

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

| | | | |
|--|--|---|--|
| Name of foundation THE CLARK FOUNDATION | | A Employer identification number 13-5616528 | |
| Number and street (or P.O. box number if mail is not delivered to street address) ONE ROCKEFELLER PLAZA 31ST FL | | Room/suite | |
| City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100202102 | | B Telephone number (see instructions) (212) 977-6900 | |
| G Check all that apply: <div><input type="checkbox"/> Initial return<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return<input type="checkbox"/> Amended return <input type="checkbox"/> Address change<input type="checkbox"/> Name change</div> | | D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ... | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 576,173,905 | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here | |
| J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | | | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | 1,125 | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 4,413,174 | 12,307,901 | | |
| | 5a Gross rents | 63,922 | 40,242 | | |
| | b Net rental income or (loss) 63,922 | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 237,511 | | | |
| | b Gross sales price for all assets on line 6a 178,131,677 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 3,051,176 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| Operating and Administrative Expenses | b Less: Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 11,862,657 | -210,204 | | |
| | 12 Total. Add lines 1 through 11 | 16,578,389 | 15,189,115 | | |
| | 13 Compensation of officers, directors, trustees, etc. | 0 | 0 | | 0 |
| | 14 Other employee salaries and wages | 3,805,725 | 361,380 | | 3,444,345 |
| | 15 Pension plans, employee benefits | 1,114,468 | 159,572 | | 954,896 |
| | 16a Legal fees (attach schedule) | 117,692 | 0 | | 117,692 |
| | b Accounting fees (attach schedule) | 81,994 | 40,997 | | 40,997 |
| | c Other professional fees (attach schedule) | 4,872,385 | 2,406,900 | | 2,465,485 |
| | 17 Interest | | 680,077 | | |
| | 18 Taxes (attach schedule) (see instructions) | 854,899 | 244,262 | | 156,129 |
| | 19 Depreciation (attach schedule) and depletion | 1,748,117 | 112,524 | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 2,346,333 | 831,249 | | 2,050,524 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 14,941,613 | 4,836,961 | | 9,230,068 |
| | 25 Contributions, gifts, grants paid | 23,186,547 | | | 23,194,072 |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 38,128,160 | 4,836,961 | | 32,424,140 |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | -21,549,771 | | | |
| | b Net investment income (if negative, enter -0-) | | 10,352,154 | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | | |
|---|--|--|----------------|-----------------------|
| | | Beginning of year | End of year | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 26,982,421 | 30,827,139 | 30,827,139 |
| | 3 Accounts receivable ▶ <u>106,387</u> | | | |
| | Less: allowance for doubtful accounts ▶ _____ | 110,870 | 106,387 | 106,387 |
| | 4 Pledges receivable ▶ _____ | | | |
| | Less: allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ | | | |
| | Less: allowance for doubtful accounts ▶ _____ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | 58,099,456 | 60,199,484 | 60,192,896 |
| | b Investments—corporate stock (attach schedule) | 270,446,930 | 250,676,255 | 302,987,580 |
| | c Investments—corporate bonds (attach schedule) | 30,945,121 | 26,501,688 | 29,205,821 |
| | 11 Investments—land, buildings, and equipment: basis ▶ <u>4,909,289</u> | | | |
| Less: accumulated depreciation (attach schedule) ▶ <u>664,771</u> | 4,268,294 | 4,244,518 | 12,849,016 | |
| 12 Investments—mortgage loans | | | | |
| 13 Investments—other (attach schedule) | 62,696,895 | 62,530,579 | 74,146,351 | |
| 14 Land, buildings, and equipment: basis ▶ <u>58,976,192</u> | | | | |
| Less: accumulated depreciation (attach schedule) ▶ <u>19,353,919</u> | 42,815,243 | 39,622,273 | 65,738,715 | |
| 15 Other assets (describe ▶ _____) | 0 | 120,000 | 120,000 | |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 496,365,230 | 474,828,323 | 576,173,905 | |
| Liabilities | 17 Accounts payable and accrued expenses | 45,533 | 58,397 | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule). | | | |
| | 22 Other liabilities (describe ▶ _____) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 45,533 | 58,397 | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | |
| | 24 Net assets without donor restrictions | 492,077,826 | 471,223,025 | |
| | 25 Net assets with donor restrictions | 4,241,871 | 3,546,901 | |
| | Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | | | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 Total net assets or fund balances (see instructions) | 496,319,697 | 474,769,926 | |
| | 30 Total liabilities and net assets/fund balances (see instructions) . | 496,365,230 | 474,828,323 | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | |
|--|---|-------------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 496,319,697 |
| 2 Enter amount from Part I, line 27a | 2 | -21,549,771 |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | 3 | 0 |
| 4 Add lines 1, 2, and 3 | 4 | 474,769,926 |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | 5 | 0 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . | 6 | 474,769,926 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|-------------------------------------|
| 1a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------------|---|--|---|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|--|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|---|----------|-----------|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 3,051,176 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2018 | 34,485,995 | 491,554,544 | 0.070157 |
| 2017 | 40,146,483 | 494,363,966 | 0.081208 |
| 2016 | 45,398,784 | 471,736,856 | 0.096238 |
| 2015 | 34,363,153 | 510,601,857 | 0.067299 |
| 2014 | 29,393,008 | 534,306,687 | 0.055011 |

| | | |
|---|----------|-------------|
| 2 Total of line 1, column (d) | 2 | 0.369913 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.073983 |
| 4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 | 4 | 468,791,535 |
| 5 Multiply line 4 by line 3 | 5 | 34,682,604 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 103,522 |
| 7 Add lines 5 and 6 | 7 | 34,786,126 |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 32,544,140 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|---|-----------|---------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 207,043 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 207,043 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 207,043 |
| 6 | Credits/Payments: | | |
| a | 2019 estimated tax payments and 2018 overpayment credited to 2019 | 6a | 0 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 650,000 |
| d | Backup withholding erroneously withheld | 6d | 0 |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 650,000 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached. | 8 | 7,848 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 435,109 |
| 11 | Enter the amount of line 10 to be: Credited to 2020 estimated tax 435,109 Refunded | 11 | 0 |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | No |
| c Did the foundation file Form 1120-POL for this year? | | No |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0 | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0 | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i> | | No |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | | No |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | Yes | |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | Yes | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> | | No |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | Yes | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> | Yes | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> | Yes | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> | | No |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> | | No |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|-----------|---|-----------|------------|-----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u> | 13 | Yes | |
| 14 | The books are in care of ► <u>THE CLARK FOUNDATION</u> Telephone no. ► <u>(212) 977-6900</u> | | | |

Located at ► ONE ROCKEFELLER PLAZA 31st FL NEW YORK NY ZIP+4 ► 100202102

| | | | | |
|-----------|---|--------------------------|------------|-----------|
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here | <input type="checkbox"/> | | |
| | and enter the amount of tax-exempt interest received or accrued during the year | ► 15 | | |
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | | | |
|-----------|---|-----------|------------|-----------|
| 1a | During the year did the foundation (either directly or indirectly): | | Yes | No |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/> | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | If "Yes," list the years ► 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | | |
|-----------|--|---|------------|-----------|
| 5a | During the year did the foundation pay or incur any amount to: | | Yes | No |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. | | 5b | No |
| | Organizations relying on a current notice regarding disaster assistance check here. | <input type="checkbox"/> | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d). | <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 6b | No |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870. | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 7b | |
| b | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | | | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

| 1 List all officers, directors, trustees, foundation managers and their compensation. See instructions | | | | |
|---|---|---|---|---------------------------------------|
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE." | | | | |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| VAL PAIGE | CSC DIRECTOR | 121,861 | 0 | 0 |
| SUSQUHANNA AVE COOPERSTOWN, NY 13326 | 40.00 | | | |
| MICHAEL BOUTON | GREENHOUSE DIR | 105,207 | 0 | 0 |
| MAIN STREET COOPERSTOWN, NY 13326 | 40.00 | | | |
| GARY KUCH | SCHOLARSHIP DIR | 100,946 | 0 | 0 |
| RT 28 COOPERSTOWN, NY 13326 | 40.00 | | | |
| ROBERT SUTHERLAND | FARM DIRECTOR | 79,835 | 0 | 0 |
| MAIN STREET COOPERSTOWN, NY 13326 | 40.00 | | | |
| KATHY GRAHAM | CSC | 72,553 | 0 | 0 |
| SUSQUHANNA AVE COOPERSTOWN, NY 13326 | 40.00 | | | |
| Total number of other employees paid over \$50,000. | | | | 15 |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| THE CLARK ESTATES INC | MANAGEMENT FEE | 4,813,800 |
| ONE ROCKEFELLER PLAZA NEW YORK, NY 10020 | | |
| WILLIAM H LANE INC | CONSTRUCTION | 1,000,087 |
| 113 Court Street BINGHAMTON, NY 13901 | | |
| ROSE AND KIERNAN | INSURANCE | 623,711 |
| 99 TROY ROAD EAST GREENBUSH, NY 12061 | | |
| MIRABITO FUEL GROUP | FUEL DELIVERY | 354,733 |
| 49 COURT ST PO BOX 5306 BINGHAMTON, NY 13902 | | |
| LEATHERSTOCKING CORP | PROPERTY MANAGEMENT | 245,376 |
| ONE ROCKEFELLER PLAZA NEW YORK, NY 10020 | | |
| Total number of others receiving over \$50,000 for professional services. ► | | 14 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|--|-----------|
| 1 CLARK SPORTS CENTER | 4,371,914 |
| 2 SCHOLARSHIP DIRECT PROGRAM EXPENSES | 425,067 |
| 3 OTHER COMMUNITY ACTIVITIES | 1,924,550 |
| 4 | |
| | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|--|---------|
| 1 INVESTMENT CONTRIBUTION TO TURNING POINT BROOKLYN TO SUPPORT THE PURCHASE AND OUTFITTING OF A SHOWER BUS DESIGNED TO SERVE THE HOMELESS POPULATION OF BROOKLYN, NEW YORK. | 120,000 |
| 2 | |
| | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| | |
| Total. Add lines 1 through 3 ► | 120,000 |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 448,600,539 |
| b | Average of monthly cash balances. | 1b | 31,049,980 |
| c | Fair market value of all other assets (see instructions). | 1c | 12,849,016 |
| d | Total (add lines 1a, b, and c). | 1d | 492,499,535 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 492,499,535 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 23,708,000 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 468,791,535 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 23,439,577 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|--|-----------|------------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 23,439,577 |
| 2a | Tax on investment income for 2019 from Part VI, line 5. | 2a | 207,043 |
| b | Income tax for 2019. (This does not include the tax from Part VI.). | 2b | |
| c | Add lines 2a and 2b. | 2c | 207,043 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 23,232,534 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 23,232,534 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 23,232,534 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 32,424,140 |
| b | Program-related investments—total from Part IX-B. | 1b | 120,000 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 32,544,140 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 32,544,140 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2019 from Part XI, line 7 | | | | 23,232,534 |
| 2 Undistributed income, if any, as of the end of 2019: | | | | |
| a Enter amount for 2018 only. | | | 0 | |
| b Total for prior years: 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2019: | | | | |
| a From 2014. | 3,455,452 | | | |
| b From 2015. | 9,171,642 | | | |
| c From 2016. | 22,049,387 | | | |
| d From 2017. | 16,561,651 | | | |
| e From 2018. | 10,494,578 | | | |
| f Total of lines 3a through e. | 61,732,710 | | | |
| 4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ _____ 32,544,140 | | | | |
| a Applied to 2018, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2019 distributable amount. | | | | 23,232,534 |
| e Remaining amount distributed out of corpus | 9,311,606 | | | |
| 5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).) | 0 | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 71,044,316 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b. | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions. | | 0 | | |
| e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. | | | 0 | |
| f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). | 3,455,452 | | | |
| 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a. | 67,588,864 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2015. | 9,171,642 | | | |
| b Excess from 2016. | 22,049,387 | | | |
| c Excess from 2017. | 16,561,651 | | | |
| d Excess from 2018. | 10,494,578 | | | |
| e Excess from 2019. | 9,311,606 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

| | | | | | |
|--|----------|---------------|----------|----------|-----------|
| 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶ | | | | | |
| b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) | | | | | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | Tax year | Prior 3 years | | | (e) Total |
| | (a) 2019 | (b) 2018 | (c) 2017 | (d) 2016 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . | | | | | |
| c "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

| | |
|---|--|
| 1 Information Regarding Foundation Managers: | |
| a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) | |
| b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. | |
| 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: | |
| Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions | |
| a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: DOUGLAS BAUER 1 ROCKEFELLER PLAZA 31ST FL NEW YORK, NY 10020 (212) 977-6900 | |
| b The form in which applications should be submitted and information and materials they should include: LETTER REQUEST. GRANT REQUEST MUST INCLUDE AUDIT, BUDGET, AND PROOF OF EXEMPTION | |
| c Any submission deadlines: NONE | |
| d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: REQUEST FROM INDIVIDUALS ARE RESTRICTED TO PROVIDE HOSP, MEDICAL, CONVALESCENT CARE IN NEW YORK STATE | |

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | ▶ 3a | 23,186,547 |
| b <i>Approved for future payment</i> See Additional Data Table | | | | |
| Total | | | ▶ 3b | 6,368,000 |

| Enter gross amounts unless otherwise indicated. | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|--|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | | |
| a CLARK SPORTS CENTER | | | | | | 1,638,228 |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| f _____ | | | | | | |
| g Fees and contracts from government agencies | | | | | | |
| 2 Membership dues and assessments. . . . | | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | | |
| 4 Dividends and interest from securities. . . . | | | | 14 | 4,413,174 | |
| 5 Net rental income or (loss) from real estate: | | | | | | |
| a Debt-financed property. | | | | | | |
| b Not debt-financed property. | | | | 16 | 63,922 | |
| 6 Net rental income or (loss) from personal property | | | | | | |
| 7 Other investment income. | | | | 18 | 9,943,414 | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | 18 | 237,511 | |
| 9 Net income or (loss) from special events: | | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | | |
| 11 Other revenue: | | | | | | |
| a OTHER COMMUNITY ACTIVITIES | | | | | | 281,015 |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e). . | | 0 | | | 14,658,021 | 1,919,243 |
| 13 Total. Add line 12, columns (b), (d), and (e). | | | | 13 | | 16,577,264 |

[illegible]

Part XVII

- | | | | |
|--|--|-----|----|
| | | Yes | No |
|--|--|-----|----|

| | | |
|--|--|--|
| | | |
|--|--|--|

- | | | |
|-------|--|----|
| 1a(1) | | No |
| 1a(2) | | No |

| | | |
|--|--|--|
| | | |
|--|--|--|

- | | | |
|--------------|--|-----------|
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |

| | | |
|----|--|----|
| 1c | | No |
|----|--|----|

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

| | | | | |
|---|----------------------|------|---|--------------------------|
| Print/Type preparer's name ANTHONY J FASANO CPA | Preparer's Signature | Date | Check if self-employed <input type="checkbox"/> | PTIN P01844986 |
| Firm's name ▶ The Clark Estates Inc | | | | Firm's EIN ▶ 13-5524538 |
| Firm's address ▶ One Rockefeller Plaza 31st Floor New York, NY 10020 | | | | Phone no. (212) 977-6900 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 125 sh Fiat Chrysler | | 2019-02-28 | 2019-11-06 |
| 25 sh Fiat Chrysler | | 2019-02-28 | 2019-11-07 |
| 75 sh Fiat Chrysler | | 2019-03-01 | 2019-11-07 |
| 100 sh Fiat Chrysler | | 2019-05-10 | 2019-11-07 |
| 200 sh Fiat Chrysler | | 2019-05-10 | 2019-11-11 |
| 75 sh Fiat Chrysler | | 2019-03-12 | 2019-11-22 |
| 25 sh Fiat Chrysler | | 2019-03-13 | 2019-11-22 |
| 100 sh Fiat Chrysler | | 2019-05-10 | 2019-11-22 |
| 25 sh CVS Caremark | | 2018-03-23 | 2019-06-25 |
| 120 sh CVS Caremark | | 2018-03-28 | 2019-06-25 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,980 | | 1,842 | 138 |
| 400 | | 369 | 31 |
| 1,200 | | 1,107 | 93 |
| 1,599 | | 1,459 | 140 |
| 3,208 | | 2,918 | 290 |
| 1,111 | | 1,084 | 27 |
| 370 | | 357 | 13 |
| 1,482 | | 1,459 | 23 |
| 1,331 | | 1,545 | -214 |
| 6,390 | | 7,530 | -1,140 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 138 |
| | | | 31 |
| | | | 93 |
| | | | 140 |
| | | | 290 |
| | | | 27 |
| | | | 13 |
| | | | 23 |
| | | | -214 |
| | | | -1,140 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 300 sh Intel | | 2012-11-09 | 2019-10-15 |
| 300 sh Intel | | 2012-11-14 | 2019-10-15 |
| 83000 sh AT&T INC | | 2018-06-28 | 2019-04-17 |
| 37000 sh AT&T INC | | 2018-10-11 | 2019-04-17 |
| 47000 sh Fiat Chrysler | | 2019-02-28 | 2019-11-06 |
| 44000 sh Fiat Chrysler | | 2019-02-28 | 2019-11-07 |
| 9000 sh Fiat Chrysler | | 2019-02-28 | 2019-11-11 |
| 39000 sh Fiat Chrysler | | 2019-03-01 | 2019-11-11 |
| 8000 sh Fiat Chrysler | | 2019-03-01 | 2019-11-22 |
| 58000 sh Fiat Chrysler | | 2019-03-12 | 2019-11-22 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 15,792 | | 6,279 | 9,513 |
| 15,792 | | 6,139 | 9,653 |
| 2,658,568 | | 2,645,725 | 12,843 |
| 1,185,145 | | 1,180,814 | 4,331 |
| 744,479 | | 693,692 | 50,787 |
| 703,739 | | 649,414 | 54,325 |
| 144,360 | | 132,835 | 11,525 |
| 625,559 | | 575,472 | 50,087 |
| 118,546 | | 118,046 | 500 |
| 859,461 | | 838,001 | 21,460 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 9,513 |
| | | | 9,653 |
| | | | 12,843 |
| | | | 4,331 |
| | | | 50,787 |
| | | | 54,325 |
| | | | 11,525 |
| | | | 50,087 |
| | | | 500 |
| | | | 21,460 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 14500 sh Fiat Chrysler | | 2019-03-13 | 2019-11-22 |
| 12500 sh Goldman Sachs | | 2018-12-10 | 2019-11-21 |
| 4700 sh Goldman Sachs | | 2018-12-11 | 2019-11-21 |
| 5000 sh Goldman Sachs | | 2018-12-17 | 2019-11-21 |
| 10000000 sh T 1.5 11/30/19 | | 2019-05-01 | 2019-11-30 |
| 11000000 sh T 1.50 1/31/19 | | 2018-09-05 | 2019-01-31 |
| 9000000 sh T 1.50 10/31/19 | | 2019-09-04 | 2019-10-31 |
| 10000000 sh T 1.50 10/31/19 | | 2019-09-04 | 2019-10-31 |
| 11000000 sh T 1.50 2/28/19 | | 2018-08-08 | 2019-02-28 |
| 10000000 sh T 1.50 5/31/19 | | 2018-10-31 | 2019-05-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 214,865 | | 207,164 | 7,701 |
| 2,731,935 | | 2,191,068 | 540,867 |
| 1,027,207 | | 826,588 | 200,619 |
| 1,092,774 | | 847,841 | 244,933 |
| 10,000,000 | | 10,000,000 | 0 |
| 11,000,000 | | 11,000,000 | 0 |
| 9,000,000 | | 9,000,000 | 0 |
| 10,000,000 | | 10,000,000 | 0 |
| 11,000,000 | | 11,000,000 | 0 |
| 10,000,000 | | 10,000,000 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 10000000 sh T 1.625 12/31/19 | | 2019-05-31 | 2019-12-31 |
| 10000000 sh T 1.625 4/30/19 | | 2019-01-02 | 2019-04-30 |
| 11000000 sh T 1.625 6/30/19 | | 2019-02-01 | 2019-06-30 |
| 10000000 sh T 1.625 7/31/19 | | 2018-12-04 | 2019-07-31 |
| 3000000 sh T 1.625 8/31/19 | | 2019-03-05 | 2019-08-31 |
| 16000000 sh T 1.625 8/31/19 | | 2019-04-02 | 2019-08-31 |
| 11000000 sh T 1.75 9/30/19 | | 2019-07-01 | 2019-09-30 |
| 18000 sh CVS Caremark | | 2016-11-01 | 2019-06-17 |
| 9800 sh CVS Caremark | | 2016-11-03 | 2019-06-17 |
| 16700 sh CVS Caremark | | 2016-11-08 | 2019-06-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 10,000,000 | | 10,000,000 | 0 |
| 10,000,000 | | 10,000,000 | 0 |
| 11,000,000 | | 11,000,000 | 0 |
| 10,000,000 | | 10,000,000 | 0 |
| 3,000,000 | | 3,000,000 | 0 |
| 16,000,000 | | 16,000,000 | 0 |
| 11,000,000 | | 11,000,000 | 0 |
| 979,423 | | 1,507,729 | -528,306 |
| 533,241 | | 803,987 | -270,746 |
| 908,687 | | 1,180,934 | -272,247 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -528,306 |
| | | | -270,746 |
| | | | -272,247 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 23800 sh CVS Caremark | | 2017-10-17 | 2019-06-17 |
| 11300 sh CVS Caremark | | 2017-10-26 | 2019-06-17 |
| 5600 sh CVS Caremark | | 2017-10-27 | 2019-06-17 |
| 17800 sh CVS Caremark | | 2018-02-26 | 2019-06-17 |
| 18900 sh CVS Caremark | | 2018-03-23 | 2019-06-17 |
| 17000 sh Facebook Inc A | | 2018-11-19 | 2019-11-27 |
| 6360 sh Facebook Inc A | | 2018-11-20 | 2019-11-27 |
| 21700 sh IBM Corp | | 2018-02-06 | 2019-04-17 |
| 10700 sh IBM Corp | | 2018-02-08 | 2019-04-17 |
| 9600 sh IBM Corp | | 2018-02-09 | 2019-04-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,295,014 | | 1,721,485 | -426,471 |
| 614,860 | | 805,595 | -190,735 |
| 304,709 | | 382,715 | -78,006 |
| 968,540 | | 1,208,588 | -240,048 |
| 1,028,394 | | 1,167,678 | -139,284 |
| 3,417,733 | | 2,241,544 | 1,176,189 |
| 1,278,634 | | 822,658 | 455,976 |
| 2,978,348 | | 3,267,944 | -289,596 |
| 1,468,586 | | 1,612,897 | -144,311 |
| 1,317,610 | | 1,402,515 | -84,905 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -426,471 |
| | | | -190,735 |
| | | | -78,006 |
| | | | -240,048 |
| | | | -139,284 |
| | | | 1,176,189 |
| | | | 455,976 |
| | | | -289,596 |
| | | | -144,311 |
| | | | -84,905 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 8000000 sh T 1.625 03/31/19 | | 2018-01-16 | 2019-03-31 |
| 8000000 sh T 1.625 03/31/19 | | 2018-01-16 | 2019-03-31 |
| 800 sh AT&T INC | | 2018-06-28 | 2019-03-27 |
| 200 sh AT&T INC | | 2018-10-11 | 2019-03-27 |
| 500 sh AT&T INC | | 2018-06-28 | 2019-04-17 |
| 300 sh Fiat Chrysler | | 2019-02-28 | 2019-11-06 |
| 300 sh Fiat Chrysler | | 2019-02-28 | 2019-11-07 |
| 50 sh Fiat Chrysler | | 2019-03-01 | 2019-11-07 |
| 250 sh Fiat Chrysler | | 2019-03-01 | 2019-11-11 |
| 50 sh Fiat Chrysler | | 2019-03-12 | 2019-11-11 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 8,000,000 | | 8,000,000 | 0 |
| 8,000,000 | | 8,000,000 | 0 |
| 25,176 | | 25,501 | -325 |
| 6,294 | | 6,383 | -89 |
| 16,015 | | 15,938 | 77 |
| 4,752 | | 4,428 | 324 |
| 4,798 | | 4,428 | 370 |
| 800 | | 738 | 62 |
| 4,010 | | 3,689 | 321 |
| 802 | | 722 | 80 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | -325 |
| | | | -89 |
| | | | 77 |
| | | | 324 |
| | | | 370 |
| | | | 62 |
| | | | 321 |
| | | | 80 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 300 sh Fiat Chrysler | | 2019-03-12 | 2019-11-22 |
| 100 sh Fiat Chrysler | | 2019-03-13 | 2019-11-22 |
| 75 sh Goldman Sachs | | 2018-12-10 | 2019-11-21 |
| 30 sh Goldman Sachs | | 2018-12-11 | 2019-11-21 |
| 40 sh Goldman Sachs | | 2018-12-17 | 2019-11-21 |
| 70000 sh T 1.5 11/30/19 | | 2019-05-01 | 2019-11-30 |
| 60000 sh T 1.50 1/31/19 | | 2018-09-05 | 2019-01-31 |
| 60000 sh T 1.50 2/28/19 | | 2018-08-08 | 2019-02-28 |
| 60000 sh T 1.50 5/31/19 | | 2019-03-05 | 2019-05-31 |
| 25000 sh T 1.625 12/31/19 | | 2019-05-31 | 2019-12-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 4,445 | | 4,334 | 111 |
| 1,482 | | 1,429 | 53 |
| 16,392 | | 13,146 | 3,246 |
| 6,557 | | 5,276 | 1,281 |
| 8,742 | | 6,783 | 1,959 |
| 70,000 | | 70,000 | 0 |
| 60,000 | | 60,000 | 0 |
| 60,000 | | 60,000 | 0 |
| 60,000 | | 60,000 | 0 |
| 25,000 | | 25,000 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 111 |
| | | | 53 |
| | | | 3,246 |
| | | | 1,281 |
| | | | 1,959 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 70000 sh T 1.625 4/30/19 | | 2019-01-02 | 2019-04-30 |
| 60000 sh T 1.625 6/30/19 | | 2019-02-01 | 2019-06-30 |
| 60000 sh T 1.625 7/31/19 | | 2018-12-04 | 2019-07-31 |
| 60000 sh T 1.75 9/30/19 | | 2019-07-01 | 2019-09-30 |
| 100 sh CVS Caremark | | 2016-11-01 | 2019-06-17 |
| 50 sh CVS Caremark | | 2016-11-03 | 2019-06-17 |
| 100 sh CVS Caremark | | 2016-11-08 | 2019-06-17 |
| 150 sh CVS Caremark | | 2017-10-17 | 2019-06-17 |
| 75 sh CVS Caremark | | 2017-10-26 | 2019-06-17 |
| 35 sh CVS Caremark | | 2017-10-27 | 2019-06-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 70,000 | | 70,000 | 0 |
| 60,000 | | 60,000 | 0 |
| 60,000 | | 60,000 | 0 |
| 60,000 | | 60,000 | 0 |
| 5,441 | | 8,376 | -2,935 |
| 2,721 | | 4,102 | -1,381 |
| 5,441 | | 7,071 | -1,630 |
| 8,162 | | 10,850 | -2,688 |
| 4,081 | | 5,347 | -1,266 |
| 1,904 | | 2,392 | -488 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -2,935 |
| | | | -1,381 |
| | | | -1,630 |
| | | | -2,688 |
| | | | -1,266 |
| | | | -488 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 100 sh CVS Caremark | | 2018-02-26 | 2019-06-17 |
| 100 sh CVS Caremark | | 2018-03-23 | 2019-06-17 |
| 100 sh Facebook Inc A | | 2018-11-19 | 2019-11-27 |
| 35 sh Facebook Inc A | | 2018-11-20 | 2019-11-27 |
| 50 sh IBM Corp | | 2018-02-08 | 2019-04-17 |
| 200 sh IBM Corp | | 2018-03-28 | 2019-04-17 |
| 400 sh Intel | | 2012-11-09 | 2019-10-15 |
| 400 sh Intel | | 2012-11-14 | 2019-10-15 |
| 125 sh JNJ Co | | 2011-08-09 | 2019-03-18 |
| 25000 sh T 1.625 03/31/19 | | 2018-01-16 | 2019-03-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 5,441 | | 6,790 | -1,349 |
| 5,441 | | 6,178 | -737 |
| 20,104 | | 13,186 | 6,918 |
| 7,037 | | 4,527 | 2,510 |
| 6,863 | | 7,537 | -674 |
| 27,450 | | 30,634 | -3,184 |
| 21,056 | | 8,372 | 12,684 |
| 21,056 | | 8,186 | 12,870 |
| 17,123 | | 7,650 | 9,473 |
| 25,000 | | 25,000 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -1,349 |
| | | | -737 |
| | | | 6,918 |
| | | | 2,510 |
| | | | -674 |
| | | | -3,184 |
| | | | 12,684 |
| | | | 12,870 |
| | | | 9,473 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 25000 sh T 1.625 03/31/19 | | 2018-01-16 | 2019-03-31 |
| 20 sh Facebook Inc A | | 2018-12-27 | 2019-11-27 |
| 65 sh Fiat Chrysler | | 2019-02-28 | 2019-11-06 |
| 35 sh Fiat Chrysler | | 2019-02-28 | 2019-11-07 |
| 50 sh Fiat Chrysler | | 2019-03-01 | 2019-11-07 |
| 50 sh Fiat Chrysler | | 2019-03-12 | 2019-11-07 |
| 15 sh Fiat Chrysler | | 2019-03-13 | 2019-11-07 |
| 10 sh Goldman Sachs | | 2018-12-11 | 2019-11-21 |
| 5 sh Goldman Sachs | | 2018-12-17 | 2019-11-21 |
| 20 sh Goldman Sachs | | 2018-12-27 | 2019-11-21 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 25,000 | | 25,000 | 0 |
| 4,021 | | 2,615 | 1,406 |
| 1,030 | | 959 | 71 |
| 560 | | 517 | 43 |
| 800 | | 738 | 62 |
| 800 | | 722 | 78 |
| 240 | | 214 | 26 |
| 2,186 | | 1,759 | 427 |
| 1,093 | | 848 | 245 |
| 4,371 | | 3,201 | 1,170 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 0 |
| | | | 1,406 |
| | | | 71 |
| | | | 43 |
| | | | 62 |
| | | | 78 |
| | | | 26 |
| | | | 427 |
| | | | 245 |
| | | | 1,170 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 20 sh CVS Caremark | | 2016-11-01 | 2019-06-17 |
| 10 sh CVS Caremark | | 2016-11-03 | 2019-06-17 |
| 15 sh CVS Caremark | | 2016-11-08 | 2019-06-17 |
| 25 sh CVS Caremark | | 2017-10-17 | 2019-06-17 |
| 10 sh CVS Caremark | | 2017-10-26 | 2019-06-17 |
| 5 sh CVS Caremark | | 2017-10-27 | 2019-06-17 |
| 15 sh CVS Caremark | | 2018-02-26 | 2019-06-17 |
| 15 sh CVS Caremark | | 2018-03-23 | 2019-06-17 |
| 15 sh Facebook Inc A | | 2018-11-20 | 2019-11-27 |
| 200 sh Intel | | 2012-11-09 | 2019-03-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,088 | | 1,675 | -587 |
| 544 | | 820 | -276 |
| 816 | | 1,061 | -245 |
| 1,360 | | 1,808 | -448 |
| 544 | | 713 | -169 |
| 272 | | 342 | -70 |
| 816 | | 1,018 | -202 |
| 816 | | 927 | -111 |
| 3,016 | | 1,940 | 1,076 |
| 10,823 | | 4,186 | 6,637 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -587 |
| | | | -276 |
| | | | -245 |
| | | | -448 |
| | | | -169 |
| | | | -70 |
| | | | -202 |
| | | | -111 |
| | | | 1,076 |
| | | | 6,637 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--|
| 200 sh Intel | | 2012-11-14 | 2019-03-20 |
| Partnership K-1 | P | | |
| Partnership K-1 | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 10,823 | | 4,093 | 6,730 |
| | | | -3,374,493 |
| | | | 6,188,158 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|--|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 6,730 |
| | | | -3,374,493 |
| | | | 6,188,158 |

| Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation | | | | |
|--|---|---|---|---------------------------------------|
| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
| JANE F CLARK | PRESIDENT/ DIRECTOR 2.00 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| GATES HELMS HAWN | VICE PRESIDENT/DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| KEVIN S MOORE | TREASURER/DIRECTOR 5.00 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| DOUGLAS BAUER | SECRETARY 35.00 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| RICHARD C VANISON | ASSIST TREASURER 5.00 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| PATRICK BARNES | ASSIST SECRETARY 10.00 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| FELICIA H BLUM | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| THOMAS Q MORRIS DR | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| KENT L BARWICK | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| MAUREEN KILLACKEY | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| KARL E SEIB | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| PAUL C SHIVERICK | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| JOHN HOYT STOOKEY | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| CLIFTON R WHARTON JR | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |
| EDWARD W STACK | DIRECTOR 0.50 | 0 | 0 | 0 |
| THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|---|---|---|--|---|
| PAUL KELLOGG THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | DIRECTOR 0.50 | 0 | 0 | 0 |
| TERRY T FULMER THE CLARK FOUNDATION ONE ROCKEFELLE PLAZA NY, NY 10020 | | | | |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ACCION East Inc 80 Maiden Lane Suite 903 New York, NY 10038 | | | GENERAL SUPPORT | 150,000 |
| Achievement First403 James Street New Haven, CT 06513 | | | GENERAL SUPPORT | 300,000 |
| Advocacy Institute 540 President Street 3rd floor Brooklyn, NY 11215 | | | GENERAL SUPPORT | 90,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Advocates for Children of New York 151 West 30th Street 5th Floor New York, NY 10001 | | | GENERAL SUPPORT | 120,000 |
| Arbor Brothers Inc 349 Fifth Avenue 4th Floor New York, NY 10016 | | | GENERAL SUPPORT | 250,000 |
| Bard CollegePO Box 5000 AnnandaleonHudson, NY 125045000 | | | EARLY COLLEGE HIGH SCHOOL | 175,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| BoardAssist 575 Madison Avenue 10th Floor New York, NY 10022 | | | GENERAL SUPPORT | 45,000 |
| Bowery Residents' Committee Inc 131 West 25th Street 12th Floor New York, NY 10001 | | | GENERAL SUPPORT | 375,000 |
| CAMBA Inc 1720 Church Avenue 2nd Floor Brooklyn, NY 11226 | | | GENERAL SUPPORT | 200,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Center for an Urban Future 120 Wall Street 20th Floor New York, NY 10005 | | | GENERAL SUPPORT | 100,000 |
| Center for Employment Opportunities 50 Broadway New York, NY 10004 | | | GENERAL SUPPORT | 300,000 |
| Children's Aid 711 Third Avenue Suite 700 New York, NY 10017 | | | GENERAL SUPPORT | 275,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| City Harvest Inc 6 East 32nd Street 5th Floor New York, NY 10016 | | | GENERAL SUPPORT | 400,000 |
| Civic Builders 304 Hudson Street 3rd Floor New York, NY 10013 | | | GENERAL SUPPORT | 150,000 |
| Clark Foundation Scholarship Program 5229 State Highway 28 Cooperstown, NY 13326 | | | SCHOLARSHIPS | 4,018,608 |
| Total ▶ 3a | | | | 23,186,547 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|---|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| College for Every Student P O Box 247 2303 Main Street Essex, NY 129360247 | | | LEATHERSTOCKING SCHOOL CONSORTIUM | 300,000 |
| Columbia Business School 3022 Broadway Uris Hall Room 725 New York, NY 100276902 | | | NPO EXECUTIVE EDUCATION SCHOLARSHIPS | 85,000 |
| Columbia University College of Physicians & Surgeons 630 West 168th St New York, NY 10032 | | | SEVERINGHAUS FUND | 20,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|---|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Columbia University School of General Studies Lewisohn Hall Room 612 MC 4103 2970 Broadway New York, NY 10027 | | | SCHOLARSHIP | 75,000 |
| Comprehensive Development Inc 240 Second Avenue New York, NY 10003 | | | GENERAL SUPPORT | 150,000 |
| Cypress Hills Local Development Corporation 625 Jamaica Avenue Brooklyn, NY 11208 | | | GENERAL SUPPORT | 150,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| De La Salle Academy 332 West 43rd Street New York, NY 10036 | | | GENERAL SUPPORT | 100,000 |
| East Side House Settlement 337 Alexander Avenue Bronx, NY 10454 | | | GENERAL SUPPORT | 300,000 |
| Exalt Youth 175 Remsen Street Suite 1000 Brooklyn, NY 11201 | | | GENERAL SUPPORT | 100,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|--|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Family Planning of South Central New York Inc 37 Dietz Street Oneonta, NY 138201805 | | | GENERAL SUPPORT | 50,000 |
| Federation of Protestant Welfare Agencies Inc 40 Broad Street 5th Floor New York, NY 10004 | | | GENERAL SUPPORT | 200,000 |
| Fenimore Art Museum 5798 State Highway 80 Cooperstown, NY 13326 | | | GENERAL SUPPORT AND SPECIAL INITIATIVE | 340,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Friends Council on Education 1507 Cherry Street Philadelphia, PA 19102 | | | GENERAL SUPPORT | 595,781 |
| Fund for the City of New York 121 Avenue of the Americas New York, NY 10013 | | | CENTER FOR COURT INNOVATION | 200,000 |
| George Jackson Academy 104 Saint Marks Place New York, NY 10009 | | | GENERAL SUPPORT | 75,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Gertha Tyson f/c/o Katja Krumpelbeck 458 Clark Street Bridgeport, CT 06606 | | I | HOME HEALTH SERVICES | 103,808 |
| Glimmerglass Opera Theatre Inc 7300 State Highway 80 Cooperstown, NY 13326 | | | GENERAL SUPPORT | 210,000 |
| Goddard Riverside Community Center 593 Columbus Avenue New York, NY 100241998 | | | GENERAL SUPPORT | 375,000 |
| Total ► 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Good Shepherd Services 305 Seventh Avenue 9th Floor New York, NY 100016008 | | | GENERAL SUPPORT | 400,000 |
| Grace Outreach 378 East 151st Street 5th Floor Bronx, NY 10455 | | | GENERAL SUPPORT | 65,000 |
| Graham Windham One Pierrepont Plaza Suite 901 Brooklyn, NY 11201 | | | GENERAL SUPPORT | 525,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Grand Street Settlement80 Pitt Street New York, NY 10002 | | | GENERAL SUPPORT | 225,000 |
| Harlem RBI 333 East 100th Street Ground Floor New York, NY 10029 | | | GENERAL SUPPORT | 135,000 |
| Hartwick CollegeOne Hartwick Drive Oneonta, NY 13820 | | | NURSING SIMULATION LABRATORY | 150,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Henry Street Settlement 265 Henry Street New York, NY 100024899 | | | GENERAL SUPPORT | 150,000 |
| Hospital for Special Surgery 535 East 70th Street New York, NY 10021 | | | BIOMECHANICAL RESEARCH PROGRAM | 50,000 |
| Hudson Guild441 West 26th Street New York, NY 10001 | | | GENERAL SUPPORT | 125,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Human Services Council of New York City Inc 130 East 59th Street New York, NY 10022 | | | GENERAL SUPPORT | 210,000 |
| Lawyers Alliance for New York 171 Madison Avenue 6th Floor New York, NY 10001 | | | GENERAL SUPPORT | 225,000 |
| Legal Information for Families Today 32 Court Street Suite 1208 Brooklyn, NY 112014407 | | | GENERAL SUPPORT | 125,000 |
| Total ▶ 3a | | | | 23,186,547 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Legal Outreach Inc36-14 35th Street Long Island City, NY 11106 | | | GENERAL SUPPORT | 120,000 |
| Lenox Hill Neighborhood House 331 East 70th Street New York, NY 10021 | | | GENERAL SUPPORT | 235,000 |
| Madison Square Boys & Girls Club 733 Third Avenue Floor 2 New York, NY 10017 | | | GENERAL SUPPORT | 150,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|---|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| New Visions for Public Schools 205 East 42nd St 4th Floor New York, NY 10017 | | | GENERAL SUPPORT | 450,000 |
| New York Association of Training and Employment Professionals 540 Broadway 5th Floor Albany, NY 12207 | | | GENERAL SUPPORT | 150,000 |
| New York Cares Inc 65 Broadway 19th Floor New York, NY 10006 | | | GENERAL SUPPORT | 250,000 |
| Total ► 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|---|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| New York City Charter School Center 111 Broadway Room 604 New York, NY 10006 | | | GENERAL SUPPORT | 400,000 |
| Nonprofit Coordinating Committee of New York 135 West 36th Street 15th Floor New York, NY 10018 | | | GENERAL SUPPORT AND THE NPO EXCELLENCE AWARDS | 150,000 |
| Nontraditional Employment for Women 243 West 20th Street New York, NY 10011 | | | GENERAL SUPPORT | 100,000 |
| Total ► 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Otsego County Department of Social Services 197 Main Street Cooperstown, NY 13326 | | | EMERGENCY SUPPORT FUND | 10,000 |
| Paraprofessional Healthcare Institute 400 East Fordham Road 11th Floor Bronx, NY 10458 | | | GENERAL SUPPORT | 150,000 |
| Parenting Journey 366 Somerville Avenue Somerville, MA 02143 | | | GENERAL SUPPORT | 100,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Partnership Schools 1011 First Avenue Suite 1800 New York, NY 10022 | | | GENERAL SUPPORT | 150,000 |
| Per Scholas Inc804 East 138th Street Bronx, NY 10454 | | | GENERAL SUPPORT | 200,000 |
| Queens Community House 108-25 62nd Drive Forest Hills, NY 11375 | | | GENERAL SUPPORT | 235,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Safe Horizon2 Lafayette Street New York, NY 10007 | | | GENERAL SUPPORT | 250,000 |
| Sanctuary for Families P O Box 1406 Wall Street Station New York, NY 102681406 | | | GENERAL SUPPORT | 250,000 |
| SCO Family of Services One Alexander Place Glen Cove, NY 11542 | | | GENERAL SUPPORT | 400,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SeaChange Capital Partners 1385 Broadway 23rd Floor New York, NY 10018 | | | GENERAL SUPPORT | 50,000 |
| Sheltering Arms Children and Family Services Inc 305 Seventh Avenue 4th Floor New York, NY 10001 | | | GENERAL SUPPORT | 275,000 |
| St Timothy's School 8400 Greenspring Avenue Stevenson, MD 21153 | | | GENERAL SUPPORT | 30,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|---|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Stanley M Isaacs Neighborhood Center Inc 415 East 93rd Street New York, NY 101286904 | | | GENERAL SUPPORT | 125,000 |
| State University College at Oneonta Foundation Biological Field Station 5838 State Highway 80 Cooperstown, NY 13326 | | | GENERAL SUPPORT | 50,000 |
| Student Leadership Network 322 Eighth Avenue 4th Floor New York, NY 10001 | | | GENERAL SUPPORT | 175,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Student Sponsor Partners Inc 424 Madison Avenue Suite 1002 New York, NY 10017 | | | GENERAL SUPPORT | 100,000 |
| Success Academy Charter Schools Inc 95 Pine Street Floor 6 New York, NY 10005 | | | GENERAL SUPPORT | 450,000 |
| Sunnyside Community Services 43-31 39th Street Sunnyside, NY 11104 | | | GENERAL SUPPORT | 125,000 |
| Total ▶ 3a | | | | 23,186,547 |

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|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Support Center for Nonprofit Management 42 Broadway 20th Floor New York, NY 10004 | | | GENERAL SUPPORT | 150,000 |
| The Brookwood School 687 County Highway 59 Cooperstown, NY 13326 | | | GENERAL SUPPORT | 25,000 |
| The Children's VillageOne Echo Hills Dobbs Ferry, NY 10522 | | | GENERAL SUPPORT | 275,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|--|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| The Clara Welch Thanksgiving Home 48 Grove Street Cooperstown, NY 13326 | | | GENERAL SUPPORT | 500,000 |
| The Farmers' Museum Inc5775 NY-80 Cooperstown, NY 13326 | | | GENERAL SUPPORT AND SPECIAL INITIATIVE | 250,000 |
| The Fortune Society 29-76 Northern Boulevard Long Island City, NY 11101 | | | GENERAL SUPPORT | 250,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| The Foundation Center 32 Old Slip 24th Floor New York, NY 10005 | | | GENERAL SUPPORT | 50,000 |
| The Jewish Board 135 West 50th Street 6th floor New York, NY 10020 | | | GENERAL SUPPORT | 200,000 |
| The Mary Imogene Bassett Hospital One Atwell Road Cooperstown, NY 13326 | | | GENERAL SUPPORT | 248,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| The New York Community Trust 909 Third Avenue 22nd Floor New York, NY 10022 | | | GENERAL SUPPORT | 490,000 |
| The New York Immigration Coalition 131 West 33rd Street Suite 610 New York, NY 10001 | | | GENERAL SUPPORT | 100,000 |
| The New York State Trooper Foundation Inc 3 Airport Park Boulevard Latham, NY 121101441 | | | K-9 TRAINING PROGRAM | 60,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| The Opportunity Network of New York Inc 85 Broad Street 6th Floor New York, NY 10004 | | | GENERAL SUPPORT | 100,000 |
| The Rensselaerville Institute 2 Oakwood Place Delmar, NY 12054 | | | GENERAL SUPPORT | 200,000 |
| The Studio in a School Association Inc 410 West 59th Street New York, NY 100198200 | | | GENERAL SUPPORT | 200,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| The United Methodist City Society 475 Riverside Drive Suite 1922 New York, NY 10115 | | | GENERAL SUPPORT | 50,000 |
| The Urban Assembly 90 Broad Street Suite 2101 New York, NY 10004 | | | GENERAL SUPPORT | 275,000 |
| Uncommon Schools Inc 826 Broadway 9th Floor New York, NY 10003 | | | GENERAL SUPPORT | 450,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Union Settlement Association 237 East 104th Street New York, NY 10029 | | | GENERAL SUPPORT | 125,000 |
| United Neighborhood Houses of New York Inc 70 West 36th Street 5th Floor New York, NY 100188007 | | | GENERAL SUPPORT | 250,000 |
| Urban Pathways 575 Eighth Avenue 16th floor New York, NY 100183011 | | | GENERAL SUPPORT | 250,000 |
| Total ► 3a | | | | 23,186,547 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Village of Cooperstown22 Main Street Cooperstown, NY 13326 | | | BEAUTIFICATION PROGRAM | 10,350 |
| Women In Need Inc 115 West 31st Street New York, NY 10001 | | | GENERAL SUPPORT | 300,000 |
| Workforce Professionals Training Institute 11 Park Place Suite 701 New York, NY 10007 | | | GENERAL SUPPORT | 135,000 |
| Total ▶ 3a | | | | 23,186,547 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|--------------------------------------|------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| YMCA of Greater New York 5 West 63rd Street 6th Floor New York, NY 10023 | | | CAPITAL SUPPORT FOR BRONX FACILITIES | 200,000 |
| Youth Represent Inc 11 Park Place Suite 1512 New York, NY 10007 | | | GENERAL SUPPORT | 150,000 |
| Total ▶ 3a | | | | 23,186,547 |

TY 2019 Accounting Fees Schedule**Name:** THE CLARK FOUNDATION**EIN:** 13-5616528

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------------|----------------------------------|--------------------------------|--|
| ACCOUNTING FEES | 81,994 | 40,997 | | 40,997 |

TY 2019 Cash Deemed Charitable Explanation Statement

Name: THE CLARK FOUNDATION

EIN: 13-5616528

Explanation: DUE TO STOCK MARKET UNCERTAINTY, CASH AMOUNTS EQUAL
TO BUDGETED ANNUAL CHARITABLE PAYOUTS ARE MAINTAINED.

TY 2019 Investments Corporate Bonds Schedule

Name: THE CLARK FOUNDATION

EIN: 13-5616528

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|-----------------|------------------------|-------------------------------|
| BONDS AND NOTES | 26,501,688 | 29,205,821 |

TY 2019 Investments Corporate Stock Schedule**Name:** THE CLARK FOUNDATION**EIN:** 13-5616528**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|----------------------|-------------------------------|--------------------------------------|
| CORPORATE STOCKS | 250,676,255 | 302,987,580 |

TY 2019 Investments Government Obligations Schedule**Name:** THE CLARK FOUNDATION**EIN:** 13-5616528**US Government Securities - End
of Year Book Value:**

60,199,484

**US Government Securities - End
of Year Fair Market Value:**

60,192,896

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2019 Investments - Other Schedule

Name: THE CLARK FOUNDATION

EIN: 13-5616528

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---------------------------|-----------------------|------------|-------------------------------|
| PRIVATE EQUITY SECURITIES | FMV | 62,530,579 | 74,146,351 |

TY 2019 Legal Fees Schedule**Name:** THE CLARK FOUNDATION**EIN:** 13-5616528

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------------|----------------------------------|--------------------------------|--|
| LEGAL FEES | 117,692 | 0 | | 117,692 |

TY 2019 Other Assets Schedule

Name: THE CLARK FOUNDATION

EIN: 13-5616528

Other Assets Schedule

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|----------------------------|--------------------------------|--------------------------|---------------------------------|
| PROGRAM RELATED INVESTMENT | | 120,000 | 120,000 |

TY 2019 Other Expenses Schedule**Name:** THE CLARK FOUNDATION**EIN:** 13-5616528**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| CUSTODY FEES | 25,943 | 13,108 | | 12,835 |
| SCHOLARSHIP OFFICE | 169,592 | 0 | | 169,592 |
| PROPERTY EXPENSES | 567,323 | 163,175 | | 404,148 |
| INSURANCE | 199,044 | 99,522 | | 99,522 |
| TRAVEL EXPENSE | 23,345 | 0 | | 23,345 |
| CLARK SPORTS CENTER | 1,317,039 | 0 | | 1,317,039 |
| OTHER DEDUCTIONS PARTNERSHIP K-1 | 0 | 535,440 | | 0 |
| MISCELLANEOUS | 44,047 | 20,004 | | 24,043 |

TY 2019 Other Income Schedule

Name: THE CLARK FOUNDATION

EIN: 13-5616528

Other Income Schedule

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|----------------------------|-----------------------------------|--------------------------|---------------------|
| INVESTMENT INCOME | 9,337,707 | 0 | 9,337,707 |
| OTHER INCOME | 605,707 | 605,707 | 605,707 |
| PARTNERSHIP ROYALTIES | | 645,977 | |
| PARTNERSHIP OTHER | | 75,724 | |
| PARTNERSHIP RENTAL INCOME | | -1,537,612 | |
| CLARK SPORTS CENTER | 1,638,228 | | 1,638,228 |
| OTHER COMMUNITY ACTIVITIES | 281,015 | | 281,015 |

TY 2019 Other Professional Fees Schedule**Name:** THE CLARK FOUNDATION**EIN:** 13-5616528

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------------|---------------|----------------------------------|--------------------------------|--|
| OTHER PROFESSIONAL FEES | 4,872,385 | 2,406,900 | | 2,465,485 |

TY 2019 Taxes Schedule**Name:** THE CLARK FOUNDATION**EIN:** 13-5616528

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------|-----------------------|---------------------|---------------------------------------|
| REAL ESTATE TAX | 282,053 | 125,924 | | 156,129 |
| EXCISE TAX | 500,000 | 0 | | 0 |
| FOREIGN TAX | 72,846 | 72,846 | | 0 |
| PASS THRU K-1 | 0 | 45,492 | | 0 |