

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation SCHWARTZ FAMILY FOUNDATION C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS		A Employer identification number 13-7138217	
Number and street (or P O box number if mail is not delivered to street address) 330 MADISON AVE 15TH FLOOR		Room/suite	B Telephone number (see instructions) (212) 901-9373
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$</b> 21,679,739		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	889,067			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	9	9		
	4 Dividends and interest from securities . . . . .	2,896,473	2,896,473		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,933,671			
	b Gross sales price for all assets on line 6a _____ 8,921,991				
	7 Capital gain net income (from Part IV, line 2) . . . . .		1,933,671		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	5,719,220	4,830,153	0	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	17,250	11,500	0	5,750
	c Other professional fees (attach schedule) . . . . .	117,978	117,978	0	0
	17 Interest . . . . .	1,978,251	1,978,251	0	0
	18 Taxes (attach schedule) (see instructions) . . . . .	16,612	16,612	0	0
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	2,300,087	2,299,723	0	364
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	4,430,178	4,424,064	0	6,114
	25 Contributions, gifts, grants paid . . . . .	1,378,129			1,378,129
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	5,808,307	4,424,064	0	1,384,243
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-89,087			
	b <b>Net investment income</b> (if negative, enter -0-)		406,089		
c <b>Adjusted net income</b> (if negative, enter -0-)				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing . . . . .	30,458	186,039	186,039
	2 Savings and temporary cash investments . . . . .	916,768	3,321,386	3,321,386
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule) . . . . .	6,975,233	6,493,543	6,715,108
	c Investments—corporate bonds (attach schedule) . . . . .	9,464,648	8,660,790	7,512,880
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans . . . . .			
	13 Investments—other (attach schedule) . . . . .	2,042,863	679,125	3,944,326
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	19,429,970	19,340,883	21,679,739	
Liabilities	17 Accounts payable and accrued expenses . . . . .			
	18 Grants payable . . . . .			
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶ _____)			
	23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted . . . . .			
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds . . . . .	19,429,970	19,340,883	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	0	0	
30 <b>Total net assets or fund balances</b> (see instructions) . . . . .	19,429,970	19,340,883		
31 <b>Total liabilities and net assets/fund balances</b> (see instructions) .	19,429,970	19,340,883		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	19,429,970
2 Enter amount from Part I, line 27a	2	-89,087
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	19,340,883
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	19,340,883

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a PUBLICLY TRADED SECURITIES</b>			
<b>b AMERICAN CAPITAL HOLDINGS LLC PASSTHROUGH</b>	P		
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 8,423,817		6,988,320	1,435,497
<b>b</b> 498,174			498,174
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			1,435,497
<b>b</b>			498,174
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	1,933,671
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,228,509	20,798,125	0 059068
2016	1,592,550	20,395,757	0 078082
2015	2,251,054	22,334,853	0 100787
2014	1,737,250	23,408,262	0 074215
2013	2,724,142	23,162,967	0 117608
<b>2 Total</b> of line 1, column (d)			0 429760
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			0 085952
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			20,030,177
<b>5</b> Multiply line 4 by line 3			1,721,634
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			4,061
<b>7</b> Add lines 5 and 6			1,725,695
<b>8</b> Enter qualifying distributions from Part XII, line 4			1,384,243

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	8,122
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	8,122
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	8,122
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	16,933
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	16,933
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <b>▶</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . <b>▶</b>	<b>10</b>	8,811
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <b>▶</b> 8,811 <b>Refunded</b> <b>▶</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <b>▶</b> \$ 0 (2) On foundation managers <b>▶</b> \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>▶</b> \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b> NY		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ▶ <u>ALAN SCHWARTZ CO GUGGENHEIM PARTNE</u> Telephone no ▶ <u>(212) 901-9373</u>			

Located at ▶ 330 MADISON AVENUE 15TH FLOOR NEW YORK NY ZIP+4 ▶ 10017


<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>			
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/>	<b>1b</b>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . . <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . . <input type="checkbox"/>	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018 ). . . . . <input type="checkbox"/>	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)


<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. 	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			
	If "Yes" to 6b, file Form 8870			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALAN D SCHWARTZ 179 TACONIC ROAD GREENWICH, CT 06831	TRUSTEE 5 00	0	0	0
NANCY M SEAMAN 179 TACONIC ROAD GREENWICH, CT 06831	TRUSTEE 5 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000.  0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".


(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> THE FOUNDATION IS NOT INVOLVED WITH ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT BY CONTRIBUTION OTHER ORGANIZATIONS EXEMPT UNDER IRC SECTION 501(C)(3)	0
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> NONE	0
<b>2</b> NONE	0
All other program-related investments. See instructions.	
<b>3</b> 	0
Total. Add lines 1 through 3 . . . . . ▶	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	18,857,350
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	1,477,855
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	20,335,205
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	20,335,205
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	305,028
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	20,030,177
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	1,001,509

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	1,001,509
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	8,122
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	8,122
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	993,387
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	993,387
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	993,387

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	1,384,243
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	1,384,243
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	1,384,243

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				993,387
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .	1,651,030			
<b>b</b> From 2014. . . . .	610,294			
<b>c</b> From 2015. . . . .	1,163,323			
<b>d</b> From 2016. . . . .	585,177			
<b>e</b> From 2017. . . . .	188,603			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	4,198,427			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>1,384,243</u>				
<b>a</b> Applied to 2017, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				993,387
<b>e</b> Remaining amount distributed out of corpus	390,856			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,589,283			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	1,651,030			
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	2,938,253			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .	610,294			
<b>b</b> Excess from 2015. . . . .	1,163,323			
<b>c</b> Excess from 2016. . . . .	585,177			
<b>d</b> Excess from 2017. . . . .	188,603			
<b>e</b> Excess from 2018. . . . .	390,856			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) See Additional Data Table	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
<b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed	
<b>b</b> The form in which applications should be submitted and information and materials they should include	
<b>c</b> Any submission deadlines	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	9	
<b>4</b> Dividends and interest from securities. . . .			14	2,896,473	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	1,933,671	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). .		0		4,830,153	0
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations )			<b>13</b>		<b>4,830,153</b>

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash.	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets.	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization.	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization.	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets.	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements.	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees.	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations.	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****	2019-11-07	*****	May the IRS discuss this return with the preparer shown below? (see instr )? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	ROGER DEVRIES		2019-11-07		P00233256
	Firm's name <b>►</b> MOORE STEPHENS TILLER LLC				Firm's EIN <b>►</b> 58-0673524
Firm's address <b>►</b> 250 E HARTSDALE AVE SUITE 34 HARTSDALE, NY 10530					Phone no (914) 723-7743

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

ALAN D SCHWARTZ
NANCY M SEAMAN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMFAR120 WALL STREET 13TH FLOOR NEW YORK, NY 10005	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
BRIDGEPORT RESCUE MISSION 1088 FAIRFIELD AVENUE BRIDGEPORT, CT 06605	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	500
BROWARD OUTREACH CENTER 2159 NW 1ST COURT MIAMI, FL 33127	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
CARE (COOPERATIVE FOR ASSISTANCE AND RELIEF EVERYWHERE INC) 151 ELLIS STREET ATLANTA, GA 30303	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	7,500
CHILD MIND INSTITUTE101 E 56TH ST NEW YORK, NY 10022	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
CHILDRENS LEARNING CENTERS OF FAIRFIELD COUNTY 64 PALMERS HILL ROAD STAMFORD, CT 06902	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,000
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CLINTON HEALTH ACCESS INITIATIVE 383 DORCHESTER AVE SUITE 400 BOSTON, MA 02127	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	250,000
COLLEGE SPRING 1333 BROADWAY SUITE 250 OAKLAND, CA 94612	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
COMMITTEE FOR A RESPONSIBLE FEDERAL BUDGET 1900 M STREET WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
COMMITTEE FOR ECONOMIC DEVELOPMENT OF THE CONFERENCE BOARD 1530 WILSON BLVD SUITE 400 ARLINGTON, VA 22209	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
CONNECTICUT FOOD BANK 74 LINWOOD AVE FAIRFIELD, CT 06824	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,000
DREAM1528 CHAPALA ST SUITE 304 SANTA BARBARA, CA 93101	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
DUKE UNIVERSITYMAIN CAMPUS DURHAM, NC 27708	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	50,000
DUTCHESS OUTREACH INC 29 N HAMILTON ST 1 POUGHKEEPSIE, NY 12601	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
EMILY KRZYZEWSKI CENTER 904 W CHAPEL HILL ST DURHAM, NC 27708	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
EXPLORING THE ARTS 34-12 36TH ST SUITE 2-11 ASTORIA, NY 11106	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
FAIRWINDS - NANTUCKET'S COUNSELING CENTER 20 VESPER LANE NANTUCKET, MA 25554	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
GALLOPNYC88-03 70TH ROAD FOREST HILLS, NY 11375	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
<b>Total . . . . .</b> ▶ <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GARDEN OF DREAMS FOUNDATION 2 PENNSYLVANIA PLAZA FL 14 NEW YORK, NY 10121	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	6,000
GREATER NEW YORK COUNCIL-BOY SCOUTS 350 FIFTH AVENUE SUITE 7820 NEW YORK, NY 10118	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	100,000
HARLEM CHILDREN'S ZONE 35 EAST 125TH STREET NEW YORK, NY 10035	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HARLEM VILLAGE ACADEMIES 35 EAST 124TH STREET NEW YORK, NY 10035	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
HELP USAPO BOX 816 WILTON, CT 06897	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	50,000
INCARNATION CHILDREN'S CENTER 142 AUDUBON AVENUE NEW YORK, NY 10032	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
INTERNATIONAL CENTER FOR MISSING AND EXPLOITED CHILDREN 2318 MILL RD SUITE 1010 ALEXANDRIA, VA 22314	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	20,000
INTERNATIONAL JUSTICE MISSION PO BOX 58147 WASHINGTON, DC 20037	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,000
INTERNATIONAL JUSTICE MISSION PO BOX 58147 WASHINGTON, DC 20037	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	20,000
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND ST NEW YORK, NY 10168	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
JAZZ FOUNDATION OF AMERICA 322 WEST 48TH STREET 6TH FLOOR NEW YORK, NY 10036	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
KIDS HOME2750 SUTTERVILLE ROAD SACRAMENTO, CA 95820	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LEAD THE WAY FUNDPO BOX 281 MANHASSET, NY 11030	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
LINCOLN CENTER FOR THE PERFORMING ARTS LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	50,000
LUSTGARTEN FOUNDATION 1111 STEWART AVENUE BETHPAGE, NY 11714	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MAKE A WISH FOUNDATION 1702 E HIGHLAND AVE SUITE 400 PHOENIX, AZ 85016	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	500
MENTOR PARTNERSHIP 1680 DUKE STREET 2ND FLOOR ALEXANDRIA, VA 22314	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
MUNICIPAL ART SOCIETY 488 MADISON AVE SUITE 1900 NEW YORK, NY 10022	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
<b>Total . . . . .</b> ▶ <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NANTUCKET BOOK FESTIVAL PO BOX 204 NANTUCKET, MA 02554	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
NANTUCKET COTTAGE HOSPITAL 57 PROSPECT STREET NANTUCKET, MA 02554	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
NANTUCKET GOLF CLUB FOUNDATION 250 MILESTONE ROAD NANTUCKET, MA 02564	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
<b>Total . . . . .</b> ▶ <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NAVAL WAR COLLEGE FOUNDATION INC 686 CUSHING RD NEWPORT, RI 02841	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
NAVY SEAL WARRIOR FUND 162 WEST 56TH STREET NEW YORK, NY 10019	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	3,333
NEW YORK CITY PARTNERSHIP FOUNDATION ONE BATTERY PARK PLAZA 5TH FLOOR NEW YORK, NY 10004	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
NUCLEAR THREAT INITIATIVE 1776 EYE STREET NW SUITE 600 WASHINGTON, DC 20006	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
ONE GOAL55 EXCHANGE PLACE NEW YORK, NY 10005	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	50,000
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
PACIFIC HOUSE597 PACIFIC ST 5814 STAMFORD, CT 06902	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
PATHNORTH1717 RHODE ISLAND AVENUE NW WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
PREP FOR PREP328 WEST 71ST ST NEW YORK, NY 10023	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PRISON FELLOWSHIPPO BOX 1550 MERRIFIELD, VA 22116	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	500
REPRESENTUS EDUCATION FUND PO BOX 60008 FLORENCE, MA 01062	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	52,500
ROBIN HOOD FOUNDATION 826 BROADWAY 9TH FLOOR NEW YORK, NY 10003	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	341,046
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SCENIC HUDSON INC ONE CIVIC CENTER PLAZA SUITE 200 POUGHKEEPSIE, NY 12601	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
SCHOOL FOR CHILDREN WITH HIDDEN INTELLIGENCE 1001 AVENUE OF THE AMERICAS NEW YORK, NY 10018	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	20,000
STEPHEN SIILER TUNNEL TO TOWERS FOUNDATION 2361 HYLAN BLVD STATEN ISLAND, NY 10306	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE FOOD BANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK RD STAMFORD, CT 06906	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
THE GREENWICH SENTINEL FOUNDATION PO BOX 279 GREENWICH, CT 06836	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	250
THE METROPOLITAN OPERA 30 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
<b>Total . . . . . ▶ 3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE NANTUCKET SCHOLARSHIP FUND 9B BAYBERRY COURT PO BOX 204 NANTUCKET, MA 02554	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	500
THE ROCKEFELLER UNIVERSITY 1230 YORK AVE NEW YORK, NY 10065	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
THE UNITED SERVICE ORGANIZATION 2111 WILSON BLVD ARLINGTON, CA 22201	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
UWC-USASTATE RTE 65 MONTEZUMA, NM 87731	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,500
YESHIVA OF TELSHE ALUMNI 4904 INDEPENDENCE AVE BRONX, NY 10471	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
<b>Total . . . . .</b> ► <b>3a</b>				1,378,129

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
YOUNG WOMEN'S LEADERSHIP NETWORK 322 8TH AVE NEW YORK, NY 10001	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
YOUTH INC2801 M STREET NW WASHINGTON, DC 20007	NONE	PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	35,000
<b>Total . . . . . ▶ 3a</b>				1,378,129

**TY 2018 Accounting Fees Schedule****Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

**EIN:** 13-7138217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	17,250	11,500	0	5,750

**TY 2018 All Other Program Related Investments Schedule**

**Name:** SCHWARTZ FAMILY FOUNDATION  
C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS  
**EIN:** 13-7138217

Category	Amount
NONE	0

**TY 2018 Investments Corporate Bonds Schedule****Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

**EIN:** 13-7138217**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
210,000 ABBVIE INC 2.85%	206,806	202,352
250,000 AT&T INC 3%	248,965	243,813
250,000 BANK AMER CORP 5.7%	270,963	264,968
175,000 BP CAP MKTS PLC 3.245%	178,791	173,483
200,000 BURLINGTON RES INC 9.125%	232,356	230,360
240,000 CANADIAN NAT RES 2.95%	241,236	229,402
240,000 CONSTELLATION BRANDS INC 4.25%	253,309	242,638
240,000 CONSTELLATION ENERGY GROUP INC 5.15%	249,365	245,268
250,000 CVS HEALTH CORP 2.8%	250,146	247,745
225,000 DOMINION GAS HLDGS 2.8%	226,236	221,661
250,000 DOW CHEM CO 4.25%	255,736	254,445
250,000 ECOLAB INC 4.35%	210,596	206,505
255,000 ENCANA CORP 6.5%	258,768	257,621
200,000 ENTERGY TEX INC 7.125%	200,885	200,552
235,000 GENERAL ELEC CAP CORP 6%	240,042	237,531
235,000 GENERAL MTRS FINL CO INC 3.5%	235,500	234,965
230,000 GOLDMAN SACHS GROUP INC 2.55%	230,647	228,546
250,000 HEWLETT PACKARD CO NTS 3.75%	253,636	252,988
325,000 KINDER MORGAN ENERGY PARTNERS LP 5.3%	336,770	333,788
250,000 KRAFT FOODS GROUP INC 5.375%	257,228	255,713

**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
220,000 MCDONALDS CORP 2.625%	219,714	215,558
245,000 MORGAN STANLEY 5.625%	250,066	248,626
235,000 NEWELL BRANDS INC 3.85%	241,170	231,557
150,000 NORFOLD SOUTHERN CORP 539%	152,208	151,601
250,000 RAYTHEON CO 4.4%	255,398	253,723
200,000 SHELL INTL FIN 2.375%	193,880	194,982
325,000 SOUTHERN CO 2.95%	333,322	313,853
355,000 TEVA PHARMACEUTICAL 2.95%	333,070	313,948
150,000 VERIZON COMMUNICATIONS INC 5.15%	164,198	159,654
250,000 VOLKSWAGEN INTERNATIONAL 4.0%	250,411	251,613
215,000 WALGREENS BOOTS ALLIANCE 3.3%	220,494	214,011
200,000 WELLS FARGO & CO 2.125%	199,149	199,410
1,000,000 WASHINGTON MUTUAL BANK 5.65%	1,009,729	0

**TY 2018 Investments Corporate Stock Schedule**

**Name:** SCHWARTZ FAMILY FOUNDATION  
C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS  
**EIN:** 13-7138217

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,250 HOME DEPOT INC COMMON	129,750	214,775
1,126 DELL TECHNOLOGIES INC	53,631	89,870
2,500 JP MORGAN CHASE & CO COMMON	139,050	244,050
7,860 AT&T INC	254,690	224,324
4,139 ABBOTT LABS	277,928	299,374
4,044 AMERICAN INTERNATIONAL GROUP INC	200,816	159,374
1,714 AMGEN INC	272,369	333,664
13,524 BANK OF AMERICA CORP	387,277	333,231
521 BLACKROCK INC	154,628	204,659
893 BOWING CO	309,763	287,993
10,054 CANADIAN NATURAL RES LTD	315,816	242,603
2,059 CHEVRON CORP	261,546	223,999
5,383 CISCO SYSTEMS INC	239,596	233,245
5,877 CITIGROUP INC	373,541	305,967
2,557 DOWDUPONT INC	176,007	136,748
5,696 GENERAL MILLS INC	255,124	221,802
4,212 GILEAD SCIENCES INC	317,359	263,461
3,538 INTEL CORP	98,532	166,068
1,350 JOHNSON & JOHNSON	187,933	174,216
1,438 L3 COMMUNICATIONS HLDGS	222,781	249,723
1,039 LOCKHEED MARTIN CORP	242,518	272,052
4,459 MICROSOFT CORP	195,332	452,901
1,703 PROCTOR & GAMBLE CO	158,082	156,540
4,985 ROYAL DUTCH SHELL PLC	236,359	298,801
1,816 STANLEY BLACK & DECKER INC	251,946	217,448
1,223 3M CO	240,040	233,030
3,005 US BANCORP	125,671	137,329
3,173 UNITED TECHNOLOGIES CORP	415,458	337,861

## TY 2018 Investments - Other Schedule

**Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

**EIN:** 13-7138217

### Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN CAPITAL HOLDINGS LP INTEREST	AT COST	679,125	3,944,326

**TY 2018 Other Expenses Schedule****Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

**EIN:** 13-7138217**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	546	182	0	364
AMERICAN CAPITAL HOLDINGS LLC INVESTMENT LOSS	2,299,541	2,299,541	0	0

**TY 2018 Other Professional Fees Schedule****Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

**EIN:** 13-7138217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEES	117,978	117,978	0	0

**TY 2018 Taxes Schedule****Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

**EIN:** 13-7138217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID ON INVESTMENTS	16,612	16,612	0	0

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF)  Department of the Treasury Internal Revenue Service	<b>Schedule of Contributors</b>  ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Go to <a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a> for the latest information	OMB No 1545-0047  <b>2018</b>
	<b>Name of the organization</b> SCHWARTZ FAMILY FOUNDATION C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS	<b>Employer identification number</b> 13-7138217

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> SCHWARTZ FAMILY FOUNDATION C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS	<b>Employer identification number</b> 13-7138217
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<b>Part I</b> <b>Contributors</b> (See instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALAN D SCHWARTZ 179 TACONIC ROAD GREENWICH, CT 06831	\$ 889,067	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

<b>Part II</b>	<b>Noncash Property</b>
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	(See instructions) Use duplicate copies of Part II if additional space is needed	(c)	
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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

**Name of organization**SCHWARTZ FAMILY FOUNDATION  
C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS**Employer identification number**

13-7138217

<b>Part III</b>	<b>Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____</b> Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	