Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury Internal Revenue Service

► Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990PF for instructions and the latest information

,	For ca	lendar year 2017 or tax year beg	ginning	, 2017	, and ending		,	
					ρ.		er identification nun	nber
		ARD AND ANNE SPITZEF RITABLE TRUST	(L _E		7298842 ne number (see insti	ructions)
		MADISON AVE., 18TH F	LOOR			•	757-5462	octions,
	NEW	YORK, NY 10022-3300				If exemp	ption application is	pending, check here 🕨 🗌
	G Ch	eck all that apply Initial re	H	Initial return of a forr	ner public charity	1 Foreign	organizations, chec	k here 🕨 🗌
		Final re	1	Amended return		2 Foreign	araanizatione maat	ing the 85% test, check
	<u>п</u> Сь		change	Name change (c)(3) exempt private f	avadation Old		d attach computatio	
	H Ch	Section 4947(a)(1) nonexempt	-1		private foundation E	If nowat	te foundation status	was terminated
	I Fau	r market value of all assets at end of year		counting method X C			ection 507(b)(1)(A)	
		om Part II, column (c), line 16)		Other (specify)		If the fo	oundation is in a 60-	.month termination
	_ ► §	0,,,010,000.		column (d) must be or			ection 507(b)(1)(B)	
	Part	Analysis of Revenue a	nd	(a) Revenue and	(b) Net investment	(c) A	djusted net	(d) Disbursements
200		Expenses (The total of am- columns (b), (c), and (d) ma sarily equal the amounts in ((see instructions))	y not neces-	expenses per books	income		income	for charitable purposes (cash basis only)
0.1		1 Contributions, gifts, grants, etc., received (a	•					
1		2 Check ► X if the foundation is not rec	uired to attach Sch B					· · · · · · · · · · · · · · · · · · ·
		3 Interest on savings and temporary cash inve	estments	3,789,569.	3,789,569		N/A	
		4 Dividends and interest from securities		2,053,405.	2,053,405			
⊕ .		5 a Gross rents b Net rental income _ 1		10,886,478.	9,868,336		2 10 1121 2 1 2 2	
20	_	or (loss)	241,258.	2 020 020				
~	R E	6 a Net gain or (loss) from sale of assets not o b Gross sales price for all	293,043.	2,038,830.	<u> </u>	 	RF	CEIVED
3/4	V E	7 Capital gain net income (from Par	•		2,038,830		 	70
SCANNED MAR & 7 2019	N	8 Net short-term capital gain					150 101	1 0
3	U E	9 Income modifications 10 a Gross sales less					1 100	100 7010 B
9	_	ieturns and allowances						\
₹		b Less Cost of goods sold				1	1 OG	DEN. UT 1
Z		C Gross profit or (loss) (attach schedule).		1				\
उँ		11 Other income (attach schedule)					,	\
S		1	TEMENT 1	670,735.	306,304			`
	_	12 Total Add lines 1 through 11 13 Compensation of officers, director	e tructone atc	19,439,017. 577,481.	18,056,444			\577,481.
		14 Other employee salaries and was	•	30,288.				30,288.
N		15 Pension plans, employee benefits		124,307.				124,307.
1	Ą	, , , , , , , , , , , , , , , , , , , ,	SEE ST 2	75,897.	75,897			
4'	D M	1	SEE ST 3	92,690.	92,690			110 007
	Ň	c Other professional fees (attach sch)	oph Mt 4	112,987.				112,987.
	O S T R		EE STM 5	75,608.		R	ECEIVE	D
	R R A A T T	19 Depreciation (attach					LOLIVE	
	l i	schedule) and depletion 20 Occupancy		4,960,971.	4,622,379	<u> </u>	EC 0 4 201	3 %
	N V	20 Occupancy 21 Travel, conferences, and meetings	5		4,622,379	 	JEC U I EU	
	A E	22 Printing and publications					COENT	JT
	A X P	23 Other expenses (attach schedule)		2 440 025	5 406 076		GDEN, L	
	E N		TEMENT 6	7,412,875.	6,486,276	•		244,089.
	S E S	24 Total operating and administrative expenses Add lines 13 through 2	3	13,463,104.	11,277,242			1,089,152.
	J	25 Contributions, gifts, grants paid	PART XV	23,556,676.	., -, -, -, -			23,556,676.
		26 Total expenses and disbursement Add lines 24 and 25	nts	37 010 700	11 277 242			
		27 Subtract line 26 from line 12		37,019,780.	11,277,242	+		24,645,828.
		a Excess of revenue over expense	s	17 533 763		1		
		and disbursements	23	-17,580,763.	6 770 202	. 1		
		D Net investment income (if negative			6,779,202	- 		<u> </u>

BAA For Paperwork Reduction Act Notice, see instructions.

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Par	till i	Balance Sheets	Attached schedules and amounts in the description column should be for end of-year amounts only (See instructions)	Beginning of year		End o	
_		•	<u> </u>	(a) Book Value	(b) Book Valu	ıe	(c) Fair Market Value
1	1	Cash — non-interest	•				
	2	•	rary cash investments	121,597,404.	84,366,1	L70.	84,364,170.
	3	Accounts receivable Less allowance for					
	_ ا		doubtful accounts =	-			
	4	Pledges receivable					
	5	Less allowance for Grants receivable	doubtrul accounts >				
				<u> </u>			
	6	disqualified persons (atta	cers, directors, trustees, and other ch schedule) (see instructions)				
	7	Other notes and loans red	•				
A		Less allowance for	doubtful accounts				·
Š	8	Inventories for sale	or use			_	
A S S E T	9	Prepaid expenses a	nd deferred charges	1,320,488.	1,368,6	598.	1,368,698.
Ś	10:	a Investments – US obligations (attach s	and state government schedule)				_,
	1	b Investments — corporate	stock (attach schedule)				
	(c Investments — corporate	bonds (attach schedule)				
	11	Investments – land, equipment, basis, .					
		Less accumulated depred (attach schedule)	evation •				
	12	Investments - mort	gage loans				
	13	Investments - other	r (attach schedule) STATEMENT 7	473,971,157.	495,961,7	794.	537,317,412.
	14	Land, buildings, and	Bequipment hasis ► _ <u>54,766,323.</u>				
		Less accumulated deprec (attach schedule)	SEE STMT 8 ►5,823,581.	50,723,981.	48,942,7	742.	54,766,323.
	1	Other assets (descri Total assets (to be a see the instructions	be completed by all filers —) Also, see page 1, item I)	647,613,030.	630,639,4	104	677,816,603.
L	17		nd accrued expenses	047,013,030.	030,039,4	104.	077,810,003.
A	18	Grants payable					
B	19	Deferred revenue					
- !	20	Loans from officers, direc	tors, trustees, & other disqualified persons				
Ī	21	Mortgages and other note	s payable (attach schedule)				
T	22	Other liabilities (des	cribe ► SEE STATEMENT 9	1,242,357.	3,625,3	325.	
E S	23	Total liabilities (add	lines 17 through 22)	1 242 257			
	2.3	Foundations that fo	llow SFAS 117, check here 24 through 26, and lines 30 and 31.	1,242,357.	3,625,3	325.	
N F	24	Unrestricted	ag., a., a a oo oo ana on				
N F E U T N	25	Temporarily restricted	ed				Į.
, D	26	Permanently restrict	ed				
A B S A E I A		Foundations that do and complete lines	o not follow SFAS 117, check here X 27 through 31.				:
E L T A	27	Capital stock, trust p	principal, or current funds				
SN	28	Paid-in or capital surplus,	, or land, bldg , and equipment fund	_			
O E	29	Retained earnings, accum	ulated income, endowment, or other funds	646,370,673.	627,014,0	79.	
RS	30	Total net assets or f	fund balances (see instructions)	646,370,673.	627,014,0		
	31	(see instructions)	net assets/fund balances	647,613,030.	630,639,4		
Par		Analysis of Chan	ges in Net Assets or Fund Balance	es			
1	Total end-	l net assets or fund ba of-year figure reporte	alances at beginning of year – Part II, colu d on prior year's return)	mn (a), line 30 (must aç	gree with	1	646,370,673.
2	Ente	r amount from Part I,	line 27a			2	-17,580,763.
3	3 Other increases not included in line 2 (itemize) SEE STATEMENT 10						54,384.
4		lines 1, 2, and 3				4	628,844,294.
5		ases not included in line 2 (· / ===================================			5	1,830,215.
6	Total	net assets or fund ba	alances at end of year (line 4 minus line 5)	- Part II, column (b), II	ne 30	6	627,014,079.

Page 3

, 2-story brick ware	e the kind(s) of property sold (for example shouse, or common stock, 200 shares	MLC Company)	How acquired - Purchase - Donation	(C) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a SEE STATEMENT 12					
b					
С				<u></u>	
d					
е				<u> </u>	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or ((e) plus (f) m	
a					
b	- 				
C		· · · · · · · · · · · · · · · · · · ·			
<u>d</u>					
Complete only for constants		the foundation on 12/21/60			
	owing gain in column (h) and owned b		— ,	(I) Gains (Col) aain minus col (k), b	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		ian -0-) or Losses (fr	
a	+				
b					
C		·			
d	+			-	
e	+				·- ·-
2 Capital gain net income or (r		enter in Part I, line 7 er -0- in Part I, line 7	2		2,038,830
3 Net short-term capital gain o	r (loss) as defined in sections 1222(5)) and (6)		<u> </u>	1,030,030
	ine 8, column (c) See instructions If	_	3		2,019,405
·	er Section 4940(e) for Reduce	d Tay on Net Investment			2,017,405
	section 4942 tax on the distributable a alify under section 4940(e) Do not co		period?	Yes	X No
1 Enter the appropriate amount i	n each column for each year, see the ins				
(2)		structions before making any entr	ies		
(a) Base period years Calendar year (or tax year beginning in)	Adjusted qualifying distributions	tructions before making any entr (c) Net value of noncharitable-use assets	ies	(d) Distribution (col (b) divided	
Base period years Calendar year (or tax year	(b)	(c) Net value of		Distribution	by col (c))
Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	66.	Distribution	0.01039
Base period years Calendar year (or tax year beginning in) 2016	Adjusted qualifying distributions 5, 935, 556.	(c) Net value of noncharitable-use assets	66. 21.	Distribution	0.01039 0.01575
Base period years Calendar year (or tax year beginning in) 2016 2015	Adjusted qualifying distributions 5,935,556. 4,693,736.	(c) Net value of noncharitable-use assets 570,860,7 297,861,1	66. 21. 77.	Distribution	0.01039 0.01575 0.18069 0.09069
Base period years Calendar year (or tax year beginning in) 2016 2015 2014	(b) Adjusted qualifying distributions 5,935,556. 4,693,736. 9,083,143.	(c) Net value of noncharitable-use assets 570,860,7 297,861,1 50,268,8	66. 21. 77.	Distribution	0.01039 0.01575 0.18069 0.09069
Base period years Calendar year (or tax year beginning in) 2016 2015 2014 2013	(b) Adjusted qualifying distributions 5,935,556. 4,693,736. 9,083,143. 4,946,455.	(c) Net value of noncharitable-use assets 570,860,7 297,861,1 50,268,8 54,542,3	66. 21. 77.	Distribution	0.01039 0.01575 0.18069 0.09069 0.08209
Base period years Calendar year (or tax year beginning in) 2016 2015 2014 2013 2012 2 Total of line 1, column (d) 3 Average distribution ratio for the	(b) Adjusted qualifying distributions 5,935,556. 4,693,736. 9,083,143. 4,946,455.	(c) Net value of noncharitable-use assets 570,860,7 297,861,1 50,268,8 54,542,3 55,340,6	66. 21. 77. 49.	Distribution	0.01039 0.01575 0.18069 0.09069 0.08209
Base period years Calendar year (or tax year beginning in) 2016 2015 2014 2013 2012 2 Total of line 1, column (d) 3 Average distribution ratio for th number of years the foundat	(b) Adjusted qualifying distributions 5,935,556. 4,693,736. 9,083,143. 4,946,455. 4,543,290.	Net value of noncharitable-use assets 570,860,7 297,861,1 50,268,8 54,542,3 55,340,6	66. 21. 77. 49. 27.	Distribution (col (b) divided	by col (c)) 0.01039 0.01575 0.18069 0.09069 0.08209 0.37963 0.07592
Base period years Calendar year (or tax year beginning in) 2016 2015 2014 2013 2012 2 Total of line 1, column (d) 3 Average distribution ratio for th number of years the foundat	(b) Adjusted qualifying distributions 5,935,556. 4,693,736. 9,083,143. 4,946,455. 4,543,290. The 5-year base period — divide the total of the control on has been in existence if less than	Net value of noncharitable-use assets 570,860,7 297,861,1 50,268,8 54,542,3 55,340,6	66. 21. 77. 49. 27.	Distribution (col (b) divided	by col (c)) 0.01039 0.01575 0.18069 0.09069 0.08209 0.37963 0.07592
Base period years Calendar year (or tax year beginning in) 2016 2015 2014 2013 2012 2 Total of line 1, column (d) 3 Average distribution ratio for th number of years the foundat 4 Enter the net value of nonch 5 Multiply line 4 by line 3	(b) Adjusted qualifying distributions 5,935,556. 4,693,736. 9,083,143. 4,946,455. 4,543,290. The 5-year base period — divide the total of the control on has been in existence if less than	Net value of noncharitable-use assets 570,860,7 297,861,1 50,268,8 54,542,3 55,340,6	66. 21. 77. 49. 27.	Distribution (col (b) divided	by col (c)) 0.01039 0.01575 0.18069 0.09069 0.08209 0.37963 0.07592 3,916,702
Base period years Calendar year (or tax year beginning in) 2016 2015 2014 2013 2012 2 Total of line 1, column (d) 3 Average distribution ratio for th number of years the foundat 4 Enter the net value of nonch 5 Multiply line 4 by line 3	Adjusted qualifying distributions 5,935,556. 4,693,736. 9,083,143. 4,946,455. 4,543,290. The 5-year base period — divide the total of ion has been in existence if less than caritable-use assets for 2017 from Parameters.	Net value of noncharitable-use assets 570,860,7 297,861,1 50,268,8 54,542,3 55,340,6	66. 21. 77. 49. 27.	Distribution (col (b) divided	
Base period years Calendar year (or tax year beginning in) 2016 2015 2014 2013 2012 2 Total of line 1, column (d) 3 Average distribution ratio for th number of years the foundat 4 Enter the net value of nonch 5 Multiply line 4 by line 3 6 Enter 1% of net investment	Adjusted qualifying distributions 5,935,556. 4,693,736. 9,083,143. 4,946,455. 4,543,290. die 5-year base period – divide the total coron has been in existence if less than earitable-use assets for 2017 from Paramore (1% of Part I, line 27b)	Net value of noncharitable-use assets 570,860,7 297,861,1 50,268,8 54,542,3 55,340,6	66. 21. 77. 49. 27.	Distribution (col (b) divided	by col (c)) 0.01039 0.01575 0.18069 0.09069 0.08209 0.37963 0.07592 3,916,702 0,029,568 67,792

Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instru	uctions)			
1 a	Exempt operating foundations described in section 4940(d)(2), check here and enter 'N/A' on line 1				
	Date of ruling or determination letter (attach copy of letter if necessary – see instructions)				
t	Domestic foundations that meet the section 4940(e) requirements in Part V,		13	35,5	84.
	check here ► and enter 1% of Part I, line 27b	l			
C	: All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable				^
2	foundations only, others, enter -0-) Add lines 1 and 2.	 	1.	35,5	0.
3	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			33,3	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		1 1	35,5	
6	Credits/Payments			,,,	
	2017 estimated tax pmts and 2016 overpayment credited to 2017 6a 1,122,178.	1			Ì
	Exempt foreign organizations — tax withheld at source 6b	1			
	: Tax paid with application for extension of time to file (Form 8868) 6 c	ĺ			
	Backup withholding erroneously withheld	ĺ			
7	Total credits and payments Add lines 6a through 6d		1,12	22,1	78.
8	Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached				
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed				0.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		98	36,5	94.
11	Enter the amount of line 10 to be Credited to 2018 estimated tax 986, 594. Refunded 11	<u> </u>			0.
Par	t VII-A Statements Regarding Activities	· . F			
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it	Ļ	_	Yes	No
	participate or intervene in any political campaign?		1 a		<u>X</u>
t	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		1 Ь		X
	If the answer is 'Yes' to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities				
•	Did the foundation file Form 1120-POL for this year? If Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation © 0. (2) On foundation managers © Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers © 0.	0.	1c	:	X
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	<u> </u>	3		
	a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4 a	Х	
ŀ	o If 'Yes,' has it filed a tax return on Form 990-T for this year?	-	4 b	Х	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	-	5	·	X
_	If 'Yes,' attach the statement required by General Instruction T				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or				•
	 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 		6	Х	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV		7	Х	
8 8	Enter the states to which the foundation reports or with which it is registered. See instructions NY	_			
ı	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	L	8 b	Х	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942 for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If 'Yes,' complete Part	2()(5) XIV	9		X
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		10		Х
BAA		Forr	n 990	-PF (2	017)

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Form 990-PF (2017) BERNARD AND ANNE SPITZER 13-	7298842	Р	age 5
Part VII-A Statements Regarding Activities (continued)	1230042	<u> </u>	
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	. 11	Yes _ X	No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12		х
Did the foundation comply with the public inspection requirements for its annual returns and exemption applications are applications and exemption applications and exemption applications are applications.		Х	
Website address ► (NYS_ATTORNEY_GENERAL) WWW.CHARITIESN 14 The books are in care of ► THE CHARITABLE TRUST Telephone no ►	212-757-5	462	_ _
Located at <u>555 MADISON AVE., 18TH FLOOR NEW YORK NY</u> ZIP + 4 <u>100</u> 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here	22-3300 N/ <i>R</i>	<u></u>	т.
ı	15	,	N/A
At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country. VARIOUS - FINCEN 114			
Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required	0		
File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a During the year, did the foundation (either directly or indirectly)	XNo		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes	No.		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes	XNo		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes	X No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	X No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes	XNo	!	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes	ΧNο		
b If any answer is 'Yes' to 1a(1)—(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	11	N	/A
Organizations relying on a current notice regarding disaster assistance, check here			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	10		Х
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	XNo		
If 'Yes,' list the years ► 20 , 20 , 20 , 20			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions).	21	N	/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			A
► 20 , 20 , 20 , 20 3.2 Did the foundation hold more than a 2% direct or indirect interest in any business.			
	X No		
b If 'Yes,' did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10, 15, or 20-year first phase holding period? (Use Schedule C. Form 4720, to			
(3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	31	N	/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4:		Х
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could	-		
jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	41		Х
BAA	Form 9		

Total number of other employees paid over \$50,000

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Form 990-PF (2017)

Total. Add lines 1 through 3

BAA

Form 990-PF (2017) BERNARD AND ANNE SPITZER	13-729	
PartiVIII Information About Officers, Directors, Trustees, Foundation Name and Contractors (continued)	lanagers, Highly Paid E	mployees,
3 Five highest-paid independent contractors for professional services. See instruction	s. If none, enter 'NONE,'	
	(b) Type of service	(c) Compensation
LEON D ALPERN & CO.	(2) 1) po el connec	(o) componidation
100 CROSSWAYS PK DR WEST #401		
	ACCOUNTING	02 600
WOODBURY, NY 11797	ACCOUNTING	92,690.
PETER TEAGUE		
28 SOUTH 40 DOCK		
SAUSALITO, CA 94965	CONSULTING	114,138.
		· .
		
Total number of others receiving over \$50,000 for professional services	•	C
Part(IX:A) Summary of Direct Charitable Activities		
Granting of Direct Chantable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical informatio	n such as the number of	Expenses
organizations and other beneficiaries served, conferences convened, research papers produced, etc		·
1 <u>N/A</u>	- 	
	- -	
		. =
2		
3		
4		
RartiX:B] Summary of Program-Related Investments (see instructions)		
Describe the two largest program-related investments made by the foundation during the tax year	on lines 1 and 2	Amount
1 N/A		
		
2		
	-	
All other program-related investments See instructions		
3		

Form **990-PF** (2017)

BAA

Form **990-PF** (2017)

Par	Minimum Investment Return (All domestic foundations must complete this part. For see instructions.)	reign	foundations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
а	Average monthly fair market value of securities	1 a	327,137,788.
	Average of monthly cash balances	1 b	102 <u>,9</u> 81,787.
C	Fair market value of all other assets (see instructions)	1 c	249,031,391.
	Total (add lines 1a, b, and c)	1 d	679,150,966.
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation).		
2	Acquisition indebtedness applicable to line 1 assets	2	10,200,000.
3	Subtract line 2 from line 1d	3	668,950,966.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	10,034,264.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	658,916,702.
6	Minimum investment return. Enter 5% of line 5	6	32,945,835.
Par	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private opera and certain foreign organizations, check here \times and do not complete this part.)	ting fo	oundations
1	Minimum investment return from Part X, line 6	1	32,945,835.
2 a	Tax on investment income for 2017 from Part VI, line 5		
	Income tax for 2017 (This does not include the tax from Part VI)		
	: Add lines 2a and 2b	2 c	135,584.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	32,810,251.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	32,810,251.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	32,810,251.
Par	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	24,645,828.
	Program-related investments — total from Part IX-B	1 b	21,010,020.
	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
Ť	Suitability test (prior IRS approval required)	3 a	
t	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	24,645,828.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	24,645,828.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the four qualifies for the section 4940(e) reduction of tax in those years	ndation	l

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) - 2017
Distributable amount for 2017 from Part XI, line 7				32,810,251.
2 Undistributed income, if any, as of the end of 2017				32,010,231.
a Enter amount for 2016 only	•		23,317,341.	
b Total for prior years 20, 20, 20		0.		
3 Excess distributions carryover, if any, to 201/	· · · · · · · · · · · · · · · · · · ·			
a From 2012	*		}	
b From 2013	1		'	
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	<u> </u>			
4 Qualifying distributions for 2017 from Part				, ,
XII, line 4 \$ 24,645,828.			02 217 241	·
a Applied to 2016, but not more than line 2a			23,317,341.	
 Applied to undistributed income of prior years (Election required — see instructions) 		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.	1.1		
d Applied to 2017 distributable amount				1,328,487.
e Remaining amount distributed out of corpils	0.		. ,	
5 Excess distributions carryover applied to 2017	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a))				
(2,)				
6 Enter the net total of each column as		,		
indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	<u> </u>			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has				
been issued, or on which the section 4942(a)				
tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable				
amount - see instructions		0.		
e Undistributed income for 2016 Subtract line 4a from			0	
line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2017 Subtract lines				
4d and 5 from line 1. This amount must be distributed in 2018.				31,481,764.
7 Amounts treated as distributions out of	<u></u>			
corpus to satisfy requirements imposed by				(!' 1 1 1
section 170(b)(1)(F) or 4942(q)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2012 not			-	
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2018.				
Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9			,	
a Excess from 2013		,		
b Excess from 2014 c Excess from 2015				
d Excess from 2016	, 4 (11 11 2 11
e Excess from 2017	,			•
e Excess non 2017	<u> </u>	11 (1	! <u> </u>	Form 900 PE (2017)

orm 990-PF (2017) BERNARD AND ANNE ARTIXIV Private Operating Founda		uctions and Par	t VII.A question	13-7298842	2 P:
1 a If the foundation has received a ruling or det	ermination letter that				N/A
is effective for 2017, enter the date of the	_		donoribod in continu	4942(r)(3) or	4042()/5
b Check box to indicate whether the founda 2a Entèr the lesser of the adjusted net	Tax year	T Touridation	Prior 3 years	4942(1)(3) (1	4942(j)(5
income from Part I or the minimum investment return from Part X for	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Tota
each year listed b 85% of line 2a			 	/ _	
c Qualifying distributions from Part XII, line 4 for each year listed		<u> </u>		-	1
d Amounts included in line 2c not used directly for active conduct of exempt activities					,
 Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c 					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets (2) Value of assets qualifying under					
section 4942(j)(3)(B)(i) b 'Endowment' alternative teurn shown in Part X,					
line 6 for each year listed c 'Support' alternative test — enter		/			
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(8)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income	1				
Supplementary Information assets at any time during t	า((Complete this he vear — see ir	s part only if the estructions.)	e foundation had	d \$5,000 or more	e in
Information Regarding Foundation Mana a List any managers of the foundation who hat close of any tax year (but only if they have ANNE SPITZER	ve contributed more	han 2% of the total of than \$5,000) (See	contributions received e section 507(d)(2))	by the foundation bef	ore the
b List any managers of the foundation who ow a partnership or other entity) of which the ANNE SPITZER	n 10% or more of the e foundation has a	e stock of a corporati 10% or greater inte	on (or an equally larg rest	e portion of the owner	rship of
2 Information Regarding Contribution, Grant Check here ☐ if the foundation only m requests for funds. If the foundation mak 2a, b, c, and d. See instructions	nakes contributions to	preselected charitat	ole organizations and		
a The name, address, and telephone number	or email address of t	he person to whom a	pplications should be	addressed	
SEE STATEMENT 14					
b The form in which applications should be	submitted and info	rmation and mater	ials they should incl	ude	,
SEE STATEMENT FOR LINE 2A c Any submission deadlines	<u> </u>				
-					

•	Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
	Name and address (home or business)	or substantial contributor	recipient		
	Paid during the year				
SEE	STATEMENT 15		'		
			ŀ		
				<u>_</u>	
					•
					,
					,
					ŕ
			<u> </u>	<u> </u>	22 556 676
	Total				23,556,676
t	Approved for future payment				
	•		-		
	Total		Т.	▶ 3	h
	LOTAL			- 31	1.01

Part XVI	-A Analysis of Income-Producing	Activities				
	s amounts unless otherwise indicated		ed business income	Excluded	by section 512, 513, or 514	(-)
		(a) Business code	(b) Amount	(c) Exclu- sion	(d) Amount	(e) Related or exempt function income (See instructions)
1 Progr	ram service revenue			code	_	
a						
ь	<u> </u>					
с			. <u></u> .			
d			<u></u>			
e						
f						
g Fees	and contracts from government agencies		<u> </u>			
2 Mem	bership dues and assessments		<u></u>			
3 Interes	st on savings and temporary cash investments		··	14	3,789,569.	
4 Divid	ends and interest from securities	,		14	2,053,405.	
	ental income or (loss) from real estate					AND TO SERVICE STREET,
a Debt-	-financed property	531120	-1,578.	16	74,031.	
	debt-financed property			16	-1,313,711.	
	ntal income or (loss) from personal property				··	
	r investment income				<u> </u>	
8 Gain o	or (loss) from sales of assets other than inventory			18	2,038,830.	
	ncome or (loss) from special events.					
	s profit or (loss) from sales of inventory					
11 Other	r revenue					
a <u>NET</u>	K-1 PASS THRU ITEMS			14	565,366.	• • •
b OTH	HER INCOME			14	105,369.	
с						
d						
e						
	otal Add columns (b), (d), and (e)		-1,578.		7,312,859.	
					13	7 211 201
	I. Add line 12, columns (b), (d), and (e)				13	7,311,281.
(See works	sheet in line 13 instructions to verify calculati				•	
(See works	sheet in line 13 instructions to verify calculate Relationship of Activities to the	Accompl			oses	
(See works	sheet in line 13 instructions to verify calculati	Accompl			oses	
(See works Part XVI Line No.	sheet in line 13 instructions to verify calculate Relationship of Activities to the	Accompl			oses	
(See works Part XVI Line No.	sheet in line 13 instructions to verify calculate Relationship of Activities to the	Accompl			oses	
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(See works Part XVI Line No.	sheet in line 13 instructions to verify calculate Relationship of Activities to the	Accompl			oses	
(See works Part XVI Line No.	sheet in line 13 instructions to verify calculate Relationship of Activities to the	Accompl			oses	

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly described in section 501(c) of the Code (in relating to political organizations?	other than section 501(c)(3) organiz	ations) or in section 527,	Yes No
a Transfers from the reporting foundation to	o a noncharitable exempt organizati	on of	
(1) Cash			1 a (1) X
(2) Other assets			1 a (2) X
b Other transactions			
(1) Sales of assets to a noncharitable ex	empt organization		1 b (1) X
(2) Purchases of assets from a noncharit	table exempt organization		1 b (2) X
(3) Rental of facilities, equipment, or oth	er assets		1 b (3) X
(4) Reimbursement arrangements			1 b (4) X
(5) Loans or loan guarantees			1 b (5) X
(6) Performance of services or members	hin or fundraising solicitations		1 b (6) X
• •	•	_	
c Sharing of facilities, equipment, mailing l	ists, other assets, or paid employee	5	1c X
d If the answer to any of the above is 'Yes, the goods, other assets, or services given by any transaction or sharing arrangement,	complete the following schedule (the reporting foundation if the foundation in column (d) the value of the	Column (b) should always show the fair stion received less than fair market value goods, other assets, or services receives	market value of in in ved
	of noncharitable exempt organization	(d) Description of transfers, transactions, an	
N/A	The state of the s		3,,
			
		- 1814 187	
		········	
· · · · · · · · · · · · · · · · · · ·			
		 	
 2 a Is the foundation directly or indirectly affiliated described in section 501(c) of the Code (b If 'Yes,' complete the following schedule 	ed with, or related to, one or more tax- other than section 501(c)(3)) or in s	exempt organizations ection 527?	Yes X No
(a) Name of organization	(b) Type of organization	(c) Description of re	elationship
N/A	(b) type or organization	(6) 2 3 3 3 7 4 3 7 7	
N/ A			
	1		
	 	·	
Under penalties of perjury, I declare that I have exa correct, and complete Declaration of preparer (other	mined this return, including accompanying schell in than taxpayer) is based on all information of v	dules and statements, and to the best of my knowle which preparer has any knowledge	May the IRS discuss
lere	le shall a	TRUSTEE	this return with the preparer shown below?
Signature of officer or trustee	Date	Title	See instructions
Print/Type preparer's name	Preparer's signature		X Yes No
		Check X	
Paid RICHARD TERRIS	X. Kertjeu	10/23/18	P00045201
Preparer Firm's name LEON D. A	LPERN-& COMPANY	Firm's EIN ► 13-	2609367
	SWAYS PK DR WEST, #401		
WOODBURY,	NY 11797	Phone no (51	6) 877-2770
BAA			Form 990-PF (2017)

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BERNARD AND ANNE SPITZER CHARITABLE TRUST

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STATEMENT 1	
FORM 990-PF, PART I, LINE 11	
OTHER INCOME	

	_	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NET K-1 PASS THRU ITEMS OTHER INCOME	\$ TOTAL <u>\$</u>	565,366. 105,369. 670,735.	\$ 200,935. 105,369. \$ 306,304.	\$ 0.

STATEMENT 2 FORM 990-PF, PART I, LINE 16A LEGAL FEES

(A)	(B) NET INVESTMENT INCOME	(C)	(D)
EXPENSES		ADJUSTED	CHARITABLE
PER BOOKS		NET INCOME	PURPOSES
TOTAL \$ 75,897.	\$ 75,897. \$ 75,897.		\$ 0.

STATEMENT 3 FORM 990-PF, PART I, LINE 16B ACCOUNTING FEES

		(A) PENSES	IN	(B) NET VESTMENT	(C) ADJUSTED		(D) CHARITABLE
	PEF	PER BOOKS INCO		INCOME	NET INCOME	<u> </u>	PURPOSES
TOTAL	\$ \$	92,690. 92,690.	\$ \$	92,690. 92,690.		_ <u> </u>	\$ 0.

STATEMENT 4 FORM 990-PF, PART I, LINE 16C OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING	TOTAL \$ 112,987. \$ 112,987.	\$ 0.		\$ 112,987. \$ 112,987.

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BERNARD AND ANNE SPITZER CHARITABLE TRUST PAGE 2

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STATEMENT 5 FORM 990-PF, PART I, LINE 18 TAXES

		(A) PENSES BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX NYS CORP TAX - CT-13	TOTAL	 29,501. 46,107. 75,608.	<u>\$ 0.</u>		<u>\$0.</u>

STATEMENT 6 FORM 990-PF, PART I, LINE 23 OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE EXPENSES AMORTIZATION FILING FEES INSURANCE MISCELLANEOUS	\$ 230,239. 5,224. 1,500. 12,350. 2,021.	\$ 5,224.		\$ 230,239. N/A 1,500. 12,350.
RENTAL EXPENSES	TOTAL $\frac{7,161,541}{\$7,412,875}$.	6,481,052. \$ 6,486,276.		\$ 244,089.

STATEMENT 7 FORM 990-PF, PART II, LINE 13 INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS	<u></u>	<u> </u>	VIIIOH_
MOUTAIN LAKE PARTNERS LP MOUNTAIN LAKE REAL ESTATE I	COST COST	\$ 13,203,055. 39,975.	39,975.
22,202 DORIAN LPG GEMS FUND 4	COST COST	0.	0.
TERM LOAN GOLUB INVSTMT CAPITAL FINANCE	COST	12,536,136. 38,215,000.	
TERM LOAN GOLUB INVSTMT PTRS HOLDINGS	COST	80,000,000.	
GOLUB CAPITAL INTERNATIONAL LTD	COST	145979956.	/
GOLUB IX INTERNATIONAL GOLUB X INTERNATIONAL	COST	34,899,023.	
BETHESDA TIC (20%)	COST COST	52,302,188. 5,862,881.	
BETHESDA TIC (80%)	COST	37,132,056.	
1050 FIFTH AVE LLC	COST	4,034,983.	
SPITZER BRP GS LLC	COST	8,620,376.	
NINTH AVENUE MEMBER LLC	COST	11,511,251.	
METROPOLITAN CAPITAL ADVISORS INTL LTD GOLUB CAPITAL INTL LADDER	COST COST	0. 41,649,732.	0. 45,101,550.
BRP LOAN - ARCHER	COST	5,688,297.	
BRP LOAN - CATON	COST	3,757,430.	
ADM INVESTOR SERVICES	COST	529,455.	529,455.
	TOTAL	\$ 495961794.	\$537,317,412.

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BERNARD AND ANNE SPITZER
CHARITABLE TRUST

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STATEMENT 8
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BA	ACCU SIS DEPRE	 FAIR MARKETVALUE
BUILDINGS		66,323. 66,323. \$ 5,823	 42. \$ 54,766,323. \$ 54,766,323.

STATEMENT 9 FORM 990-PF, PART II, LINE 22 OTHER LIABILITIES

SECURITY DEPOSIT PAYABLE PARTNERSHIP EXCHANGE

\$ 391,013. 3,234,312.

TOTAL \$ 3,625,325.

STATEMENT 10 FORM 990-PF, PART III, LINE 3 OTHER INCREASES

PRIOR YEAR CORRECTION

TOTAL \$ 54,384. \$ 54,384.

STATEMENT 11 FORM 990-PF, PART III, LINE 5 OTHER DECREASES

ESTATE TAX SEC 6166 PAYMENT FEDERAL BUSINESS INCOME TAX PENALTIES AND INTEREST

\$ 1,627,162. 198,632. 4,421.

TOTAL \$ 1,830,215.

STATEMENT 12 FORM 990-PF, PART IV, LINE 1 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

		(B) HOW	(C) DATE	(D) DATE
_ITEM	(A) DESCRIPTION	<u> ACOUIRED</u>	ACQUIRED	SOLD
1	REALIZED GAIN FUTURES CONTRACTS	PURCHASED		12/31/2017
2	REALIZED GAIN FUTURES CONTRACTS	PURCHASED		12/31/2017
3	17333 DORIAN LPG LTD	PURCHASED	11/01/2014	3/17/2017
4	4869 DORIAN LPG LTD	PURCHASED	11/01/2014	3/20/2017
5	K-1 PASSTHRU NET SHORT TERM GAIN	PURCHASED		12/31/2017
6	K-1 PASSTHRU NET LONG TERM GAIN	PURCHASED		12/31/2017
7	K-1 PASSTHRU SECTION 1231 GAIN	PURCHASED		12/31/2017
8	K-1 PASSTHRU SECTION 1256 GAIN	PURCHASED		12/31/2017
9	CAPITAL GAIN DIVIDENDS			

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STATEMENT 12 (CONTINUED) FORM 990-PF, PART IV, LINE 1 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM - 1 2 3 4 5 6 7	(E) GROSS SALES 21,658. 7,797. 186,602. 51,339. 118,132. 1816182. 40,187. 15,449.	(F) DEPREC. ALLOWED	(G) COST BASIS 0. 0. 198,463. 55,750. 0. 0. 0.	(H) GAIN (LOSS) 21,658. 7,79711,8614,411. 118,132. 1816182. 40,187. 15,449.	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS) \$ 21,658. 7,797. -11,861. -4,411. 118,132. 1816182. 40,187. 15,449.
8 9	15,449.		0.	15,449.			TOTAL	<u>35,697.</u>

STATEMENT 13 FORM 990-PF, PART VIII, LINE 1 LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	ACCOUNT/
EMILY SPITZER 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	TRUSTEE 1.00	\$ 0.	\$ 0.	\$ 0.
ANNE SPITZER 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	TRUSTEE 1.00	0.	0.	0.
DANIEL EVAN SPITZER 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	TRUSTEE 1.00	0.	0.	0.
ELIOT L SPITZER 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	TRUSTEE 1.00	0.	0.	0.
SARA C KAY 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	CEO 40.00	424,341.	0.	0.
DANA C YOU 555 MADISON AVE 18TH FL NEW YORK, NY 10022-3300	COO 40.00	153,140.	0.	0.
	TOTAL	\$ 577,481.	\$ 0.	\$ 0.

FEDERAL STATEMENTS

BERNARD AND ANNE SPITZER CHARITABLE TRUST-

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STATEMENT 14 FORM 990-PF, PART XV, LINE 2A-D **APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM:

NAME:

CARE OF:

STREET ADDRESS:

CITY, STATE, ZIP CODE:

TELEPHONE:

E-MAIL ADDRESS:

FORM AND CONTENT:

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

555 MADISON AVE., 18TH FLOOR NEW YORK, NY 10022-3300 212-757-5462

DESCRIPTION OF CHARITABLE ORGANIZATION AND PURPOSE UNDER

IRC SECTIONS 170(C), 2522(A) AND 2055(A). NONE

THE TRUST MAKES CONTRIBUTIONS TO CORPORATIONS, ORGANIZATIONS, FOUNDATIONS, INSTITUTIONS OR FUNDS
ORGANIZED AND OPERATED EXCLUSIVELY FOR RELIGIOUS,
CHARITABLE, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES,
WHICH ARE THEMSELVES QUALIFYING EXEMPT ORGANIZATIONS,
PURSUANT TO ARTICLE 4 (A) OF ITS CERTIFICATE OF

INCORPORATION.

FUND MANAGERS

THE CHARITABLE TRUST

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST @ 79TH STREET NEW YORK NY 10024	NONE	EXEMPT	GENERAL PURPOSE	\$ 250,000.
CITIZENS COMMITTEE FOR CHILDREN OF NY 105 EAST 22ND STREET, 7TH FL NEW YORK NY 10010	NONE	EXEMPT	GENERAL PURPOSE	500.
EDUCATION THROUGH MUSIC, INC. 122 E. 42ND STREET, SUITE 1501 NEW YORK NY 10168	NONE	EXEMPT	GENERAL PURPOSE	500.
EQUAL JUSTICE WORKS 2120 L STREET, N.W., #450 WASHINGTON DC 20037	NONE	EXEMPT	GENERAL PURPOSE	10,000.
COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH STREET NEW YORK NY 10065	NONE	EXEMPT	GENERAL PURPOSE	1,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
JUVENILE DIABETES RESEARCH FOUNDATION 26 BROADWAY, 14TH FL NEW YORK NY 10004	NONE	EXEMPT	GENERAL PURPOSE	\$ 200,000.
THE METROPOLITAN MUSEUM OF ART 1000 5TH AVENUE NEW YORK NY 10028	NONE	EXEMPT	GENERAL PURPOSE	29,424.
MUSEUM OF JEWISH HERITAGE EJ SAFRA PLZ, 36 BATTERY PLACE NEW YORK NY 10280	NONE	EXEMPT	GENERAL PURPOSE	100,000.
LINCOLN CENTER FOR THE PERFORMING ARTS 10 LINCOLN CENTER PLAZA NEW YORK NY 10023	NONE	EXEMPT	GENERAL PURPOSE	12,500.
PUBLIC CITIZEN FOUNDATION 1600 20TH STREET N.W. WASHINGTON DC 20009	NONE	EXEMPT	GENERAL PURPOSE	300,000.
WAMC FOUNDATION, NORTHEAST PUBLIC RADIO 318 CENTRAL AVENUE ALBANY NY 12206	NONE	EXEMPT	GENERAL PURPOSE	25,000.
THE CITY COLLEGE 21ST CENTURY FOUNDATION 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK NY 10115	NONE	EXEMPT	GENERAL PURPOSE	2,000,000.
BOBBIE'S PLACE 1472 EAST 17TH STREET BROOKLYN NY 11230	NONE	EXEMPT	GENERAL PURPOSE	100,000.
CHILDREN'S RIGHTS 330 SEVENTH AVENUE, 4TH FLOOR NEW YORK NY 10001	NONE	EXEMPT	GENERAL PURPOSE	500.
SCENIC HUDSON ONE CIVIC CENTER PLAZA, SUITE 200 POUGHKEEPSIE NY 12601	NONE	EXEMPT	GENERAL PURPOSE	15,500.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
GRAND STREET SETTLEMENT 80 PITT ST, ATTN: DEVELOPMENT DEPT NEW YORK NY 10002	NONE	EXEMPT	GENERAL PURPOSE	\$ 10,000.
THE PUBLIC THEATER 425 LAFAYETTE STREET NEW YORK NY 10003	NONE	EXEMPT	GENERAL PURPOSE	100,000.
WNYC PUBLIC RADIO 160 VARICK STREET NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	320.
YOUNG WOMEN'S LEADERSHIP FOUNDATION 150 EAST 52ND STREET NEW YORK NY 10022	NONE	EXEMPT	GENERAL PURPOSE	2,500.
THE ROCKEFELLER UNVERSITY 1230 YORK AVENUE NEW YORK NY 10065	NONE '	EXEMPT	GENERAL PURPOSE	1,000.
PASSTHRU CONTRIBUTIONS FROM K-1 730 FIFTH AVE #2202 NEW YORK NY 10019	NONE	EXEMPT	GENERAL PURPOSE	147.
CENTRAL PARK CONSERVANCY 14 EAST 60TH ST NEW YORK NY 10022	NONE	EXEMPT	GENERAL PURPOSE	12,000.
NATIONAL HEALTH LAW PROGRAM 1444 I STREET NW SUITE 1105 WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	750,000.
US-AFRICA CHILDREN'S FELLOWSHIP 475 14TH STREET BROOKLYN NY 11215	NONE	EXEMPT	GENERAL PURPOSE	15,000.
UNITED HOSPITAL FUND 1411 BROADWAY NEW YORK NY 10118	NONE	EXEMPT	GENERAL PURPOSE	5,000.
BRENNAN CENTER FOR JUSTICE 161 AVENUE OF THE AMERICAS 12TH FL NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	500,000.
BROOKLYN COMMUNITY SERVICES 100 PENNSYLVANIA AVE BROOKLYN NY 11207	NONE	EXEMPT	GENERAL PURPOSE	5,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
NY JUNIOR TENNIS LEAGUE 58-12 QUEEN BLVD WOODSIDE NY 11377	NONE	EXEMPT	GENERAL PURPOSE	\$ 1,000.
NYU SCHOOL OF LAW 40 WASHINGTON SQUARE SOUTH NEW YORK NY 10012	NONE	EXEMPT	GENERAL PURPOSE	500.
PLANNED PARENTHOOD FEDERATION OF AMERICA 550 E MAIN ST RIVERHEAD NY 11901	NONE	EXEMPT	GENERAL PURPOSE	3,000.
UNION SETTLEMENT ASSOCIATION 2089 3RD AVE NEW YORK NY 10029	NONE	EXEMPT	GENERAL PURPOSE	200,000.
YESHIVA KTANA OF PASSAIC 118 PENNINGTON AVE PASSAIC NJ 07055	NONE	EXEMPT	GENERAL PURPOSE	18,000.
ALLIANCE FOR JUSTICE 11 DUPONT CIRCLE, N.W. 2ND FLOOR WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	110,000.
ANOTHER STEP 706 EXECUTIVE BLVD VALLEY COTTAGE NY 10989	NONE	EXEMPT	GENERAL PURPOSE	50,000.
ARC OF ROCKLAND COUNTY 25 HEMLOCK DRIVE CONGERS NY 10920	NONE	EXEMPT	GENERAL PURPOSE	300,000.
GENERATION ON 281 PARK AVE SOUTH NEW YORK NY 10010	NONE	EXEMPT	GENERAL PURPOSE	2,000.
HARVARD LAW SCHOOL 1563 MASSACHUSETTS AVENUE CAMBRIDGE MA 02138	NONE	EXEMPT	GENERAL PURPOSE	3,500.
REPRIEVE US PO BOX 3627 NEW YORK NY 10163	NONE	EXEMPT	GENERAL PURPOSE	250,000.
ADIRONDACK FOLK SCHOOL 51 MAIN ST LAKE LUZERNE NY 12846	NONE .	EXEMPT	GENERAL PURPOSE	1,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
AFRICAN WILDLIFE FOUNDATION 1400 SIXTEENTH STREET NW SUITE 120 WASHINGTON DC 20036		EXEMPT	GENERAL PURPOSE	\$ 10,000
BREAD FOR THE CITY 1640 GOOD HOPE RD SE WASHINGTON DC 20020	NONE	EXEMPT	GENERAL PURPOSE	105,000
CHILDREN'S DEFENSE FUND 25 E STREET NW WASHINGTON DC 20001	NONE	EXEMPT	GENERAL PURPOSE	1,000
DOCTORS WITHOUT BORDERS USA 333 7TH AVENUE NEW YORK NY 10001	NONE	EXEMPT	GENERAL PURPOSE	10,000
EARTHJUSTICE 50 CALIFORNIA ST, SUITE 500 SAN FRANCISCO CA 94111	NONE	EXEMPT	GENERAL PURPOSE	700,000
EARTHWATCH INSTITUTE 114 WESTERN AVENUE BOSTON MA 02134	NONE	EXEMPT	GENERAL PURPOSE	10,000
FOOD BANK OF THE HUDSON VALLEY 195 HUDSON ST CORNWALL-ON-HUDSON NY 12520	NONE	EXEMPT	GENERAL PURPOSE	5,000
HORACE MANN SCHOOL 231 W 246TH ST BRONX NY 10471	NONE	EXEMPT	GENERAL PURPOSE	500
JAWONIO FOUNDATION 260 NORTH LITTLE TOR RD NEW CITY NY 10956	NONE	EXEMPT	GENERAL PURPOSE	3,600
JCC ROCKLAND 450 W NYACK RD WEST NYACK NY 10994	NONE	EXEMPT	GENERAL PURPOSE	10,000
MEALS ON WHEELS ROCKLAND 121 W NYACK RD NANUET NY 10954	NONE	EXEMPT	GENERAL PURPOSE	10,000
MEREDITH COLLEGE 3800 HILLSBOROUGH ST RALEIGH NC 27607	NONE	EXEMPT	GENERAL PURPOSE	10,000

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
NATIONAL MULTIPLE SCLEROSIS SOCIETY NYC 733 3RD AVE NEW YORK NY 10017		EXEMPT	GENERAL PURPOSE	\$ 5,000.
NORTH SHORE LAND ALLIANCE 151 POST RD OLD WESTBURY NY 11568	NONE	EXEMPT	GENERAL PURPOSE	500.
PASAND, INC. 71 PUTNAME AVE, APT 1 CAMBRIDGE MA 02138	NONE	EXEMPT	GENERAL PURPOSE	500.
PEN AMERICAN CENTER 588 BROADWAY #303 NEW YORK NY 10012	NONE	EXEMPT	GENERAL PURPOSE	2,000.
PHYSICIANS FOR HUMAN RIGHTS 256 W 38TH ST NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	20,000.
PINE PLAINS MEMORIAL HALL, INC. 1891 ROUTE 83 PINE PLAINS NY 12567	NONE	EXEMPT	GENERAL PURPOSE	100,000.
PROMISE PROJECT FCNY 121 AVENUE OF THE AMERICAS, 6TH FL NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	500.
RAINFOREST ALLIANCE 233 BROADWAY NEW YORK NY 10279	NONE	EXEMPT	GENERAL PURPOSE	500.
STONE SOUP FILMS 1921 SUNDERLAND PL NW WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	2,500.
THE AMERICAN FDN FOR SUICIDE PREVENTION 120 WALL ST, 29TH FLOOR NEWYORK NY 10005	NONE	EXEMPT	GENERAL PURPOSE	10,000.
THE BICOL CLINIC FOUNDATION 951 NW 13TH ST BOCA RATON FL 33486	NONE	EXEMPT	GENERAL PURPOSE	2,500.
GLOBAL JUSTICE CENTER 11 HANOVER SQUARE, 6TH FLOOR NEW YORK NY 10005	NONE	EXEMPT	GENERAL PURPOSE	500.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THEATRE FOR A NEW AUDIENCE 262 ASHLAND PL BROOKLYN NY 11217	NONE	EXEMPT	GENERAL PURPOSE	\$ 1,000.
UNITED HOSPICE OF ROCKLAND 11 STOKUM LN NEW CITY NY 10956	NONE	EXEMPT	GENERAL PURPOSE	10,000.
VIOLENCE POLICY CENTER 1730 RHODE ISLAND AVENUE NW WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	15,000.
VOLUNTEERS OF LEGAL SERVICE 40 WORTH ST #820 NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	75,000.
WOMEN'S VOICES FOR CHANGE 509 MADISON AVE #1212 NEW YORK NY 10022	NONE	EXEMPT	GENERAL PURPOSE	1,000.
WORLD WILDLIFE FUND 1250 24TH ST NW WASHINGTON DC 20037	NONE	EXEMPT	GENERAL PURPOSE	10,000.
VARIOUS ORGANIZATIONS 100 CROSSWAYS PARK WEST WOODBURY NY 11797	NONE .	EXEMPT	GENERAL PURPOSE	17,476.
AIDS WALK BOSTON 175 AMORY STREET BOSTON MA 02119	NONE	EXEMPT	GENERAL PURPOSE	10,000.
AMERICAN PRAIRIE RESERVE 7 EAST BEALL STREET SUITE 100 BOZEMAN MT 59715	NONE	EXEMPT	GENERAL PURPOSE	1,500.
BROOKLYN GREENWAY INITIATIVE 145 COLUMBIA HTS BROOKLYN NY 11231	NONE	EXEMPT	GENERAL PURPOSE	10,000.
CERES 99 CHAUNCY STREET BOSTON MA 02111	NONE	EXEMPT	GENERAL PURPOSE	5,000.
CHAI LIFELINE 151 WEST 30TH STREET NEW YORK NY 10001	NONE	EXEMPT	GENERAL PURPOSE	36,000.

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. NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
DC AFFORDABLE LAW FIRM 1717 K STREET, NW WASHINGTON DC 20006	NONE	EXEMPT	GENERAL PURPOSE	\$ 90,000.
DC CAMPAIGN TO PREVENT TEEN PREGNANCY 1101 FIFTEENTH ST, NW WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	10,000.
DC CENTRAL KITCHEN 425 2ND STREET, NW WASHINGTON DC 20001	NONE	EXEMPT	GENERAL PURPOSE	10,000.
HADASSAH 40 WALL STREET NEW YORK NY 10005	NONE	EXEMPT	GENERAL PURPOSE	1,000.
HARLEM ACADEMY 1330 FIFTH AVE NEW YORK NY 10036	NONE	EXEMPT	GENERAL PURPOSE	1,000.
HARLEM CHILDREN'S ZONE 35 EAST 125TH STREET NEW YORK NY 10035	NONE	EXEMPT	GENERAL PURPOSE	1,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE SUITE 236 NEW YORK NY 10004	NONE	EXEMPT	GENERAL PURPOSE	725,000.
INTERNATINAL RESCUE COMMITTEE 200 COMMERCE PLACE #4 ELIZABETH NJ 07201	NONE	EXEMPT	GENERAL PURPOSE	25,000.
JEFFERSON UNITED METHODIST CHURCH 115 EAST MAIN STREET JEFFERSON NC 28640	NONE	EXEMPT	GENERAL PURPOSE	2,000.
MADISON SQUARE BOYS & GIRLS CLUB 733 THIRD AVE 2ND FL NEW YORK NY 10017	NONE	EXEMPT	GENERAL PURPOSE	2,500.
MARTHA'S TABLE 2114 14TH STREET NW WASHINGTON DC 20009	NONE	EXEMPT	GENERAL PURPOSE	25,000.
MGH CENTE FOR LAW, BRAIN & BEHAVIOR 55 FRUIT STREET BOSTON MA 02467	NONE	EXEMPT	GENERAL PURPOSE	25,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MIDDLEBURY COLLEGE OLD CHAPEL MIDDLEBURY VT 05753	NONE	EXEMPT	GENERAL PURPOSE	\$ 10,000.
NEW AMERICA 740 15TH STREET, NW SUITE 900 WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	2,500.
NEW YORK CITY MISSION SOCIETY 103 EAST 22TH STREET NEW YORK NY 10010	NONE	EXEMPT	GENERAL PURPOSE	3,000.
NO BULLY 1012 TORNEY AVENUE SAN FRANCISCO CA 94129	NONE .	EXEMPT	GENERAL PURPOSE	1,000.
PREP FOR PREP 328 WEST 71ST STREET NEW YORK NY 10023	NONE	EXEMPT	GENERAL PURPOSE	25,000.
RHINO CONSERVATION TRUST USA 6753 HIWASSEE RUN AIKEN SC 29803	NONE	EXEMPT	GENERAL PURPOSE	25,000.
TEAM CARLY ROCKS FOUNDATION 6900 HAMILTON BLVD UNIT 285 TREXIERTOWN PA 18087	NONE	EXEMPT	GENERAL PURPOSE	1,000.
THE CAMPAIGN FOR FAIR SENTECING OF YOUTH 1319 F STREET, NW SUITE 303 WASHINGTON DC 20004	NONE	EXEMPT	GENERAL PURPOSE	5,000.
THE MICHAEL J FOX FOUNDATION PO BOX 5014 HAGERSTOWN MD 21741	NONE	EXEMPT	GENERAL PURPOSE	200,000.
THE REFORM TEMPLE OF ROCKLAND 330 N HIGHLAND AVENUE NYACK NY 10960	NONE .	EXEMPT	GENERAL PURPOSE	10,000.
THE REGENT OF THE UNIV OF CALIFORNIA 1111 FRANKLIN ST 12TH FL OAKLAND CA 94607	NONE	EXEMPT	GENERAL PURPOSE	150,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT_
WARRIOR-SCHOLAR PROJECT 1012 14TH STREET, NW WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	\$ 10,000.
WASH LAWYERS COMMITTEE FOR CIVIL RIGHTS 1401 NEW YORK AVENUE, NW SUITE 400 WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	25,000.
URBAN GREEN COUNCIL 55 BROAD STREET 9TH FL NEW YORK NY 10004	NONE	EXEMPT	GENERAL PURPOSE	250.
ACUMEN 40 WORTH STREET, SUITE 303 NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	500,000.
AGA KHAN FOUNDATION USA 1825 K STREET NW, SUITE 901 WASHINGTON DC 20006	NONE	EXEMPT	GENERAL PURPOSE	5,000.
ALI FORNEY CENTER 224 W. 35TH ST. 15TH FLOOR NEW YORK NY 10001	NONE	EXEMPT	GENERAL PURPOSE	1,500.
AMERICAN CAMP ASSOCIATION 108 W 39TH STREET, 15TH FLOOR NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	25,000.
THE AMERICAN CONSTITUTION SOCIETY 1899 L STREET NW, 2ND FLOOR WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	750,000.
AMERICAN INSTITUTE FOR STUTTERING 27 WEST 20TH STREET, SUITE 1203 NEW YORK NY 10011	NONE	EXEMPT	GENERAL PURPOSE	8,450.
ASBURY PARK MUSIC FOUNDATION 621 LAKE AVE ASBURY PARK NJ 07712	NONE	EXEMPT	GENERAL PURPOSE	1,000.
BRAC USA 110 WILLIAM STREET 18TH FLOOR NEW YORK NY 10038	NONE	EXEMPT	GENERAL PURPOSE	25,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BIPARTISAN POLICY CENTER 1225 EYE STREET NW SUITE 1000 WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	\$ 470,000.
BROOKLYN BAR ASSOC. VOL. LAWYERS PROJECT 44 COURT STREET #1206 BROOKLYN NY 11201	NONE	EXEMPT	GENERAL PURPOSE .	1,000.
CENTER ON BUDGET AND POLICY PRIORITIES 820 FIRST STREET, NE, SUITE 510 WASHINGTON DC 20002	NONE	EXEMPT	GENERAL PURPOSE	1,300,000.
CENTER FOR CLIMATE AND ENERGY SOLUTIONS 2101 WILSON BLVD. SUITE 550 ARLINGTON VA 22201	NONE	EXEMPT	GENERAL PURPOSE	490,000.
CITIZENS FOR RESPONS. AND ETHICS IN WASH 455 MASSACHUSETTS AVENUE NW WASHINGTON DC 20001	NONE	EXEMPT	GENERAL PURPOSE	300,000.
CITY HARVEST 6 EAST 32ND STREET, 5TH FLOOR NEW YORK NY 10016	NONE	EXEMPT	GENERAL PURPOSE	1,000.
CITY WILDLIFE 15 OGLETHORPE ST NW WASHINGTON DC 20011	NONE	EXEMPT	GENERAL PURPOSE	1,000.
CLEAN AIR TASK FORCE 114 STATE STREET 6TH FLOOR BOSTON MA 02109	NONE	EXEMPT	GENERAL PURPOSE	900,000.
COMMUNITY CATALYST ONE FEDERAL STREET, 5TH FLOOR BOSTON MA 02110	NONE	EXEMPT	GENERAL PURPOSE	500,000.
CONSERVATION INTERNATIONAL 2011 CRYSTAL DRIVE, SUITE 500 ARLINGTON VA 22202	NONE	EXEMPT	GENERAL PURPOSE	8,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CONSTITUTIONAL ACCOUNTABILITY CENTER 1200 18TH STREET NW SUITE 501 WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	\$ 600,000.
CORO NEW YORK LEADERSHIP CENTER 42 BROADWAY, SUITE 2001 NEW YORK NY 10004	NONE	EXEMPT	GENERAL PURPOSE	10,000.
DENNIS P. MCHUGH PIERMONT PUBLIC LIBRARY 25 FLYWHEEL PARK WEST PIERMONT NY 10968	NONE	EXEMPT	GENERAL PURPOSE	20,000.
DIFFA 16 W 32ND STREET #402 NEW YORK NY 10001	NONE	EXEMPT	GENERAL PURPOSE	5,000. ,
ENERGY INNOVATION REFORM PROJECT 3100 CLARENDON BOULEVARD, SUITE 200 ARLINGTON VA 22201	NONE	EXEMPT	GENERAL PURPOSE	500,000.
ENERGY OPTIONS NETWORK 120 LAKE VIEW AVE CAMBRIDGE MA 02138	NONE	EXEMPT	GENERAL PURPOSE	230,000.
FED. OF PROTESTANT WELFARE AGENCIES 40 BROAD STREET NEW YORK NY 10004	NONE	EXEMPT	GENERAL PURPOSE	1,000.
FOUNTAIN HOUSE 425 W 47TH STREET NEW YORK NY 10036	NONE	EXEMPT	GENERAL PURPOSE	1,900.
FRIENDS OF BROOKLYN QUEENS CONNECTOR 55 WASHINGTON ST, SUITE 732 BROOKLYN NY 11201	NONE	EXEMPT	GENERAL PURPOSE	100,000.
GIDEON'S PROMISE 101 MARIETTA ST NW SUITE 250 ATLANTA GA 30303	NONE	EXEMPT	GENERAL PURPOSE	1,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
GREAT PLAINS INST. FOR SUSTAINABLE DEVEL 2801 21ST AVE S, SUITE 220 MINNEAPOLIS MN 55407	NONE	EXEMPT	GENERAL PURPOSE	\$ 500,000.
GUTTMACHER INSTITUTE 125 MAIDEN LANE, 7TH FLOOR NEW YORK NY 10038	NONE	EXEMPT	GENERAL PURPOSE	1,200.
HUMANE RESCUE ALLIANCE PO BOX 96312 WASHINGTON DC 20090	NONE	EXEMPT	GENERAL PURPOSE	4,500.
INFORMATION TECH AND INNOVATION FDN 1101 K STREET NW, SUITE 610 WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	481,809.
INTERIM HOUSE, INC. 333 WEST UPSAL STREET PHILADELPHIA PA 19119	NONE	EXEMPT	GENERAL PURPOSE	1,500.
JOHNS HOPKINS UNIVERSITY - SAIS 1740 MASSACHUSETTS AVE., NW WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	2,500.
KEEP ROCKLAND BEAUTIFUL 120-126 NORTH MAIN STREET NEW CITY NY 10956	NONE	EXEMPT	GENERAL PURPOSE	1,000.
LAF 120 S LASALLE STREET, SUITE 900 CHICAGO IL 60603	NONE	EXEMPT	GENERAL PURPOSE	10,000.
LEGAL AID SOCIETY OF D.C. 1331 H STREET NW WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	25,000.
MAZON: A JEWISH RESPONSE TO HUNGER 10850 WILSHIRE BLVD, SUITE 400 LOS ANGELES CA 90024	NONE	EXEMPT	GENERAL PURPOSE	5,000.
NATIONAL EATING DISORDERS ASSOCIATION 1500 BROADWAY. SUITE 1101 NEW YORK NY 10036	NONE	EXEMPT	GENERAL PURPOSE	1,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
NATIONAL IMMIGRATION LAW CENTER 3450 WILSHIRE BLVD #108-62 LOS ANGELES CA 90010	NONE	EXEMPT	GENERAL PURPOSE	\$ 500,000.
NATIONAL SECURITY ARCHIVE FUND 2130 H STREET, NW WASHINGTON DC 20037	NONE	EXEMPT	GENERAL PURPOSE	300,000.
NATIONAL WOMEN'S LAW CENTER 11 DUPONT CIRCLE NW #800 WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	300,000.
NEO PHILANTHROPY 45 W 36TH STREET, 6TH FLOOR NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	1,300,000.
NY PUBLIC INTEREST RESEARCH GROUP FUND 9 MURRAY STREET, LOWER LEVEL NEW YORK NY 10007	NONE	EXEMPT	GENERAL PURPOSE	1,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE SOUTH NEW YORK NY 10012	NONE	EXEMPT	GENERAL PURPOSE	400,000.
NEXT FOR AUTISM 1430 BROADWAY, 8TH FLOOR NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	20,000.
NORTH BROOKLYN ANGELS 42 WEST ST. #202 BROOKLYN NY 11222	NONE	EXEMPT	GENERAL PURPOSE	5,000.
NYACK HOSPITAL FOUNDATION 160 N MIDLAND AVE NYACK NY 10960	NONE	EXEMPT	GENERAL PURPOSE	20,000.
ODA'S PUBLIC ENGAGEMENT IN NEIGHBORHOODS 250 PARK AVENUE SOUTH, 3RD FLOOR NEW YORK NY 10003	NONE	EXEMPT	GENERAL PURPOSE	25,000.
OPEN SPACE ALLIANCE FOR NORTH BROOKLYN 86 KENT AVE BROOKLYN NY 11249	NONE	EXEMPT	GENERAL PURPOSE	5,000.

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PENGUIN PLAYERS, LTD 7 CRICKETOWN RD STONY POINT NY 10980	NONE	EXEMPT	GENERAL PURPOSE	\$ 5,000.
PHILANTHROPY NEW YORK 1500 BROADWAY NEW YORK NY 10036	NONE	EXEMPT	GENERAL PURPOSE	10,900.
PROJECT ON GOVERNMENT OVERSIGHT 1100 G STREET NW, SUITE 500 H NY 10023	NONE	EXEMPT	GENERAL PURPOSE	500,000.
PUPPIES BEHIND BARS 263 W 38TH ST NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	1,000.
RESOURCE IMPACT 1341 G STREET NW SUITE 500 WASHINGTON DC 20005	NONE	EXEMPT	GENERAL PURPOSE	15,000.
RESOURCES LEGACY FUND 555 CAPITOL MALL, SUITE 1095 SACRAMENTO CA 95814	NONE	EXEMPT	GENERAL PURPOSE	50,000.
RIDERS ALLIANCE 121 SIXTH AVE, 6TH FLOOR NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	1,000.
RIVERDALE HATZALAH VOLUNTEER AMBULANCE 181 RIVERDALE AVE BRONX NY 10471	NONE	EXEMPT	GENERAL PURPOSE	150,000.
SAFE PASSAGE PROJECT CORPORATION 185 WEST BROADWAY NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	50,000.
SAVE OUR MONARCHS FOUNDATION P.O. BOX 390135 MINNEAPOLIS MN 55439	NONE	EXEMPT	GENERAL PURPOSE	4,500.
TEACH FOR AMERICA 315 W 36TH STREET 7TH FLOOR NEW YORK NY 10018	NONE	EXEMPT	GENERAL PURPOSE	1,000.
THE BREAKTHROUGH INSTITUTE 436 14TH STREET, SUITE 820 OAKLAND CA 94612	NONE	EXEMPT	GENERAL PURPOSE	400,000.

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CENTER FOR REPRODUCTIVE RIGHTS 199 WATER STREET NEW YORK NY 10038	NONE	EXEMPT	GENERAL PURPOSE	\$ 350,000.
THE CLIMATE GROUP 145 W 58TH STREET, 2A NEW YORK NY 10019	NONE	EXEMPT	GENERAL PURPOSE	1,000.
THE DOOR 121 AVENUE OF THE AMERICAS NEW YORK NY 10013	NONE	EXEMPT	GENERAL PURPOSE	1,000.
THE ELEANOR ROOSEVELT VAL-KILL PRTNRSHIP 4097 ALBANY POST ROAD HYDE PARK NY 12538	NONE	EXEMPT	GENERAL PURPOSE	1,000.
THE FRIENDS OF NANCY LIBERMAN FUND 8440 112 ST NW EDMONTON ALBERTA T6G 2B7 CANADA	NONE	EXEMPT	GENERAL PURPOSE	1,000.
THE JOHN LEGE PROJECT 235 E 12TH STREET, APT 2B NEW YORK NY 10003	NONE	EXEMPT	GENERAL PURPOSE	1,000.
THE LEADERSHIP CONFERENCE EDUCATION FUND 1620 L STREET NW, SUITE 1100 WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	600,000.
THE LUNCHBOX FUND P.O. BOX 10 NEW YORK NY 10276	NONE	EXEMPT	GENERAL PURPOSE	10,000.
THE PARENT-CHILD HOME PROGRAM 163B MINEOLA BOULEVARD MINEOLA NY 11501	NONE	EXEMPT	GENERAL PURPOSE	1,000.
PROTECT DEMOCRACY PROJECT 2020 PENNSYLVANIA AVE NW WASHINGTON DC 20006	NONE	EXEMPT	GENERAL PURPOSE	300,000.
THE RICHARDSON CTR FOR GLOBAL ENGAGEMENT 216 WASHINGTON AVE. SUITES 1 & 2 SANTA FE NM 87501	NONE	EXEMPT	GENERAL PURPOSE	25,000.

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THE SOUTHERN CENTER FOR HUMAN RIGHTS 83 POPLAR ST. NW ATLANTA GA 30303	NONE	EXEMPT	GENERAL PURPOSE	\$ 25,000.
THE WASHINGTON LEGAL CLINIC FOR HOMELESS 1200 U ST NW WASHINGTON DC 20009	NONE	EXEMPT	GENERAL PURPOSE	500,000.
THICH NHAT HAHN FOUNDATION 2499 MELRU LANE ESCONDIDO CA 92026	NONE	EXEMPT	GENERAL PURPOSE	5,000.
THIRD WAY INSTITUTE 1025 CONNECTICUT AVE NW, SUITE 400 WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PURPOSE	950,000.
TZEDEK DC 4340 CONNECTICUT AVE NW WASHINGTON DC 20008	NONE	EXEMPT	GENERAL PURPOSE	7,200.
U.S. GREEN BUILDING COUNCIL 2101 L STREET NW SUITE 500 WASHINGTON DC 20037	NONE	EXEMPT	GENERAL PURPOSE	3,000.
THE DAVID SHELDRICK WILDLIFE TRUST USA 25283 CABOT ROAD SUITE 101 LAGUNA HILLS CA 92653	NONE	EXEMPT	GENERAL PURPOSE	7,000.
USA FOR UNHCR 1775 K STREET NW SUITE 580 WASHINGTON DC 20006	NONE	EXEMPT	GENERAL PURPOSE	25,000.
WASHINGTON SQUARE LEGAL SERVICES 245 SULLIVAN ST., 5TH FL. NEW YORK NY 10012	NONE	EXEMPT	GENERAL PURPOSE	2,500.
WHEDCO 50 EAST 168TH STREET BRONX NY 10452	NONE	EXEMPT	GENERAL PURPOSE	4,500.
BRENNAN CENTER FOR JUSTICE 120 BROADWAY SUITE 1750 NEW YORK NY 10271	NONE	EXEMPT	GENERAL PURPOSE	500,000.
WORLD RESOURCES INSTITUTE 10 G STREET NE SUITE 800 WASHINGTON DC 20002	NONE	EXEMPT	GENERAL PURPOSE	400,000.

FEDERAL STATEMENTS

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STATEMENT 15 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

FOUND-DONEE ATION PURPOSE OF AMOUNT NAME AND ADDRESS RELATIONSHIP **STATUS** GRANT YOUTH REPRESENT NONE **EXEMPT** GENERAL PURPOSE \$ 1,000. 11 PARK PLACE SUITE 1512 NEW YORK NY 10007

TOTAL \$ 23,556,676.

FEDERAL SUPPLEMENTAL INFORMATION

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BERNARD AND ANNE SPITZER CHARITABLE TRUST

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11/26/18

05:30PM

990-PF PAGE 5 PART VII-A QUESTION 11

CONTROLLED ENTITY:

1050 FIFTH AVENUE LLC C/O SPITZER ENGINEERING 555 MADISON AVE, 18TH FLOOR NEW YORK, NY 10022-3300 EIN: 13-4137477

1050 FIFTH AVENUE LLC IS NOT AN EXCESS BUSINESS HOLDING

This form was prepared and inadvertently included with the 990T filing in error

You can verify by checking the filed form 990T

Please accept the new filing along with the Supplemental Information included