUCKWORTH TRUSTEE	3.0 0.0	X						0	0	0
ALBERTO IVAN DURAN TRUSTEE	3.0 0.0	X						0	0	0
DAVID ERTTEL TRUSTEE	3.0 0.0	X						0	0	0
CHRISTOPHER H FRANKLIN TRUSTEE	3.0 0.0	X						0	0	0
MICHAEL F GERBER TRUSTEE	3.0 0.0	X						0	0	0
PERRY GOLKIN ESQ TRUSTEE	3.0 0.0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JAMES H GREENE JR TRUSTEE	3.0 0.0	X						0	0	0
JANET F HAAS MD TRUSTEE	3.0 0.0	X						0	0	0
ANDREW R HEYER TRUSTEE	3.0 0.0	X						0	0	0
GEORGE KWOK LUNG HUNGCHOY TRUSTEE	3.0 0.0	X						0	0	0
LLOYD W HOWELL JR TRUSTEE	3.0 0.0	X						0	0	0
OSAGIE O IMASOGIE ESQ TRUSTEE	3.0 0.0	X						0	0	0
LYNN J JERATH TRUSTEE	3.0 0.0	X						0	0	0
JAMES C JOHNSON ESQ TRUSTEE	3.0 0.0	X						0	0	0
SUSANNA E LACHS ESQ TRUSTEE	3.0 0.0	X						0	0	0
WILLIAM P LAUDER TRUSTEE	3.0 0.0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
CHARLES B LEITNER III TRUSTEE	3.0 0.0	X						0	0	0
CLAIRE LOMAX ESQ TRUSTEE	3.0 0.0	X						0	0	0
PATRICIA MARTIN MD TRUSTEE	3.0 0.0	X						0	0	0
MARC F MCMORRIS TRUSTEE	3.0 0.0	X						0	0	0
KENNETH D MOELIS TRUSTEE	3.0 0.0	X						0	0	0
OFER NEMIROVSKY TRUSTEE	3.0 0.0	X						0	0	0
CATHERINE M O'HERN LYONS TRUSTEE	3.0 0.0	X						0	0	0
DHANANJAY M PAI TRUSTEE	3.0 0.0	X						0	0	0
SIMON D PALLEY TRUSTEE (THRU 12/31/19)	3.0 0.0	X						0	0	0
CHERYL PEISACH TRUSTEE	3.0 0.0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
KEVIN S PENN TRUSTEE	3.0 0.0	X						0	0	0
RICHARD C PERRY TRUSTEE	3.0 0.0	X						0	0	0
JULIE BEREN PLATT TRUSTEE	3.0 0.0	X						0	0	0
MICHAEL J PRICE TRUSTEE	3.0 0.0	X						0	0	0
ANDREW S RACHLEFF TRUSTEE	3.0 0.0	X						0	0	0
RAMANAN RAGHAVENDRAN TRUSTEE	3.0 0.0	X						0	0	0
ANN REESE TRUSTEE	3.0 0.0	X						0	0	0
MARC J ROWAN TRUSTEE	3.0 0.0	X						0	0	0
THEODORE E SCHLEIN TRUSTEE	3.0 0.0	X						0	0	0
ALAN DAVID SCHNITZER TRUSTEE	3.0 0.0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JULIE BREIER SEAMAN TRUSTEE	3.0 0.0	X						0	0	0
JOHN P SHOEMAKER TRUSTEE	3.0 0.0	X						0	0	0
AMB MARTIN J SILVERSTEIN TRUSTEE	3.0 0.0	X						0	0	0
STACEY G SNIDER TRUSTEE	3.0 0.0	X						0	0	0
ROBERT M STAVIS TRUSTEE	3.0 0.0	X						0	0	0
RICHARD W VAGUE TRUSTEE	3.0 0.0	X						0	0	0
JILL TOPKIS WEISS TRUSTEE	3.0 0.0	X						0	0	0
MARK B WERNER TRUSTEE	3.0 0.0	X						0	0	0
HON THOMAS W WOLF EX-OFFICIO TRUSTEE	3.0 0.0	X						0	0	0
DAVID L COHEN ESQ CHAIRPERSON	3.0 0.0	X		X				0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
ROBERT M LEVY VICE-CHAIRPERSON	3.0 0.0	X		X				0	0	0
JUDITH L BOLLINGER TRUSTEE EMERITUS AS OF 1/1/20	3.0 0.0	X						0	0	0

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☒ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III.
If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support							
	Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . .	1,299,928,000	1,427,606,000	1,492,937,000	1,705,237,000	1,637,849,000	7,563,557,000
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3	The value of services or facilities furnished by a governmental unit to the organization without charge. .						0
4	Total. Add lines 1 through 3	1,299,928,000	1,427,606,000	1,492,937,000	1,705,237,000	1,637,849,000	7,563,557,000
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). .						0
6	Public support. Subtract line 5 from line 4.						7,563,557,000

Section B. Total Support							
Calendar year (or fiscal year beginning in) ►		(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
7	Amounts from line 4. . .	1,299,928,000	1,427,606,000	1,492,937,000	1,705,237,000	1,637,849,000	7,563,557,000
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	132,420,636	171,477,149	224,332,000	228,333,000	195,893,000	952,455,785
9	Net income from unrelated business activities, whether or not the business is regularly carried on. . .	0	0	0	0	0	0
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). . .						0
11	Total support. Add lines 7 through 10						8,516,012,785
12	Gross receipts from related activities, etc. (see instructions)					12	24,709,653,301
13	First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage		
14	Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f))	14 88.816 %
15	Public support percentage for 2018 Schedule A, Part II, line 14	15 89.112 %
16a	33 1/3% support test—2019. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ► <input checked="" type="checkbox"/>	
b	33 1/3% support test—2018. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>	
17a	10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>	
b	10%-facts-and-circumstances test—2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>	
18	Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ► <input type="checkbox"/>	

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 Total support. (Add lines 9, 10c, 11, and 12.) . .						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2019 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2018 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2019 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2018 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests—2018. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
1		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
2		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
3a		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
3b		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
3c		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
4a		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
4b		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
4c		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
5a		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
5b		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
5c		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
6		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .</i>		
7		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
9a		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9b		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9c		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
10a		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		
10b		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1		<input type="checkbox"/> Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.	
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2019:			
a From 2014.			
b From 2015.			
c From 2016.			
d From 2017.			
e From 2018.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2019 from Section D, line 7:			
\$			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI. See instructions.			
7 Excess distributions carryover to 2020. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2015.			
b Excess from 2016.			
c Excess from 2017.			
d Excess from 2018.			
e Excess from 2019.			

Additional Data

Software ID:

Software Version:

EIN: 23-1352685

Name: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Schedule A (Form 990 or 990-EZ) 2019

Page **8**

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	Employer identification number 23-1352685
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")	
2	Political campaign activity expenditures (see instructions)	▶ \$
3	Volunteer hours for political campaign activities (see instructions)	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV.	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$
3	Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	
Not over \$500,000	20% of the amount on line 1e.	
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	
Over \$17,000,000	\$1,000,000.	
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a. If zero or less, enter -0-		
i Subtract line 1f from line 1c. If zero or less, enter -0-		
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?	Yes		
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		995,115
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?		No	
j	Total. Add lines 1c through 1i			995,115
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	2a	
a Current year	2b	
b Carryover from last year	2c	
c Total	3	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	4	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	5	
5 Taxable amount of lobbying and political expenditures (see instructions)		

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
SCHEDULE C, PART II-B, LINE 1(G)	DETAIL OF LOBBYING ACTIVITIES THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") ALUMNI AND TRUSTEES OCCASIONALLY ENGAGE IN ADVOCACY RELATED TO FEDERAL AND STATE APPROPRIATIONS FOR PROGRAMS OF IMPORTANCE TO THE UNIVERSITY. THE UNIVERSITY MAINTAINS AN OFFICE OF FEDERAL RELATIONS, WHOSE MAIN FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY APPRISED OF FEDERAL DEVELOPMENTS WHICH MAY HAVE AN IMPACT ON THE UNIVERSITY INCLUDING, AMONG OTHERS, PENDING CHANGES TO THE INTERNAL REVENUE CODE, RESEARCH FUNDING AND ISSUES OF STUDENT AID. THE UNIVERSITY, A PRIVATE NON-PROFIT EDUCATIONAL INSTITUTION, RECEIVES DIRECT ANNUAL NON-PREFERRED APPROPRIATIONS FROM THE COMMONWEALTH OF PENNSYLVANIA. THE AMOUNT AND CONTINUATION OF THESE APPROPRIATIONS ARE NOT GUARANTEED. THEREFORE, THE UNIVERSITY MAINTAINS AN OFFICE OF COMMONWEALTH RELATIONS IN ORDER TO SUPPORT, JUSTIFY, AND COORDINATE THESE APPROPRIATION REQUESTS BEFORE THE PENNSYLVANIA DEPARTMENT OF AGRICULTURE, OTHER APPROPRIATE AGENCIES OF THE EXECUTIVE BRANCH, AND THE GENERAL ASSEMBLY. THE MAJORITY OF THIS OFFICE'S EFFORTS ARE RELATED TO ACTIVITIES SUPPORTING THESE APPROPRIATION REQUESTS. ADDITIONALLY, THIS OFFICE MAY ALSO CONDUCT MINIMAL LOBBYING ACTIVITIES RELATED TO OTHER ISSUES, SUCH AS STUDENT AID AND OTHER PROGRAMS RELATED TO HIGHER EDUCATION. IN CONJUNCTION WITH THESE TYPES OF LOBBYING ACTIVITIES, THE UNIVERSITY HAS CONTRACTED WITH PROFESSIONAL LOBBYISTS TO CONTACT LEGISLATORS IN CONNECTION WITH THE UNIVERSITY'S ANNUAL APPROPRIATIONS. THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM, THROUGH ITS OFFICE OF GOVERNMENTAL AFFAIRS, CONDUCTED LOBBYING ACTIVITIES WITH RESPECT TO HEALTH CARE RELATED ISSUES AND LEGISLATION. THESE ACTIVITIES INCLUDED MEETING WITH LEGISLATORS, THEIR STAFF, GOVERNMENTAL OFFICIALS, AS WELL AS CONDUCTING SEMINARS.

SCHEDULE D

(Form 990)

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	5	
2 Aggregate value of contributions to (during year)	2,625,396	
3 Aggregate value of grants from (during year)	1,693,632	
4 Aggregate value at end of year	2,755,071	

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☒ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

☒ Yes ☐ No

Part II Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)

☐ Preservation of an historically important land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4 Number of states where property subject to conservation easement is located ►

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ► \$

(ii) Assets included in Form 990, Part X ► \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ► \$

b Assets included in Form 990, Part X ► \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 52283D

Schedule D (Form 990) 2019

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table:

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	12,847,660,057	12,052,014,686	10,823,057,735	9,489,318,954	9,708,105,142
b Contributions	494,200,819	355,655,204	386,383,842	488,726,023	300,549,705
c Net investment earnings, gains, and losses	392,268,889	726,174,722	1,345,636,475	1,319,478,609	-161,026,895
d Grants or scholarships	0	0	0	0	0
e Other expenditures for facilities and programs	590,222,799	286,184,555	503,063,366	474,465,852	358,308,998
f Administrative expenses	0	0	0	0	0
g End of year balance	13,143,906,966	12,847,660,057	12,052,014,686	10,823,057,734	9,489,318,954

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a

Board designated or quasi-endowment

46.330 %

b

Permanent endowment

53.670 %

c

Temporarily restricted endowment

0 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		280,716,000		280,716,000
b Buildings		7,351,829,000	3,612,309,000	3,739,520,000
c Leasehold improvements				
d Equipment		1,769,908,000	1,347,999,000	421,909,000
e Other		1,960,040,000	0	1,960,040,000
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				6,402,185,000

Schedule D (Form 990) 2019

Part VII

Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) VENTURE CAPITAL & OTHER INVEST	8,711,748,232	F
(B) REAL ESTATE	753,297,173	F
(C) INVESTMENT IN SUBSIDIARIES	61,777,000	F
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶	9,526,822,405	

Part VIII

Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.) ▶		

Part IX

Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.) ▶	

Part X

Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	0
(2) DEPOSITS	218,012,000
(3) FEDERAL STUDENT LOAN ADVANCES	51,822,000
(4) ACCRUED RETIREMENT BENEFITS	1,621,010,000
(5) INTERCO DUE TO/(FROM) AFFILIATES	-196,247,000
(6) TAXABLE BOND LIABILITY	575,818,000
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.) ▶	2,270,415,000

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)		5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 23-1352685
Name: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Supplemental Information

Return Reference	Explanation
SCHEDULE D, PART III, LINE 1A	ACCOUNTING FOR COLLECTIONS THE FOLLOWING IS THE TEXT OF THE FOOTNOTE TO THE CONSOLIDATED AUDITED FINANCIAL STATEMENTS: CONTRIBUTIONS OF LIBRARY MATERIALS, AS WELL AS RARE BOOKS AND OTHER COLLECTIBLES, ARE NOT RECORDED FOR FINANCIAL STATEMENT PRESENTATION, WHILE PURCHASES ARE RECORDED AS OTHER OPERATING EXPENSES ON THE CONSOLIDATED STATEMENT OF ACTIVITIES IN THE PERIOD ACQUIRED. ----- SCHEDULE D, PART III, LINE 4 DESCRIPTION OF COLLECTIONS THE UNIVERSITY MAINTAINS 14 LIBRARIES. THE COLLECTIONS ENCOMPASS NUMEROUS FIELDS OF STUDY. THE UNIVERSITY'S DEPARTMENTAL LIBRARIES ARE GENERALLY SUBJECT SPECIFIC (MATH/PHYSICS/ASTRONOMY, FINE ARTS, BUSINESS, MUSIC, BIOMEDICAL, DENTAL MEDICINE, VETERINARY MEDICINE, ETC.) AND THE VAN PELT-DIETRICH LIBRARY HOUSES COLLECTIONS FROM ALL OF THESE AREAS, AS WELL AS THE RARE BOOK COLLECTION AND ALL OF THE HUMANITIES. SUBJECT HOLDINGS INCLUDE PHILOSOPHY, PSYCHOLOGY, RELIGION, HISTORY, GEOGRAPHY, ANTHROPOLOGY, RECREATION, SOCIAL SCIENCE, ECONOMICS, SOCIOLOGY, POLITICAL SCIENCE, LAW, EDUCATION, LITERATURE, SCIENCE, AGRICULTURE, TECHNOLOGY, MILITARY SCIENCE, AND GENERAL BIBLIOGRAPHY. THE LIBRARIES' COLLECTIONS, WHICH RANGE FROM OBJECTS AND ARTIFACTS TO PRINTED AND DIGITAL RESOURCES DIRECTLY SUPPORT THE RESEARCH AND INSTRUCTION MISSION OF THE UNIVERSITY BY ENSURING ACCESS TO CURRENT AND HISTORICAL KNOWLEDGE. THE LIBRARIES STRIVE TO PRESERVE UNIQUE COLLECTIONS FOR FUTURE GENERATIONS AND EXHIBIT A PORTION OF THE COLLECTIONS ON A ROTATING BASIS FREE OF CHARGE. THE UNIVERSITY DOES NOT SOLICIT OR RECEIVE DONATIONS OF ART, HISTORICAL TREASURES OR OTHER SIMILAR ASSETS TO BE SOLD IN ORDER TO RAISE FUNDS. ALL DONATIONS OF MATERIALS ARE ADDED TO THE EXISTING COLLECTIONS EXCEPT FOR NON-RARE, DUPLICATE ITEMS. THESE ITEMS ARE HELD FOR THE PERIOD SPECIFIED IN THE GIFT AGREEMENT (VARIES BY DONOR) OR A MINIMUM OF THREE YEARS BEFORE BEING REMOVED FROM THE COLLECTION AND SENT TO A NON-PROFIT VENDOR FOR RESALE. THIS RESALE ACTIVITY GENERATES LESS THAN \$2K PER YEAR. -----

Supplemental Information

Return Reference	Explanation
SCHEDULE D, PART V, LINE 4	USE OF ENDOWMENT FUNDS DURING THE YEAR ENDED JUNE 30, 2020, THE UNIVERSITY'S ENDOWMENT CONSISTED OF 6,909 DONOR-RESTRICTED ENDOWMENT FUNDS AND 928 QUASI-ENDOWMENT FUNDS ESTABLISHED BY THE UNIVERSITY'S BOARD OF TRUSTEES TO BE USED IN FURTHERANCE OF THE ORGANIZATION'S TAX-EXEMPT PURPOSES. -----

Supplemental Information	
Return Reference	Explanation
SCHEDULE D, PART X, LINE 1	OTHER LIABILITIES DETAIL THE INTERCOMPANY DUE TO/(FROM) AFFILIATES BALANCE INCLUDES AMOUNTS OWED TO/(DUE FROM) AFFILIATES BASED UPON THE UNIVERSITY'S CENTRAL MANAGEMENT OF THE CASH HELD BY CERTAIN UNIVERSITY AFFILIATES. -----

Supplemental Information	
Return Reference	Explanation
SCHEDULE D, PART X, LINE 2	TEXT OF FIN 48 (ASC 740) FOOTNOTE THE UNIVERSITY REGULARLY EVALUATES ITS TAX POSITION AND DOES NOT BELIEVE IT HAS ANY UNCERTAIN TAX POSITIONS THAT REQUIRE DISCLOSURE OR ADJUSTMENT TO THE CONSOLIDATED FINANCIAL STATEMENTS.

SCHEDULE E (Form 990 or 990-EZ)	<div>Schools</div> <div>▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.</div> <div>▶ Attach to Form 990 or Form 990-EZ.</div> <div>▶ Go to www.irs.gov/Form990EZ for the latest information.</div>	OMB No. 1545-0047
		2019
		Open to Public Inspection

Department of the Treasury Name of the organization TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	Employer identification number 23-1352685
--	--

Part I		YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2	Yes
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space use Part II.	3	Yes
4	Does the organization maintain the following?		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	4a	Yes
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b	Yes
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c	Yes
d	Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain. If you need more space, use Part II.	4d	Yes
5	Does the organization discriminate by race in any way with respect to:		
a	Students' rights or privileges?	5a	No
b	Admissions policies?	5b	No
c	Employment of faculty or administrative staff?	5c	No
d	Scholarships or other financial assistance?	5d	No
e	Educational policies?	5e	No
f	Use of facilities?	5f	No
g	Athletic programs?	5g	No
h	Other extracurricular activities? If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.	5h	No
6a	Does the organization receive any financial aid or assistance from a governmental agency?	6a	Yes
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II.	6b	No
7	Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II.	7	Yes

Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information. See instructions.

Return Reference	Explanation
SCHEDULE E, LINE 3	PUBLICATION OF RACIALLY NONDISCRIMINATORY POLICY THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") PUBLISHES ITS RACIALLY NON-DISCRIMINATORY POLICY IN THE STUDENT CATALOGUE, ON ITS FINANCIAL AID APPLICATIONS AND IN OTHER BROCHURES WHICH DESCRIBE THE UNIVERSITY OF PENNSYLVANIA, ITS SCHOOLS, CENTERS, AND INSTITUTES. SEE: https://www.upenn.edu/affirm-action/policies-handbooks.html -----
SCHEDULE E, LINE 6A	DESCRIPTION OF FINANCIAL AID/ASSISTANCE FROM GOV'T AGENCY THE UNIVERSITY IS A PRIVATE NON-PROFIT INSTITUTION THAT RECEIVES DIRECT ANNUAL NON-PREFERRED APPROPRIATIONS FROM THE COMMONWEALTH OF PENNSYLVANIA. THE UNIVERSITY ALSO RECEIVES VARIOUS GOVERNMENTAL FUNDING WHICH SUPPORTS ITS EDUCATIONAL, PATIENT CARE, AND RESEARCH MISSIONS.

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
See Add'l Data					
3a Sub-total	1	50			11,011,220
b Total from continuation sheets to Part I	6	345			5,398,944,895
c Totals (add lines 3a and 3b)	7	395			5,409,956,115

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 24

3	Enter total number of other organizations or entities	1
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Part III	Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
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Part III can be duplicated if additional space is needed.

[illegible]

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☒ Yes ☐ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* ☒ Yes ☐ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☒ Yes ☐ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* ☒ Yes ☐ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART I, LINE 2	PROCEDURE FOR MONITORING THE USE OF GRANT FUNDS OUTSIDE THE U.S. THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA OFFICE OF RESEARCH SERVICES IS RESPONSIBLE FOR MONITORING THE USE OF GRANT FUNDS PURSUANT TO ESTABLISHED POLICIES AND PROTOCOL. THESE POLICIES COVER GRANTS MADE BOTH WITHIN AND OUTSIDE THE U.S. ----- ---

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART II	DETAIL OF SUB-CONTRACTS IN FURTHERANCE OF ITS RESEARCH ACTIVITIES, THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA MAKES SUB-CONTRACTS TO FOREIGN INSTITUTIONS THAT PERFORM RESEARCH IN CONNECTION WITH RESEARCH GRANTS AWARDED TO THE UNIVERSITY. THE UNIVERSITY DOES NOT CATEGORIZE THESE SUB-CONTRACTS AS GRANTS FOR FORM 990, SCHEDULE F, PARTS II AND III.

Additional Data

Software ID:
Software Version:
EIN: 23-1352685
Name: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Antarctica			Program Services	Education & Training	338,763
Central America and the Caribbean		1	Send agents to seminar		50,890

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean			Conduct board meetings		469
Central America and the Caribbean	0	0	Fundraising		1,721

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean		7	Program Services	Education & Training	72,473
Central America and the Caribbean		3	Program Services	Field Research	63,653

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean			Program Services	Recruiting	21,782
Central America and the Caribbean		1	Program Services	Social Research	8,797

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean			Program Services	Study Abroad/Education	118,720
Central America and the Caribbean			Program Services	Study Abroad/Research	9,817

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	1	5	Send agents to seminar		839,569
East Asia and the Pacific		1	Conduct board meetings		106,287

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific			Fundraising		4,971,729
East Asia and the Pacific			Grantmaking		144,299

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific			Program Services	Clinical Trials	55,466
East Asia and the Pacific		22	Program Services	Education & Training	2,394,443

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific		10	Program Services	Field Research	1,812,342
East Asia and the Pacific			Program Services	Recruiting	115,981

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific		3	Program Services	Social Research	126,132
East Asia and the Pacific		1	Program Services	Study Abroad/Education	1,960,753

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific			Program Services	Study Abroad/Research	132,713
Europe (Including Iceland and Greenland)		20	Send agents to seminar		1,998,960

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)		2	Conduct board meetings		55,250
Europe (Including Iceland and Greenland)			Fundraising		841,894

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Grantmaking		1,036,218
Europe (Including Iceland and Greenland)			Program Services	Clinical Trials	9,020

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)		2	Program Services	Education & Training	1,802,790
Europe (Including Iceland and Greenland)	1	41	Program Services	Field Research	5,050,179

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Program Services	Recruiting	98,059
Europe (Including Iceland and Greenland)		6	Program Services	Social Research	740,707

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)		33	Program Services	Study Abroad/Education	3,566,798
Europe (Including Iceland and Greenland)			Program Services	Study Abroad/Research	183,109

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa		3	Send agents to seminar		99,299
Middle East and North Africa		1	Conduct board meetings		15,625

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa			Fundraising		70,549
Middle East and North Africa			Grantmaking		56,208

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa			Program Services	Education & Training	627,849
Middle East and North Africa	1	4	Program Services	Field Research	1,203,103

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa			Program Services	Recruiting	2,302
Middle East and North Africa			Program Services	Social Research	5,145

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa			Program Services	Study Abroad/Education	395,848
Middle East and North Africa			Program Services	Study Abroad/Research	38,564

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America		10	Send agents to seminar		412,745
North America			Fundraising		79,119

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America			Grantmaking		57,054
North America			Program Services	Clinical Trials	28,787

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America			Program Services	Education & Training	232,574
North America		7	Program Services	Field Research	864,086

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America			Program Services	Recruiting	22,320
North America			Program Services	Social Research	46,365

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America			Program Services	Study Abroad/Education	34,946
North America			Program Services	Study Abroad/Research	15,818

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States		1	Send agents to seminar		16,248
Russia and the Newly Independent States			Grantmaking		12,800

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States			Program Services	Clinical Trials	20,006
Russia and the Newly Independent States			Program Services	Education & Training	15,243

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States		8	Program Services	Field Research	127,132
Russia and the Newly Independent States			Program Services	Recruiting	348

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States		1	Program Services	Social Research	286,828
Russia and the Newly Independent States			Program Services	Study Abroad/Education	19,011

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States			Program Services	Study Abroad/Research	31,424
South America			Send agents to seminar		72,432

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America		3	Conduct board meetings		7,744
South America			Fundraising		37,637

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America			Grantmaking		28,400
South America		3	Program Services	Education & Training	769,959

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America		5	Program Services	Field Research	572,093
South America			Program Services	Recruiting	34,523

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America		3	Program Services	Social Research	352,286
South America			Program Services	Study Abroad/Education	546,391

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America			Program Services	Study Abroad/Research	64,834
South Asia		1	Send agents to seminar		72,026

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia			Fundraising		112,344
South Asia			Grantmaking		338,010

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia			Program Services	Education & Training	191,197
South Asia		17	Program Services	Field Research	1,634,484

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia			Program Services	Recruiting	43,153
South Asia		1	Program Services	Social Research	325,813

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia			Program Services	Study Abroad/Education	270,533
South Asia			Program Services	Study Abroad/Research	21,467

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Send agents to seminar		88,824
Sub-Saharan Africa		3	Conduct board meetings		4,506

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Fundraising		1,830
Sub-Saharan Africa			Grantmaking		360,800

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Program Services	Clinical Trials	172,728
Sub-Saharan Africa	1	24	Program Services	Education & Training	533,655

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	3	139	Program Services	Field Research	3,864,538
Sub-Saharan Africa		3	Program Services	Social Research	327,517

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Program Services	Study Abroad/Education	455,706
Sub-Saharan Africa			Program Services	Study Abroad/Research	53,791

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Investments		3,965,011,898
East Asia and the Pacific	0	0	Investments		97,317,234

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	0	Investments		1,013,868,470
North America	0	0	Investments		107,490,326

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	0	Investments		1,792,850
Sub-Saharan Africa	0	0	Investments		179,537,774

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	0	Investments		11,213

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	GENENRAL SUPPORT	192,160	WIRE			
		East Asia and the Pacific	Research Support	41,801	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Research Support	25,794	CHECK			
		East Asia and the Pacific	RESEARCH SUPPORT	28,981	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH SUPPORT	46,254	CHECK			
		Middle East and North Africa	RESEARCH SUPPORT	46,296	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Middle East and North Africa	RESEARCH SUPPORT	5,912	CHECK			
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	65,930	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	22,603	CHECK			
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	34,916	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	30,343	CHECK			
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	13,522	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	44,024	CHECK			
		East Asia and the Pacific	RESEARCH SUPPORT	62,991	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	62,282	CHECK			
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	30,633	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	22,050	CHECK			
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	33,883	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	51,020	CHECK			
		Europe (Including Iceland and Greenland)	RESEARCH SUPPORT	82,877	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Lipman Family Prize	50,000	CHECK			
		South Asia	Lipman Family Prize	100,000	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Lipman Family Prize	250,000	CHECK			
		Sub-Saharan Africa	Lipman Family Prize	100,000	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Gift to UAV	40,000	CHECK			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
FOX LEADERSHIP INTERNATIONAL ALUMNI FELLOWSHIPS	East Asia and the Pacific	11	23,375	EFT/WIRE/CHK		N/A	N/A
INTERNSHIP AWARD	North America	1	5,500	EFT		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SOCIAL IMPACT LOAN FORGIVENESS AWARD	South Asia	2	32,500	CHECK		N/A	N/A
SOCIAL IMPACT SUMMER IMPACT AWARD	Sub-Saharan Africa	2	8,000	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SOCIAL IMPACT LOAN FORGIVENESS AWARD	Europe (Including Iceland and Greenland)	3	40,000	CHECK		N/A	N/A
SOCIAL IMPACT SUMMER IMPACT AWARD	Europe (Including Iceland and Greenland)	2	2,093	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SOCIAL IMPACT SUMMER IMPACT AWARD	Middle East and North Africa	1	4,000	CHECK		N/A	N/A
JACOBS LEVY PRIZE FOR QUANTITATIVE FIN. INNOVATION	East Asia and the Pacific	1	40,000	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
JACOBS LEVY CENTER RESEARCH PAPER PRIZE	East Asia and the Pacific	1	5,000	CHECK		N/A	N/A
JACOBS LEVY CENTER RESEARCH PAPER PRIZE	Europe (Including Iceland and Greenland)	1	1,667	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
JEROME FISHER M&T SUMMER IMPACT AWARD	North America	1	5,000	CHECK		N/A	N/A
JEROME FISHER M&T SUMMER IMPACT AWARD	South Asia	1	4,500	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
JEROME FISHER M&T SUMMER IMPACT AWARD	East Asia and the Pacific	2	6,000	CHECK		N/A	N/A
JEROME FISHER M&T SUMMER IMPACT AWARD	Europe (Including Iceland and Greenland)	1	2,720	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
LAUDER LDERSHIP IMMERSION AWARD	Russia and the Newly Independent States	1	12,800	CHECK		N/A	N/A
LAUDER LDERSHIP IMMERSION AWARD	Sub-Saharan Africa	1	2,800	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
LAUDER LDERSHIP IMMERSION AWARD	South America	3	28,400	CHECK		N/A	N/A
THOURON AWARD	Europe (Including Iceland and Greenland)	25	273,827	WIRE		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
UNDERGRAD GRIP AWARDS	Europe (Including Iceland and Greenland)	11	33,000	CHECK		N/A	N/A
WCAI DATAHON AWARD	North America	1	300	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
WCAI DATAHON AWARD	South Asia	4	850	CHECK		N/A	N/A
WPA RESEARCH PAPER COMPETITION AWARD	East Asia and the Pacific	3	6,933	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
WPA RESEARCH PAPER COMPETITION AWARD	South Asia	2	8,000	CHECK		N/A	N/A
WPA RESEARCH PAPER COMPETITION AWARD	Europe (Including Iceland and Greenland)	1	2,000	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S							
(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
OIDD WUEC PITCH COMPETITION	Europe (Including Iceland and Greenland)	1	250	CHECK		N/A	N/A

SCHEDULE G
(Form 990 or 990-EZ)

Supplemental Information Regarding
Fundraising or Gaming Activities

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a ☒ Mail solicitations

e ☒ Solicitation of non-government grants

b ☒ Internet and email solicitations

f ☒ Solicitation of government grants

c ☒ Phone solicitations

g ☒ Special fundraising events

d ☒ In-person solicitations
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ Yes ☐ No
- b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
ARIA COMMUNICATIONS 717 W ST GERMAIN STREET ST CLOUD, MN 56301	TELE- MARKETING		No	58,390	18,539	39,851
ACD DIRECT INC 520 N MARKETPLACE DR 200 CENTERVILLE, UT 84014	FUNDRAISING DRIVES		No	66,877	10,532	56,345
RUFFALO NOEL LEVITZ 1025 KIKWOOD PARKWAY SW CEDAR RAPIDS, IA 52404	MANAGE CALL CENTERS		No	2,557,767	1,512,379	1,045,388
CHARITABLE AUTO RESOURCES 4669 Murphy Canyon Rd SAN DIEGO, CA 92123	VEHICLE DONATIONS		No	142,337	28,466	113,871
Total				2,825,371	1,569,916	1,255,455

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.
- All States
- For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Cat. No. 50083H Schedule G (Form 990 or 990-EZ) 2019

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		GOLDEN GALA (event type)	PENN MED GOLF O (event type)	2 (total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts	517,150	178,065	136,697	831,912
	2 Less: Contributions	463,615	0	136,697	600,312
	3 Gross income (line 1 minus line 2)	53,535	178,065		231,600
Direct Expenses	4 Cash prizes	0	3,630	0	3,630
	5 Noncash prizes	0	94,544	4,571	99,115
	6 Rent/facility costs	0	3,532	0	3,532
	7 Food and beverages	0	0	0	0
	8 Entertainment	0	0	0	0
	9 Other direct expenses	159,383	0	17,980	177,363
	10 Direct expense summary. Add lines 4 through 9 in column (d) ►				283,640
	11 Net income summary. Subtract line 10 from line 3, column (d) ►				-52,040

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ►				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ►				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

11	Does the organization conduct gaming activities with nonmembers?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
13	Indicate the percentage of gaming activity conducted in:		
a	The organization's facility	13a	%
b	An outside facility	13b	%
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
	Name ►		
	Address ►		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b	If "Yes," enter the amount of gaming revenue received by the organization ► \$ and the amount of gaming revenue retained by the third party ► \$		
c	If "Yes," enter name and address of the third party:		
	Name ►		
	Address ►		
16	Gaming manager information:		
	Name ►		
	Gaming manager compensation ► \$		
	Description of services provided ►		
	<input type="checkbox"/> Director/officer <input type="checkbox"/> Employee <input type="checkbox"/> Independent contractor		
17	Mandatory distributions:		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$		

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Return Reference	Explanation
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SCHEDULE H
(Form 990)

Department of the Treasury

Internal Revenue Service

Hospitals

► Complete if the organization answered "Yes" on Form 990, Part IV, question 20.
► Attach to Form 990.
► Go to www.irs.gov/Form990EZ for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization

TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number

23-1352685

Part I

Financial Assistance and Certain Other Community Benefits at Cost

		Yes	No	
1a	Did the organization have a financial assistance policy during the tax year? If "No," skip to question 6a	1a	Yes	
b	If "Yes," was it a written policy?	1b	Yes	
2	If the organization had multiple hospital facilities, indicate which of the following best describes application of the financial assistance policy to its various hospital facilities during the tax year. <input checked="" type="checkbox"/> Applied uniformly to all hospital facilities <input type="checkbox"/> Applied uniformly to most hospital facilities <input type="checkbox"/> Generally tailored to individual hospital facilities			
3	Answer the following based on the financial assistance eligibility criteria that applied to the largest number of the organization's patients during the tax year. a Did the organization use Federal Poverty Guidelines (FPG) as a factor in determining eligibility for providing free care? If "Yes," indicate which of the following was the FPG family income limit for eligibility for free care: <input type="checkbox"/> 100% <input type="checkbox"/> 150% <input type="checkbox"/> 200% <input checked="" type="checkbox"/> Other 300 % b Did the organization use FPG as a factor in determining eligibility for providing discounted care? If "Yes," indicate which of the following was the family income limit for eligibility for discounted care: <input type="checkbox"/> 200% <input type="checkbox"/> 250% <input checked="" type="checkbox"/> 300% <input type="checkbox"/> 350% <input type="checkbox"/> 400% <input type="checkbox"/> Other % c If the organization used factors other than FPG in determining eligibility, describe in Part VI the criteria used for determining eligibility for free or discounted care. Include in the description whether the organization used an asset test or other threshold, regardless of income, as a factor in determining eligibility for free or discounted care. 4 Did the organization's financial assistance policy that applied to the largest number of its patients during the tax year provide for free or discounted care to the "medically indigent"? 5a Did the organization budget amounts for free or discounted care provided under its financial assistance policy during the tax year? b If "Yes," did the organization's financial assistance expenses exceed the budgeted amount? c If "Yes" to line 5b, as a result of budget considerations, was the organization unable to provide free or discounted care to a patient who was eligible for free or discounted care? 6a Did the organization prepare a community benefit report during the tax year? b If "Yes," did the organization make it available to the public? Complete the following table using the worksheets provided in the Schedule H instructions. Do not submit these worksheets with the Schedule H.	3a	Yes	
		3b	Yes	
		4	Yes	
		5a		No
		5b		
		5c		
		6a	Yes	
		6b	Yes	

7

Financial Assistance and Certain Other Community Benefits at Cost

Financial Assistance and Means-Tested Government Programs	(a) Number of activities or programs (optional)	(b) Persons served (optional)	(c) Total community benefit expense	(d) Direct offsetting revenue	(e) Net community benefit expense	(f) Percent of total expense
a Financial Assistance at cost (from Worksheet 1)			7,588,366	0	7,588,366	0.110 %
b Medicaid (from Worksheet 3, column a)			432,128,711	321,666,563	110,462,148	1.530 %
c Costs of other means-tested government programs (from Worksheet 3, column b)						
d Total Financial Assistance and Means-Tested Government Programs			439,717,077	321,666,563	118,050,514	1.640 %
Other Benefits						
e Community health improvement services and community benefit operations (from Worksheet 4).						
f Health professions education (from Worksheet 5)			121,821,890	59,167,902	62,653,988	0.870 %
g Subsidized health services (from Worksheet 6)						
h Research (from Worksheet 7)			667,876,754	594,548,880	73,327,874	1.020 %
i Cash and in-kind contributions for community benefit (from Worksheet 8)						
j Total. Other Benefits			789,698,644	653,716,782	135,981,862	1.890 %
k Total. Add lines 7d and 7j			1,229,415,721	975,383,345	254,032,376	3.530 %

Part II Community Building Activities Complete this table if the organization conducted any community building activities during the tax year, and describe in Part VI how its community building activities promoted the health of the communities it serves.

	(a) Number of activities or programs (optional)	(b) Persons served (optional)	(c) Total community building expense	(d) Direct offsetting revenue	(e) Net community building expense	(f) Percent of total expense
1 Physical improvements and housing						
2 Economic development						
3 Community support						
4 Environmental improvements						
5 Leadership development and training for community members						
6 Coalition building						
7 Community health improvement advocacy						
8 Workforce development						
9 Other						
10 Total						

Part III Bad Debt, Medicare, & Collection Practices

Section A. Bad Debt Expense

		Yes	No
1 Did the organization report bad debt expense in accordance with Healthcare Financial Management Association Statement No. 15?	1	Yes	
2 Enter the amount of the organization's bad debt expense. Explain in Part VI the methodology used by the organization to estimate this amount.	2	62,365,740	
3 Enter the estimated amount of the organization's bad debt expense attributable to patients eligible under the organization's financial assistance policy. Explain in Part VI the methodology used by the organization to estimate this amount and the rationale, if any, for including this portion of bad debt as community benefit.	3	22,513,524	
4 Provide in Part VI the text of the footnote to the organization's financial statements that describes bad debt expense or the page number on which this footnote is contained in the attached financial statements.			

Section B. Medicare

5 Enter total revenue received from Medicare (including DSH and IME)	5	588,448,619	
6 Enter Medicare allowable costs of care relating to payments on line 5	6	680,914,495	
7 Subtract line 6 from line 5. This is the surplus (or shortfall)	7	-92,465,876	
8 Describe in Part VI the extent to which any shortfall reported in line 7 should be treated as community benefit. Also describe in Part VI the costing methodology or source used to determine the amount reported on line 6. Check the box that describes the method used:			
<input type="checkbox"/> Cost accounting system	<input checked="" type="checkbox"/> Cost to charge ratio	<input type="checkbox"/> Other	

Section C. Collection Practices

9a Did the organization have a written debt collection policy during the tax year?	9a	Yes	
b If "Yes," did the organization's collection policy that applied to the largest number of its patients during the tax year contain provisions on the collection practices to be followed for patients who are known to qualify for financial assistance? Describe in Part VI	9b	Yes	

Part IV Management Companies and Joint Ventures

(a) Name of entity (owned 10% or more by officers, directors, trustees, key employees, and physicians—see instructions)	(b) Description of primary activity of entity	(c) Organization's profit % or stock ownership %	(d) Officers, directors, trustees, or key employees' profit % or stock ownership %	(e) Physicians' profit % or stock ownership %
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				

Part V Facility Information**Section A. Hospital Facilities**

(list in order of size from largest to smallest—see instructions)

How many hospital facilities did the organization operate during the tax year?
1

Name, address, primary website address, and state license number (and if a group return, the name and EIN of the subordinate hospital organization that operates the hospital facility)

	Licensed hospital	General medical & surgical	Children's hospital	Teaching hospital	Critical access hospital	Research facility	ER-24 hours	ER-other	Other (describe)	Facility reporting group
See Additional Data Table										

Part V Facility Information (continued)**Section B. Facility Policies and Practices**(Complete a separate Section B for each of the hospital facilities or facility reporting groups listed in Part V, Section A)
THE HOSPITAL OF THE UNIV OF PENN**Name of hospital facility or letter of facility reporting group** _____**Line number of hospital facility, or line numbers of hospital facilities in a facility reporting group (from Part V, Section A):** _____

1

Community Health Needs Assessment

	Yes	No
1 Was the hospital facility first licensed, registered, or similarly recognized by a state as a hospital facility in the current tax year or the immediately preceding tax year?	1	No
2 Was the hospital facility acquired or placed into service as a tax-exempt hospital in the current tax year or the immediately preceding tax year? If "Yes," provide details of the acquisition in Section C.	2	No
3 During the tax year or either of the two immediately preceding tax years, did the hospital facility conduct a community health needs assessment (CHNA)? If "No," skip to line 12. If "Yes," indicate what the CHNA report describes (check all that apply):	3	Yes
a <input checked="" type="checkbox"/> A definition of the community served by the hospital facility		
b <input checked="" type="checkbox"/> Demographics of the community		
c <input checked="" type="checkbox"/> Existing health care facilities and resources within the community that are available to respond to the health needs of the community		
d <input checked="" type="checkbox"/> How data was obtained		
e <input checked="" type="checkbox"/> The significant health needs of the community		
f <input checked="" type="checkbox"/> Primary and chronic disease needs and other health issues of uninsured persons, low-income persons, and minority groups		
g <input checked="" type="checkbox"/> The process for identifying and prioritizing community health needs and services to meet the community health needs		
h <input checked="" type="checkbox"/> The process for consulting with persons representing the community's interests		
i <input checked="" type="checkbox"/> The impact of any actions taken to address the significant health needs identified in the hospital facility's prior CHNA(s)		
j <input type="checkbox"/> Other (describe in Section C)		
4 Indicate the tax year the hospital facility last conducted a CHNA: 20 <u>18</u>		
5 In conducting its most recent CHNA, did the hospital facility take into account input from persons who represent the broad interests of the community served by the hospital facility, including those with special knowledge of or expertise in public health? If "Yes," describe in Section C how the hospital facility took into account input from persons who represent the community, and identify the persons the hospital facility consulted	5	Yes
6 a Was the hospital facility's CHNA conducted with one or more other hospital facilities? If "Yes," list the other hospital facilities in Section C	6a	Yes
b Was the hospital facility's CHNA conducted with one or more organizations other than hospital facilities? If "Yes," list the other organizations in Section C	6b	Yes
7 Did the hospital facility make its CHNA report widely available to the public? If "Yes," indicate how the CHNA report was made widely available (check all that apply):	7	Yes
a <input checked="" type="checkbox"/> Hospital facility's website (list url): <u>SEE SCH H, PART V, SECTION C</u>		
b <input type="checkbox"/> Other website (list url): _____		
c <input checked="" type="checkbox"/> Made a paper copy available for public inspection without charge at the hospital facility		
d <input type="checkbox"/> Other (describe in Section C)		
8 Did the hospital facility adopt an implementation strategy to meet the significant community health needs identified through its most recently conducted CHNA? If "No," skip to line 11.	8	Yes
9 Indicate the tax year the hospital facility last adopted an implementation strategy: 20 <u>19</u>		
10 Is the hospital facility's most recently adopted implementation strategy posted on a website? If "Yes" (list url): <u>SEE SCH H, PART V, SECTION C</u>	10	Yes
a		
b If "No," is the hospital facility's most recently adopted implementation strategy attached to this return?	10b	
11 Describe in Section C how the hospital facility is addressing the significant needs identified in its most recently conducted CHNA and any such needs that are not being addressed together with the reasons why such needs are not being addressed.		
12a Did the organization incur an excise tax under section 4959 for the hospital facility's failure to conduct a CHNA as required by section 501(r)(3)?	12a	No
b If "Yes" on line 12a, did the organization file Form 4720 to report the section 4959 excise tax?	12b	
c If "Yes" on line 12b, what is the total amount of section 4959 excise tax the organization reported on Form 4720 for all of its hospital facilities? \$ _____		

Part V Facility Information (continued)**Financial Assistance Policy (FAP)**

THE HOSPITAL OF THE UNIV OF PENN

Name of hospital facility or letter of facility reporting group _____

		Yes	No
Did the hospital facility have in place during the tax year a written financial assistance policy that:			
13	Explained eligibility criteria for financial assistance, and whether such assistance included free or discounted care? If "Yes," indicate the eligibility criteria explained in the FAP:	13 Yes	
a	<input checked="" type="checkbox"/> Federal poverty guidelines (FPG), with FPG family income limit for eligibility for free care of 300. _____ % and FPG family income limit for eligibility for discounted care of 300. _____ %		
b	<input checked="" type="checkbox"/> Income level other than FPG (describe in Section C)		
c	<input checked="" type="checkbox"/> Asset level		
d	<input checked="" type="checkbox"/> Medical indigency		
e	<input type="checkbox"/> Insurance status		
f	<input checked="" type="checkbox"/> Underinsurance discount		
g	<input type="checkbox"/> Residency		
h	<input type="checkbox"/> Other (describe in Section C)		
14	Explained the basis for calculating amounts charged to patients?	14 Yes	
15	Explained the method for applying for financial assistance? If "Yes," indicate how the hospital facility's FAP or FAP application form (including accompanying instructions) explained the method for applying for financial assistance (check all that apply):	15 Yes	
a	<input checked="" type="checkbox"/> Described the information the hospital facility may require an individual to provide as part of his or her application		
b	<input checked="" type="checkbox"/> Described the supporting documentation the hospital facility may require an individual to submit as part of his or her application		
c	<input checked="" type="checkbox"/> Provided the contact information of hospital facility staff who can provide an individual with information about the FAP and FAP application process		
d	<input checked="" type="checkbox"/> Provided the contact information of nonprofit organizations or government agencies that may be sources of assistance with FAP applications		
e	<input type="checkbox"/> Other (describe in Section C)		
16	Was widely publicized within the community served by the hospital facility? If "Yes," indicate how the hospital facility publicized the policy (check all that apply):	16 Yes	
a	<input checked="" type="checkbox"/> The FAP was widely available on a website (list url): SCH H, PART V, SECT. C		
b	<input checked="" type="checkbox"/> The FAP application form was widely available on a website (list url): SCH H, PART V, SECT. C		
c	<input checked="" type="checkbox"/> A plain language summary of the FAP was widely available on a website (list url): SCH H, PART V, SECT. C		
d	<input checked="" type="checkbox"/> The FAP was available upon request and without charge (in public locations in the hospital facility and by mail)		
e	<input checked="" type="checkbox"/> The FAP application form was available upon request and without charge (in public locations in the hospital facility and by mail)		
f	<input checked="" type="checkbox"/> A plain language summary of the FAP was available upon request and without charge (in public locations in the hospital facility and by mail)		
g	<input checked="" type="checkbox"/> Individuals were notified about the FAP by being offered a paper copy of the plain language summary of the FAP, by receiving a conspicuous written notice about the FAP on their billing statements, and via conspicuous public displays or other measures reasonably calculated to attract patients' attention		
h	<input checked="" type="checkbox"/> Notified members of the community who are most likely to require financial assistance about availability of the FAP		
i	<input checked="" type="checkbox"/> The FAP, FAP application form, and plain language summary of the FAP were translated into the primary language(s) spoken by LEP populations		
j	<input type="checkbox"/> Other (describe in Section C)		

Part V Facility Information (continued)**Billing and Collections**

THE HOSPITAL OF THE UNIV OF PENN

Name of hospital facility or letter of facility reporting group

	Yes	No
17 Did the hospital facility have in place during the tax year a separate billing and collections policy, or a written financial assistance policy (FAP) that explained all of the actions the hospital facility or other authorized party may take upon nonpayment?	17 Yes	
18 Check all of the following actions against an individual that were permitted under the hospital facility's policies during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP:		
a <input type="checkbox"/> Reporting to credit agency(ies) b <input type="checkbox"/> Selling an individual's debt to another party c <input type="checkbox"/> Deferring, denying, or requiring a payment before providing medically necessary care due to nonpayment of a previous bill for care covered under the hospital facility's FAP d <input type="checkbox"/> Actions that require a legal or judicial process e <input type="checkbox"/> Other similar actions (describe in Section C) f <input checked="" type="checkbox"/> None of these actions or other similar actions were permitted		
19 Did the hospital facility or other authorized party perform any of the following actions during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP?	19	No
If "Yes," check all actions in which the hospital facility or a third party engaged:		
a <input type="checkbox"/> Reporting to credit agency(ies) b <input type="checkbox"/> Selling an individual's debt to another party c <input type="checkbox"/> Deferring, denying, or requiring a payment before providing medically necessary care due to nonpayment of a previous bill for care covered under the hospital facility's FAP d <input type="checkbox"/> Actions that require a legal or judicial process e <input type="checkbox"/> Other similar actions (describe in Section C)		
20 Indicate which efforts the hospital facility or other authorized party made before initiating any of the actions listed (whether or not checked) in line 19. (check all that apply):		
a <input checked="" type="checkbox"/> Provided a written notice about upcoming ECAs (Extraordinary Collection Action) and a plain language summary of the FAP at least 30 days before initiating those ECAs (if not, describe in Section C) b <input checked="" type="checkbox"/> Made a reasonable effort to orally notify individuals about the FAP and FAP application process (if not, describe in Section C) c <input checked="" type="checkbox"/> Processed incomplete and complete FAP applications (if not, describe in Section C) d <input checked="" type="checkbox"/> Made presumptive eligibility determinations (if not, describe in Section C) e <input type="checkbox"/> Other (describe in Section C) f <input type="checkbox"/> None of these efforts were made		

Policy Relating to Emergency Medical Care

21 Did the hospital facility have in place during the tax year a written policy relating to emergency medical care that required the hospital facility to provide, without discrimination, care for emergency medical conditions to individuals regardless of their eligibility under the hospital facility's financial assistance policy?	21 Yes	
If "No," indicate why:		
a <input type="checkbox"/> The hospital facility did not provide care for any emergency medical conditions b <input type="checkbox"/> The hospital facility's policy was not in writing c <input type="checkbox"/> The hospital facility limited who was eligible to receive care for emergency medical conditions (describe in Section C) d <input type="checkbox"/> Other (describe in Section C)		

Part V **Facility Information** *(continued)***Charges to Individuals Eligible for Assistance Under the FAP (FAP-Eligible Individuals)**

THE HOSPITAL OF THE UNIV OF PENN

Name of hospital facility or letter of facility reporting group _____**22** Indicate how the hospital facility determined, during the tax year, the maximum amounts that can be charged to FAP-eligible individuals for emergency or other medically necessary care.

- a** ☐ The hospital facility used a look-back method based on claims allowed by Medicare fee-for-service during a prior 12-month period
- b** ☐ The hospital facility used a look-back method based on claims allowed by Medicare fee-for-service and all private health insurers that pay claims to the hospital facility during a prior 12-month period
- c** ☒ The hospital facility used a look-back method based on claims allowed by Medicaid, either alone or in combination with Medicare fee-for-service and all private health insurers that pay claims to the hospital facility during a prior 12-month period
- d** ☐ The hospital facility used a prospective Medicare or Medicaid method

23 During the tax year, did the hospital facility charge any FAP-eligible individual to whom the hospital facility provided emergency or other medically necessary services more than the amounts generally billed to individuals who had insurance covering such care?

If "Yes," explain in Section C.

24 During the tax year, did the hospital facility charge any FAP-eligible individual an amount equal to the gross charge for any service provided to that individual?

If "Yes," explain in Section C.

	Yes	No
23		No
24		No

Section C. Supplemental Information for Part V, Section B. Provide descriptions required for Part V, Section B, lines 2, 3j, 5, 6a, 6b, 7d, 11, 13b, 13h, 15e, 16j, 18e, 19e, 20a, 20b, 20c, 20d, 20e, 21c, 21d, 23, and 24. If applicable, provide separate descriptions for each hospital facility in a facility reporting group, designated by facility reporting group letter and hospital facility line number from Part V, Section A ("A, 1," "A, 4," "B, 2," "B, 3," etc.) and name of hospital facility.

[illegible]

Part V **Facility Information** *(continued)***Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility**

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? 16

Name and address	Type of Facility (describe)
1 See Additional Data Table	
2	
3	
4	
5	
6	
7	
8	
9	
10	

Part VI Supplemental Information

Provide the following information.

- 1 Required descriptions.** Provide the descriptions required for Part I, lines 3c, 6a, and 7; Part II and Part III, lines 2, 3, 4, 8 and 9b.
- 2 Needs assessment.** Describe how the organization assesses the health care needs of the communities it serves, in addition to any CHNAs reported in Part V, Section B.
- 3 Patient education of eligibility for assistance.** Describe how the organization informs and educates patients and persons who may be billed for patient care about their eligibility for assistance under federal, state, or local government programs or under the organization's financial assistance policy.
- 4 Community information.** Describe the community the organization serves, taking into account the geographic area and demographic constituents it serves.
- 5 Promotion of community health.** Provide any other information important to describing how the organization's hospital facilities or other health care facilities further its exempt purpose by promoting the health of the community (e.g., open medical staff, community board, use of surplus funds, etc.).
- 6 Affiliated health care system.** If the organization is part of an affiliated health care system, describe the respective roles of the organization and its affiliates in promoting the health of the communities served.
- 7 State filing of community benefit report.** If applicable, identify all states with which the organization, or a related organization, files a community benefit report.

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART I, LINE 7 (BAD DEBT EXPENSE, COSTING METHODOLOGY USED)	THE BAD DEBT EXPENSE AMOUNT INCLUDED ON FORM 990, PART IX, COLUMN 25(A) WAS \$9,939,000 RELATED TO ACADEMIC BAD DEBTS FOR THE YEAR ENDED JUNE 30, 2020. CONSISTENT WITH PRIOR YEAR, DUE TO THE ADOPTION OF ACCOUNTING PRONOUNCEMENT ASC 606, IMPLICIT PRICE CONCESSIONS ARE TREATED AS A CONTRA-REVENUE ITEM ON THE STATEMENT OF REVENUE. THE COSTING METHODOLOGY USED IN CALCULATING THE AMOUNTS REPORTED ON THE LINE 7 TABLE ARE BASED ON A COST TO CHARGE RATIO. THE COST TO CHARGE RATIO WAS DERIVED FROM WORKSHEET 2 OF THE FORM 990, SCHEDULE H INSTRUCTIONS. -----

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART II (DETAIL OF COMMUNITY BUILDING ACTIVITIES)	DETAILS REGARDING THE VARIOUS COMMUNITY BUILDING ACTIVITIES CONDUCTED BY THE ORGANIZATION IS INCLUDED IN OUR RESPONSE TO FORM 990, PART III, STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS. -----

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART III, SECTION A, LINE 2 (IMPLICIT PRICE CONCESSIONS/BAD DEBT EXPENSE)	<p>THE COSTING METHODOLOGY USED IN DETERMINING THE AMOUNTS REPORTED ON LINES 2 AND 3 ARE BASED ON ACTUAL CHARGES WRITTEN OFF (AMOUNTS THAT ARE DEEMED TO BE UNCOLLECTIBLE AND RECORDED AS IMPLICIT PRICE CONCESSIONS UNDER ACCOUNTING PRONOUNCEMENT ASC 606). -----</p> <p>----- PART III, SECTION A, LINE 3 (IMPLICIT PRICE CONCESSIONS ATTRIBUTABLE TO PATIENTS ELIGIBLE UNDER THE ORGANIZATION'S FINANCIAL ASSISTANCE POLICY) UPHS UTILIZES A THIRD-PARTY VENDOR TO POPULATE THE NUMBER OF INDIVIDUALS WITHIN EACH HOUSEHOLD AND THE MEAN HOUSEHOLD INCOME BASED ON THE ACCOUNT ADDRESS. UPHS ESTIMATES THE AMOUNT OF BAD DEBTS (IMPLICIT PRICE CONCESSIONS) ATTRIBUTABLE TO PATIENTS ELIGIBLE UNDER ITS FINANCIAL ASSISTANCE POLICY BASED UPON 300% OF THE FEDERAL POVERTY GUIDELINES. ----- PART III, SECTION A, LINE 4 (IMPLICIT PRICE CONCESSION FOOTNOTE) THE IMPLICIT PRICE CONCESSION (BAD DEBT EXPENSE) FOOTNOTE DISCLOSURE CAN BE FOUND ON PAGE 10 OF THE ELECTRONICALLY ATTACHED CONSOLIDATED FINANCIAL STATEMENTS FOR THE UNIVERSITY OF PENNSYLVANIA. -----</p> <p>-----</p>

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART III, SECTION B, LINE 8 (COSTING METHODOLOGY, MEDICARE SHORTFALL)	THE COSTING METHODOLOGY USED IN DETERMINING THE AMOUNT REPORTED ON LINE 6 IS BASED ON A COST TO CHARGE RATIO. CONSISTENT WITH THE CHARITABLE HEALTHCARE MISSION OF UPHS AND THE COMMUNITY BENEFIT STANDARD SET FORTH IN IRS REVENUE RULING 69-545, UPHS PROVIDES CARE FOR ALL PATIENTS COVERED BY MEDICARE SEEKING MEDICAL CARE AT UPHS. SUCH CARE IS PROVIDED REGARDLESS OF WHETHER THE REIMBURSEMENT PROVIDED FOR SUCH SERVICES MEETS OR EXCEEDS THE COSTS INCURRED BY UPHS TO PROVIDE SUCH SERVICES. -----

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART III, LINE 9B (COLLECTION PRACTICES)	THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM PROVIDES URGENT/EMERGENT MEDICAL SERVICES WITHOUT REGARD TO ABILITY TO PAY. WHEN IT HAS BEEN DETERMINED THAT A PATIENT IS NOT ELIGIBLE FOR COVERAGE BY EXTERNAL SOURCES OF FUNDING, FINANCIAL ASSISTANCE MAY BE AVAILABLE FOR BOTH THE UNINSURED AND UNDERINSURED, THE INDIGENT, HARDSHIP AND MEDICALLY INDIGENT AND MAY BE APPROVED AS EITHER FULL OR PARTIAL FREE CARE. PATIENTS WHO DO NOT COOPERATE WITH THE FINANCIAL COUNSELING PROCESS OR WHOSE APPLICATION FOR FINANCIAL ASSISTANCE IS DENIED BY THE HEALTH SYSTEM MAY BE PURSUED BY COLLECTION EFFORTS, INCLUDING REFERRAL TO AN OUTSIDE COLLECTION AGENCY OR ATTORNEY AS DETERMINED BY OUR PATIENT ACCOUNTING DEPARTMENT. -----

Form and Line Reference	Explanation
PART VI, LINE 2 (NEEDS ASSESSMENT)	<p>THE MISSION OF UPHS IS TO PROVIDE THE MOST ADVANCED AND HIGHEST QUALITY PATIENT CARE POSSIBLE; TO PROVIDE A RICH AND DIVERSE EDUCATIONAL ENVIRONMENT FOR STUDENTS AND TRAINEES; AND TO SUPPORT CLINICAL RESEARCH THAT PUSHES THE BOUNDARIES OF CURRENT HUMAN KNOWLEDGE. TO THE SE ENDS, UPHS IS AN ACTIVE PARTICIPANT IN THE WEST PHILADELPHIA NEIGHBORHOOD THAT IS OUR HOME. ON ANY GIVEN DAY, UPHS PHYSICIANS, NURSES, MEDICAL STUDENTS, AND VOLUNTEERS ARE OUT IN THE COMMUNITY SHARING THEIR SKILLS, THEIR TALENTS AND MOST IMPORTANTLY, THEMSELVES FOR THE BETTERMENT OF THE COMMUNITY. - HOW UPHS IDENTIFIES AND TAKES ACTION TO ADDRESS RACIAL, ETHNIC, AND GENDER DISPARITIES IN MEDICAL CARE EVERY DAY IN OUR NEIGHBORHOOD CLINICS, IN OUR EMERGENCY ROOM AND PHYSICIANS' OFFICES WE SEE THE EFFECTS OF RACIAL, ETHNIC, AND GENDER DISPARITIES IN HEALTH CARE. IN KEEPING WITH OUR CHARITABLE PURPOSE, UPHS ACCEPTS PATIENTS IN NEED OF URGENT MEDICAL CARE REGARDLESS OF THEIR FINANCIAL STATUS OR ANY OTHER SOCIO-ECONOMIC FACTORS. AS THE MAIN PROVIDER IN A SERVICE AREA THAT INCLUDES A NUMBER OF ECONOMICALLY-CHALLENGED NEIGHBORHOODS, UPHS PROVIDES CARE TO MANY PATIENTS WHO DO NOT HAVE HEALTH INSURANCE PROVIDING MORE THAN \$100 MILLION IN CHARITY AND UNDERFUNDED CARE EACH YEAR. IN PARTNERSHIP WITH COMMUNITY-BASED ORGANIZATIONS AND OTHER AREA INSTITUTIONS, UPHS SEEKS TO IDENTIFY AND ADDRESS RACIAL, ETHNIC, AND GENDER DISPARITIES THROUGH SUPPORT FOR PROGRAMS INCLUDING, BUT NOT LIMITED TO: > PUENTES DE SALUD - A WEEKLY FREE CLINIC THAT SEEKS TO ADDRESS THE HEALTH NEEDS OF THE GROWING LATINO POPULATION BY PROVIDING LOW-COST CARE TO PATIENTS ANNUALLY. > THE UNITY CLINIC - A FREE CLINIC THAT PROVIDES PRIMARY CARE SERVICES TO LOW-INCOME ASIAN IMMIGRANTS IN PHILADELPHIA. > WOMEN AND CHILDREN'S HEALTH SERVICES - AN AMBULATORY CARE FACILITY THAT SPECIALIZES IN THE PROVISION OF OBSTETRICAL, FAMILY PLANNING, AND SOCIAL SERVICES THROUGH FREE AND LOW COST PROGRAMS THAT EXTEND WELL BEYOND TRADITIONAL MEDICAL CARE. - HOW THE HEALTH SYSTEM ASSESSES COMMUNITY HEALTH STATUS UPHS PROVIDES VARIOUS COMMUNITY SERVICES WHICH, IN CONJUNCTION WITH PROVIDING PATIENT CARE AND EDUCATIONAL INFORMATION, HELP US ASSESS THE HEALTH STATUS OF OUR COMMUNITY. SOME OF OUR MOST SUCCESSFUL INITIATIVES RESULT FROM APPLYING THE COLLECTIVE RESOURCES OF COMMUNITY RESIDENTS AND ORGANIZATIONS, HEALTH CARE PROFESSIONALS, AND PUBLIC HEALTH AGENCIES WITH THE GOAL OF IDENTIFYING AND ADDRESSING A COMMUNITY PROBLEM. THIS IS ACCOMPLISHED IN MANY WAYS, SUCH AS: FORMAL HEALTH ASSESSMENTS THAT INDIVIDUAL PROGRAMS MAY PERFORM, OPEN DIALOGUE WITH COMMUNITY LEADERS THROUGH PARTICIPATION IN COMMUNITY MEETINGS, OR BY ASSESSING COMMUNITY HEALTH STATUS IN THE WORK WE PERFORM OUT IN THE COMMUNITY. - HOW THE HEALTH SYSTEM COLLABORATES WITH COMMUNITY STAKEHOLDERS, INCLUDING OTHER INSTITUTIONAL PROVIDERS, TO IDENTIFY SPECIFIC COMMUNITY HEALTH NEEDS AND TO DEVELOP AND MEASURE EFFECTIVENESS OF PROGRAMS TO HELP MEET THOSE NEEDS COLLABORATION WITH COMMUNITY STAKEHOLDERS AND OTHER INSTITUTIONAL PROVIDERS IS A PARTICULARLY STRONG AREA FOR UPHS. WORKING IN CONJUNCTION WITH COMMUNITY-BASED NON-PROFIT ORGANIZATIONS, CITY AGENCIES AND OTHER COMMUNITY STAKEHOLDERS, UPHS SEEKS TO IDENTIFY AND ADDRESS COMMUNITY HEALTH NEEDS THROUGH PROGRAMS AND SERVICES, SUCH AS: > SAYRE HEALTH CENTER - RECOGNIZING A NEED FOR PRIMARY CARE SERVICES IN THE NEIGHBORHOOD, PENN JOINED FORCES WITH THE SCHOOL DISTRICT OF PHILADELPHIA TO BRING A STATE-OF-THE-ART HEALTH CARE FACILITY TO SAYRE HIGH SCHOOL IN WEST PHILADELPHIA. IN ADDITION TO PROVIDING PRIMARY CARE SERVICES TO THE COMMUNITY, PENN MEDICINE PHYSICIANS WORK IN PARTNERSHIP WITH SAYRE STUDENTS TO TEACH BASIC MEDICAL SERVICES THAT ONE DAY COULD LEAD TO A CAREER IN THE MEDICAL PROFESSION. > BRIDGING THE GAPS - A PARTNERSHIP OF THE AREA'S FIVE ACADEMIC HEALTH CENTERS, BRIDGING THE GAPS (BTG) LINKS THE TRAINING OF HEALTH PROFESSIONALS WITH THE PROVISION OF CARE TO ECONOMICALLY DISADVANTAGED POPULATIONS. LED BY UPHS PHYSICIANS AND STAFF, BTG GIVES MEDICAL STUDENTS THE OPPORTUNITY TO GAIN FIRST-HAND INSIGHT INTO THE COMPLEX ISSUES AFFECTING UNDERSERVED URBAN COMMUNITIES. IN ADDITION TO THE PROGRAMS OUTLINED ABOVE, UPHS PHYSICIANS AND STAFF PROVIDE EDUCATIONAL PROGRAMS IN CONJUNCTION WITH AREA HIGH SCHOOLS AND VOLUNTEER THEIR EXPERTISE TO NUMEROUS PUBLIC HEALTH COMMITTEES AND AGENCIES AT THE COMMUNITY, STATE AND NATIONAL LEVEL. - HOW THE HEALTH SYSTEM REGULARLY REPORTS TO THE COMMUNITY ON THE ORGANIZATION'S QUALITY PERFORMANCE FOR THE FULL RANGE OF SERVICES IT PROVIDES SINCE 2007, UPHS HAS PUBLISHED AN ANNUAL COMMUNITY BENEFIT REPORT, "SIMPLY BECAUSE," WHICH HIGHLIGHTS SOME OF THE EXTENSIVE WORK UPHS PERFORMS IN THE COMMUNITY. THIS WIDELY DISTRIBUTED REPORT INCLUDES EXAMPLES OF OUR COMMUNITY EFFORTS AS WELL AS STATISTICS RELATED TO THE COMMUNITY BENEFIT WE PROVIDE .</p> <p>https://www.pennmedicine.org/news/publications-and-special-projects/simply -because ADDITIONALLY, UPHS HAS ALSO IMPLEMENTED OUR "PENN MEDICINE</p>

Form and Line Reference	Explanation
PART VI, LINE 2 (NEEDS ASSESSMENT)	<p>CINE CARES" (COMMUNITY ACTIVITY REPORTING E-INITIATIVE) PROGRAM. WHILE THE "SIMPLY BECAUSE " REPORT PROVIDES US A BRIEF GLIMPSE INTO ALL THE GOOD WORK UPHS PERSONNEL ARE INVOLVED IN , IT REPRESENTS ONLY A FRACTION OF THE TOTAL COMMUNITY SERVICE WORK THAT OCCURS. THIS REPO RTING PROGRAM HAS BEEN DEVELOPED TO ENCOURAGE UPHS EMPLOYEES TO REPORT ALL OF THE COMMUNIT Y SERVICES THEY PROVIDE SO THAT WE CAN BETTER TRACK COMMUNITY OUTREACH, ENCOURAGE MORE VOL UNTEERISM AND BETTER TARGET OUR EFFORTS TO MEET THE GREATEST COMMUNITY NEEDS. http://www.pennmedicine.org/health-system/about/community/ - WHETHER AND HOW UPHS IS ADDRESSING THE PE R CAPITA COST OF CARE IN THE COMMUNITY UPHS SUPPORTS EFFORTS TO PROVIDE FREE AND LOW-COST CARE TO THE COMMUNITY THROUGH PARTNERSHIPS WITH BOTH PENN-RELATED AND NON-RELATED PROGRAMS . UPHS PHYSICIANS AND STAFF WORK IN HEALTH CLINICS THROUGHOUT PHILADELPHIA THAT PROVIDE TH ESE MUCH-NEEDED SERVICES THAT ALSO ADDRESSES THE PER CAPITA COST OF HEALTH CARE IN THE COM MUNITY. IN ADDITION, UPHS HAS A SPECIALTY CARE CONTRACT WITH THE CITY OF PHILADELPHIA THAT ALLOWS PHYSICIANS FROM THE CITY'S DISTRICT HEALTH CENTERS TO REFER PATIENTS INTO THE SYST EM FOR APPOINTMENTS IN SPECIALTIES SUCH AS CARDIOLOGY, NEUROLOGY AND DERMATOLOGY. THESE SE RVICES ARE PROVIDED TO THE CITY AT A SIGNIFICANTLY REDUCED COST - GIVING UNINSURED AND UND ERINSURED PATIENTS ACCESS TO CARE THEY MIGHT NOT OTHERWISE RECEIVE WHILE KEEPING DOWN THE PER CAPITA COST FOR THE CITY AND RESIDENTS OF THE COMMUNITY. AT UPHS, WORKING FOR THE BENE FIT OF THE COMMUNITY IS NOT ONLY A PRIORITY; IT IS ROOTED DEEP IN OUR CULTURE. ALONG WITH OUR ROLE AS A LEADER IN MEDICAL CARE AND RESEARCH, UPHS HAS CULTIVATED A STRONG AFFINITY W ITH THE NEIGHBORHOODS WE SERVE- BECOMING INCREASINGLY RESPONSIVE IN IDENTIFYING NEEDS AND PROACTIVE IN FINDING SOLUTIONS. IN ADDITION TO OUR OWN INTERNAL EFFORTS, UPHS ALSO COLLABO RATES WITH VARIOUS PUBLIC AND PRIVATE AGENCIES TO HELP DETERMINE COMMUNITY HEALTH NEEDS AN D HOW BEST TO ADDRESS THEM. THE SUCCESS OF COMMUNITY OUTREACH REQUIRES A STRONG FOCUS ON S OLUTIONS. AT UPHS, WE CONTINUALLY FIND WAYS TO EXPAND AND STRENGTHEN THE SAFETY NET THAT H ELPS ENSURE THE WELL-BEING OF THE COMMUNITIES WE SERVE. IN THAT REGARD, ONE OF OUR MAJOR R ESPONSIBILITIES IS TO SHARE KNOWLEDGE. WORKING TOGETHER WITH COMMUNITY PARTNERS ENABLES US TO ACCOMPLISH MORE THAN ANY ONE PERSON COULD INDIVIDUALLY. PLEASE SEE OUR MOST RECENTLY C OMPLETED COMMUNITY HEALTH NEEDS ASSESSMENT AND IMPLEMENTATION PLAN FOR ADDITIONAL INFORMAT ION. A COPY OF OUR CHNA AND IMPLEMENTATION PLAN CAN BE ACCESSED AT: HTTPS://WWW.PENNMEDICINE.ORG/ABOUT/SERVING-OUR-COMMUNITY/REPORTS. -----</p>

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART VI, LINE 3 (PATIENT EDUCATION OF ELIGIBILITY FOR ASSISTANCE)	<p>UPHS IS COMMITTED TO CARING FOR ALL PATIENTS EQUITABLY, WITH DIGNITY, RESPECT AND COMPASSION WITHOUT REGARD TO AGE, RACE, COLOR, NATIONAL ORIGIN, RELIGIOUS CREED, SEX, PHYSICAL OR MENTAL DISABILITY, MARITAL STATUS OR SEXUAL PREFERENCE. AS PART OF THIS COMMITMENT, UPHS OFFERS FINANCIAL COUNSELING AND ASSISTANCE PROGRAMS TO UNINSURED AND UNDERINSURED PATIENTS TO ASSIST THOSE WHO CANNOT PAY FOR ALL OR PART OF THEIR CARE. PATIENTS WILL BE CONSIDERED FOR FINANCIAL ASSISTANCE ON AN INDIVIDUAL BASIS, TAKING INTO CONSIDERATION TOTAL HOUSEHOLD INCOME AND OTHER RESOURCES. UPHS WILL ALSO CONSIDER OTHER FACTORS IN THE PATIENT/FAMILY FINANCIAL SITUATION, SHOULD THERE BE OTHER CRITICAL EXPENSES, NOT RELATED TO THE PATIENT'S MEDICAL CARE, THAT MAKE PAYMENT OF THE FINANCIAL OBLIGATION IMPOSSIBLE, SUCH AS CARING FOR A DISABLED FAMILY MEMBER. UPHS INFORMS AND EDUCATES PATIENTS AND PERSONS WHO MAY BE BILLED FOR PATIENT CARE ABOUT THEIR ELIGIBILITY FOR ASSISTANCE UNDER FEDERAL, STATE, OR LOCAL GOVERNMENT PROGRAMS OR UNDER UPHS'S CHARITY CARE POLICY. PATIENTS ARE INFORMED OF THE AVAILABILITY OF CHARITY CARE IN VARIOUS WAYS (E.G. AT POINT OF REGISTRATION, ON POSTERS THROUGHOUT HOSPITAL, IN PRACTICES, FINANCIAL COUNSELOR INTERVIEW AND WEBSITE). A COPY OF OUR FINANCIAL ASSISTANCE POLICY, APPLICATION AND PLAIN LANGUAGE SUMMARY CAN BE ACCESSED AT: https://www.pennmedicine.org/for-patients-and-visitors/patient-information/insurance-and-billing/financial-assistance -----</p>

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART VI, LINE 4 (COMMUNITY INFORMATION)	UPHS IS SENSITIVE TO THE DISPARITY IN THE QUALITY OF HEALTH AND HEALTH CARE AMONG THE PEOPLE OF THE PHILADELPHIA AREA. IN NEIGHBORHOODS THROUGHOUT THE CITY, MANY RESIDENTS, OFTEN THE VERY YOUNG OR THE VERY OLD DO NOT HAVE ACCESS TO ADEQUATE CARE. THE QUALITY OF THEIR LIVES IS DIMINISHED BECAUSE THEY ARE UNABLE TO RECEIVE THE SERVICES AND SUPPORT THEY NEED. AWARE OF THE BARRIERS TO HEALTH CARE FACED BY OUR COMMUNITIES, WE USE OUR RESOURCES TO IMPROVE THE HEALTH AND WELLNESS AMONG THE UNDERSERVED. OUR MORAL IMPERATIVE IS TO LOOK, LISTEN, AND ACT IN WAYS THAT WILL MAKE A DIFFERENCE. IN COLLABORATION WITH OUR PHYSICIANS, NURSES, STUDENTS AND COMMUNITY PARTNERS, WE TAKE ACTION TO ENHANCE THE WELL-BEING OF THE NEIGHBORHOODS WE ALL SHARE. -----

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART VI, LINE 5 (INFORMATION REGARDING PROMOTION OF COMMUNITY HEALTH)	DETAILS REGARDING THE VARIOUS COMMUNITY OUTREACH ACTIVITIES CONDUCTED BY UPHS DESIGNED TO PROMOTE COMMUNITY HEALTH IS INCLUDED IN OUR RESPONSE TO SCHEDULE H, PART VI, LINE 2, AS WELL AS IN FORM 990, PART III, STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS. ----- --

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART VI, LINE 6 (AFFILIATED HEALTHCARE SYSTEM INFORMATION)	<p>THE MISSION OF THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM IS EXCELLENCE IN EDUCATION, RESEARCH, AND CLINICAL CARE. WE STRIVE TO ACHIEVE THESE GOALS BY HAVING THE BEST PEOPLE IN MEDICAL EDUCATION, HEALTH-RELATED RESEARCH, AND PATIENT CARE; MAKING USE OF KNOWLEDGE GAINED FROM NEARLY TWO AND A HALF CENTURIES OF LEARNING AND DISCOVERY AS PART OF A WORLD-CLASS UNIVERSITY; DELIVERING HIGH-QUALITY MEDICINE TO PATIENTS ACROSS A FULLY-INTEGRATED ACADEMIC HEALTH SYSTEM; AND FULFILLING A COMMITMENT TO IMPROVE THE HEALTH OF PEOPLE IN THE COMMUNITIES SERVED BY THE HEALTH SYSTEM AND AROUND THE WORLD. AS PART OF AN AFFILIATED HEALTHCARE SYSTEM, THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM CONSISTS OF CERTAIN OPERATING DIVISIONS OF THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") AND AFFILIATED ENTITIES, INCLUDING: - THE CHESTER COUNTY HOSPITAL ("CCH"), INCLUDES A 245 BED COMPLEX IN WEST CHESTER, PENNSYLVANIA, AND SATELLITE LOCATIONS IN EXTON, WEST GOSHEN, NEW GARDEN, JENNERSVILLE, AND KENNETT SQUARE, PENNSYLVANIA; - THE HOSPITAL OF THE UNIVERSITY OF PENNSYLVANIA ("HUP"), A 727 LICENSED BED QUATERNARY CARE HOSPITAL AND ACADEMIC MEDICAL CENTER LOCATED ON THE CAMPUS OF THE UNIVERSITY IN THE WEST PHILADELPHIA AREA OF PHILADELPHIA, PENNSYLVANIA; - PENN PRESBYTERIAN MEDICAL CENTER OF THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM ("PRESBYTERIAN"), A 317 LICENSED BED ACUTE CARE HOSPITAL LOCATED ADJACENT TO THE CAMPUS OF THE UNIVERSITY IN THE WEST PHILADELPHIA AREA OF PHILADELPHIA, PENNSYLVANIA; - PENNSYLVANIA HOSPITAL OF THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM ("PENNSYLVANIA HOSPITAL"), A 550 LICENSED BED ACUTE CARE HOSPITAL LOCATED IN THE CENTER CITY AREA OF PHILADELPHIA, PENNSYLVANIA; - THE CLINICAL PRACTICES OF THE UNIVERSITY OF PENNSYLVANIA ("CPUP"), THE APPROVED FACULTY PRACTICE PLAN FOR THE CLINICAL PRACTICES OF MEMBERS OF THE MEDICAL FACULTY OF THE UNIVERSITY'S PERELMAN SCHOOL OF MEDICINE; - CLINICAL CARE ASSOCIATES OF THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM ("CCA"), A PRIMARY CARE PHYSICIAN NETWORK THAT INCLUDES LOCATIONS IN SOUTHEASTERN PENNSYLVANIA AND SOUTHERN NEW JERSEY THROUGH ITS NEW JERSEY AFFILIATE; - LANCASTER GENERAL HEALTH ("LGH") AND ITS AFFILIATES. LGH OPERATES THREE HOSPITALS IN SOUTH CENTRAL PENNSYLVANIA, INCLUDING LANCASTER GENERAL HOSPITAL, A 533-BED GENERAL ACUTE CARE HOSPITAL, WOMEN & BABIES HOSPITAL, A 98-BED FACILITY SPECIALIZING IN WOMEN'S HEALTH AND MATERNITY SERVICES, AND LANCASTER REHABILITATION HOSPITAL, A 59-BED REHABILITATION HOSPITAL, AS WELL AS 14 OUTPATIENT CENTERS, THREE URGENT CARE SITES, AND A PHYSICIAN PRACTICE NETWORK WITH NEARLY 200 PRIMARY CARE AND SPECIALTY PRACTICES AT 40 PRACTICE SITES; - WISSAHICKON HOSPICE, A HOSPICE CARE FACILITY SERVING THE TERMINALLY ILL, LOCATED IN BALA CYNWYD, PENNSYLVANIA; AND - PRINCETON HEALTHCARE SYSTEM ("PRINCETON") AND ITS AFFILIATES. PRINCETON INCLUDES A COMPREHENSIVE HEALTHCARE PROVIDER LOCATED IN CENTRAL NEW JERSEY THAT PRINCIPALLY INCLUDES THE MEDICAL CENTER OF PRINCETON, A GENERAL ACUTE CARE HOSPITAL FACILITY IN PLAINSBORO, NJ, WITH 319 INPATIENT BEDS (PLUS 24 NEWBORN BASSINETS), AND PRINCETON HOUSE BEHAVIORAL HEALTH, WHICH INCLUDES A 110 BED INPATIENT FACILITY IN PRINCETON, NJ, AS WELL AS FOUR ADDITIONAL OUTPATIENT LOCATIONS. PRINCETON INCLUDES APPROXIMATELY 1,200 PHYSICIANS ON STAFF AND EMPLOYS APPROXIMATELY 3,200 PEOPLE.</p> <p>-----</p>

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
PART VI, LINE 7 (STATE FILING OF COMMUNITY BENEFIT REPORT)	N/A

Additional Data

Software ID:

Software Version:

EIN: 23-1352685

Name: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Form 990 Schedule H, Part V Section A. Hospital Facilities

Section A. Hospital Facilities (list in order of size from largest to smallest—see instructions) How many hospital facilities did the organization operate during the tax year? 1		Licensed hospital	General medical & surgical	Children's hospital	Teaching hospital	Critical access hospital	Research facility	ER—24 hours	ER—other	Other (Describe)	Facility reporting group
1	THE HOSPITAL OF THE UNIV OF PENN 3400 SPRUCE STREET PHILADELPHIA, PA 19104 WWW.PENNMEDICINE.ORG LICENSE# 341101	X	X		X	X	X	X			

Form 990 Part V Section C Supplemental Information for Part V, Section B.

Section C. Supplemental Information for Part V, Section B. Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6i, 7, 10, 11, 12i, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
PART V, SECTION B, LINES 5, 6A & 6B (INPUT FROM COMMUNITY; JOINT CHNA)	THE PHILADELPHIA DEPARTMENT OF PUBLIC HEALTH ("PDPH") AND HEALTH CARE IMPROVEMENT FOUNDATION ("HFIC") ASSISTED THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM ("UPHS") AND OTHER PARTICIPATING HOSPITALS AND HEALTH SYSTEMS WITH THE COMPLETION OF THEIR CHNA. PDPH AND HFIC DEVELOPED A COLLABORATIVE, COMMUNITY-ENGAGED APPROACH THAT INVOLVED COLLECTING AND ANALYZING QUANTITATIVE AND QUALITATIVE DATA AND AGGREGATING DATA FROM A VARIETY OF SECONDARY SOURCES TO COMPREHENSIVELY ASSESS THE HEALTH STATUS OF THE REGION. THE ASSESSMENT RESULTED IN A LIST OF PRIORITY HEALTH NEEDS THAT WERE USED BY UPHS AND OTHER PARTICIPATING HOSPITALS AND HEALTH SYSTEMS TO DEVELOP THEIR IMPLEMENTATION PLANS. AMONG OTHERS, THE COLLABORATIVE CHNA INCLUDED THE FOLLOWING PARTNERING UPHS HOSPITAL AFFILIATES: - CHESTER COUNTY HOSPITAL - HOSPITAL OF THE UNIVERSITY OF PENNSYLVANIA - PENNSYLVANIA HOSPITAL OF UPHS - PENN PRESBYTERIAN MEDICAL CENTER OF UPHS FOR MORE INFORMATION, PLEASE SEE: https://www.pennmedicine.org/about/serving-our-community/reports -----
PART V, SECTION B, LINE 7 & 10 (CHNA & IMP. STRATEGY PUBLIC AVAILABILITY)	A COPY OF THE ORGANIZATION'S CHNA AND IMPLEMENTATION STRATEGY CAN BE ACCESSED AT: https://www.pennmedicine.org/about/serving-our-community/reports OUR CHNA AND IMPLEMENTATION STRATEGY ARE ALSO AVAILABLE TO THE PUBLIC UPON REQUEST. ----- PART V, SECTION B, LINE 9 (TAX YEAR THE MOST RECENT IMP. STRATEGY WAS ADOPTED) THE ORGANIZATION'S MOST RECENT IMPLEMENTATION STRATEGY WAS ADOPTED BY 11/15/2019, AS PERMITTED UNDER THE REGULATIONS. -----

Form 990 Part V Section C Supplemental Information for Part V, Section B.

Section C. Supplemental Information for Part V, Section B. Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6i, 7, 10, 11, 12i, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
PART V, SECTION B, LINE 11 (ADDRESSING THE NEEDS IDENTIFIED IN THE CHNA)	FOR A COMPLETE DESCRIPTION ON HOW THE ORGANIZATION IS ADDRESSING THE NEEDS IDENTIFIED IN THE MOST RECENTLY COMPLETED CHNA, SEE THE FOLLOWING: https://www.pennmedicine.org/about/serving-our-community/reports -----
PART V, SECTION B, LINE 16 (FINANCIAL ASSISTANCE POLICY AVAILABILITY)	A COPY OF THE ORGANIZATION'S FINANCIAL ASSISTANCE POLICY, APPLICATION AND PLAIN LANGUAGE SUMMARY CAN BE ACCESSED AT: https://www.pennmedicine.org/for-patients-and-visitors/patient- information /insurance-and-billing/financial-assistance -----

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? _____

Name and address	Type of Facility (describe)
1 PENN MEDICINE AT RADNOR 250 KING OF PRUSSIA ROAD BALA CYNWYD, PA 19004	OUTPATIENT FACILITY
1 PENN MEDICINE AT BUCKS COUNTY 777 TOWNSHIP LINE ROAD YARDLEY, PA 19067	OUTPATIENT FACILITY
2 PENN MEDICINE AT VALLEY FORGE 1001 CHESTERBROOK BLVD BERWYN, PA 19312	OUTPATIENT FACILITY
3 PENN MEDICINE AT WOODBURY HEIGHTS 1006 MANTUA PIKE WOODBURY HEIGHTS, NJ 08097	OUTPATIENT FACILITY
4 PENN MEDICINE AT RITTENHOUSE 1800 LOMBARD STREET PHILADELPHIA, PA 19146	INPATIENT REHABILITATION
5 ANATOMY CHEMISTRY 420 GUARDIAN DRIVE PHILADELPHIA, PA 19104	RESEARCH FACILITY
6 BIOMEDICAL RESEARCH BUILDING 2 500 OSLER CIRCLE PHILADELPHIA, PA 19104	RESEARCH FACILITY
7 BLOCKLEY HALL 423 GUARDIAN DRIVE PHILADELPHIA, PA 19104	RESEARCH FACILITY
8 CAROLYN HOFF LYNCH BIOLOGY LAB 435 S UNIVERSITY AVENUE PHILADELPHIA, PA 19104	RESEARCH FACILITY
9 CHEMISTRY LABORATORIES - 1958 WING 231 S 34TH STREET PHILADELPHIA, PA 19104	RESEARCH FACILITY
10 CHESTNUT HALL 3900 CHESTNUT STREET PHILADELPHIA, PA 19104	RESEARCH FACILITY
11 CLINICAL RESEARCH BUILDING 415 CURIE BLVD PHILADELPHIA, PA 19104	RESEARCH FACILITY
12 GL LABORATORY BUILDING 500 S RIDGEWAY GLENOLDEN, PA 19036	RESEARCH FACILITY
13 GODDARD LABORATORIES 3710 HAMILTON WALK PHILADELPHIA, PA 19104	RESEARCH FACILITY
14 HAYDEN HALL 3320 SMITH WALK PHILADELPHIA, PA 19104	RESEARCH FACILITY

Form 990 Schedule H, Part V Section D. Other Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? _____

Name and address	Type of Facility (describe)
16 PERELMAN CENTER FOR ADVANCED MEDICINE 3400 CIVIC CENTER BOULEVARD PHILADELPHIA, PA 19104	OUTPATIENT FACILITY

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I
(Form 990)

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Open to Public
Inspection

Department of the
Treasury
Internal Revenue Service

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number

23-1352685

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) See Additional Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ 9

3 Enter total number of other organizations listed in the line 1 table ▶ 0

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1) FINANCIAL AID TO UNDERGRADUATE STUDENTS	5585	218,556,374		N/A	N/A
(2) FINANCIAL AID TO GRADUATE STUDENTS	8541	271,686,685		N/A	N/A
(3) STUDENT PRIZES AND AWARDS	1984	6,510,875		N/A	N/A
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
SCHEDULE I, PART I, LINE 2	PROCEDURES FOR MONITORING GRANTS THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA'S ("PENN") OFFICE OF RESEARCH SERVICES IS RESPONSIBLE FOR MONITORING THE USE OF GRANT FUNDS PURSUANT TO ESTABLISHED POLICIES AND PROTOCOL. THESE POLICIES COVER GRANTS MADE BOTH WITHIN AND OUTSIDE THE U.S. ----- TO PROMOTE INCLUSION AND INCREASED ACCESS, PENN PROVIDES GRANT-BASED UNDERGRADUATE FINANCIAL AID PACKAGES AND ADHERES TO A NEED-BLIND ADMISSION POLICY, IN WHICH ADMISSION DECISIONS ARE NOT AFFECTED BY A STUDENT'S ABILITY TO PAY OR APPLICATION FOR FINANCIAL AID. THIS POLICY PROVIDES FINANCIAL AID TO ELIGIBLE STUDENTS IN THE FORM OF DIRECT GRANTS AND EMPLOYMENT DURING THE ACADEMIC YEAR. AN UNDERGRADUATE STUDENT MAY ALSO BE ELIGIBLE FOR FACULTY/STAFF TUITION REMISSION AS A RESULT OF A PARENT BEING ELIGIBLE TO RECEIVE THIS BENEFIT AS A CONDITION OF THEIR EMPLOYMENT. GRADUATE/PROFESSIONAL FINANCIAL AID CAN BE AWARDED BASED ON FINANCIAL NEED, SERVICE (TEACHING ASSISTANTSHIPS AND FELLOWSHIPS, RESEARCH ASSISTANTSHIPS AND FELLOWSHIPS) OR OTHER CRITERIA SUCH AS MERIT/ACADEMICS. PHD STUDENTS GENERALLY RECEIVE MULTI-YEAR AWARDS COVERING THEIR FULL EDUCATIONAL COSTS. A GRADUATE/PROFESSIONAL STUDENT MAY ALSO BE ELIGIBLE FOR FACULTY/STAFF BENEFIT AS DESCRIBED ABOVE. -----
SCHEDULE I, PART II	DETAIL OF SUB-CONTRACTS IN FURTHERANCE OF ITS RESEARCH ACTIVITIES, THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA MAY MAKE SUB-AWARDS TO OTHER INSTITUTIONS THAT PERFORM RESEARCH IN CONNECTION WITH RESEARCH GRANTS AWARDED TO THE UNIVERSITY. THE UNIVERSITY DOES NOT CATEGORIZE THESE SUB-AWARDS AS "GRANTS AND ASSISTANCE" FOR FORM 990 REPORTING, SINCE THE RECIPIENT ORGANIZATIONS PERFORM RESEARCH SERVICES FOR THE UNIVERSITY AND ARE CONSIDERED INDEPENDENT CONTRACTORS WHICH SERVE THE DIRECT NEEDS OF THE UNIVERSITY. DURING THE YEAR ENDED JUNE 30, 2020, THE UNIVERSITY OF PENNSYLVANIA MADE SUB-AWARD PAYMENTS TO 309 RECIPIENTS TOTALING \$97,627,975.

Additional Data

Software ID:
Software Version:
EIN: 23-1352685
Name: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY CITY DISTRICT 3940 CHESTNUT ST PHILADELPHIA, PA 19104	23-2913784	501(C)(3)	3,225,128		FMV	N/A	GRANT
SCHOOL DISTRICT OF PHILADELPHIA 440 N BROAD ST PHILADELPHIA, PA 19130	23-6004102	GOV'T ORG	894,053		FMV	N/A	GRANT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FOUNDATION FOR SMFM 409 12TH ST SW STE 601 WASHINGTON, DC 20024	41-2103331	501(C)(3)	10,000		FMV	N/A	Support program services
PHILADELPHIA FOUNDATION 1835 MARKET ST STE 2410 PHILADELPHIA, PA 19103	23-1581832	501(C)(3)	500,000		FMV	N/A	Support program services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ENTERPRISE CENTER 4548 MARKET ST PHILADELPHIA, PA 19139	23-2575901	501(C)(3)	100,000		FMV	N/A	Support program services
FREEDOM VALLEY YMCA 400 FAYETTE ST STE 250 CONSHOHOCKEN, PA 19428	23-1243965	501(C)(3)	20,000		FMV	N/A	Support program services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MATERNITY CARE COALITION 2000 HAMILTON ST STE 205 PHILADELPHIA, PA 19130	23-2200410	501(C)(3)	12,500		FMV	N/A	monetary support for annual fundraising event
SCHUYLKILL RIVER DEVELOPMENT CORPORATION 2401 WALNUT ST STE 603 PHILADELPHIA, PA 19103	23-2690558	501(C)(3)	175,000		FMV	N/A	SUPPORT PRGM SRVCS

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY CITY SCIENCE CENTER 3675 MARKET ST STE 400 PHILADELPHIA, PA 19104	23-1645908	501(C)(3)	25,000		FMV	N/A	SUPPORT PRGM SRVCS
LAUDER LDERSHIP IMMERSION AWARD LAUDER LDERSHIP IMMERSION AWARD AR			28,400		N/A	N/A	

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I Questions Regarding Compensation		Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
<input checked="" type="checkbox"/> First-class or charter travel	<input checked="" type="checkbox"/> Housing allowance or residence for personal use		
<input checked="" type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence		
<input checked="" type="checkbox"/> Tax idemnification and gross-up payments	<input checked="" type="checkbox"/> Health or social club dues or initiation fees		
<input type="checkbox"/> Discretionary spending account	<input checked="" type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b	Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	2	Yes	
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
<input checked="" type="checkbox"/> Compensation committee	<input checked="" type="checkbox"/> Written employment contract		
<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study		
<input type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a Receive a severance payment or change-of-control payment?	4a		No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	Yes	
c Participate in, or receive payment from, an equity-based compensation arrangement?	4c		No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a The organization?	5a		No
b Any related organization?	5b		No
If "Yes," on line 5a or 5b, describe in Part III.			
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a The organization?	6a		No
b Any related organization?	6b		No
If "Yes," on line 6a or 6b, describe in Part III.			
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	7	Yes	
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	8		No
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

Schedule J (Form 990) 2019		Page 3
Part III		Supplemental Information
Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.		
Return Reference	Explanation	
SCHEDULE J, PART I, LINE 1A	DETAIL OF ADDITIONAL BENEFITS PROVIDED FIRST CLASS OR CHARTER TRAVEL THE MODE OF AIR TRAVEL UTILIZED BY UNIVERSITY EMPLOYEES IS EXPECTED TO BE THE LEAST COSTLY OPTION, CONSISTENT WITH THE ITINERARY AND PARTICULAR UNIVERSITY BUSINESS PURPOSE INVOLVED. ON FEDERALLY FUNDED PROJECTS, COMPLIANCE WITH THE FLY AMERICA ACT TAKES PRECEDENCE OVER CHOOSING A LESS EXPENSIVE FOREIGN CARRIER. IN CERTAIN LIMITED CIRCUMSTANCES, CERTAIN INDIVIDUALS MAY TRAVEL FIRST CLASS. ANY FIRST CLASS EXCEPTIONS TO THE UNIVERSITY'S POLICY ARE SUBJECT TO APPROPRIATE REVIEW AND APPROVAL. TRAVEL FOR COMPANIONS TRAVEL EXPENSES OF A SPOUSE (OR DEPENDENT) MAY BE PROVIDED BY THE UNIVERSITY AS A REGULAR BUSINESS EXPENSE ONLY IF THE TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY. FURTHER, TRAVEL EXPENSES FOR SPOUSES AND DEPENDENTS ARE ALLOWABLE DURING THE INTERVIEW PROCESS PRIOR TO AN EMPLOYMENT OFFER, SINCE EMPLOYMENT IS CONSIDERED A FAMILY DECISION. TAX INDEMNIFICATION AND GROSS-UP PAYMENTS THE UNIVERSITY MAY PROVIDE TAX GROSS-UP PAYMENTS UNDER CERTAIN CIRCUMSTANCES WITH APPROPRIATE APPROVAL. THE UNIVERSITY DOES NOT GENERALLY PROVIDE TAX INDEMNIFICATIONS. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/HEALTH OR SOCIAL CLUB DUES/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE. THE UNIVERSITY ALSO PROVIDES A HEALTH/SOCIAL CLUB MEMBERSHIP TO BE USED BY THE PRESIDENT IN CONNECTION WITH HER DUTIES. THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE CLUB MEMBERSHIP, HOUSEHOLD STAFF OR OTHER PERSONAL EXPENSES INCURRED. -----	
SCHEDULE J, PART I, LINE 4B	SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN PARTICIPATION THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") MAINTAINS A SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN ("SERP") DESIGNED FOR SENIOR ADMINISTRATORS AND DEANS OF THE UNIVERSITY AS DESIGNATED BY THE BOARD OF TRUSTEES WHO HAVE MADE THE 5% EMPLOYEE CONTRIBUTION TO THE UNIVERSITY'S 403(B) PLAN, HAVE UNIVERSITY COMPENSATION OVER CERTAIN IRS PROSCRIBED THRESHOLDS, AND ARE ACTIVELY EMPLOYED BY THE UNIVERSITY WHEN THE CONTRIBUTION IS MADE. VESTING IN THE SERP OCCURS AFTER EACH THREE YEARS OF PARTICIPATION AND UPON THE OCCURRENCE OF CERTAIN EVENTS (ATTAINMENT OF AGE 65, DEATH, DISABILITY, OR INVOLUNTARY TERMINATION WITHOUT "CAUSE"). CONTRIBUTIONS FOR THOSE WHO HAVE REACHED AGE 65 WILL BE FULLY VESTED WHEN MADE. UPON REACHING A VESTING DATE, PARTICIPANTS WILL AUTOMATICALLY RECEIVE A "PARTIAL" DISTRIBUTION WHICH WILL BE WITHHELD TO SATISFY THE TAX CONSEQUENCES OF VESTING. THE BALANCE OF VESTED SERP ACCOUNT WILL REMAIN IN THE PLAN AND WILL BE DISTRIBUTED (PLUS OR MINUS INVESTMENT EARNINGS/LOSSES) UPON TERMINATION OF EMPLOYMENT. PARTICIPANTS WHO VOLUNTARILY TERMINATE BEFORE VESTING WILL FORFEIT THE BALANCE IN THEIR ACCOUNTS. THE FOLLOWING INDIVIDUALS LISTED ON FORM 990, PART VII, SECTION A, LINE 1A PARTICIPATED IN THE UNIVERSITY SERP PLAN DURING THE YEAR AND/OR RECEIVED EMPLOYER PAID AMOUNTS DURING THE YEAR: AMMON, PETER - NO DISTRIBUTION COOPER, JEFFREY - NO DISTRIBUTION HEUER, JACK - NO DISTRIBUTION HORN, JOHN - NO DISTRIBUTION KRUEHLY, LESLIE - NO DISTRIBUTION LEWIS, TREVOR - NO DISTRIBUTION MACCARTHY, STEPHEN J. - NO DISTRIBUTION MCCOURT, MARYFRANCES - NO DISTRIBUTION MITCHELL, JOANN - NO DISTRIBUTION MURPHY, THOMAS - NO DISTRIBUTION NARVEKAR, MEDHA - NO DISTRIBUTION PAPAGEORGE, ANNE - NO DISTRIBUTION PELLICANO, GREGORY - NO DISTRIBUTION PRITCHETT, WENDELL - NO DISTRIBUTION ROST, GREGORY - NO DISTRIBUTION RUSH, MAUREEN - NO DISTRIBUTION WHITE, WENDY - NO DISTRIBUTION WITT, MARIE - NO DISTRIBUTION ZELLER, JOHN - NO DISTRIBUTION IN ADDITION, THE FOLLOWING INDIVIDUAL LISTED ON FORM 990, PART VII, SECTION A, LINE 1A HAS A VESTED BALANCE IN THE SERP WHICH WILL BE RECEIVED UPON SEPARATION: CARNAROLI, CRAIG ----- THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA ALSO MAINTAINS ADDITIONAL DISCRETIONARY SUPPLEMENT EXECUTIVE RETIREMENT PLANS FOR SENIOR EXECUTIVES AS A RETENTION TO THEIR COMMITMENT TO THE UNIVERSITY OF PENNSYLVANIA. CONTRIBUTIONS ARE MADE ANNUALLY AT THE SOLE DISCRETION OF THE UNIVERSITY AS LONG AS THE INDIVIDUAL IS AN ACTIVE EMPLOYEE. VESTING IN THESE ACCOUNTS OCCURS AFTER EACH THREE YEAR PARTICIPATION AND UPON THE OCCURRENCE OF CERTAIN EVENTS (ATTAINMENT OF AGE 65, DEATH, DISABILITY, OR INVOLUNTARY TERMINATION WITHOUT "CAUSE"). CONTRIBUTIONS FOR THOSE WHO HAVE REACHED AGE 65 WILL BE FULLY VESTED WHEN MADE. UPON REACHING A VESTING DATE, PARTICIPANTS WILL AUTOMATICALLY RECEIVE THE BALANCE OF THE VESTED SERP ACCOUNT (PLUS OR MINUS INVESTMENT EARNINGS/LOSSES). PARTICIPANTS WHO VOLUNTARILY TERMINATE BEFORE VESTING WILL FORFEIT THE BALANCE IN THEIR ACCOUNTS. THE FOLLOWING INDIVIDUALS LISTED ON FORM 990, PART VII, SECTION A, LINE 1A PARTICIPATED IN THE ADDITIONAL DISCRETIONARY SUPPLEMENT EXECUTIVE RETIREMENT PLAN DURING THE YEAR AND/OR RECEIVED EMPLOYER PAID AMOUNTS DURING THE YEAR: AMMON, PETER - \$1,303,560 CARNAROLI, CRAIG - \$378,810 JAMESON, J. LARRY - \$464,461 ----- THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM (THE "HEALTH SYSTEM") MAINTAINS A SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN ("SERP") DESIGNED FOR SENIOR ADMINISTRATORS OF THE HEALTH SYSTEM, AS DESIGNATED BY THE BOARD OF TRUSTEES, WHO ARE ACTIVELY EMPLOYED BY THE HEALTH SYSTEM WHEN THE CONTRIBUTIONS ARE MADE. VESTING IN THE SERP OCCURS AFTER EACH THREE YEARS OF PARTICIPATION AND UPON THE OCCURRENCE OF CERTAIN EVENTS (ATTAINMENT OF AGE 65, DEATH, DISABILITY, OR INVOLUNTARY TERMINATION WITHOUT "CAUSE"). CONTRIBUTIONS FOR THOSE WHO HAVE REACHED AGE 65 WILL BE FULLY VESTED WHEN MADE. UPON REACHING A VESTING DATE, PARTICIPANTS WILL AUTOMATICALLY RECEIVE A FULL DISTRIBUTION WHICH IS TAXABLE AS EARNED INCOME. PARTICIPANTS WHO VOLUNTARILY TERMINATE BEFORE VESTING WILL FORFEIT THE BALANCE IN THEIR ACCOUNTS. THE FOLLOWING INDIVIDUALS LISTED ON FORM 990, PART VII, SECTION A, LINE 1A PARTICIPATED IN THE HEALTH SYSTEM SERP PLAN DURING THE YEAR AND/OR RECEIVED EMPLOYER PAID AMOUNTS DURING THE YEAR: CUNNINGHAM, REGINA - \$56,182 JOHNSTON, ELIZABETH B. - \$149,579 KASPER, KEITH - \$160,663 MAHONEY, KEVIN - \$191,155 MULLER, RALPH - \$2,711,601 OKALA, PHILIP - \$154,225 ----- THE HEALTH SYSTEM ALSO MAINTAINS A SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN DESIGNED FOR SENIOR FACULTY OF THE SCHOOL OF MEDICINE OF THE UNIVERSITY, AS DESIGNATED BY THE BOARD OF TRUSTEES, WHO ARE ACTIVELY EMPLOYED BY THE UNIVERSITY WHEN THE CONTRIBUTIONS ARE MADE (THE "MED SERP"). VESTING IN THE MED SERP OCCURS AFTER EVERY TEN YEARS OF PARTICIPATION AND UPON THE OCCURRENCE OF CERTAIN EVENTS (ATTAINMENT OF AGE 60, DEATH, DISABILITY, OR INVOLUNTARY TERMINATION WITHOUT "CAUSE"). CONTRIBUTIONS FOR THOSE WHO HAVE REACHED AGE 60 (WITH 2 OR MORE YEARS OF PARTICIPATION) WILL BE FULLY VESTED WHEN MADE. UPON REACHING A VESTING DATE, TAXES OWED WILL BE WITHDRAWN FROM THE PLAN, AND THE REMAINING AFTER-TAX BALANCE WILL REMAIN IN THE PLAN. PARTICIPANTS WILL AUTOMATICALLY RECEIVE A FULL DISTRIBUTION THE SUMMER AFTER THE YEAR IN WHICH THEY TERMINATE EMPLOYMENT, AT WHICH TIME ANY EARNINGS NOT YET TAXED WILL BE TREATED AS TAXABLE INCOME. PARTICIPANTS WHO VOLUNTARILY TERMINATE BEFORE VESTING WILL FORFEIT THE NON-VESTED BALANCE IN THEIR ACCOUNTS. THE FOLLOWING INDIVIDUALS LISTED ON FORM 990, PART VII, SECTION A, LINE 1A PARTICIPATED IN THE MED SERP PLAN DURING THE YEAR: DRISCOLL, DEBROAH A., MD - NO DISTRIBUTION JAMESON, J. LARRY - NO DISTRIBUTION QUINN, PETER - NO DISTRIBUTION ADZICK, N. SCOTT, MD - NO DISTRIBUTION CHEN, JONATHON, MD - NO DISTRIBUTION GRADY, MICHAEL SEAN, MD - \$4,773 STORM, PHILIP B., MD - NO DISTRIBUTION WELCH, WILLIAM C., MD - NO DISTRIBUTION ----- LANCASTER GENERAL HEALTH IS AN AFFILIATE OF THE UNIVERSITY. THE FOLLOWING INDIVIDUALS LISTED ON FORM 990, PART VII, SECTION A, LINE 1A PARTICIPATED IN THE LANCASTER GENERAL HEALTH SERP PLAN DURING THE YEAR AND/OR RECEIVED EMPLOYER PAID AMOUNTS DURING THE YEAR: BEEMAN, THOMAS E. - NO DISTRIBUTION -----	
SCHEDULE J, PART I, LINE 7	PROVISION OF NON-FIXED PAYMENTS THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA PROVIDES DISCRETIONARY BONUS AND/OR INCENTIVE COMPENSATION PAYMENTS TO ELIGIBLE EMPLOYEES. PAYMENTS MADE TO ANY DISQUALIFIED PERSON, AS DESCRIBED IN INTERNAL REVENUE CODE SECTION 4958, ARE APPROVED BY THE COMPENSATION COMMITTEE THROUGH THE PROCESS DESCRIBED IN FORM 990, PART VI, SECTION B, LINE 15. -----	
SCHEDULE J, PART II	ADDITIONAL DETAIL REGARDING COMPENSATION REPORTED THOMAS L. SPRAY, MD HOLDS AN ACADEMIC APPOINTMENT AT THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") WHILE PERFORMING HIS CLINICAL PRACTICE DUTIES AT A NEARBY, UNRELATED, THIRD PARTY, CHILDREN'S HOSPITAL (THE "THIRD PARTY HOSPITAL"). FOR ADMINISTRATIVE SIMPLICITY PURPOSES, THE UNIVERSITY PROVIDES THE PAYROLL FUNCTION FOR BOTH PORTION'S OF THIS PHYSICIAN'S SALARY. THE THIRD PARTY HOSPITAL THEN REIMBURSES THE UNIVERSITY FOR THE CLINICAL PORTION OF THE PHYSICIAN'S SALARY.	

Additional Data

Software ID:
Software Version:
EIN: 23-1352685
Name: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1CRAIG CARNAROLI EXECUTIVE VICE PRESIDENT	(i)	1,011,267	199,600	379,800	733,600	28,973	2,353,240	346,686
	(ii)	0	0	0	0	0	0	0
1JEFFREY COOPER VP GOVT & COMMUNITY RELATIONS	(i)	382,714	90,000	13,890	25,200	25,858	537,662	0
	(ii)	0	0	0	0	0	0	0
2RUSSELL DILEO COMPTROLLER (AS OF 04/01/20)	(i)	190,703	0	2,770	18,043	46,954	258,470	0
	(ii)	0	0	0	0	0	0	0
3JACK HEUER VP HUMAN RESOURCES	(i)	431,903	105,000	37,136	39,218	29,877	643,134	35,546
	(ii)	0	0	0	0	0	0	0
4LARRY JAMESON EXEC. VP UPHS & DEAN OF PSOM	(i)	1,834,374	883,630	976,566	935,400	30,196	4,660,166	734,025
	(ii)	0	0	0	0	0	0	0
5TREVOR LEWIS VP BUDGET AND MGMT ANALYSIS	(i)	360,467	90,000	690	29,070	40,278	520,505	0
	(ii)	0	0	0	0	0	0	0
6STEPHEN J MACCARTHY VP COMMUNICATIONS	(i)	407,100	104,000	16,320	25,200	33,997	586,617	0
	(ii)	0	0	0	0	0	0	0
7MARYFRANCES MCCOURT VP FIN. & TREASURER	(i)	523,146	120,000	49,394	48,083	39,610	780,233	47,704
	(ii)	0	0	0	0	0	0	0
8JOANN MITCHELL SVP INSTITUTIONAL AFFAIRS	(i)	465,671	118,000	40,648	42,525	17,507	684,351	38,668
	(ii)	0	0	0	0	0	0	0
9THOMAS MURPHY VP INFO SYSTEMS AND COMPUTING	(i)	500,593	115,000	1,290	30,656	68,746	716,285	0
	(ii)	0	0	0	0	0	0	0
10MEDHA NARVEKAR VP & SECRETARY	(i)	536,983	78,000	1,649	49,140	21,246	687,018	0
	(ii)	0	0	0	0	0	0	0
11ANNE PAPAGEORGE VP FACILITIES AND REAL ESTATE	(i)	473,698	110,000	49,004	43,110	17,195	693,007	47,424
	(ii)	0	0	0	0	0	0	0
12GREGORY PELLICANO VP, AUDIT, COMPLIANCE & PRIVY	(i)	323,076	75,000	2,237	29,835	51,873	482,021	0
	(ii)	0	0	0	0	0	0	0
13WENDELL PRITCHETT PROVOST	(i)	753,030	185,000	990	272,265	61,278	1,272,563	0
	(ii)	0	0	0	0	0	0	0
14GREGORY ROST SVP & CHIEF OF STAFF	(i)	655,764	168,000	83,750	59,805	63,683	1,031,002	81,770
	(ii)	0	0	0	0	0	0	0
15MAUREEN RUSH VP PUBLIC SAFETY	(i)	348,776	88,000	24,747	25,200	18,917	505,640	15,160
	(ii)	0	0	0	0	0	0	0
16WENDY WHITE SVP & GENERAL COUNSEL	(i)	752,556	190,000	46,965	25,200	23,516	1,038,237	0
	(ii)	0	0	0	0	0	0	0
17MARIE WITT VP BUSINESS SERVICES	(i)	366,350	92,000	22,863	33,615	19,004	533,832	21,228
	(ii)	0	0	0	0	0	0	0
18JOHN ZELLER SVP DEVELOPMENT & ALUMNI RLTN	(i)	782,033	200,000	49,872	25,200	27,864	1,084,969	0
	(ii)	0	0	0	0	0	0	0
19PETER AMMON CHIEF INVESTMENT OFFICER	(i)	959,308	2,381,540	142,289	1,702,872	14,015	5,200,024	1,445,549
	(ii)	0	0	0	0	0	0	0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees								
(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
21DAWN BONNELL SR VICE PROVOST FOR RESEARCH	(i)	565,583	0	2,895	25,200	11,409	605,087	0
	(ii)	0	0	0	0	0	0	0
1REGINA CUNNINGHAM CEO HUP	(i)	733,191	342,089	80,573	145,880	17,812	1,319,545	56,182
	(ii)	0	0	0	0	0	0	0
2DEBORAH A DRISCOLL MD SVP CPUP (AS OF 10/2019)	(i)	766,288	292,572	77,076	25,200	1,361	1,162,497	0
	(ii)	0	0	0	0	0	0	0
3JON EPSTEIN VICE DEAN, PSOM	(i)	696,864	0	328,032	25,200	19,198	1,069,294	0
	(ii)	0	0	0	0	0	0	0
4KEITH KASPER CFO, UPHS	(i)	842,528	391,876	216,631	161,101	27,754	1,639,890	160,663
	(ii)	0	0	0	0	0	0	0
5KEVIN MAHONEY CEO, UPHS (AS OF 7/1/2019)	(i)	1,091,597	455,364	223,928	187,203	20,641	1,978,733	191,155
	(ii)	0	0	0	0	0	0	0
6CHRISTOPHER MASOTTI VICE DEAN ADMIN PSOM	(i)	431,936	116,982	680	12,600	33,229	595,427	0
	(ii)	0	0	0	0	0	0	0
7PHILLIP OKALA COO PHILADELPHIA OPERATIONS	(i)	972,312	438,984	177,238	187,203	6,490	1,782,227	154,225
	(ii)	0	0	0	0	0	0	0
8PETER D QUINN MD DMD VICE DEAN, PROF SRVCS	(i)	978,534	444,276	4,907	25,200	18,991	1,471,908	0
	(ii)	0	0	0	0	0	0	0
9JOHN SESTITO EXECUTIVE DIRECTOR CPUP	(i)	247,178	91,019	1,570	0	17,725	357,492	0
	(ii)	0	0	0	0	0	0	0
10BETH A WINKELSTEIN VICE PROVOST	(i)	442,584	0	450	25,200	9,332	477,566	0
	(ii)	0	0	0	0	0	0	0
11JONATHAN CHEN CHOP - SURGEON	(i)	1,491,264	0	415,750	25,200	24,059	1,956,273	0
	(ii)	0	0	0	0	0	0	0
12N SCOTT ADZICK MD CHOP - SURGERY	(i)	1,141,025	0	916,706	25,200	18,849	2,101,780	0
	(ii)	0	0	0	0	0	0	0
13MICHAEL SEAN GRADY MD SURGEON - NEUROSURGERY	(i)	1,512,933	581,000	11,471	25,200	27,425	2,158,029	4,773
	(ii)	0	0	0	0	0	0	0
14WILLIAM C WELCH MD CPUP - NEUROSURGERY	(i)	1,523,750	0	704,570	35,807	33,974	2,298,101	153,436
	(ii)	0	0	0	0	0	0	0
15PHILLIP B STORM MD CHOP - NEUROSURGERY	(i)	1,241,264	0	898,965	25,200	23,923	2,189,352	0
	(ii)	0	0	0	0	0	0	0
16DR AMY GUTMANN PRESIDENT, EX-OFFICIO TRUSTEE	(i)	1,503,977	1,210,555	357,918	772,500	92,379	3,937,329	0
	(ii)	0	0	0	0	0	0	0
17JOHN HORN COMPTROLLER (THRU 12/31/19)	(i)	306,298	50,000	8,960	28,305	16,779	410,342	0
	(ii)	0	0	0	0	0	0	0
18LESLIE KRUHLY FMR VP AND SECRETARY	(i)	361,014	100,000	5,975	25,200	12,534	504,723	0
	(ii)	0	0	0	0	0	0	0
19VINCENT PRICE FORMER PROVOST	(i)	123,750	0	990	0	0	124,740	0
	(ii)	0	0	0	0	0	0	0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees								
(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
41THOMAS E BEEMAN PHD FMR COO REGIONAL OPS UPHS	(i)	1,046,872	0	0	0	17,109	1,063,981	0
	(ii)	0	0	0	0	0	0	0
1ELIZABETH B JOHNSTON EXEC. DIR. CPUP (THRU 10/2019)	(i)	700,581	316,050	182,132	140,001	16,419	1,355,183	149,579
	(ii)	0	0	0	0	0	0	0
2RALPH MULLER FORMER CEO, UPHS	(i)	1,576,590	1,664,487	2,730,335	0	7,503	5,978,915	2,064,751
	(ii)	0	0	0	0	0	0	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Employer identification number
23-1352685

Part I Bond Issues												
	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	PA HIGHER ED FACILITIES AUTHORITY- SERIES B 2009	22-2243852	70917RUS2	03-16-2009	44,226,561	REFUND 2008A (REFUNDING 2002B)	X			X		X
B	PA HIGHER ED FACILITIES AUTHORITY- SERIES A 2011	22-2243852	70917RS30	03-02-2011	150,994,928	CONSTRUCTION/RENOVATION PROJECTS	X			X		X
C	PA HIGHER ED FACILITIES AUTHORITY- SERIES AB 2015	22-2243852	70917SPV9	04-16-2015	423,474,654	REFUND2005A,C;2009A,B,C;2010;2011A		X		X		X
D	PA HIGHER ED FACILITIES AUTHORITY- SERIES A 2016	22-2243852	70917SWG4	04-28-2016	187,067,793	ADVANCE REFUNDING OF MULT. BONDS		X		X		X

Part II	Proceeds								
		A		B		C		D	
1	Amount of bonds retired	41,981,561		143,388,526		187,430,770		53,203,071	
2	Amount of bonds legally defeased	8,056,372		133,982,049		0		0	
3	Total proceeds of issue	44,226,561		151,000,014		428,357,536		190,063,629	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		39,348,349		156,914,070	
7	Issuance costs from proceeds	327,620		815,635		0		1,203,672	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	0		150,184,379		0		0	
11	Other spent proceeds	43,898,941		0		389,009,187		31,945,887	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2002		2011		2015		2016	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?	X			X	X			X
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X	X		X	
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?				X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?				X	X		X	

Part III Private Business Use (Continued)		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?				X	X			X
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?					X			
c	Are there any research agreements that may result in private business use of bond-financed property?				X		X		X
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0.001 %		0.132 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government					0.022 %		0.529 %	
6	Total of lines 4 and 5					0.023 %		0.661 %	
7	Does the bond issue meet the private security or payment test? . . .				X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?				X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . .								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?				X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?			X		X		X	

Part IV Arbitrage		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?								
b	Exception to rebate?	X		X		X		X	
c	No rebate due?								
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X		X		X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Return Reference	Explanation
TAX-EXEMPT BONDS- DESCRIPTION OF BOND ISSUES: ALLOCATION OF PROCEEDS	<p>FORM 990, SCHEDULE K, PART I A PORTION OF THE PA HIGHER ED FACILITIES AUTHORITY-UPHS SERIES S C 2016 BOND ISSUE HAS BEEN ALLOCATED TO PRESBYTERIAN MEDICAL CENTER (EIN 23-2810852) AND PENNSYLVANIA HOSPITAL OF UPHS (EIN 31-1538725), RELATED IRC SECTION 501(C)(3) ORGANIZATIONS. SINCE THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA ("UNIVERSITY") REMAINS AS THE PRIMARY OBLIGOR OF THE BOND, ALL INFORMATION REGARDING THE SERIES C 2016 BOND ISSUE HAS BEEN REPORTED ON THE FORM 990, SCHEDULE K OF THE UNIVERSITY. THE TOTAL ALLOCATED OUTSTANDING BALANCE WAS \$14,466,614 FOR PRESBYTERIAN MEDICAL CENTER AND \$14,466,615 FOR PENNSYLVANIA HOSPITAL OF UPHS AS OF JUNE 30, 2020. A PORTION OF THE PA HIGHER ED FACILITIES AUTHORITY-UPHS SERIES A&B 2016 BOND ISSUE HAS BEEN ALLOCATED TO PRESBYTERIAN MEDICAL CENTER (EIN 23-2810852), PENNSYLVANIA HOSPITAL OF UPHS (EIN 31-1538725), AND LANCASTER GENERAL HOSPITAL (EIN 23-1365353), RELATED IRC SECTION 501(C)(3) ORGANIZATIONS. SINCE THE UNIVERSITY REMAINS AS THE PRIMARY OBLIGOR OF THE BOND, ALL INFORMATION REGARDING THE SERIES A&B 2016 BOND ISSUE HAS BEEN REPORTED ON THE FORM 990, SCHEDULE K OF THE UNIVERSITY. THE TOTAL ALLOCATED OUTSTANDING BALANCE WAS \$3,124,564 FOR PRESBYTERIAN MEDICAL CENTER, \$37,008,536 FOR PENNSYLVANIA HOSPITAL OF UPHS, AND \$172,191,499 FOR LANCASTER GENERAL HOSPITAL AS OF JUNE 30, 2020. A PORTION OF THE PA HIGHER ED FACILITIES AUTHORITY-UPHS SERIES A 2014 BOND ISSUE HAS BEEN ALLOCATED TO PENNSYLVANIA HOSPITAL OF UPHS (EIN 31-1538725), PRESBYTERIAN MEDICAL CENTER (EIN 23-2810852), AND TO CHESTER COUNTY HOSPITAL (EIN 23-0469150), RELATED IRC SECTION 501(C)(3) ORGANIZATIONS. SINCE THE UNIVERSITY REMAINS AS THE PRIMARY OBLIGOR OF THE BOND, ALL INFORMATION REGARDING THE SERIES A 2014 BOND ISSUE HAS BEEN REPORTED ON THE FORM 990, SCHEDULE K OF THE UNIVERSITY. THE TOTAL ALLOCATED OUTSTANDING BALANCE WAS \$4,000,000 FOR PENNSYLVANIA HOSPITAL OF UPHS, \$15,000,000 FOR PRESBYTERIAN MEDICAL CENTER, AND \$50,000,000 FOR CHESTER COUNTY HOSPITAL AS OF JUNE 30, 2020. A PORTION OF THE PA HIGHER ED FACILITIES AUTHORITY-UPHS SERIES A 2012 BOND ISSUE HAS BEEN ALLOCATED TO PENNSYLVANIA HOSPITAL OF UPHS (EIN 31-1538725) AND TO PRESBYTERIAN MEDICAL CENTER (EIN 23-2810852), RELATED IRC SECTION 501(C)(3) ORGANIZATIONS. SINCE THE UNIVERSITY REMAINS AS THE PRIMARY OBLIGOR OF THE BOND, ALL INFORMATION REGARDING THE UPHS SERIES A 2012 BOND ISSUE HAS BEEN REPORTED ON THE FORM 990, SCHEDULE K OF THE UNIVERSITY. THE TOTAL ALLOCATED OUTSTANDING BALANCE WAS \$27,952,860 FOR PENNSYLVANIA HOSPITAL OF UPHS AND \$20,964,622 FOR PRESBYTERIAN MEDICAL CENTER AS OF JUNE 30, 2020. A PORTION OF THE PA HIGHER ED FACILITIES AUTHORITY-UPHS SERIES A OF 2008 BOND ISSUE HAS BEEN ALLOCATED TO PENNSYLVANIA HOSPITAL OF UPHS (EIN 31-1538725), A RELATED IRC SECTION 501(C)(3) ORGANIZATION. SINCE THE UNIVERSITY REMAINS AS THE PRIMARY OBLIGOR OF THE BOND, ALL INFORMATION REGARDING THE SERIES A OF 2008 BOND ISSUE HAS BEEN REPORTED ON THE FORM 990, SCHEDULE K OF THE U</p>

Return Reference	Explanation
TAX-EXEMPT BONDS- DESCRIPTION OF BOND ISSUES: ALLOCATION OF PROCEEDS	UNIVERSITY. THE TOTAL ALLOCATED OUTSTANDING BALANCE FOR PENNSYLVANIA HOSPITAL OF UPHS WAS \$ 15,483,147 AS OF JUNE 30, 2020. -----

Return Reference	Explanation
TAX-EXEMPT BONDS- ADDITIONAL DETAIL FOR PROCEEDS OF ISSUES	FORM 990, SCHEDULE K, PART II, LINE 3 FOR PA HIGHER ED FACILITIES AUTHORITY- SERIES A 2011, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$5,086 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- SERIES AB 2015, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$4,882,882 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- SERIES A 2016, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$2,995,836 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- UPHS SERIES A 2012, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$27,570 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- UPHS SERIES A 2014, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$416 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- UPHS SERIES 2015, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$1,512,289 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- UPHS SERIES A&B 2016, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$9,253,935 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- UPHS SERIES C 2016, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$336,209 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- UPHS SERIES C 2017, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$2,637,226 IN TOTAL INVESTMENT EARNINGS. FOR PA HIGHER ED FACILITIES AUTHORITY- UPHS SERIES 2019, THE TOTAL PROCEEDS OF ISSUE REPORTED INCLUDES \$297,840 IN TOTAL INVESTMENT EARNINGS. -----

Return Reference	Explanation
TAX-EXEMPT BONDS- ADDITIONAL DETAIL FOR PRIVATE BUSINESS USE PERCENTAGES	FORM 990, SCHEDULE K, PART III FOR THE PA HIGHER ED FACILITIES AUTHORITY- SERIES A 2018, SERIES A 2017, SERIES A 2011, UPHS SERIES A 2019, UPHS SERIES A 2017, UPHS SERIES C 2016, UPHS SERIES A&B 2016 (NEW-MONEY PORTION ONLY), UPHS SERIES 2015 (NEW-MONEY PORTION ONLY), UPHS SERIES A 2014, UPHS SERIES A 2012, AND UPHS SERIES A 2008, THE UNIVERSITY HAS SPECIFICALLY ALLOCATED EQUITY TO ALL SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME. AS SUCH, THE UNIVERSITY HAS REPORTED NO PRIVATE BUSINESS USE FOR THESE BOND PROCEEDS ON FORM 990, SCHEDULE K, PART III, LINES 4 AND 5. -----

Return Reference	Explanation
TAX-EXEMPT BONDS- SCHEDULE K, PART I, SET #3, COLUMN (C)- ROW B CUSIP #	PA HIGHER ED FACILITIES AUTHORITY- UPHS SERIES A 2014 WAS NOT ASSIGNED A BOND CUSIP#.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990.

►Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I		Bond Issues										
(a) Issuer name		(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	PA HIGHER ED FACILITIES AUTHORITY- SERIES A 2017	22-2243852	70917SDS9	01-19-2017	200,857,522	RENOVATIONS OF VARIOUS BLDGS		X		X		X
B	PA HIGHER ED FACILITIES AUTHORITY- SERIES A 2018	22-2243852	70917S4A8	10-17-2018	200,829,171	VARIOUS CAPITAL PROJECTS		X		X		X
C	WASHINGTON COUNTY AUTHORITY - SERIES OF 2004	22-2243852	938591BF0	05-27-2004	62,500,000	REDEEM 1985 BONDS		X		X		X
D	PA HIGHER ED FACILITIES ATHRTY- UPHS SERIES A 2008	22-2243852	70917RPY5	04-16-2008	105,805,000	REFUND PHX 2002; CAPITAL PROJECT		X		X		X

Part II	Proceeds								
		A		B		C		D	
1	Amount of bonds retired	3,841,035		1,637,808		13,600,000		35,810,000	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	200,857,522		200,829,171		62,500,000		105,805,000	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	857,522		829,171		465,649		786,485	
8	Credit enhancement from proceeds	0		0		0		79,656	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	0		0		0		81,538,099	
11	Other spent proceeds	200,000,000		200,000,000		62,034,351		23,400,760	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2017		2018		2004		2009	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X		X	X		X	
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X				X
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X				X

Part III Private Business Use (Continued)		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X		X				X
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X				X
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government								
6	Total of lines 4 and 5								
7	Does the bond issue meet the private security or payment test?		X		X				X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X				X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . .								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X				X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X				X	

Part IV Arbitrage		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?								
b	Exception to rebate?	X		X		X		X	
c	No rebate due?								
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X	X		X	
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Employer identification number
23-1352685

Part I Bond Issues												
(a) Issuer name		(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	PA HIGHER ED FACILITIES ATHRTY- UPHS SERIES A 2012	22-2243852	70917R5B7	05-02-2012	149,995,567	EXPANSION/FACILITY ENHANCEMENT		X		X		X
B	PA HIGHER ED FACILITIES ATHRTY- UPHS SERIES A 2014	22-2243852	XXXXXXXXXX	06-12-2014	100,000,000	VARIOUS CAPITAL PROJECTS		X		X		X
C	PA HIGHER ED FACILITIES ATHRTY-UPHS SERIES 2015	22-2243852	70917SSJ3	07-19-2015	398,010,258	ADV/CUR REFUND; VAR CAP PROJECTS		X		X		X
D	PA HIGHER ED FACILITIES ATHRTY-UPHS SERIES AB 2016	22-2243852	514045M75	04-07-2016	346,410,442	ADV. REFUND; VARIOUS CAP. PROJECTS		X		X		X

Part II	Proceeds								
		A		B		C		D	
1	Amount of bonds retired	7,721,391		0		121,251,713		29,557,791	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	150,023,137		100,000,416		399,522,547		355,669,377	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		107,815,809	
7	Issuance costs from proceeds	1,006,804		400,175		2,275,487		2,769,452	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	0		0		150,000,000		147,000,000	
11	Other spent proceeds	149,016,333		99,600,241		247,247,060		98,084,116	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2013		2014		2015		2018	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X		X	X			X
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X	X		X	
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X	X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X		X	X			X
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?					X			
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X	X			X
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?					X			
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0.206 %		0.019 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government					0.015 %		0 %	
6	Total of lines 4 and 5			0 %		0.221 %		0.020 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . .								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?								
b	Exception to rebate?	X		X		X		X	
c	No rebate due?								
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X	X			X		X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Supplemental Information on Tax-Exempt Bonds

- Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public
Inspection

Employer identification number

23-1352685

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	Deceased		On behalf of issuer		Pool financing	
							Yes	No	Yes	No	Yes	No
A	PA HIGHER ED FACILITIES-UPHS SERIES C 2016	22-2243852	70917SYU1	01-17-2017	151,191,978	ADV. REFUNDING OF UPHS 2011A		X		X		X
B	PA HIGHER ED FACILITIES ATHRTY- UPHS SERIES A 2017	22-2243852	709175587	12-31-2017	443,182,248	BUILDING AND STRUCTURES		X		X		X
C	PA HIGHER ED FACILITIES ATHRTY- UPHS SERIES A 2019	22-2243852	70917S6Z1	12-05-2019	600,000,239	REFUND TAXABLE BOND & NEW MONEY		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired	6,196,643		4,707,306		2,799,903			
2	Amount of bonds legally defeased	0		0		0			
3	Total proceeds of issue	151,528,187		445,819,474		600,289,079			
4	Gross proceeds in reserve funds	0		0		0			
5	Capitalized interest from proceeds	0		0		0			
6	Proceeds in refunding escrows	133,316,750		0		0			
7	Issuance costs from proceeds	1,186,723		2,341,079		2,986,361			
8	Credit enhancement from proceeds	0		0		0			
9	Working capital expenditures from proceeds	0		0		0			
10	Capital expenditures from proceeds	0		301,867,554		532,188,876			
11	Other spent proceeds	17,024,714		141,610,841		65,113,842			
12	Other unspent proceeds	0		0		36,763			
13	Year of substantial completion	2016		2018					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X		X		X		
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?	X			X	X			
16	Has the final allocation of proceeds been made?	X		X			X		
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X			

Part III Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X		X		

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?		X		X		X		
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 %			
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government								
6 Total of lines 4 and 5								
7 Does the bond issue meet the private security or payment test?		X		X		X		
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . .								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X		X		
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X			

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?								
b Exception to rebate?	X		X		X			
c No rebate due?								
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X	X			X		
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		
b Name of provider	0		0		0			
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		
b Name of provider	0		0		0			
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X			

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X			

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Schedule L

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) GEOFFREY M GARRETT	DEAN	RETENTION/RECRUITMNT		X	150,000	41,071		No	Yes		Yes	
(2) PAM GROSSMAN	DEAN	RETENTION/RECRUITMNT		X	150,000	51,667		No	Yes		Yes	
(3) R VIJAY KUMAR	DEAN	RETENTION/RECRUITMNT		X	500,000	500,000		No	Yes		Yes	
(4) ANTONIA M VILLARUEL	DEAN	RETENTION/RECRUITMNT		X	150,000	44,643		No	Yes		Yes	
Total						637,381						

Part III Grants or Assistance Benefiting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation
SCHEDULE L, PART III	GRANTS OR ASSISTANCE BENEFITING INTERESTED PERSONS CERTAIN OFFICERS AND/OR KEY EMPLOYEES OF THE UNIVERSITY MAY RECEIVE TUITION ASSISTANCE FROM THE ORGANIZATION. THE AMOUNT OF SUCH ASSISTANCE HAS BEEN ACCOUNTED FOR AS A COMPONENT OF OVERALL COMPENSATION REPORTED FOR EACH APPLICABLE OFFICER/KEY EMPLOYEE ON FORM 990, PART VII. AS A RESULT, PURSUANT TO THE FORM 990, SCHEDULE L INSTRUCTIONS, SUCH AMOUNTS HAVE NOT BEEN ALSO REPORTED ON SCHEDULE L, PART III. -----
SCHEDULE L, PART IV	BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DURING THE NORMAL COURSE OF ITS OPERATIONS AND AFTER APPROPRIATE REVIEW, THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") MAY OCCASIONALLY TRANSACT BUSINESS WITH PERSONS AND/OR ORGANIZATIONS DESCRIBED ON FORM 990, PART IV, LINE 28. IN THIS REGARD, THE UNIVERSITY ADHERES TO A CONFLICT OF INTEREST POLICY AND ANY SUCH TRANSACTIONS ARE CONDUCTED AT AN ARMS-LENGTH BASIS. FOR THE YEAR ENDED JUNE 30, 2020, NO TRANSACTIONS WERE IDENTIFIED THAT WERE REQUIRED TO BE DISCLOSED ON FORM 990, SCHEDULE L, PART IV. -----

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	23	488,343	FAIR MARKET VALUE
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		92,374	FAIR MARKET VALUE
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded				
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous	X	703	18,572,404	FAIR MARKET VALUE
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (<u>DONATED</u> <u>EQUIPMENT</u>)	X	24	253,770	FAIR MARKET VALUE
26 Other ► (<u>DONATED</u> <u>FURNITURE</u>)	X	2	2,994	FAIR MARKET VALUE
27 Other ► (<u>OTHER GIFTS</u> <u>IN KIND</u>)	X	70	1,185,478	FAIR MARKET VALUE
28 Other ► (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

290

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

YesNo

30aNo

31Yes

32aYes

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 51227J

Schedule M (Form 990) (2019)

Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
SCHEDULE M,PART I, LINE 32B	UTILIZATION OF THIRD PARTIES FOR SALES IN RARE INSTANCES WHERE NON-RARE, DUPLICATE, DONATIONS OF ART, HISTORICAL ASSETS OR OTHER SIMILAR ASSETS ARE RECEIVED, SUCH ITEMS MAY BE SENT TO A NON-PROFIT VENDOR FOR RESALE. THE UNIVERSITY THEN RECEIVES A PORTION OF THE SALES PRICE. THIS ACTIVITY TYPICALLY GENERATES LESS THAN \$2,000 PER YEAR.

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -	DLN: 93493133020451
SCHEDULE O (Form 990 or 990-EZ)	Supplemental Information to Form 990 or 990-EZ Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ▶ Attach to Form 990 or 990-EZ. ▶ Go to <u>www.irs.gov/Form990</u> for the latest information.		OMB No. 1545-0047
			2019
Department of the Treasury Internal Revenue Service			Open to Public Inspection
Name of the organization TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA		Employer identification number 23-1352685	

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4A	<p>STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS- ACADEMIC COMPONENT THE UNIVERSITY OF PENNSYLVANIA ("PENN"UNIVERSITY") IS ONE OF THE OLDEST UNIVERSITIES IN THE UNITED STATES. IT TRACES ITS ORIGINS BACK TO A CHARITY SCHOOL FOUNDED IN 1740, WAS CHARTERED AS A COLLEGE IN 1755 , AND ON MAY 17, 1757, HELD ITS FIRST COMMENCEMENT, GRADUATING A CLASS OF SEVEN STUDENTS. FROM THESE EARLY AND MODEST BEGINNINGS, PENN HAS GROWN INTO ONE OF THE LEADING RESEARCH AND EDUCATIONAL INSTITUTIONS IN THE UNITED STATES AND IN THE WORLD. PUBLIC SERVICE IS A TOP INSTITUTIONAL PRIORITY FOR PENN. AS SUCH, THE UNIVERSITY AIMS TO PROVIDE A RICH AND DIVERSE EDUCATIONAL ENVIRONMENT FOR ITS STUDENTS; TO PIONEER RESEARCH THAT PUSHES THE BOUNDARIES OF CURRENT HUMAN KNOWLEDGE; AND TO PROVIDE THE MOST CURRENT AND HIGHEST QUALITY IN PATIENT CARE THROUGH THE UNIVERSITY HEALTH SYSTEM. THE UNIVERSITY IS HOME TO THE UNIVERSITY OF PENNSYLVANIA MUSEUM OF ARCHAEOLOGY AND ANTHROPOLOGY, THE INSTITUTE FOR CONTEMPORARY ART, AND THE ANNENBERG CENTER FOR THE PERFORMING ARTS, ALL OF WHICH CONTRIBUTE VITALLY TO ENRICHING THE CULTURAL LIFE OF PHILADELPHIA. IN ADDITION, THE UNIVERSITY IS AN ACTIVE PARTICIPANT IN THE WEST PHILADELPHIA NEIGHBORHOOD THAT IS ITS HOME. I. EDUCATION PENN'S FIRM BELIEF THAT EXCELLENCE AND DIVERSITY GO HAND-IN-HAND IS EVIDENCED BY FY 2020'S CONCOMITANT INCREASES IN ADMISSIONS SELECTIVITY AND CAMPUS MULTICULTURALISM. OF THE 44,205 STUDENTS WHO APPLIED FOR THE CLASS OF 2024, ONLY 3,789, OR 9 PERCENT, WERE OFFERED ADMISSION. FIFTY-THREE PERCENT OF THE CLASS IS FEMALE, AND 47 PERCENT IS MALE. THIS EXCEPTIONAL CLASS HAS, AT THE SAME TIME, CONTRIBUTED TO PENN'S ONGOING GROWTH IN DIVERSITY: AMONG UNITED STATES CITIZENS AND PERMANENT RESIDENTS AT PENN, 54 PERCENT SELF-IDENTIFY AS STUDENTS OF COLOR, UP FROM 53 PERCENT THE YEAR BEFORE. FIFTEEN PERCENT OF ENROLLED STUDENTS IN THE CLASS OF 2024 IDENTIFY AS FIRST-GENERATION COLLEGE STUDENTS. OF THE INTERNATIONAL STUDENTS ENROLLED IN THE CLASSES OF 2024, 10 PERCENT WERE FROM AFRICA, 47 PERCENT FROM ASIA, 5 PERCENT FROM AUSTRALIA AND THE PACIFIC, 15 PERCENT FROM CANADA AND MEXICO, 8 PERCENT FROM CENTRAL AND SOUTH AMERICA AND THE CARIBBEAN AND 16 PERCENT FROM EUROPE. THIS PROGRESS CAN BE DIRECTLY ATTRIBUTED TO THE UNIVERSITY'S CONTINUED COMMITMENT TO INCREASING ACCESS THROUGH GRANT-BASED AID PACKAGES THAT MEET THE FULL FINANCIAL NEED OF UNDERGRADUATES, EXPANDING THE PENN WORLD SCHOLARS PROGRAM, AND STRENGTHENING GRADUATE AND PROFESSIONAL FINANCIAL AID AND SUPPORT, SUCH AS THROUGH THE WELLNESS AT PENN AND OFFICE FOR PENN FIRST PLUS STUDENTS, WHICH SUPPORTS FIRST-GENERATION STUDENTS WITH SUBSTANTIAL FINANCIAL NEED TO THRIVE AND GRADUATE ON TIME. PENN IS THE NATION'S LARGEST UNIVERSITY WITH A PROGRAM THAT ENABLES DEPENDENT UNDERGRADUATES ELIGIBLE FOR AID TO RECEIVE GRANT-BASED FINANCIAL AID PACKAGES FOR EIGHT ACADEMIC SEMESTERS. PENN'S AID PROGRAM DEMONSTRATES THE UNIVERSITY'S COMMITMENT TO INCREASE ACCESS FOR QUALIFIED STUDENTS FROM ALL ECONOMIC B</p>

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FORM 990, PART III, LINE 4A	<p>ACKGROUNDS. IN FY 2020, THE AVERAGE FRESHMAN AID PACKAGE WAS \$58,362, AN INCREASE OF 5 PER CENT OVER THE PREVIOUS YEAR AND 96 PERCENT OVER THE AVERAGE AID PACKAGE OF \$29,694 IN FY 2 008, WHEN THE GRANT-BASED FINANCIAL AID POLICY WAS ANNOUNCED. RANKED NO. 8 AMONG ALL NATIO NAL UNIVERSITIES BY U.S. NEWS & WORLD REPORT, PENN IS CONSISTENTLY RECOGNIZED FOR HAVING S OME OF THE TOP ACADEMIC PROGRAMS IN THE COUNTRY. PENN'S UNDERGRADUATE BUSINESS PROGRAM IS RANKED NO. 1 NATIONALLY, WHILE PENN'S MEDICAL SCHOOL AND GRADUATE PROGRAMS IN BUSINESS AND NURSING ARE CONSISTENTLY RANKED IN THE TOP SIX NATIONWIDE. THE PERELMAN SCHOOL OF MEDICIN E AT THE UNIVERSITY OF PENNSYLVANIA HAS BEEN RANKED AMONG THE TOP MEDICAL SCHOOLS IN THE U NITED STATES FOR THE PAST 20 YEARS, ACCORDING TO U.S. NEWS & WORLD REPORT'S SURVEY OF RESE ARCH-ORIENTED MEDICAL SCHOOLS. IN FY 2020, PENN RECEIVED \$614 MILLION IN FUNDING FROM THE NATIONAL INSTITUTES OF HEALTH (NIH). OF THE \$614 MILLION, THE PERELMAN SCHOOL OF MEDICINE RECEIVED \$514 MILLION. THE SCHOOL IS CONSISTENTLY AMONG THE NATION'S TOP RECIPIENTS OF FUN DING FROM NIH. II. RESEARCH WITH MORE THAN \$1 BILLION IN ANNUAL R&D EXPENDITURES, PENN IS ONE OF THE NATION'S TOP RESEARCH UNIVERSITIES, NOT ONLY GENERATING IMPORTANT NEW KNOWLEDGE IN THE FIELDS OF MEDICINE, TECHNOLOGY, BUSINESS, SCIENCE, AND BEYOND, BUT APPLYING THIS K NOWLEDGE TO IMPROVE THE LIVES OF INDIVIDUALS AND COMMUNITIES AT HOME AND AROUND THE WORLD. REUTERS EVEN NAMED PENN AMONG THE TOP FOUR "WORLD'S MOST INNOVATIVE UNIVERSITIES." PENN H AS LONG BEEN A CRADLE OF INGENUITY DEDICATED TO SERVING THE PUBLIC GOOD. THE PENNOVATION C ENTER, THE FLAGSHIP FACILITY OF THE PENNOVATION WORKS, LEVERAGES THIS LEGACY BY CREATING A N ENVIRONMENT THAT NURTURES NOVEL IDEAS AND ACCELERATES THEIR EVOLUTION INTO NEW TECHNOLOG IES, THERAPIES, PRODUCTS, AND, ULTIMATELY, COMPANIES THAT WILL CHANGE THE WORLD. WHEN THE NOVEL CORONAVIRUS PANDEMIC STRUCK IN MARCH 2020, PENN'S TEAM OF RESEARCHERS RESPONDED SWIF TLY. IN APRIL, PENN MEDICINE LAUNCHED A CONVALESCENT PLASMA STUDY THAT EXAMINED ITS EFFECT IVENESS IN TREATING THOSE WHO ARE MODERATELY OR SEVERELY ILL. LATER THAT MONTH, IT BECAME THE SITE FOR THE NATIONAL INSTITUTES OF HEALTH'S REMDESIVIR CLINICAL TRIAL, THE FIRST CLIN ICAL TRIAL LAUNCHED IN THE U.S. TO EVALUATE AN EXPERIMENTAL TREATMENT FOR COVID-19. IN JUN E, THE PERELMAN SCHOOL OF MEDICINE FOUND NINE DRUGS THAT INHIBIT INFECTION OF THE CORONAVI RUS IN THE HUMAN LUNG EPITHELIAL CELLS. PENN WOULD LATER SERVE AS A PHASE III CLINICAL TRI AL SITE FOR THE MODERNA VACCINE, WHICH HAS SINCE BEEN APPROVED FOR EMERGENCY USE BY THE FD A AND USES A MESSENGER RNA METHOD DISCOVERED BY PERELMAN SCHOOL OF MEDICINE FACULTY MEMBER S IN 2005. ONE MEASURE OF EXCELLENCE FOR THE RESEARCH AND ACADEMIC STUDIES CONDUCTED AT PE NN IS THE NUMEROUS PRESTIGIOUS AWARDS THAT HAVE BEEN CONFERRED ON PENN FACULTY. THE FACULT Y, ACTIVE AND EMERITI, INCLUDES 92 MEMBERS OF THE AMERICAN ACADEMY OF ARTS AND SCIENCES, 1 15 MEMBERS OF THE NATIONAL ACA</p>

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FORM 990, PART III, LINE 4A	<p>DEMY OF MEDICINE, 44 MEMBERS OF THE NATIONAL ACADEMY OF SCIENCES, 32 MEMBERS OF THE AMERICAN PHILOSOPHICAL SOCIETY, 178 GUGGENHEIM FELLOWS, AND 15 MEMBERS OF THE NATIONAL ACADEMY OF ENGINEERING. TEN MACARTHUR AWARD RECIPIENTS, ONE NATIONAL MEDAL OF SCIENCE RECIPIENT, ONE NOBEL PRIZE RECIPIENT, AND FOUR PULITZER PRIZE RECIPIENTS CALL PENN HOME. PENN'S RENOWNED RESEARCH COMMUNITY COMPRISES 190 RESEARCH CENTERS AND INSTITUTES, 5,118 FACULTY, MORE THAN 1,353 POSTDOCTORAL FELLOWS, 6,142 ACADEMIC SUPPORT STAFF AND GRADUATE STUDENT TRAINEES, AND A RESEARCH BUDGET OF \$1.05 BILLION. RESEARCH GRANTS WON BY PENN FACULTY MAKE IT ONE OF THE HIGHEST RANKED RESEARCH UNIVERSITIES IN THE COUNTRY. THE OCTOBER 2016 OPENING OF THE PENNOVATION CENTER AT THE PENNOVATION WORKS SITE CONTINUES TO STAND AS A TESTAMENT TO THE VALUE PENN PLACES ON BIG IDEAS. PENNOVATION WORKS IS A DISTINCTIVE BLEND OF OFFICES, LABS, AND PRODUCTION SPACE DEVELOPED BY PENN TO LINK THE INTELLECTUAL AND ENTREPRENEURIAL INITIATIVES NECESSARY FOR ADVANCING KNOWLEDGE AND GENERATING ECONOMIC DEVELOPMENT. IN 2018, PENNOVATION WORKS LAUNCHED THE JPOD @ PHILADELPHIA PROGRAM, A BUSINESS AND TECHNOLOGY INCUBATOR THAT CONNECTS INNOVATORS TO THE JOHNSON & JOHNSON INNOVATION NETWORK AND AIMS TO IDENTIFY AND ACCELERATE EARLY-STAGE HEALTHCARE SOLUTIONS FOR UNMET PATIENT AND CONSUMER NEEDS IN MEDICINE. THE BRAND-NEW MAIN LAB BUILDING, MEANWHILE, IS A SCIENTIFICALLY ADVANCED, MULTI-TENANT LABORATORY AND OFFICE BUILDING THAT IS IDEAL FOR EARLY-STAGE COMPANIES. PENNOVATION WORKS IS FUNDAMENTALLY A COMMUNITY OF INNOVATORS. IT HOSTS MORE THAN 40 STARTUP COMPANIES AND SEVERAL LARGE CORPORATE MEMBERS, SUCH AS QUALCOMM, THE HERSHEY COMPANY, AND JOHNSON & JOHNSON, WHILE ALSO HOUSING SEVERAL PENN ACADEMIC PARTNERS, INCLUDING PENN ENGINEERING RESEARCH AND COLLABORATION HUB AND THE PENN VET WORKING DOG CENTER, ALL WORKING TOGETHER TO EXPAND THE FRONTIERS OF KNOWLEDGE.</p> <p>HTTPS://WWW.PENNOVATION.UPENN.EDU/ III. PUBLIC SERVICE MISSION COMMUNITY INFRASTRUCTURE - PENN HAS CALLED WEST PHILADELPHIA HOME SINCE 1871, AND MAKES SERVING THE COMMUNITY A TOP INSTITUTIONAL PRIORITY. THE UNIVERSITY REMAINS STRONGLY COMMITTED TO MAKING WEST PHILADELPHIA AN EVER MORE VIBRANT PLACE TO LIVE AND WORK. 2020 MARKED THE 14TH YEAR OF ACHIEVEMENT FOR THE PENN CONNECTS AND PENN CONNECTS 2.0 CAMPUS PLANS TO CREATE AN INNOVATIVE, SUSTAINABLE, AND BEAUTIFUL URBAN CAMPUS THAT REINVIGORATES THE ENTIRE COMMUNITY OF WEST PHILADELPHIA.</p> <p>HTTP://WWW.PENNCONNECTS.UPENN.EDU/ SINCE 2006, PENN HAS ADDED 27.25 ACRES OF NEW OPEN SPACE, SIX MILLION SQUARE FEET OF NEW CONSTRUCTION, AND 2.7 MILLION SQUARE FEET OF RENOVATION, REPRESENTING A TOTAL COMMITMENT OF PUBLIC AND PRIVATE INVESTMENT OF \$3.8 BILLION.</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>THE EASTERN SIDE OF UNIVERSITY CITY, ONCE DOMINATED BY THE UNITED STATES POST OFFICE'S SOUTHEASTERN PENNSYLVANIA HEADQUARTERS, A 24-ACRE MIX OF MAIL PROCESSING AND TRANSPORTATION FACILITIES, HAS BEEN REVITALIZED BY PENN'S STRATEGIC ACQUISITION AND APPLICATION OF A LAND LEASE STRATEGY. MODERNIZING THIS LAND USE UNLOCKS ITS POTENTIAL FOR GENERATING ECONOMIC DEVELOPMENT; WHILE CONNECTING UNIVERSITY CITY TO PHILADELPHIA'S CENTER CITY (CENTRAL BUSINESS DISTRICT) AND AROUND ITS TRANSPORTATION HUB AT 30TH AND MARKET STREETS (AMTRAK'S STATION, SEPTA'S COMBINATION OF PUBLIC RAIL AND BUS TRANSIT, AND INTERSTATE 76). THE ELEMENTS OF THE PLAN INCLUDED REPLACING THE POST OFFICE'S SURFACE PARKING LOT AND TRUCK DEPOT WITH THE UNIVERSITY'S PENN PARK, A 14-ACRE OPEN SPACE AND MIX OF ATHLETIC FACILITIES THAT INTRODUCED BOTH A NEW GATEWAY TO UNIVERSITY CITY AND A NEW GREENWAY WITH ENVIRONMENTAL BENEFITS, SUCH AS ADDING MORE THAN 500 NEW INDIGENOUS TREES AND PLANT LIFE AND CAPTURING AND REUSING STORMWATER TO MITIGATE RUNOFF INTO THE SCHUYLKILL RIVER. NORTH OF PENN PARK, IS CIRACENTRE SOUTH. ONCE THE POST OFFICE'S TRUCK TERMINAL ANNEX, THE UNIVERSITY LEASED THE LAND TO BRANDYWINE REALTY TRUST WHO PRIVATELY DEVELOPED IT INTO A THREE STRUCTURE COMPLEX INCLUDING: EVO, AN 850-BED GRADUATE STUDENT AND YOUNG PROFESSIONAL APARTMENT BUILDING; CIRA GREEN, A MIXED-USE BUILDING INCLUDING A 1,662 SPACE PARKING GARAGE, 9,000 SQUARE FEET OF STREET LEVEL RETAIL BUSINESSES, AND A ONE-ACRE ROOFTOP GREEN AND OPEN SPACE; AND FMC TOWER, A 49-STORY, 730-FOOT SKYSCRAPER AND GLOBAL HEADQUARTERS OF THE SPECIALTY CHEMICAL COMPANY, FMC CORPORATION. THE UNIVERSITY IS LEASING BACK FROM BRANDYWINE 125,000 SQUARE FEET FOR PENN ADMINISTRATIVE OFFICES. THE TOWER'S UPPER MOST FLOORS CONTAIN 260 LUXURY HOTEL SUITES AND A CONFERENCE CENTER TARGETED TO PROFESSIONALS AND OPERATED BY A THIRD PARTY HOUSING SPECIALIST. THE STREET LEVEL LOBBY HOSTS A RESTAURANT. LEARN MORE ABOUT PENN CONNECTS INCLUDING PROJECT DETAILS AT HTTP://WWW.PENNCONNECTS.UPENN.EDU/ SOUTH OF PENN PARK, IS THE 23-ACRE PENNOVATION WORKS PROPERTY, ADJACENT TO PENNS CAMPUS; WHICH IS ANCHORED BY THE PENNOVATION CENTER, A 52,000 SQUARE FOOT BUSINESS INCUBATOR THAT PROVIDES LAB SPACE AND IS A HUB FOR COLLABORATION AND THE EXCHANGE OF IDEAS FOR INNOVATORS FROM ALL DISCIPLINES. PENN RESEARCHERS AND ENTREPRENEURS WORK WITH INDUSTRY PARTNERS IN STATE-OF-THE-ART FACILITIES TO SOLVE REAL WORLD PROBLEMS AND TRANSLATE INVENTIVENESS INTO VIABLE VENTURES. BY GROUPING FACILITIES FOR INNOVATION AND TECHNOLOGICAL DEVELOPMENT, PENN ENVISIONS A MULTIFACETED WORKSHOP FOR HARNESSING AND COMMERCIALIZING THE TREMENDOUS CREATIVE POTENTIAL IN THE REGION. HTTPS://WWW.PENNOVATION.UPENN.EDU/ PENN IS INVESTED IN AND ENGAGED WITH ITS LOCAL COMMUNITY IN A STRATEGIC APPROACH TO ENHANCE COMMUNITY AND ECONOMIC DEVELOPMENT THROUGH A SET OF SPECIFIC INITIATIVES, INCLUDING: THE LOCAL PROCUREMENT OF GOODS AND SERVICES WITH AN EMPHASIS ON SUPPLIER DIVERSITY AND INCLUSION; THE</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>SKILLS DEVELOPMENT OF LOCAL RESIDENTS SO TO ASSIST THEIR OBTAINING OF TEMPORARY OR PERMANENT JOBS WITH THE UNIVERSITY, HEALTH SYSTEM, OR THIRD PARTY CONTRACTORS; DEVELOPMENT AND MANAGEMENT OF COMMERCIAL CORRIDORS, WITH AN EMPHASIS ON NURTURING LOCAL INDEPENDENT RETAIL BUSINESSES, PROVIDING PUBLIC SAFETY SERVICES ON AND OFF CAMPUS, AND ENHANCING THE EDUCATIONAL ATTAINMENT OF CHILDREN IN LOCAL PUBLIC SCHOOLS. HTTP://WWW.EVP.UPENN.EDU/STRATEGIC-INITIATIVES/COMMUNITY-AND-ECONOMIC-DEVELOPMENT.HTML ON THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEMS (UPHS) CAMPUS, CONSTRUCTION IS CURRENTLY UNDERWAY ON THE PAVILION A 1.5 MILLION SQUARE FOOT BUILDING HOUSING 500 PRIVATE PATIENT ROOMS AND 47 OPERATING ROOMS. THIS 17-STORY FACILITY IS LOCATED ACROSS THE STREET FROM THE HOSPITAL OF THE UNIVERSITY OF PENNSYLVANIA AND ADJACENT TO THE PERELMAN CENTER FOR ADVANCED MEDICINE, WHICH WAS ONCE PHILADELPHIA CIVIC CENTER. THIS STATE-OF-THE-ART BUILDING INCLUDES ADAPTABLE PATIENT ROOMS THAT CAN FLEX BETWEEN INTENSIVE CARE AND A STANDARD ROOM AS PATIENTS RECOVER. THE PAVILION WILL ALSO BENEFIT FROM ENHANCED TECHNOLOGY AND RESEARCH THAT WILL FACILITATE AND IMPROVE CARE TEAM COLLABORATION. ADDITIONALLY, THE BUILDING EMBODIES PENNS COMMITMENT TO THE ENVIRONMENT. THE PAVILION WAS DESIGNED TO BE ECO-FRIENDLY AND IS BEING CONSTRUCTED WITH INNOVATIONS LIKE THE RE-USE OF WATER, 100 PERCENT OUTSIDE AIR, AND PARK-LIKE, OUTDOOR GREEN SPACE FOR PATIENTS, FAMILIES AND STAFF. THE STATE-OF-THE-ART PAVILION WILL BETTER ENABLE UPHS TO CONTINUE ITS LONG-STANDING TRADITION OF PROVIDING HIGH QUALITY HEALTH CARE TO ITS COMMUNITY. HTTPS://WWW.PENNMEDICINE.ORG/FOR-PATIENTS-AND-VISITORS/PENN-MEDICINE-LOCATIONS/HOSPITAL-OF-THE-UNIVERSITY-OF-PENNSYLVANIA/NEW-HOSPITAL-PAVILION ECONOMIC IMPACT: AS THE CITY OF PHILADELPHIA'S LARGEST PRIVATE EMPLOYER, PENN PLAYS A SIGNIFICANT ROLE IN ACTIVATING THE LOCAL ECONOMY THROUGH JOBS AND WAGES, AS WELL AS THROUGH ITS PURCHASING OF GOODS AND SERVICES. ADDITIONALLY, SINCE IT IS A DESTINATION CAMPUS, PENN GENERATES ANCILLARY STUDENT, STAFF AND VISITOR SPENDING. ACCORDING TO A FY 2020 ECONOMIC IMPACT REPORT, PENN GENERATES \$15.5 BILLION IN ANNUAL ECONOMIC ACTIVITY IN THE CITY OF PHILADELPHIA. HTTP://WWW.EVP.UPENN.EDU/PDF/PENN_ECONOMIC_IMPACT_POWERING_PHL_PA.PDF IN FY 2020, PENN CONTINUED ITS FINANCIAL SUPPORT FOR THE UNIVERSITY CITY DISTRICT, WHICH WAS CREATED IN 1997 BY A COALITION OF 11 KEY INSTITUTIONS IN UNIVERSITY CITY. THIS INDEPENDENT NONPROFIT SPECIAL SERVICES DISTRICT PROVIDES SUPPLEMENTAL MUNICIPAL SERVICES FOR A 2.2 SQUARE-MILE AREA IN UNIVERSITY CITY THAT INCLUDES MORE THAN 50,000 RESIDENTS, 75,000 EMPLOYEES, AND 40,000 STUDENTS. THE ORGANIZATION FOCUSES ON CLEAN AND SAFE PROGRAMS WITH UNIFORMED AMBASSADORS PROVIDING PUBLIC SPACE MAINTENANCE AND SECURITY; ECONOMIC DEVELOPMENT AND WITH AN EMPHASIS ON TRAINING LOCAL RESIDENTS WITH NECESSARY SKILLS TO OBTAIN TEMPORARY OR PERMANENT JOBS WITH THE UNIVERSITY AND HEALTH SYSTEM; NEIGHBORHOOD PLANNING; AND MAR</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>KETING. HTTP://WWW.EVP.UPENN.EDU/STRATEGIC-INITIATIVES/NEIGHBORHOOD-SERVICES.HTML SEE SCHE DULE H, PART VI FOR ADDITIONAL DETAILS REGARDING SOME OF THE VARIOUS COMMUNITY BUILDING AC TIVITIES CONDUCTED BY THE UNIVERSITY. ECONOMIC INCLUSION: A CRITICALLY IMPORTANT COMPONENT OF PENNS STRATEGIC APPROACH TO ECONOMIC DEVELOPMENT IS USING ITS CONSIDERABLE PURCHASING POWER IN GOODS AND SERVICES AND CONSTRUCTION ACTIVITY, AS WELL AS ITS ACADEMIC EXPERTISE, TO FUEL LOCAL BUSINESS GROWTH AMONG LOCAL BUSINESSES AND DIVERSE ENTERPRISES AS DEFINED BY THOSE WHOSE OWNERSHIP CLASSIFICATION IS: BLACK, BROWN, WOMAN, LGBTQ, VETERAN, AND DISABLE D. IN PURCHASING SERVICES, THE FY 2020 RESULTS HAVE BEEN DRIVEN BY A NUMBER OF TARGETED PU RCHASING SERVICES INITIATIVES DESIGNED TO EXPAND BUSINESS AND ECONOMIC OPPORTUNITIES AVAIL ABLE TO THOSE WHO LIVE, WORK, AND OWN BUSINESSES IN THE LOCAL COMMUNITY. IN FY 2020, INCLU DING CONSTRUCTION, PENN PURCHASED \$151 MILLION IN PRODUCTS AND SERVICES FROM THE WEST PHIL ADELPHIA COMMUNITY BUSINESSES, WHILE SPENDING \$139.0 MILLION FROM DIVERSITY SUPPLIERS (MIN ORITY, WOMAN, VETERAN, LGBTQ, OTHER OWNED) ACROSS THE CITY. HTTPS://CMS.BUSINESS-SERVICES. UPENN.EDU/PURCHASING/ECONOMIC-INCLUSION/BY-T HE-NUMBERS.HTML</p> <p>SUSTAINABILITY: IN 2007, PENN PRESIDENT AMY GUTMANN SIGNED THE AMERICAN COLLEGE AND UNIVERSITY PRESIDENTS CLIMATE COMMI TMENT (ACUPCC). THIS PLEDGE COMMITTED PENN TO DEVELOPING PLANS FOR SIGNIFICANT REDUCTIONS OF EMISSIONS OF CLIMATE-ALTERING GREENHOUSE GASSES. HTTPS://WWW.SUSTAINABILITY.UPENN.EDU/ PENNS INITIAL CLIMATE ACTION PLAN (CAP) LAUNCHED IN 2009 AND IS REISSUED EVERY FIVE YEARS. IN OCTOBER OF 2019, PENN SUSTAINABILITY ISSUED THE THIRD ITERATION OF THE PLAN TITLED THE CLIMATE AND SUSTAINABILITY ACTION PLAN 3.0 (CSAP 3.0), ADDING SUSTAINABILITY TO THE TITLE OF THE PLAN. THE CSAP 3.0 DESCRIBES THE PROGRESS MADE TOWARDS THE CSAP 2.0 GOALS AND SETS NEW, CHALLENGING GOALS FOR MITIGATING CLIMATE IMPACTS, ADAPTING TO EMERGING ENVIRONMENTAL CONDITIONS, AND PREPARING OUR UNIVERSITY AND STUDENTS TO LEAD IN A RAPIDLY EVOLVING WORLD . BUILDING ON THE ACCOMPLISHMENTS OF GOALS OUTLINED IN THE 2009 TO 2014 PLANS, THE GOALS S ET FORTH IN THE CSAP 3.0 WILL TEST THE INGENUITY OF THE CAMPUS COMMUNITY AS WE CONTINUE TO PRIORITIZE ENVIRONMENTAL HEALTH AND RESILIENCY. THE CSAP 3.0 IS ORGANIZED AROUND SEVEN IN ITIATIVES, EACH DEVELOPED BY AN ENVIRONMENTAL SUSTAINABILITY ADVISORY COMMITTEE (ESAC) SUB COMMITTEE. BELOW ARE CAMPUS SUSTAINABILITY ACHIEVEMENTS AND CSAP 3.0 HIGHLIGHTS.</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>ACADEMICS: ACHIEVEMENTS: 400 SUSTAINABILITY RELATED COURSES ARE CURRENTLY OFFERED ACROSS VARIOUS SCHOOLS SINCE 2014, 14 NEW ACADEMIC PROGRAMS HAVE BEEN INTRODUCED ACROSS SIX SCHOOLS SINCE 2009, AND THERE ARE EIGHT NEW CENTERS THAT FOCUS ON SUSTAINABILITY THAT HAVE BEEN ESTABLISHED ACROSS FIVE SCHOOLS SINCE 2014. SINCE DECEMBER 2019, THE ENVIRONMENTAL INNOVATIONS INITIATIVE (EII), A NEW UNDERTAKING LAUNCHED BY PENN'S PRESIDENT AND PROVOST, SEEKS TO PLACE PENN IN THE VANGUARD OF RESEARCH AND EDUCATION AIMED AT BUILDING PATHWAYS TOWARDS LASTING PROSPERITY AND WELL-BEING FOR ALL PEOPLE IN HARMONY WITH NATURE AND ITS LIFE-SUPPORTING SYSTEMS, FOLLOWING THE PRINCIPLES OF JUSTICE. CONSISTENT WITH MANY OF PENN'S CSAP 3.0'S ACADEMIC GOALS, THE OVERARCHING GOAL OF THE EII IS TO INTEGRATE ALL RELEVANT KINDS OF EXPERT KNOWLEDGE ALREADY WELL ESTABLISHED IN DIFFERENT PARTS OF THE UNIVERSITY AND TO BUILD UPON THE EXISTING CAPACITIES BY STRATEGIC ADDITIONS AND EXTERNAL PARTNERSHIPS. EII WILL HAVE A GLOBAL FOCUS AND A BROAD INTERDISCIPLINARY MANDATE TO PRODUCE NEW KNOWLEDGE AND TO ENGAGE AND EDUCATE PENN STUDENTS AND OTHERS FROM THE LOCAL COMMUNITY TO THE WORLD. GOALS: SUPPORT CROSS-DISCIPLINARY RESEARCH AND COORDINATION OF WORK BETWEEN SCHOOLS AND ACADEMIC CENTERS, IMPROVE CLIMATE LITERACY OF PENN STUDENTS, SUPPORT THE CITY'S REGIONAL SUSTAINABILITY OBJECTIVES, PROVIDE PROFESSIONAL DEVELOPMENT OPPORTUNITIES TO STUDENTS, AND IMPROVE OPPORTUNITIES FOR A COHESIVE SUSTAINABILITY EDUCATION AT PENN. INFORMATION TECHNOLOGY: ACHIEVEMENTS: IN ORDER TO RAPIDLY SUPPORT REMOTE EDUCATION AND RESEARCH WITH OUR SCHOOL AND CENTER PARTNERS, THE INFORMATION SYSTEMS AND COMPUTING (ISC) DIVISION BUILT ON OUR RECENT PROGRAMS DESIGNED TO ENSURE HIGH-QUALITY OPERATIONS WHILE SIMULTANEOUSLY PREPARING IT AT PENN FOR A RAPIDLY EVOLVING FUTURE. WE FOCUSED ON CREATING MORE EFFICIENT, SUSTAINABLE SERVICES AND APPLICATIONS, UTILIZING CLOUD COMPUTING VENDORS. THE RESULT OF THIS GROUNDWORK WAS A RELATIVELY SMOOTH TRANSITION TO REMOTE OPERATIONS, WITH RESULTS INCLUDING: 5M PARTICIPANTS IN VIRTUAL ZOOM AND TEAMS MEETINGS IN THE LAST SIX MONTHS OF 2020 ALONE; 4.3M+ PHONE CALLS HANDLED IN CY20 WHILE TRANSITIONING FROM PENNNET PHONES TO CLOUD-BASED PENNFLEX PHONES; OUR NEW, EFFICIENT DATA CENTER MAINTAINED 99.9% AVAILABILITY; WORKDAY@PENN HAD 1.7M LOGONS, 1.2M BUSINESS PROCESSES INITIATED & 1M PAYMENTS MADE IN CY20; THE NEXT GENERATION STUDENT SYSTEMS PROGRAM SUCCESSFULLY TESTED FINANCIAL AID INTEGRATIONS AS PART OF RELEASE 1 OF SYSTEM INTEGRATION TESTING. GOALS: IN THE COMING YEARS, ISC WILL BUILD UPON OUR FOUNDATIONAL WORK, DELIVERING HIGHLY EFFECTIVE, EFFICIENT, AND RELIABLE CORE SERVICES. UTILITIES AND OPERATIONS: ACHIEVEMENTS: 41% NET REDUCTION OF BUILDING-RELATED EMISSIONS IN FY20 WHEN COMPARED TO FY09 AND 37.2% REDUCTION IN NET TOTAL CAMPUS EMISSIONS IN FY20 WHEN COMPARED TO FY09. GOALS: REDUCE PENN'S BUILDING-RELATED CARBON EMISSIONS BY 40% BY 2024, USING 2009 AS THE BASELINE YEAR. AS OF FY2</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>0, THIS GOAL HAS BEEN MET WITH A 41% REDUCTION. PHYSICAL ENVIRONMENT: ACHIEVEMENTS: 34 GREEN ROOFS ON CAMPUS; 27 LEED DESIGNED BUILDINGS; AND 28% OF CAMPUS IS UNPAVED AREA, COMPRISED OF GARDENS, LAWNS, AND PERVIOUS PAVING. GOALS: UPDATE CAMPUS DESIGN AND MANAGEMENT STANDARDS AND INTEGRATE OCCUPANT HEALTH AND WELLNESS INTO PENN'S BUILDING STANDARDS; IMPROVE PENN'S LANDSCAPE ECOLOGY PRACTICES AS WELL AS WATER USE AND MANAGEMENT ON CAMPUS; AND IMPROVE EDUCATION AROUND PENN'S PLANNING AND PREPAREDNESS, RESILIENCY, AND ADAPTATION TO CLIMATE CHANGE. WASTE MINIMIZATION AND RECYCLING: ACHIEVEMENTS: INCREASING MATERIAL DIVERSION AND REDUCING THE WASTE SENT TO LANDFILL AND WASTE-TO-ENERGY INCINERATORS CONTINUE TO BE PRIORITIES FOR PENN. TOGETHER WITH OUR WASTE VENDOR, PENN HAS REDUCED SOLID WASTE BY 23% PERCENT IN FY20 COMPARED TO FY09. COVID-19 HAS HAD A LARGE EFFECT ON CAMPUS WASTE COLLECTION STARTING IN MARCH 2020, LEADING TO AN OVERALL REDUCTION IN SOLID WASTE GENERATED. GOALS: INCREASE PENN'S OVERALL WASTE DIVERSION, MINIMIZE WASTE SENT TO LANDFILL, AND SUPPORT REGIONAL WASTE GOALS. PURCHASING: ACHIEVEMENTS: A DINING WORKING GROUP WAS ESTABLISHED TO INCREASE TRACKING AND REPORTING OF SUSTAINABLE FOOD. APPROXIMATELY 20% OF THE FOOD USED IN PENN DINING FACILITIES THAT ARE MANAGED BY BON APPETIT IS SOURCED FROM LOCAL VENDORS. DUE TO EXTENSIVE REDUCTIONS IN TRAVEL DUE TO COVID-19, AIR TRAVEL EMISSIONS CHANGED DRAMATICALLY IN 2020. AIR TRAVEL EMISSIONS WERE REDUCED BY 36% PERCENT IN FY20 AS COMPARED TO FY19 AND ACCOUNTED FOR 7% OF TOTAL EMISSIONS. GOALS: INCREASE PROCUREMENT OF SUSTAINABLE FOOD PRODUCTS; ENCOURAGE PURCHASING OF LOW- OR ZERO- EMISSION VEHICLES; EVALUATE MECHANISMS TO EFFECT PENN'S EMISSIONS FROM AIR TRAVEL; AND EXPAND SUSTAINABLE OFFICE EQUIPMENT PURCHASES, OPTIONS, STANDARDS, AND TECHNOLOGY. TRANSPORTATION: ACHIEVEMENTS: THE BICYCLE COMMUTER REIMBURSEMENT PROGRAM (BCRP) CONCLUDED ITS THIRD TAX YEAR OF SUPPORT TO 281 STAFF CLAIMING \$50,041.00 IN EXPENSES FOR TAX YEAR 2019. TAX YEAR 2020 CLAIMS WILL BE CLOSED-OUT AT THE END OF MARCH 2021 AND ARE ANTICIPATED TO TOTAL ABOUT HALF OF THE PREVIOUS YEAR'S CLAIMS DUE TO LOWER ON-CAMPUS PRESENCE. THIS PROGRAM SUPPORTS STAFF SUBMITTING BONIFIED EXPENSES WHILE COMMUTING TO CAMPUS BY BICYCLE. - THE UNIVERSITY CONTINUES TO WORK WITH INDEGO BIKE SHARE AND ENJOYS A TOTAL OF SIX BIKE SHARE STATIONS ON CAMPUS; TWO OF THESE STATIONS WERE ADDED IN FY20. - EIGHT LEVEL-2 ELECTRIC VEHICLE CHARGING STATIONS WERE ADDED TO THE FOUR ALREADY INSTALLED AT 34TH AND CHESTNUT STREET. THE NEW STATIONS ARE LOCATED IN THE MUSEUM AND WALNUT 38 GARAGES. AN ADDITIONAL FOUR ARE PROGRAMMED FOR INSTALLATION IN FY22. ALL OF OUR STATIONS ARE CONNECTED TO AND ADMINISTERED BY THE BLINK NETWORK. ELECTRICITY IS PAID FOR BY CHARGING STATION USERS AND THE UNIVERSITY WAS SUCCESSFUL AT GETTING \$36,000.00 IN GRANT MONEY FROM THE COMMONWEALTH OF PENNSYLVANIA; TOTAL COST BEFORE GRANT WAS \$137,000.00. THE NET COST WAS SHARED WITH THE UPHS. - THE</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>UNIVERSITY'S TRANSIT DEPARTMENT OPERATES 17 BI-FUEL (GASOLINE AND PROPANE) VEHICLES IN ITS FLEET CONTRIBUTING TO A CLEANER ENVIRONMENT AND SAVING AN ESTIMATED \$56,652.00 IN FY20 FUEL COSTS COMPARED TO USING JUST GASOLINE. - THE UNIVERSITY CONTINUES TO SUPPORT MASS TRANSIT SUBSCRIBERS PURCHASING MONTHLY TRANSIT PASSES VIA A DISCOUNT PROGRAM EXECUTED IN CONJUNCTION WITH SEPTA AND WAGeworks (TOTALING 10% OF PASS PRICE) WHILE ALSO FACILITATING PAYROLL PURCHASE USING PRE-TAX FUNDS. TO FURTHER SUPPORT THE USE OF MASS TRANSIT, THE OCCASIONAL PARKING PROGRAM (OPP) OFFERS MASS TRANSIT SUBSCRIBERS DISCOUNTED PARKING FOR THOSE TIMES WHEN DRIVING IS NECESSARY, BUT NOT A DETERRENT FROM USING MASS TRANSIT. THERE WERE 271 PARTICIPANTS IN THE OPP DURING FY20. - THE UNIVERSITY'S VANPOOL AND CARPOOL PROGRAMS OFFER NO-COST / LOW-COST PREFERRED PARKING WHILE ALSO ALLOWING PAYROLL DEDUCTION PAYMENTS WHICH ARE MADE WITH PRE-TAX FUNDS. WE HAD 67 PARTICIPANTS IN THESE PROGRAMS AT THE END OF FY20. - COMPLEMENTING THE CITY'S (SEPTA) TROLLEY, BUS AND REGIONAL RAIL SERVICE, THE UNIVERSITY OFFERS A ROBUST COMMITMENT TO THE SAFETY AND SUSTAINABILITY OF OUR COMMUNITY WITH BUSES, SHUTTLES AND VANS. THESE ASSETS SUPPORT BOTH FIXED AND ON-DEMAND TRANSPORTATION ACROSS SEVEN INTEGRATED ROUTES AND SERVICE AREAS. PENN TRANSIT SERVICES ALSO INCLUDES A MAINTENANCE DEPARTMENT, THE ONLY BLUE SEAL MAINTENANCE SHOP IN WEST PHILADELPHIA, OFFERING TOP QUALITY LOWER COST SERVICE TO 125 OF THE UNIVERSITY'S VEHICLES UNDER CONTRACT; WELL-MAINTAINED VEHICLES OPERATE MORE EFFICIENTLY, ARE MORE SUSTAINABLE AND COST LESS TO OPERATE. - THE ASSOCIATION FOR THE ADVANCEMENT OF SUSTAINABILITY IN HIGHER EDUCATION (AASHE) RECOGNIZED PENN IN ITS 2020 SUSTAINABLE CAMPUS INDEX AS A TOP PERFORMER RANKING THE UNIVERSITY 5TH OVERALL NATIONALLY IN THE CATEGORY OF TRANSPORTATION. THIS RECOGNITION IS FOR INSTITUTIONS THAT POSITIVELY IMPACT HUMAN AND ECOLOGICAL HEALTH WHILE SUPPORTING LOCAL ECONOMIES BY MODELING SUSTAINABLE TRANSPORTATION SYSTEMS. IN THIS RANKING, CONSIDERATION IS GIVEN TO CAMPUS FLEETS, STUDENT/EMPLOYEE MODAL SPLIT AND PROGRAMS PROMOTING SUSTAINABLE TRANSPORTATION. OUTREACH AND ENGAGEMENT: ACHIEVEMENTS: THIS YEAR, PENN SUSTAINABILITY LAUNCHED A SUSTAINABILITY 101 KNOWLEDGELINK TRAINING FOR FACULTY AND STAFF. THIS MODULE IS ASSIGNABLE TO ALL UNIVERSITY FACULTY AND STAFF AND HELPS USERS LEARN ABOUT SUSTAINABILITY ON CAMPUS. SINCE ITS RELEASE, 355 FACULTY AND STAFF HAVE TAKEN THE TRAINING. THE GREEN LABS CERTIFICATION PROGRAM SAW A 100% INCREASE IN LAB PARTICIPATION THIS YEAR WITH 14 LABS CERTIFIED. THE GREEN LIVING PROGRAM CERTIFIED 287 PARTICIPANTS AND THE GREEN OFFICE PROGRAM CERTIFIED 71 OFFICES ACROSS CAMPUS. GOALS: EXPAND AND STRENGTHEN EXISTING OUTREACH PROGRAMS AND ENGAGE PENN'S LEADERSHIP TO SERVE AS SUSTAINABILITY CHANGE AGENTS.</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>THIS PROGRESS MADE SINCE THE INITIAL 2009 ACTION PLAN IS A TESTAMENT TO THE COMMITMENT OF STAKEHOLDERS ACROSS CAMPUS TO LIVE UP TO THE STANDARDS FOR ENVIRONMENTAL EXCELLENCE. A DOWNLOADABLE AND PRINTABLE COPY OF THE PENN CLIMATE AND SUSTAINABILITY ACTION PLAN 3.0 IS AVAILABLE ON THE PENN SUSTAINABILITY WEBSITE. SEE THE FULL CLIMATE AND SUSTAINABILITY ACTION PLAN ANNUAL REPORT FOR A FULL PROGRESS UPDATE. PENN'S CIVIC ENGAGEMENT IS COMMUNITY BASED AND CITY WIDE THE UNIVERSITY ENCOURAGES ITS STUDENTS, FACULTY, STAFF, AND ALUMNI TO PARTICIPATE IN THE EXTENSIVE OUTREACH THAT SUPPORTS PHILADELPHIANS, WITH AN EMPHASIS ON THE LOCAL COMMUNITY SURROUNDING PENN, TO LEAD HEALTHY, GAINFUL LIVES. EACH YEAR, PENN PARTICIPATES IN A NUMBER OF JOB FAIRS AIMED AT CREATING AWARENESS OF OPPORTUNITIES FOR UNDER-SERVED POPULATIONS. THE DIVISION OF HUMAN RESOURCES, RECRUITMENT SERVICES & TALENT ACQUISITION TEAM COORDINATED EFFORTS WITH OUR TEMPORARY STAFFING PROVIDER, APPLE ONE TO ENSURE THAT WE ATTEND A WIDE RANGE OF FAIRS INCLUDING ONE STOP JOB & RESOURCE HUB CITY-WIDE JOB FAIR, HIRE! PHILLY 2020 CAREER + RESOURCE FAIR, 18TH DISTRICT PHILADELPHIA POLICE DEPARTMENT JOB FAIR, ALPHA KAPPA ALPHA - 69TH BOULE AND CAREER FAIR, AL DIA PHILADELPHIA DIVERSE CITY ANNUAL CAREER FAIR, BATTLESHIP NEW JERSEY - VETERAN CAREER FAIR, SIERRA GROUP FAIR, RECRUIT MILITARY, TEMPLE BLACK MBA AND TEMPLE U COMMUNITY FAIR AND COUNCILMAN KENYATTA JOHNSON'S CAREER FAIRS. 2020 COVID RESTRICTIONS RESULTED IN CANCELLATION OF THESE FAIRS BUT WE ARE HOPEFUL THAT THE FAIRS WILL RESUME IN 2021. PENN CONTINUES THEIR INVOLVEMENT WITH THE WELCOMING CENTER FOR NEW PENNSYLVANIANS. SINCE THE ONSET OF THE COVID-19 PANDEMIC IN MARCH 2020, THE UNIVERSITY HAS CONTINUED TO PARTICIPATE IN CAREER PANELS, MOCK INTERVIEWING SESSIONS AND NETWORKING EVENTS. OUR RECRUITMENT SERVICES STAFF HAS ALSO CONTINUED TO HOLD VIRTUAL MEETINGS (VIA ZOOM) WITH THE INTERNATIONAL PROFESSIONAL PROGRAM (IPP) PARTICIPANTS TO DISCUSS JOB READINESS SKILLS AND JOB SEARCH STRATEGIES FOR APPLICATION COMPLETION. THEIR INVOLVEMENT ALSO INCLUDES SUPPORT WITH RESUME AND COVER LETTER WRITING, INTERVIEWING TECHNIQUES, NETWORKING, AND INDIVIDUALIZED COACHING. WE ALSO, CONTINUE TO WORK CLOSELY WITH APPLE ONE ON PLACING TEMPORARY WORKERS WITHIN THE UNIVERSITY. THESE TEMPORARY JOBS HAVE LED TO FULL-TIME REGULAR BENEFIT ELIGIBLE REGULAR POSITIONS FOR 12 APPLE ONE STAFF IN FY 2020 WITH \$651,457 IN WAGES PAID TO WEST AND SOUTHWEST PHILADELPHIA RESIDENTS. THE DIVISION OF HUMAN RESOURCES PARTICIPATES WITH THE PHILADELPHIA CHAMBER OF COMMERCE'S PAY IT FORWARD MENTORING PROGRAM UTILIZING ITS STAFF MEMBERS AS MENTORS. THE PAY IT FORWARD INITIATIVE IS A MENTORING ENGAGEMENT PROGRAM THAT PAIRS YOUNG PROFESSIONALS WITH EXECUTIVES FROM CHAMBER MEMBER COMPANIES. PAIRINGS ARE MADE BASED ON PERSONAL AND PROFESSIONAL GOALS AND INTERESTS, COMPETENCIES, EXPERIENCE, AND EXPECTATIONS. MENTORS ARE HIGH-LEVEL EXECUTIVES FROM CHAMBER MEMBER COMPANIES, RESPECTED MEMBERS OF T</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>HE REGION'S BUSINESS COMMUNITY, AND UNDERSTAND THE CHAMBER'S MISSION, VISION, AND GOALS. M ENTEES ARE YOUNG PROFESSIONALS WHO ARE ENGAGED WITH THE CHAMBER, USUALLY EITHER THROUGH CH AMBER MEMBER COMPANIES OR THROUGH THE YOUNG PROFESSIONALS COUNCIL. IN FY 2020, PENN'S NETT ER CENTER FOR COMMUNITY PARTNERSHIPS INVOLVED APPROXIMATELY 1,850 PENN STUDENTS THROUGH 73 ACADEMICALLY-BASED COMMUNITY SERVICE COURSES, MORE THAN 370 STUDENTS THROUGH FEDERAL WORK -STUDY POSITIONS AND INTERNSHIPS, AND AN ESTIMATED 900 STUDENT VOLUNTEERS, ALL IN SERVICE TO THE COMMUNITY. THE NETTER CENTER'S UNIVERSITY-ASSISTED COMMUNITY SCHOOL PROGRAMS, WHICH FOCUS ON EIGHT PUBLIC SCHOOLS IN WEST PHILADELPHIA AND SERVE OVER 3,700 K-12 STUDENTS AND THEIR FAMILIES, HAVE BEEN CREDITED WITH VISIBLE IMPROVEMENTS IN ACADEMIC PERFORMANCE, ATT ENDANCE, AND STUDENT AND PARENTAL INVOLVEMENT. SCHOOL-DAY PARTNERSHIPS, AFTER-SCHOOL AND S UMMER PROGRAMMING ADAPTED TO A VIRTUAL PLATFORM BEGINNING MARCH 2020. HTTPS://WWW.NETTERCENTER.UPENN.EDU/ ONE CRITICAL INITIATIVE THAT THE NETTER CENTER HELPS SUPPORT IS THE DR. BE RNETT L. JOHNSON, JR. SAYRE HEALTH CENTER, A FEDERALLY QUALIFIED HEALTH CENTER OFFERING CO MPREHENSIVE HEALTH-CARE SERVICES AND HEALTH CAREERS EDUCATION TO THE WEST PHILADELPHIA COM MUNITY. ESTABLISHED IN 2006, THE HEALTH CENTER GREW OUT OF A SAYRE-PENN PARTNERSHIP THAT B EGAN IN 1996 AT SAYRE HIGH SCHOOL, A PUBLIC SCHOOL IN WEST PHILADELPHIA THAT ENROLLS PREDOMINATELY LOW-INCOME AFRICAN-AMERICAN STUDENTS. PENN MEDICINE PHYSICIANS AND PENN STUDENTS FROM THE SCHOOLS OF MEDICINE, DENTISTRY, NURSING, AND SOCIAL POLICY AND PRACTICE SUPPORT T HE CLINICAL MISSION OF THE HEALTH CENTER. THESE STUDENTS, IN ADDITION TO THOSE FROM THE SC HOOLOF ARTS AND SCIENCES, WORK ALONGSIDE HEALTH CENTER STAFF AS EDUCATIONAL MENTORS, TEAC HING SAYRE STUDENTS SCIENCE AND HEALTH TOPICS WITH A GOAL OF RECRUITING MORE MINORITIES TO THE MEDICAL FIELD. PENN MEDICINE DEPLOYED ADDITIONAL HEALTH EMPLOYEES TO SAYRE HEALTH CEN TER IN SPRING 2020 TO OFFER COVID TESTING FOR THE COMMUNITY. AS A UNIVERSITY-ASSISTED COMMUNITY SCHOOL, SAYRE ALSO OFFERS AFTER-SCHOOL PROGRAMS, PEER HEALTH EDUCATION, AND HEALTH E DUCATION AND FITNESS ACTIVITIES TO ALL MEMBERS OF THE COMMUNITY. THE AGATSTON URBAN NUTRITION INITIATIVE (AUNI), A KEY COMPONENT OF THE NETTER CENTER'S UNIVERSITY-ASSISTED COMMUNITY SCHOOLS PROGRAM, WORKS TO IMPROVE COMMUNITY NUTRITION AND HEALTH BY DEVELOPING AND IMPLE MENTING A COMPREHENSIVE SET OF ACTIVITIES IN TARGETED NEIGHBORHOODS. AUNI SPECIFICALLY TAR GETS OBESITY, POOR NUTRITION, AND RELATED DISEASES SUCH AS DIABETES. IN FY 2020, AUNI DELI VERED NUTRITION EDUCATION TO 6,000 CHILDREN AND YOUTH IN 16 SCHOOLS, AS WELL AS PROVIDED N UTRITION LESSONS, PHYSICAL ACTIVITY, AND HANDS-ON COOKING WORKSHOPS IN 22 COMMUNITY SITES FOR ADULT COMMUNITY MEMBERS. BEGINNING APRIL 2020, AUNI BEGAN TEACHING VIRTUAL NUTRITION A ND COOKING LESSONS FOR STUDENTS AND ADULT PARTICIPANTS. YOUNG QUAKERS COMMUNITY ATHLETICS (YQCA), A COLLABORATION BETWEE</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>N THE NETTER CENTER AND PENN ATHLETICS, CREATES MUTUALLY BENEFICIAL PARTNERSHIPS BETWEEN P ENN INTERCOLLEGIATE ATHLETIC TEAMS AND WEST PHILADELPHIA PUBLIC SCHOOLS. IN FY 2020, YQCA ENGAGED APPROXIMATELY 110 BIG QUAKERS FROM PENN MEN'S AND WOMEN'S LACROSSE AND TRACK AND F IELD AND APPROXIMATELY 170 YOUNG QUAKERS IN GRADES 4-8 FROM FOUR WEST PHILADELPHIA UNIVERS ITY-ASSISTED COMMUNITY SCHOOLS. THROUGH EARLY MARCH 2020, SPORTS PRACTICES TOOK PLACE AT P ENN FACILITIES, LOCAL SCHOOLS AND RECREATION CENTERS. BEGINNING APRIL 2020, VIRTUAL SESSIO NS FOCUSED ON PHYSICAL FITNESS. ANOTHER ONE OF THE NETTER CENTER'S OUTREACH INITIATIVES IS PENN VIPS (VOLUNTEERS IN PUBLIC SERVICE). WORK UNDERTAKEN BY THIS STAFF, FACULTY, AND ALU MNI VOLUNTEER GROUP RESULTS EACH YEAR IN: SEVEN NON-TUITION COLLEGE SCHOLARSHIPS AWARDED T O WEST PHILADELPHIA STUDENTS; THE ADOPTION OF 50-60 LOCAL FAMILIES FOR CHRISTMAS; DONATION S OF APPROXIMATELY 1,000 GIFTS AND TOYS, 100 WINTER COATS, AND 600 NON-PERISHABLE FOOD ITE MS DURING THE HOLIDAYS, AS WELL AS SCHOOL SUPPLIES FOR APPROXIMATELY 6-12 LOCAL SCHOOLS AN D ORGANIZATIONS. THROUGH PENN VIPS, PENN VOLUNTEERS ALSO MENTOR LOCAL MIDDLE SCHOOL CHILDR EN TO PROVIDE EXPOSURE TO COLLEGE CAMPUSES, EXPLORE THE IMPORTANCE OF AN EDUCATION, AND HE LP STUDENTS GAIN EXPOSURE TO A VARIETY OF CAREERS. PENN VIPS WORKS UNIVERSITY-WIDE TO SHAR E UNIVERSITY EXCESS LIKE OFFICE SUPPLIES, FURNITURE, COMPUTERS, WITH NEIGHBORHOOD GROUPS A ND LOCAL AGENCIES. HTTPS://WWW.NETTERCENTER.UPENN.EDU/PENN-VIPS THE UNIVERSITY OF PENNSYLV ANIA POLICE DEPARTMENT, IN PARTNERSHIP WITH THE PHILADELPHIA POLICE DEPARTMENT, SPONSORS T HE TUCKER POLICE ATHLETIC LEAGUE CENTER. THE POLICE ATHLETIC LEAGUE (PAL) IS A NON-PROFIT CORPORATION WHOSE MOTTO IS "COPS HELPING KIDS." THEY ASPIRE TO BE THE PREMIER YOUTH-SERVIN G ORGANIZATION IN THE CITY, BY REDUCING CRIME, PROMOTING CHARACTER DEVELOPMENT, AND IMPROV ING EDUCATIONAL OUTCOMES. THIS IS ACCOMPLISHED BY HAVING POLICE OFFICERS, SUPPORTED BY CIV ILIANS, OFFER SPORTS, DANCE, HOMEWORK HELP, CHESS AND OTHER PROGRAMS TO YOUTH IN PAL CENTE RS IN SOME OF THE CITY'S HIGHEST CRIME AND LOWEST INCOME NEIGHBORHOODS. PAL CENTERS ARE SA FE HAVENS, OFFERING A VARIETY OF PROGRAMS AND OTHER EVENTS TO ATTRACT, ENGAGE, AND DEVELOP AN ACTIVE MEMBERSHIP BASE OF MORE THAN 10,000 PHILADELPHIA YOUTH.</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>THE DIVISION OF PUBLIC SAFETY HAS A FULL-TIME UPPD OFFICER ASSIGNED TO THE TUCKER POLICE ATHLETIC LEAGUE CENTER. PAL STAFF AND PENN POLICE LEADERSHIP WORK TOGETHER REGULARLY TO FACILITATE YOUTH INVOLVEMENT WITH PENN ATHLETICS, SUCH AS ATTENDANCE AT FOOTBALL AND BASKETBALL GAMES, THE PENN RELAYS, PAL DAY AT THE PALESTRA, AND PAL DAY AT THE PENN ICE RINK, SPONSORED BY BUSINESS SERVICES. PENN STUDENTS, PARTICULARLY FROM FRATERNITIES AND SORORITIES, HAVE VOLUNTEERED THEIR TIME, IN MENTORING COMMUNITY YOUTH AT THE PAL CENTER. PENN POLICE ATTEND MONTHLY COMMUNITY MEETINGS, INCLUDING THOSE IN CEDAR PARK, GARDEN COURT, SPRUCE HILL AND WALNUT HILL NEIGHBORHOOD ASSOCIATIONS, AS WELL AS THE FIRST THURSDAY MEETINGS, HELD AT THE WALNUT STREET BRANCH OF THE FREE LIBRARY. THIS GIVES PENN POLICE THE OPPORTUNITY TO INFORM THE COMMUNITY ON RECENT CRIME TRENDS, AS WELL AS UPCOMING EVENTS, AND COLLECT FEEDBACK FROM THE COMMUNITY ON CONCERNS AND LEARN OF WAYS IN WHICH PENN POLICE CAN HELP SUPPORT THE LOCAL COMMUNITY. FOR PATIENTS AND FAMILIES ALREADY STRESSED BY ILLNESS, PENN SOUGHT TO IMPROVE THEIR EXPERIENCE AT OUR WORLD-CLASS HEALTH SYSTEM BY DEVELOPING A TRAFFIC CONTROL AID PROGRAM. THIS PROGRAM IS A COLLABORATIVE EFFORT BETWEEN THE DIVISION OF PUBLIC SAFETY, THE PENN HEALTH SYSTEM, AND ALLIED UNIVERSAL TO REDUCE TRAFFIC-RELATED ANXIETY FOR THOSE SEEKING CARE FOR MEDICAL CONDITIONS. THIS PROGRAM'S NEED AROSE DUE TO THE INCREASED CONSTRUCTION THAT IS STILL TAKING PLACE AROUND THE PENN HEALTH CARE COMPLEXES. BEFORE THIS PROGRAM'S IMPLEMENTATION, BOTH PATIENTS AND STAFF OF THE HEALTH SYSTEMS EXPERIENCED EXTREMELY LONG TRAFFIC DELAYS AND AN INCREASE IN CONFUSION AS TO HOW TO NAVIGATE TO THE APPROPRIATE HEALTHCARE FACILITY FOR AN APPOINTMENT DUE TO THE CONSTRUCTION DETOURS. THE DIVISION OF PUBLIC SAFETY AND ALLIED UNIVERSAL WORKED TOGETHER TO FIND A WAY TO SOLVE TRAFFIC CONCERNS. AFTER CONSULTING WITH PENNDOT AND THE PHILADELPHIA STREETS DEPARTMENT, PENN STAFFED CIVILIAN SECURITY OFFICERS, WITH THE APPROPRIATE TRAINING, TO HELP SUPPLEMENT THE PENN POLICE BY CONTROLLING KEY INTERSECTIONS THAT HAVE SEEN AN INCREASE IN PEDESTRIAN AND VEHICULAR TRAFFIC AROUND THE HEALTHCARE COMPLEX. ALL PROSPECTIVE OFFICERS MUST BECOME AMERICAN TRAFFIC SAFETY SERVICES ASSOCIATION (ATSSA) FLAGGER CERTIFIED, COMPLETE AN 8-HOUR TRAFFIC CONTROL COURSE WITH A TRAINING OFFICER FROM THE PHILADELPHIA POLICE ACADEMY, AND UNDERGO 16 HOURS OF ON THE JOB TRAINING (8-HOURS OF OBSERVATION AND 8-HOURS OF SUPERVISED PRACTICE). AN OVERWHELMING MAJORITY OF TRAFFIC CONTROL AIDS HAVE EITHER MILITARY OR PRIOR LAW ENFORCEMENT EXPERIENCE. THESE SECURITY OFFICERS ARE DEPLOYED AT INTERSECTIONS TO MANAGE CROSSWALKS, AND GARAGE ENTRANCES/EXITS TO HELP KEEP THE FLOW OF TRAFFIC MOVING. EACH DAY WE CURRENTLY USE 10 TCA'S AND TWO SUPERVISORS, SUPPORTING THE PENN POLICE TO KEEP DRIVERS AND PEDESTRIANS SAFE WHILE EN ROUTE TO THEIR APPOINTMENTS, AND RELIEVING TRAFFIC-RELATED STRESS FOR STAFF AND PATIENTS. ANOTHER PENN HUB</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>FOR CIVIC ENGAGEMENT AND SOCIAL JUSTICE EDUCATION, CIVIC HOUSE, OVERSEES A VARIETY OF PROGRAMS. DESPITE THE CHALLENGES POSED BY THE GLOBAL PANDEMIC, IN COLLABORATION WITH THEIR COMMUNITY PARTNERS, ALMOST ALL CIVIC HOUSE PROGRAMS HAVE BEEN ABLE TO FUNCTION REMOTELY AT NEARLY THE SAME ENGAGEMENT LEVELS AS IN OTHER YEARS. THE PENNCORP PRE-ORIENTATION PROGRAM INTRODUCES 40 INCOMING STUDENTS TO CIVIC ENGAGEMENT OPPORTUNITIES IN LOCAL COMMUNITIES. THE WEST PHILADELPHIA TUTORING PROJECT, SOME 300 PENN STUDENTS MENTOR LOCAL K-12 SCHOOL YOUTH ON AN INDIVIDUAL BASIS, AND THROUGH ITS COMMUNITY ENGAGEMENT INTERNSHIP PROGRAM, STUDENTS WORK YEAR-ROUND WITH COMMUNITY PARTNER ORGANIZATIONS ON A VARIETY OF INITIATIVES. ALL 50 STUDENTS INVOLVED IN CIVIC HOUSE'S CIVIC SCHOLARS PROGRAM VOLUNTEER WITH LOCAL NONPROFIT ORGANIZATIONS AND SCHOOLS, AND SEVERAL OF THEM PARTICIPATED IN PUBLIC INTEREST INTERNSHIPS OVER THE SUMMER. THE PENN ALTERNATIVE BREAKS PROGRAM INVOLVED TWO DOZEN STUDENTS IN VARIOUS EXPERIENCES WITH PHILADELPHIA-BASED ORGANIZATIONS. ALSO THROUGH SEVERAL DOZEN OTHER STUDENT-LED ORGANIZATIONS COMPRISED OF SOME 1,500 VOLUNTEERS, PENN STUDENTS WORK ON A RANGE OF OTHER ISSUES IN PHILADELPHIA AND THROUGHOUT THE REGION. HTTPS://WWW.VPUL.UPENN.EDU/CIVICHOUSE/PENNCORP HTTP://UPENNWP.TP.WEEBLY.COM/ HTTPS://WWW.VPUL.UPENN.EDU/CIVICHOUSE/INTERNSHIP.PHP HTTPS://CIVICHOUSE.UPENN.EDU/INDEX-2/ (CIVIC SCHOLARS) HTTPS://WWW.VPUL.UPENN.EDU/CIVICHOUSE/PENNALTERNATIVEBREAKS ESTABLISHED BY PENN, THE SCHOOL DISTRICT OF PHILADELPHIA, AND THE PHILADELPHIA FEDERATION OF TEACHERS IN 1998, THE PENN ALEXANDER SCHOOL IS ONE OF NEARLY 250 SCHOOLS IN THE SCHOOL DISTRICT OF PHILADELPHIA WHERE STUDENTS AT PENN'S GRADUATE SCHOOL OF EDUCATION (GSE) ARE TEACHING, COUNSELING, AND LEADING. THIS PRE-K TO 8TH GRADE NEIGHBORHOOD PUBLIC SCHOOL IS LOCATED IN THE MIDDLE OF A RESIDENTIAL/COMMERCIAL NEIGHBORHOOD AT THE WESTERN EDGE OF PENN'S CAMPUS, ON A SITE THAT ALSO HOUSES A PRIVATE DAYCARE AND A HEAD START CENTER. IN FY 2020 PENN CONTINUED TO SUBSIDIZE PENN ALEXANDER WITH AN OPERATING CONTRIBUTION OF \$1,330 PER STUDENT PER YEAR AMOUNTING TO OVER \$840,000 TO REDUCE CLASS SIZE AND ENHANCE THE EDUCATIONAL PROGRAM, PROVIDE CUSTOMIZED PROFESSIONAL DEVELOPMENT, MAINTAIN THE SCHOOL GROUNDS, AND PARTNER WITH THE SCHOOL IN COMMUNITY OUTREACH PROGRAMS. A NUMBER OF SCHOOLS AND DEPARTMENTS ACROSS THE UNIVERSITY ARE WORKING WITH PENN ALEXANDER TO ENRICH THE STUDENTS' EDUCATIONAL EXPERIENCES. PENN POLICE ALSO ASSIST WITH TRAFFIC CROSSINGS FOR FAMILIES AND STAFF, CONDUCT BUILDING SAFETY CHECKS, AND SAFETY PRESENTATIONS FOR THE SCHOOL. PENN PUBLIC SAFETY ALSO PARTICIPATES IN THE SCHOOL'S YEARLY EVENTS, SUCH AS THE PA 5K RUN. HTTPS://WWW.GSE.UPENN.EDU/INPHILLY/PAS/ HTTPS://WWW.GSE.UPENN.EDU/IN-PHILLY/AFFILIATED-SCHOOLS PENN'S EMPHASIS ON SERVICE AS ESSENTIAL TO ITS EDUCATIONAL MISSION IS EXEMPLIFIED BY A HOST OF SCHOOL-BASED PROGRAMS ACROSS THE UNIVERSITY, AS WELL. SELECT HIGHLIGHTS INCLUDE: - COMMUNITY SERVICE</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>LEARNING IS AN INTEGRAL PART OF THE PENN DENTAL MEDICINE'S (PDM) CURRICULUM. THROUGH PHIL ANTHROPY AND INSTITUTIONAL RESOURCES, PDM HAS INCREASED CAPACITY WITH EXISTING COMMUNITY PARTNERS - MERCY LIFE, PUENTES DE SALUD, SAYRE HEALTH CENTER - AND ADDED SPECTRUM HEALTH SERVICES AS A NEW COMMUNITY PARTNER THIS PAST YEAR. WITH AN INCREASE IN DENTAL CHAIRS, FACULTY COVERAGE, AND EXPANDED HOURS, PDM HAS GROWN PATIENT VISITS TO OVER 250 PER WEEK - A NEARLY 10-FOLD INCREASE IN CAPACITY FROM THE PREVIOUS YEAR. ADDITIONALLY, THE PENN SMILES MOBILE DENTAL PROGRAM HAS CONTINUED TO SERVE PHILADELPHIA SCHOOL DISTRICT DESPITE SCHOOLS HOLDING REMOTE VIRTUAL LEARNING THIS ACADEMIC YEAR. PDM PROVIDES MORE THAN \$3.6 MILLION IN UNDER AND UNCOMPENSATED CARE TO THE COMMUNITY. - PENN'S SCHOOL OF ARTS AND SCIENCES HOSTS PENN SUMMER ACADEMIES, SUBJECT-INTENSIVE THREE-WEEK PROGRAMS THAT COMBINE SOPHISTICATED SCIENTIFIC OR SOCIAL THEORY WITH RELEVANT APPLICATIONS. SAS CONTINUES TO HOST AND ENCOURAGE STUDENTS TO PARTICIPATE IN ACADEMICALLY-BASED COMMUNITY SERVICE COURSES (ABCS) WHICH CONNECT STUDENTS AND FACULTY WITH LOCAL SCHOOLS AND VARIOUS COMMUNITY ORGANIZATIONS. THE SCHOOL FUNDED A NEW ROUND OF MAKING A DIFFERENCE IN DIVERSE COMMUNITIES GRANTS TO SUPPORT TEAMS OF FACULTY AND STUDENTS FOR MULTIDISCIPLINARY PROJECTS COMBINING COURSEWORK, RESEARCH, AND SERVICE TO ADDRESS ISSUES OF DIVERSITY AND INEQUALITY AT THE LOCAL, NATIONAL, AND INTERNATIONAL LEVEL. - PENN NURSING'S ACADEMIC PRACTICE PARTNERSHIP INITIATIVE CONTINUES TO ADVANCE RESEARCH, EVIDENCE-BASED PRACTICE, AND SCHOLARSHIP THROUGHOUT PENN MEDICINE, THE CORPORAL MICHAEL J. CRESCENZ VA MEDICAL CENTER (CMCVAMC), AND MERCY LIFE WEST PHILADELPHIA (MLWP). PENN NURSING SUPPORTED THE 2019 PENN MEDICINE RESEARCH CONFERENCE HELD DECEMBER 2019, RESEARCH ACTIVITIES THROUGHOUT OUR ACADEMIC PARTNERS, AND WORKED TOWARD ACHIEVING MAGNET RECOGNITION PROGRAM RE-DESIGNATION FOR 4 PENN MEDICINE HOSPITALS. CONSULTATION AND MENTORSHIP WITH NURSES ON QI, EBP, AND RESEARCH REMAINS A PRIORITY FOCUS IN SUPPORT OF PENN NURSING'S AND PENN MEDICINE'S RESEARCH AND EDUCATION MISSIONS. PROGRESSIVE EFFORTS HAVE LED TO THE EXPANSION OF CLINICAL PLACEMENTS FOR PRIMARY CARE TRACKS AND ACUTE CARE THROUGHOUT OUR ACADEMIC PARTNER SITES. LASTLY, PENN NURSING LAUNCHED A RESPONSE TO ASSIST PENN MEDICINE WITH THE COVID-19 PANDEMIC IN DEVELOPING A LARGE-SCALE REDEPLOYMENT VOLUNTEER AND PAID EMPLOYEE STAFFING PLAN AND RECRUITMENT OF FACULTY AND STUDENTS TO ASSIST WITH A COVID 19 VACCINE TRIAL. PENN NURSING ALSO COLLABORATED WITH ACADEMIC PARTNERS TO FORMULATE A CLINICAL PLACEMENT REINTEGRATION PLAN FOR BSN AND MASTERS STUDENTS' CLINICAL EXPERIENCES. PENN NURSING REMAINS ACTIVELY INVOLVED IN PLANNING CENTERS FOR NURSING EXCELLENCE AT HUP AND PRINCETON MEDICAL CENTER.</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>- THE PRIMARY VEHICLE FOR STUDENTS, FACULTY, AND STAFF TO CARRY OUT THE WEITZMAN SCHOOL OF DESIGN'S PUBLIC SERVICE MISSION IS PENN PRAXIS, THE NONPROFIT CONSULTING AND APPLIED RESEARCH ARM OF THE SCHOOL. IN FISCAL YEAR 2020, PENN PRAXIS LAUNCHED THE FRESH AIR EVERYWHERE VIRTUAL DESIGN STUDIO IN COLLABORATION WITH THE FRESH AIR FUND. THIS PAST SUMMER, ELEVEN WEITZMAN GRADUATES OR ADVANCED GRADUATE STUDENTS FACILITATED A VIRTUAL DESIGN STUDIO FOR 150 FRESH AIR FUND YOUTH AGES 14-17 WHO WERE NOT ABLE TO PARTICIPATE IN A MORE TRADITIONAL SUMMER CAMP EXPERIENCE BECAUSE OF THE COVID-19 PANDEMIC. PENN PRAXIS IS ALSO WORKING WITH THE DELAWARE RIVER WATERFRONT CORPORATION (DRWC) AND A TEAM OF COMMUNITY-DRIVEN CONSULTANTS FROM ACROSS PHILADELPHIA TO SHAPE THE IDENTITY OF THE FUTURE PARK AT PENN'S LANDING, A NEW 12-ACRE CIVIC SPACE THAT WILL REPLACE THE EXISTING GRAND PLAZA AND EXTEND AS A "CAP" OVER PORTIONS OF I-95 AND COLUMBUS BOULEVARD, RECONNECTING THE CITY WITH THE DELAWARE RIVER. TWO OTHER PRAXIS PROJECTS HIGHLIGHT THE WEITZMAN SCHOOL'S COMMUNITY-ORIENTED WORK AND PARTNERSHIPS WITH LOCAL GOVERNMENT AND NONPROFIT INSTITUTIONS: THIS YEAR, PENN PRAXIS WORKED WITH THE CITY OF PHILADELPHIA'S OFFICE OF HOUSING & COMMUNITY DEVELOPMENT AS PART OF THE MULTI-CITY HOUSING INSECURITY STUDY WITHIN THE HOUSING INITIATIVE AT PENN (HIP). THE GOAL OF THE COVID-19 HOUSING RESPONSE COLLABORATIVE WAS TO LEVERAGE THE EXPERTISE WITHIN THE WEITZMAN SCHOOL OF DESIGN TO PROVIDE DEEP TECHNICAL ASSISTANCE TO CITIES TO ADVANCE EFFECTIVE LOCAL POLICIES AMIDST THE PANDEMIC AND THEREAFTER, INCLUDING DEVELOPING RENT RELIEF PROGRAMS FOR LOW-INCOME HOUSEHOLDS EXPERIENCING COVID-RELATED INCOME LOSS IN SIX CITIES INCLUDING PHILADELPHIA. PRAXIS AND THE WEITZMAN SCHOOL'S CENTER FOR ARCHITECTURAL CONSERVATION ARE ALSO DEVELOPING A CONSERVATION MANAGEMENT PLAN (CMP) FOR THE PENNSYLVANIA HOSPITAL, A DESIGNATED NATIONAL HISTORIC LANDMARK FOUNDED IN 1751 THAT IS LOCATED IN PHILADELPHIA'S CENTER CITY NEIGHBORHOOD. WEITZMAN'S RESEARCH CENTERS ARE OTHER IMPORTANT DRIVERS OF POSITIVE SOCIAL CHANGE IN COMMUNITIES ACROSS THE GLOBE. IN FY 20, THE KLEINMAN CENTER FOR ENERGY POLICY CONTINUED TO BE A LEADING VOICE ON ENERGY POLICY ISSUES, HOSTING A SERIES OF EVENTS, INVITING VISITING SCHOLARS, PUBLISHING ACTION ORIENTED REPORTS, AND PROVIDING RESEARCH FUNDING SUPPORT FOR A RANGE OF PROJECTS. THE IAN L. MCHARG CENTER, AN INTERDISCIPLINARY THINK TANK OF ARCHITECTS, LANDSCAPE ARCHITECTS, PLANNERS, JOURNALISTS, SCIENTISTS, AND POLICYMAKERS DEDICATED TO DEVELOPING PRACTICAL, INNOVATIVE WAYS OF IMPROVING THE QUALITY OF LIFE IN THE PLACES MOST VULNERABLE TO THE EFFECTS OF CLIMATE CHANGE, CONTINUED RESEARCH FOCUSED ON THE GREEN NEW DEAL, BIODIVERSITY, AND COMPUTATION. THIS YEAR, THE SCHOOL LAUNCHED ITS NEWEST RESEARCH CENTER, THE CENTER FOR THE PRESERVATION OF CIVIL RIGHTS SITES (CPCRS), TO ADVANCE THE UNDERSTANDING AND SUSTAINABLE CONSERVATION OF HERITAGE SITES RELATING TO AFRICAN AMERICAN STRUGGLES FOR EQUAL</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>ITY, FROM BEFORE THE PASSAGE OF THE 14TH AMENDMENT TO THE PRESENT DAY. ADDITIONALLY, THE WEITZMAN SCHOOL'S INTERNATIONAL TRAVEL AND DESIGN STUDIOS REGULARLY FOCUS ON ADDRESSING THE MAJOR CHALLENGES OF THE BUILT AND NATURAL ENVIRONMENTS AND THE COMMUNITIES THAT INHABIT THEM. IN THE PAST YEAR, WEITZMAN DESIGN STUDIOS FOCUSED ON ENSURING EQUITABLE NEIGHBORHOOD CHANGE IN THE FACE OF GENTRIFICATION, FOOD INSECURITY, EXPANSION OF AFFORDABLE HOUSING, INCREASING ACCESS TO TRANSPORTATION, AND ENVIRONMENTAL JUSTICE. - PENN'S GRADUATE SCHOOL OF EDUCATION'S (PENNGSE'S) IMPACT IN THE PHILADELPHIA REGION IS LARGE AND GROWING. THE SCHOOL'S INTERACTIVE AND EVOLVING "HEAT MAP" CONTINUES TO EXPAND, REFLECTING NEARLY 1,200 ACTIVITIES IN 322 SCHOOLS IN PHILADELPHIA OVER THE PAST FIVE YEARS. PENN GSE'S MASTER'S AND DOCTORAL STUDENTS PARTICIPATE IN TRAINING PARTNERSHIPS IN MORE THAN 90 CITY SCHOOLS, AND PROGRAMS LIKE THE PENN LITERACY NETWORK PROVIDE PROFESSIONAL DEVELOPMENT TO THOUSANDS OF EDUCATORS ACROSS THE REGION AND COUNTRY. GSE'S PROFESSIONAL NETWORKS EXPAND THE SCHOOL'S IMPACT NATIONALLY AND GLOBALLY. THE MID CAREER DOCTORAL PROGRAM PREPARES SUPERINTENDENTS AND EDUCATION LEADERS AROUND THE COUNTRY, AND THE HIGHER EDUCATION EXECUTIVE DOCTORATE HAS PRODUCED MORE THAN 50 COLLEGE PRESIDENTS. GSE ALSO OFFERS THE INDEPENDENT SCHOOL TEACHING RESIDENT CY MASTER'S DEGREE, AN ONLINE AND "ON LOCATION" DEGREE PROGRAM AT SOME OF THE NATION'S MOST PRESTIGIOUS BOARDING SCHOOLS. BEYOND PHILADELPHIA AND THE NATION, GLOBAL WORK CONTINUES IN A VARIETY OF AREAS RANGING FROM EDUCATIONAL DEVELOPMENT TO TEACHING ENGLISH TO ASSISTING IN THE DEVELOPMENT OF HIGHER EDUCATION INFRASTRUCTURE IN OTHER NATIONS. - PENN ENGINEERING'S ADVANCING WOMEN IN ENGINEERING (AWE) PROGRAM IS DEDICATED TO RECRUITING, RETAINING, AND PROMOTING WOMEN IN PENN ENGINEERING. AWE AIMS TO DEVELOP AND SUPPORT INITIATIVES TO INCREASE THE NUMBER OF WOMEN INTERESTED IN STUDYING ENGINEERING, ENHANCE THE OVERALL ACADEMIC EXPERIENCE OF FEMALE STUDENTS, AND CREATE AND SUPPORT SOCIAL AND NETWORKING OPPORTUNITIES. THIS YEAR, SEAS AGAIN HOSTED FEMMEHACKS, A HACKATHON WITH THE INTENT TO INSPIRE, TEACH, AND EMPOWER WOMEN IN THE PHILADELPHIA TECH COMMUNITY AND TO CREATE AN ECOSYSTEM OF WOMEN IN TECHNOLOGY FIELDS. THE FIFE-PENN CS ACADEMY CODING CLUB FOR GIRLS AND BOYS LED BY COMPUTER SCIENCE UNDERGRADUATES IN PENN ENGINEERING RAN FREE AFTER-SCHOOL PROGRAMMING IN 7 PHILADELPHIA PUBLIC SCHOOLS FOR GRADES K-8. THE CLUB LEADERS ARE HELPING TO BREAK DOWN BARRIERS IN EDUCATION AND INSPIRE YOUNG STUDENTS TO CONSIDER COMPUTER SCIENCE CAREERS. ACCESS ENGINEERING, A STUDENT-RUN ENGINEERING ENRICHMENT PROGRAM FOR TALENTED PHILADELPHIA HIGH SCHOOL STUDENTS, COLLABORATES WITH THE PENN LIBRARIES TO ENGAGE A DIVERSE GROUP OF STUDENTS IN A FAST-PACED YEAR LONG WEEKEND PROGRAM WHERE THEY EXPLORE VARIOUS ENGINEERING DISCIPLINES. SINCE 2014, ACCESS ENGINEERING HAS WORKED WITH OVER 250 LOCAL HIGH SCHOOL STUDENTS TO NURTURE THEIR INTEREST IN STEM AND</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>D DEVELOP THE PHILADELPHIA PUBLIC SCHOOLS' PIPELINE OF FUTURE ENGINEERS. - THE WHARTON GLOBAL YOUTH PROGRAM PUBLISHES KNOWLEDGE@WHARTON HIGH SCHOOL A FREE ONLINE BUSINESS JOURNAL FOR HIGH SCHOOL STUDENTS, INCLUDING 5 NEW ARTICLES A MONTH DURING THE SCHOOL-YEAR. THE WEBSITE ALSO INCLUDES OVER 500 FREE LESSON PLANS FOR TEACHERS THAT ARE ALIGNED TO NATIONAL STANDARDS FOR TEACHING BUSINESS. THE SITE WELCOMES OVER 15,000 UNIQUE VISITORS EACH YEAR. THE UNDERGRADUATE DIVISION ALSO CONTINUES TO OFFER STEP (SUCCESSFUL TRANSITION AND EMPOWERMENT PROGRAM) TO SUPPORT STUDENTS FROM UNDERREPRESENTED AND UNDER RESOURCED BACKGROUNDS AS THEY ACCLIMATE TO THE WHARTON ENVIRONMENT AND COLLEGE LIFE. THE SCHOOL OF SOCIAL POLICY AND PRACTICE (SSPP): - CENTER FOR CARCERAL COMMUNITIES (CCC): > THE CENTER FOR CARCERAL COMMUNITIES (CCC), AN INITIATIVE OF THE UNIVERSITY OF PENNSYLVANIA SCHOOL OF SOCIAL POLICY & PRACTICE, IS A COLLECTIVE OF SERVICE PROVIDERS AND PEOPLE WITH A HISTORY OF INCARCERATION.</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>> CCC FOCUSES ON REDUCING RECIDIVISM, IMPROVING MENTAL HEALTH, AND FACILITATING COMMUNITY RE-ENGAGEMENT AND COLLABORATES WITH WEST PHILADELPHIA NEIGHBORHOODS TO HELP PEOPLE WHO HAVE BEEN RELEASED FROM INCARCERATION. THE CENTER PROVIDES FREE, EVIDENCE-BASED PSYCHOSOCIAL SERVICES THAT ALSO ADDRESS EDUCATION, HOUSING, ADVOCACY AND PRIMARY CARE CHALLENGES. > CCC SEEKS TO REVERSE THE COMMUNITY-TO-PRISON PIPELINE BY HELPING PARTICIPANTS HARNESS THEIR STRENGTHS AND BECOME LEADERS IN THE COMMUNITY. - GOLDRING REENTRY INITIATIVE (GRI) > THE GOLDRING REENTRY INITIATIVE (GRI) WAS ESTABLISHED IN 2011 TO ADDRESS THE EPIDEMIC OF MASS INCARCERATION AND THE MANY BARRIERS INDIVIDUALS INCARCERATED IN THE PHILADELPHIA DEPARTMENT OF PRISONS (PDP) FACE UPON RELEASE. BY EQUIPPING MASTERS OF SOCIAL WORK (MSW) STUDENTS WITH THE KNOWLEDGE AND SKILLS NECESSARY TO WORK IN THE CRIMINAL JUSTICE FIELD, THE GRI UNIQUELY ADDRESSES THE BARRIERS TO SUCCESSFUL REENTRY. BECAUSE OF ITS PERSON-CENTERED AND HOLISTIC APPROACH, THE SOCIAL WORK PROFESSION IS ESPECIALLY WELL EQUIPPED TO ADDRESS THE INTERWOVEN ISSUES FACED BY INDIVIDUALS WHO ARE CURRENTLY OR FORMERLY INCARCERATED. - FIELD CENTER FOR CHILDREN'S POLICY, PRACTICE & RESEARCH > FOSTER CARE TO COLLEGE (FC2C) -SUPPORT TO NUMEROUS COLLEGES AND UNIVERSITIES ACROSS PENNSYLVANIA IN THE DEVELOPMENT AND OPERATION OF CAMPUS-BASED SUPPORT PROGRAMS FOR FOSTER YOUTH, FACILITATES STATEWIDE NETWORK TO INCREASE THE NUMBER OF STUDENTS FROM FOSTER CARE WHO ATTEND AND COMPLETE COLLEGE, PUBLISHES QUARTERLY FC2C NEWSLETTER. - PIPELINE FOR PROMISE > THE PIPELINE FOR PROMISE PROGRAM OFFERS A FREE SUMMER COURSE AT SP2 TO COMMUNITY COLLEGE STUDENTS, INTRODUCING THEM TO THE FOUNDATIONS OF SOCIAL WORK, ITS ETHICS AND ITS VARIOUS FIELDS OF PRACTICE. THE PROGRAM AIMS TO TEACH STUDENTS THAT HIGHER EDUCATION - AND EVEN A GRADUATE PROGRAM AT PENN - ARE POSSIBILITIES FOR THEM, REGARDLESS OF THEIR BACKGROUNDS. - PENN VET IS COMMITTED TO COMMUNITY ENGAGEMENT AND OUTREACH THROUGH A ROBUST SHELTER MEDICINE PROGRAM THAT PARTNERS WITH SHELTERS IN THE REGION TO PROVIDE CONSULTATIVE AND CLINICAL CARE. WORKING WITH THE NETTER CENTER AND PETS FOR LIFE, PENN VET AND THE SHELTER MEDICINE PROGRAM ARE ALSO ENGAGED IN FREE AND LOW COST VACCINATION AND SPAY/NEUTER PROGRAMS AND DELIVER PIPELINE PROGRAMMING TO PUBLIC SCHOOL STUDENTS IN WEST PHILADELPHIA. WHILE THE PANDEMIC HAS SHIFTED MUCH OF THIS OUTREACH ONLINE, WHEN NORMAL ACTIVITY RESUMES OUTREACH AND COMMUNITY SERVICES WILL EXPAND THROUGH THE NEW SHELTER MEDICINE MOBILE UNIT. HTTPS://WWW.VET.UPENN.EDU/VETERINARY-HOSPITALS/RYAN-VETERINARY-HOSPITAL/SERVICES/PET-SUPPORT-SERVICES PENN CAREY LAW SCHOOL WALK-IN LEGAL ASSISTANCE IN THE FACE OF THE MANY CHALLENGES COVID-19 HAS BROUGHT, THE NEED FOR PRO BONO SERVICE HAS GROWN. ONE STRONG EXAMPLE OF THE WAYS PENN CAREY LAW'S PRO BONO PROJECTS HAVE BEEN NIMBLE IN RESPONSE TO THE PANDEMIC IS FOUND IN THE WALK-IN LEGAL ASSISTANCE PROJECT (WILA). IN PARTNERSHIP WITH THE UNIVERSITY CIT</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>Y HOSPITALITY COALITION (UCHC), WILA PROVIDES CIVIL LEGAL SERVICES TO PEOPLE WHO ARE EXPERIENCING HOMELESSNESS OR ARE HOUSING INSECURE. BECAUSE WILA'S CLIENTS ARE TRANSIENT, WILA VOLUNTEERS HAVE TYPICALLY MET WITH THEIR CLIENTS AT WEEKLY MEAL PROGRAMS INSIDE CHURCHES AND COMMUNITY CENTERS - THIS WAY THE CLIENTS DO NOT HAVE THE ADDITIONAL BURDEN OF FINDING TRANSPORTATION TO AN OFFICE OR ACCESSING A COMPUTER AT A PUBLIC LIBRARY. BECAUSE WILA'S INDOOR SERVICE MODEL WOULD NOT BE THE SAFEST MODEL WHEN NAVIGATING COVID-19, PENN CAREY LAW'S WILA VOLUNTEERS ALONG WITH SUPERVISING ATTORNEY, MICHAEL LIPUMA (C'91, L'94) ADAPTED TO CONTINUE TO SERVE THE WEST PHILADELPHIA COMMUNITY BY OFFERING OUTDOOR LEGAL AID TO ENSURE SOCIAL DISTANCING REQUIREMENTS WERE MET AND EXCEEDED. "THE KINDS OF SERVICES WE PROVIDE ARE VERY DIFFICULT OR IMPOSSIBLE TO OFFER REMOTELY, SO THE WILA TEAM HAS HAD TO THINK IMAGINATIVELY ABOUT HOW TO CONTINUE MEETING THE NEEDS OF OUR CLIENTS IN A SAFE AND HEALTHY MANNER," CHAD KEIZER, WILA'S CURRENT STUDENT PROJECT SHARES. SOME INNOVATIVE SOLUTIONS THAT HAVE HELPED WILA TO CONTINUE SERVING CLIENTS DURING THE PANDEMIC HAVE INCLUDED PARTNERING WITH OTHER ORGANIZATIONS THAT PROVIDE OUTDOOR AID AND USING LANTERNS DURING THE EVENING HOURS. THE WILA TEAM CONTINUES TO SERVE WEST PHILADELPHIA ON A WEEKLY BASIS. PA 30 DAY FUND THE PA 30 DAY FUND IS A NOT-FOR-PROFIT, VOLUNTEER EFFORT TO PROVIDE QUICK AND FORGIVABLE LOANS TO PENNSYLVANIA SMALL BUSINESSES EXPERIENCING FINANCIAL DISTRESS. THE FUND, WHICH WAS STARTED IN THE SPRING OF 2020 IN RESPONSE TO THE FIRST WAVE OF PANDEMIC SHUTDOWNS, HAS BEEN IN CREDIBLY SUCCESSFUL IN PROVIDING CRITICAL FINANCIAL SUPPORT TO LOCAL SMALL BUSINESS OWNERS. THE APPLICATION AND EVALUATION PROCESS, WHICH WAS CRUCIAL FOR THE FUND TO PROVIDE RESOURCES WHERE THEY WERE NEEDED AND COULD HAVE THE MOST IMPACT, WAS DESIGNED, BUILT AND MANAGED BY A LARGE GROUP OF PENN CAREY LAW STUDENTS. GROUP OF PENN CAREY LAW STUDENTS. CLOSE TO 40 STUDENTS REVIEWED OVER 2,000 APPLICATIONS AND THE FUND HAS ISSUED GRANTS TO OVER 650 SMALL BUSINESSES, MAINTAINING ABOUT 4,000 JOBS. "THE PA 30 DAY FUND HAS HELPED SMALL BUSINESS OWNERS ACROSS PENNSYLVANIA KEEP THE LIGHTS ON AND PRESERVE THOUSANDS OF JOBS. NONE OF THIS WORK WOULD BE POSSIBLE WITHOUT THE COMPASSIONATE AND DEDICATED WORK OF THE PENN STUDENT COORDINATORS AND VOLUNTEERS WHO HAVE BEEN A PART OF THE TEAM FROM THE VERY FIRST DAY" SAID JEFF BARTOS, WHO CO-SUPERVISES THE FUND ALONG WITH PROFESSOR MICHAEL KNOLL, UNIVERSITY OF PENNSYLVANIA CAREY LAW SCHOOL AND PROFESSOR OF REAL ESTATE, THE WHARTON SCHOOL. UNEMPLOYMENT COMPENSATION NEARLY 50 PENN CAREY LAW STUDENTS PARTNERED WITH PHILADELPHIA LEGAL ASSISTANCE'S (PLA) UNEMPLOYMENT HOTLINE DURING THE SPRING AND SUMMER. STUDENTS PARTICIPATED IN THE HOTLINE TO ASSIST CALLERS IN APPLYING FOR BENEFITS OR HELP IDENTIFY ISSUES IN THE DELAY IN RECEIVING BENEFITS. PHILADELPHIA LEGAL ASSISTANCE STAFF ATTORNEY RACHEL MILLER, L'12 SUPERVISED PENN CAREY LAW STUDENTS</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>NTS, SAYING "WE WERE BOMBARDED WITH PEOPLE NEEDING ASSISTANCE AND COULD NOT HAVE REACHED N EARLY AS MANY PEOPLE AS WE DID HAD IT NOT BEEN FOR OUR PENN LAW VOLUNTEERS". THROUGH THE H OTLINE, VOLUNTEERS USED THEIR INTERNET ACCESS TO FILE UNEMPLOYMENT APPLICATIONS FOR THE NE WLY LAID-OFF. OFTEN, UNEMPLOYED PEOPLE ARE LIMITED TO FILING THEIR APPLICATIONS FROM COMPU TERS AT PA CAREERLINK LOCATIONS, PUBLIC LIBRARIES OR RELATIVES' HOMES, MILLER SAID. ALL OF THESE LOCATIONS HAD LIMITED OR NO ACCESS AS A RESULT OF THE PANDEMIC. PENN CAREY LAW STUD ENTS LOGGED OVER 600 HOURS TO ASSIST CLOSE TO 700 CLIENTS IN FILING FOR BENEFITS.</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>- THE ANNENBERG SCHOOL FOR COMMUNICATION PRODUCES AND DISSEMINATES CUTTING-EDGE RESEARCH DESIGNED TO ADVANCE THE PUBLIC'S UNDERSTANDING AND EFFECTIVE USE OF COMMUNICATION, AND POLICY-MAKERS' ABILITY TO CREATE A MEDIA ENVIRONMENT THAT FOSTERS THE PERSONAL AND COLLECTIVE DEVELOPMENT OF ITS CITIZENS. A ROBUST SET OF FACULTY-LED RESEARCH CENTERS AND SCHOOL-BASED INITIATIVES DRIVE ENGAGEMENT ACROSS A BROAD SWATH OF TOPICS. HIGHLIGHTS INCLUDE: THE COLLABORATION BETWEEN THE COMMUNICATION NEUROSCIENCE LAB AND THE NETTER CENTER TO TEST THE EFFICACY OF EMAIL MESSAGES CONTAINING ELECTION INFORMATION IN ENCOURAGING CIVIC ENGAGEMENT, AND SCREENED TWEETS FOR THEIR VOTER ENGAGEMENT ANALYSIS; THE ANNENBERG PUBLIC POLICY CENTER CONTINUED TO PROVIDE A CRITICAL SERVICE IN FACTCHECK.ORG AND ITS SUBSIDIARY SCICHECK, MONITORING THE FACTUAL ACCURACY OF WHAT IS SAID BY MAJOR US POLITICAL PLAYERS, THIS YEAR WITH A FOCUS ON THE PRESIDENTIAL ELECTION AND COVID-19; THE ANNENBERG CONVERSATIONS ON RACE LECTURE SERIES FOSTERS DISCOURSE AND INSPIRES ENGAGEMENT WITHIN AND BEYOND THE ANNENBERG SCHOOL AND THE FIELD OF COMMUNICATION BY PROVIDING SPACE TO GRAPPLE WITH THE MYRIAD TENSIONS AND INTERSECTIONS OF COMMUNICATION THEMES AND RACIAL FORMATIONS. THE PENN LIBRARIES PROVIDES A VARIETY OF RESOURCES TO SUPPORT TEACHING AND LEARNING IN THE PHILADELPHIA COMMUNITY. IN SPRING 2020 THE PENN LIBRARIES COMPLETED THE DIGITIZATION OF MORE THAN 2,500 ITEMS FROM THE COLLECTION OF MARIAN ANDERSON, A PHILADELPHIA NATIVE AND ONE OF THE MOST CELEBRATED SINGERS OF THE TWENTIETH CENTURY. PENN LIBRARIES STAFF CREATED A RESEARCH PORTAL OFFERING FREE PUBLIC ONLINE ACCESS TO DIGITIZED SCRAPBOOKS, NOTEBOOKS, DIARIES, CONCERT PROGRAMS, PHOTOGRAPHS, RECORDINGS, AND INTERVIEW TRANSCRIPTS. THE PENN LIBRARIES' COMMUNITY ENGAGEMENT PROGRAM EMPLOYS 16 PART-TIME UNIVERSITY OF PENNSYLVANIA STUDENT WORKERS IN ONGOING WORK TO REVITALIZE PUBLIC SCHOOL LIBRARIES IN PHILADELPHIA. COMMUNITY ENGAGEMENT FUNDS THE FOLLETT DESTINY CATALOG SYSTEM FOR 21 SCHOOLS IN THE SCHOOL DISTRICT OF PHILADELPHIA (17 ELEMENTARY & 4 HIGH SCHOOLS). CATALOGING WORK IS DONE IN PARTNERSHIP WITH VOLUNTEERS FROM THE WEST PHILADELPHIA ALLIANCE FOR CHILDREN (WEPAC). THE GROUP ALSO ADVOCATES FOR THE PRESENCE OF LIBRARIANS IN PUBLIC SCHOOLS AND IS ACTIVELY DEVELOPING NEW PROJECTS TO SUPPORT ADULT LITERACY AND LIFELONG LEARNING, EXPAND DEFINITIONS OF LITERACY AND LITERACY ENGAGEMENT, CREATE AVENUES OF STORYTELLING BETWEEN THE UNIVERSITY AND COMMUNITY, BUILD STUDENTS' HOME LIBRARIES, AND INCORPORATE PERSPECTIVES FROM CREATIVE PROFESSIONALS INTO THE LIBRARIES' ONGOING WORK IN PUBLIC SCHOOLS. BEGINNING IN FALL 2020, ALL K-12 SCHOOLS IN THE SCHOOL DISTRICT OF PHILADELPHIA WERE GIVEN ACCESS TO DIGITAL PRIMARY SOURCES HOSTED BY ADAM MATTHEW DIGITAL AT NO COST TO THE SCHOOLS THEMSELVES. THE AGREEMENT ALLOWS TEACHERS ACROSS ALL AGE RANGES AND SUBJECT AREAS TO INTEGRATE PRIMARY SOURCES INTO THEIR STUDENTS' COURSE OF STUDY. THIS ARRANGEMENT WAS CHAMPIONED BY</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>Y THE PENN LIBRARIES AS PART OF A LARGER ACCESS DEAL BETWEEN ADAM MATTHEW DIGITAL AND THE PENNSYLVANIA ACADEMIC LIBRARY CONSORTIUM INC. (PALCI), A MEMBERSHIP ORGANIZATION THAT BUIL DS ACCESS TO SHARED LIBRARY COLLECTIONS AND RESOURCES THROUGH COLLABORATION AMONG ACADEMIC AND RESEARCH LIBRARIES IN PENNSYLVANIA AND NEIGHBORING STATES. PENN'S CULTURAL INSTITUTIO NS ALSO SERVE THE COMMUNITY THROUGH THEIR WIDE-RANGING ENRICHMENT AND EDUCATION INITIATIVE S. THE UNIVERSITY OF PENNSYLVANIA MUSEUM OF ARCHAEOLOGY AND ANTHROPOLOGY, IN PARTNERSHIP W ITH THE SCHOOL DISTRICT OF PHILADELPHIA, IS ENTERING ITS EIGHTH YEAR OF UNPACKING THE PAST , A GROUNDBREAKING PROGRAM THAT OFFERS FREE LESSONS TO MIDDLE SCHOOL STUDENTS IN PHILADELP HIA'S PUBLIC AND TITLE I CHARTER SCHOOLS. THE PROGRAM CENTERS ON MIDDLE SCHOOL ANCIENT CUL TURES CURRICULUM AND HIGHLIGHTS THE MUSEUM'S IMPRESSIVE EGYPTIAN, ROMAN, MIDDLE EASTERN, A ND CHINESE COLLECTIONS. THIS YEAR'S ATTENDANCE OF 5,765 CHILDREN, INCLUDING MANY WITH SPEC IAL NEEDS. THE PROGRAM INCLUDES TEACHER PROFESSIONAL DEVELOPMENT SO THE MUSEUM CAN "TRAIN THE TRAINER" AS WELL AS BETTER IDENTIFY TEACHERS' CLASSROOM NEEDS. THE INTERNATIONAL CLASS ROOM PROGRAM, PROVIDING INTERCULTURAL SKILLS TO K-12 AUDIENCES. CONTINUES TO OFFER INCREAS ED FREE SERVICE FOR TITLE I ELEMENTARY AND HIGH SCHOOLS THROUGH SPECIAL GRANTS, IN STRONG PARTNERSHIP WITH OTHER CULTURAL CENTERS ON CAMPUS. AS THE MUSEUM CLOSED FOR THE PANDEMIC, ALL EDUCATORS WERE TRAINED TO TEACH VIRTUALLY, THANKS TO THE KNOWLEDGE OF OUR OUTREACH PRO GRAMS MANAGER. PROGRAMS NORMALLY DELIVERED ONSITE WERE ABLE TO BE CONVERTED INTO A VIRTUAL FORMAT THAT WAS STILL INTERACTIVE. THE MUSEUM'S VIRTUAL PROGRAMS NOW SERVE WELL OVER 6,00 0 STUDENTS AND TEACHERS REGIONALLY, NATIONALLY, AND INTERNATIONALLY, AS FAR AWAY AS AUSTRA LIA, MEXICO, CANADA, AND IRAQ; THE NUMBER CONTINUES TO GROW DURING THE PANDEMIC, AS ALL LE SONS ARE VIRTUAL. VIRTUAL PROGRAMS PROVIDE MUSEUM-THEMED LESSONS IN CLASSROOMS THROUGH VI DEO CONFERENCING AND PROMOTE GREATER UNDERSTANDING OF WORLD CULTURES. IN ADDITION, VIRTUAL PROGRAMS OFFER ACCESS TO PEOPLE AND ORGANIZATIONS WHO CANNOT PHYSICALLY VISIT THE PENN MU SEUM. OUR LESSONS HAVE FURTHER EXTENDED TO LIVE-STREAMING AND RECORDED MINI-LESSONS SHARED THROU GH THE MUSEUM'S SOCIAL MEDIA CHANNELS. THE MUSEUM CONTINUES TO SUPPORT COLLEGE-READY , RESEARCH, AND PUBLIC SPEAKING SKILLS FOR DIVERSE PHILADELPHIA HIGH SCHOOL STUDENTS THROU GH TEEN AMBASSADORS AND TEEN INTERNSHIPS, NOW ALL PROVIDING PAYMENT FOR THE PARTICIPANTS' TIME AND TALENTS. PENN'S ANNENBERG CENTER FOR THE PERFORMING ARTS SERVES PENN AND THE WEST PHILADELPHIA COMMUNITY THROUGH SPECIAL CAMPUS-BASED AND COMMUNITY-FOCUSED TICKETING PROGR AMS, ALLOWING PENN FIRST PLUS STUDENTS AND LOWER-INCOME RESIDENTS TO ATTEND WORLD-CLASS PE RFORMANCES AT A FRACTION OF THEIR COST. THE CENTER HAS CONTINUED ITS LONG TRADITION OF HOS TING STUDENT AND COMMUNITY-ORIENTED EVENTS, CONVOCATIONS, COMMENCEMENTS, PERFORMANCES, AND LECTURES. IT ALSO DELIVERS HI</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>GH QUALITY CHILDREN'S PROGRAMMING WITH THE OLDEST CONTINUALLY RUNNING CHILDREN'S FESTIVAL IN THE NATION AND THROUGH THE CRITICALLY ACCLAIMED STUDENT DISCOVERY SERIES. IN TOTAL, ACP A SERVES OVER 10,000 CHILDREN IN THE PHILADELPHIA METROPOLITAN AREA PER SEASON, OFTEN PROVIDING THEIR ONLY ACCESS TO THE PERFORMING ARTS. UNDERSCORING THE PRIORITY PENN PLACES ON PUBLIC SERVICE, PENN PRESIDENT AMY GUTMANN ESTABLISHED THE PRESIDENT'S ENGAGEMENT PRIZES IN 2015 TO SUPPORT PENN SENIORS AS THEY DESIGN AND UNDERTAKE PUBLIC SERVICE PROJECTS DURING THEIR FIRST POST-GRADUATION YEAR. AWARD WINNERS HAVE ALREADY BEGUN WORKING TO MAKE POSITIVE CHANGE IN PHILADELPHIA.</p> <p>HTTP://WWW.PENNPEP.UPENN.EDU/</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>THE OFFICE OF GOVERNMENT AND COMMUNITY RELATIONS BUILDS AND STRENGTHENS RELATIONSHIPS WITH GOVERNMENT AND CIVIC PARTNERS TO ACHIEVE MEANINGFUL QUALITY-OF-LIFE IMPROVEMENTS IN PHILADELPHIA NEIGHBORHOODS; THE OFFICE OF AFFIRMATIVE ACTION AND EQUAL OPPORTUNITY PROGRAMS CO- SPONSORS THE PHILADELPHIA MINORITY ENTERPRISE DEVELOPMENT WEEK CONFERENCE, WHICH PROVIDES CRITICAL INFORMATION TO FOSTER THE GROWTH AND COMPETITIVENESS OF THE MINORITY BUSINESS COMMUNITY; AND WXPB PRODUCES CHOIR CONCERTS IN LOCAL CHURCHES THROUGHOUT PHILADELPHIA. IN ADDITION TO THE UNIVERSITY'S NUMEROUS INITIATIVES, PENN MEDICINE IS STRONGLY COMMITTED TO IMPROVING LIVES AND HEALTH THROUGH A NUMBER OF COMMUNITY-BASED PROGRAMS AND ACTIVITIES. THESE INCLUDE: THE PENN MEDICINE CARES PROGRAM AWARDS GRANTS UP TO \$2,000 PER PROJECT TO COMMUNITY-BASED PROGRAMS ON BEHALF OF EMPLOYEES AND STUDENTS WHO VOLUNTEER THEIR TIME AND EFFORT AT THE INITIATIVES. BY FUNDING THESE PROGRAMS OUR EMPLOYEES AND STUDENTS CARE ABOUT DEEPLY, PENN MEDICINE CARES DOLLARS HELPED SUPPLY HEALTH SERVICES, FOOD, MEDICINE, CLOTHING, EMPLOYMENT SERVICES, AND PLACES TO LIVE FOR THOUSANDS OF UNDERSERVED PHILADELPHIANS EVERY YEAR. SINCE THE FIRST ROUND OF RECIPIENTS WAS ANNOUNCED IN JANUARY 2012, PENN MEDICINE HAS SUPPORTED OVER 660 SERVICE PROJECTS. HTTP://WWW.PENNMEDICINE.ORG/HEALTH-SYSTEM/ABOUT/COMMUNITY/ - COVID-19 RESPONSE: PUBLIC EDUCATION HAS BEEN A FUNDAMENTAL PRIORITY OF PENN MEDICINE'S MISSION IN REGARDS TO COMMUNITY HEALTH. THE FIRST HALF OF FY20, OUR INSTITUTION PROVIDED HEALTH-RELATED INFORMATION TO PRINT, ELECTRONIC, AND INTERNET MEDIA FOR BROAD PUBLIC DISSEMINATION. IN ADDITION, PENN MEDICINE PARTICIPATED IN EDUCATIONAL PROGRAMS IN AREA HIGH SCHOOLS THAT FAMILIARIZED AND PREPARED YOUNG PEOPLE FOR CAREERS IN THE HEALTH CARE ARENA. PENN PHYSICIANS, PHARMACISTS, NURSES, RESIDENTS, STAFF, AND MEDICAL STUDENTS SHARED THEIR EXPERTISE AT FREE COMMUNITY EVENTS AND HEALTH FAIRS YEARLY. EXAMPLES INCLUDE: VISION, GLUCOSE, BLOOD PRESSURE, ORAL CANCER, SKIN CANCER, AND KIDNEY DISEASE SCREENINGS; CPR TRAINING; HYPERTENSION SCREENINGS, AWARENESS, AND EDUCATION AT LOW-INCOME HOUSING LOCATIONS; WELLNESS EDUCATION AND ACTIVITY AT LOCAL SENIOR HOMES; MANDARIN, TAIWANESE, AND CHINESE -LANGUAGE PRESENTATIONS AT COMMUNITY EVENTS; HIV/AIDS AWARENESS EDUCATION AND TESTING; AND STOP THE BLEED EDUCATION. WITH THE ONSET OF COVID IN MARCH AND THE ENSUING SHUTDOWN OF COMMUNITY CONGREGATION, THE INSTITUTION TURNED ITS FOCUS TO FIGHTING THIS DISEASE. A COVID-19 HOTLINE WAS SET UP TO TAKE INQUIRIES FROM ALL COMERS - PATIENTS, CAREGIVERS, CONCERNED FAMILY MEMBERS, EVEN DOCTORS AND NURSES - AND DIRECT THEM TO THE HELP THEY NEEDED. FROM MARCH THROUGH DECEMBER OF 2020, MORE THAN 400,000 COVID HOTLINE CALLS WERE ANSWERED; MORE THAN 310,000 COVID TESTS WERE ADMINISTERED FOR PATIENTS AND COMMUNITY MEMBERS; MORE THAN 6,800 INPATIENTS WERE TREATED FOR COVID; 100+ LABS PIVOTED TO COVID-19 RESEARCH; AND 150+ NON-CLINICAL TRIAL RESEARCH BEGAN. IN AD</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>DITION, KNOWING THAT COVID PUT MANY OF OUR PATIENTS AND IMMEDIATE COMMUNITY MEMBERS IN PRECARIOUS POSITIONS, THE CENTER FOR HEALTH EQUITY AND ADVANCEMENT IN PARTNERSHIP WITH THE DEPARTMENT OF CASE MANAGEMENT AND SOCIAL WORK CREATED THE SOCIAL NEEDS RESPONSE TEAM. LAUNCHING IN APRIL AND ACCESSIBLE BY A TOLL FREE NUMBER, THE TEAM ASSISTS PEOPLE EXPERIENCING DISTRESS, HAVING SAFETY CONCERNS FOR THEMSELVES OR OTHERS, OR SEEKING IMMEDIATE SOCIAL NEEDS SUCH AS WHERE TO FIND COMMUNITY RESOURCES FOR HOUSING, TRANSPORTATION, OR FOOD SECURITY.</p> <p>HTTP://WWW.UPHS.UPENN.EDU/NEWS/ - THE PERELMAN SCHOOL OF MEDICINE'S EDUCATION PIPELINE PROGRAM - AIMS TO PROVIDE MENTORSHIP AND EDUCATION AT ALL LEVELS: HIGH SCHOOL STUDENTS ARE TAUGHT BY UNDERGRADUATES AND GRADUATE STUDENTS; UNDERGRADUATES LEARN FROM GRADUATE STUDENTS; AND GRADUATE STUDENTS ARE GUIDED BY PHYSICIANS. THE PROGRAM EXPOSES HIGH SCHOOL STUDENTS TO THE IMPORTANCE OF POST-SECONDARY EDUCATION ALONG WITH AN INTRODUCTION TO THE VARIETY OF CAREERS IN MEDICINE AND HEALTH CARE. FURTHER, THE PIPELINE PROGRAM PROVIDES A VALUABLE MEANS FOR COLLEGE STUDENTS, MEDICAL TRAINEES, PHYSICIANS-IN-TRAINING AND FACULTY AT THE UNIVERSITY OF PENNSYLVANIA TO CONTRIBUTE MEANINGFULLY TO THEIR SURROUNDING COMMUNITY DESPITE WELL-INTENTIONED PROVIDERS AND ADVANCEMENTS IN MEDICINE, HEALTH AND HEALTHCARE DISPARITIES PERSIST TODAY. WHILE DISPARITIES ARE OFTEN VIEWED THROUGH THE LENS OF RACE AND ETHNICITY, THEY CAN OCCUR ACROSS MANY DIMENSIONS INCLUDING SOCIOECONOMIC STATUS, AGE, GEOGRAPHY (NEIGHBORHOOD), GENDER IDENTITY, SEXUAL ORIENTATION, DISABILITY STATUS, RELIGIOUS AFFILIATION, PRIMARY LANGUAGE, AND/OR MENTAL HEALTH STATUS. TO ENSURE THAT PENN MEDICINE WILL CONTINUE TO GROW AND INVEST IN THIS PRIORITY AREA, THE CENTER FOR HEALTH EQUITY ADVANCEMENT (CHEA) WAS FOUNDED. CHEA IS THE CORNERSTONE FOR ADVANCING HIGH QUALITY PATIENT/FAMILY-CENTERED CARE FOR ALL, REGARDLESS OF THEIR PERSONAL CHARACTERISTICS, SUPPORTS COMMUNITY PARTNERSHIPS TO TACKLE BARRIERS TO ACHIEVING OPTIMAL HEALTH FOR ALL COMMUNITIES WE SERVE, AND AIMS TO PROVIDE EQUITABLE HEALTHCARE WITHIN INCLUSIVE ENVIRONMENTS THAT SUPPORT A DIVERSE WORKFORCE AND STUDENT BODY. IN ORDER TO BUILD SUPPORT FOR AND ALIGN MUTUALLY REINFORCING INITIATIVES ACROSS THE ENTERPRISE, PENN MEDICINE INCORPORATES ITS CENTER FOR HEALTH EQUITY ADVANCEMENT BLUEPRINT FOR EQUITY AND INCLUSION WITHIN THE FOLLOWING INITIATIVE AREAS: - CARE TRANSFORMATION CHEA WILL ESTABLISH SYSTEM-WIDE STRUCTURES FOR ROUTINE MEASUREMENT AND REPORTING OF QUALITY AND PATIENT EXPERIENCE METRICS BY PERSONAL CHARACTERISTICS AS WELL AS LEVERAGE EXISTING QUALITY AND PATIENT EXPERIENCE IMPROVEMENT INFRASTRUCTURE TO ADDRESS IDENTIFIED DIFFERENCES IN CARE BY PERSONAL CHARACTERISTICS. - COMMUNITY ENGAGEMENT CHEA WILL BUILD COMMUNITY PARTNERSHIPS TO ADDRESS IDENTIFIED SOCIAL NEEDS AT BOTH THE PATIENT- AND POPULATION-LEVEL AND TIE COMMUNITY FACING INITIATIVES TO CARE TRANSFORMATION GOALS AND IMPROVING HEALTH OUTCOMES. - WORKFOR</p>

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FORM 990, PART III, LINE 4A (CONT.)	<p>CE CHEA WILL CONTINUE TO DRIVE UNDERSTANDING OF INCLUSIVE BEHAVIORS THROUGH ALIGNMENT WITH CURRENT HR INITIATIVES AND POLICIES AND SKILLS TRAINING AND EDUCATION ON INCLUSIVE LEADER SHIP. - RESEARCH AND EVALUATION CHEA WILL SUPPORT EVALUATION AND RELATED SCHOLARSHIP OF AL L ACTIVITIES DESCRIBED ABOVE AND FURTHER, BUILD RESEARCH AND DEVELOPMENT CAPACITY TO ADVAN CE THE SCIENCE OF HEALTH EQUITY AND INCLUSION. - EDUCATION AND TRAINING CHEA TRAINS HUNDRE DS OF CLINICIANS ANNUALLY AND OFFERS A VARIETY OF WORKSHOPS. CHEA DEVELOPS AND DEPLOYS MES SAGING CAMPAIGNS ON HEALTH EQUITY AND INCLUSION (HE&I) CONCEPTS AND ITS ALIGNMENT WITH HEA LTH SYSTEM OPERATIONAL GOALS AND INVEST IN RELATED WORKFORCE CAPACITY BUILDING AND SKILLS TRAINING. TRAINING TOPICS INCLUDE: FOUNDATIONS OF UNCONSCIOUS BIAS; BEYOND BIAS: ADVANCING DIVERSITY AND INCLUSION; UNCONSCIOUS BIAS FOR LEADERS: IMPACT ON DECISION MAKING; IMPACT OF UNCONSCIOUS BIAS: FACULTY SEARCH AND SELECTION PROCESS; VIRTUAL FOUNDATIONS OF UNCONSCI OUS BIAS. -----</p>

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FORM 990, PART III, LINE 4B	<p>STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS- HEALTHCARE COMPONENT IV. PATIENT CARE THE HOSPITAL OF THE UNIVERSITY OF PENNSYLVANIA ("HUP"), THE FLAGSHIP OF THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM'S SIX HOSPITALS, WAS ESTABLISHED IN 1874 AS A TEACHING HOSPITAL TO COMPLEMENT THE MEDICAL EDUCATION RECEIVED BY STUDENTS AT THE UNIVERSITY OF PENNSYLVANIA'S MEDICAL SCHOOL, THE PERELMAN SCHOOL OF MEDICINE. IT HAS 28 BASIC AND CLINICAL DEPARTMENTS. MAJOR AREAS OF INVESTIGATION INCLUDE HEART DISEASE, CANCER, AND DISEASES OF AGING INCLUDING ALZHEIMER'S DISEASE, WOMEN'S HEALTH, DIABETES AND OBESITY. THE HEALTH SYSTEM, WITH 2,941 LICENSED HOSPITAL BEDS, IS A VALUED HEALTH-CARE RESOURCE, ESPECIALLY TO PEOPLE RESIDING IN THE GREATER PHILADELPHIA AREA, LANCASTER COUNTY, PENNSYLVANIA, AND CENTRAL AND SOUTHERN NEW JERSEY. DURING THE COURSE OF A YEAR, IT ADMITS APPROXIMATELY 129,000 PATIENTS AND ACCOUNTS FOR OVER 5.6 MILLION OUTPATIENT VISITS, MORE THAN 337,000 EMERGENCY ROOM VISITS AND MORE THAN 19,200 BIRTHS. HUP IS THE ONLY HOSPITAL IN THIS AREA THAT PERFORMS TRANSPLANTS OF ALL MAJOR ORGANS. PENN MEDICINE'S LEVEL 1 TRAUMA CENTER, WHICH OPERATES AROUND THE CLOCK TO CARE FOR PATIENTS WHO'VE BEEN CRITICALLY INJURED IN CAR ACCIDENTS, FALLS, AND THROUGH BLUNT AND PENETRATING TRAUMAS, LOCATED AT PENN PRESBYTERIAN MEDICAL CENTER CARES FOR MORE THAN 2,100 PATIENTS ANNUALLY, SEVERAL HUNDRED OF WHOM ARE TRANSFERRED FROM OTHER HOSPITALS VIA THE FLEET OF PENNSTAR MEDICAL HELICOPTERS AND GROUND AMBULANCES. BOTH HUP AND PENN PRESBYTERIAN MEDICAL CENTER ALSO CARE FOR A LARGE NUMBER OF PATIENTS WHO ARE TRANSFERRED HERE WITH TIME-SENSITIVE CARDIAC AND SURGICAL EMERGENCIES. IN KEEPING WITH ITS CHARITABLE PURPOSE, THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM (UPHS) (WHICH INCLUDES THE HUP AND CLINICAL PRACTICES OF THE UNIVERSITY OF PENNSYLVANIA (CPUP) DIVISIONS OF THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA), AS WELL AS CERTAIN AFFILIATES, ACCEPTS PATIENTS IN SERIOUS NEED OF MEDICAL CARE REGARDLESS OF THEIR FINANCIAL STATUS. THIS DEFINITION INCLUDES THOSE PATIENTS SUFFERING FROM A MEDICAL CONDITION MANIFESTING ITSELF BY ACUTE SYMPTOMS OF SUFFICIENT SEVERITY (INCLUDING SEVERE PAIN) SUCH THAT THE ABSENCE OF IMMEDIATE MEDICAL ATTENTION COULD REASONABLY BE EXPECTED TO RESULT IN (1) PLACING THE HEALTH OF THE INDIVIDUAL (OR, WITH RESPECT TO A PREGNANT WOMAN, THE HEALTH OF THE WOMAN OR HER UNBORN CHILD) IN SERIOUS JEOPARDY, OR (2) SERIOUS IMPAIRMENT TO BODILY FUNCTIONS. UPHS ALSO PROVIDES CARE TO PATIENTS WHO DO NOT HAVE HEALTH INSURANCE OR MEET THE CRITERIA TO QUALIFY FOR ITS CHARITY CARE POLICY, AND CERTAIN AMOUNTS CHARGED FOR SUCH SERVICES ARE DEEMED TO BE UNCOLLECTIBLE. UPHS MAINTAINS RECORDS TO IDENTIFY AND MONITOR THE LEVEL OF CHARITY CARE THEY PROVIDE. THESE RECORDS INCLUDE THE AMOUNT OF PAYMENT FORGONE, BASED ON ESTABLISHED RATES, FOR SERVICES AND SUPPLIES FURNISHED UNDER ITS CHARITY CARE POLICY. HTTP://WWW.PENNMEDICINE.ORG/HEALTH-SYSTEM/ABOUT/ORGANIZATION/POLICIES/FINANCIAL</p>

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FORM 990, PART III, LINE 4B	<p>CIAL-ASSISTANCE.HTML UPHS IS A VITAL SAFETY-NET PROVIDER CARING FOR SOME OF OUR COMMUNITY' S MOST VULNERABLE PATIENTS. IN FY 2020, UPHS PROVIDED \$300.7 MILLION IN CHARITY AND UNDERFUNDED CARE FOR MEDICAID FAMILIES. IN ADDITION TO PROVIDING DIRECT PATIENT CHARITY CARE, IN FURTHERANCE OF ITS EXEMPT PURPOSE TO BENEFIT THE COMMUNITY, THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM, IN COLLABORATION WITH OTHER, REGIONAL HEALTH SYSTEMS, COMPLETED ITS THIRD COMMUNITY HEALTH NEEDS ASSESSMENT (CHNA) TO IDENTIFY THE MOST PRESSING HEALTH NEEDS IN THE SERVICE AREA AND DETERMINE HOW BEST TO ADDRESS THOSE NEEDS. IN 2019, PENNSYLVANIA HOSPITAL, PENN PRESBYTERIAN MEDICAL CENTER, AND THE HOSPITAL OF THE UNIVERSITY OF PENNSYLVANIA CONDUCTED A JOINT CHNA DUE TO THEIR SIMILAR SERVICE AREAS. CHESTER COUNTY HOSPITAL AND LANCASTER GENERAL HOSPITAL CONDUCTED CHNAs FOR THEIR RESPECTIVE COMMUNITIES. PRINCETON MEDICAL CENTER'S CHNA WAS COMPLETED IN 2018. THROUGH QUANTITATIVE AND QUALITATIVE RESEARCH, ALONG WITH FEEDBACK FROM THE COMMUNITY AND KEY STAKEHOLDERS INCLUDING CLINICAL LEADERSHIP, HOSPITAL PATIENT ADVISORY GROUPS, EMPLOYEES, AND OTHERS, THE HEALTH NEEDS OF THE RESPECTIVE HOSPITALS' COMMUNITIES WERE ASSESSED AND STRATEGIC IMPLEMENTATION PLANS WERE DEVELOPED. CHESTER COUNTY HOSPITAL CHNA AND IMPLEMENTATION PLAN LINK: HTTPS://WWW.CHESTERCOUNTYHOSPITAL.ORG/ABOUT/COMMUNITY-HEALTH-NEEDS-ASSESSMENT. LANCASTER GENERAL HOSPITAL CHNA AND IMPLEMENTATION PLAN LINK: HTTPS://WWW.LANCASTERGENERALHEALTH.ORG/ABOUT-LANCASTER-GENERAL-HEALTH/CARING-FOR-OUR-COMMUNITY/NEEDS-ASSESSMENT-AND-IMPROVEMENT-PLAN. PRINCETON MEDICAL CENTER CHNA AND IMPLEMENTATION PLAN LINK: HTTPS://WWW.PRINCETONHCS.ORG/-/MEDIA/FILES/COMMUNITY-WELLNESS/PMPH-2018-CHNA-REPORT.PDF?LA=EN. HOSPITAL OF THE UNIVERSITY OF PENNSYLVANIA, PENNSYLVANIA HOSPITAL, AND PENN PRESBYTERIAN MEDICAL CENTER CHNA AND IMPLEMENTATION PLAN LINK: HTTPS://WWW.PENNMEDICINE.ORG/ABOUT/SERVING-OUR-COMMUNITY/REPORTS. THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM OPERATES EMERGENCY ROOMS OPEN TO THE PUBLIC 24 HOURS A DAY, 7 DAYS A WEEK; MAINTAINS RESEARCH FACILITIES FOR THE STUDY OF DISEASE AND INJURIES; PROVIDES FACILITIES FOR TEACHING AND TRAINING VARIOUS STUDENTS AND MEDICAL PERSONNEL; FACILITATES THE ADVANCEMENT OF MEDICAL AND SURGICAL EDUCATION; AND PROVIDES VARIOUS COMMUNITY SERVICES SUCH AS PROVIDING BASIC MEDICAL CARE FOR THE HOMELESS, TREATING OF CHRONIC DISEASE FOR LOW-INCOME RESIDENTS, AND PROVIDING WOMEN'S HEALTH SERVICES TO UNINSURED AND LOW-INCOME WOMEN OF ALL AGES; SCREENINGS FOR THE DETECTION OF BREAST, COLORECTAL, AND SKIN CANCER, CANCER SUPPORT GROUPS, A TOLL FREE NUMBER FOR CANCER INFORMATION, FREE IMMUNIZATION SHOTS, TRAINING PROGRAMS FOR THE CITY FIRE AND POLICE DEPARTMENTS, HEALTH EDUCATION CLASSES AND SPEECHES.</p> <p>HTTPS://WWW.PENNMEDICINE.ORG/ABOUT/SERVING-OUR-COMMUNITY/REPORTS BELOW ARE SOME EXPANDED PROGRAM DESCRIPTIONS FOR JUST A SMALL NUMBER OF THE MANY COMMUNITY CARE INITIATIVES UNDERWAY AT PENN MEDICINE:</p>

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FORM 990, PART III, LINE 4B (CONT.)	<p>- COMMUNITY MEDICINE ROTATION: DEPARTMENT OF FAMILY MEDICINE AND COMMUNITY HEALTH'S (DFMCH) COMMUNITY MEDICINE ROTATION FOR FAMILY MEDICINE (FM) RESIDENTS: THE DFMCH TRAINING PROGRAM STRESSES COMMUNITY SERVICE AND COMMUNITY ENGAGEMENT. DFMCH BELIEVES THAT COMMUNITY-BASED EXPERIENCES ARE CRITICAL IN THE DEVELOPMENT OF FUTURE PHYSICIANS WHO RECOGNIZE THE POWERFUL EFFECTS THAT ENVIRONMENT AND SOCIOECONOMIC STATUS HAVE ON HEALTH QUALITY. THE DFMCH'S TRAINING PROGRAM IS A MODEL FOR DEVELOPING THE NEXT GENERATION OF PRIMARY CARE PROVIDERS TRAINED TO MITIGATE HEALTH DISPARITIES AND TO SERVE AS ADVOCATES FOR THE COMMUNITY. RESIDENTS ROTATE THROUGH A SERIES OF COMMUNITY PARTNERSHIPS PROVIDING CONTINUITY IN SERVICE FOR THREE COMMUNITY-BASED SERVICE AGENCIES (UNITED COMMUNITY CLINICS, UNITY HEALTH CLINIC, AND PREVENTION POINT PHILADELPHIA). FOR UNITED COMMUNITY CLINICS (UCC), FM RESIDENTS PARTICIPATE EVERY WEEK, SUPERVISING MEDICAL STUDENTS AT THIS FREE HEALTH CLINIC COORDINATED BY UNIVERSITY OF PENNSYLVANIA STUDENTS FROM THE SCHOOLS OF MEDICINE, NURSING, AND SOCIAL WORK. DFMCH FM RESIDENTS ARE THE ONLY PEDIATRIC (AND ADULT) PROVIDERS WHO SUPERVISE THE MEDICAL STUDENTS AT UCC. DFMCH FM RESIDENTS ALSO HAVE LEADERSHIP ROLES LONGITUDINALLY IN UCC'S HYPERTENSION CLINIC, PROVIDING CONTINUITY CARE FOR A COHORT OF UCC PATIENTS WITH HYPERTENSION. THE UNITY HEALTH CLINIC IS A FREE CLINIC THAT PRIMARILY SERVES UNINSURED INDONESIAN IMMIGRANTS OF CHINESE DESCENT. THIS UNDERSERVED POPULATION STRUGGLES WITH HEALTH DISPARITIES, INCLUDING INCREASED RATES OF WORK-RELATED INJURIES, SMOKING, DIABETES, AND GASTRO-INTESTINAL CANCERS. UNITY HEALTH CLINIC PARTNERS WITH THE UNIVERSITY OF PENNSYLVANIA'S DEPARTMENT OF FAMILY MEDICINE AND COMMUNITY HEALTH TO PROVIDE FREE ACUTE, CHRONIC, AND PREVENTIVE MEDICAL CARE TO THIS HIGH-RISK AND UNDERSERVED POPULATION. UNITY IS STAFFED BY PENN MEDICINE FACULTY, FAMILY MEDICINE RESIDENTS, AND MEDICAL STUDENTS FROM THE ASIAN AND PACIFIC AMERICAN MEDICAL STUDENT ASSOCIATION. LEARNERS HAVE THE OPPORTUNITY TO EXPERIENCE A ONE-OF-A-KIND OPPORTUNITY TO WORK HAND IN HAND WITH MEDICAL TRANSLATORS; DISCOVER HOW DIFFERENT CULTURAL BACKGROUNDS AFFECT PATIENT PERCEPTIONS OF HEALTH AND MEDICINE; AND SERVE A MOTIVATED AND UNDERSERVED COMMUNITY, OFTEN OVERLOOKED IN PUBLIC HEALTH INITIATIVES. UNITY PROVIDED VISITS AND IMMUNIZATIONS THROUGHOUT THE YEAR, INCLUDING THE FLU, TDAP AND THE TWINRIX. DFMCH FM RESIDENTS ALSO PROVIDE CONTINUITY SUPPORT FOR PREVENTION POINT PHILADELPHIA (PPP). THE MISSION OF PPP IS TO REDUCE THE HARM ASSOCIATED WITH SUBSTANCE USE AND SEX INDUSTRY WORK BY PROMOTING HEALTH, EMPOWERMENT AND SAFETY WHILE ADVOCATING FOR HUMANE PUBLIC POLICIES AND PROGRAMS. DFMCH FM FACULTY CONTRIBUTE TO THE SUPERVISION OF RESIDENTS PROVIDING CLINICAL SUPPORT FOR PPP HARM REDUCTION PROGRAMS THROUGH THEIR NEEDLE-EXCHANGE PROGRAM AND THE STREET SIDE HEALTH PROJECT (SHP). THE SHP PARTNERS WITH THE UNIVERSITY OF PENNSYLVANIA'S DFMCH TO PROVIDE FREE ACUTE, CHRONIC,</p>

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FORM 990, PART III, LINE 4B (CONT.)	<p>AND PREVENTIVE MEDICAL CARE TO CLIENTS OF ALL BACKGROUNDS. THE SHP HAS A SPECIAL FOCUS ON LINKING PEOPLE TO MEDICAL INSURANCE, PRIMARY CARE, AND SPECIALTY CARE (PARTICULARLY CARE FOR HIV AND HCV). ADDITIONAL PROGRAMMING FOR DFMCH FM RESIDENTS INCLUDE SUPPORT FOR HEALTH PROMOTION WORK IN THREE WEST-PHILADELPHIA ELEMENTARY SCHOOLS; THE WALK-WITH-A-DOC PROGRAM AT THE SAYRE HEALTH CENTER; DFMCH HOME VISITATION AND HIGH-COST, HIGH-NEED PATIENT PROGRAMMING, ROTATION WITH TWO COLLABORATING FEDERALLY QUALIFIED HEALTH CENTERS (FQHCs) AS WELL AS A COHORT OF RESIDENTS WHO PROVIDE PRENATAL CARE AT A THIRD FQHC; DFMCH'S LESBIAN, GAY, B I-SEXUAL, AND TRANSGENDER (LGBT) CARE PROGRAMMING. DFMCH FACULTY DIRECT A POPULAR ELECTIVE FOR MEDICAL STUDENTS (FM326) AND RESIDENTS IN OTHER DEPARTMENTS SEEKING ADDITIONAL OPPORTUNITIES TO TRAIN AND DEVELOP COMMUNITY-MEDICINE-RELATED SKILLS WHERE LEARNERS ARE PAIRED WITH DFMCH FM RESIDENTS ROTATING ON THEIR COMMUNITY MEDICINE ROTATION. HTTPS://WWW.PENNMEDICINE.ORG/DEPARTMENTS-AND-CENTERS/FAMILY-MEDICINE-AND-COMMUNITY-HEALTH/COMMUNITY-INITIATIVES/RESIDENCY-COMMUNITY-INITIATIVES - THE LUDMIR CENTER FOR WOMEN'S HEALTH (LCWH) IS AN AMBULATORY HEALTHCARE FACILITY THAT SPECIALIZES IN THE PROVISION OF OBSTETRICAL, GYNECOLOGIC AND REPRODUCTIVE HEALTH SERVICES. LCWH PROVIDES QUALITY MEDICAL CARE TO ALL PATIENTS, REGARDLESS OF THEIR ABILITY TO PAY. MOST PATIENTS HAVE LOW TO MODERATE INCOMES. AS A COMMUNITY BASED PRACTICE, LCWH OFFERS MORE THAN TRADITIONAL MEDICAL SERVICES. LCWH EMPLOYEES A FULL-TIME SOCIAL WORKER WHO PROVIDES PSYCHOSOCIAL SUPPORT SERVICES. ADDITIONALLY, LCWH ADDRESSES SOME OF THE DIVERSE NEEDS OF ITS PATIENTS AND THEIR PARTNERS THROUGH THE FOLLOWING SERVICES AND PROGRAMS: - LATINA COMMUNITY HEALTH SERVICES (LCHS) IS AN INNOVATIVE PROGRAM THAT OFFERS PRENATAL AND GYNECOLOGIC SERVICES TO WOMEN WHO ARE UNABLE TO OBTAIN MEDICAL INSURANCE. IT IS FUNDED BY GRANTS AND CONTRIBUTIONS AND STAFFED BY PHYSICIANS, A NURSE PRACTITIONER, MEDICAL ASSISTANT AND PATIENT SERVICES COORDINATOR FROM PENN MEDICINE MEDICAL GROUP OF THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM. LCHS ALSO PARTNERS WITH OTHER ENTITIES SUCH AS PENNSYLVANIA HOSPITAL'S DIABETES EDUCATION CENTER AND WOMEN'S SERVICES DEPARTMENT AS WELL AS COMMUNITY ORGANIZATIONS INCLUDING CASA DEL CARMEN AND HEALTH PROMOTIONS COUNCIL. THESE SERVICES INCLUDE INDIVIDUAL DIABETIC TEACHING, INFANT FEEDING CLASSES, FAMILY SERVICES AND HEALTHCARE NAVIGATION ASSISTANCE. - CHILDBIRTH EDUCATION CLASSES ARE TAUGHT BY LCWH REGISTERED NURSES WHO HAVE ALSO EARNED CHILDBIRTH EDUCATOR CERTIFICATION. THE CLASSES COVER SUCH TOPICS AS: WHAT TO EXPECT FROM AND HOW TO RECOGNIZE LABOR, RELAXATION TECHNIQUES AND MEDICAL OPTIONS FOR PAIN RELIEF IN LABOR, THE IMPORTANCE OF POST-PARTUM CARE, AND EARLY INFANT CARE/DEVELOPMENT. - MALE PARTNERS SERVICES: THE MALE PARTNERS OF LCWH FEMALE PATIENTS WHO TESTED POSITIVE FOR A SEXUALLY TRANSMITTED INFECTION ARE ABLE TO RECEIVE TREATMENT THROUGH THIS SENSITIVE AND CON</p>

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Return Reference	Explanation
FORM 990, PART III, LINE 4B (CONT.)	<p>FIDENTIAL SERVICE. - BREAST AND CERVICAL CANCER EARLY DETECTION PROGRAM IS A PENNSYLVANIA STATE-FUNDED PROGRAM THAT PROVIDES FREE CERVICAL CANCER AND BREAST SCREENINGS (INCLUDING P ELVIC EXAMINATIONS, CLINICAL BREAST EXAMINATIONS, AND PAP SMEAR TESTING AND DIAGNOSTIC SER VICES) TO UNINSURED WOMEN BETWEEN THE AGES 21-64. - TOBACCO SMOKING CESSATION IS OFFERED I N THE EFFORT TO DECREASE TOBACCO SMOKING RATES AMONG PREGNANT WOMEN AND MOTHERS. EACH LCWH NURSE IS CERTIFIED BY THE HEALTH FEDERATION OF PHILADELPHIA IN PARTNERSHIP WITH THE PHILA DELPHIA DEPARTMENT OF HEALTH TO PROVIDE COUNSELING ON SMOKING CESSATION AND REDUCTION I N THE EXPOSURE TO ENVIRONMENTAL SMOKE. - DICKENS CENTER FOR WOMEN'S HEALTH: THE HELEN O. DICKENS CENTER FOR WOMEN IN THE DEPARTMENT OF OBSTETRICS AND GYNECOLOGY IS COMMITTED TO PROVIDING PERSONALIZED CARE TO WOMEN OF ALL AGES, FULFILLING PENN MEDICINE'S PHILOSOPHY OF SERVING T HE COMMUNITY. DR. DICKENS WAS THE FIRST FEMALE AFRICAN AMERICAN DOCTOR TO BECOME BOARD CER TIFIED IN OBSTETRICS AND GYNECOLOGY IN PHILADELPHIA AS WELL AS THE FIRST TO BE NAMED A FEL LOW OF THE AMERICAN COLLEGE OF SURGEONS. SHE WORKED TO EDUCATE YOUNG WOMEN ABOUT THEIR REP RODUCTIVE HEALTH IN ORDER TO REDUCE THE INCIDENCE OF TEEN PREGNANCY AND SEXUALLY TRANSMITT ED DISEASES. THE DICKENS CENTER SERVES MAINLY MEDICARE, MEDICAID AND UNINSURED PATIENTS. P ENN MEDICINE OFFERS UNCOMPENSATED AND UNDERCOMPENSATED CARE FOR THOSE WHO QUALIFY, BASED O N FINANCIAL COUNSELING. THE CENTER OFFERS PRENATAL CARE, GYNECOLOGY AND COLPOSCOPY SERVICE S. THE CENTER HAS ESTABLISHED WORKING RELATIONSHIPS AND PROGRAMS WITH CITY AND COMMUNITY A GENCIES, STATE-FUNDED PROGRAMS AND MANAGED CARE ORGANIZATIONS, TO ENSURE THAT WOMEN HAVE A CCESS TO THE SERVICES THAT FIT THEIR EDUCATIONAL, FINANCIAL AND PSYCHOSOCIAL NEEDS. THE CE NTER ALSO PROVIDES CARE AT THE PHILADELPHIA DEPARTMENT OF PUBLIC HEALTH'S HEALTH CENTER 3 AND OFFERS CONSULTATIONS AND CARE FOR WOMEN WITH COMPLEX MEDICAL AND OBSTETRIC CONDITIONS OR FETAL ANOMALIES. THE CENTER'S INTEGRATED HIGH-RISK PROGRAM COMBINES CARE COORDINATORS, PHYSICIANS AND NURSE PRACTITIONERS ALLOWING US TO PROVIDE A UNIQUE LEVEL OF CONTINUITY FOR OUR PATIENTS. - THE HEART SAFE MOTHERHOOD PROGRAM: FOR WOMEN THAT HAVE BEEN DIAGNOSED WIT H PREECLAMPSIA DURING THEIR PREGNANCY, CLINICAL RECOMMENDATIONS ENCOURAGE NEW MOMS TO SCHE DULE A FOLLOW-UP VISIT WITH THEIR DOCTOR WITHIN A WEEK OF DELIVERY TO HAVE THEIR BLOOD PRE SSURE CHECKED. FOR MANY WOMEN, COMING TO THE OFFICE AFTER HAVING A NEWBORN IS DIFFICULT FO R A VARIETY OF REASONS. THIS PROGRAM IS A FIRST-OF-ITS-KIND TEXT-MESSAGE BASED PROGRAM THA T MAKES POSTPARTUM BLOOD PRESSURE MONITORING MORE CONVENIENT FOR WOMEN DIAGNOSED WITH PREE CLAMPSIA AND OTHER BLOOD PRESSURE DISORDERS DURING PREGNANCY AND PROMOTES COMMUNICATION WI TH THEIR CARE TEAM WITHOUT VISITING A DOCTOR'S OFFICE.</p>

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Return Reference	Explanation
FORM 990, PART III, LINE 4B (CONT.)	<p>- PUENTES DE SALUD/BRIDGES OF HEALTH, A NONPROFIT ORGANIZATION VOLUNTARILY STAFFED BY PENN MEDICINE DOCTORS, NURSES, AND MEDICAL STUDENTS, PROVIDES LOW-COST PRIMARY CARE TO UNDOCUMENTED AND UNINSURED LATINO IMMIGRANTS. IT WAS ESTABLISHED IN 2002 BY A PENN EMERGENCY MEDICINE PHYSICIAN WHO OVERSEES THE PROGRAM ON A VOLUNTEER BASIS. PUENTES HAS GROWN TO INCLUDE SERVICES BY STUDENTS FROM PENN'S SCHOOLS OF SOCIAL POLICY & PRACTICE, LAW, AND DENTAL MEDICINE -- AS WELL AS STUDENTS FROM OTHER AREA UNIVERSITIES AND HOSPITALS. TRAINED PROMOTORA S DE SALUD/HEALTH PROMOTERS FROM THE COMMUNITY ESCORT PATIENTS TO THEIR VISITS AND ENSURE COMPLIANCE WITH THEIR HEALTH CARE MANAGEMENT PLANS. MORE RECENTLY, THE ORGANIZATION EXPANDED TO INCLUDE PUENTES HACIA EL FUTURO, AN AFTER-SCHOOL PROGRAM FOR ELEMENTARY SCHOOL STUDENTS, WHICH NOW INCLUDES MORE THAN 100 VOLUNTEER TUTORS FROM PENN AND AREA COLLEGES. HTTP://WWW.PUENTESDESALUD.ORG/ - THE PENN ASIAN HEALTH INITIATIVES (PAHI) IS STAFFED BY PENN MEDICINE FACULTY, FAMILY MEDICINE RESIDENTS, AND MEDICAL STUDENTS FROM THE ASIAN AND PACIFIC AMERICAN MEDICAL STUDENT ASSOCIATION. PAHI IS BASED IN THE DEPARTMENT OF FAMILY MEDICINE AND COMMUNITY HEALTH. IT PROVIDES PRIMARY HEALTH CARE SERVICES, INCLUDING TESTING, TREATMENT, AND EDUCATION, TO LOW-INCOME ASIAN IMMIGRANTS, MOSTLY NON-ENGLISH SPEAKING INDONESIAN AND VIETNAMESE PATIENTS. THE PROGRAM'S ASIAN PHYSICIANS ALSO MENTOR PENN'S ASIAN MEDICAL STUDENTS, UNDERGRADUATES, AND PUBLIC-HEALTH GRADUATE STUDENTS. - PENN MEDICINE CENTER FOR COMMUNITY HEALTH WORKERS IMPACT PROGRAM: IMPACT IS A STANDARDIZED, SCALABLE COMMUNITY HEALTH WORKER (CHW) PROGRAM IN WHICH PENN MEDICINE HIRES, TRAINS AND DEPLOYS TRUSTED LAYPEOPLE FROM LOCAL COMMUNITIES TO HELP PATIENTS ADDRESS THE SOCIAL DETERMINANTS OF HEALTH, INCLUDING ACCESSING BEHAVIORAL HEALTH, ADDRESSING SUBSTANCE ABUSE, CONNECTING TO HEALTHY FOOD, HOUSING, TRANSPORTATION, AND ENGAGING IN CHRONIC DISEASE PREVENTION. THE PROGRAM HAS BEEN DELIVERED TO NEARLY 10,000 HIGH-RISK PATIENTS AND PROVEN IN THREE RANDOMIZED CONTROLLED TRIALS TO IMPROVE CHRONIC DISEASE CONTROL, MENTAL HEALTH AND QUALITY OF CARE WHILE REDUCING TOTAL HOSPITAL DAYS BY 65%. IN ADDITION, CHWS FACILITATE MEET UP GROUPS FOR COMMUNITY MEMBERS WHICH INCLUDE THINGS LIKE COOKING DEMONSTRATIONS, FINANCIAL PLANNING, BEREAVEMENT SUPPORT ETC. (PATIENTS CHOOSE THE TOPICS, CHWS ARRANGE GUEST SPEAKERS AND FACILITATE). IMPACT ALSO SUPPORTS THE VETERAN COMMUNITY THROUGH CREATING COMMUNITY GARDENS, CONNECTING THEM TO EDISON HIGH SCHOOL TO DO MENTORING, BOWLING EVENTS, ETC. MORE THAN 1,000 ORGANIZATIONS HAVE ACCESSED OUR CHW TOOLKIT AND WE PROVIDE TECHNICAL ASSISTANCE TO HELP ORGANIZATIONS AROUND THE COUNTRY CREATE, LAUNCH AND SUSTAIN EFFECTIVE CHW PROGRAMS. LINK: HTTP://CHW.UPENN.EDU/IMPACT - CUT HYPERTENSION, FOUNDED IN 2010 AND OPERATED BY PENN MEDICAL STUDENTS, PROVIDES ONSITE BLOOD PRESSURE SCREENINGS AT WEST PHILADELPHIA BARBERSHOPS. THE MEDICAL STUDENTS ENCOURAGE THIS PARTICULAR COMMUNITY</p>

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Return Reference	Explanation
FORM 990, PART III, LINE 4B (CONT.)	<p> NITY TO SEEK SUSTAINED HEALTHCARE AND PROVIDE INFORMATION ON ACCESSING LOCAL PHYSICIANS. T HEY ALSO DISPENSE PROVEN RECOMMENDATIONS FOR LOWERING BLOOD PRESSURE AND SEEK TO INFORM PE OPLE OF MEDICAL MISCONCEPTIONS. A KEY TO THE PROGRAM'S SUCCESS IS THAT THE SCREENINGS OCCU R IN FRIENDLY, COMMUNITY ENVIRONMENTS BEARING NO RESEMBLANCE TO A DOCTOR'S OFFICE, WHERE P ATIENTS OFTEN ARE AFRAID TO SPEAK CANDIDLY OR ASK QUESTIONS. THE PROGRAM'S BIGGEST SUPPORT ERS ARE THE BARBERSHOP OWNERS AND THEIR EMPLOYEES WHO NOW VIEW CUT HYPERTENSION AS AN INTE GRAL PART OF THEIR SERVICE TO THEIR CUSTOMERS. HTTP://WWW.MED.UPENN.EDU/DIVERSITYUME/STUDE NTLEDCLINICS.HTML - UNIVERSITY CITY HOSPITALITY COALITION (UCHC) MEDICAL CLINIC, OPENED IN 1989, IS ONE OF ELEVEN COMMUNITY-BASED HEALTH CARE INITIATIVES SUPPORTED BY MEDICAL STUDE NTS AND FACULTY AT THE PERELMAN SCHOOL OF MEDICINE. AT UCHC, PENN MEDICAL STUDENTS PROVIDE FREE MEDICAL CARE, EDUCATION, AND REFERRAL SERVICES TO LOW-INCOME INDIVIDUALS AND PEOPLE EXPERIENCING HOMELESSNESS. VOLUNTEER PENN PHYSICIANS, RESIDENTS, AND PHARMACISTS DELIVER A ND HELP OVERSEE CARE IN THE CLINIC. UCHC ALSO WORKS TO PROVIDE THE COMMUNITY WITH SPECIALT Y CLINICS RANGING FROM PHYSICAL MEDICINE AND REHABILITATION TO THE AGNEW SURGICAL CLINIC. HTTP://WWW.MED.UPENN.EDU/DIVERSITYUME/STUDENTLEDCLINICS.HTML HTTPS://WWW.UCHCPHILADELPHIA. ORG/ - COMMUNITY-ACADEMIC PARTNERSHIPS TO INCREASE PHYSICAL ACTIVITY (CAP-IPA) AND DANCE F OR HEALTH: PENN MEDICINE FACULTY AND STAFF PARTICIPATE IN THE UNIVERSITY OF PENNSYLVANIA'S SCHOOL OF NURSING'S STUDENT-LED COMMUNITY CHAMPIONS PROGRAM-WHICH COMPRISES 15 INITIATIVES- BRINGS NURSING STUDENTS OUT OF THE CLASSROOM AND INTO THE GREATER PHILADELPHIA COMMUNITY T O SHARE THEIR SKILLS AND LEARN FROM THEIR EXPERIENCES. THE COMMUNITY CHAMPIONS PROGRAM IS THE VEHICLE BY WHICH THE SCHOOL OF NURSING PROMOTES HEALTHY LIFESTYLES AND PROVIDES COMMUN ITY MEMBERS ACROSS THE LIFESPAN WITH HEALTH SCREENING AND INFORMATION ABOUT NUTRITION AND DIET, PHYSICAL ACTIVITY, NEWBORN CARE, BREAST CANCER AWARENESS, AND SEXUAL HEALTH. ONE PRO GRAM, DANCE FOR HEALTH, IS A PROGRAM FOR ALL AGES THAT HAS ENGAGED OVER 1,000 COMMUNITY ME MBERS, FOUR SITES AND OFFERS FREE DANCE FITNESS CLASSES AIMED TO EMPOWER COMMUNITY MEMBERS . CLASSES ARE OFFERED IN CONCERT WITH LOCAL COMMUNITY ORGANIZATIONS. - THROUGH THE HEALTH SCIENCE EXPLORATION PROGRAM (HSE), MEDICAL STUDENTS, IN COLLABORATION WITH THE UNIVERSITY' S NETTER CENTER FOR COMMUNITY PARTNERSHIPS, HELP 6TH-8TH GRADERS INTERACTIVELY EXPLORE HEA LTH TOPICS RELEVANT TO THEIR COMMUNITY. THE MISSION OF HSE IS TO: > INCREASE HEALTH KNOWLE DGE AND SKILLS OF MIDDLE SCHOOL STUDENTS THROUGH AN ENGAGING, INTERACTIVE CURRICULUM > EMP OWER THESE STUDENTS TO SHARE THEIR KNOWLEDGE AND PROMOTE HEALTH WITHIN THEIR COMMUNITY > S TRENGTHEN A COLLABORATIVE, COMMUNITY-CENTERED PARTNERSHIP BETWEEN SOUTHWEST PHILADELPHIA A ND PENN > DIMINISH EDUCATION-RELATED DISPARITIES BY CREATING LESSONS THAT ADHERE TO STATE STANDARDS AND ARE DELIVERED WI </p>

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Return Reference	Explanation
FORM 990, PART III, LINE 4B (CONT.)	<p>TH RESPECT AND EQUITY. - COLORECTAL CANCER SCREENING: THE FREE WEST PHILADELPHIA COLORECTAL CANCER SCREENING PROGRAM WAS STARTED BY TWO PENN PHYSICIANS TO IMPROVE COLORECTAL HEALTH AMONG AFRICAN AMERICAN RESIDENTS OF OUR COMMUNITY. A TRAINED PATIENT-NAVIGATOR HELPS PATIENTS SCHEDULE THE PROCEDURE AND UNDERSTAND THE PREPARATION PROCESS FOR COLORECTAL CANCER SCREENING. THE NAVIGATORS ALSO SUPPLY FREE PREPARATION MATERIALS AND TRANSPORTATION TO AND FROM THE PROCEDURE, AND ENSURE THAT PATIENTS RECEIVE INFORMATION MATCHED TO THEIR LEVEL OF HEALTH LITERACY. PROGRAM STAFF WORK WITH COMMUNITY ORGANIZATIONS ON EDUCATING RESIDENTS ON THE IMPORTANCE OF SCREENING AND ABOUT THE PENN INITIATIVE. SINCE THE PROGRAM'S INCEPTION IN 2011, OVER 700 PATIENTS FROM WEST, SOUTH AND SOUTHWEST PHILADELPHIA WHO PREVIOUSLY COULD NOT ACCESS A COLONOSCOPY HAVE BEEN SCREENED WITH A COLONOSCOPY. 43% OF THESE PATIENTS HAD AT LEAST ONE PRECANCEROUS POLYP WHICH WAS REMOVED AND 5 PATIENTS WERE FOUND TO HAVE COLORECTAL CANCER AND HAVE RECEIVED TREATMENT AT PENN MEDICINE. THESE STATISTICS SUGGEST THAT THIS PROGRAM WILL HAVE A SIGNIFICANT IMPACT IN REDUCING THE NUMBER OF COLORECTAL CANCER CASES IN OUR COMMUNITIES. HTTPS://HEALTHCAREINNOVATION.UPENN.EDU/SOI - PENN MEDICINE BREAST HEALTH INITIATIVE: IN RECOGNITION OF THE BARRIERS TO SCREENING AND TREATMENT FOR BREAST CANCER, PENN MEDICINE OFFERS BREAST SCREENINGS AS WELL AS DIAGNOSTIC AND TREATMENT SERVICES TO UNDERSERVED AND UNINSURED WOMEN IN PARTNERSHIP WITH MORE THAN A DOZEN NONPROFITS AND CLINICS IN THE REGION. SINCE THE PROGRAM'S INCEPTION IN 2014, IT HAS PROVIDED FREE MAMMOGRAMS TO OVER 3,000 WOMEN. OVER 50% OF THE WOMEN IN THIS PROGRAM ARE LATINA AND 28% ARE AFRICAN AMERICAN; 56% DO NOT SPEAK ENGLISH. TO DATE, 35 CASES OF BREAST CANCER HAVE BEEN IDENTIFIED AND TREATED. SEE SCHEDULE H, PART VI FOR ADDITIONAL DETAILS REGARDING SOME OF THE VARIOUS ADDITIONAL COMMUNITY BUILDING ACTIVITIES CONDUCTED BY THE UNIVERSITY OF PENNSYLVANIA HEALTH SYSTEM. -----</p>

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Return Reference	Explanation
FORM 990, PART IV, LINE 28 & FORM 990, SCHEDULE L, PART IV	<p>BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DURING THE NORMAL COURSE OF ITS OPERATIONS AND AFTER APPROPRIATE REVIEW, THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") MAY OCCASIONALLY TRANSACT BUSINESS WITH PERSONS AND/OR ORGANIZATIONS DESCRIBED ON FORM 990, PART IV, LINE 28. IN THIS REGARD, THE UNIVERSITY ADHERES TO A CONFLICT OF INTEREST POLICY AND ANY SUCH TRANSACTIONS ARE CONDUCTED AT AN ARMS-LENGTH BASIS. FOR THE YEAR ENDED JUNE 30, 2020, NO TRANSACTIONS WERE IDENTIFIED THAT WERE REQUIRED TO BE DISCLOSED ON FORM 990, SCHEDULE L, PART IV. ---</p> <p>-----</p>

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Return Reference	Explanation
FORM 990, PART V, LINE 4(B)	ADDITIONAL FOREIGN COUNTRIES WHERE BANK ACCOUNTS ARE HELD TURKEY UNITED KINGDOM - SEE ATTACHMENT 2 FOR ADDITIONAL FOREIGN COUNTRIES WHERE BANK ACCOUNTS ARE HELD -----

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Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11	FORM 990 REVIEW PROCESS THE FIRST DRAFT OF THE FEDERAL FORM 990 IS RECEIVED FROM OUR TAX CONSULTING FIRM, PRICEWATERHOUSECOOPERS LLP ("PWC"), ON APPROXIMATELY MARCH 15TH OF THE FILING YEAR AND REVIEWED BY THE ASSOCIATE COMPTROLLER. THE FORM 990 IS THEN DISTRIBUTED TO VARIOUS SENIOR FINANCIAL MANAGEMENT OFFICIALS, INCLUDING THE COMPTROLLER, CFO OF THE HEALTH SYSTEM, AND VICE PRESIDENT FOR FINANCE AND TREASURER PRIOR TO MEETING WITH PWC AND THE ASSOCIATE COMPTROLLER TO DISCUSS AND FINALIZE THE FORM. A "FINAL" DRAFT COPY OF THE FEDERAL FORM 990 IS DISTRIBUTED ELECTRONICALLY TO THE AUDIT AND COMPLIANCE COMMITTEE PRIOR TO THE FILING DEADLINE. THE FINAL COPY OF THE FEDERAL FORM 990 IS POSTED TO THE TRUSTEES' WEB SITE FOR DISTRIBUTION AND REVIEWED BY ALL TRUSTEES PRIOR TO THE ACTUAL FILING DEADLINE. -----

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Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	<p>CONFLICT OF INTEREST POLICY EACH COVERED PERSON* ANNUALLY SHALL COMPLETE A CONFLICT OF INTEREST QUESTIONNAIRE PROVIDED BY THE UNIVERSITY AND SHALL UPDATE SUCH QUESTIONNAIRE PROMPTLY AS NECESSARY TO REFLECT CHANGES DURING THE COURSE OF THE YEAR. FORMER BOARD MEMBERS WHO ARE NOT TRUSTEE EMERITI ARE ENCOURAGED BUT NOT REQUIRED TO COMPLETE THE QUESTIONNAIRE DURING THE FIVE-YEAR PERIOD FOLLOWING COMPLETION OF THEIR TERMS. COMPLETED QUESTIONNAIRES SHALL BE RETURNED TO THE OFFICE OF THE SECRETARY AND SHALL BE SUBJECT TO REVIEW BY SUCH OFFICE AND THE OFFICE OF THE GENERAL COUNSEL, AS WELL AS BY ANY OUTSIDE LEGAL COUNSEL AND/OR AUDITORS WHO MAY BE APPOINTED TO ADVISE THE COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES APPOINTED TO OVERSEE THIS POLICY. COMPLETED QUESTIONNAIRES ALSO SHALL BE AVAILABLE FOR INSPECTION BY ANY BOARD MEMBER. *COVERED PERSONS INCLUDE: (1) VOTING MEMBERS OF THE BOARD OF TRUSTEES (INCLUDING CHARTER TRUSTEES, TERM TRUSTEES, ALUMNI TRUSTEES, AND COMMONWEALTH TRUSTEES); (2) TRUSTEE EMERITI WHO HAVE SERVED IN THAT CAPACITY FOR FIVE YEARS OR LESS; (3) OTHER FORMER VOTING TRUSTEES FOR A PERIOD OF FIVE YEARS FROM THE END OF THEIR TERM AS SUCH; (4) OFFICERS AS DEFINED IN THE STATUTES; (5) MEMBERS OF THE INVESTMENT BOARD; AND (6) KEY EMPLOYEES. EACH COVERED PERSON (EXCEPT FORMER BOARD MEMBERS WHO ARE NOT TRUSTEE EMERITI) SHALL BE REQUIRED TO ACKNOWLEDGE, NOT LESS THAN ANNUALLY, THAT HE OR SHE HAS READ AND IS IN COMPLIANCE WITH THIS POLICY. -----</p>

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Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	<p>COMPENSATION PROCESS THE MEMBERSHIP OF THE COMPENSATION COMMITTEE CONSISTS OF AT LEAST 5 DISINTERESTED, VOTING MEMBERS OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA. THE COMMITTEE HAS THE AUTHORITY AND RESPONSIBILITY BOTH FOR PROVIDING OVERSIGHT AND REVIEW OF THE EXECUTIVE COMPENSATION PROCESS, OVERSIGHT AND REVIEW OF THE ACTUAL COMPENSATION DECISIONS, AND FOR REVIEWING ACTUAL AND PERCEIVED CONFLICT OF INTEREST TRANSACTIONS INVOLVING TRUSTEES AND STATUTORY OFFICERS ACCORDING TO GUIDELINES ESTABLISHED BY THE UNIVERSITY'S CONFLICT-OF-INTEREST POLICY AS ADOPTED BY THE BOARD OF TRUSTEES. THE COMMITTEE ADOPTS AND IMPLEMENTS EXECUTIVE COMPENSATION PRINCIPLES, AND IS ACCOUNTABLE FOR THE COMPENSATION AND BENEFITS ARRANGEMENTS OF THE PRESIDENT AND HER DIRECT REPORTS, THE STATUTORY OFFICERS, SENIOR ACADEMIC OFFICIALS, DEANS, OTHER KEY EMPLOYEES, AND ALL THOSE INDIVIDUALS WHO ARE POTENTIALLY DISQUALIFIED PERSONS WITHIN THE MEANING OF THE INTERMEDIATE SANCTIONS LEGISLATION. THE COMMITTEE MAY PERIODICALLY REVIEW THE COMPENSATION AND BENEFITS OF OTHER HIGHLY COMPENSATED INDIVIDUALS, EVEN IF THEY ARE NOT DEEMED TO EXERCISE "SUBSTANTIAL INFLUENCE" OVER THE UNIVERSITY. THE COMPENSATION SUBCOMMITTEE (CREATED TO CONFORM TO CERTAIN PROCEDURES IN DOCUMENTING REASONABLE SALARIES FOR THE OFFICERS OF THE UNIVERSITY), MEETS AT LEAST TWICE A YEAR TO REVIEW APPROPRIATE DATA, INCLUDING COMPARABLE SALARIES, IN ORDER TO REPORT ITS CONCLUSIONS AND RECOMMENDATIONS ON OFFICERS' SALARIES FOR FINAL APPROVAL. THE COMPENSATION SUBCOMMITTEE ENGAGES AN INDEPENDENT THIRD PARTY TO SERVE AS A CONSULTANT. -----</p> <p>-----</p>

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Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	<p>DOCUMENTS AVAILABILITY TO THE PUBLIC GOVERNING DOCUMENTS - OFFICIAL RECORDS GENERATED OR RECEIVED BY THE ADMINISTRATIVE AND ACADEMIC OFFICES OF THE UNIVERSITY IN THE CONDUCT OF THEIR BUSINESS ARE THE PROPERTY OF THE UNIVERSITY AND MAY BECOME ARCHIVAL MATERIAL. THE ARCHIVED RECORDS ARE AVAILABLE THROUGH THE UNIVERSITY ARCHIVES AND RECORDS CENTER WEB SITE HTTP://WWW.ARCHIVES.UPENN.EDU UNDER UNIVERSITY RECORDS CENTER. FORM 1023 - SINCE THE UNIVERSITY HAS BEEN IN EXISTENCE SINCE 1740, THE ORGANIZATION WAS NOT REQUIRED TO FILE A FORM 1023 APPLICATION. INSTEAD, ITS IRC SECTION 501(C)(3) TAX-EXEMPT STATUS IS GRANDFATHERED BY THE IRS. CONFLICT OF INTEREST POLICIES - POLICIES, STATEMENTS, AND GUIDELINES ARE AVAILABLE TO THE PUBLIC ON THE OFFICE OF THE AUDIT, COMPLIANCE, AND PRIVACY WEB SITE AT HTTP://WWW.UPENN.EDU/OACP/ UNDER PRINCIPLES OF RESPONSIBLE CONDUCT. FINANCIAL STATEMENTS - THE UNIVERSITY'S ANNUAL REPORT IS PRODUCED BY THE OFFICE OF THE VICE PRESIDENT FOR FINANCE AND TREASURER IN CONJUNCTION WITH THE OFFICE OF THE COMPTROLLER AND INCLUDES THE UNIVERSITY'S AUDITED FINANCIAL STATEMENTS, SUMMARY OF ENDOWMENT PERFORMANCE, AND MESSAGES FROM EXECUTIVE MANAGEMENT. ANNUAL REPORTS ARE PUBLISHED AFTER THE CLOSE OF EACH FISCAL YEAR (JULY 1 TO JUNE 30) AND ARE AVAILABLE ON THE COMPTROLLER WEB SITE AT HTTP://WWW.FINANCE.UPENN.EDU/COMPTROLLER UNDER FINANCIAL REPORTS. -----</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART XI, LINE 9	DETAIL OF OTHER CHANGES IN NET ASSETS PENSION & OTHER POSTRETIREMENT PLAN ADJ. \$(229,224,000) LOSS ON EXTINGUISHMENT OF DEBT (3,618,000) ----- TOTAL \$(232,842,000) -----

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Employer identification number
23-1352685

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) UNIV OF PENN (HK) FND LIMITED ROOM 8 7/F K WAH CENTRE JAVA RD, HONG KONG HK 98-1062727	CHARITY	HK	-160,144	1,840,792	TRUSTEES
(2) UNIV OF PENN USA FOUNDATION LTD 19 NORCOTT ROAD LONDON, ENGLAND N167EJ UK 98-0387770	CHARITY	UK	-51,724	858,866	TRUSTEES

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

1s

No

No

No

No

No

Yes

Yes

Yes

Yes

Yes

Yes

Yes

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

See Additional Data Table

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Schedule R (Form 990) 2019

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R. (see instructions).

Return Reference	Explanation
SCHEDULE R, PART IV, LINE 4	DETAIL OF LEGAL DOMICLES FOR CHARITABLE REMAINDER TRUSTS AS OF JUNE 30, 2020, THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA (THE "UNIVERSITY") HELD INTERESTS IN 1 POOLED LIFE INCOME FUND IN PENNSYLVANIA, 1 CHARITABLE REMAINDER TRUST IN DELAWARE, 2 CHARITABLE REMAINDER TRUSTS IN FLORIDA, 1 CHARITABLE REMAINDER TRUST IN NEW YORK AND 54 CHARITABLE REMAINDER TRUSTS IN PENNSYLVANIA, WHERE THE UNIVERSITY HAD MORE THAN 50% OF THE BENEFICIAL INTERESTS IN THE TRUSTS.

Additional Data

Software ID:
Software Version:
EIN: 23-1352685
Name: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
1811 OLDE HOMESTEAD LANE LANCASTER, PA 17601 23-1352572	HOME HEALTH	PA	501(c)(3)	7	LG HEALTH	Yes	
C/O PNC BANK 620 LIBERTY AVE 10FL PITTSBURGH, PA 15222 23-6415355	SUPPORT TRUST	PA	4947(A)(1)	N/A	NA		No
701 E MARSHALL STREET WEST CHESTER, PA 19380 23-0469150	HEALTHCARE	PA	501(C)(3)	3	CCH&HS	Yes	
701 E MARSHALL STREET WEST CHESTER, PA 19380 26-4233321	MGMT SRVCS	PA	501(C)(3)	12, I	TRUSTEES	Yes	
250 KING OF PRUSSIA RD 4TH FL RADNOR, PA 19087 23-2729852	HEALTHCARE	PA	501(c)(3)	10	TRUSTEES	Yes	
3451 WALNUT STREET ROOM 305 PHILADELPHIA, PA 19104 23-2992715	SUPPORT ORG	PA	501(c)(3)	12, II	PA HOSPITAL	Yes	
3451 WALNUT STREET ROOM 737 PHILADELPHIA, PA 19104 84-3379653	SUPPORT ORG	PA	501(c)(3)	12, I	TRUSTEES	Yes	
3451 WALNUT STREET SUITE 305 PHILADELPHIA, PA 19104 82-3434615	SUPPORT TRUST	PA	4947(A)(1)	N/A	NA	Yes	
555 NORTH DUKE STREET LANCASTER, PA 17604 23-2250941	SUPPORT ORG	PA	501(C)(3)	12, II	TRUSTEES	Yes	
306 NORTH 7TH STREET COLUMBIA, PA 17512 23-0485650	FACILITY MGMT	PA	501(C)(3)	3	LG HOSPITAL	Yes	
555 NORTH DUKE STREET LANCASTER, PA 17604 20-5767147	FUNDRAISING	PA	501(C)(3)	7	NA		No
555 NORTH DUKE STREET LANCASTER, PA 17604 20-4943109	HEALTHCARE	PA	501(C)(3)	3	LG HEALTH	Yes	
555 NORTH DUKE STREET LANCASTER, PA 17604 23-1365353	HEALTHCARE	PA	501(C)(3)	3	LG HEALTH	Yes	
1030 NEW HOLLAND AVENUE LANCASTER, PA 17601 23-2777286	HEALTHCARE	PA	501(C)(3)	3	LG HEALTH	Yes	
1525 W WT HARRIS BLVD CHARLOTTE, NC 28262 23-6210940	SUPPORT TRUST	PA	501(C)(3)	12, III-FI	NA		No
795 E MARSHALL STREET WEST CHESTER, PA 19380 23-2324782	NURSING	PA	501(C)(3)	12, I	CCH&HS	Yes	
795 E MARSHALL STREET WEST CHESTER, PA 19380 23-2324787	HEALTH SRVCS	PA	501(C)(3)	10	CCH&HS	Yes	
795 E MARSHALL STREET WEST CHESTER, PA 19380 23-1352243	NURSING	PA	501(C)(3)	7	CCH&HS	Yes	
3451 WALNUT STREET ROOM 748 PHILADELPHIA, PA 19104 23-1986931	SUPPORT ORG	PA	501(c)(3)	12, I	TRUSTEES	Yes	
3609 CHESTNUT STREET PHILADELPHIA, PA 19104 23-2422635	HEALTHCARE	PA	501(c)(3)	3	PMC	Yes	

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
30 WEST 44TH STREET NEW YORK, NY 10036 23-2726687	CLUB	NY	501(c)(7)	N/A	NA		No
210 SOUTH 34TH STREET PHILADELPHIA, PA 19104 75-2974931	SUPPORT ORG	PA	501(c)(3)	12, I	TRUSTEES	Yes	
3905 SPRUCE STREET PHILADELPHIA, PA 19107 23-1876142	PUBLISHING	PA	501(c)(3)	12, I	TRUSTEES	Yes	
850 GREENFIELD ROAD LANCASTER, PA 17601 06-1645496	HEALTH EDU	PA	501(C)(3)	2	LG HOSPITAL	Yes	
800 SPRUCE STREET PHILADELPHIA, PA 19107 31-1538725	HEALTHCARE	PA	501(C)(3)	3	TRUSTEES	Yes	
426 CURIE BLVD PHILADELPHIA, PA 19104 23-2351015	SUPPORT ORG	PA	501(c)(3)	12, I	NA		No
3001 MARKET STREET 3RD FLOOR PHILADELPHIA, PA 19104 23-2901089	SUPPORT ORG	PA	501(c)(3)	3	TRUSTEES	Yes	
51 NORTH 39TH STREET PHILADELPHIA, PA 19104 23-2561573	SUPPORT ORG	PA	501(c)(3)	12, I	PMC	Yes	
51 NORTH 39TH STREET PHILADELPHIA, PA 19104 23-2810852	HEALTHCARE	PA	501(c)(3)	3	TRUSTEES	Yes	
51 NORTH 39TH STREET PHILADELPHIA, PA 19104 23-2723154	HEALTHCARE	PA	501(c)(3)	10	PMC	Yes	
51 NORTH 39TH STREET PHILADELPHIA, PA 19104 23-2294713	HEALTHCARE	PA	501(c)(3)	12, I	PMC	Yes	
ONE PLAINSBORO ROAD PLAINSBORO, NJ 08536 22-2842773	HEALTHCARE	NJ	501(C)(3)	10	PHCS HOLDING	Yes	
ONE PLAINSBORO ROAD PLAINSBORO, NJ 08536 26-4203938	HEALTHCARE	NJ	501(C)(3)	3	PHCS HOLDING	Yes	
ONE PLAINSBORO ROAD PLAINSBORO, NJ 08536 21-0635009	HEALTHCARE	NJ	501(C)(3)	7	PHCS HOLDING	Yes	
ONE PLAINSBORO ROAD PLAINSBORO, NJ 08536 22-2225911	SUPPORT PHCS	NJ	501(C)(3)	12, I	TRUSTEES	Yes	
ONE PLAINSBORO ROAD PLAINSBORO, NJ 08536 22-3493256	REAL ESTATE	NJ	501(C)(2)	N/A	PHCS HOLDING	Yes	
3000 STEINBERG HALL PHILADELPHIA, PA 19104 23-6297325	EDU SUPPORT	PA	501(c)(3)	12, I	NA		No
1500 MARKET ST STE 3500E PHILADELPHIA, PA 19102 81-0550464	BUS. TRUST	PA	501(c)(3)	8	NA		No
217 HARRISBURG AVENUE LANCASTER, PA 17603 30-0634510	CARDIOLOGY	PA	501(C)(3)	3	LG HEALTH	Yes	

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
421 CURIE BLVD 450 BRB II/III PHILADELPHIA, PA 19104 23-2929823	MED RESEARCH	PA	501(c)(3)	4	NA		No
555 NORTH DUKE STREET LANCASTER, PA 17604 23-1976868	SUPPORT ORG	PA	501(C)(3)	10	NA		No
3451 WALNUT STREET ROOM 329 PHILADELPHIA, PA 19104 23-3021159	SUPPORT ORG	PA	501(c)(3)	12, I	TRUSTEES	Yes	
3611 WALNUT STREET PHILADELPHIA, PA 19104 23-6299508	FAC. CLUB	PA	501(c)(3)	12, I	TRUSTEES	Yes	
3451 WALNUT STREET SUITE 731 PHILADELPHIA, PA 19104 45-4985731	SUPPORT ORG	PA	501(C)(3)	12, I	TRUSTEES	Yes	
3451 WALNUT STREET ROOM 305 PHILADELPHIA, PA 19104 04-3574136	RETIRE TRUST	PA	501(A)	N/A	TRUSTEES	Yes	
3451 WALNUT STREET ROOM 329 PHILADELPHIA, PA 19104 23-2769744	BENEFITS	PA	501(c)(3)	12, I	TRUSTEES	Yes	
150 MONUMENT ROAD SUITE 300 BALA CYNWYD, PA 19004 23-2152662	HOSPICE CARE	PA	501(c)(3)	10	TRUSTEES	Yes	
700 SPRUCE STREET PHILADELPHIA, PA 19106 23-2248956	HEALTHCARE	PA	501(c)(3)	3	PA HOSPITAL	Yes	

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
ARI 1740 FUND 180 N SETSON AVE STE 5500 CHICAGO, IL 60601 32-0472404	INVESTMENT	IL	TRUSTEES	EXCLUDED FROM TAX	-43,880,717	109,313,583		No	0		No	99.989 %
CYRUS 1740 FUND LP 65 E 55TH STREET 35TH FLOOR NEW YORK, NY 10022 82-1211542	INVESTMENT	NY	TRUSTEES	EXCLUDED FROM TAX	1,136,862	27,608,169		No	0		No	98.948 %
CYRUS 1740 MASTER FUND LP 89 NEXUS WAY CAMANA BAY GRAND CAYMAN KY1-9009 CJ 98-1361754	INVESTMENT	CJ	NA	N/A							No	
DVG 1740 FUND LP ONE FAWCETT PLACE GREENWICH, CT 06830 80-0961539	INVESTMENT	CT	TRUSTEES	EXCLUDED FROM TAX	5,467,915	283,991,074		No	0		No	99.278 %
EAST MARSHALL STREET PARTNERSHIP LP 701 E MARSHALL STREET WEST CHESTER, PA 19380 23-2902742	INVESTMENT	PA	NA	N/A							No	
FERN HILL PARTNERSHIP III LP 701 E MARSHALL STREET WEST CHESTER, PA 19380 30-0409614	RENTAL	PA	NA	N/A							No	
FERN HILL LLC 701 E MARSHALL STREET WEST CHESTER, PA 19380 23-3005147	RENTAL	PA	NA	N/A							No	
GALLOPAVO LP 2000 McKINNEY AVE STE 2125 DALLAS, TX 75201 46-4621967	INVESTMENT	TX	TRUSTEES	EXCLDUED FROM TAX	294,196	99,274,587		No	391,224		No	91.745 %
JOG V C LIMITED PARTNERSHIP STE 2370 440 2ND AVE SW CALGARY, AL T2P5E9 CA	INVESTMENT	CA	TRUSTEES	EXCLUDED FROM TAX	337,464	13,475,430		No	0		No	100.000 %
JOG VI C LIMITED PARTNERSHIP STE 2370 440 2ND AVE SW CALGARY, AL T2P5E9 CA	INVESTMENT	CA	TRUSTEES	EXCLUDED FROM TAX	15,143	23,804,200		No	0		No	100.000 %
KINGSTOWN 1740 FUND LP 34 EAST 51ST STREET NEW YORK, NY 10022 84-3119908	INVESTMENT	NY	TRUSTEES	EXCLUDED FROM TAX	476,146	117,419,221		No	0		No	100.000 %
LANCASTER PET PARTNERSHIP LLP PO BOX 4216 LANCASTER, PA 17604 23-3102793	MEDICAL SERVICES	PA	NA	N/A							No	
LG HEALTH COMM CARE COLLAB II 555 NORTH DUKE STREET LANCASTER, PA 17604 82-3809581	ACO	PA	NA	N/A							No	
LG HEALTH COMMUNITY CARE COLLABORATIVE 555 NORTH DUKE STREET LANCASTER, PA 17604 45-5542179	ACO	PA	NA	N/A							No	
LIFT REAL ESTATE PARTNERS FUND I LP 180 SUTTER STREET SUITE 400 SAN FRANCISCO, CA 94104 83-1339929	INVESTMENT	CA	TRUSTEES	EXCLUDED FROM TAX	-2,681,473	111,662,569		No	0		No	98.000 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproprtionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
MRI GROUP LLP PO BOX 4216 LANCASTER, PA 17604 33-1011386	MEDICAL SERVICES	PA	NA	N/A							No	
NEIGHBRHD PRES & DEV FUND LP 240 NEW YORK DR STE 1 FORT WASHINGTON, PA 19034 23-3037919	RENTAL	PA	NA	N/A							No	
OAKLANDS WAY MEDICAL BUILDING ASSOCIATES 701 E MARSHALL STREET WEST CHESTER, PA 19380 83-0490251	RENTAL	PA	NA	N/A							No	
SRP INVESTORS FUND A LP 2001 ROSS AVE SUITE 400 DALLAS, TX 75201 61-1748291	INVESTMENT	TX	TRUSTEES	EXCLUDED FROM TAX	27,867,014	293,750,378		No	0		No	89.067 %
ST-TO RIBBIT OPPORTUNITY V LLC 364 UNIVERSITY AVENUE PALO ALTO, CA 94301 84-1814102	INVESTMENT	CA	TRUSTEES	EXCLUDED FROM TAX	0	16,502,435		No	0		No	62.264 %
TURK'S HEAD SURGERY CENTER 915 OLD FERN HILL ROAD BLDG B STE WEST CHESTER, PA 19380 20-0184603	MEDICAL SERVICES	PA	NA	N/A							No	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust									
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
ARCM 1740 LTD 27 HOSPITAL ROAD GRAND CAYMAN KY1-9008 CJ	INVESTMENTS	CJ	TRUSTEES	C-CORP	726,490	71,847,769	90.000 %	Yes	
CIRCLE MEDICAL ASSURANCE CO 2929 WALNUT STREET STE 460 PHILADELPHIA, PA 19104 83-3556286	INSURANCE	PA	TRUSTEES	C-CORP	0	0	100.000 %	Yes	
CLINICAL HEALTH CARE ASSOC OF NJ PC 250 KING OF PRUSSIA RD 4TH FL RADNOR, PA 19087 23-2865181	PHYS MGMT	PA	CCA	C-CORP				Yes	
DELANCEY CORPORATION 800 SPRUCE STREET PHILADELPHIA, PA 19106 23-2060159	RENTAL	PA	PA HOSPITAL	C-CORP				Yes	
FRANKLIN CASUALTY INSURANCE CO PO BOX 530 BURLINGTON, VT 05402 04-3378984	INSURANCE	VT	TRUSTEES	C-CORP	-234,862	30,487,383	100.000 %	Yes	
LANCASTER GENERAL 457 DEFERRED COMP PLAN 555 NORTH DUKE STREET LANCASTER, PA 17604 23-2250941	TRUST	PA	LG HEALTH	TRUST					No
LANCASTER GENERAL INSURANCE COMPANY PO BOX 1109 GT GRAND CAYMAN KYI-1102 CJ 98-0176655	INSURANCE	CJ	LG HEALTH	C-CORP				Yes	
LANCASTER GENERAL SERVICES INC 555 NORTH DUKE STREET LANCASTER, PA 17604 23-2250128	PROPERTY SVCS	PA	LG HEALTH	C-CORP				Yes	
NAYA 1740 FUND LTD PO BOX 309 UGLAND HOUSE, GRAND CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	TRUSTEES	C-CORP	8,726,034	275,041,956	97.733 %	Yes	
PENN MEDICINE LONDON LIMITED RADIUS COMM SVCS LTD 11TH FL WHITEFRIARS LEWINS MEAD, UNITED KINGDOM BS1 2NT UK	NETWORKING	UK	UPENN INT'L	LIMITED COMPANY				Yes	
PENN WHARTON CONSULTING (BEIJING) CO LTD CHINA WORLD TOWER 1 14F CHAOYANG DIST 100004 CH	BUS. CONSULTING	CH	UPENN INT'L	C-CORP				Yes	
PHI PHARMACY INC ONE PLAINSBORO ROAD PLAINSBORO, NJ 08536 22-3467899	INACTIVE	NJ	PHCS HOLDING	C-CORP				Yes	
PRESBYTERIAN MEDICAL SERVICES 39TH AND MARKET STREET PHILADELPHIA, PA 19104 23-2307991	HEALTHCARE	PA	PMC	C-CORP				Yes	
PRINCETON HEALTH INC & SUBS ONE PLAINSBORO ROAD PLAINSBORO, NJ 08536 22-3450093	MEDICAL	NJ	PHCS HOLDING	C-CORP				Yes	
QUAKER INSURANCE COMPANY LTD VICTORIA STREET PO BOX HM 1826 VICTORIA HALL, BERMUDA HM HX BD 30-0708282	SELF-INSURANCE	BD	TRUSTEES	C-CORP	12,430,115	243,109,447	100.000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
THE PAM 1740 FUND LTD PO BOX 309 GEORGE TOWN, GRAND CAYMAN KYI-1104 CJ	INVESTMENTS	CJ	TRUSTEES	C-CORP	37,774,807	247,684,120	99.990 %	Yes	
TURK'S HEAD HEALTH SERVICES INC 701 E MARSHALL STREET WEST CHESTER, PA 19380 23-2329753	MEDICAL SERVICES	PA	CCH&HS	C-CORP				Yes	
UPENN HOSPITALITY INC 3401 WALNUT STREET SUITE 440A PHILADELPHIA, PA 19104 23-3076589	HOTEL/RESTAURANT	PA	TRUSTEES	C-CORP	-2,881,727	17,617,234	100.000 %	Yes	
CYRUS 1740 FUND LTD 89 NEXUS WAY CAMANA BAY GRAND CAYMAN KY1-9009 CJ 98-1361907	INVESTMENT	CJ	TRUSTEES	LIMITED COMPANY	-7,550,023	60,256,025	98.710 %	Yes	
BARGE GANSE VENACARE BUS INC 555 NORTH DUKE STREET LANCASTER, PA 17604 23-2113017	HEALTHCARE	PA	LGS	C-CORP				Yes	
CHARITABLE REMAINDER TRUSTS (59)	N/A	PA	NA	REMAINDER TRUST					No

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
ARCM 1740 FUND LTD	B,C	33,000,000	FMV
CYRUS 1740 FUND LP	B	14,040,609	FMV
FRANKLIN CASUALTY INSURANCE CO	R	48,684,153	FMV
LANCASTER GENERAL HOSPITAL	Q	9,681,404	FMV
JOG LIMITED PARTNERSHIP NO VI CO-INVEST	B	310,000	FMV
PENN PRAXIS INC	O,R	1,228,677	FMV
PRESBYTERIAN MEDICAL CENTER OF UPHS	K,O,P	77,583,327	FMV
LIFT REAL ESTATE PARTNERS FUND I LP	B	46,276,553	FMV
THE PAM 1740 FUND LTD	B	28,065,901	FMV
SRP INVESTORS FUND A LP	B,C	24,344,971	FMV
ST-TO RIBBIT OPPORTUNITY V LLC	B	16,562,264	FMV
UPENN HOSPITALITY INC	A	1,500,000	FMV
UPENN INTERNATIONAL	B,L	1,156,011	FMV
UPENN RETIREE BENEFITS TRUST	B	44,651,281	FMV
WISSAHICKON HOSPICE OF UPHS	O,P,R	154,104	FMV