

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation SCHWARTZ FAMILY FOUNDATION C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS		A Employer identification number 13-7138217	
Number and street (or P O box number if mail is not delivered to street address) 330 MADISON AVE 15TH FLOOR		Room/suite	B Telephone number (see instructions) (212) 901-9373
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 20,882,450		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5	5		
	4 Dividends and interest from securities	1,425,481	1,425,481		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	241,643			
	b Gross sales price for all assets on line 6a	8,591,727			
	7 Capital gain net income (from Part IV, line 2)		241,643		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,667,129	1,667,129	0	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	17,500	0	0	0
	c Other professional fees (attach schedule)	112,692	112,692	0	0
	17 Interest	1,498,796	1,498,796	0	0
	18 Taxes (attach schedule) (see instructions)	4,064	4,064	0	0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	509,549	505,968	0	0
	24 Total operating and administrative expenses. Add lines 13 through 23	2,142,601	2,121,520	0	0
	25 Contributions, gifts, grants paid	1,228,509			1,228,509
	26 Total expenses and disbursements. Add lines 24 and 25	3,371,110	2,121,520	0	1,228,509
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-1,703,981			
	b Net investment income (if negative, enter -0-)		0		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,551,216	947,226	947,226
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	852,565	0	0
	b	Investments—corporate stock (attach schedule)	6,541,874	6,975,233	8,841,626
	c	Investments—corporate bonds (attach schedule)	8,863,440	9,464,648	8,461,210
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,324,856	2,042,863	2,632,388
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	21,133,951	19,429,970	20,882,450	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	21,133,951	19,429,970	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
30	Total net assets or fund balances (see instructions)	21,133,951	19,429,970		
31	Total liabilities and net assets/fund balances (see instructions) .	21,133,951	19,429,970		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,133,951
2	Enter amount from Part I, line 27a	2	-1,703,981
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	19,429,970
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	19,429,970

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	241,643
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	1,592,550	20,395,757	0 078082
2015	2,251,054	22,334,853	0 100787
2014	1,737,250	23,408,262	0 074215
2013	2,724,142	23,162,967	0 117608
2012	1,742,639	18,211,348	0 095690
2 Total of line 1, column (d)			2 0 466382
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 093276
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 20,798,125
5 Multiply line 4 by line 3			5 1,939,966
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 0
7 Add lines 5 and 6			7 1,939,966
8 Enter qualifying distributions from Part XII, line 4			8 1,228,509

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	0
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	0
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	16,933
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	16,933
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16,933
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> 16,933 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ 0 (2) On foundation managers <input type="checkbox"/> \$ _____ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>ALAN SCHWARTZ CO GUGGENHEIM PARTNE</u> Telephone no ▶ <u>(212) 901-9373</u>			

Located at ▶ 330 MADISON AVENUE 15TH FLOOR NEW YORK NY ZIP+4 ▶ 10017

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No	
	<i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ALAN D SCHWARTZ 179 TACONIC ROAD GREENWICH, CT 06831	TRUSTEE 5 00	0	0	0
NANCY M SEAMAN 179 TACONIC ROAD GREENWICH, CT 06831	TRUSTEE 5 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".


(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE FOUNDATION IS NOT INVOLVED WITH ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT BY CONTRIBUTION OTHER ORGANIZATIONS EXEMPT UNDER IRC SECTION 501(C)(3)	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2 NONE	0
All other program-related investments. See instructions.	
3 	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	19,662,306
b	Average of monthly cash balances.	1b	1,452,542
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	21,114,848
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	21,114,848
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	316,723
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	20,798,125
6	Minimum investment return. Enter 5% of line 5.	6	1,039,906

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,039,906
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	0
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,039,906
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,039,906
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,039,906

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,228,509
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,228,509
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,228,509

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,039,906
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	844,194			
b From 2013.	1,651,030			
c From 2014.	610,294			
d From 2015.	1,163,323			
e From 2016.	585,177			
f Total of lines 3a through e.	4,854,018			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 1,228,509				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				1,039,906
e Remaining amount distributed out of corpus	188,603			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,042,621			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	844,194			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	4,198,427			
10 Analysis of line 9				
a Excess from 2013.	1,651,030			
b Excess from 2014.	610,294			
c Excess from 2015.	1,163,323			
d Excess from 2016.	585,177			
e Excess from 2017.	188,603			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,228,509
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2018-11-06 Date	***** Title

May the IRS discuss this return with the preparer shown below (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
--

Paid Preparer Use Only	Print/Type preparer's name ROGER DEVRIES	Preparer's Signature	Date 2018-11-06	Check if self-employed <input type="checkbox"/>	PTIN P00233256
	Firm's name ▶ MOORE STEPHENS TILLER LLC				
	Firm's address ▶ 250 E HARTSDALE AVE SUITE 34 HARTSDALE, NY 10530				
Firm's EIN ▶ 58-0673524					Phone no (914) 723-7743

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALLERGAN PLC COM		2017-05-10	2017-10-18
DELPHI TECHNOLOGIES PLC SHS		2017-06-06	2017-12-18
DELPHI TECHNOLOGIES PLC SHS		2017-09-20	2017-12-18
AT&T INC COM		2017-02-07	2017-10-25
AUTOMATIC DATA PROCESSING INC COM		2017-02-07	2017-07-31
BANK AMER CORP COM		2017-02-21	2017-03-27
BAYER AG SPONSORED ADR		2017-02-07	2017-12-18
BOEING CO COM		2016-08-30	2017-03-09
CANADIAN NATURAL RES LTD		2016-10-11	2017-02-08
CANADIAN NATURAL RES LTD		2016-12-07	2017-02-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
186,172		229,816	-43,644
47,555		40,045	7,510
10,193		9,831	362
186,553		228,168	-41,615
103,460		83,266	20,194
214,483		232,937	-18,454
76,498		66,515	9,983
272,197		196,215	75,982
93,435		105,589	-12,154
66,727		77,359	-10,632

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-43,644
			7,510
			362
			-41,615
			20,194
			-18,454
			9,983
			75,982
			-12,154
			-10,632

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHEVRON CORP NEW COM		2016-03-09	2017-01-30
CHEVRON CORP NEW COM		2016-12-07	2017-01-30
EXXON MOBIL CORP COM		2016-12-07	2017-08-14
FORD MOTOR CORP COM		2017-01-12	2017-03-27
NEWELL BRANDS INC CO		2017-07-10	2017-09-08
OCCIDENTAL PETE CORP COM		2016-05-12	2017-03-09
OCCIDENTAL PETE CORP COM		2016-12-07	2017-03-09
PFIZER INC COM		2016-11-10	2017-07-10
SKYWORKS SOLUTIONS INC COM		2016-12-07	2017-06-13
UNION PAC CORP COM		2016-12-07	2017-07-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
88,951		75,107	13,844
130,646		134,185	-3,539
27,052		30,308	-3,256
209,029		229,892	-20,863
197,232		239,165	-41,933
160,741		200,329	-39,588
39,265		44,000	-4,735
72,925		73,139	-214
315,784		229,466	86,318
222,824		228,661	-5,837

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13,844
			-3,539
			-3,256
			-20,863
			-41,933
			-39,588
			-4,735
			-214
			86,318
			-5,837

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WELLS FARGO & CO NEW COM		2017-02-08	2017-11-29
AMGEN INC COM		2016-09-29	2017-12-18
AUTOMATIC DATA PROCESSING INC COM		2013-10-07	2017-06-29
AUTOMATIC DATA PROCESSING INC COM		2013-10-15	2017-06-29
AUTOMATIC DATA PROCESSING INC COM		2013-11-20	2017-06-29
AUTOMATIC DATA PROCESSING INC COM		2013-11-20	2017-07-31
AUTOMATIC DATA PROCESSING INC COM		2014-01-14	2017-07-31
AUTOMATIC DATA PROCESSING INC COM		2014-02-12	2017-07-31
BANK OF NEW YORK MELLON CORP COM		2015-05-07	2017-06-01
BANK OF NEW YORK MELLON CORP COM		2015-07-17	2017-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
230,826		228,158	2,668
77,570		73,628	3,942
33,484		20,332	13,152
42,085		26,010	16,075
9,216		6,255	2,961
106,570		61,920	44,650
49,637		28,995	20,642
42,460		23,426	19,034
197,650		181,880	15,770
13,503		12,253	1,250

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,668
			3,942
			13,152
			16,075
			2,961
			44,650
			20,642
			19,034
			15,770
			1,250

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CISCO SYSTEMS INC		2013-11-18	2017-07-10
CISCO SYSTEMS INC		2013-11-20	2017-07-10
CISCO SYSTEMS INC		2014-01-14	2017-07-10
EXXON MOBIL CORP COM		2015-05-07	2017-08-14
GENERAL ELECTRIC CO COM		2014-01-14	2017-05-15
GENERAL ELECTRIC CO COM		2015-09-18	2017-05-15
GENERAL ELECTRIC CO COM		2015-11-30	2017-05-15
PEPSICO INC COM		2014-09-08	2017-01-12
PEPSICO INC COM		2014-09-12	2017-01-12
PFIZER INC COM		2014-02-10	2017-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
76,177		52,918	23,259
106,474		73,506	32,968
52,199		37,772	14,427
172,505		192,708	-20,203
9,506		9,133	373
87,344		77,773	9,571
105,262		112,644	-7,382
218,359		197,014	21,345
81,910		73,210	8,700
98,744		94,111	4,633

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			23,259
			32,968
			14,427
			-20,203
			373
			9,571
			-7,382
			21,345
			8,700
			4,633

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PFIZER INC COM		2014-02-10	2017-05-10
PFIZER INC COM		2014-10-22	2017-07-10
STANLEY BLACK & DECKER INC COM		2013-11-20	2017-06-26
STANLEY BLACK & DECKER INC COM		2014-01-14	2017-06-26
STANLEY BLACK & DECKER INC COM		2015-09-11	2017-06-26
UNITED PARCEL SVC IN C CL B		2014-02-25	2017-02-07
UNITED PARCEL SVC IN C CL B		2014-08-14	2017-02-07
ANHEUSER-BUSCH INBEV FIN INC GTD FXD RT SR NT 1 900% 02/01/		2016-01-13	2017-02-15
ECO LAB INC FIXED RI NT 4 350% 12/08/21B/E DTD 12/08/11		2015-01-07	2017-12-29
MARATHON OIL CORP SR NT 5 900% 03/15/18 B/E DID 03/17/08		2016-05-25	2017-08-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
95,405		89,647	5,758
68,175		57,875	10,300
21,950		12,649	9,301
57,299		32,851	24,448
42,190		29,175	13,015
205,802		187,008	18,794
72,369		65,838	6,531
150,224		149,594	630
52,349		52,097	252
225,579		222,578	3,001

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,758
			10,300
			9,301
			24,448
			13,015
			18,794
			6,531
			630
			252
			3,001

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
RYDER SYS INC MEDIUM TERM NTS FXD RT 2 450%11/15/18 B/E D		2014-03-13	2017-06-15
VERIZON COMMUNICATIO NS INC FXD RT 4 500% 09/15/20 B/E DTD		2014-07-28	2017-11-30
CONSTELLATION BRANDS INC SR NT 7250% 05/5/7 B/E DTD 11/1		2013-02-04	2017-05-15
FLORIDA HURRICANE CATASTROPHE FD FIN COR P REV RFDG SER A		2013-04-16	2017-04-07
GOLDMAN SACHS GROUP INC SUB NT 5 625% 01/15/17 B/E DTD 01/1		2010-09-30	2017-01-17
ILLINOIS ST TAXABLE- SER 2010-3 BUILD AME R BDS 5 090% 04/0		2012-02-17	2017-04-03
NOBLE HLDG INTL LTD GTD NT ISIN#US65504L AH06 2 500% 03/15/1		2012-02-09	2017-03-15
OKLAHOMA GAS ST ELEC CO FIXED RT SR NT 6 500% 07/15/17 B/E		2005-03-03	2017-07-17
LEHMAN BROTHERS HLDGS INC SR NT	D	2012-04-17	2017-04-06
LEHMAN BROTHERS HLDGS INC SR NT	D	2012-04-17	2017-10-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
251,995		250,644	1,351
265,410		261,333	4,077
435,000		435,000	0
452,714		450,835	1,879
400,000		400,000	0
400,000		400,000	0
400,000		400,000	0
400,000		400,000	0
3,297			3,297
2,226			2,226

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,351
			4,077
			0
			1,879
			0
			0
			0
			0
			3,297
			2,226

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LEHMAN BROTHERS HLDGS INC SR NT	D	2012-04-17	2017-12-07
PASSTHROUGH FROM AMERICAN CAPITAL HOLDINGS LLC	P		
PASSTHROUGH FROM AMERICAN CAPITAL HOLDINGS LLC	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,979			2,979
53,561			53,561
		115,321	-115,321

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l


Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,979
			53,561
			-115,321

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ALAN D SCHWARTZ
NANCY M SEAMAN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A BETTER CHANCE 240 WEST 35TH STREET 9TH FLOOR NEW YORK, NY 10001		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
ACADEMY FUR URBAN SCHOOL LEADERSHIP 3400 N AUSTIN AVENUE CHICAGO, IL 60634		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
AMFAR120 WALL STREET 13TH FLOOR NEW YORK, NY 10005		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	15,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
APOLLO THEATER FOUNDATION INC 253 WEST 125TH STREET NEW YORK, NY 10027		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	12,500
BOYS HOPE GIRLS HOPE 367 CLERMONT AVE BROOKLYN, VA 11238		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
BRIDGEPORT RESCUE MISSION 1088 FAIRFIELD AVENUE BRIDGEPORT, CT 06605		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,000
Total ▶ 3a				1,228,509


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN OF FALLEN PATRIOTS FOUNDATION 1818 LIBRARY STREET RESTON, VA 20190		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	60,000
CHILDREN'S CANCER AND BLOOD FOUNDATION 333 EAST 38TH STREET SUITE 830 NEW YORK, NY 10016		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
CLASSROOMS INC 245 FIFTH AVENUE 20TH FLOOR NEW YORK, NY 10016		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,000
Total 				1,228,509
3a				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLLEGE SPRING 1333 BROADWAY SUITE 250 OAKLAND, CA 94612		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
CONNECTICUT FOOD BANK 74 LINWOOD AVE FAIRFIELD, CT 06824		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
DEMAREST ATHLETIC ASSOCIATION PO BOX 101 DEMAREST, NJ 07627		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	3,000
Total ▶ 3a				1,228,509


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DEVERAUX ADVANCED BEHAVIOR HEALTH 444 DEVEREAU DR VILLANOVA, PA 19085		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
DUKE UNIVERSITYMAIN CAMPUS DURHAM, NC 27708		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	31,000
EGAN MARITIME INSTITUTE 5A BAYBERRY COURT NANTUCKET, MA 02554		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ELS FOR AUTISM 18370 LIMESTONE CREEK ROAD JUPITER, FL 33458		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
EMILY KRZYZEWSKI CENTER 904 W CHAPEL HILL ST DURHAM, NC 27708		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
FIDELITY CHARITABLE GIFT FUND PO BOX 77000 CINCINNATI, OH 45277		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	8,334
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOOD ALLERGY RESEARCH & EDUCATION 7901 JONES BRANCH DRIVE MCCLEAN, VA 22102		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
FRIENDS OF FELINEPO BOX 8147 STAMFORD, CT 06905		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	200
FRIENDS OF THE ISRAEL DEFENSE FORCES PO BOX 4224 NEW YORK, NY 10163		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
Total 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GIVEN LIMB FOUNDATION PURCHASE LANE RYE, NY 10580		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
GOVERNOR'S COMMITTEE ON SCHOLASTIC ACHIEVEMENT 157 WEST 57TH STREET NEW YORK, NY 10019		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	50,000
HARLEM CHILDREN'S ZONE 35 EAST 125TH STREET NEW YORK, NY 10035		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
Total 				1,228,509
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HARLEM RBI INC 333 EAST 100TH STREET NEW YORK, NY 10029		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
HARLEM VILLAGE ACADEMIES 35 EAST 124TH STREET NEW YORK, NY 10035		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
HELP USAPO BOX 816 WILTON, CT 06897		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
Total 				1,228,509
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
I HAVE A DREAM FOUNDATION 322 8TH AVE NEW YORK, NY 10001		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
INCARNATION CHILDREN'S CENTER 142 AUDUBON AVENUE NEW YORK, NY 10032		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	3,000
INTERNATIONAL CENTER FOR MISSING AND EXPLOITED CHILDREN 2318 MILL RD SUITE 1010 ALEXANDRIA, VA 22314		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
Total 				1,228,509
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INTERNATIONAL JUSTICE MISSION PO BOX 58147 WASHINGTON, DC 20037		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
JIMMY LEE SCHOLARSHIP FUND 1011 FIRST AVE SUITE 1800 NEW YORK, NY 10022		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
JUNIOR LEAGUE OF GREENWICH 231 E PUTNAM AVE GREENWICH, CT 06830		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KOLBE CATHERAL HIGH SCHOOL 33 CALHOUN PL BRIDGEPORT, CT 06604		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	15,000
LA SALLE ACADEMY215 EAST 6TH ST NEW YORK, NY 10003		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
LEAD THE WAY FUNDPO BOX 281 MANHASSET, NY 11030		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LUSTGARTEN FOUNDATION 1111 STEWART AVENUE BETHPAGE, NY 11714		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
MALARIA NO MORE 2341 EASTLAKE AVENUE E SEATTLE, WA 98102		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
MATT'S PROMISE 1177 AVE OF THE AMERICAS NEW YORK, NY 10036		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
Total ▶ 3a				1,228,509


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MENTOR PARTNERSHIP 1680 DUKE STREET 2ND FLOOR ALEXANDRIA, VA 22314		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
MUSIC SHINES BRIGHT 54 DAPPLEGRAY RD BELL CANYON, CA 91307		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	250
NANTUCKET BOOK FESTIVAL PO BOX 204 NANTUCKET, MA 02554		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NANTUCKET DREAMLAND FOUNDATION PO BOX 989 NANTUCKET, MA 02554		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
NANTUCKET ICEPO BOX 3155 NANTUCKET, MA 02584		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
NANTUCKET YACHT CLUB FOUNDATION 10 SOUTH BEACH STREET NANTUCKET, MA 02554		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL ASSOCIATION OF BASKETBALL COACHES FOUNDATION INC 1111 MAIN STREET KANSAS CITY, MO 64105		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
NATIONAL MS SOCIETY 733 THIRD AVENUE NEW YORK, NY 10017		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,225
NEW YORK CITY PARTNERSHIP FOUNDATION ONE BATTERY PARK PLAZA 5TH FLOOR NEW YORK, NY 10004		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
NEW YORK UNIVERSITY LANGONE MEDICAL CTR 550 FIRST AVENUE NEW YORK, NY 10016		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	100,000
NTI1776 EYE ST NW WASHINGTON, DC 20006		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ONE GOAL55 EXCHANGE PLACE NEW YORK, NY 10005		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
PAN MASS CHALLENGE77 4TH AVENUE NEEDHAM, MA 02494		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	3,000
PARALYMPIC CHAMPIONS FUND ONE OLYMPIC PLAZA COLORADO SPRINGS, CO 80909		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PATHNORTH 1717 RHODE ISLAND AVENUE NW WASHINGTON, DC 20036		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
PRISON FELLOWSHIPPO BOX 1550 MERRIFIELD, VA 22116		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	500
REPRESENTUS EDUCATION FUND PO BOX 60008 FLORENCE, MA 01062		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	100,000
Total 				1,228,509
3a				

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROBERT F KENNEDY HUMAN RIGHTS 1300 19TH STREET NW WASHINGTON, DC 20036		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,500
ROBIN HOOD FOUNDATION 826 BROADWAY 9TH FLOOR NEW YORK, NY 10003		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	330,000
SILVER SHIELD ASSOCIATION INC 11 HAVEMEYER PL GREENWICH, CT 06830		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	2,500
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST VINCENT'S SERVICES 66 BOERUM PLACE BROOKLYN, NY 11201		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
STEVEN D HASSENFELD CHILDREN'S CENTER FOR CANCER 160 EAST 32ND STREET NEW YORK, NY 10016		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
TEACH FOR AMERICA 519 EIGHTH AVENUE 15TH FLOOR NEW YORK, NY 10018		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	57,500
Total ► 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE FAIRFIELD CHRISTMAS TREE FESTIVAL PO BOX 844 SOUTHPORT, CT 06890		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
THE FOOD BANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK RD STAMFORD, CT 06906		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
THE HURLEY FAMILY FOUNDATION 2200 GENG RD PALO ALTO, CA 94303		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE NANTUCKET FUND117 ORANGE ST NANTUCKET, MA 02554		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	5,000
THE SCHI SCHOOL1001 6TH AVE 4TH FL NEW YORK, NY 10018		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	20,000
USSGA MEMORIAL FUNDPO BOX 4459 GREENWICH, CT 06831		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	1,000
Total ▶ 3a				1,228,509

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WATERSIDE SCHOOL 770 PACIFIC STREET STAMFORD, CT 06902		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	10,000
YOUTH INC2801 M STREET NW WASHINGTON, DC 20007		PUBLIC CHARITY	TO SUPPORT THE GENERAL PURPOSE FUND	25,000
Total ▶ 3a				1,228,509

TY 2017 Accounting Fees Schedule**Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

EIN: 13-7138217**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	17,500	0	0	0

TY 2017 All Other Program Related Investments Schedule

Name: SCHWARTZ FAMILY FOUNDATION
C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS
EIN: 13-7138217

Category	Amount
NONE	0

TY 2017 Investments Corporate Bonds Schedule**Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

EIN: 13-7138217**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
210,000 ABBVIE INC 2.85%	206,806	209,540
250,000 AT&T INC 3%	248,965	250,445
250,000 BANK AMER CORP 5.7%	277,413	278,695
175,000 BP CAP MKTS PLC 3.245%	179,861	179,372
200,000 BURLINGTON RES INC 9.125%	243,465	243,808
240,000 CANADIAN NAT RES 2.95%	241,521	238,925
250,000 CITIGROUP INC 2.15%	250,074	250,155
435,000 CONSTELLATION BRANDS INC 7.25%	256,150	253,886
240,000 CONSTELLATION ENERGY GROUP INC 5.15%	254,031	255,559
250,000 CVS HEALTH CORP 2.8%	250,236	251,043
225,000 DOMINION GAS HLDGS 2.8%	226,872	226,728
250,000 DOW CHEM CO 4.25%	258,666	261,093
250,000 ECOLAB INC 4.35%	213,694	213,946
255,000 ENCANA CORP 6.5%	268,643	267,941
200,000 ENTERGY TEX INC 7.125%	211,052	210,110
500,000 FORD MOTOR CO LLC NTS 5%	503,972	505,090
235,000 GENERAL ELEC CAP CORP 6%	248,247	249,004
235,000 GENERAL MTRS FINL CO INC 3.5%	236,425	238,541
230,000 GOLDMAN SACHS GROUP INC 2.55%	231,427	230,432
250,000 HEWLETT PACKARD CO NTS 3.75%	262,316	257,980

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
325,000 KINDER MORGAN ENERGY PARTNERS LP 5.3%	343,374	346,395
250,000 KRAFT FOODS GROUP INC 5.375%	263,548	264,875
220,000 MCDONALDS CORP 2.625%	219,714	220,453
245,000 MORGAN STANLEY 5.625%	256,837	258,186
235,000 NEWELL BRANDS INC 3.85%	242,506	243,169
250,000 RAYTHEON CO 4.4%	260,073	261,275
200,000 SHELL INTL FIN 2.375%	193,880	198,570
325,000 SOUTHERN CO 2.95%	335,053	325,159
230,000 TEVA PHARMACEUTICAL 2.95%	220,570	205,885
400,000 TIME WARNER CABLE INC 6.75%	409,597	408,868
250,000 VERIZON COMMUNICATIONS INC 4.5%	166,965	166,896
250,000 VOLKSWAGEN INTERNATIONAL 4.0%	250,653	259,098
215,000 WALGREENS BOOTS ALLIANCE 3.3%	222,313	218,588
250,000 LEHMAN BROS HLDGS INC 8.5%	0	11,500
1,000,000 WASHINGTON MUTUAL BANK 5.65%	1,009,729	0

TY 2017 Investments Corporate Stock Schedule

Name: SCHWARTZ FAMILY FOUNDATION
C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS
EIN: 13-7138217

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,250 HOME DEPOT INC COMMON	129,750	236,913
2,500 JP MORGAN CHASE & CO COMMON	139,050	267,350
9,357 ABB LTD	237,704	250,955
4,044 AMERICAN INTERNATIONAL GROUP INC	200,816	240,942
1,714 AMGEN INC	272,369	298,065
4,085 APTIV PLC	315,741	346,531
9,141 BANK OF AMERICA CORP	256,222	269,842
3,313 BANK OF NEW YORK MELLON CORP	138,971	178,438
8,274 BAYER AG	231,649	257,239
700 BLACKROCK INC	201,816	359,597
10,054 CANADIAN NATURAL RES LTD	315,816	359,129
4,614 CITIGROUP INC	286,154	343,328
8,422 CORNING INC	236,657	269,420
6,076 FLOUR CORP	330,056	313,825
3,444 GILEAD SCIENCES INC	259,214	246,728
2,120 HARRIS CORP	235,656	300,298
1,136 HUNTINGTON INGALLS INDS INC	247,534	267,755
6,315 INTEL CORP	156,343	291,500
2,211 JOHNSON & JOHNSON	223,930	308,921
1,438 L3 COMMUNICATIONS HLDGS	222,781	284,508
1,127 LOCKHEED MARTIN CORP	202,129	361,823
9,506 MASCO CORP	373,568	417,694
3,783 MERCK & CO INC	238,150	212,869
6,126 MICROSOFT CORP	261,688	524,018
1,912 PNC FINL SVCS GROUP INC	254,567	275,882
4,985 ROYAL DUTCH SHELL PLC	236,359	340,426
2,222 STANLEY BLACK & DECKER INC	234,337	377,051
5,135 US BANCORP	214,748	275,133
11,456 VODAPHONE GROUP PLC	321,458	365,446

TY 2017 Investments - Other Schedule

Name: SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

EIN: 13-7138217

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN CAPITAL HOLDINGS LP INTEREST	AT COST	2,042,863	2,632,388

TY 2017 Other Expenses Schedule**Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

EIN: 13-7138217**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	600	0	0	0
STATE FEES	750	0	0	0
AMERICAN CAPITAL HOLDINGS LLC INVESTMENT LOSS	508,199	505,968	0	0

TY 2017 Other Professional Fees Schedule**Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

EIN: 13-7138217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEES	112,692	112,692	0	0

TY 2017 Taxes Schedule**Name:** SCHWARTZ FAMILY FOUNDATION

C/O ALAN SCHWARTZ GUGGENHEIM PARTNERS

EIN: 13-7138217

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID ON INVESTMENTS	4,064	4,064	0	0