

Form 990-PF

OMB No 1545-0052

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2018

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending

BERNARD AND ANNE SPITZER CHARITABLE TRUST 555 MADISON AVE., 18TH FLOOR NEW YORK, NY 10022-3300

A Employer identification number 13-7298842

B Telephone number (see instructions) 212 757-5462

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply Initial return Final return Address change Initial return of a former public charity Amended return Name change

H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 642,113,403. J Accounting method Cash Accrual Other (specify)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), ending with Net investment income and Adjusted net income.

mlf

SCANNED DEC 31 2019

RECEIVED NOV 25 2019 OGDEN, UT

6

1

936

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	84,366,170.	38,606,576.	38,606,576.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,368,698.	1,127,023.	1,127,023.
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)			
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments – mortgage loans				
13 Investments – other (attach schedule) STATEMENT 8	495,961,794.	533,695,577.	547,613,481.	
14 Land, buildings, and equipment basis ▶ 54,766,323.				
Less accumulated depreciation (attach schedule) SEE STMT 9 ▶ 7,804,556.	48,942,742.	46,961,767.	54,766,323.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item l)	630,639,404.	620,390,943.	642,113,403.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ SEE STATEMENT 10)	3,625,325.	1,483,511.	
23 Total liabilities (add lines 17 through 22)	3,625,325.	1,483,511.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	627,014,079.	618,907,432.	
30 Total net assets or fund balances (see instructions)	627,014,079.	618,907,432.		
31 Total liabilities and net assets/fund balances (see instructions)	630,639,404.	620,390,943.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	627,014,079.
2 Enter amount from Part I, line 27a	2	-14,777,738.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 11	3	9,240,500.
4 Add lines 1, 2, and 3	4	621,476,841.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 12	5	2,569,409.
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	618,907,432.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE STATEMENT 13			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	3,373,401.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) [If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8]	3	106,679.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	24,645,828.	658,916,702.	0.037404
2016	5,935,556.	570,860,766.	0.010398
2015	4,693,736.	297,861,121.	0.015758
2014	9,083,143.	50,268,877.	0.180691
2013	4,946,455.	54,542,349.	0.090690

2 Total of line 1, column (d)	2	0.334941
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.066988
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	630,928,539.
5 Multiply line 4 by line 3	5	42,264,641.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	187,529.
7 Add lines 5 and 6	7	42,452,170.
8 Enter qualifying distributions from Part XII, line 4	8	36,090,456.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		1	375,058.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	375,058.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	375,058.
6 Credits/Payments			
a 2018 estimated tax pmts and 2017 overpayment credited to 2018		6 a	984,694.
b Exempt foreign organizations – tax withheld at source		6 b	
c Tax paid with application for extension of time to file (Form 8868)		6 c	
d Backup withholding erroneously withheld		6 d	8,695.
7 Total credits and payments Add lines 6a through 6d		7	993,389.
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	0.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	618,331.
11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input type="checkbox"/> 618,331. Refunded <input type="checkbox"/>		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is 'Yes' to 1 a or 1 b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered See instructions <u>NY</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	X	
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>(NYS ATTORNEY GENERAL) WWW.CHARITIESNYS.COM</u>	X	
14 The books are in care of <u>THE CHARITABLE TRUST</u> Telephone no <u>212-757-5462</u> Located at <u>555 MADISON AVE., 18TH FLOOR NEW YORK NY</u> ZIP + 4 <u>10022-3300</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country <u>VARIOUS - FINCEN 114</u>	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If 'Yes,' did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)		N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No N/A

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

	Yes	No
5 b	N/A	
6 b		X
7 b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		605,274.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
LEON D ALPERN & CO. 100 CROSSWAYS PK DR WEST #401 WOODBURY, NY 11797	ACCOUNTING	93,170.
KOYA LEADERSHIP PARTNERS 44 MERRIMAC STREET NEWBURYPORT, MA 01950	CONSULTING	89,248.
----- ----- -----		
----- ----- -----		
----- ----- -----		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A ----- -----	
2 ----- -----	
3 ----- -----	
4 ----- -----	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
1 N/A ----- -----		
2 ----- -----		
All other program-related investments. See instructions. 3 ----- -----		
Total. Add lines 1 through 3		0.

BAA

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	379,077,653.
b	Average of monthly cash balances	1 b	61,486,373.
c	Fair market value of all other assets (see instructions)	1 c	210,172,562.
d	Total (add lines 1a, b, and c)	1 d	650,736,588.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	10,200,000.
3	Subtract line 2 from line 1d	3	640,536,588.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	9,608,049.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	630,928,539.
6	Minimum investment return. Enter 5% of line 5	6	31,546,427.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	31,546,427.
2 a	Tax on investment income for 2018 from Part VI, line 5	2 a	375,058.
b	Income tax for 2018 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	375,058.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,171,369.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	31,171,369.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,171,369.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1 a	36,090,456.
b	Program-related investments – total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	36,090,456.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	36,090,456.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				31,171,369.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			31,481,764.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 36,090,456.				
a Applied to 2017, but not more than line 2a			31,481,764.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0			
d Applied to 2018 distributable amount				4,608,692.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				26,562,677.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
 ANNE SPITZER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
 ANNE SPITZER

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

 SEE STATEMENT 15

b The form in which applications should be submitted and information and materials they should include

 SEE STATEMENT FOR LINE 2A

c Any submission deadlines

 SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

 SEE STATEMENT FOR LINE 2A

Part XVI Supplementary Information (continued)

3. Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT 16				
Total				▶ 3 a 34,337,339.
b <i>Approved for future payment</i>				
Total				▶ 3 b

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

OMB No 1545-0047

2018

Name of the organization **BERNARD AND ANNE SPITZER
CHARITABLE TRUST**

Employer identification number
13-7298842

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- 501(c)() (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering 'N/A' in column (b) instead of the contributor name and address), II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

Name of organization BERNARD AND ANNE SPITZER	Employer identification number 13-7298842
---	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EDUCATION TRUST - ZACHARY SPITZER ----- 555 MADISON AVE ----- NEW YORK, NY 10022 -----	\$ 49,887.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization **BERNARD AND ANNE SPITZER** Employer identification number **13-7298842**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ _____ N/A
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

STATEMENT 1
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	K-1 PASSTHRU NET SHORT TERM GAIN		
DATE ACQUIRED:			
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/31/2018		
TO WHOM SOLD:			
GROSS SALES PRICE:	9,057.		
COST OR OTHER BASIS:	0.		
BASIS METHOD:	COST		
DEPRECIATION:	0.		
		GAIN (LOSS)	9,057.

DESCRIPTION:	K-1 PASSTHRU NET LONG TERM GAIN		
DATE ACQUIRED:			
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/31/2018		
TO WHOM SOLD:			
GROSS SALES PRICE:	945,185.		
COST OR OTHER BASIS:	0.		
BASIS METHOD:	COST		
DEPRECIATION:	0.		
		GAIN (LOSS)	945,185.

DESCRIPTION:	BETHESDA ANNEX		
DATE ACQUIRED:	12/21/2011		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/28/2018		
TO WHOM SOLD:			
GROSS SALES PRICE:	463,270.		
COST OR OTHER BASIS:	0.		
BASIS METHOD:	COST		
DEPRECIATION:	0.		
		GAIN (LOSS)	463,270.

TOTAL \$ 1,417,512.

STATEMENT 2
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NET K-1 PASS THRU ITEMS	\$11,158,458.	\$10,586,677.	
OTHER INCOME	8,468.	8,468.	
TOTAL	<u>\$11,166,926.</u>	<u>\$10,595,145.</u>	<u>\$ 0.</u>

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 3
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	\$ 30,288.	\$ 30,288.		
TOTAL	<u>\$ 30,288.</u>	<u>\$ 30,288.</u>		<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	\$ 93,170.	\$ 93,170.		
TOTAL	<u>\$ 93,170.</u>	<u>\$ 93,170.</u>		<u>\$ 0.</u>

STATEMENT 5
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING	\$ 146,719.			\$ 146,719.
TOTAL	<u>\$ 146,719.</u>	<u>\$ 0.</u>		<u>\$ 146,719.</u>

STATEMENT 6
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	\$ 135,584.			
NYS CORP TAX - CT-13	27,856.			
TOTAL	<u>\$ 163,440.</u>	<u>\$ 0.</u>		<u>\$ 0.</u>

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 7
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE EXPENSES	\$ 90,744.			\$ 90,744.
AMORTIZATION	7,420.	\$ 7,420.		N/A
FILING FEES-CHAR 500	1,500.			1,500.
INSURANCE	61,696.			61,696.
MEMBERSHIP FEES	18,100.			18,100.
RENTAL EXPENSES	7,466,820.	7,466,820.		
TOTAL	<u>\$ 7,646,280.</u>	<u>\$ 7,474,240.</u>		<u>\$ 172,040.</u>

STATEMENT 8
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
<u>OTHER INVESTMENTS</u>			
MOUNTAIN LAKE PARTNERS LP	COST	\$ 11,619,732.	\$ 11,619,732.
MOUNTAIN LAKE REAL ESTATE I	COST	30,194.	30,194.
GEMS FUND 4	COST	14,744,751.	14,744,751.
TERM LOAN GOLUB INVSTMT CAPITAL FINANCE	COST	8,351,400.	8,351,400.
TERM LOAN GOLUB INVSTMT PTRS HOLDINGS	COST	80,000,000.	80,000,000.
GOLUB CAPITAL INTERNATIONAL LTD	COST	254208556.	254,208,556.
GOLUB IX INTERNATIONAL	COST	9,679,780.	22,239,171.
GOLUB X INTERNATIONAL	COST	4,583,156.	5,782,275.
BETHESDA TIC (20%)	COST	6,587,012.	6,587,012.
BETHESDA TIC (80%)	COST	37,728,580.	37,728,580.
1050 FIFTH AVE LLC	COST	3,997,863.	3,997,863.
SPITZER BRP GS LLC ARCHER	COST	13,015,748.	13,175,142.
NINTH AVENUE MEMBER LLC	COST	11,312,244.	11,312,244.
GOLUB CAPITAL INTL LADDER	COST	66,649,732.	66,649,732.
BRP LOAN - ARCHER	COST	0.	0.
BRP LOAN - CATON	COST	0.	0.
ADM INVESTOR SERVICES	COST	99,981.	99,981.
SPITZER BRP GS LLC CATON FLATS	COST	6,032,533.	6,032,533.
451 TENTH MEMBER	COST	5,054,315.	5,054,315.
TOTAL		<u>\$ 533695577.</u>	<u>\$ 547,613,481.</u>

STATEMENT 9
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
BUILDINGS	\$ 54,766,323.	\$ 7,804,556.	\$ 46,961,767.	\$ 54,766,323.
TOTAL	<u>\$ 54,766,323.</u>	<u>\$ 7,804,556.</u>	<u>\$ 46,961,767.</u>	<u>\$ 54,766,323.</u>

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 10
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

SECURITY DEPOSIT PAYABLE	\$ 426,001.
PARTNERSHIP EXCHANGE	1,057,510.
TOTAL	<u>\$ 1,483,511.</u>

STATEMENT 11
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

IRS ADDITIONAL FUNDING PER AUDIT	\$ 9,173,000.
PRIOR YEAR ADJUSTMENT	67,500.
TOTAL	<u>\$ 9,240,500.</u>

STATEMENT 12
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

ESTATE TAX SEC 6166 PAYMENT	\$ 2,466,578.
FEDERAL BUSINESS INCOME TAX	102,592.
PENALTIES AND INTEREST	239.
TOTAL	<u>\$ 2,569,409.</u>

STATEMENT 13
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	REALIZED LOSSES FUTURES CONTRACTS	PURCHASED		12/31/2018	0.		133,762.	-133,762.				\$ -133,762.
2	REALIZED LOSSES FUTURES CONTRACTS	PURCHASED		12/31/2018	0.		295,712.	-295,712.				-295,712.
3	K-1 PASSTHRU NET SHORT TERM GAIN	PURCHASED		12/31/2018	58,141.		0.	58,141.				58,141.
4	K-1 PASSTHRU NET LONG TERM GAIN	PURCHASED		12/31/2018	479,090.		0.	479,090.				479,090.
5	K-1 PASSTHRU SECTION 1231 GAIN	PURCHASED		12/31/2018	16,371.		0.	16,371.				16,371.
6	K-1 PASSTHRU SECTION 1256 LOSS	PURCHASED		12/31/2018	0.		17,449.	-17,449.				-17,449.
7	BETHESDA ANNEX	PURCHASED	12/21/2011	12/28/2018	3266722.		0.	3266722.				3266722.
											TOTAL	<u>\$ 3373401.</u>

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 14
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
EMILY SPITZER 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	TRUSTEE 1.00	\$ 0.	\$ 0.	\$ 0.
ANNE SPITZER 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	TRUSTEE 1.00	0.	0.	0.
DANIEL EVAN SPITZER 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	TRUSTEE 1.00	0.	0.	0.
ELIOT L SPITZER 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	TRUSTEE 1.00	0.	0.	0.
SARA C KAY 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	CEO 40.00	431,144.	0.	0.
DANA C YOU 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	COO 40.00	174,130.	0.	0.
TOTAL		\$ 605,274.	\$ 0.	\$ 0.

STATEMENT 15
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	FUND MANAGERS
NAME:	THE CHARITABLE TRUST
CARE OF:	555 MADISON AVE., 18TH FLOOR
STREET ADDRESS:	NEW YORK, NY 10022-3300
CITY, STATE, ZIP CODE:	212-757-5462
TELEPHONE:	
E-MAIL ADDRESS:	
FORM AND CONTENT:	DESCRIPTION OF CHARITABLE ORGANIZATION AND PURPOSE UNDER IRC SECTIONS 170(C), 2522(A) AND 2055(A).
SUBMISSION DEADLINES:	NONE
RESTRICTIONS ON AWARDS:	THE TRUST MAKES CONTRIBUTIONS TO CORPORATIONS, ORGANIZATIONS, FOUNDATIONS, INSTITUTIONS OR FUNDS ORGANIZED AND OPERATED EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES, WHICH ARE THEMSELVES QUALIFYING EXEMPT ORGANIZATIONS, PURSUANT TO ARTICLE 4(A) OF ITS CERTIFICATE OF INCORPORATION.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
EQUAL JUSTICE WORKS 2120 L STREET, N.W., #450 WASHINGTON DC 20037	NONE	EXEMPT	GENERAL PURPOSE	\$ 10,000.
THE METROPOLITAN MUSEUM OF ART 1000 5TH AVENUE NEW YORK NY 10028	NONE	EXEMPT	GENERAL PURPOSE	20,000.
MUSEUM OF JEWISH HERITAGE EJ SAFRA PLZ, 36 BATTERY PLACE NEW YORK NY 10280	NONE	EXEMPT	GENERAL PURPOSE	100,000.
PUBLIC CITIZEN FOUNDATION 1600 20TH STREET N.W. WASHINGTON DC 20009	NONE	EXEMPT	ACCOUNTABILITY PROJECT	500,000.
WAMC FOUNDATION, NORTHEAST PUBLIC RADIO 318 CENTRAL AVENUE ALBANY NY 12206	NONE	EXEMPT	GENERAL PURPOSE	25,000.
THE CITY COLLEGE 21ST CENTURY FOUNDATION 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK NY 10115	NONE	EXEMPT	ANNE AND BERNARD SPITZER SCHOOL OF ARCHITECTURE	4,000,000.
TRUSTEES OF PRINCETON UNIVERSITY PRINCETON UNIVERSITY PRINCETON NJ 08544	NONE	EXEMPT	GENERAL PURPOSE	2,500.
CAMP GOOD GRIEF OF STATEN ISLAND PO BOX 141046 STATEN ISLAND NY 10314	NONE	EXEMPT	GENERAL PURPOSE	5,000.
CENTRAL PARK CONSERVANCY 14 EAST 60TH ST NEW YORK NY 10022	NONE	EXEMPT	GENERAL PURPOSE	10,000.
MEDICARE RIGHTS CENTER 520 EIGHTH AVENUE NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	50,000.
US-AFRICA CHILDREN'S FELLOWSHIP 475 14TH STREET BROOKLYN NY 11215	NONE	EXEMPT	PURCHASE OF VEHICLE	5,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BROOKLYN COMMUNITY SERVICES 100 PENNSYLVANIA AVE BROOKLYN NY 11207	NONE	EXEMPT	GENERAL PURPOSE	\$ 1,000.
UNION SETTLEMENT ASSOCIATION 2089 3RD AVE NEW YORK NY 10029	NONE	EXEMPT	GENERAL PURPOSE	300,000.
YESHIVA KTANA OF PASSAIC 118 PENNINGTON AVE PASSAIC NJ 07055	NONE	EXEMPT	GENERAL PURPOSE	25,000.
ALLIANCE FOR JUSTICE 11 DUPONT CIRCLE, N.W. 2ND FLOOR WASHINGTON DC 20036	NONE	EXEMPT	JUSTICE PROGRAMS	150,000.
ANOTHER STEP 706 EXECUTIVE BLVD VALLEY COTTAGE NY 10989	NONE	EXEMPT	GENERAL PURPOSE	50,000.
THE ARC OF ROCKLAND COUNTY 25 HEMLOCK DRIVE CONGERS NY 10920	NONE	EXEMPT	GENERAL PURPOSE	100,000.
REPRIEVE US PO BOX 3627 NEW YORK NY 10163	NONE	EXEMPT	GENERAL PURPOSE	250,000.
BREAD FOR THE CITY 1640 GOOD HOPE RD SE WASHINGTON DC 20020	NONE	EXEMPT	CAPITAL CAMPAIGN	100,000.
DOCTORS WITHOUT BORDERS USA 333 7TH AVENUE NEW YORK NY 10001	NONE	EXEMPT	GENERAL PURPOSE	10,000.
EARTHWATCH INSTITUTE 114 WESTERN AVENUE BOSTON MA 02134	NONE	EXEMPT	GENERAL PURPOSE	10,000.
FOOD BANK OF THE HUDSON VALLEY 195 HUDSON ST CORNWALL-ON-HUDSON NY 12520	NONE	EXEMPT	GENERAL PURPOSE	5,000.
JAWONIO FOUNDATION 260 NORTH LITTLE TOR RD NEW CITY NY 10956	NONE	EXEMPT	GENERAL PURPOSE	3,600.

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
JCC ROCKLAND 450 W NYACK RD WEST NYACK NY 10994	NONE	EXEMPT	GENERAL PURPOSE	\$ 10,000.
MEALS ON WHEELS ROCKLAND 121 W NYACK RD NANUET NY 10954	NONE	EXEMPT	GENERAL PURPOSE	10,000.
NATIONAL MULTIPLE SCLEROSIS SOCIETY NYC 733 3RD AVE NEW YORK NY 10017	NONE	EXEMPT	GENERAL PURPOSE FOR NYC-SOUTHERN NEW YORK CHAPTER	5,000.
NATURAL RESOURCES DEFENSE COUNCIL 40 W 20TH ST NEW YORK NY 10011	NONE	EXEMPT	NRDC'S FEDERAL CLEAN ENERGY INNOVATION PROJECT	250,000.
NYC POLICE FOUNDATION 555 5TH AVE NEW YORK NY 10017	NONE	EXEMPT	GENERAL PURPOSE	10,000.
PHYSICIANS FOR HUMAN RIGHTS 256 W 38TH ST NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	5,000.
PINE PLAINS MEMORIAL HALL, INC. 1891 ROUTE 83 PINE PLAINS NY 12567	NONE	EXEMPT	GENERAL PURPOSE	75,000.
STONE SOUP FILMS 1921 SUNDERLAND PL NW WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	2,500.
AMERICAN FDN FOR SUICIDE PREVENTION 120 WALL ST, 29TH FLOOR NEWYORK NY 10005	NONE	EXEMPT	GENERAL PURPOSE	11,500.
THE BICOL CLINIC FOUNDATION 951 NW 13TH ST BOCA RATON FL 33486	NONE	EXEMPT	GENERAL PURPOSE	2,500.
SAFE FOUNDATION PO BOX 230060 BROOKLYN NY 11223	NONE	EXEMPT	GENERAL PURPOSE	10,000.
UNITED HOSPICE OF ROCKLAND 11 STOKUM LN NEW CITY NY 10956	NONE	EXEMPT	GENERAL PURPOSE	10,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
VIOLENCE POLICY CENTER 1730 RHODE ISLAND AVENUE NW WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	\$ 20,000.
WASHINGTON LEGAL CLINIC FOR THE HOMELESS 1200 U ST NW #3 WASHINGTON DC 20009	NONE	EXEMPT	SPITZER FELLOWS PROGRAM AND GENERAL OPERATIONS	500,000.
WORLD WILDLIFE FUND 1250 24TH ST NW WASHINGTON DC 20037	NONE	EXEMPT	GENERAL PURPOSE	25,000.
VARIOUS ORGANIZATIONS 100 CROSSWAYS PARK WEST WOODBURY NY 11797	NONE	EXEMPT	GENERAL PURPOSE	2,020.
AIDS WALK BOSTON 175 AMORY STREET BOSTON MA 02119	NONE	EXEMPT	GENERAL PURPOSE	10,000.
BROOKLYN GREENWAY INITIATIVE 145 COLUMBIA HTS BROOKLYN NY 11231	NONE	EXEMPT	GENERAL PURPOSE	15,000.
CHAI LIFELINE 151 WEST 30TH STREET NEW YORK NY 10001	NONE	EXEMPT	GENERAL PURPOSE	36,000.
THE CORAL REEF ALLIANCE 1330 BROADWAY SUITE 1602 OAKLAND CA 94612	NONE	EXEMPT	GENERAL PURPOSE	5,000.
DC AFFORDABLE LAW FIRM 1717 K STREET, NW WASHINGTON DC 20006	NONE	EXEMPT	SUPPLEMENTAL SALARY SUPPORT	90,000.
DC CENTRAL KITCHEN 425 2ND STREET, NW WASHINGTON DC 20001	NONE	EXEMPT	GENERAL PURPOSE	10,000.
HADASSAH 40 WALL STREET NEW YORK NY 10005	NONE	EXEMPT	THE FULL CIRCLE CAMPAIGN	25,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE SUITE 236 NEW YORK NY 10004	NONE	EXEMPT	IMMIGRANT JUSTICE CORPS FELLOWSHIP	600,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
INTERNATIONAL RESCUE COMMITTEE 200 COMMERCE PLACE #4 ELIZABETH NJ 07201	NONE	EXEMPT	GENERAL PURPOSE	\$ 25,000.
MARTHA'S TABLE 2114 14TH STREET NW WASHINGTON DC 20009	NONE	EXEMPT	GENERAL PURPOSE	25,000.
MIDDLEBURY COLLEGE OLD CHAPEL MIDDLEBURY VT 05753	NONE	EXEMPT	GENERAL PURPOSE	10,000.
OCEAN CONSERVANCY 1300 13TH STREET, NW 8TH FL WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	10,000.
PROTEUS FUND 15 RESEARCH DRIVE SUITE B AMHERST MA 01002	NONE	EXEMPT	PIPER FUND	800,000.
REEF ENVIROMENTAL EDUCATION FOUNDATION 98300 OVERSEAS HIGHWAY KEY LARGO FL 33037	NONE	EXEMPT	GENERAL PURPOSE	5,000.
RHINO CONSERVATION TRUST USA 6753 HIWASSEE RUN AIKEN SC 29803	NONE	EXEMPT	GENERAL PURPOSE	25,000.
TEAM CARLY ROCKS FOUNDATION 6900 HAMILTON BLVD UNIT 285 TREXIERTOWN PA 18087	NONE	EXEMPT	GENERAL PURPOSE	1,000.
MICHAEL J FOX FND FOR PARKINSON'S RES. PO BOX 5014 HAGERSTOWN MD 21741	NONE	EXEMPT	GENERAL PURPOSE	200,000.
OCEAN FOUNDATION 13920 19TH STREET, NW 5TH FL WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	5,000.
REFORM TEMPLE OF ROCKLAND 330 N HIGHLAND AVENUE NYACK NY 10960	NONE	EXEMPT	GENERAL PURPOSE	10,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THE REGENTS OF THE UNIV OF CALIFORNIA 1111 FRANKLIN ST 12TH FL OAKLAND CA 94607	NONE	EXEMPT	AXON GROWTH PROJECT 300,000 PLANNING AND PREPARATION FOR DR. TUSZYNSKI'S FIRST CLINICAL TRIAL 50,000	\$ 350,000.
WARRIOR-SCHOLAR PROJECT 1012 14TH STREET, NW WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	5,000.
WASH LAWYERS COMMITTEE FOR CIVIL RIGHTS 1401 NEW YORK AVENUE, NW SUITE 400 WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	25,000.
ACUMEN 40 WORTH STREET, SUITE 303 NEW YORK NY 10013	NONE	EXEMPT	PIONEER ENERGY INVESTMENT INITIATIVE	500,000.
AMERICAN CAMP ASSOCIATION 108 W 39TH STREET, 15TH FLOOR NEW YORK NY 10018	NONE	EXEMPT	CAMP LEE MAR FUND	25,000.
AMERICAN CONSTITUTION SOCIETY 1899 L STREET NW, 2ND FLOOR WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	750,000.
BRAC USA 110 WILLIAM STREET 18TH FLOOR NEW YORK NY 10038	NONE	EXEMPT	SUPPORT EFFORTS TO HELP THE ROHINGYA OF MYANMAR	25,000.
BIPARTISAN POLICY CENTER 1225 EYE STREET NW SUITE 1000 WASHINGTON DC 20005	NONE	EXEMPT	ADOPTION WORKING GROUP 350,000 CLEAN ENERGY AND CLIMATE PROGRAM 550,000	900,000.
CENTER ON BUDGET AND POLICY PRIORITIES 820 FIRST STREET, NE, SUITE 510 WASHINGTON DC 20002	NONE	EXEMPT	PROTECTING THE STATE-FEDERAL SAFETY NET THROUGH STATE LEVEL ANALYSIS AND ACTION	1,500,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CENTER FOR CLIMATE AND ENERGY SOLUTIONS 2101 WILSON BLVD. SUITE 550 ARLINGTON VA 22201	NONE	EXEMPT	CLIMATE INNOVATION 2050	\$ 500,000.
CITIZENS FOR RESPONS. AND ETHICS IN WASH 455 MASSACHUSETTS AVENUE NW WASHINGTON DC 20001	NONE	EXEMPT	GENERAL PURPOSE	650,000.
CLEAN AIR TASK FORCE 114 STATE STREET 6TH FLOOR BOSTON MA 02109	NONE	EXEMPT	GENERAL PURPOSE	500,000.
COMMUNITY CATALYST, INC ONE FEDERAL STREET, 5TH FLOOR BOSTON MA 02110	NONE	EXEMPT	PRESERVING AND ADVANCING CONSUMER COVERAGE AND PROTECTION	750,000.
CONSERVATION INTERNATIONAL 2011 CRYSTAL DRIVE, SUITE 500 ARLINGTON VA 22202	NONE	EXEMPT	SUPPORT TO "RESCUE" RETETI ELEPHANT SANCTUARY COMMUNITY UNITED FOR ELEPHANTS	10,000.
CONSTITUTIONAL ACCOUNTABILITY CENTER 1200 18TH STREET NW SUITE 501 WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	600,000.
DENNIS P. MCHUGH PIERMONT PUBLIC LIBRARY 25 FLYWHEEL PARK WEST PIERMONT NY 10968	NONE	EXEMPT	GENERAL PURPOSE	10,000.
ENERGY INNOVATION REFORM PROJECT 3100 CLARENDON BOULEVARD, SUITE 200 ARLINGTON VA 22201	NONE	EXEMPT	GENERAL PURPOSE	500,000.
ENERGY OPTIONS NETWORK 120 LAKE VIEW AVE CAMBRIDGE MA 02138	NONE	EXEMPT	GENERAL PURPOSE	500,000.
GREAT PLAINS INST. FOR SUSTAINABLE DEVEL 2801 21ST AVE S, SUITE 220 MINNEAPOLIS MN 55407	NONE	EXEMPT	GENERAL PURPOSE	800,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
HUMANE RESCUE ALLIANCE PO BOX 96312 WASHINGTON DC 20090	NONE	EXEMPT	GENERAL PURPOSE	\$ 5,000.
INFORMATION TECH AND INNOVATION FND 1101 K STREET NW, SUITE 610 WASHINGTON DC 20005	NONE	EXEMPT	ITIF CLEAN ENERGY INNOVATION POLICY PROJECT	393,619.
KEEP ROCKLAND BEAUTIFUL 120-126 NORTH MAIN STREET NEW CITY NY 10956	NONE	EXEMPT	GENERAL PURPOSE	2,000.
LAF 120 S LASALLE STREET, SUITE 900 CHICAGO IL 60603	NONE	EXEMPT	GENERAL PURPOSE	10,000.
LEGAL AID SOCIETY OF D.C. 1331 H STREET NW WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	25,000.
MAZON: A JEWISH RESPONSE TO HUNGER 10850 WILSHIRE BLVD, SUITE 400 LOS ANGELES CA 90024	NONE	EXEMPT	GENERAL PURPOSE	5,000.
NATIONAL IMMIGRATION LAW CENTER 3450 WILSHIRE BLVD #108-62 LOS ANGELES CA 90010	NONE	EXEMPT	GENERAL PURPOSE	600,000.
NATIONAL SECURITY ARCHIVE FUND 2130 H STREET, NW WASHINGTON DC 20037	NONE	EXEMPT	GENERAL PURPOSE	650,000.
NATIONAL WOMEN'S LAW CENTER 11 DUPONT CIRCLE NW #800 WASHINGTON DC 20036	NONE	EXEMPT	REPRODUCTIVE RIGHTS AND HEALTH PROGRAM	300,000.
NEO PHILANTHROPY 45 W 36TH STREET, 6TH FLOOR NEW YORK NY 10018	NONE	EXEMPT	STATE INFRASTRUCTURE FUND	1,300,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE SOUTH NEW YORK NY 10012	NONE	EXEMPT	INSTITUTE FOR POLICY INTEGRITY	250,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
NEXT FOR AUTISM 1430 BROADWAY, 8TH FLOOR NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	\$ 15,000.
NORTH BROOKLYN ANGELS 42 WEST ST. #202 BROOKLYN NY 11222	NONE	EXEMPT	GENERAL PURPOSE	5,000.
OPEN SPACE ALLIANCE FOR NORTH BROOKLYN 86 KENT AVE BROOKLYN NY 11249	NONE	EXEMPT	GENERAL PURPOSE	5,000.
PENGUIN PLAYERS, LTD 7 CRICKETOWN RD STONY POINT NY 10980	NONE	EXEMPT	GENERAL PURPOSE	5,000.
PROJECT ON GOVERNMENT OVERSIGHT 1100 G STREET NW, SUITE 500 H NY 10023	NONE	EXEMPT	GENERAL PURPOSE	500,000.
SAFE PASSAGE PROJECT CORPORATION 185 WEST BROADWAY NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	75,000.
THE BREAKTHROUGH INSTITUTE 436 14TH STREET, SUITE 820 OAKLAND CA 94612	NONE	EXEMPT	GENERAL PURPOSE 500,000 EXTENDED AGRICULTURAL SCOPING: SUSTAINA BLE INTENSIFICATION 250,000	750,000.
CENTER FOR REPRODUCTIVE RIGHTS 199 WATER STREET NEW YORK NY 10038	NONE	EXEMPT	U.S. PROGRAM	600,000.
JOHN LEGE PROJECT 235 E 12TH STREET, APT 2B NEW YORK NY 10003	NONE	EXEMPT	GENERAL PURPOSE	1,000.
LEADERSHIP CONFERENCE EDUCATION FUND 1620 L STREET NW, SUITE 1100 WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	800,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
LUNCHBOX FUND P.O. BOX 10 NEW YORK NY 10276	NONE	EXEMPT	GENERAL PURPOSE	\$ 10,000.
PROTECT DEMOCRACY PROJECT 2020 PENNSYLVANIA AVE NW WASHINGTON DC 20006	NONE	EXEMPT	GENERAL PURPOSE	500,000.
THE RICHARDSON CTR FOR GLOBAL ENGAGEMENT 216 WASHINGTON AVE. SUITES 1 & 2 SANTA FE NM 87501	NONE	EXEMPT	GENERAL PURPOSE	25,000.
THICH NHAT HAHN FOUNDATION 2499 MELRU LANE ESCONDIDO CA 92026	NONE	EXEMPT	GENERAL PURPOSE	5,000.
THIRD WAY INSTITUTE 1025 CONNECTICUT AVE NW, SUITE 400 WASHINGTON DC 20036	NONE	EXEMPT	CLEAN ENERGY INNOVATION CAMPAIGN	500,000.
TZEDEK DC 4340 CONNECTICUT AVE NW WASHINGTON DC 20008	NONE	EXEMPT	GENERAL PURPOSE	9,000.
WHEDCO 50 EAST 168TH STREET BRONX NY 10452	NONE	EXEMPT	GENERAL PURPOSE	4,500.
WORLD RESOURCES INSTITUTE 10 G STREET NE SUITE 800 WASHINGTON DC 20002	NONE	EXEMPT	WRI CLEAN ENERGY OUTREACH PROJECT	200,000.
500 WOMEN SCIENTISTS 910 WAITE DRIVE BOULDER CO 80303	NONE	EXEMPT	GENERAL PURPOSE	3,000.
ACTIVATION ENERGY, INC. 2150 SHATTUCK AVENUE # 300 BERKELEY CA 94704	NONE	EXEMPT	GENERAL PURPOSE	300,000.
AMERICAN OVERSIGHT 1030 15TH ST NW WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	500,000.
ANDERSON FOUNDATION FOR AUTISM, INC. 4885 U.S. 9 STAATSBURG NY 12580	NONE	EXEMPT	GENERAL PURPOSE	5,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
ARLINGTON FOOD ASSISTANCE CENTER 2708 S NELSON ST ARLINGTON VA 22206	NONE	EXEMPT	GENERAL PURPOSE	\$ 1,200.
AUSCHWITZ JEWISH CENTER FOUNDATION, INC. 36 BATTERY PL NEW YORK NY 10280	NONE	EXEMPT	GENERAL PURPOSE	10,000.
BLACK GIRLS CODE INC. P.O. BOX 640926 SAN FRANCISCO CA 94164	NONE	EXEMPT	GENERAL PURPOSE	1,200.
BROOKLYN ACADEMY OF MUSIC INC 30 LAFAYETTE AVE BROOKLYN NY 11217	NONE	EXEMPT	GENERAL PURPOSE	5,000.
CAIR COALITION 1612 K STREET NW WASHINGTON DC 20006	NONE	EXEMPT	GENERAL PURPOSE	25,000.
CARBON 180 80 M ST SE WASHINGTON DC 20003	NONE	EXEMPT	GENERAL PURPOSE	300,000.
THE ENERGY FOR GROWTH HUB, INC. 2055 L ST NW WASHINGTON DC 20036	NONE	EXEMPT	ENERGY FOR GROWTH HUB	400,000.
CENTER FOR LAW & SOCIAL POLICY 1200 18TH ST NW WASHINGTON DC 20036	NONE	EXEMPT	PROTECTING IMMIGRANT FAMILIES CAMPAIGN	500,000.
CHANGE FOR KIDS INC. 110 E 23RD STREET, FIFTH FLOOR NEW YORK NY 10010	NONE	EXEMPT	GENERAL PURPOSE	1,200.
CHURCHES UNITED FOR FAIR HOUSING, INC. 7 MARCUS GARVEY BLVD BROOKLYN NY 11206	NONE	EXEMPT	GENERAL PURPOSE	5,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
TRUSTEES OF COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK NY 10027	NONE	EXEMPT	CENTER ON GLOBAL ENERGY POLICY 400,000 HUDSON RIVER FIELD STATION 39,400	\$ 439,400.
COMMITTEE TO PROTECT JOURNALISTS, INC. 330 7TH AVE #11 NEW YORK NY 10001	NONE	EXEMPT	GENERAL PURPOSE	25,000.
ECOADAPT P.O. BOX 11195 BAINBRIDGE ISLAND WA 98110	NONE	EXEMPT	ASSESSING THE STATE OF CLIMATE ADAPTATION IN MARINE AND COAST	300,000.
ENVIRONMENTAL AND ENERGY STUDY INSTITUTE 1020 19TH STREET, NW, SUITE 650 WASHINGTON DC 20036	NONE	EXEMPT	ADAPTING TO CLIMATE CHANGE	300,000.
ENVIRONMENTAL DEFENSE FUND 257 PARK AVE S NEW YORK NY 10010	NONE	EXEMPT	ADVANCING INNOVATION AND CLEAN LOW-CARBON TECHNOLOGY	300,000.
EXONERATION INITIATIVE 233 BROADWAY #2370 NEW YORK NY 10279	NONE	EXEMPT	GENERAL PURPOSE	10,000.
EXPANDED SCHOOLS 11 W 42ND ST NEW YORK NY 10036	NONE	EXEMPT	GENERAL PURPOSE	2,000.
GALAPAGOS CONSERVANCY 11150 FAIRFAX BLVD # 408 FAIRFAX VA 22030	NONE	EXEMPT	GENERAL PURPOSE	5,000.
GEORGETOWN LAW 3700 O ST NW WASHINGTON DC 20057	NONE	EXEMPT	GENERAL PURPOSE	5,000.
GIFFORDS LAW CENTER TO PREVENT GUN VIOLE 268 BUSH STREET #555 SAN FRANCISCO CA 94104	NONE	EXEMPT	GENERAL PURPOSE	10,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
HUMAN RIGHTS FIRST 75 BROAD ST 31ST FLOOR NEW YORK NY 10004	NONE	EXEMPT	THE WILLIAM D ZABEL LEGACY FUND FOR HUMAN RIGHTS	\$ 25,000.
IMMIGRANT LEGAL RESOURCE CENTER 1458 HOWARD ST SAN FRANCISCO CA 94103	NONE	EXEMPT	THE NEW AMERICANS CAMPAIGN	750,000.
INTERNATIONAL ANTI-POACHING FOUNDATION 1655 N. FORT MYER DRIVE, #700 ARLINGTON VA 22209	NONE	EXEMPT	GENERAL PURPOSE	10,000.
INTERNATIONAL DOCUMENTARY ASSOCIATION 3470 WILSHIRE BLVD LOS ANGELES CA 90010	NONE	EXEMPT	CAPTURING THE FLAG	10,000.
JASA 247 WEST 37TH STREET NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	2,500.
JDRF 26 BROADWAY, 14TH FLOOR NEW YORK NY 10004	NONE	EXEMPT	GENERAL PURPOSE 500,000 JDRF T1D FUND LLC 50,000	250,000.
THE KELLERMANN FOUNDATION P.O. BOX 832809 RICHARDSON TX 75083	NONE	EXEMPT	PATIENT CARE AT BWINDI COMMUNITY HOSPITAL	50,000.
LEWA WILDLIFE CONSERVANCY USA P.O BOX 4449 NEW YORK NY 10163	NONE	EXEMPT	GENERAL PURPOSE	5,000.
MILLERTON CINEMA ARTS & CULTURAL CENTER PO BOX 643 MILLERTON NY 12546	NONE	EXEMPT	ELEVATOR CAMPAIGN	1,000.
NANTUCKET COTTAGE HOSPITAL 57 PROSPECT ST NANTUCKET MA 02554	NONE	EXEMPT	ASHLEY SANFORD MUSIC THERAPY PROGRAM	2,500.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
NUCLEAR INNOVATION ALLIANCE 15 SKYSAIL COURT JAMESTOWN RI 02835	NONE	EXEMPT	GENERAL PURPOSE	\$ 500,000.
NYACK HOSPITAL FOUNDATION, INC. 160 N MIDLAND AVE NYACK NY 10960	NONE	EXEMPT	GENERAL PURPOSE	20,000.
PANTHERA 8 W 40TH ST 18TH FLOOR NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	5,000.
PRESCHOOL ADVANTAGE, INC. 25 LINDSLEY DR SUITE 307 MORRISTOWN NJ 07960	NONE	EXEMPT	GENERAL PURPOSE	2,500.
PUBLIC POLICY & EDUCATION FUND 94 CENTRAL AVENUE ALBANY NY 12206	NONE	EXEMPT	EDUCATION EQUITY WITH ALLIANCE FOR QUALITY EDUCATION	10,000.
QUEENS COLLEGE FOUNDATION INC. 65-30 KISSENA BLVD FLUSHING NY 11367	NONE	EXEMPT	GENERAL PURPOSE	1,000.
REPORTERS COMMITTEE FOR FREEDOM OF THE P 1156 15TH ST NW WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	10,000.
RESEARCH FOUNDATION OF THE CITY UNIV NY 230 W 41ST ST #7 NEW YORK NY 10036	NONE	EXEMPT	SCIENCE AND RESILENCE INSTITUTE AT JAMAICA BAY	500,000.
ROCK AND ROLL FOREVER FOUNDATION 434 AVENUE OF THE AMERICAS #6 NEW YORK NY 10011	NONE	EXEMPT	GENERAL PURPOSE	25,000.
SAN FRANCISCO ESTUARY INSTITUTE 4911 CENTRAL AVE RICHMOND CA 94804	NONE	EXEMPT	GENERAL PURPOSE	500,000.
SHE SHOULD RUN 80 M ST SE, FLOOR 1 WASHINGTON DC 20003	NONE	EXEMPT	GENERAL PURPOSE	1,200.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
SIERRA CLUB FOUNDATION 2101 WEBSTER ST #1250 OAKLAND CA 94612	NONE	EXEMPT	GENERAL PURPOSE	\$ 10,000.
SILICON VALLEY COMMUNITY FOUNDATION, 2440 WEST EL CAMINO REAL, SUITE 300 MOUNTAIN VIEW CA 94040	NONE	EXEMPT	SAFE: SCRUBS ADDRESSING THE FIREARM EPIDEMIC	13,900.
SPONSORS FOR EDUCATIONAL OPPORTUNITY, IN 55 EXCHANGE PL SUITE 601 NEW YORK NY 10005	NONE	EXEMPT	GENERAL PURPOSE	15,000.
STAYING ALIVE FOUNDATION INC. 1515 BROADWAY, FLOOR 21 NEW YORK NY 10036	NONE	EXEMPT	GENERAL PURPOSE	1,000.
T OFF 4 AUTISM INC. 23 GARNETT PL. MELVILLE NY 11747	NONE	EXEMPT	GENERAL PURPOSE	10,000.
THE GERMAN MARSHALL FUND OF THE US 1744 R STREET NW WASHINGTON DC 20009	NONE	EXEMPT	ALLIANCE FOR SECURING DEMOCRACY	550,000.
THE HOPEWELL FUND 1201 CONNECTICUT AVENUE NW NO 300 WASHINGTON DC 20036	NONE	EXEMPT	ARTICLE V CAMPAIGN 300,000 THE GYNUITY FUND 300,000	600,000.
THE NATIONAL HEALTH LAW PROGRAM, INC. 1444 I ST NW #1105 WASHINGTON DC 20005	NONE	EXEMPT	DEFENDING MEDICAID AND THE ACA	750,000.
THE PUBLIC THEATER 425 LAFAYETTE ST NEW YORK NY 10003	NONE	EXEMPT	GENERAL PURPOSE	100,000.
THE FRANKLIN & ELEANOR ROOSEVELT INST. 570 LEXINGTON AVENUE, 5TH FLOOR NEW YORK NY 10022	NONE	EXEMPT	GENERAL PURPOSE	10,000.

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
UNICEF USA 125 MAIDEN LN NEW YORK NY 10038	NONE	EXEMPT	PROVIDE DESKS FOR STUDENTS IN MALAWI	\$ 1,000.
WE MAKE NOISE INC. 75 BEAVER STREET BOX 181 BROOKLYN NY 11206	NONE	EXEMPT	SUPPORT EDUCATED LITTLE MONSTERS	5,000.
WETLANDS WATCH 2601 GRANBY ST NORFOLK VA 23517	NONE	EXEMPT	GENERAL PURPOSE	50,000.
WILLIAMS J. BRENNAN JR. CNTR FOR JUSTICE 120 BROADWAY #1750 NEW YORK NY 10271	NONE	EXEMPT	BERNARD AND ANNE SPITZER FELLOWS AND 20TH ANNIVERSARY BRENNAN LEGACY FUND 500,000 GENERAL PURPOSE 550,000	1,050,000.
TOTAL				<u>\$ 34,337,339.</u>

CLIENT SPITZTR

BERNARD AND ANNE SPITZER
CHARITABLE TRUST

13-7298842

11/07/19

03 26PM

990-PF PAGE 5 PART VII-A QUESTION 11

CONTROLLED ENTITY: 1050 FIFTH AVENUE LLC
C/O SPITZER ENGINEERING
555 MADISON AVE, 18TH FLOOR
NEW YORK, NY 10022-3300
EIN: 13-4137477

1050 FIFTH AVENUE LLC IS NOT AN EXCESS BUSINESS HOLDING