

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2019
Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation THE SIDNEY E FRANK FOUNDATION C/O PKF O'CONNOR DAVIES LLP		A Employer identification number 20-6383779
Number and street (or P.O. box number if mail is not delivered to street address) 665 FIFTH AVENUE	Room/suite	B Telephone number (see instructions) (212) 286-2600
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 312,671,269	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <u>MODIFIED CASH</u> <i>(Part I, column (d) must be on cash basis.)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I	Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	204,023			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,780,988	3,141,206		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	13,850,275			
	b Gross sales price for all assets on line 6a	116,401,131			
	7 Capital gain net income (from Part IV, line 2)		13,594,701		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	1,880	37,201			
12 Total. Add lines 1 through 11	15,837,166	16,773,108			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	338,712	338,712		0
	14 Other employee salaries and wages	43,418	43,418		0
	15 Pension plans, employee benefits	74,402	74,402		0
	16a Legal fees (attach schedule)	48,094	42,008		6,086
	b Accounting fees (attach schedule)	374,629	0		368,629
	c Other professional fees (attach schedule)	2,180,609	1,664,318		516,291
	17 Interest		40,135		
	18 Taxes (attach schedule) (see instructions)	113,204	51,159		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	3,589	3,589		0
	21 Travel, conferences, and meetings	31,341	7,881		23,460
	22 Printing and publications				
	23 Other expenses (attach schedule)	46,837	1,340,634		46,837
	24 Total operating and administrative expenses. Add lines 13 through 23	3,254,835	3,606,256		961,303
	25 Contributions, gifts, grants paid	13,724,538			13,722,658
26 Total expenses and disbursements. Add lines 24 and 25	16,979,373	3,606,256		14,683,961	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-1,142,207				
b Net investment income (if negative, enter -0-)		13,166,852			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	5,001,089	7,646,954	7,646,954
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	12,490,965	6,910,028	6,910,028
	b Investments—corporate stock (attach schedule)	6,324,375	11,895,588	11,895,588
	c Investments—corporate bonds (attach schedule)	11,112,181	10,076,383	10,076,383
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	251,122,327	276,142,316	276,142,316
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	286,050,937	312,671,269	312,671,269	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	281,783,735	308,012,016	
	25 Net assets with donor restrictions	4,267,202	4,659,253	
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	286,050,937	312,671,269		
30 Total liabilities and net assets/fund balances (see instructions) .	286,050,937	312,671,269		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	286,050,937
2 Enter amount from Part I, line 27a	2	-1,142,207
3 Other increases not included in line 2 (itemize) ▶ _____	3	27,762,539
4 Add lines 1, 2, and 3	4	312,671,269
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	312,671,269

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
(i) F.M.V. as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a See Additional Data Table					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	13,594,701
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	16,929,340	303,429,412	0.055793
2017	16,762,601	295,597,931	0.056707
2016	34,786,811	284,731,206	0.122174
2015	34,533,740	321,635,886	0.107369
2014	7,470,921	327,864,275	0.022787
2 Total of line 1, column (d)			2 0.364830
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.072966
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 298,504,494
5 Multiply line 4 by line 3			5 21,780,679
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 131,669
7 Add lines 5 and 6			7 21,912,348
8 Enter qualifying distributions from Part XII, line 4			8 14,683,961

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes questions about exempt foundations, tax under section 511, and tax due. Total tax due is 105,197.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of PKF O'CONNOR DAVIES LLP Telephone no. (212) 286-2600

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Table with Yes/No columns.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question, Yes, No. Rows 1a-4b covering various Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions **5b** Yes No

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** Yes No
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** Yes No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
OFFIT CAPITAL ADVISORS LLC 485 LEXINGTON AVENUE 24TH FLOOR NEW YORK, NY 10017	INVESTMENT MGT	926,505
EHRENKRANZ PARTNERS LP 375 PARK AVENUE NEW YORK, NY 101520067	INVESTMENT MGT	515,044
PKF O'CONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	ACCT., TAX & ADM.	426,935
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	PROGRAM MANAGEMENT	381,758
JP MORGAN CHASE BANK NA 270 PARK AVENUE NEW YORK, NY 10017	INVESTMENT MGT	68,741
Total number of others receiving over \$50,000 for professional services. ▶		1

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses
1
2
3
4

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	79,052,065
b	Average of monthly cash balances.	1b	8,249,321
c	Fair market value of all other assets (see instructions).	1c	215,748,862
d	Total (add lines 1a, b, and c).	1d	303,050,248
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	303,050,248
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	4,545,754
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	298,504,494
6	Minimum investment return. Enter 5% of line 5.	6	14,925,225

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	14,925,225
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	263,337
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	263,337
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	14,661,888
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	14,661,888
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	14,661,888

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	14,683,961
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	14,683,961
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	14,683,961

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				14,661,888
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.	18,133,923			
c From 2016.	20,718,193			
d From 2017.	2,425,247			
e From 2018.	1,986,843			
f Total of lines 3a through e.	43,264,206			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ _____ 14,683,961				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				14,661,888
e Remaining amount distributed out of corpus	22,073			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	43,286,279			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	43,286,279			
10 Analysis of line 9:				
a Excess from 2015.	18,133,923			
b Excess from 2016.	20,718,193			
c Excess from 2017.	2,425,247			
d Excess from 2018.	1,986,843			
e Excess from 2019.	22,073			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				13,722,658
b <i>Approved for future payment</i> See Additional Data Table				
Total ▶ 3b				8,311,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.	525990	12,135	14	1,697,662	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.	525990	71,191			
8 Gain or (loss) from sales of assets other than inventory	525990	168,862	18	13,681,413	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a GRANT REFUND _____			01	1,880	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		252,188		15,380,955	0
13 Total. Add line 12, columns (b), (d), and (e).					13 15,633,143

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PUBLICLY TRADED SECURITIES	P		
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-01-23	2019-02-28
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-01-23	2019-09-18
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-01-23	2019-10-07
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-01-23	2019-11-05
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-01-23	2019-12-01
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2017-07-14	2019-02-28
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2017-07-14	2019-09-18
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2017-07-14	2019-10-08
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-11-08	2019-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
78,166,052		76,068,926	2,097,126
47,280			47,280
443,784		499,215	-55,431
105,102		119,501	-14,399
98,872		109,375	-10,503
77,847		85,600	-7,753
1,695		3,073	-1,378
12,772		19,540	-6,768
2,030		3,102	-1,072
3,096			3,096

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,097,126
			47,280
			-55,431
			-14,399
			-10,503
			-7,753
			-1,378
			-6,768
			-1,072
			3,096

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-11-08	2019-09-05
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-11-08	2019-10-07
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2018-11-08	2019-11-06
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2017-01-27	2019-03-06
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2017-01-27	2019-07-05
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2017-01-27	2019-10-08
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2017-01-27	2019-11-08
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2016-02-02	2019-01-11
AEOLUS PROPERTY CATAST. KEYSTONE PF FUND, LP	P	2019-07-11	2019-07-11
ALTOR FUND III (NO. 2), L.P.	P	2009-02-14	2019-04-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,032		6,971	61
2,651		2,630	21
964		956	8
115,079		163,065	-47,986
110,884		155,807	-44,923
89,451		126,931	-37,480
38,792		54,974	-16,182
30,905		24,670	6,235
14,484		28,969	-14,485
271,298		148,798	122,500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			61
			21
			8
			-47,986
			-44,923
			-37,480
			-16,182
			6,235
			-14,485
			122,500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
ALTOR FUND III (NO. 2), L.P.	P	2009-02-14	2019-04-30
ALTOR FUND III (NO. 2), L.P.	P	2009-02-14	2019-07-26
ALTOR FUND III (NO. 2), L.P.	P	2009-02-14	2019-10-29
ALTOR FUND III (NO. 2), L.P.	P	2009-02-14	2019-12-17
BIL, LTD.	P	2013-07-01	2019-07-15
BROOKSIDE CAYMAN, LTD.	P	2008-03-31	2019-01-23
BROOKSIDE CAYMAN, LTD.	P	2008-03-31	2019-04-26
BROOKSIDE CAYMAN, LTD.	P	2008-03-31	2019-07-22
CERBERUS INTERNATIONAL SPV, LTD.	P	2008-04-30	2019-02-06
CERBERUS INTERNATIONAL SPV, LTD.	P	2008-04-30	2019-05-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,668		3,035	8,633
25,915		7,203	18,712
15,277		4,345	10,932
16,714		4,594	12,120
4,027,976		3,000,000	1,027,976
2,792			2,792
885			885
11,066			11,066
35,625		21,419	14,206
22,018		10,854	11,164

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			8,633
			18,712
			10,932
			12,120
			1,027,976
			2,792
			885
			11,066
			14,206
			11,164

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CERBERUS INTERNATIONAL SPV, LTD.	P	2008-04-30	2019-08-05
CERBERUS INTERNATIONAL SPV, LTD.	P	2008-04-30	2019-11-06
D.E. SHAW OCULUS INTERNATIONAL FUND	P	2012-12-29	2019-02-13
ETON PARK OVERSEAS FUND, LTD.	P	2008-07-31	2019-01-15
ETON PARK OVERSEAS FUND, LTD.	P	2008-07-31	2019-02-13
ETON PARK OVERSEAS FUND, LTD.	P	2008-07-31	2019-02-13
FIELD STREET PARTNERS, LP	P	2016-04-01	2019-04-17
GAOLING FEEDER, LTD.	P	2010-04-30	2019-01-15
GAOLING FEEDER, LTD.	P	2010-04-30	2019-08-19
KING STREET CAPITAL, LTD.	P	2008-03-31	2019-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
49,470		28,390	21,080
28,653		15,458	13,195
72,942		57,433	15,509
6,205			6,205
1,227			1,227
7,455			7,455
258,332		234,649	23,683
64,642		14,295	50,347
19,573		4,095	15,478
8,046			8,046

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			21,080
			13,195
			15,509
			6,205
			1,227
			7,455
			23,683
			50,347
			15,478
			8,046

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
KING STREET CAPITAL, LTD.	P	2008-03-31	2019-04-12
KING STREET CAPITAL, LTD.	P	2008-03-31	2019-04-17
MILLENIUUM INTERNATIONAL, LTD.	P	2008-04-30	2019-01-30
SCULPTOR OVERSEAS FUND II, LTD.	P	2008-03-01	2019-01-10
SCULPTOR OVERSEAS FUND II, LTD.	P	2008-03-01	2019-04-17
SCULPTOR OVERSEAS FUND II, LTD.	P	2008-03-01	2019-09-10
PARVUS EUROPEAN OPPORTUNITIES FUND	P	2016-04-29	2019-01-18
PARVUS EUROPEAN OPPORTUNITIES FUND	P	2016-04-29	2019-01-18
SCOPUS FUND, LTD.	P	2010-02-28	2019-01-22
SCOPUS FUND, LTD.	P	2010-02-28	2019-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,676			3,676
14,567			14,567
559,079		221,425	337,654
125			125
165			165
6,471			6,471
6,192		6,256	-64
4,005,720		4,040,758	-35,038
5,678,832		3,000,000	2,678,832
5,537,519		2,850,000	2,687,519

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,676
			14,567
			337,654
			125
			165
			6,471
			-64
			-35,038
			2,678,832
			2,687,519

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
STEADFAST INTERNATIONAL, LTD.	P	2010-03-31	2019-01-23
STEADFAST INTERNATIONAL, LTD.	P	2010-03-31	2019-04-18
TCW DIRECT LENDING, LLC	P	2015-03-19	2019-01-03
TCW DIRECT LENDING, LLC	P	2015-03-19	2019-01-03
TCW DIRECT LENDING, LLC	P	2015-03-19	2019-02-05
TCW DIRECT LENDING, LLC	P	2015-03-19	2019-02-05
TCW DIRECT LENDING, LLC	P	2015-03-19	2019-04-16
TCW DIRECT LENDING, LLC	P	2015-03-19	2019-12-23
THRU ABRAMS CAPITAL PARTNERS II, L.P. K-1	P		
THRU ALTOR FUND IV (NO. 1) AB K-1	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
528,740		296,999	231,741
133,896		74,684	59,212
116,714		97,136	19,578
24,833		19,604	5,229
86,915		67,863	19,052
12,416		9,323	3,093
29,799		24,260	5,539
49,665		38,324	11,341
			586,231
			65,096

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			231,741
			59,212
			19,578
			5,229
			19,052
			3,093
			5,539
			11,341
			586,231
			65,096

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
THRU BAIN CAPITAL EUROPE FUND III, L.P. K-1	P		
THRU CVC EUROPEAN EQUITY PTR. V (C) L.P. K-1	P		
THRU ENERGY CAPITAL PARTNERS II-B, LP K-1	P		
THRU FARALLON CAP. INSTITUTIONAL PTR. LP K-1	P		
THRU FORMATIONS PARTNERS FUND II LP K-1	P		
THRU FR XII-A ALPHA AIV, LP K-1	P		
THRU FR XII-A CHARLIE AIV, LP K-1	P		
THRU FR XII-A PARALLEL VEHICLE, LP K-1	P		
THRU HIG BAYSIDE DEBT & LBO FUND II, LP K-1	P		
THRU HIG MIDDLE MARKET LBO FUND II, LP K-1	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			-2,097
			534,557
			6,534
			-444
			-1,228
			-655
			-18,678
			-156,218
			293,819
			46,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,097
			534,557
			6,534
			-444
			-1,228
			-655
			-18,678
			-156,218
			293,819
			46,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
THRU HIG BAYSIDE II AIV, LP (SERIES C) K-1	P		
THRU LEGACY VENTURE V (QP), LLC K-1	P		
THRU LEGACY VENTURE VIII, LLC K-1	P		
THRU LEGACY VENTURE IX, LLC K-1	P		
THRU LONE CASCADE, L.P. K-1	P		
THRU OCA EAGLE GLOBAL MLP LLC K-1	P		
THRU OCA SILVER LAKE V TE LLC K-1	P		
THRU OCA TBP VI LLC K-1	P		
THRU RCH ENERGY MLP FUND, LP K-1	P		
THRU SPECIALTY LOAN FUND III, L.P. K-1	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			5,613
			893,281
			23,791
			-250
			1,814,554
			-183,041
			6,789
			330
			419,066
			16,994

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			5,613
			893,281
			23,791
			-250
			1,814,554
			-183,041
			6,789
			330
			419,066
			16,994

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
THRU THE KILTEARN GLOBAL EQUITY FUND K-1	P		
THRU THE RESOLUTE FUND III LP K-1	P		
THRU TRF III INVO HOLDINGS (ONSHORE), LP K-1	P		
THRU TPG ENDOWMENT FUND VI, LP K-1	P		
THRU VIRGIN GREEN FUND I, LP K-1	P		
THRU FR XII-A BRAVO AIV, LP K-1	P		
THRU KHOSLA VENTURES III, LP K-1	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			-97,004
			167,059
			18,831
			-64,849
			-78,012
			-27,960
			-21,383

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-97,004
			167,059
			18,831
			-64,849
			-78,012
			-27,960
			-21,383

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CATHY F HALSTEAD C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	TRUSTEE 8.00	0	0	0
PETER A HALSTEAD C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	TRUSTEE 8.00	0	0	0
HAROLD R LOGAN JR STATEMENT 16 C/O 1700 BROADWAY SUITE 2020 DENVER, CO 80290	SPECIAL TRUSTEE 1.00	0	0	0
ANN M LOGAN STATEMENT 16 C/O 1700 BROADWAY SUITE 2020 DENVER, CO 80290	SPECIAL TRUSTEE 1.00	0	0	0
AMY C FISCH C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	CHIEF INVESTMENT OFFICER 40.00	338,712	54,000	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
92ND STREET Y1395 LEXINGTON AVE NEW YORK, NY 10128	N/A	PC	TO SUPPORT THE CREATION OF A SERIES OF POETRY FILMS	24,600
ABSAROOKEE COMMUNITY FOUNDATION PO BOX 72 ABSAROOKEE, MT 59001	N/A	PC	FOR GENERAL OPERATING SUPPORT	3,500
ABSAROOKEE COMMUNITY SERVICES PO BOX 342 ABSAROOKEE, MT 59001	N/A	PC	FOR GENERAL OPERATING SUPPORT FOR THE ABSAROOKEE LENDING LIBRARY	1,000
Total				13,722,658

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ABSAROCKE VOCATIONAL ADVISORY COUNCIL 327 S WOODARD ABSAROCKE, MT 59001	N/A	PC	FOR ADVISORY SCHOLARSHIPS	2,500
ADRIAN BRINKERHOFF POETRY FOUNDATION C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	N/A	POF	TO SUPPORT THE CHARITABLE AND EDUCATIONAL PURPOSE	450,000
ALVIN AILEY DANCE FOUNDATION 405 W 55TH ST NEW YORK, NY 10019	N/A	PC	FOR GENERAL OPERATING SUPPORT	10,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN ASSOCIATES OF THE NATIONAL THEATRE 214 WEST 29TH ST STE 701 NEW YORK, NY 10001	N/A	PC	TO SUPPORT THE INTERNATIONAL EXPANSION OF ON DEMAND IN SCHOOLS	50,000
AMERICAN BALLET THEATER FOUNDATION 890 BROADWAY 3RD FLOOR NEW YORK, NY 10003	N/A	PC	FOR GENERAL OPERATING SUPPORT	5,000
AMERICAN IRELAND FUND 345 PARK AVE 17TH FLOOR NEW YORK, NY 10154	N/A	PC	FIRST PAYMENT OF A TWO YEAR 2019-20 GRANT TO SUPPORT POETRY IRELAND'S NEIGHBOURHOOD PROGRAM	25,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN PUBLIC MEDIA GROUP 480 CEDAR ST ST PAUL, MN 55101	N/A	PC	TO SUPPORT PERFORMANCE TODAY'S MUSIC FESTIVAL COVERAGE AND NEW YORK-BASED ACTIVITIES	25,750
ARCHIE BRAY FOUNDATION FOR THE CERAMIC ARTS 2915 COUNTRY CLUB AVE HELENA, MT 59602	N/A	PC	FOR GENERAL OPERATING SUPPORT	1,000
ARCHIVE OF CONTEMPORARY MUSIC 110 CHAMBERS STREET 3RD FLOOR NEW YORK, NY 10007	N/A	PC	TO SUPPORT THE ARCHIVING AND CATALOGUING OF SAUL NOWITZ'S RECORD COLLECTION	10,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BARD COLLEGE PO BOX 5000 ANNADALEONHUDSON, NY 12504	N/A	PC	FOR GENERAL OPERATING SUPPORT FOR THE ORCHESTRA NOW, IN HONOR IN HONOR OF JIM AND MARY OTTAWAY	5,000
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON RD KANAB, UT 84741	N/A	PC	FOR NO KILL LOS ANGELES AND THE EMERGENCY RESPONSE TEAM	23,000
BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVE BOSTON, MA 02215	N/A	PC	SECOND PAYMENT OF A TWO YEAR 2018-20 GRANT FOR THE CELIAC CENTER'S LEAD DIETICIAN'S WORK	65,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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Name and address (home or business)				
a <i>Paid during the year</i>				
BEYOND 12 2101 WEBSTER ST STE 1850 OAKLAND, CA 94612	N/A	PC	SECOND PAYMENT OF A TWO YEAR 2018-20 GRANT FOR GENERAL OPERATING SUPPORT	50,000
BILLINGS SYMPHONY SOCIETY 2721 2ND AVE NORTH STE 350 BILLINGS, MT 59101	N/A	PC	TO SUPPORT EXPLORE MUSIC ACTIVITIES IN CARBON AND STILLWATER COUNTIES	25,000
BLACKFOOT PATHWAYS SCULPTURE IN THE WILD PO BOX 712 LINCOLN, MT 59639	N/A	PC	TO SUPPORT THE RESIDENCY OF ALISON STIGORA	10,000
Total				13,722,658

▶ 3a

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Name and address (home or business)				
a <i>Paid during the year</i>				
BOYS & GIRLS CLUB OF RED LODGE AND THE BEARTOOTH FRONT 24 W 9TH ST PO BOX 11 RED LODGE, MT 59068	N/A	PC	FOR GENERAL OPERATING SUPPORT	10,000
BOYS & GIRLS CLUBS OF SILICON VALLEY 518 VALLEY WAY MILPITAS, CA 95035	N/A	PC	FOR PROGRAMMING IN GILROY	50,000
BRAVO COLORADO AT VAIL-BEAVER CREEK 2271 N FRONTAGE RD W SUITE C VAIL, CO 81657	N/A	PC	TO SUPPORT VIDEO (\$45,000) AND AUDIO (\$20,000) RECORDINGS	65,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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Name and address (home or business)				
a <i>Paid during the year</i>				
BROWN UNIVERSITY PO BOX 1893 PROVIDENCE, RI 02912	N/A	PC	FOR THE ANNUAL FUND	25,000
BROWN UNIVERSITY PO BOX 1893 PROVIDENCE, RI 02912	N/A	PC	FIFTH PAYMENT OF A TEN YEAR 2015-2025 GRANT FOR THE SIDNEY E. FRANK SCHOLARS ENDOWED BROWNCONNECT FUND AND TO INCREASE THE EXISTING SIDNEY E. FRANK ENDOWED SCHOLARSHIP FUND	1,200,000
BROWN UNIVERSITY PO BOX 1893 PROVIDENCE, RI 02912	N/A	PC	FOR THE STUDENT EMERGENCY FUND (\$50,000) AND SIDNEY FRANK SCHOLARS EVENTS (\$20,000)	70,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
CALIFORNIA SCOTTISH RITE FOUNDATION 2100 N BROADWAY STE 350 SANTA ANA, CA 92706	N/A	PC	FOR SANTA BARBARA RITECARE CENTER	10,000
CALIFORNIA SHAKESPEARE THEATER 701 HEINZ AVE BERKELEY, CA 94710	N/A	PC	TO SUPPORT PLANNING FOR THE YOUNG PERFORMER'S COMPANY	10,000
CARBON COUNTY ARTS GUILD PO BOX 585 RED LODGE, MT 59068	N/A	PC	TO SUPPORT ART EDUCATION IN SCHOOLS	18,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
CHAMBER MUSIC SOCIETY OF LINCOLN CENTER 70 LINCOLN CENTER PLAZA 10TH FLOOR NEW YORK, NY 10023	N/A	PC	TO SUPPORT DIGITAL MEDIA INITIATIVES	25,000
CHILDREN'S MUSEUM OF DENVER 2121 CHILDRENS MUSEUM DRIVE DENVER, CO 80211	N/A	PC	TO SUPPORT EARLY LITERACY INITIATIVE	5,000
CINCINNATI PUBLIC RADIO 1223 CENTRAL PARKWAY CINCINNATI, OH 45214	N/A	PC	FOR GENERAL OPERATING SUPPORT	10,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
CLAYTON EARLY LEARNING 3801 MARTIN LUTHER KING BLVD DENVER, CO 80205	N/A	PC	GENERAL OPERATING SUPPORT	20,000
COLLEGE VISIONS 131 WASHINGTON ST STE 205 PROVIDENCE, RI 02903	N/A	PC	SECOND PAYMENT OF A TWO YEAR 2018-20 GRANT FOR GENERAL OPERATING SUPPORT	20,000
COMMUNITY COOKS 337 SOMERVILLE AVE SOMERVILLE, MA 02413	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF DANIELE LEVINE	5,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONNECTICUT COLLEGE 270 MOHEGAN AVE NEW LONDON, CT 06320	N/A	PC	SECOND PAYMENT OF A FOUR YEAR 2018-22 GRANT TO SUPPORT FINANCIAL AID FOR STUDENTS THROUGH CONNECTICUT COLLEGE'S CAPITAL CAMPAIGN	100,000
CONNECTICUT COLLEGE 270 MOHEGAN AVE NEW LONDON, CT 06320	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF THE CLASS OF 1969 REUNION	10,000
COOPER HEWITT MUSEUM 2 EAST 91ST ST NEW YORK, NY 10028	N/A	PC	TO SUPPORT THE DE-INSTALLATION OF PETRIFIED RIVER	80,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
CURTIS INSTITUTE OF MUSIC 1726 LOCUST ST PHILADELPHIA, PA 19103	N/A	PC	TO SUPPORT THE STUDENT ASSITANCE FUND	12,500
DENVER ART MUSEUM 100 WEST 14TH AVENUE PARKWAY DENVER, CO 80204	N/A	PC	IN SUPPORT OF THE UNDER 5S EARLY LEARNING INITIATIVE	20,000
DENVER BOTANIC GARDENS 909 YORK STREET DENVER, CO 80206	N/A	PC	SUPPORT FOR YOUTH PROGRAMS IN THE CENTER FOR SCIENCE, ART AND EDUCATION	15,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
DENVER MUSEUM OF NATURE & SCIENCE 2001 COLORADO BLVD DENVER, CO 80205	N/A	PC	IN SUPPORT OF THE BUS FUEL FUND	20,000
DENVER PUBLIC LIBRARY FRIENDS FOUNDATION 10 WEST 14TH AVE PARKWAY DENVER, CO 80204	N/A	PC	IN SUPPORT OF ACCESSIBLE EARLY LEARNING PLAY SPACES	5,000
DETROIT HISTORICAL SOCIETY 5401 WOODWARD AVE DETROIT, MI 48202	N/A	PC	FOR GENERAL OPERATING SUPPORT	10,000
Total	▶ 3a			13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
DETROIT INSTITUTE OF THE ARTS 5200 WOODWARD AVE DETROIT, MI 48202	N/A	PC	FOR GENERAL OPERATING SUPPORT	40,000
DRUID PERFORMING ARTS COMPANY THE DRUID BUILDING FLOOD STREET GALWAY H91 PWX5 EI	N/A	NC	TO SUPPORT THE LIVE BROADCAST OF THE CHERRY ORCHARD (25,000 EUROS) AND THE GALWAY TOUR (10,000 EUROS)	39,533
EARTHJUSTICE 50 CALIFORNIA ST STE 500 SAN FRANCISCO, CA 94111	N/A	PC	FOR GENERAL OPERATING SUPPORT	17,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
EAST BAY PERFORMING ARTS 2201 BROADWAY STE 300 OAKLAND, CA 94612	N/A	PC	TO SUPPORT THE MUSE PROGRAM	25,000
EAST BAY SCHOOL FOR BOYS 2340 DURANT AVE BERKELEY, CA 94704	N/A	PC	FOR STUDENT SUPPORT SERVICES	10,000
EAST PALO ALTO YOUTH ARTS & MUSIC CENTER 1765 E BAYSHORE RD PALO ALTO, CA 94303	N/A	PC	FOR GENERAL OPERATING SUPPORT	15,000
Total				13,722,658

▶ 3a

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Name and address (home or business)				
a <i>Paid during the year</i>				
ECUMENICAL HUNGER PROGRAM 2411 PULGAS AVE EAST PALO ALTO, CA 94303	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF SIDNEY & SKIPPY FRANK	4,000
EDUCATE782323 BROADWAY OAKLAND, CA 92612	N/A	PC	FOR GENERAL OPERATING SUPPORT	20,000
EDUCATE782323 BROADWAY OAKLAND, CA 94612	N/A	PC	FOR FULCRUM	25,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
EDUCATION TRUST-WEST 1814 FRANKLIN ST STE 600 OAKLAND, CA 94612	N/A	PC	FOR GENERAL OPERATING SUPPORT	7,500
EVER FORWARD - SIEMPRE ADELANTE 1714 FRANKLIN ST 100-337 OAKLAND, CA 94612	N/A	PC	FOR GENERAL OPERATING SUPPORT	3,000
EVERYDAY PEOPLE FOR CHANGE INC DBAEMERGING CHANGEMAKER NETWORK PO BOX 816 MOBILE, AL 36601	N/A	PC	FOR GENERAL SUPPORT FOR RUNWAY PROJECT OAKLAND	2,000
Total	▶ 3a			13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
FACE FOUNDATION 10505 SORRENTO VALLEY RD STE 250 SAN DIEGO, CA 92121	N/A	PC	FOR CANINE PATIENT CARE (INCLUDING DIAGNOSTICS) AND THE CANINE CANCER FUND	12,000
FOCUS POINTS FAMILY RESOURCE CENTER 2501 EAST 48TH AVENUE DENVER, CO 80216	N/A	PC	IN SUPPORT OF GENERAL OPERATIONS	5,000
FOOTHILLS UNITARIAN CHURCH 1815 YORKTOWN AVE FORT COLLINS, CO 80526	N/A	PC	FOR THE ASYLEE FUND FOR DENTAL WORK	3,000
Total ▶ 3a				13,722,658

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a <i>Paid during the year</i>				
GLYNDEBOURNE PRODUCTIONS LIMITED NEW RD LEWES BN8 5UU EAST SUSSEX V3H7 8M UK	N/A	NC	TO SUPPORT EDUCATION AND COMMUNITY PROGRAMS	25,000
GVNGORG 907 WESTWOOD BLVD STE 414 LOS ANGELES, CA 90024	N/A	PC	FOR THE FLOW	2,000
HAWAI'I COMMUNITY FOUNDATION 827 FORT STREET MALL HONOLULU, HI 96813	N/A	PC	FIRST PAYMENT OF A TWO YEAR 2019-21 GRANT TO PROVIDE SUPPORT FOR THE FRESH WATER INITIATIVE	10,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
HAWAII ISLANDS LAND TRUST 126 QUEEN STREET SUITE 306 HONOLULU, HI 96813	N/A	PC	FOR THE OAHU ISLAND CONSERVATION INSTITUTE	20,000
HAWAII PEOPLE'S FUND 949 KAPIOLANI BLVD HONOLULU, HI 96814	N/A	PC	FOR GENERAL OPERATING SUPPORT	2,000
HELENA SYMPHONY SOCIETY 2 N LAST CHANCE GULCH STE 1 HELENA, MT 59601	N/A	PC	FOR GENERAL OPERATING SUPPORT	1,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
HOLTER MUSEUM OF ART 12 EAST LAWRENCE ST HELENA, MT 59601	N/A	PC	FOR GENERAL OPERATING SUPPORT	1,000
HOMES FOR OUR TROOPS6 MAIN ST TAUNTON, MA 02780	N/A	PC	FOR GENERAL OPERATING SUPPORT	25,000
INDEPENDENCE SEAPORT MUSEUM 211 S CHRISTOPHER COLUMBUS BLVD PHILADELPHIA, PA 19106	N/A	PC	TO SUPPORT AN INSTALLATION AND RESIDENCY BY STEPHEN TALASNIK AS PART OF THE "FLOW" EXHIBITION	10,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
IRANIAN SCHOLARSHIP FOUNDATION PO BOX 320204 LOS GATOS, CA 95032	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF SHAHIN JANSEPAR & MATTHEW FRANK	10,000
KERE FOUNDATIONARNTSTR 34 BERLIN 10965 GM	N/A	NC	SECOND PAYMENT OF A TWO YEAR 2018-20 GRANT TO SUPPORT THE CONSTRUCTION OF A SECONDARY SCHOOL IN GANDO, BURKINA FASO	250,000
KUA'AINA ULU 'AUAMO (KUA) 47-200 WAIHEE ROAD KANEHOE, HI 96797	N/A	PC	FIRST PAYMENT OF A TWO YEAR 2019-21 GRANT FOR GENERAL OPERATING SUPPORT	20,000
Total ▶ 3a				13,722,658

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a <i>Paid during the year</i>				
LEARN FRESH EDUCATION COMPANY 3300 WALNUT ST UNIT 211 DENVER, CO 80205	N/A	PC	FOR GENERAL OPERATING SUPPORT	2,000
LIGHTHOUSE COMMUNITY CHARTER SCHOOLS 444 HEGENBERGER RD OAKLAND, CA 94621	N/A	PC	FOR GENERAL OPERATING SUPPORT	3,000
LITTLE OPERA THEATRE OF NEW YORK OLD CHELSEA STATION PO BOX 1392 NEW YORK, NY 10113	N/A	PC	FOR GENERAL OPERATING SUPPORT	10,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
MARINE CORPS SCHOLARSHIP FOUNDATION 909 N WASHINGTON ST STE 400 ALEXANDRIA, VA 22314	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF LAWRENCE BORGER	4,000
MEALS ON WHEELS OF SAN FRANCISCO 1375 FAIRFAX AVE SAN FRANCISCO, CA 94124	N/A	PC	FOR GENERAL OPERATING SUPPORT	13,400
MONTANA STATE UNIVERSITY FOUNDATION PO BOX 7159 BOZEMAN, MT 59807	N/A	PC	FOR MONTANA STATE UNIVERSITY SCHOOL OF THE ART MASTERS DEGREE RECRUITMENT AND RETENTION SCHOLARSHIPS (\$32,000), FOR MONTANA SHAKESPEARE IN THE PARKS (\$15,000), AND FOR A SUMMER 2020 HONORS COLLEGE COURSE AT TIPPET RISE ART CENTER (\$25,725)	72,725
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
MORRIS ANIMAL FOUNDATION 720 S COLORADO BLVD STE 174A DENVER, CO 80246	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF CASEY MCGYNN	4,000
MUSEUM OF CONTEMPORARY ART DENVER 1485 DELGANY ST DENVER, CO 80202	N/A	PC	IN SUPPORT OF THE FAILURE LAB TEEN PROGRAM	10,000
MUSIC ASSOCIATES OF ASPEN 2 MUSIC SCHOOL RD ASPEN, CO 81611	N/A	PC	TO SUPPORT THE LEASE OF AN AUDIO CONTROL BOARD FOR THE 2019 SEASON, AND A PRODUCTION OF JOHN LUTHER ADAMS' SILA	59,500
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
NATURAL RESOURCES DEFENSE COUNCIL INC 40 WEST 20TH ST 11TH FLOOR NEW YORK, NY 10011	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF SIDNEY & SKIPPY FRANK	5,000
NEW LEADERS BAY AREA 30 WEST 26TH ST 2ND FLOOR NEW YORK, NY 10010	N/A	PC	FOR GENERAL OPERATING SUPPORT	10,000
NEW LEADERS 30 WEST 26TH ST 2ND FLOOR NEW YORK, NY 10010	N/A	PC	SECOND PAYMENT OF A TWO YEAR 2018-20 GRANT FOR GENERAL OPERATING SUPPORT FOR NEW LEADERS BAY AREA	100,000
Total				13,722,658

▶ 3a

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Name and address (home or business)				
a <i>Paid during the year</i>				
NEW YORK PUBLIC RADIO 160 VARICK ST NEW YORK, NY 10013	N/A	PC	TO SUPPORT WQXR'S DIGITAL STRATEGY	25,000
NORTHWESTERN UNIVERSITY 1201 DAVIS ST EVANSTON, IL 60201	N/A	PC	FOR THE MEN'S BASKETBALL TEAM	5,000
NYE COMMUNITY FOUNDATION PO BOX 528 NYE, MT 59061	N/A	PC	TO PROVIDE HIGHER EDUCATION AND TRAINING SCHOLARSHIPS	3,500
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
NYE FIRE ASSOCIATION PO BOX 491 NYE, MT 59061	N/A	PC	FOR GENERAL OPERATING SUPPORT	500
OCEAN CONSERVANCY 1300 19TH STREET NW 8TH FLOOR WASHINGTON, DC 20036	N/A	PC	FOR GENERAL OPERATING SUPPORT	65,000
OCEAN DISCOVERY INSTITUTE 2211 PACIFIC BEACH DR STE A SAN DIEGO, CA 92109	N/A	PC	FIRST PAYMENT OF A THREE YEAR 2019-21 GRANT FOR GENERAL OPERATING SUPPORT	50,000
Total				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
OMID FOUNDATION USA 5817 EDSON LN UNIT T3 NORTH BETHESDA, MD 20852	N/A	PC	FOR THE BAY AREA PROGRAM ANNUAL BENEFIT	3,000
OPERA COLORADO 4121 S NAVAJO STREET SUITE 100 ENGLEWOOD, CO 80110	N/A	PC	IN SUPPORT OF GENERAL OPERATIONS	20,000
ORCHESTRA OF ST LUKE'S 450 WEST 37TH ST STE 502 NEW YORK, NY 10018	N/A	PC	FOR THE GALA, IN HONOR OF CHARLES HAMLIN	5,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
OUTDOOR AFRO2323 BROADWAY OAKLAND, CA 94612	N/A	PC	FOR GENERAL OPERATING SUPPORT	12,000
PACIFIC RIDGE SCHOOL 6269 EL FUERTE ST CARLSBAD, CA 92009	N/A	PC	FIFTH PAYMENT OF A SIX YEAR 2015-21 GRANT TO SUPPORT ONE OPPORTUNITY GRANT STUDENT FOR SIX ACADEMIC YEARS	15,000
PACIFIC SURVIVOR CENTER PO BOX 3535 HONOLULU, HI 96811	N/A	PC	FOR GENERAL OPERATING SUPPORT	10,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
PANASONIC FOUNDATION TWO RIVERFRONT PLAZA 11TH FLOOR NEWARK, NJ 07102	N/A	PC	FOURTH PAYMENT OF A TWO YEAR 2017-19 GRANT TO PROVIDE CONSULTING SERVICES TO THE SAN DIEGO UNIFIED SCHOOL DISTRICT	219,120
PERFORMING ARTS WORKSHOP 1661 TENNESSEE ST UNIT 3-0 SAN FRANCISCO, CA 94107	N/A	PC	FOR GENERAL OPERATING SUPPORT	12,500
PIE RANCH 2080 CABRILLO HWY PESCADERO, CA 94060	N/A	PC	FOR GENERAL OPERATING SUPPORT	5,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM ST 10TH FLOOR NEW YORK, NY 10038	N/A	PC	FOR GENERAL OPERATING SUPPORT	5,000
PLAYWORKS380 WASHINGTON ST OAKLAND, CA 94607	N/A	PC	FOR GENERAL OPERATING SUPPORT	25,000
PLAYWORKS380 WASHINGTON ST OAKLAND, CA 94607	N/A	PC	FIRST PAYMENT OF A TWO YEAR 2019-21 GRANT FOR GENERAL OPERATING SUPPORT (\$25,000) AND FOR OAKLAND PROGRAMS (\$25,000)	50,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
PLAYWRIGHTS PROJECT 3675 RUFFIN RD STE 330 SAN DIEGO, CA 92123	N/A	PC	TO SUPPORT IN-CLASS PLAYWRITING RESIDENCIES	12,500
PLAYWRIGHTS PROJECT 3675 RUFFIN RD STE 330 SAN DIEGO, CA 92123	N/A	PC	FOR THE OUT OF THE YARD PROGRAM (\$10K), PROGRAMMING AT FREESE ELEMENTARY SCHOOL (\$5K) AND THE DIVERSION PROGRAM (\$3K)	18,000
POET IN THE CITY90 YORK WAY LONDON N1 9AG UK	N/A	NC	TO SUPPORT THE PRODUCTION OF A SERIES OF POETRY FILMS	13,178
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
POET IN THE CITY 90 YORK WAY LONDON N1 9AG UK	N/A	NC	TO SUPPORT THE CREATION OF A SERIES OF POETRY FILMS	13,072
POWERPAC FOUNDATION 456 MONTGOMERY STREET SUITE 1350 SAN FRANCISCO, CA 94104	N/A	PC	FOR GENERAL OPERATING SUPPORT FOR SHE THE PEOPLE	2,000
REACH OUT AND READ COLORADO 3705 MARTIN LUTHER KING BLVD DENVER, CO 80205	N/A	PC	TO SUPPORT BOOK PURCHASES	25,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RED LODGE AREA COMMUNITY FOUNDATION 214 WEST 13TH ST PO BOX 1871 RED LODGE, MT 59068	N/A	PC	FOR GENERAL OPERATING SUPPORT FOR RED LODGE RECYCLING	5,000
RED LODGE AREA COMMUNITY FOUNDATION 214 WEST 13TH ST PO BOX 1871 RED LODGE, MT 59068	N/A	PC	FOR THE OLD ROOSEVELT ART CENTER (\$25,000) AND FOR GENERAL OPERATING SUPPORT FOR THE COMMUNITY FOUNDATION (\$15,000)	40,000
RED LODGE MUSIC FESTIVAL 1925 GRAND AVE STE 102B BILLINGS, MT 59102	N/A	PC	TO SUPPORT THE HONOR ENSEMBLE AND FINANCIAL AID FOR STUDENTS	4,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
REDLINE2350 ARAPAHOE ST DENVER, CO 80205	N/A	PC	IN SUPPORT OF THE COMMUNITY STUDIO	5,000
REFUGEE AND IMMIGRANT CENTER FOR EDUCATION AND LEGAL SERVICES 5121 CRESTWAY DR 105 SAN ANTONIO, TX 78239	N/A	PC	FOR GENERAL OPERATING SUPPORT	5,000
RIEKES CENTER FOR HUMAN ENHANCEMENT 3455 EDISON WAY MENLO PARK, CA 94025	N/A	PC	FOR THE FERNSIDE PROGRAM	40,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROCKY MOUNTAIN BIRD OBSERVATORY 14500 LARK BUNTING LANE BRIGHTON, CO 80603	N/A	PC	IN SUPPORT OF EDUCATION PROGRAMS	5,000
ROCKY MOUNTAIN INSTITUTE 22830 TWO RIVERS RD BASALT, CO 81621	N/A	PC	SECOND PAYMENT OF A TWO YEAR 2018-20 GRANT FOR THE GLOBAL COOLING PRIZE	100,000
ROOTED SCHOOLS 4238 ST CHARLES AVE NEW ORLEANS, LA 70115	N/A	PC	FOR GENERAL OPERATING SUPPORT	2,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROSE COMMUNITY FOUNDATION 600 S CHERRY STREET SUITE 1200 DENVER, CO 80246	N/A	PC	IN SUPPORT OF TOGETHER WE COUNT	5,000
SAN DIEGO YOUTH SYMPHONY AND CONSERVATORY 1650 EL PRADO 207A SAN DIEGO, CA 92101	N/A	PC	TO SUPPORT THE SPONSORED LESSONS PROGRAM	12,500
SCHOLARS UNLIMITED 3401 QUEBEC ST SUITE 5010 DENVER, CO 80207	N/A	PC	GENERAL OPERATING SUPPORT	5,000
Total				13,722,658

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SIERRA CLUB FOUNDATION 2101 WEBSTER ST STE 1250 OAKLAND, CA 94612	N/A	PC	TO SUPPORT THE CLEAN ENERGY FOR ALL (CEFA) CAMPAIGN	100,000
SMITHSONIAN INSTITUTION 1500 REMOUNT RD MRC 5530 FRONT ROYAL, VA 22630	N/A	PC	FOR THE SMITHSONIAN CONSERVATION BIOLOGY INSTITUTE'S CORAL REEF EFFORTS, IN HONOR OF SKIPPY & MARK FRANK	4,000
SOCRATES SCULPTURE PARK PO BOX 6259 32-01 VERNON BLVD LONG ISLAND CITY, NY 11106	N/A	PC	FOR GENERAL OPERATING SUPPORT	30,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOMETHING GOOD IN THE WORLD 624 CROTON AVE CORTLANDT MANOR, NY 10567	N/A	PC	FOR GENERAL OPERATING SUPPORT	5,000
SOS OUTREACHPO BOX 2020 AVON, CO 81620	N/A	PC	TO SUPPORT THE COLORADO NATIONAL MONUMENT TRIP FOR EDWARDS ELEMENTARY SCHOOL	15,000
ST ANDREWS SCHOOL 350 NOXONTOWN RD MIDDLETOWN, DE 19701	N/A	PC	FOR THE ROACH FELLOWSHIP	5,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STILLWATER VALLEY WATERSHED COUNCIL 303 WEST ROSEBUD RD FISHTAIL, MT 59028	N/A	PC	FOR GENERAL OPERATING SUPPORT	2,000
STORM KING ART CENTER 1 MUSEUM ROAD NEW WINDSOR, NY 12553	N/A	PC	TO SUPPORT THE DEVELOPMENT OF A NEW FACILITY TO BE USED FOR CONSERVATION, ART STORAGE, AND MAINTENANCE, AS PART OF THE CAPITAL CAMPAIGN	500,000
STORM KING ART CENTER 1 MUSEUM ROAD NEW WINDSOR, NY 12553	N/A	PC	TO SUPPORT EDUCATION PROGRAMS	25,000
Total				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STORM KING ART CENTER 1 MUSEUM ROAD NEW WINDSOR, NY 12553	N/A	PC	TO SUPPORT THE 2019 GALA	100,000
THE BOARD OF TRUSTEES OF LELAND STANFORD JUNIOR UNIVERSITY 3172 PORTER DR STE 210 PALO ALTO, CA 94304	N/A	PC	FOR DR. ORO'S LAB, INCLUSIVE OF STANFORD'S 8% PROCESSING FEE	21,600
THE GREAT SWAMP WATERSHED ASSOCIATION PO BOX 300 NEW VERNON, NJ 07976	N/A	PC	FOR GENERAL OPERATING SUPPORT	25,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE INDEPENDENCE PASS FOUNDATION PO BOX 1700 ASPEN, CO 81612	N/A	PC	FOR GENERAL OPERATING SUPPORT	5,000
THE JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	TO SUPPORT FINANCIAL AID FOR PRE-COLLEGE STUDENTS	12,500
THE LEAGUE OF CONSERVATION VOTERS EDUCATION FUND 740 15TH STREET NW SUITE 700 WASHINGTON, DC 20005	N/A	PC	FOR GENERAL OPERATING SUPPORT	15,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE NATURE CONSERVANCY HAWAI'I PROGRAM 932 NUUANU AVE HONOLULU, HI 96817	N/A	PC	TO WORK WITH A DIVERSITY OF STAKEHOLDERS IN THE HE'EIA WATERSHED RESTORATION PROJECT ON O'AHU TO UPDATE THE CONSERVATION ACTION PLAN FOR THE AREA	10,000
THE RAINFOREST ALLIANCE 125 BROAD STREET 9TH FLOOR NEW YORK, NY 10004	N/A	PC	FOR GENERAL OPERATING SUPPORT	2,000
THE ROCKY MOUNTAIN INSTITUTE 22830 TWO RIVERS RD BASALT, CO 81621	N/A	PC	FOR GENERAL OPERATING SUPPORT	5,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE STORY MUSEUM 42 PEMBROKE STREET OXFORD OX1 1BP UK	N/A	NC	FOR GENERAL OPERATING SUPPORT	10,000
THE TRUST FOR PUBLIC LAND 101 MONTGOMERY ST STE 900 SAN FRANCISCO, CA 94104	N/A	PC	TO SUPPORT GENERAL OPERATIONS OF THE HAWAIIAN ISLANDS PROGRAM	20,000
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 180 FORT WASHINGTON AVE HP 934 NEW YORK, NY 10032	N/A	PC	SECOND PAYMENT OF A TWO YEAR 2018-20 GRANT TO SUPPORT THE CELIAC DISEASE CENTER'S NUTRITION PROGRAM	50,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THEATRE FOR A NEW AUDIENCE 154 CHRISTOPHER ST STE 3D NEW YORK, NY 10014	N/A	PC	TO SUPPORT THE WORLD THEATRE PROJECT	25,000
THIRD SECTOR NEW ENGLAND 89 SOUTH STREET SUITE 700 BOSTON, MA 02111	N/A	PC	FOR MEMBERSHIP IN CONSTELLATION PHILANTHROPY FOR 2018 AND 2019	20,000
TIPPET RISE FOUNDATION C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	N/A	POF	(OF \$7M GRANT APPROVED IN 8/2018) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	2,500,000
Total				▶ 3a 13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TIPPET RISE FOUNDATION C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	N/A	POF	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	5,500,000
TRICKLE UP 104 W 27TH ST 12TH FLOOR NEW YORK, NY 10001	N/A	PC	FOR WEST AFRICA PROGRAMS, IN HONOR OF LINDA STRUMPF	10,000
TRICKLE UP 104 W 27TH ST 12TH FLOOR NEW YORK, NY 10001	N/A	PC	FOR GENERAL OPERATING SUPPORT	20,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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Name and address (home or business)				
a <i>Paid during the year</i>				
UC DAVIS FOUNDATION ONE SHIELDS AVE DAVIS, CA 95616	N/A	PC	FOR THE KATIE FUND AND FOR SHELTER ANIMALS WITH CARDIAC PROBLEMS	15,000
UC SANTA CRUZ FOUNDATION 1156 HIGH STREET SANTA CRUZ, CA 95060	N/A	PC	FOR THE CENTER FOR PUBLIC PHILOSOPHY, INCLUSIVE OF THE 6% ADMINISTRATIVE FEE	20,600
UNCOMMON SCHOOLS 826 BROADWAY 9TH FLOOR NEW YORK, NY 10003	N/A	PC	FOR TROY PREPARATORY CHARTER SCHOOL	4,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF MONTANA FOUNDATION UNIVERSITY HALL 207 MISSOULA, MT 59812	N/A	PC	FOR THE UNIVERSITY OF MONTANA COLLEGE OF VISUAL AND PERFORMING ARTS SCHOLARSHIPS, PERFORMANCES, AND STUDENT AND FACULTY PROJECTS AND EXPERIENCES, INCLUDING A 6% UNIVERSITY OF MONTANA FOUNDATION FEE	20,000
URBAN TILTH323 BROOKSIDE DR RICHMOND, CA 94801	N/A	PC	FOR RICHMOND OUR POWER	2,000
VALLEY SETTLEMENT 520 SOUTH THIRD ST STE 9 CARBONDALE, CO 81623	N/A	PC	FIRST PAYMENT OF A TWO YEAR 2019-21 GRANT FOR EL BUSESITO/LITTLE BUS PROGRAM	25,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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Name and address (home or business)				
a <i>Paid during the year</i>				
VALLEY SETTLEMENT 520 SOUTH THIRD ST SUITE 9 CARBONDALE, CO 81623	N/A	PC	IN SUPPORT OF GENERAL OPERATIONS	20,000
VETERINARY CANCER SOCIETY PO BOX 30855 COLUMBIA, MO 65205	N/A	PC	FOR GENERAL OPERATING SUPPORT	4,000
VILLAGE ENTERPRISE FUND 751 LAUREL ST PMB 222 SAN CARLOS, CA 94070	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF RUSSELL & DEBBIE HALL	4,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VOTER PARTICIPATION CENTER 1707 L STREET NORTHWEST SUITE 950 WASHINGTON, DC 20036	N/A	PC	FOR GENERAL OPERATING SUPPORT	6,500
VOTER PARTICIPATION CENTER 1707 L STREET NORTHWEST SUITE 950 WASHINGTON, DC 20036	N/A	PC	FOR GENERAL OPERATING SUPPORT AS A 1:1 MATCH, WITH AN ADDITIONAL \$50,000 BEING PAID ONCE THE MATCH IS MET	50,000
WALKING MOUNTAINS SCIENCE CENTER PO BOX 9469 AVON, CO 81620	N/A	PC	TO SUPPORT FIELD SCIENCE PROGRAMS FOR EDWARDS ELEMENTARY SCHOOL STUDENTS AND THE PARTNERSHIP WITH THE MAGIC BUS PROGRAM	25,000
Total ▶ 3a				13,722,658

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WHITE PLAINS HOSPITAL 41 EAST POST ROAD NEW YORK, NY 10601	N/A	PC	FOR GENERAL OPERATING SUPPORT, IN HONOR OF JON SPITALNY	10,000
WILDLIFE CONSERVATION NETWORK 209 MISSISSIPPI ST SAN FRANCISCO, CA 94107	N/A	PC	\$5,000 OF GENERAL OPERATING SUPPORT, \$1,000 EACH TO THE FOLLOWING PROJECTS: EWASO LIONS, MACAW, PAINTED DOG, ETHIOPIAN WOLF, AND SPECTACLED BEARS	10,000
WINDHORSE EQUINE LEARNING PO BOX 11954 BOZEMAN, MT 59719	N/A	PC	FOR GENERAL OPERATING SUPPORT	2,500
Total ▶ 3a				13,722,658

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WOMEN'S REFUGEE COMMISSION 15 W 37TH ST 9TH FLOOR NEW YORK, NY 10018	N/A	PC	FOR GENERAL OPERATING SUPPORT	10,000
YELLOWSTONE ART MUSEUM 401 NORTH 27TH ST BILLINGS, MT 59101	N/A	PC	TO SUPPORT ARTS EDUCATION PROGRAMS AND THE SUMMER ART ACADEMY	34,500
YELLOWSTONE ART MUSEUM 401 NORTH 27TH ST BILLINGS, MT 59101	N/A	PC	TO SUPPORT THE SUMMER ART ACADEMY	22,000
Total ▶ 3a				13,722,658

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Name and address (home or business)				
a <i>Paid during the year</i>				
YELLOWSTONE ART MUSEUM 401 NORTH 27TH ST BILLINGS, MT 59101	N/A	PC	TO SUPPORT THE MONTANA WOMEN'S PRISON ART EDUCATION PROGRAM	9,550
YELLOWSTONE BIGHORN RESEARCH ASSOCIATION PO BOX 630 HOWELL GULCH RD RED LODGE, MT 59068	N/A	PC	TO SUPPORT A SERIES OF GEOLOGICAL TOURS AT TIPPET RISE ART CENTER	13,530
YERBA BUENA CENTER FOR THE ARTS 701 MISSION STREET SAN FRANCISCO, CA 94103	N/A	PC	FOR "WE ARE THE ASTEROID"	10,000
Total				13,722,658

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUNG CONCERT ARTISTS 250 WEST 57 ST STE 1222 NEW YORK, NY 10107	N/A	PC	FOR GENERAL OPERATING SUPPORT	20,000
YOUTH POWER 365PO BOX 6550 AVON, CO 81620	N/A	PC	IN SUPPORT OF THE PARENT MENTOR PROGRAM	5,000
Total ▶ 3a				13,722,658

TY 2019 Accounting Fees Schedule

Name: THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND TAX PREPARATION	320,201	0		314,201
AUDIT	54,428	0		54,428

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Expenditure Responsibility Statement

Name: THE SIDNEY E FRANK FOUNDATION

C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
GLYNDEBOURNE PRODUCTIONS LIMITED	NEW RD LEWES BN8 5UU EAST SUSSEX V3H7 8M UK	2019-12-19	25,000	TO SUPPORT EDUCATION AND COMMUNITY PROGRAMS	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
KERE FOUNDATION	ARNTSTR 34 BERLIN 10965 GM	2019-02-05	250,000	SECOND PAYMENT OF A TWO YEAR 2018-20 GRANT TO SUPPORT THE CONSTRUCTION OF A SECONDARY SCHOOL IN GANDO, BURKINA FASO	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
DRUID PERFORMING ARTS COMPANY	THE DRUID BUILDING FLOOD STREET GALWAY H91 PWX5 EI	2019-12-19	39,533	TO SUPPORT THE LIVE BROADCAST OF THE CHERRY ORCHARD (25,000 EUROS) AND THE GALWAY TOUR (10,000 EUROS)	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
POET IN THE CITY	90 YORK WAY LONDON N1 9AG UK	2019-07-22	13,178	TO SUPPORT THE PRODUCTION OF A SERIES OF POETRY FILMS	13,178	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	08/31/2019		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
POET IN THE CITY	90 YORK WAY LONDON N1 9AG UK	2019-12-10	13,072	TO SUPPORT THE CREATION OF A SERIES OF POETRY FILMS	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
THE STORY MUSEUM	42 PEMBROKE STREET OXFORD OX1 1BP UK	2019-12-19	10,000	FOR GENERAL OPERATING SUPPORT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-01-10	1,000,000	(OF \$7M GRANT APPROVED IN 8/2018) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-02-14	500,000	(OF \$7M GRANT APPROVED IN 8/2018) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-03-04	500,000	(OF \$7M GRANT APPROVED IN 8/2018) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-03-21	500,000	(OF \$7M GRANT APPROVED IN 8/2018) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-04-18	1,000,000	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-05-23	1,000,000	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-07-17	1,000,000	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-08-20	500,000	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-10-04	500,000	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-11-07	500,000	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-11-21	500,000	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-12-19	500,000	(OF \$8M GRANT APPROVED IN 3/2019) TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF PROGRAMS AND VENUES TO PROVIDE ACCESS TO ART IN A NATURAL ENVIRONMENT	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
ADRIAN BRINKERHOFF POETRY FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-01-17	100,000	TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF LITERARY PROGRAMS	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY
ADRIAN BRINKERHOFF POETRY FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-07-18	100,000	TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF LITERARY PROGRAMS	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
ADRIAN BRINKERHOFF POETRY FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	2019-09-09	250,000	TO SUPPORT THE FOUNDATION'S CHARITABLE AND EDUCATIONAL PURPOSE AS A PRIVATE OPERATING FOUNDATION, INCLUDING THE DEVELOPMENT AND OPERATION OF LITERARY PROGRAMS	0	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY

TY 2019 General Explanation Attachment

Name: THE SIDNEY E FRANK FOUNDATION

C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

General Explanation Attachment

Identifier	Return Reference	Explanation	
1	SPECIAL TRUSTEES OF THE COLORADO FUND	PART VIII, LINE 1	<p>NET ASSETS WITH DONOR RESTRICTIONS CONSIST OF THE COLORADO FUND (THE "FUND"). THIS FUND, WHICH HAS ALSO BEEN REFERRED TO AS THE "SPECIAL ACCOUNT", IS A COMPLETELY SEPERATE FUND MAINTAINED BY THE FOUNDATION'S SPECIAL TRUSTEES, HAROLD R. LOGAN, JR. AND ANN M. LOGAN. THE FUND WAS CREATED PURSUANT TO A TIMELY-FILED QUALIFIED DISCLAIMER, PURSUANT TO SECTION 2518 OF THE INTERNAL REVENUE CODE OF 1986, AS AMEDNED, IN CONNECTION WITH THE ADMINISTRATION OF THE ESTATE OF SIDNEY E. FRANK. (MR. FRANK WAS THE INTITAL SOLE TRUSTEE OF THE FOUNDATION). SAID DISCLAIMER WAS THE SUBJECT OF A COURT PROCEEDING AND OF AN INTERNAL REVENUE SERVICE PRIVATE LETTER RULING. THE COURT PROCEEDING AND THE PRIVATE LETTER RULING APPROVED OF THE CREATION OF THE FUND, PROVIDED THAT CERTAIN CRITERIA WERE MET, INCLUDING, THAT NO MEMBER OF SIDNEY E. FRANK'S FAMILY WAS TO HAVE ANY INVOLVEMENT WITH THE FUND. TO THAT END, AND AS REQUIRED BY THE AFOREMENTIONED PRIVATE LETTER RULING, THE FOUNDATION'S GOVERNING INSTRUMENT WAS AMENDED BY THE FIRST AMENDMENT THERETO, DATED AUGUST 21, 2006, WHICH AMENDMENT REQUIRED, AMONG OTHER THINGS, THAT ONLY NON-FAMILY MEMBERS COULD BE APPOINTED TO SERVE AS THE SPECIAL TRUSTEES OF THE FUND AND THAT THE PROPERTY COMPRISING THE FUND BE MAINTAINED IN A SEGREGATED ACCOUNT MAINTAINED COMPLETELY SEPERATE AND APART FROM THE FOUNDATION'S OTHER ASSETS. THE LOGANS WERE APPOINTED SPECIAL TRUSTEES ON AUGUST 30, 2006. THE FUND WAS FUNDED ON DECEMBER 29, 2006 WITH THE SUM OF FIVE MILLION AND 00/100 DOLLARS. (NO ADDITIONAL FUNDS HAVE BEEN, NOR IS IT ANTICIPATED THAT ANY ADDITIONAL FUNDS WILL BE, SUBSEQUENTLY ADDED TO THE FUND). THE LOGANS HAVE OVERSEEN THE MANAGEMENT OF THE FUND SINCE ITS INCEPTION AND, ACCORDINGLY, NO MEMBER OF THE FRANK FAMILY HAS HAD ANY SUCH ROLE IN THE FUND'S ACTIVITIES DURING ITS EXISTENCE.</p>

General Explanation Attachment

Identifier	Return Reference	Explanation	
2	TRANSFERS TO CONTROLLED ENTITIES	PART VII-A, LINE 11	NAME OF CONTROLLED ENTITY : TIPPET RISE FOUNDATIONEMPLOYER ID NO: 35-6933159ADDRESS: C/O PKF O'CONNOR DAVIES, LLP, 665 FIFTH AVENUE, NEW YORK, NY 10022DESCRIPTION OF TRANSFER: FUNDING OF CONTINUED OPERATIONS AND CONSTRUCTION OF ART CENTERAMOUNT OF TRANSFER: \$8,000,000EXCESS BUSINESS HOLDING: NO NAME OF CONTROLLED ENTITY : ADRIAN BRINKERHOFF POETRY FOUNDATIONEMPLOYER ID NO: 81-3608525ADDRESS: C/O PKF O'CONNOR DAVIES, LLP, 665 FIFTH AVENUE, NEW YORK, NY 10022DESCRIPTION OF TRANSFER: FUNDINGAMOUNT OF TRANSFER: \$450,000EXCESS BUSINESS HOLDING: NO

TY 2019 Investments Corporate Bonds Schedule**Name:** THE SIDNEY E FRANK FOUNDATION

C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ABBVIE, INC. DTD 5/14/15 3.600% DUE 5/14/25 150,000.000 QUANTITY	159,177	159,177
ACE INA HLDGS, INC. DTD 11/3/15 2.300% DUE 11/3/20 150,000.000 QUANTITY	151,087	151,087
ALLY FINL, INC. VAR DUE 9/12/24 650,000.000 QUANTITY	677,787	677,787
AMERICAN INTL GROUP, INC. DTD 8/9/13 3.375% DUE 8/15/20 50,000.000 QUANTITY	51,094	51,094
APPLE INC. DTD 2/23/16 2.850% DUE 2/23/23 50,000.000 QUANTITY	51,937	51,937
AT&T, INC. DTD 2/9/16 3.600% DUE 2/17/23 150,000.000 QUANTITY	158,580	158,580
AUTOZONE, INC. DTD 4/18/19 3.125% DUE 4/18/24 100,000.000 QUANTITY	104,697	104,697
BANK NEW YORK MELLON CORP. 8/13/18 3.450% DUE 8/11/23 125,000.000 QUANTITY	133,086	133,086
BB&T CORP. DTD 5/10/16 2.050% DUE 5/10/21 150,000.000 QUANTITY	150,752	150,752
CITIGROUP, INC. DTD 3/30/16 2.700% DUE 3/30/21 150,000.000 QUANTITY	152,433	152,433
CITIGROUP, INC. VAR 99 DUE 12/31/99 925,000.000 QUANTITY	951,825	951,825
COMCAST CORP NEW DTD 10/5/18 3.450% DUE 10/1/21 100,000.000 QUANTITY	103,801	103,801
CONSTELLATION BRANDS, INC. 5/14/13 4.250% DUE 5/1/23 125,000.000 QUANTITY	133,755	133,755
CVS HEALTH CORP. DTD 3/9/18 3.700% DUE 3/9/23 100,000.000 QUANTITY	105,385	105,385
HERSHEY CO. DTD 5/10/18 3.375% DUE 5/15/23 100,000.000 QUANTITY	105,145	105,145
HOME DEPOT, INC. DTD 4/5/13 2.700% DUE 4/1/23 150,000.000 QUANTITY	154,879	154,879
JPMBB COML MTG TR 2015-C28 COML 2.773% DUE 10/15/48 50,531.310 QUANTITY	50,627	50,627
JPMORGAN CHASE & CO. DTD 8/8/19 2.295% DUE 8/15/21 100,000.000 QUANTITY	101,113	101,113
JPMORGAN CHASE & VAR 99 DUE 12/31/99 300,000.000 QUANTITY	303,750	303,750
JPMORGAN CHASE & VAR DUE 1/30/20 798,000.000 QUANTITY	802,988	802,988

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JPMORGAN CHASE & VAR DUE 8/1/24 350,000.000 QUANTITY	365,663	365,663
MEDTRONIC, INC. DTD 3/15/15 3.150% DUE 3/15/22 150,000.000 QUANTITY	155,669	155,669
MFRS & TRADERS TR CO. DTD 5/18/17 2.500% DUE 5/18/22 150,000.000 QUANTITY	152,213	152,213
MICROSOFT CORP. DTD 11/3/15 2.000% DUE 11/3/20 150,000.000 QUANTITY	150,801	150,801
MORGAN STANLEY 5.55% 99F DUE 12/31/99 675,000.000 QUANTITY	686,812	686,812
MORGAN STANLEY DTD 4/21/16 2.500% DUE 4/21/21 150,000.000 QUANTITY	151,866	151,866
MORGAN STANLEY VAR 99 DUE 12/31/99 750,000.000 QUANTITY	755,813	755,813
PEPSICO, INC. DTD 2/28/13 2.750% DUE 3/1/23 100,000.000 QUANTITY	103,700	103,700
PNC BK NA PITTSBURGH PA DTD 6/8/18 3.500% DUE 6/8/23 150,000.000 QUANTITY	157,696	157,696
PNC FINL SVCS GRO VAR 99 DUE 12/31/99 260,000.000 QUANTITY	275,275	275,275
RYDER SYS INC. DTD 5/22/19 2.875% DUE 6/1/22 100,000.000 QUANTITY	104,414	104,414
SUNTRUST BK ATLANTA GA DTD 3/18/19 3.200% DUE 4/1/24 150,000.000 QUANTITY	157,275	157,275
THE GOLDMAN SACHS VAR 99 DUE 12/31/99 175,000.000 QUANTITY	176,750	176,750
TRUIST FINL CORP VAR DUE 3/15/20 250,000.000 QUANTITY	251,250	251,250
U.S. BANCORP VAR DUE 1/15/21 200,000.000 QUANTITY	205,500	205,500
UNITEDHEALTH GROUP, INC. DTD 6/19/18 3.500% DUE 6/15/23 150,000.000 QUANTITY	157,393	157,393
UNIVERSITY CHICAGO SER-B 2.755% DUE 10/1/21 250,000.000 QUANTITY	253,624	253,624
US BK NATL ASSN CINCINNATI OH 3.104% DUE 5/21/21 150,000.000 QUANTITY	151,221	151,221
VISA, INC. DTD 9/11/17 2.150% DUE 9/15/22 150,000.000 QUANTITY	152,657	152,657
WACHOVIA CAPITAL VAR 625,000.000 QUANTITY	629,687	629,687

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
WELLS FARGO COML MTG TR 2016-C35 MTG 2.495% DUE 7/15/48 150,000.000 QUANTITY	150,743	150,743
WFRBS COML MTG TR 2013-UBS1 MTG 3.591% DUE 3/15/46 125,000.000 QUANTITY	126,463	126,463

TY 2019 Investments Corporate Stock Schedule

Name: THE SIDNEY E FRANK FOUNDATION

C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
A.O. SMITH CORP (AOS) 1,260.000 SHARES	60,026	60,026
ACTUANT CORP (EPAC) 7,000.000 SHARES	182,210	182,210
AFFILIATED MANAGERS GROUP, INC. (AMG) 2,000.000 SHARES	169,480	169,480
ALFA LAVAL AB (ALFVY) 3,713.000 SHARES	93,585	93,585
AMERICAN WATER WORKS CO. INC (AWK) 978.000 SHARES	120,147	120,147
AQUA AMERICA INC (WTRU) 2,973.000 SHARES	139,553	139,553
AQUAVENTURE HOLDINGS LTD (WAAS) 3,682.000 SHARES	99,855	99,855
ARCADIS NV (ARCA) 6,023.000 SHARES	140,469	140,469
ARTISAN PARTNERS ASSET I (APAM) 4,200.000 SHARES	135,744	135,744
BADGER METER INC (BMI) 1,951.000 SHARES	126,678	126,678
BANK OF AMERICA 6.2% PFD SER CC (BAC+C) 7,214.000 SHARES	188,862	188,862
BANK OF AMERICA 7.25% PFD SER L (BAC+L) 124.000 SHARES	179,676	179,676
BEIJING ENTERPRISES WATER GROUP LTD (BJWTF) 174,000.000 SHARES	87,996	87,996
BILLERUDKORSNAS AB (BLRDF) 4,981.000 SHARES	58,887	58,887
BROADRIDGE FNL SOLUTIONS, INC. (BR) 1,250.000 SHARES	154,425	154,425
BROOKFIELD RENEWABLE PARTNERS MLP (BEP) 3,563.000 SHARES	166,143	166,143
BROWN & BROWN, INC. (BRO) 7,500.000 SHARES	296,100	296,100
BUREAU VERITAS SA (BVRDF) 4,928.000 SHARES	128,648	128,648
C H ROBINSON WORLDWIDE, INC. (CHRW) 1,700.000 SHARES	132,940	132,940
CAPITAL ONE FI 6.2% PFD SER F (COF+F) 9,325.000 SHARES	241,331	241,331
CAPITAL ONE FINL 6% PFD SER H (COF+H) 3,335.000 SHARES	89,545	89,545
CDK GLOBAL, INC. (CDK) 5,000.000 SHARES	273,400	273,400
CHANGE HEALTHCARE, INC. (CHNG) 9,600.000 SHARES	157,344	157,344
CHINA EVERBRIGHT GREENTECH LTD (1257.HK) 63,000.000 SHARES	34,125	34,125
CHINA EVERBRIGHT INTL LTD (CHFFF) 163,000.000 SHARES	130,763	130,763
CITIGROUP, INC. 6.3% PFD SER S (C+S) 10,000.000 SHARES	262,100	262,100
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO (SBS) 6,638.000 SHARES	99,902	99,902
DANAHER CORPORATION (DHR) 1,480.000 SHARES	227,150	227,150
FLIR SYSTEMS INC (FLIR) 1,383.000 SHARES	72,013	72,013
GEBERIT AG (GBERY) 154.000 SHARES	86,378	86,378

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GRACO, INC. (GGG) 900.000 SHARES	46,800	46,800
HALMA PLC (HLMF) 4,523.000 SHARES	126,745	126,745
HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE CAPITAL (HASI) 4,212.000 SHARES	135,542	135,542
HILLENBRAND, INC. (HI) 5,200.000 SHARES	173,212	173,212
IAA, INC. (IAA) 1,200.000 SHARES	56,472	56,472
ILLUMINA INC (ILMN) 313.000 SHARES	103,835	103,835
IRIDIUM COMMUNICATIONS INC (IRDM) 5,346.000 SHARES	131,725	131,725
ITRON INC (ITRI) 2,379.000 SHARES	199,717	199,717
JOHN WILEY & SONS INC. (JWA) 2,800.000 SHARES	135,856	135,856
KUBOTA CORPORATION (KUBTY) 12,200.000 SHARES	193,776	193,776
LANDSTAR SYSTEM, INC. (LSTR) 1,300.000 SHARES	148,031	148,031
LEGG MASON, INC. (LM) 10,000.000 SHARES	359,100	359,100
LPL FINL HLDGS (LPLA) 3,300.000 SHARES	304,425	304,425
MATTHEWS INTL CORP. (MATW) 3,300.000 SHARES	125,960	125,960
METAWATER CO LTD (MTWTF) 4,100.000 SHARES	164,878	164,878
MOLSON COORS BREWING CO. (TAP) 3,250.000 SHARES	175,175	175,175
NIELSEN HOLDINGS PLC (NLSN) 20,000.000 SHARES	406,000	406,000
ORBCOMM INC (ORBC) 18,256.000 SHARES	76,858	76,858
ORSTED AS (DOGEF) 2,872.000 SHARES	297,207	297,207
PENNON GROUP PLC (PEGRF) 7,614.000 SHARES	103,354	103,354
PENTAIR PLC (PNR) 1,935.000 SHARES	88,758	88,758
POST HOLDINGS, INC. (POST) 1,300.000 SHARES	141,830	141,830
RENEWI PLC (RWI) 122,958.000 SHARES	58,946	58,946
ROPER INDUSTRIES INC (ROP) 268.000 SHARES	94,934	94,934
SCHNEIDER ELECTRIC SA (SBGSF) 2,154.000 SHARES	221,202	221,202
STANTEC INC (STN) 2,560.000 SHARES	72,435	72,435
STERICYCLE, INC. (SRCL) 8,743.000 SHARES	557,891	557,891
SUEZ SA (SZEVF) 11,063.000 SHARES	167,435	167,435
TETRA TECH INC (TTI) 3,150.000 SHARES	271,404	271,404
THE GORMAN-RUPP COMPANY (GRC) 2,355.000 SHARES	88,313	88,313

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
THERMO FISHER SCIENTIFIC INC (TMO) 887.000 SHARES	288,160	288,160
TOMRA SYSTEMS ASA (TMRAF) 6,979.000 SHARES	221,005	221,005
TPI COMPOSITES INC (TPIC) 4,444.000 SHARES	82,258	82,258
TRIMBLE INC (TRMB) 4,855.000 SHARES	202,405	202,405
VAISALA OYJ A SHARES (VAIAF) 1,674.000 SHARES	59,652	59,652
VERISK ANALYTICS INC (VRSK) 856.000 SHARES	127,835	127,835
WARTSILA OYJ (WRTBF) 11,943.000 SHARES	132,030	132,030
WATERS CORP. (WAT) 450.000 SHARES	105,143	105,143
WELLS FARGO & CO. 7.5% PFD SER L (WFC+L) 125.000 SHARES	181,250	181,250
WESTERN UNION CO. (WU) 13,000.000 SHARES	348,140	348,140
WILLIS TOWERS WATSON PUBLIC LTD. (WLTW) 1,800.000 SHARES	363,492	363,492
XYLEM INC (XYL) 2,804.000 SHARES	220,927	220,927

TY 2019 Investments Government Obligations Schedule

Name: THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

**US Government Securities - End
of Year Book Value:**

4,335,926

**US Government Securities - End
of Year Fair Market Value:**

4,335,926

**State & Local Government
Securities - End of Year Book
Value:**

2,574,102

**State & Local Government
Securities - End of Year Fair
Market Value:**

2,574,102

TY 2019 Investments - Other Schedule**Name:** THE SIDNEY E FRANK FOUNDATION

C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ABRAMS CAPITAL PARTNERS II, L.P.	FMV	774,498	774,498
ACACIA CONSERVATION FUND (OFFSHORE), LTD.	FMV	7,753,978	7,753,978
AEOLUS PROPERTY CATASTROPHE KEYSTONE PF FUND, L.P.	FMV	2,170,973	2,170,973
AKRE FOCUS FD INST (AKRIX) 252,093.790 SHARES	FMV	11,497,997	11,497,997
ALTOR FUND III (NO. 2), L.P.	FMV	496,618	496,618
ALTOR FUND IV (NO. 1), AB	FMV	1,995,090	1,995,090
ALTOR FUND V (NO. 1), AB	FMV	29,641	29,641
AMANSA FEEDER, LTD.	FMV	2,229,875	2,229,875
ANGEL OAK MULTI STRATEGY INCOME FD (ANGIX) 584,654.927 SHARES	FMV	6,448,744	6,448,744
BAIN CAPITAL EUROPE FUND III, L.P.	FMV	139,381	139,381
BIL, LTD.	FMV	4,153,504	4,153,504
BROOKSIDE CAYMAN, LTD.	FMV	2,701	2,701
CERBERUS INTERNATIONAL SPV, LTD.	FMV	250,328	250,328
CVC EUROPEAN EQUITY PARTNERS V	FMV	382,496	382,496
D.E. SHAW OCULUS INTERNATIONAL FUND	FMV	1,375,738	1,375,738
D1 CAPITAL PARTNERS OFFSHORE, L.P.	FMV	5,267,975	5,267,975
DODGE & COX INTL STOCK FUND (DODFX) 8,909.841 SHARES	FMV	388,469	388,469
DODGE & COX STOCK FUND (DODGX) 1,085.317 SHARES	FMV	210,292	210,292
DYNAMO BRASIL VIII, LLC	FMV	150,192	150,192
EATON VANCE FLOATING RATE FD (EIBLX) 34,929.929 SHARES	FMV	309,130	309,130
EDGEWOOD GROWTH FD INSTL (EGFIX) 316,455.712 SHARES	FMV	12,189,874	12,189,874
ELLIOT INTERNATIONAL, LTD.	FMV	14,018,258	14,018,258
ENERGY CAPITAL PARTNERS II-B, L.P.	FMV	479,249	479,249
EP LIFE SCIENCES, LTD.	FMV	5,600,008	5,600,008
ETON PARK OVERSEAS FUND, LTD.	FMV	2,819	2,819
FARALLON CAPITAL INSTITUTIONAL PARTNERS, L.P.	FMV	114,237	114,237
FEDERAL FARM CR BKS CONS BC 2.780% DUE 11/13/29 475,000.000 SHARES	FMV	474,652	474,652
FEDERAL HOME LN BKS CONS BD 2.950% DUE 9/27/27 458,333.330 SHARES	FMV	459,581	459,581
FEDERAL HOME LN BKS CONS BD 3.040% DUE 6/29/29 525,000.000 SHARES	FMV	523,645	523,645
FEDERAL HOME LN BKS CONS BD 3.250% DUE 3/6/26 1,000,000.000 SHARES	FMV	1,012,612	1,012,612

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FEDERAL HOME LN MTG CORP POOL #C91366 4.500% DUE 4/1/31 144,195.700 SHARES	FMV	155,577	155,577
FEDERAL HOME LN MTG CORP POOL #G60081 4.000% DUE 6/1/45 144,096.906 SHARES	FMV	155,445	155,445
FEDERAL NATL MTG ASSN POOL #AL7277 3.500% DUE 7/1/28 82,735.328 SHARES	FMV	85,962	85,962
FEDERAL NATL MTG ASSN POOL #BM4123 3.000% DUE 3/1/30 181,135.135 SHARES	FMV	187,113	187,113
FEDERAL NATL MTG ASSN POOL #BM5381 3.000% DUE 6/1/28 192931.876 SHARES	FMV	198,183	198,183
FIELD STREET PARTNERS, L.P.	FMV	416,191	416,191
FORMATION8 PARTNERS FUND II, L.P.	FMV	990,568	990,568
FR XII-A PARALLEL VEHICLE, L.P.	FMV	405,006	405,006
GAOLING FEEDER, LTD.	FMV	1,013,738	1,013,738
GENERATION IM FUND PLC.	FMV	31,632,427	31,632,427
HARDING LOEVNER INTL EQUITY (HLMIX) 16,295.753 SHARES	FMV	387,839	387,839
HIG BAYSIDE DEBT & LBO FUND II, L.P.	FMV	468,794	468,794
HIG MIDDLE MARKET LBO FUND II, L.P.	FMV	2,520,551	2,520,551
HIG MIDDLE MARKET LBO FUND III, L.P.	FMV	3,705	3,705
IMPILO, AB	FMV	3,082,880	3,082,880
INSTITUTIONAL DISTRESSED OPPORTUNITIES FUND, LTD.	FMV	2,686,032	2,686,032
INVESCO OPPENHEIMER STEELPATH (MLPTX) 38,321.431 SHARES	FMV	269,783	269,783
IRONWOOD INTERNATIONAL, LTD.	FMV	793,088	793,088
JPMORGAN STRATEGIC INCOME OPPTY I (JSOSX) 10,195.802 SHARES	FMV	116,946	116,946
KHOSLA VENTURES III, L.P.	FMV	497,797	497,797
KING STREET CAPITAL, LTD.	FMV	19,464	19,464
LAURION CAPITAL, LTD.	FMV	6,048,232	6,048,232
LAZARD EMRG MKTS EQUITY (LZEMX) 14,977.836 SHARES	FMV	276,790	276,790
LBC FEEDER FUND IV, L.P.	FMV	3,256,939	3,256,939
LEGACY VENTURE IX, LLC	FMV	524,491	524,491
LEGACY VENTURE V (QP), LLC	FMV	9,661,184	9,661,184
LEGACY VENTURE VIII, LLC	FMV	3,237,331	3,237,331
LONE CASCADE, L.P.	FMV	13,623,444	13,623,444
LOOMIS SAYLES INVESTMENT (LSIIX) 11,434.331 SHARES	FMV	129,665	129,665
MATTHEWS ASIA DIVIDEND FD (MIPIX) 138,060.669 SHARES	FMV	2,411,396	2,411,396

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
METROPOLITAN WEST TOTAL RETURN (MWTSX) 12,866.734 SHARES	FMV	132,399	132,399
MILLENIUM INTERNATIONAL, LTD.	FMV	11,328,133	11,328,133
NEW SILK ROAD CHINA FUND, LTD.	FMV	2,225,718	2,225,718
NORTH HAVEN REAL ESTATE FUND VII OFFSHORE INVESTORS GLOBAL, L.P.	FMV	157,755	157,755
OCA CMTG, LLC	FMV	4,000,000	4,000,000
OCA OHA CREDIT FUND SEGREGATED PORTFOLIO	FMV	6,756,187	6,756,187
OCA SILVER LAKE V TE, LLC	FMV	2,658,930	2,658,930
OCA TBP VI, LLC	FMV	3,972,233	3,972,233
ODYSSEY INVESTMENT PARTNERS FUND V, L.P.	FMV	2,929,801	2,929,801
PATRIA-PRIVATE EQUITY FUND VI FEEDER, L.P.	FMV	281,839	281,839
PROVIDENCE STRATEGIC GROWTH III	FMV	3,785,537	3,785,537
RCH ENERGY MLP FUND, L.P.	FMV	153,783	153,783
RIVERSTONE/CARLYLE RENEWABLE & ALTERNATIVE ENERGY FUND II, L.P.	FMV	345,513	345,513
SCGE OFFSHORE FUND, L.P.	FMV	2,894,949	2,894,949
SCOPUS FUND, LTD.	FMV	291,448	291,448
SCULPTOR OVERSEAS FUND II, LTD.	FMV	6,412	6,412
SPECIALTY LOAN FUND III, L.P.	FMV	188,901	188,901
STONE RIDGE REINSURANCE RISK (SRRIX) 26,897.752 SHARES	FMV	209,533	209,533
T ROWE PRICE BLUE CHIP GROWTH FD (TBCIX) 1,695.062 SHARES	FMV	210,984	210,984
TAL CHINA FOCUS FUND	FMV	2,688,708	2,688,708
TCW DIRECT LENDING, LLC	FMV	2,170,949	2,170,949
THE KILTEARN GLOBAL EQUITY FUND	FMV	6,601,916	6,601,916
THE RESOLUTE FUND III, L.P.	FMV	2,391,241	2,391,241
TPG ENDOWMENT FUND VI, L.P.	FMV	786,250	786,250
VANGUARD 500 INDEX FD (VFIAX) 1,817.754 SHARES	FMV	541,945	541,945
VANGUARD EXTENDED MARKET INDEX FD (VEXAX) 3,876.488 SHARES	FMV	370,631	370,631
VARDE INVESTMENT PARTNERS (OFFSHORE), LTD.	FMV	14,099,251	14,099,251
VERSUS CAP MULTI MNGR REAL ESTATE INCM FD (VCMIX) 9,898.190 SHARES	FMV	281,208	281,208
VIKING GLOBAL EQUITIES III, LTD.	FMV	12,174,033	12,174,033
VIKING LONG FUND III, LTD.	FMV	14,842,670	14,842,670

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VIRGIN GREEN FUND I, L.P.	FMV	36,260	36,260
WCM FOCUSED INTL GROWTH FD (WCMIX) 335,029.240 SHARES	FMV	6,335,403	6,335,403
WOODLINE OFFSHORE FUND, LTD.	FMV	4,132,680	4,132,680

TY 2019 Legal Fees Schedule

Name: THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE	48,094	42,008		6,086

TY 2019 Other Expenses Schedule

Name: THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	24,079	0		24,079
OFFICE EXPENSES	22,758	0		22,758
OTHER K-1 EXPENSES, NET OF UBI	0	1,340,634		0

TY 2019 Other Income Schedule

Name: THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER K-1 INCOME, NET OF UBI		37,201	
GRANT REFUND	1,880		1,880

TY 2019 Other Increases Schedule

Name: THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	27,762,539

TY 2019 Other Professional Fees Schedule

Name: THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK AND INVESTMENT	1,664,318	1,664,318		0
GRANTS ADMINISTRATION	381,758	0		381,758
FINANCIAL ADMINISTRATION	106,734	0		106,734
OTHER (MISC)	27,799	0		27,799

TY 2019 Taxes Schedule

Name: THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES THRU K-1'S, NET OF UBI	0	38,488		0
STATE UBI TAX	533	0		0
FEDERAL EXCISE TAX	100,000	0		0
FOREIGN TAX WITHHELD	12,671	12,671		0

TY 2019 TransfersToControlledEntities

Name: THE SIDNEY E FRANK FOUNDATION
 C/O PKF O'CONNOR DAVIES LLP

EIN: 20-6383779

Name	US / Foreign Address	EIN	Description	Amount
TIPPET RISE FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	35-6933159	FUNDING OF CONTINUED OPERATIONS AND CONSTRUCTION OF ART CENTER.	8,000,000
ADRIAN BRINKERHOFF POETRY FOUNDATION	C/O PKF OCONNOR DAVIES LLP 665 FIFTH AVENUE NEW YORK, NY 10022	81-3608525	FUNDING.	450,000
Total				8,450,000

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047
2019

Name of the organization
THE SIDNEY E FRANK FOUNDATION
C/O PKF O'CONNOR DAVIES LLP

Employer identification number
20-6383779

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
 THE SIDNEY E FRANK FOUNDATION
 C/O PKF O'CONNOR DAVIES LLP

Employer identification number
 20-6383779

Part I
Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SIDNEY FRANK REVOCABLE TRUST C/O LOEB LOEB ATT JEROME LEVINE PARK AVE NEW YORK, NY 10154	 \$ 204,023	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.	 	 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.	 	 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.	 	 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.	 	 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.	 	 \$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization THE SIDNEY E FRANK FOUNDATION C/O PKF O'CONNOR DAVIES LLP	Employer identification number 20-6383779
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given <small>(see instructions). Use duplicate copies of Part II if additional space is needed.</small>	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____

Name of organization THE SIDNEY E FRANK FOUNDATION C/O PKF O'CONNOR DAVIES LLP	Employer identification number 20-6383779
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Part III *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.)* ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	