

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**  
 ▶ **Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.**

OMB No. 1545-0052  
**2019**  
**Open to Public Inspection**

**For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019**

Name of foundation HOICHE-SCOFIELD FOUNDATION-EQUITY		<b>A Employer identification number</b> 22-2519554	
Number and street (or P.O. box number if mail is not delivered to street address) P O BOX 1802	Room/suite	<b>B Telephone number (see instructions)</b> (888) 866-3275	
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 029011802		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>19,941,091</u>		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>	
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

<b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	421,987	420,639		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	468,007			
	<b>b</b> Gross sales price for all assets on line 6a	6,518,417			
	<b>7</b> Capital gain net income (from Part IV, line 2)		468,007		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)			52,629		
<b>12 Total.</b> Add lines 1 through 11	889,994	941,275			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	111,153	66,692		44,461
	<b>14</b> Other employee salaries and wages		0	0	0
	<b>15</b> Pension plans, employee benefits		0	0	
	<b>16a</b> Legal fees (attach schedule)	10,803	0	0	10,803
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	4,696	4,696		0
	<b>17</b> Interest				0
	<b>18</b> Taxes (attach schedule) (see instructions)	23,226	9,235		0
	<b>19</b> Depreciation (attach schedule) and depletion	0	0		
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings			0	0
	<b>22</b> Printing and publications			0	0
	<b>23</b> Other expenses (attach schedule)	671	610		125
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	150,549	81,233	0	55,389
	<b>25</b> Contributions, gifts, grants paid	789,393			789,393
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	939,942	81,233	0	844,782	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	-49,948				
<b>b Net investment income</b> (if negative, enter -0-)		860,042			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	703,767	931,363	931,363
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	16,580,404	16,267,908	19,009,728
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			0
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	17,284,171	17,199,271	19,941,091	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	17,284,171	17,199,271	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	17,284,171	17,199,271		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	17,284,171	17,199,271		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	17,284,171
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-49,948
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	17,234,223
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	34,952
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	17,199,271

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	468,007
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		{ }	<b>3</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.  Yes  No

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	853,935	18,993,649	0.044959
2017	872,899	18,628,489	0.046858
2016	811,241	17,145,066	0.047316
2015	857,138	17,644,685	0.048578
2014	850,062	18,009,216	0.047201
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.234912
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.046982
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			<b>4</b> 18,790,214
<b>5</b> Multiply line 4 by line 3			<b>5</b> 882,802
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 8,600
<b>7</b> Add lines 5 and 6			<b>7</b> 891,402
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 844,782

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes sub-rows 6a-6d for credits. Total amount owed is 4,001.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question text, 'Yes', and 'No' checkboxes. Includes questions about political activities, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of BANK OF AMERICA NA Telephone no. (888) 866-3275
Located at PO BOX 1802 PROVIDENCE RI ZIP+4 029011802
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

<b>Part X Minimum Investment Return</b> (All domestic foundations must complete this part. Foreign foundations, see instructions.)		
<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b> 18,325,053
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b> 751,306
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b> 0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b> 19,076,359
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b> 0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b> 0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b> 19,076,359
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b> 286,145
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b> 18,790,214
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b> 939,511

<b>Part XI Distributable Amount</b> (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here <input type="checkbox"/> and do not complete this part.)		
<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b> 939,511
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b> 17,201
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	<b>2b</b>
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b> 17,201
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b> 922,310
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b> 7,312
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b> 929,622
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b> 0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b> 929,622

<b>Part XII Qualifying Distributions</b> (see instructions)		
<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b> 844,782
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b> 0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b> 0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:	
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b> 0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b> 0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b> 844,782
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b> 0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b> 844,782

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				929,622
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			150,367	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .	0			
<b>b</b> From 2015. . . . .	0			
<b>c</b> From 2016. . . . .	0			
<b>d</b> From 2017. . . . .	0			
<b>e</b> From 2018. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>844,782</u>				
<b>a</b> Applied to 2018, but not more than line 2a			150,367	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				694,415
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .				235,207
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . .	0			
<b>b</b> Excess from 2016. . . . .	0			
<b>c</b> Excess from 2017. . . . .	0			
<b>d</b> Excess from 2018. . . . .	0			
<b>e</b> Excess from 2019. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NA

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NA

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
EMMA GREENE  
225 FRANKLIN ST  
BOSTON, MA 02110  
(888) 866-3275

**b** The form in which applications should be submitted and information and materials they should include:  
APPLICATION AVAILABLE FROM THE FOUNDATION ALONG WITH REQUIRED INFO.

**c** Any submission deadlines:  
FOUR TIMES A YEAR

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
TAX EXEMPT ORGANIZATIONS IN WORCESTER COUNTY

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> SEE ATTACHED STATEMENT SEE ATTACHED SEE ATTACHED, RI 02901	N/A	PC	SEE ATTACHED	789,393
<b>Total</b> . . . . . ► <b>3a</b>				789,393
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . . ► <b>3b</b>				





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1609.888 AQR LONG-SHORT EQUITY FUND CL I		2017-12-08	2019-01-31
1120.176 AQR LONG-SHORT EQUITY FUND CL I		2017-10-31	2019-01-31
1658.062 AQR LONG-SHORT EQUITY FUND CL I		2017-10-31	2019-09-12
1097.928 AQR LONG-SHORT EQUITY FUND CL I		2018-01-19	2019-09-12
2134.67 AQR LONG-SHORT EQUITY FUND CL I		2017-03-31	2019-09-12
19502.18 AQR LONG-SHORT EQUITY FUND CL I		2017-03-31	2019-09-24
250.092 AQR LONG-SHORT EQUITY FUND CL I		2019-07-15	2019-09-24
760.116 AQR MANAGED FUTURES STRATEGY FUND CL I		2016-08-25	2019-01-31
4089.864 AQR MANAGED FUTURES STRATEGY FUND CL I		2016-08-25	2019-09-12
610.68 AQR MANAGED FUTURES STRATEGY FUND CL I		2016-08-25	2019-09-24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,127		24,406	-6,279
12,613		16,780	-4,167
17,973		24,838	-6,865
11,902		15,964	-4,062
23,140		28,861	-5,721
209,453		263,669	-54,216
2,686		2,791	-105
6,241		7,768	-1,527
35,786		41,798	-6,012
5,398		6,241	-843

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6,279
			-4,167
			-6,865
			-4,062
			-5,721
			-54,216
			-105
			-1,527
			-6,012
			-843

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10000. AT&T INC SR UNSECD NT		2012-02-13	2019-09-12
25000. AT&T INC SR UNSECD GLOBAL NT		2016-03-21	2019-03-27
6000. AMGEN INC UNSECD SR GBL NT C4/11/22 @100		2017-05-10	2019-09-12
4000. ANHEUSER-BUSCH INBEV WORLDWIDE INC UNSECD SR MTN CALL 10/15/47		2018-10-25	2019-09-12
25000. ANHEUSER-BUSCH INBEV FIN INC SR UNSECD NT CALL 11/1/25 @100		2016-01-13	2019-02-11
6000. APACHE CORP UNSECD SR GBL NT C7/15/28 @100		2018-09-10	2019-09-12
9000. APACHE CORP UNSECD SR GBL NT C7/15/28 @100		2018-09-10	2019-12-17
10000. APPLE INC UNSECD SR NT CALL 12/23/22 @100		2016-02-16	2019-09-12
10000. APPLE INC UNSECD SR NT CALL 08/13/27 @100		2019-06-18	2019-09-12
892.523 ARBITRAGE FUND CL I		2017-12-08	2019-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,739		11,444	295
26,137		25,872	265
6,051		5,993	58
4,471		3,675	796
24,248		25,056	-808
6,181		5,924	257
9,263		8,886	377
10,245		10,012	233
10,422		10,206	216
11,772		12,076	-304

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			295
			265
			58
			796
			-808
			257
			377
			233
			216
			-304

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
373.742 ARBITRAGE FUND CL I		2016-08-25	2019-01-31
142.759 ARBITRAGE FUND CL I		2019-07-15	2019-09-12
2648.33 ARBITRAGE FUND CL I		2016-08-25	2019-09-12
40000. BANK NEW YORK INC MELLON UNSECD MEDIUM TERM SR NT C04/15/19 @10		2015-06-18	2019-05-15
8000. BERKSHIRE HATHAWAY INC DEL UNSECD SR NT		2016-06-07	2019-09-12
242.612 BLACKROCK EVENT DRIVEN EQUITY FUND INSTL SHS		2019-07-15	2019-09-12
3647.385 BLACKROCK EVENT DRIVEN EQUITY FUND INSTL SHS		2019-01-31	2019-09-12
196.49 BLACKROCK EVENT DRIVEN EQUITY FUND INSTL SHS		2019-01-31	2019-09-24
266.063 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2018-01-19	2019-01-31
9426.028 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2017-10-10	2019-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
4,930		4,911	19
1,923		1,910	13
35,673		34,799	874
40,000		40,000	
9,565		9,011	554
2,397		2,375	22
36,036		34,541	1,495
1,941		1,861	80
2,581		2,674	-93
91,432		93,961	-2,529

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			19
			13
			874
			554
			22
			1,495
			80
			-93
			-2,529



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
1227.902 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2017-12-08	2019-01-31
7241.115 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2017-01-04	2019-01-31
1648.276 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2016-12-28	2019-01-31
132.866 BLACKROCK GLOBAL LONG/SHORT CREDIT FUND INSTL		2017-12-08	2019-01-31
457.842 BLACKROCK GLOBAL LONG/SHORT CREDIT FUND INSTL		2017-12-08	2019-09-12
1433.23 BLACKROCK GLOBAL LONG/SHORT CREDIT FUND INSTL		2017-10-10	2019-09-12
88.369 BLACKROCK GLOBAL LONG/SHORT CREDIT FUND INSTL		2017-10-10	2019-09-24
10000. BOSTON PROPERTIES LP SR UNSECD NT		2015-03-16	2019-09-12
20000. BP CAP MKTS AMER INC SR UNSECD GTD NT		2018-12-14	2019-01-15
8000. CSX CORP SR UNSECD BD C3/1/27 @100		2017-05-03	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
11,911		12,199	-288
70,239		71,072	-833
15,988		16,138	-150
1,291		1,388	-97
4,643		4,784	-141
14,533		14,963	-430
897		923	-26
10,271		10,219	52
20,438		20,387	51
8,379		8,020	359

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-288
			-833
			-150
			-97
			-141
			-430
			-26
			52
			51
			359

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
10000. CVS CAREMARK CORP UNSECD SR NT CALL 09/05/23 @100		2016-06-02	2019-09-12
2000. CVS HEALTH CORP UNSECD SR PIDI NT CALL 09/05/47 @100		2018-03-06	2019-09-12
8000. CAPITAL ONE FINL CORP SUB NT CALL 06/28/26 @100		2018-03-27	2019-09-12
10000. CHEVRON CORP NEW SR UNSECD NT CALL 09/05/22 @100		2014-05-22	2019-09-12
4000. CITIGROUP INC SUB NT		2019-04-29	2019-09-12
20000. CITIGROUP INC SR UNSECD NT		2016-07-26	2019-04-29
4000. CITIGROUP INC SR UNSECD NT		2016-07-26	2019-09-12
4000. CITIGROUP INC UNSECD SR NT CALL 12/10/19 @100		2017-08-21	2019-09-12
6000. CITIGROUP INC UNSECD SR NT CALL 12/10/19 @100		2017-08-21	2019-12-10
527.241 COLUMBIA DIVIDEND INCOME FUND INSTL3 CL		2019-03-27	2019-06-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
10,544		10,525	19
2,242		2,032	210
8,281		7,560	721
10,109		9,569	540
4,622		4,204	418
19,800		19,986	-186
4,011		3,997	14
4,000		4,005	-5
6,000		6,002	-2
12,000		11,652	348

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			19
			210
			721
			540
			418
			-186
			14
			-5
			-2
			348

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1056.469 COLUMBIA DIVIDEND INCOME FUND INSTL3 CL		2019-03-27	2019-09-13
6916.674 COLUMBIA DIVIDEND INCOME FUND INSTL3 CL		2018-03-28	2019-09-13
6000. COMCAST CORP NEW UNSECD SR NT		2016-08-30	2019-09-12
4000. COMCAST CORP NEW UNSECD SR NT CALL 07/15/28 @100		2019-02-11	2019-09-12
25000. COMMONWEALTH EDISON CO SECD 1ST MTG BD SER 115 C12/15/18 @100		2014-03-25	2019-01-15
8000. CONSOLIDATED EDISON CO NY INC SR UNSECD DEB SER 2016 A CALL		2016-06-20	2019-09-12
915.416 DIAMOND HILL FDS LARGE CAP FUND CL I SHS		2019-03-27	2019-06-17
7165.327 DIAMOND HILL FDS LARGE CAP FUND CL I SHS		2019-03-27	2019-09-13
10000. DUKE RLTY LTD PARTNERSHIP NEW SR UNSECD NT CALL 7/15/22 @100		2016-06-07	2019-09-12
2000. EL PASO ENERGY CORP MTN		2016-12-13	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,176		23,348	1,828
164,824		149,400	15,424
6,874		6,877	-3
4,460		4,134	326
25,000		24,991	9
8,467		8,015	452
25,000		23,618	1,382
205,000		184,865	20,135
10,391		10,269	122
2,774		2,359	415

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,828
			15,424
			-3
			326
			9
			452
			1,382
			20,135
			122
			415

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
951.177 EMERGING MARKETS STOCK COMMON TRUST FUND		2016-08-19	2019-06-21
1375.242 EMERGING MARKETS STOCK COMMON TRUST FUND		2016-08-19	2019-09-13
4000. EMERA US FIN LP UNSECD SR NT C12/15/45 @100		2018-03-13	2019-09-12
6000. ENERGY TRANSFER PARTNERS L P NEW UNSECD SR NT C3/15/28 @100		2018-09-10	2019-09-12
10000. ENTERPRISE PRODS OPER LLC SR UNSECD NT CALL 08/15/42 @100		2016-07-07	2019-09-12
17000. EXXON MOBIL CORP UNSECD SR NT CALL 01/01/23 @100		2016-03-01	2019-09-12
243.01 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-01-31
156.13 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-02-28
46.4 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-03-31
115.3 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-04-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
55,000		54,524	476
80,000		85,545	-5,545
4,518		3,992	526
6,636		6,142	494
10,652		10,347	305
17,420		17,022	398
243		246	-3
156		158	-2
46		47	-1
115		117	-2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			476
			-5,545
			526
			494
			305
			398
			-3
			-2
			-1
			-2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
378.11 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-05-31
131.7 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-06-30
88.71 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-07-31
304.7 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-08-31
262.18 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-09-30
235.65 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-10-31
300.83 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-11-30
317.86 FEDERAL HOME LN MTG CORP SER 4000 CL PD		2012-09-10	2019-12-31
85000. FEDERAL HOME LN MTG CORP REFERENCE NT		2019-02-28	2019-09-12
22.19 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
378		382	-4
132		133	-1
89		90	-1
305		308	-3
262		265	-3
236		238	-2
301		304	-3
318		321	-3
88,255		85,583	2,672
22		23	-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-4
			-1
			-1
			-3
			-3
			-2
			-3
			-3
			2,672
			-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
22.25 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-02-28
87.26 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-03-31
249.94 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-04-30
97.29 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-05-31
221.57 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-06-30
115.39 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-07-31
21.77 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-08-31
150.88 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-09-30
117. FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-10-31
96.71 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-11-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
22		23	-1
87		92	-5
250		263	-13
97		102	-5
222		233	-11
115		121	-6
22		23	-1
151		159	-8
117		123	-6
97		102	-5

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-1
			-5
			-13
			-5
			-11
			-6
			-1
			-8
			-6
			-5

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
123.8 FEDERAL NATL MTG ASSN POOL #AJ1954		2011-12-14	2019-12-31
250.94 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-01-31
474.69 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-02-28
461.89 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-03-31
327.53 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-04-30
170.02 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-05-31
594.43 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-06-30
542.9 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-07-31
551.21 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-08-31
520.35 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-09-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
124		130	-6
251		260	-9
475		492	-17
462		478	-16
328		339	-11
170		176	-6
594		616	-22
543		562	-19
551		571	-20
520		539	-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-6
			-9
			-17
			-16
			-11
			-6
			-22
			-19
			-20
			-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
687.63 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-10-31
486.17 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-11-30
220.03 FEDERAL NATL MTG ASSN POOL #AK7348		2012-03-08	2019-12-31
66.97 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-01-31
75.02 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-02-28
114.98 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-03-31
69.68 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-04-30
103.92 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-05-31
173.07 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-06-30
99.54 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-07-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
688		712	-24
486		504	-18
220		228	-8
67		71	-4
75		79	-4
115		121	-6
70		73	-3
104		110	-6
173		182	-9
100		105	-5

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-24
			-18
			-8
			-4
			-4
			-6
			-3
			-6
			-9
			-5



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
107.35 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-08-31
111.32 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-09-30
115.17 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-10-31
173.62 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-11-30
98.38 FEDERAL NATL MTG ASSN POOL #AP9736		2013-02-20	2019-12-31
158.51 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-01-31
152.71 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-02-28
173.48 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-03-31
221.62 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-04-30
165.74 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-05-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
107		113	-6
111		117	-6
115		121	-6
174		183	-9
98		104	-6
159		161	-2
153		155	-2
173		176	-3
222		225	-3
166		168	-2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-6
			-6
			-6
			-9
			-6
			-2
			-2
			-3
			-3
			-2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
155.54 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-06-30
192.45 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-07-31
163.19 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-08-31
170.09 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-09-30
155.6 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-10-31
172.9 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-11-30
177.71 FEDERAL NATL MTG ASSN POOL #AR6867		2013-02-20	2019-12-31
535.21 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-01-31
633.03 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-02-28
684.02 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-03-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
156		158	-2
192		195	-3
163		165	-2
170		172	-2
156		158	-2
173		175	-2
178		180	-2
535		558	-23
633		659	-26
684		713	-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-2
			-3
			-2
			-2
			-2
			-2
			-2
			-23
			-26
			-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
1086.71 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-04-30
664.17 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-05-31
757.34 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-06-30
1095.58 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-07-31
977.72 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-08-31
772.34 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-09-30
920.83 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-10-31
675.39 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-11-30
401.85 FEDERAL NATL MTG ASSN POOL #AS3465		2014-10-27	2019-12-31
77.33 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,087		1,132	-45
664		692	-28
757		789	-32
1,096		1,141	-45
978		1,018	-40
772		805	-33
921		959	-38
675		704	-29
402		419	-17
77		84	-7

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-45
			-28
			-32
			-45
			-40
			-33
			-38
			-29
			-17
			-7

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
93.17 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-02-28
40.28 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-03-31
190.95 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-04-30
213.47 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-05-31
115.94 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-06-30
236.9 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-07-31
169.69 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-08-31
76.5 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-09-30
273.82 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-10-31
222.38 FEDERAL NATL MTG ASSN POOL #AW7430		2014-12-01	2019-11-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
93		102	-9
40		44	-4
191		208	-17
213		233	-20
116		126	-10
237		258	-21
170		185	-15
77		83	-6
274		299	-25
222		243	-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-9
			-4
			-17
			-20
			-10
			-21
			-15
			-6
			-25
			-21





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
9000. GENERAL ELEC CO SR UNSECD NT		2019-08-29	2019-09-30
20000. GOLDMAN SACHS GROUP INC SR UNSECD NT		2018-08-27	2019-02-19
15000. GOLDMAN SACHS GROUP INC SR UNSECD NT		2018-09-10	2019-02-19
8000. GOLDMAN SACHS GROUP INC UNSECD SR NT CALL 05/01/28 @100		2019-02-19	2019-09-12
8000. HALLIBURTON CO SR UNSECD NT CALL 8/15/25 @100		2018-07-10	2019-09-12
2339.729 HARDING LOEVNER FDS INC INTL EQTY PR		2018-03-28	2019-03-27
3150.315 HARDING LOEVNER FDS INC INTL EQTY PR		2018-03-28	2019-09-13
1709.402 OAKMARK SELECT FUND CL I		2018-09-21	2019-03-27
5316.792 OAKMARK SELECT FUND CL I		2018-03-28	2019-03-27
15881.812 OAKMARK SELECT FUND CL I		2015-12-28	2019-03-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
9,617		9,122	495
20,152		20,003	149
15,114		14,962	152
8,709		8,019	690
8,438		7,966	472
50,000		53,042	-3,042
70,000		71,418	-1,418
65,880		80,000	-14,120
204,909		240,000	-35,091
612,085		624,155	-12,070

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			495
			149
			152
			690
			472
			-3,042
			-1,418
			-14,120
			-35,091
			-12,070

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3147.293 OAKMARK INTL FUND INSTL CL		2017-12-05	2019-09-13
1074.919 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2018-09-21	2019-06-17
2285.296 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2015-07-09	2019-06-17
4807.692 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2015-07-09	2019-09-13
205.47 HONDA AUTO RECEIVABLES 2017-4 OWNER TR ASSET BACKED NT CL A-3		2017-11-22	2019-05-20
317.68 HONDA AUTO RECEIVABLES 2017-4 OWNER TR ASSET BACKED NT CL A-3		2017-11-22	2019-06-20
291.92 HONDA AUTO RECEIVABLES 2017-4 OWNER TR ASSET BACKED NT CL A-3		2017-11-22	2019-07-20
305.72 HONDA AUTO RECEIVABLES 2017-4 OWNER TR ASSET BACKED NT CL A-3		2017-11-22	2019-08-20
297.77 HONDA AUTO RECEIVABLES 2017-4 OWNER TR ASSET BACKED NT CL A-3		2017-11-22	2019-09-20
274.28 HONDA AUTO RECEIVABLES 2017-4 OWNER TR ASSET BACKED NT CL A-3		2017-11-22	2019-10-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
75,000		90,768	-15,768
15,995		16,500	-505
34,005		28,246	5,759
75,000		59,423	15,577
205		205	
318		318	
292		292	
306		306	
298		298	
274		274	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-15,768
			-505
			5,759
			15,577



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
271.27 HONDA AUTO RECEIVABLES 2017-4 OWNER TR ASSET BACKED NT CL A-3		2017-11-22	2019-11-20
253.64 HONDA AUTO RECEIVABLES 2017-4 OWNER TR ASSET BACKED NT CL A-3		2017-11-22	2019-12-20
2148. ISHARES CORE MSCI EAFE ETF		2017-03-08	2019-03-27
1848. ISHARES CORE MSCI EAFE ETF		2017-03-08	2019-09-13
15. ISHARES CORE MSCI EAFE ETF		2016-08-18	2019-09-13
318. ISHARES CORE MSCI EMERGING MKTS ETF		2018-09-21	2019-06-17
2223. ISHARES CORE MSCI EMERGING MKTS ETF		2017-06-23	2019-06-17
4434. ISHARES CORE MSCI EMERGING MKTS ETF		2017-06-23	2019-09-13
19000. JPMORGAN CHASE & CO SR UNSECD NT		2012-09-26	2019-09-12
384.04 J P MORGAN CHASE COML MTG SECS TR 2012-C6 CTF CL A-3		2015-04-14	2019-06-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
271		271	
254		254	
130,095		120,691	9,404
114,301		103,835	10,466
928		826	102
15,632		16,590	-958
109,274		111,361	-2,087
225,307		222,121	3,186
19,569		19,048	521
384		412	-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			9,404
			10,466
			102
			-958
			-2,087
			3,186
			521
			-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1895.65 J P MORGAN CHASE COML MTG SECS TR 2012-C6 CTF CL A-3		2015-04-14	2019-08-31
251.54 J P MORGAN CHASE COML MTG SECS TR 2012-C6 CTF CL A-3		2015-04-14	2019-09-30
7309.41 J P MORGAN CHASE COML MTG SECS TR 2012-LC9 MTG PASSTHRU CTF A-		2015-05-11	2019-09-30
1908.52 J P MORGAN CHASE COML MTG SECS TR 2012-LC9 MTG PASSTHRU CTF A-		2015-05-11	2019-10-31
6000. JOHNSON & JOHNSON UNSECD SR NT CALL 06/05/43 @100		2014-08-28	2019-09-12
5000. KELLOGG CO SR UNSECD NT		2012-11-06	2019-08-09
10000. KEYCORP UNSECD MEDIUM TERM SR NT		2011-03-25	2019-09-12
10000. KIMCO RLTY CORP UNSECD SR NT CALL 03/01/21 @100		2016-06-10	2019-09-12
10000. KINDER MORGAN ENERGY PARTNERS L P SR UNSECD NT		2016-08-31	2019-09-12
6000. KRAFT HEINZ FOODS CO UNSECD SR NT CALL 10/30/28 @100		2019-08-29	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,896		2,034	-138
252		270	-18
7,309		7,413	-104
1,909		1,936	-27
7,348		6,636	712
5,138		5,200	-62
10,410		9,995	415
10,135		10,129	6
10,582		10,155	427
6,440		6,515	-75

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-138
			-18
			-104
			-27
			712
			-62
			415
			6
			427
			-75

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6000. KROGER CO SR UNSECD MTN CALL 04/15/46 @100		2018-06-29	2019-09-12
169.652 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2018-01-19	2019-09-12
485.901 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2017-12-08	2019-09-12
13.371 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2019-07-15	2019-09-12
613.826 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2016-08-25	2019-09-12
302.922 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2016-08-25	2019-09-12
188.528 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I		2016-08-25	2019-12-30
6000. LOCKHEED MARTIN CORP SR UNSECD NT SER B		2016-09-01	2019-09-12
5000. LOEWS CORP SR UNSECD BD CALL 1/1/26 @100		2016-03-18	2019-09-12
7000. LOEWS CORP SR UNSECD BD CALL 1/1/26 @100		2016-03-18	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,486		5,023	463
1,944		2,114	-170
5,568		5,986	-418
153		156	-3
7,034		7,176	-142
3,471		3,541	-70
2,249		2,204	45
6,790		6,489	301
5,301		5,060	241
7,421		7,082	339

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			463
			-170
			-418
			-3
			-142
			-70
			45
			301
			241
			339

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
3000. LOEWS CORP SR UNSECD BD CALL 1/1/26 @100		2016-03-18	2019-09-12
2000. LOEWS CORP SR UNSECD BD CALL 1/1/26 @100		2016-03-22	2019-09-12
470.865 NATIXIS LOOMIS SAYLES GROWTH FUND CL Y		2018-03-28	2019-06-17
10583.524 NATIXIS LOOMIS SAYLES GROWTH FUND CL Y		2018-03-28	2019-09-13
4000. LOWES COS INC UNSECD SR NT CALL 10/05/48 @100		2019-08-29	2019-09-12
2000. MPLX LP UNSECD SR NT C8/15/48 @100		2018-11-07	2019-09-12
8000. MCKESSON CORP SR UNSECD NT CALL 12/15/23 @100		2014-06-13	2019-09-12
219.919 THE MERGER FD		2018-01-19	2019-01-31
756.853 THE MERGER FD		2017-12-08	2019-01-31
294.65 THE MERGER FD		2016-08-25	2019-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
3,180		3,034	146
2,120		2,021	99
8,000		7,237	763
185,000		162,669	22,331
4,511		4,675	-164
2,277		1,974	303
8,338		8,054	284
3,635		3,550	85
12,511		12,102	409
4,871		4,558	313

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			146
			99
			763
			22,331
			-164
			303
			284
			85
			409
			313

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
113.823 THE MERGER FD		2019-07-15	2019-09-12
2112.634 THE MERGER FD		2016-08-25	2019-09-12
25.035 THE MERGER FD		2016-08-25	2019-09-24
51.827 THE MERGER FD		2016-08-25	2019-12-30
8000. METLIFE INC UNSECD SR NT SER D		2014-10-27	2019-09-12
4000. MICROSOFT CORP SR UNSECD NT CALL 08/12/44 @100		2015-02-09	2019-09-12
8000. MORGAN STANLEY SR UNSECD NT		2016-07-20	2019-09-12
422.561 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2017-12-08	2019-01-31
3327.888 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2017-10-31	2019-01-31
2008.178 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2017-03-31	2019-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,946		1,925	21
36,126		32,682	3,444
428		387	41
890		802	88
8,629		8,350	279
4,445		3,986	459
8,009		8,018	-9
5,662		6,152	-490
44,594		47,289	-2,695
26,910		26,930	-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			21
			3,444
			41
			88
			279
			459
			-9
			-490
			-2,695
			-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
196.721 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2019-07-15	2019-09-12
158.906 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2017-03-31	2019-09-12
3760.355 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2016-08-25	2019-09-12
1035.439 NEUBERGER BERMAN LONG SHORT FUND INSTL CL		2016-08-25	2019-09-24
4000. NISOURCE FIN CORP UNSECD SR GBL NT C02/15/27 @100		2019-03-05	2019-09-12
1025.57 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-01-14
1075.59 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-02-14
920.59 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-03-14
980.51 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-04-14
986.24 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-05-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
2,888		2,866	22
2,333		2,131	202
55,202		48,020	7,182
15,180		13,223	1,957
4,165		3,878	287
1,026		1,025	1
1,076		1,075	1
921		921	
981		980	1
986		986	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			22
			202
			7,182
			1,957
			287
			1
			1
			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
919.65 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-06-14
826.61 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-07-14
924.61 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-08-14
820.44 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-09-14
781.23 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-10-14
784.15 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-11-14
693.97 NISSAN AUTO RECEIVABLES OWNER TR ASSET BACKED 2016-C CL A3		2016-08-02	2019-12-14
19000. NORTHROP GRUMMAN CORP SR UNSECD NT		2017-10-24	2019-09-12
5000. ORACLE CORP SR UNSECD NT		2012-11-01	2019-09-12
5000. ORACLE CORP SR UNSECD NT		2012-11-20	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
920		920	
827		827	
925		925	
820		820	
781		781	
784		784	
694		694	
19,743		19,335	408
5,057		5,035	22
5,057		5,021	36

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			408
			22
			36

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
8000. PNC FINL SVCS GROUP INC SR UNSECD NT STEP UP 11/12		2015-02-11	2019-09-12
15000. PACIFIC GAS & ELEC CO SR UNSECD NT CALL 08/15/23 @100		2015-11-12	2019-01-08
8000. PEPSICO INC SR UNSECD NT CALL 1/17/45 @100		2016-06-10	2019-09-12
209.011 PIMCO UNCONSTRAINED BD FUND CL P		2019-07-15	2019-09-12
2643.159 PIMCO UNCONSTRAINED BD FUND CL P		2019-01-31	2019-09-12
570.593 PIMCO UNCONSTRAINED BD FUND CL P		2019-01-31	2019-09-12
116.569 BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL		2017-12-08	2019-09-12
1048.22 BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL		2017-10-10	2019-09-12
56.45 BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL		2017-10-10	2019-09-12
56.45 BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL		2017-10-22	2019-12-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
8,133		8,024	109
12,450		15,378	-2,928
9,809		9,211	598
2,232		2,266	-34
28,229		28,467	-238
6,094		6,145	-51
1,788		1,965	-177
16,080		17,243	-1,163
866		929	-63
894		933	-39

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			109
			-2,928
			598
			-34
			-238
			-51
			-177
			-1,163
			-63
			-39



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
27.879 BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL		2017-10-10	2019-12-30
1000. SEMPRA ENERGY SR UNSECD NT CALL 09/01/23 @100		2014-02-03	2019-09-12
5000. SEMPRA ENERGY SR UNSECD NT CALL 09/01/23 @100		2014-02-03	2019-09-12
5000. SEMPRA ENERGY UNSECD SR NT CALL 02/15/20 @100		2016-02-12	2019-03-05
1000. SEMPRA ENERGY UNSECD SR NT CALL 02/15/20 @100		2016-02-23	2019-03-05
6000. SEMPRA ENERGY UNSECD SR NT CALL 02/15/20 @100		2016-01-27	2019-03-05
6000. SHELL INTL FIN B V SR UNSECD NT NETHERLANDS		2013-01-16	2019-09-12
4000. SHIRE ACQ UNV IRELAND DA SR UNSECD NT C07/23/23 @100 IRELAND		2018-09-26	2019-09-12
25000. SIMON PPTY GROUP L P SR UNSECD NT CALL 11/1/18 @100		2015-01-06	2019-02-01
6000. SOUTHERN CALIF EDISON CO 1ST REF MTG CALL 9/15/41 @100		2015-04-24	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
442		459	-17
1,056		1,011	45
5,278		5,050	228
4,962		4,927	35
992		984	8
5,954		5,863	91
6,048		5,866	182
4,059		3,822	237
25,000		25,000	
6,198		6,394	-196

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-17
			45
			228
			35
			8
			91
			182
			237
			-196

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
6000. SYNOVUS FINL CORP UNSECD SR NT CALL 10/01/22 @100		2018-03-27	2019-09-12
10000. THOMSON REUTERS CORP SR UNSECD NT CALL 02/15/26 CANADA		2016-05-03	2019-09-12
10000. TOTAL CAP UNSECD SR GBL NT FRANCE		2019-01-15	2019-09-12
10000. TRANSATLANTIC HLDGS INC SR NT		2010-12-07	2019-09-12
15000. 21ST CENY FOX AMER INC SR NT		2015-10-06	2019-09-18
2000. TYSON FOODS INC UNSECD SR NT CALL 03/23/48 @100		2018-09-26	2019-09-12
6000. U S BANCORP SR UNSECD MTN SER X		2018-04-09	2019-09-12
23000. UNITED STATES TREAS BD DTD 08/15/11 3.750% DUE 08/15/41		2011-08-29	2019-09-12
15000. UNITED STATES TREAS BD DTD 02/15/18 3.000% DUE 02/15/48		2018-02-28	2019-08-29
27000. UNITED STATES TREAS BD DTD 02/15/18 3.000% DUE 02/15/48		2018-02-28	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
6,013		5,822	191
10,232		10,026	206
11,101		10,269	832
14,583		10,214	4,369
15,404		14,783	621
2,369		2,018	351
6,322		5,778	544
29,390		23,512	5,878
18,285		14,593	3,692
31,204		26,267	4,937

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			191
			206
			832
			4,369
			621
			351
			544
			5,878
			3,692
			4,937

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5000. UNITED STATES TREAS BD DTD 05/15/18 3.125% DUE 05/15/48		2019-07-05	2019-09-12
5000. UNITED STATES TREAS BD DTD 05/15/18 3.125% DUE 05/15/48		2018-06-29	2019-09-12
3000. UNITED STATES TREAS BD DTD 05/15/18 3.125% DUE 05/15/48		2018-06-22	2019-09-12
16000. UNITED STATES TREAS BD DTD 08/15/18 3.000% DUE 08/15/48		2018-09-14	2019-09-12
105000. UNITED STATES TREAS NT DTD 02/15/18 2.750% DUE 02/15/28		2018-02-28	2019-07-05
60000. UNITED STATES TREAS NT DTD 02/28/18 2.250% DUE 02/29/20		2018-02-28	2019-06-19
8000. UNITED STATES TREAS NT DTD 02/28/18 2.625% DUE 02/28/23		2018-02-28	2019-09-12
8500. UNITED STATES TREAS NT DTD 02/28/18 2.625% DUE 02/28/23		2018-02-28	2019-09-13
20000. UNITED STATES TREAS NT DTD 05/15/18 2.875% DUE 05/15/28		2018-06-22	2019-09-12
13000. UNITED STATES TREAS NT DTD 08/15/18 2.875% DUE 08/15/28		2018-09-14	2019-08-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,913		5,578	335
5,913		5,149	764
3,548		3,045	503
18,509		15,629	2,880
111,189		103,839	7,350
60,047		59,981	66
8,255		7,988	267
8,748		8,487	261
21,791		19,954	1,837
14,482		12,879	1,603

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			335
			764
			503
			2,880
			7,350
			66
			267
			261
			1,837
			1,603

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10000. UNITED STATES TREAS NT DTD 08/15/18 2.875% DUE 08/15/28		2018-09-14	2019-09-12
30000. UNITED STATES TREAS NT DTD 09/15/18 2.750% DUE 09/15/21		2019-04-29	2019-09-12
46500. UNITED STATES TREAS NT DTD 09/15/18 2.750% DUE 09/15/21		2018-09-14	2019-09-13
45000. UNITED STATES TREAS NT DTD 05/15/19 2.375% DUE 05/15/29		2019-07-05	2019-09-12
30000. UNITED STATES TREAS NT DTD 05/15/19 2.375% DUE 05/15/29		2019-07-05	2019-09-13
50000. UNITED STATES TREAS NT DTD 12/01/14 1.875% DUE 11/30/21		2017-03-30	2019-09-12
8000. UNITED STATES TREAS NT DTD 12/01/14 1.875% DUE 11/30/21		2018-02-28	2019-09-12
22000. UNITED STATES TREAS NT DTD 12/01/14 1.875% DUE 11/30/21		2018-02-28	2019-12-12
10000. UNITED STATES TREAS NT DTD 08/15/15 2.000% DUE 08/15/25		2017-02-28	2019-02-28
90000. UNITED STATES TREAS NT DTD 08/15/15 2.000% DUE 08/15/25		2018-01-24	2019-02-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,910		9,907	1,003
30,606		30,277	329
47,376		46,376	1,000
47,361		46,296	1,065
31,323		30,864	459
50,166		49,883	283
8,027		7,810	217
22,083		21,478	605
9,641		9,750	-109
86,766		86,295	471

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,003
			329
			1,000
			1,065
			459
			283
			217
			605
			-109
			471

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
30000. UNITED STATES TREAS NT DTD 02/16/16 1.625% DUE 02/15/26		2016-03-30	2019-09-12
25000. UNITED STATES TREAS NT DTD 08/15/13 2.500% DUE 08/15/23		2019-06-18	2019-09-12
5000. UNITED STATES TREAS NT DTD 08/15/13 2.500% DUE 08/15/23		2018-10-02	2019-09-12
45000. UNITED STATES TREAS NT DTD 08/15/13 2.500% DUE 08/15/23		2018-10-02	2019-09-13
6000. UNITEDHEALTH GROUP INC UNSECD SR NT		2017-10-24	2019-09-12
76. VANGUARD S&P 500 ETF		2017-09-08	2019-06-17
74. VANGUARD S&P 500 ETF		2017-06-23	2019-06-17
2039. VANGUARD S&P 500 ETF		2017-06-23	2019-09-13
497. VANGUARD REIT ETF		2013-09-16	2019-06-17
3270. VANGUARD REIT ETF		2013-09-16	2019-09-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,848		29,467	381
25,796		25,631	165
5,159		4,899	260
46,292		44,093	2,199
5,987		5,995	-8
20,204		17,219	2,985
19,672		16,507	3,165
565,443		454,843	110,600
44,969		30,722	14,247
302,849		201,462	101,387

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			381
			165
			260
			2,199
			-8
			2,985
			3,165
			110,600
			14,247
			101,387

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
925. VANGUARD REIT ETF		2013-12-16	2019-09-13
10000. VERIZON COMMUNICATIONS INC SR UNSECD NT		2016-03-10	2019-09-12
4000. VIRGINIA ELEC & PWR CO SR UNSECD NT CALL 12/15/22 @100		2017-09-12	2019-09-12
4000. VISA INC UNSECD SR GLBL NT C11/14/20 @100		2017-09-06	2019-09-12
6000. VOYA FINL INC UNSECD SR GBL NT		2016-10-17	2019-09-12
1216.27 WFRBS COML MTG TR 2012-C9 MTG PASSTHRU CTF CL A3		2015-06-05	2019-03-31
17000. WELLS FARGO & CO NEW SUB MEDIUM TERM SR NT		2018-08-28	2019-09-12
262.532 WESTERN ASSET MACRO OPPORTUNITIES FUND CL I		2017-12-08	2019-01-31
277.537 WESTERN ASSET MACRO OPPORTUNITIES FUND CL I		2017-12-08	2019-09-12
1408.743 WESTERN ASSET MACRO OPPORTUNITIES FUND CL I		2017-10-10	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
85,668		54,652	31,016
11,575		9,891	1,684
4,061		4,044	17
4,009		4,021	-12
6,281		5,965	316
1,216		1,218	-2
18,541		17,053	1,488
2,885		3,140	-255
3,194		3,319	-125
16,215		16,665	-450

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			31,016
			1,684
			17
			-12
			316
			-2
			1,488
			-255
			-125
			-450

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
190.197 WESTERN ASSET MACRO OPPORTUNITIES FUND CL I		2017-10-10	2019-09-24
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
2,210		2,250	-40
			174,162

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-40

**TY 2019 Compensation Explanation****Name:** HOICHE-SCOFIELD FOUNDATION-EQUITY**EIN:** 22-2519554

Person Name	Explanation
BANK OF AMERICA	SEE FOOTNOTE



**TY 2019 General Explanation Attachment****Name:** HOCHESFIELD FOUNDATION-EQUITY**EIN:** 22-2519554**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

**TY 2019 Legal Fees Schedule****Name:** HOICHE-SCOFIELD FOUNDATION-EQUITY**EIN:** 22-2519554

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL FEES - INCOME (ALLOCABLE	7,000			7,000
LEGAL FEES - CHARITABLE	3,803			3,803

**TY 2019 Other Decreases Schedule****Name:** HOICHE-SCOFIELD FOUNDATION-EQUITY**EIN:** 22-2519554

Description	Amount
INCOME ADJUSTMENT	15,556
SALES ADJUSTMENT	268
CTF ADJUSTMENT	5,764
SECURITIES ADJUSTMENT	3,981
ACCRUED INTEREST	202
SALES GAIN/LOSS ADJUSTMENT	1,635
ROUNDING	8
DIVIDEND ADJUSTMENT	7,538

**TY 2019 Other Expenses Schedule****Name:** HOICHE-SCOFIELD FOUNDATION-EQUITY**EIN:** 22-2519554**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	273	273		0
OTHER ALLOCABLE EXPENSE-INCOME	273	273		0
STATE FILING FEE	125	0		125
FROM PARTNERSHIP/S-CORP		64		0

**TY 2019 Other Income Schedule****Name:** HOICHE-SCOFIELD FOUNDATION-EQUITY**EIN:** 22-2519554**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM PARTNERSHIP/S-CORP		52,629	

**TY 2019 Other Professional Fees Schedule****Name:** HOICHE-SCOFIELD FOUNDATION-EQUITY**EIN:** 22-2519554

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INVESTMENT ADVISORY FEES	4,696	4,696		

**TY 2019 Taxes Schedule****Name:** HOICHE-SCOFIELD FOUNDATION-EQUITY**EIN:** 22-2519554

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	860	860		0
EXCISE TAX - PRIOR YEAR	791	0		0
EXCISE TAX ESTIMATES	13,200	0		0
FOREIGN TAXES ON QUALIFIED FOR	6,636	6,636		0
FOREIGN TAXES ON NONQUALIFIED	1,739	1,739		0