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Form 990

Department of the TreasuryInternal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

A For the 2019 calendar year, or tax year beginning 07-01-2019, and ending 06-30-2020

B Check if applicable:

☐ Address change

☐ Name change

☐ Initial return

☐ Final return/terminated

☐ Amended return

☐ Application pending

C Name of organization

THE TRUSTEES OF COLUMBIA UNIVERSITY

IN THE CITY OF NEW YORK

% JULIA SHANAHAN

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)Room/suite

615 WEST 131ST STREET MC 8741

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 100277922

F Name and address of principal officer:

Lee C Bollinger

615 West 131st St MC 8741

New York, NY 100277922

H(a) Is this a group return for subordinates?

☐ Yes☒ No

H(b) Are all subordinates included?

☐ Yes☐ No

If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status:

☒ 501(c)(3)☐ 501(c) () ◀ (insert no.)☐ 4947(a)(1) or☐ 527

J Website: ▶

www.columbia.edu

K Form of organization:

☒ Corporation☐ Trust☐ Association☐ Other ▶

L Year of formation: 1754

M State of legal domicile: NY

D Employer identification number

13-5598093

E Telephone number

(212) 854-4684

G Gross receipts \$ 7,989,773,992

Part ISummary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities:

SEE ATTACHMENT 1

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a)

4 Number of independent voting members of the governing body (Part VI, line 1b)

5 Total number of individuals employed in calendar year 2019 (Part V, line 2a)

6 Total number of volunteers (estimate if necessary)

7a Total unrelated business revenue from Part VIII, column (C), line 12

7b Net unrelated business taxable income from Form 990-T, line 39

Revenue

8 Contributions and grants (Part VIII, line 1h)

9 Program service revenue (Part VIII, line 2g)

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)

14 Benefits paid to or for members (Part IX, column (A), line 4)

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)

16a Professional fundraising fees (Part IX, column (A), line 11e)

16b Total fundraising expenses (Part IX, column (D), line 25) ▶125,118,317

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)

18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)

19 Revenue less expenses. Subtract line 18 from line 12

Net Assets or Fund Balances

20 Total assets (Part X, line 16)

21 Total liabilities (Part X, line 26)

22 Net assets or fund balances. Subtract line 21 from line 20

Prior Year

Current Year

Beginning of Current Year

End of Year

Part IISignature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

2021-05-07

Date

MARK HAWKINS VP,FIN & CONTROLLER

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN P00460263

Firm's name ▶ PricewaterhouseCoopers LLP

Firm's EIN ▶

Firm's address ▶ 300 Madison Avenue

Phone no. (646) 471-3000

New York, NY 10017

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat. No. 11282Y

Form 990 (2019)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission:

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS. THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS. IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS. IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

| | |
|---------------------|--|
| 4a | (Code:) (Expenses \$ 2,058,244,938 including grants of \$ 623,604,910) (Revenue \$ 1,731,212,091) |
| See Additional Data | |

| | |
|---------------------|---|
| 4b | (Code:) (Expenses \$ 1,185,396,563 including grants of \$ 11,564,712) (Revenue \$ 1,297,261,020) |
| See Additional Data | |

| | |
|---------------------|--|
| 4c | (Code:) (Expenses \$ 660,083,384 including grants of \$ 131,394,024) (Revenue \$ 189,370,302) |
| See Additional Data | |

See Additional Data Table

4d Other program services (Describe in Schedule O.)

| |
|---|
| (Expenses \$ 1,088,038,954 including grants of \$ 6,754,196) (Revenue \$ 167,236,792) |
|---|

4e Total program service expenses 4,991,763,839

Part IV Checklist of Required Schedules

| | Yes | No |
|--|----------------|----|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A | 1 Yes | |
| 2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? | 2 Yes | |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I | 3 | No |
| 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II | 4 Yes | |
| 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III | 5 | No |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I | 6 Yes | |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II | 7 | No |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III | 8 Yes | |
| 9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV | 9 | No |
| 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V | 10 Yes | |
| 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. | | |
| a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. | 11a Yes | |
| b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII | 11b Yes | |
| c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII | 11c | No |
| d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX | 11d | No |
| e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X | 11e Yes | |
| f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X | 11f | No |
| 12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII | 12a | No |
| b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional | 12b Yes | |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E | 13 Yes | |
| 14a Did the organization maintain an office, employees, or agents outside of the United States? | 14a Yes | |
| b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV | 14b Yes | |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV | 15 Yes | |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV | 16 | No |
| 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) | 17 Yes | |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II | 18 Yes | |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III | 19 | No |
| 20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H | 20a | No |
| b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | |
| 21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II | 21 Yes | |

Part IV Checklist of Required Schedules (continued)

| | | Yes | No | |
|------------|---|-----|-----|----|
| 22 | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III.</i> | 22 | Yes | |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i> | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a.</i> | 24a | Yes | |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | No |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | Yes | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | No |
| 25a | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I.</i> | 25a | | No |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I.</i> | 25b | | No |
| 26 | Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II.</i> | 26 | Yes | |
| 27 | Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III.</i> | 27 | | No |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): | | | |
| a | A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV.</i> | 28a | | No |
| b | A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV.</i> | 28b | Yes | |
| c | A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? <i>If "Yes," complete Schedule L, Part IV.</i> | 28c | | No |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M.</i> | 29 | Yes | |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M.</i> | 30 | Yes | |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I.</i> | 31 | | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i> | 32 | | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i> | 33 | Yes | |
| 34 | Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.</i> | 34 | Yes | |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | Yes | |
| b | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2.</i> | 35b | Yes | |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i> | 36 | | No |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI.</i> | 37 | | No |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O. | 38 | Yes | |

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☒

| | Yes | No |
|---|-----|--------|
| 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable | 1a | 40,563 |
| b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable | 1b | 0 |
| c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | 1c | Yes |

Part V **Statements Regarding Other IRS Filings and Tax Compliance** *(continued)*

Form **990** (2019)

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

| | | Yes | No |
|--|--------------|-----|----|
| 1a Enter the number of voting members of the governing body at the end of the tax year | 1a 24 | | |
| If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. | | | |
| b Enter the number of voting members included in line 1a, above, who are independent | 1b 23 | | |
| 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | | No |
| 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | 3 | | No |
| 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | No |
| 5 Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | Yes | |
| 6 Did the organization have members or stockholders? | 6 | | No |
| 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7a | | No |
| b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7b | | No |
| 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | | |
| a The governing body? | 8a | Yes | |
| b Each committee with authority to act on behalf of the governing body? | 8b | Yes | |
| 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | 9 | | No |

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | Yes | No |
|---|------------|-----|
| 10a Did the organization have local chapters, branches, or affiliates? | 10a | No |
| b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | |
| 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | Yes |
| b Describe in Schedule O the process, if any, used by the organization to review this Form 990. | | |
| 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 | 12a | Yes |
| b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | Yes |
| c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done | 12c | Yes |
| 13 Did the organization have a written whistleblower policy? | 13 | Yes |
| 14 Did the organization have a written document retention and destruction policy? | 14 | Yes |
| 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | |
| a The organization's CEO, Executive Director, or top management official | 15a | Yes |
| b Other officers or key employees of the organization | 15b | Yes |
| If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). | | |
| 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | No |
| b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | |

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed▶ AK, CA, CO, MD, MA, MI, NH, OH, OK, OR, SC, WA

18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:
 ▶ JULIA SHANAHAN 615 WEST 131ST STREET MC 8741 New York, NY 10027 (212) 851-7348

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☒

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

[illegible]

| | | | |
|--|------------|---|-----------|
| 1b Sub-Total | | | |
| c Total from continuation sheets to Part VII, Section A | | | |
| d Total (add lines 1b and 1c) | 37,700,967 | 0 | 3,652,617 |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 6,604

| | | Yes | No |
|----------|---|--------------|----|
| 3 | Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> | 3 Yes | |
| 4 | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> | 4 Yes | |
| 5 | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> | 5 | No |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) | (B) | (C) |
|---|-------------------------|--------------|
| Name and business address | Description of services | Compensation |
| TURNER CONSTRUCTION CO, 375 HUDSON STREET 6TH FLOOR NEW YORK, NY 10014 | CONSTRUCTION SRVCS | 175,326,582 |
| SYSKO FOOD SERVICES OF METRO NEW YO, 20 THEODORE CONRAD DRIVE JERSEY CITY, NJ 07305 | FOOD SERVICES | 9,368,695 |
| HUDSON HEART MANAGEMENT LLC, 26 Indian Rock SUFFERN, NY 10901 | MEDICAL SERVICES | 8,056,206 |
| IDEAL INTERIORS GROUP LLC, 450 7TH AVE NEW YORK, NY 10123 | CONSTRUCTION SRVCS | 4,601,888 |
| INGRAM LLP, 250 Park Avenue NEW YORK, NY 10177 | LEGAL SRVCS | 4,237,486 |

| | | |
|---|--|--|
| 2 | Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 414 | |
|---|--|--|

| | | | | | | | |
|---|--|--|---|----------------------------------|---|--|---------------|
| Form 990 (2019) | | Page 9 | | | | | |
| Part VIII | | Statement of Revenue | | | | | |
| Check if Schedule O contains a response or note to any line in this Part VIII <input checked="" type="checkbox"/> | | | | | | | |
| | | (A) | (B) | (C) | (D) | | |
| | | Total revenue | Related or exempt function revenue | Unrelated business revenue | Revenue excluded from tax under sections 512 - 514 | | |
| Contributions, Gifts, Grants and Other Similar Amounts | 1a Federated campaigns . . . | 1a | | | | | |
| | b Membership dues . . . | 1b | | | | | |
| | c Fundraising events . . . | 1c | 4,470,803 | | | | |
| | d Related organizations | 1d | | | | | |
| | e Government grants (contributions) | 1e | 1,018,877,068 | | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above | 1f | 772,237,657 | | | | |
| | g Noncash contributions included in lines 1a - 1f:\$ | 1g | 32,541,641 | | | | |
| | h Total. Add lines 1a-1f ▶ | | 1,795,585,528 | | | | |
| Program Service Revenue | Business Code | | | | | | |
| | 2a TUITION & FEES | 611600 | 1,731,212,091 | 1,731,212,091 | | | |
| | b OTHER EDUCATION & RESEARCH | 541700 | 189,370,302 | 189,370,302 | | | |
| | c PATIENT CARE REVENUE | 621110 | 1,297,261,020 | 1,297,261,020 | | | |
| | d AUXILIARY ENTERPRISES | 900099 | 164,961,741 | 161,697,179 | 3,264,562 | | |
| | e OTHER SOURCES | 900099 | 1,850,473 | 1,850,473 | | | |
| | f All other program service revenue. | | 424,578 | 424,578 | | | |
| | g Total. Add lines 2a-2f. ▶ | | 3,385,080,205 | | | | |
| Other Revenue | 3 Investment income (including dividends, interest, and other similar amounts) ▶ | | 52,664,631 | | 52,664,631 | | |
| | 4 Income from investment of tax-exempt bond proceeds ▶ | | 0 | | | | |
| | 5 Royalties ▶ | | 29,817,170 | | 29,817,170 | | |
| | 6a Gross rents | (i) Real | (ii) Personal | | | | |
| | | 6a | 179,647,422 | | | | |
| | | b Less: rental expenses | 6b | | | | 150,525,502 |
| | | c Rental income or (loss) | 6c | | | | 29,121,920 |
| | d Net rental income or (loss) ▶ | | 29,121,920 | | 29,121,920 | | |
| | 7a Gross amount from sales of assets other than inventory | (i) Securities | (ii) Other | | | | |
| | | 7a | 2,476,960,996 | | | | |
| | | b Less: cost or other basis and sales expenses | 7b | | | | 1,895,072,637 |
| | | c Gain or (loss) | 7c | | | | 581,888,359 |
| | d Net gain or (loss) ▶ | | 581,888,359 | | 581,888,359 | | |
| | 8a Gross income from fundraising events (not including \$ 4,470,803 of contributions reported on line 1c). See Part IV, line 18 | | 8a | 1,367,231 | | | |
| | b Less: direct expenses | | 8b | 779,971 | | | |
| | c Net income or (loss) from fundraising events . . . ▶ | | 587,260 | | | | |
| | 9a Gross income from gaming activities. See Part IV, line 19 | | 9a | 0 | | | |
| | b Less: direct expenses | | 9b | 0 | | | |
| | c Net income or (loss) from gaming activities . . . ▶ | | 0 | | | | |
| | 10a Gross sales of inventory, less returns and allowances . . . | | 10a | 0 | | | |
| b Less: cost of goods sold . . . | | 10b | 0 | | | | |
| c Net income or (loss) from sales of inventory . . . ▶ | | 0 | | | | | |
| Miscellaneous Revenue | | Business Code | | | | | |
| 11a PARTNERSHIP INCOME | | 900099 | 68,650,809 | -75,040,176 | 143,690,985 | | |
| b | | | | | | | |
| c | | | | | | | |
| d All other revenue | | | | | | | |
| e Total. Add lines 11a-11d ▶ | | 68,650,809 | | | | | |
| 12 Total revenue. See instructions ▶ | | 5,943,395,882 | 3,381,815,643 | -71,775,614 | 837,770,325 | | |

Form 990 (2019)

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☒

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|--|------------------------------|--|---|------------------------------------|
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 | 120,798,688 | 120,798,688 | | |
| 2 Grants and other assistance to domestic individuals. See Part IV, line 22 | 623,991,106 | 623,991,106 | | |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16. | 28,528,048 | 28,528,048 | | |
| 4 Benefits paid to or for members | 0 | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 15,476,871 | 2,551,818 | 12,925,053 | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 160,647 | 134,712 | 25,935 | |
| 7 Other salaries and wages | 2,718,964,643 | 2,501,798,661 | 149,801,303 | 67,364,679 |
| 8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions) | 200,702,823 | 176,961,256 | 18,976,620 | 4,764,947 |
| 9 Other employee benefits | 284,626,311 | 186,462,047 | 77,161,111 | 21,003,153 |
| 10 Payroll taxes | 145,112,062 | 133,521,840 | 7,994,946 | 3,595,276 |
| 11 Fees for services (non-employees): | | | | |
| a Management | 0 | | | |
| b Legal | 32,174,693 | | 32,174,693 | |
| c Accounting | 2,259,779 | | 2,259,779 | |
| d Lobbying | 579,500 | | 579,500 | |
| e Professional fundraising services. See Part IV, line 17 | 1,598,925 | | | 1,598,925 |
| f Investment management fees | 10,922,247 | | 10,922,247 | |
| g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) | 255,768,615 | 227,146,908 | 22,050,252 | 6,571,455 |
| 12 Advertising and promotion | 13,178,070 | 11,724,779 | 234,985 | 1,218,306 |
| 13 Office expenses | 237,582,203 | 222,018,879 | 10,747,117 | 4,816,207 |
| 14 Information technology | 36,736,489 | 21,085,722 | 13,902,338 | 1,748,429 |
| 15 Royalties | 0 | | | |
| 16 Occupancy | 217,929,057 | 203,178,075 | 12,227,296 | 2,523,686 |
| 17 Travel | 60,113,111 | 55,556,219 | 3,315,825 | 1,241,067 |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | 0 | | | |
| 19 Conferences, conventions, and meetings | 53,288,805 | 47,381,482 | 2,594,720 | 3,312,603 |
| 20 Interest | 52,815,610 | 48,680,148 | 3,464,704 | 670,758 |
| 21 Payments to affiliates | 0 | | | |
| 22 Depreciation, depletion, and amortization | 291,736,122 | 268,893,183 | 19,137,890 | 3,705,049 |
| 23 Insurance | 54,762,624 | 45,732,204 | 9,030,420 | |
| 24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) | | | | |
| a LIBRARY | 30,588,876 | 30,588,876 | | |
| b DUES & SUBSCRIPTIONS | 9,428,185 | 7,614,216 | 1,558,583 | 255,386 |
| c BANK AND CREDIT CARD FEES | 6,740,166 | 5,580,717 | 1,148,491 | 10,958 |
| d UNRELATED BUS INCOME TAX EXP | 158,792 | | 158,792 | |
| e All other expenses | 26,484,296 | 21,834,255 | 3,932,608 | 717,433 |
| 25 Total functional expenses. Add lines 1 through 24e | 5,533,207,364 | 4,991,763,839 | 416,325,208 | 125,118,317 |
| 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). | | | | |

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☒

| | | | | (A) Beginning of year | | (B) End of year |
|------------------------------------|--|--|---------------------------|--------------------------|----------------|--------------------|
| Assets | 1 | Cash—non-interest-bearing | | 0 | 1 | 0 |
| | 2 | Savings and temporary cash investments | | 1,439,002,269 | 2 | 2,028,898,405 |
| | 3 | Pledges and grants receivable, net | | 759,187,382 | 3 | 697,871,042 |
| | 4 | Accounts receivable, net | | 434,507,955 | 4 | 433,664,788 |
| | 5 | Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons | | 2,731,294 | 5 | 2,758,137 |
| | 6 | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) | | 0 | 6 | 0 |
| | 7 | Notes and loans receivable, net | | 107,406,924 | 7 | 99,172,284 |
| | 8 | Inventories for sale or use | | 3,215,148 | 8 | 2,222,053 |
| | 9 | Prepaid expenses and deferred charges | | 57,097,743 | 9 | 58,638,790 |
| | 10a | Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D | 10a 10,006,442,724 | | | |
| | b | Less: accumulated depreciation | 10b 4,177,628,547 | 5,602,061,848 | 10c | 5,828,814,177 |
| | 11 | Investments—publicly traded securities | | 1,260,123,887 | 11 | 1,111,497,093 |
| | 12 | Investments—other securities. See Part IV, line 11 | | 9,669,384,697 | 12 | 10,139,709,411 |
| | 13 | Investments—program-related. See Part IV, line 11 | | 0 | 13 | 0 |
| | 14 | Intangible assets | | 0 | 14 | 0 |
| | 15 | Other assets. See Part IV, line 11 | | 589,470,314 | 15 | 553,444,164 |
| 16 | Total assets. Add lines 1 through 15 (must equal line 34) | | 19,924,189,461 | 16 | 20,956,690,344 | |
| Liabilities | 17 | Accounts payable and accrued expenses | | 366,330,119 | 17 | 438,549,386 |
| | 18 | Grants payable | | 0 | 18 | 0 |
| | 19 | Deferred revenue | | 288,297,491 | 19 | 341,209,996 |
| | 20 | Tax-exempt bond liabilities | | 1,812,209,035 | 20 | 1,977,924,334 |
| | 21 | Escrow or custodial account liability. Complete Part IV of Schedule D | | 0 | 21 | 0 |
| | 22 | Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons | | 0 | 22 | 0 |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | 0 | 23 | 0 |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | 187,990,854 | 24 | 555,562,142 |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D | | 1,285,077,407 | 25 | 1,384,228,894 |
| | 26 | Total liabilities. Add lines 17 through 25 | | 3,939,904,906 | 26 | 4,697,474,752 |
| Net Assets or Fund Balances | Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33. | | | | | |
| | 27 | Net assets without donor restrictions | | 7,294,881,634 | 27 | 7,261,312,273 |
| | 28 | Net assets with donor restrictions | | 8,689,402,921 | 28 | 8,997,903,319 |
| | Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33. | | | | | |
| | 29 | Capital stock or trust principal, or current funds | | | 29 | |
| | 30 | Paid-in or capital surplus, or land, building or equipment fund | | | 30 | |
| | 31 | Retained earnings, endowment, accumulated income, or other funds | | | 31 | |
| | 32 | Total net assets or fund balances | | 15,984,284,555 | 32 | 16,259,215,592 |
| 33 | Total liabilities and net assets/fund balances | | 19,924,189,461 | 33 | 20,956,690,344 | |

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

| | | | |
|-----------|--|-----------|----------------|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 5,943,395,882 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 5,533,207,364 |
| 3 | Revenue less expenses. Subtract line 2 from line 1 | 3 | 410,188,518 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 15,984,284,555 |
| 5 | Net unrealized gains (losses) on investments | 5 | -112,756,040 |
| 6 | Donated services and use of facilities | 6 | |
| 7 | Investment expenses | 7 | |
| 8 | Prior period adjustments | 8 | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | -22,501,441 |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 16,259,215,592 |

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☒

| | Yes | No |
|---|-----|----|
| 1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. | | |
| 2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis | No | |
| b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis | Yes | |
| c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. | Yes | |
| 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | Yes | |
| b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits. | Yes | |

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 (2019)

Form 990, Part III, Line 4a:

THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY AND EXTEND ACROSS THE GLOBE. THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS. IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING, LEARNING, AND RESEARCH ACTIVITIES. THE UNIVERSITY ENROLLS APPROXIMATELY 33,413 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 18,430 FULL-TIME EMPLOYEES, INCLUDING 6,662 FULL-TIME FACULTY MEMBERS AND RESEARCH STAFF.

Form 990, Part III, Line 4b:

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY IRVING MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES. THE CLINICAL FACULTY HANDLED APPROXIMATELY 2 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 597 UNIVERSITY MEDICAL STUDENTS AND 955 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL.

Form 990, Part III, Line 4c:

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS.

| | | | | |
|--|--------------|-------------|------------------------|---------------|
| Form 990, Part III - 4 Program Service Accomplishments (See the Instructions) | | | | |
| Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported. | | | | |
| (Code:) | (Expenses \$ | 305,676,065 | including grants of \$ | (Revenue \$) |
| CAMPUS OPERATIONS | | | | |
| (Code:) | (Expenses \$ | 287,175,509 | including grants of \$ | (Revenue \$) |
| INSTITUTIONAL SUPPORT | | | | |

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

| | | | | | |
|--|--------------|-------------|------------------------|-------------|-------------------------|
| Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported. | | | | | |
| (Code:) | (Expenses \$ | 161,312,976 | including grants of \$ | (Revenue \$ | 164,961,741) |
| AUXILIARY ENTERPRISES | | | | | |
| (Code:) | (Expenses \$ | 333,874,404 | including grants of \$ | 6,754,196) | (Revenue \$ 2,275,051) |
| OTHER SOURCES | | | | | |

| Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors | | | | | | | | | | |
|---|--|---|----------------------|---------|--------------|------------------------------|--------|---|--|---|
| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
| | | Individual trustee or director | Insttutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| LAWRENCE GERALD LENKE PROFESSOR OF SURGERY | 60.0 0.0 | | | | | X | | 6,854,636 | 0 | 59,971 |
| PETER HOLLAND CEO & EXEC VP OF INV MGMT | 60.0 0.0 | | | | X | | | 3,790,013 | 0 | 1,669,979 |
| DAVID N SILVERS CLINICAL PROFESSOR | 60.0 0.0 | | | | | X | | 4,370,686 | 0 | 58,555 |
| KIEHYUN DANIEL RIEW PROFESSOR OF SURGERY | 60.0 0.0 | | | | | X | | 4,163,116 | 0 | 78,280 |
| LEE GOLDMAN EXEC VP FOR HEALTH SCIENCES | 60.0 0.0 | | | | X | | | 3,624,012 | 0 | 519,072 |
| RONALD ARTHUR LEHMAN JR PROFESSOR OF SURGERY | 60.0 0.0 | | | | | X | | 3,484,664 | 0 | 64,380 |
| CRAIG R SMITH PROFESSOR OF SURGERY | 60.0 0.0 | | | | | X | | 2,858,930 | 0 | 60,027 |
| LEE C BOLLINGER PRESIDENT | 59.9 0.1 | X | | X | | | | 2,254,198 | 0 | 502,304 |
| GERALD M ROSBERG SENIOR EXEC VICE PRESIDENT | 59.9 0.1 | | | X | | | | 866,738 | 0 | 51,183 |
| JOHN COATSWORTH PROVOST (FORMER) | 59.9 0.1 | | | | | | X | 848,000 | 0 | 49,080 |

| Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors | | | | | | | | | | |
|---|--|---|----------------------|---------|--------------|------------------------------|--------|---|--|---|
| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
| | | Individual trustee or director | Insttutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE & IT | 59.8 0.2 | | | X | | | | 826,365 | 0 | 45,936 |
| JANE E BOOTH GENERAL COUNSEL | 60.0 0.0 | | | X | | | | 798,511 | 0 | 53,072 |
| DAVID MADIGAN EXEC VP-A&S (FORMER) | 59.9 1.0 | | | | | | X | 586,715 | 0 | 246,311 |
| AMELIA ALVERSON EXEC VP - UNIV DVLP&ALUM RLTS | 60.0 0.0 | | | | X | | | 785,128 | 0 | 42,385 |
| IRA KATZNELSON INTERIM PROVOST (AS OF 9/1/19) | 59.9 0.1 | | | X | | | | 584,977 | 0 | 63,080 |
| DAVID GREENBERG EXEC VP FACILITIES | 60.0 0.0 | | | | X | | | 545,575 | 0 | 32,736 |
| JEROME DAVIS SECRETARY | 60.0 0.0 | | | X | | | | 458,703 | 0 | 56,266 |
| ROLANDO T ACOSTA TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| ARMEN A AVANESSIANS TRUSTEE (THROUGH 9/2/19) | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| ANDREW BARTH TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |

| Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors | | | | | | | | | | |
|---|--|---|-----------------------|---------|--------------|------------------------------|--------|---|--|---|
| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| A'LELIA BUNDLES TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| LISA CARNOY TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| DEAN DAKOLIAS TRUSTEE (AS OF 10/5/19) | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| ABIGAIL ELBAUM TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| MARK GALLOGLY TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| NOAM GOTTESMAN TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| JOSEPH A GREENAWAY JR TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| DAVID GREENWALD TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| JAMES HARDEN TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| WANDA HOLLAND GREENE TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |

| Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors | | | | | | | | | | |
|---|--|---|-----------------------|---------|--------------|------------------------------|--------|---|--|---|
| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| MARC HOLLIDAY TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| JONATHAN LAVINE TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| LU LI TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| PAUL J MADDON TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| VICTOR MENDELSON TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| JULIE MENIN TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| ALEXANDER NAVAB TRUSTEE (THROUGH 7/7/2019) | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| JULISSA REYNOSO TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| CLAIRE SHIPMAN TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| KATHY SURACE-SMITH TRUSTEE | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |

| Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors | | | | | | | | | | |
|---|--|---|-----------------------|---------|--------------|------------------------------|--------|---|--|---|
| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| JONATHAN ROSAND TRUSTEE (AS OF 9/3/2019) | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |
| FERMI WANG TRUSTEE (AS OF 9/3/2019) | 4.0 0.0 | X | | | | | | 0 | 0 | 0 |

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☒ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations
- g Provide the following information about the supported organization(s).

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1- 10 above (see instructions)) | (iv) Is the organization listed in your governing document? | | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) |
|------------------------------------|----------|--|---|----|---|---|
| | | | Yes | No | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Total | | | | | | |

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III.
If the organization failed to qualify under the tests listed below, please complete Part III.)

| | | | | | | | |
|--|--|---------------|---------------|---------------|---------------|---------------|----------------|
| Section A. Public Support | | | | | | | |
| Calendar year (or fiscal year beginning in) ▶ | | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
| 1 | Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . . . | 1,357,673,833 | 1,835,150,690 | 1,909,794,420 | 1,626,034,525 | 1,795,585,528 | 8,524,238,996 |
| 2 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | 0 |
| 3 | The value of services or facilities furnished by a governmental unit to the organization without charge. . . . | | | | | | 0 |
| 4 | Total. Add lines 1 through 3 | 1,357,673,833 | 1,835,150,690 | 1,909,794,420 | 1,626,034,525 | 1,795,585,528 | 8,524,238,996 |
| 5 | The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). . . . | | | | | | 185,591,179 |
| 6 | Public support. Subtract line 5 from line 4. | | | | | | 8,338,647,817 |
| Section B. Total Support | | | | | | | |
| Calendar year (or fiscal year beginning in) ▶ | | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
| 7 | Amounts from line 4. . . . | 1,357,673,833 | 1,835,150,690 | 1,909,794,420 | 1,626,034,525 | 1,795,585,528 | 8,524,238,996 |
| 8 | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 274,595,453 | 257,219,758 | 262,611,265 | 295,302,324 | 262,129,223 | 1,351,858,023 |
| 9 | Net income from unrelated business activities, whether or not the business is regularly carried on. . . . | | | | | | 0 |
| 10 | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). . . . | 144,279,932 | 81,993,242 | 77,186,230 | 108,427,118 | 143,690,985 | 555,577,507 |
| 11 | Total support. Add lines 7 through 10 | | | | | | 10,431,674,526 |
| 12 | Gross receipts from related activities, etc. (see instructions) | | | | | 12 | 15,756,894,956 |
| 13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ <input type="checkbox"/> | | | | | | | |
| Section C. Computation of Public Support Percentage | | | | | | | |
| 14 | Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f)) | 14 | | | | 79.936 % | |
| 15 | Public support percentage for 2018 Schedule A, Part II, line 14 | 15 | | | | 78.520 % | |
| 16a 33 1/3% support test—2019. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/> | | | | | | | |
| b 33 1/3% support test—2018. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/> | | | | | | | |
| 17a 10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/> | | | | | | | |
| b 10%-facts-and-circumstances test—2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/> | | | | | | | |
| 18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/> | | | | | | | |
| Schedule A (Form 990 or 990-EZ) 2019 | | | | | | | |

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

| Calendar year (or fiscal year beginning in) ► | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . . | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 Total. Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year. | | | | | | |
| c Add lines 7a and 7b. . | | | | | | |
| 8 Public support. (Subtract line 7c from line 6.) | | | | | | |

Section B. Total Support

| Calendar year (or fiscal year beginning in) ► | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
|--|----------|----------|----------|----------|----------|-----------|
| 9 Amounts from line 6. . . | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. . | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975. | | | | | | |
| c Add lines 10a and 10b. | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on. | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . | | | | | | |
| 13 Total support. (Add lines 9, 10c, 11, and 12.) . . | | | | | | |
| 14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ► <input type="checkbox"/> | | | | | | |

Section C. Computation of Public Support Percentage

| | | |
|--|-----------|--|
| 15 Public support percentage for 2019 (line 8, column (f) divided by line 13, column (f)) | 15 | |
| 16 Public support percentage from 2018 Schedule A, Part III, line 15 | 16 | |

Section D. Computation of Investment Income Percentage

| | | |
|--|-----------|--|
| 17 Investment income percentage for 2019 (line 10c, column (f) divided by line 13, column (f)) | 17 | |
| 18 Investment income percentage from 2018 Schedule A, Part III, line 17 | 18 | |

19a 33 1/3% support tests—2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests—2018. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i> | | |
| 1 | | |
| 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i> | | |
| 2 | | |
| 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i> | | |
| 3a | | |
| b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i> | | |
| 3b | | |
| c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i> | | |
| 3c | | |
| 4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i> | | |
| 4a | | |
| b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i> | | |
| 4b | | |
| c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i> | | |
| 4c | | |
| 5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i> | | |
| 5a | | |
| b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? | | |
| 5b | | |
| c Substitutions only. Was the substitution the result of an event beyond the organization's control? | | |
| 5c | | |
| 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i> | | |
| 6 | | |
| 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .</i> | | |
| 7 | | |
| 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i> | | |
| 8 | | |
| 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i> | | |
| 9a | | |
| b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i> | | |
| 9b | | |
| c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i> | | |
| 9c | | |
| 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i> | | |
| 10a | | |
| b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i> | | |
| 10b | | |

Part IV

Supporting Organizations (continued)

| | Yes | No |
|--|-----|----|
| 11 Has the organization accepted a gift or contribution from any of the following persons? | | |
| a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | | |
| b A family member of a person described in (a) above? | | |
| c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i> | | |

Section B. Type I Supporting Organizations

| | Yes | No |
|--|-----|----|
| 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i> | | |
| 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i> | | |

Section C. Type II Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i> | | |

Section D. All Type III Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | | |
| 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i> | | |
| 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i> | | |

Section E. Type III Functionally-Integrated Supporting Organizations

| | | |
|--|--|--|
| 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions): | | |
| a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below. | | |
| b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below. | | |
| c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions) | | |
| 2 Activities Test. Answer (a) and (b) below. | | |
| a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i> | | |
| b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i> | | |
| 3 Parent of Supported Organizations. Answer (a) and (b) below. | | |
| a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i> | | |
| b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i> | | |

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

| | | | |
|----------------------------------|--|--|-----------------------------|
| 1 | | <input type="checkbox"/> Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E. | |
| Section A - Adjusted Net Income | | (A) Prior Year | (B) Current Year (optional) |
| 1 | Net short-term capital gain | 1 | |
| 2 | Recoveries of prior-year distributions | 2 | |
| 3 | Other gross income (see instructions) | 3 | |
| 4 | Add lines 1 through 3 | 4 | |
| 5 | Depreciation and depletion | 5 | |
| 6 | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | |
| 7 | Other expenses (see instructions) | 7 | |
| 8 | Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 | |
| Section B - Minimum Asset Amount | | (A) Prior Year | (B) Current Year (optional) |
| 1 | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | 1 | |
| a | Average monthly value of securities | 1a | |
| b | Average monthly cash balances | 1b | |
| c | Fair market value of other non-exempt-use assets | 1c | |
| d | Total (add lines 1a, 1b, and 1c) | 1d | |
| e | Discount claimed for blockage or other factors (explain in detail in Part VI): | | |
| 2 | Acquisition indebtedness applicable to non-exempt use assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | |
| 4 | Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions). | 4 | |
| 5 | Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | |
| 6 | Multiply line 5 by .035 | 6 | |
| 7 | Recoveries of prior-year distributions | 7 | |
| 8 | Minimum Asset Amount (add line 7 to line 6) | 8 | |
| Section C - Distributable Amount | | | Current Year |
| 1 | Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | |
| 2 | Enter 85% of line 1 | 2 | |
| 3 | Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | |
| 4 | Enter greater of line 2 or line 3 | 4 | |
| 5 | Income tax imposed in prior year | 5 | |
| 6 | Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 | |
| 7 | <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions) | | |

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

| Section D - Distributions | Current Year |
|---|--------------|
| 1 Amounts paid to supported organizations to accomplish exempt purposes | |
| 2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity | |
| 3 Administrative expenses paid to accomplish exempt purposes of supported organizations | |
| 4 Amounts paid to acquire exempt-use assets | |
| 5 Qualified set-aside amounts (prior IRS approval required) | |
| 6 Other distributions (describe in Part VI). See instructions | |
| 7 Total annual distributions. Add lines 1 through 6. | |
| 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions | |
| 9 Distributable amount for 2019 from Section C, line 6 | |
| 10 Line 8 amount divided by Line 9 amount | |

| Section E - Distribution Allocations (see instructions) | (i) Excess Distributions | (ii) Underdistributions Pre-2019 | (iii) Distributable Amount for 2019 |
|---|-----------------------------|--|---|
| 1 Distributable amount for 2019 from Section C, line 6 | | | |
| 2 Underdistributions, if any, for years prior to 2019 (reasonable cause required-- explain in Part VI). See instructions. | | | |
| 3 Excess distributions carryover, if any, to 2019: | | | |
| a From 2014. | | | |
| b From 2015. | | | |
| c From 2016. | | | |
| d From 2017. | | | |
| e From 2018. | | | |
| f Total of lines 3a through e | | | |
| g Applied to underdistributions of prior years | | | |
| h Applied to 2019 distributable amount | | | |
| i Carryover from 2014 not applied (see instructions) | | | |
| j Remainder. Subtract lines 3g, 3h, and 3i from 3f. | | | |
| 4 Distributions for 2019 from Section D, line 7: | | | |
| \$ | | | |
| a Applied to underdistributions of prior years | | | |
| b Applied to 2019 distributable amount | | | |
| c Remainder. Subtract lines 4a and 4b from 4. | | | |
| 5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI. See instructions. | | | |
| 6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI. See instructions. | | | |
| 7 Excess distributions carryover to 2020. Add lines 3j and 4c. | | | |
| 8 Breakdown of line 7: | | | |
| a Excess from 2015. | | | |
| b Excess from 2016. | | | |
| c Excess from 2017. | | | |
| d Excess from 2018. | | | |
| e Excess from 2019. | | | |

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

| | |
|--|--|
| Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK | Employer identification number 13-5598093 |
|--|--|

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) ▶ \$
- 3 Volunteer hours for political campaign activities (see instructions) ▶

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b..... ▶ \$
- 4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|----------|-------------|---------|---|--|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.) | (a) Filing organization's totals | (b) Affiliated group totals |
|--|--|-----------------------------|
| 1a Total lobbying expenditures to influence public opinion (grass roots lobbying) | | |
| b Total lobbying expenditures to influence a legislative body (direct lobbying) | | |
| c Total lobbying expenditures (add lines 1a and 1b) | | |
| d Other exempt purpose expenditures | | |
| e Total exempt purpose expenditures (add lines 1c and 1d) | | |
| f Lobbying nontaxable amount. Enter the amount from the following table in both columns. | | |
| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | |
| Not over \$500,000 | 20% of the amount on line 1e. | |
| Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | |
| Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | |
| Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | |
| Over \$17,000,000 | \$1,000,000. | |
| g Grassroots nontaxable amount (enter 25% of line 1f) | | |
| h Subtract line 1g from line 1a. If zero or less, enter -0- | | |
| i Subtract line 1f from line 1c. If zero or less, enter -0- | | |
| j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | |
|---|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2016 | (b) 2017 | (c) 2018 | (d) 2019 | (e) Total |
| 2a Lobbying nontaxable amount | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | |
| c Total lobbying expenditures | | | | | |
| d Grassroots nontaxable amount | | | | | |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | |
| f Grassroots lobbying expenditures | | | | | |

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

| | (a) | | (b) |
|---|-----|----|---------|
| | Yes | No | Amount |
| 1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: | | | |
| a Volunteers? | | No | |
| b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | Yes | | |
| c Media advertisements? | | No | |
| d Mailings to members, legislators, or the public? | | No | |
| e Publications, or published or broadcast statements? | | No | |
| f Grants to other organizations for lobbying purposes? | Yes | | 10,042 |
| g Direct contact with legislators, their staffs, government officials, or a legislative body? | Yes | | 26,964 |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | | No | |
| i Other activities? | Yes | | 579,500 |
| j Total. Add lines 1c through 1i | | | 616,506 |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | No | |
| b If "Yes," enter the amount of any tax incurred under section 4912 | | | |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

| | Yes | No |
|---|-----|----|
| 1 Were substantially all (90% or more) dues received nondeductible by members? | 1 | |
| 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less? | 2 | |
| 3 Did the organization agree to carry over lobbying and political expenditures from the prior year? | 3 | |

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

| | | |
|--|----|--|
| 1 Dues, assessments and similar amounts from members | 1 | |
| 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | | |
| a Current year | 2a | |
| b Carryover from last year | 2b | |
| c Total | 2c | |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

Part IV

Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

| Return Reference | Explanation |
|-----------------------|---|
| SCHEDULE C, PART II-B | LINE 1A - VOLUNTEERS: N/A LINE 1B - PAID STAFF OR MANAGEMENT: THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY. EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE BUT ARE NOT LIMITED TO: EXECUTIVE VICE PRESIDENT FOR PUBLIC AFFAIRS; ASSOCIATE VICE PRESIDENT FOR GOVERNMENT RELATIONS; ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS; THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE; VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER; ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER; DIRECTOR OF GOVERNMENT AFFAIRS; AND THE ASSISTANT DIRECTOR FOR GOVERNMENT & COMMUNITY AFFAIRS. LINE 1C - MEDIA ADVERTISEMENTS: N/A LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC: N/A LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS: N/A LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES: THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS. A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO CERTAIN OF THESE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES. THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS. LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY: THE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR PUBLIC AFFAIRS, THE ASSOCIATE VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE ASSISTANT VICE PRESIDENT FOR PLANNING AND PROJECT COORDINATION, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE DIRECTOR OF GOVERNMENT AFFAIRS, THE ASSISTANT DIRECTOR FOR GOVERNMENT & COMMUNITY AFFAIRS, AMONG OTHER COLUMBIA UNIVERSITY EMPLOYEES, CONTACTED FEDERAL, STATE, AND LOCAL LEGISLATORS AND THEIR STAFFS ON HIGHER EDUCATION ISSUES. THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE; THE VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER; THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER; AND OTHER COLUMBIA UNIVERSITY MEDICAL CENTER EMPLOYEES CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS. THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES. LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS: N/A LINE 1I - OTHER ACTIVITIES: DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR CONSULTING AND ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS OF GOVERNMENT. THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES. |

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SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

1

Total number at end of year

2

Aggregate value of contributions to (during year)

3

Aggregate value of grants from (during year)

4

Aggregate value at end of year

(a) Donor advised funds

14

2,115,768

8,325,922

31,415,346

(b) Funds and other accounts

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

Yes

No

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Yes

No

Part II

Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)

☐ Preservation of an historically important land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

a

Total number of conservation easements

b

Total acreage restricted by conservation easements

c

Number of conservation easements on a certified historic structure included in (a)

d

Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register

Held at the End of the Year

2a

2b

2c

2d

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes

No

6

Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

Yes

No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ► \$

(ii) Assets included in Form 990, Part X ► \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a

Revenue included on Form 990, Part VIII, line 1 ► \$

b

Assets included in Form 990, Part X ► \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 52283D

Schedule D (Form 990) 2019

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☒ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table:

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

| | Amount |
|----|--------|
| 1c | |
| 1d | |
| 1e | |
| 1f | |

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

| | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|--|------------------|----------------|--------------------|----------------------|---------------------|
| 1a Beginning of year balance | 10,950,738,000 | 10,869,245,000 | 9,996,596,000 | 9,041,027,000 | 9,639,065,000 |
| b Contributions | 400,986,000 | 307,537,000 | 640,042,000 | 411,858,000 | 176,354,000 |
| c Net investment earnings, gains, and losses | 562,702,000 | 418,416,000 | 862,139,000 | 1,140,424,000 | -173,840,000 |
| d Grants or scholarships | 141,393,000 | 137,178,000 | 120,019,000 | 114,376,000 | 106,989,000 |
| e Other expenditures for facilities and programs | 472,489,000 | 468,970,000 | 469,411,000 | 441,400,000 | 449,177,000 |
| f Administrative expenses | 43,523,000 | 38,312,000 | 40,102,000 | 40,937,000 | 44,386,000 |
| g End of year balance | 11,257,021,000 | 10,950,738,000 | 10,869,245,000 | 9,996,596,000 | 9,041,027,000 |

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a

Board designated or quasi-endowment

31.680 %

b

Permanent endowment

34.160 %

c

Temporarily restricted endowment

34.160 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land | | 504,394,498 | | 504,394,498 |
| b Buildings | | 7,417,138,111 | 3,257,923,178 | 4,159,214,933 |
| c Leasehold improvements | | | | |
| d Equipment | | 645,455,518 | 410,467,148 | 234,988,370 |
| e Other | 1,439,454,597 | | 509,238,221 | 930,216,376 |
| Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) | | | | 5,828,814,177 |

Schedule D (Form 990) 2019

Part VII

Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|--|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| (3) Other _____ | | |
| (A) GLOBAL EQUITIES | 1,728,633,998 | F |
| (B) ABSOLUTE RETURN STRATEGIES | 3,741,276,775 | F |
| (C) PRIVATE EQUITY | 2,412,967,022 | F |
| (D) REAL ESTATE ASSETS | 1,580,895,835 | F |
| (E) FIXED INCOME | 281,334,161 | F |
| (F) CASH & CASH EQUIVALENTS | 394,601,620 | F |
| (G) | | |
| (H) | | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶ | 10,139,709,411 | |

Part VIII

Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|--|
| (1) | | |
| (2) | | |
| (3) | | |
| (4) | | |
| (5) | | |
| (6) | | |
| (7) | | |
| (8) | | |
| (9) | | |
| Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.) ▶ | | |

Part IX

Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|----------------|
| (1) | |
| (2) | |
| (3) | |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.) ▶ | |

Part X

Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability | (b) Book value |
|---|----------------|
| (1) Federal income taxes | 0 |
| (2) LIABILITY FOR SECURITIES PURCH | 4,307,545 |
| (3) CAPITAL LEASE OBLIGATIONS | 131,275,210 |
| (4) COND'L ASSET RETIREMENT OBLIGA | 121,992,259 |
| (5) ACCRUED EMPLOYEE BENEFIT LIAB. | 433,617,465 |
| (6) FEDERAL STUDENT LOAN FUNDS | 62,399,541 |
| (7) ACTUARIAL LIAB- SPLIT INT AGRM | 58,511,097 |
| (8) OTHER LONG TERM LIABILITIES | 572,125,777 |
| (9) | |
| (10) | |
| Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.) ▶ | 1,384,228,894 |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

| | | | | |
|----------|--|-----------|-----------|--|
| 1 | Total revenue, gains, and other support per audited financial statements | | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12: | | | |
| a | Net unrealized gains (losses) on investments | 2a | | |
| b | Donated services and use of facilities | 2b | | |
| c | Recoveries of prior year grants | 2c | | |
| d | Other (Describe in Part XIII.) | 2d | | |
| e | Add lines 2a through 2d | | 2e | |
| 3 | Subtract line 2e from line 1 | | 3 | |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1 : | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | |
| b | Other (Describe in Part XIII.) | 4b | | |
| c | Add lines 4a and 4b | | 4c | |
| 5 | Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.) | | 5 | |

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

| | | | | |
|----------|---|-----------|-----------|--|
| 1 | Total expenses and losses per audited financial statements | | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25: | | | |
| a | Donated services and use of facilities | 2a | | |
| b | Prior year adjustments | 2b | | |
| c | Other losses | 2c | | |
| d | Other (Describe in Part XIII.) | 2d | | |
| e | Add lines 2a through 2d | | 2e | |
| 3 | Subtract line 2e from line 1 | | 3 | |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1 : | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | |
| b | Other (Describe in Part XIII.) | 4b | | |
| c | Add lines 4a and 4b | | 4c | |
| 5 | Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.) | | 5 | |

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

| Return Reference | Explanation |
|---------------------------|-------------|
| See Additional Data Table | |
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Part XIII **Supplemental Information** *(continued)*

| Return Reference | Explanation |
|------------------|-------------|
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Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information

| Return Reference | Explanation |
|---|--|
| DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE | SCHEDULE D, PART III, LINES 1A, 2A & 4 The University's collections include works of art, literary works, historical treasures and artifacts maintained in the University's libraries and museums. These collections are protected and preserved for public exhibition, education, research, and the furtherance of public service. Proceeds realized from deaccessioning collection items are to be used for the acquisition of new items for the University's collection and/or enhancing the life, usefulness or quality of the existing collection through long-term direct care and preservation, which includes conservation care, cataloging and documenting and proper access and use of the collection. Accordingly, such collections are not capitalized and contributed items are not recognized as revenue for financial statement purposes. |

Supplemental Information

| Return Reference | Explanation |
|---------------------------------|--|
| INTENDED USE OF ENDOWMENT FUNDS | SCHEDULE D, PART V, LINE 4 COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING: FINANCIAL AID; FACULTY SALARIES AND SUPPORT; RESEARCH; CAPITAL PROJECTS; GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS. |

Supplemental Information

| Return Reference | Explanation |
|------------------|---|
| FIN 48 | SCHEDULE D, PART X, LINE 2 THE UNIVERSITY DOES NOT INCLUDE A LIABILITY FOR UNCERTAIN TAX POSITIONS UNDER FIN 48 (ASC 740) IN ITS FINANCIAL STATEMENTS AS IT IS IMMATERIAL AND DOES NOT HAVE AN IMPACT ON THE STATEMENTS. THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS OF FIN 48 ARE HIGHLY CERTAIN. |

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Schools

► Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.
► Go to www.irs.gov/Form990EZ for the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Employer identification number
13-5598093

Part I

| | YES | NO |
|---|--------|----|
| 1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? | 1 Yes | |
| 2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | 2 Yes | |
| 3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space use Part II. | 3 Yes | |
| | | |
| | | |
| | | |
| 4 Does the organization maintain the following? | | |
| a Records indicating the racial composition of the student body, faculty, and administrative staff? | 4a Yes | |
| b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? | 4b Yes | |
| c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? | 4c Yes | |
| d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain. If you need more space, use Part II. | 4d Yes | |
| | | |
| | | |
| 5 Does the organization discriminate by race in any way with respect to: | | |
| a Students' rights or privileges? | 5a | No |
| b Admissions policies? | 5b | No |
| c Employment of faculty or administrative staff? | 5c | No |
| d Scholarships or other financial assistance? | 5d | No |
| e Educational policies? | 5e | No |
| f Use of facilities? | 5f | No |
| g Athletic programs? | 5g | No |
| h Other extracurricular activities? If you answered "Yes" to any of the above, please explain. If you need more space, use Part II. | 5h | No |
| | | |
| | | |
| 6a Does the organization receive any financial aid or assistance from a governmental agency? | 6a Yes | |
| b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II. | 6b | No |
| 7 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II. | 7 Yes | |

Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information. See instructions.

| Return Reference | Explanation |
|---------------------|---|
| SCHEDULE E, LINE 3 | COLUMBIA UNIVERSITY CUSTOMARILY DRAWS A SUBSTANTIAL PERCENTAGE OF ITS STUDENTS FROM ACROSS THE NATION AND THE WORLD. ITS EDUCATIONAL PROGRAMS SEEK A BROAD CROSS-SECTION OF STUDENTS FROM THE METROPOLITAN AREA. IT FOLLOWS A RACIALLY NONDISCRIMINATORY POLICY OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATORY POLICY TOWARDS STUDENTS IN ALL SCHOOL AND PROGRAM BULLETINS. IT ALSO REFERS TO THIS POLICY IN ALL WRITTEN ADVERTISING. IT THEREFORE MEETS THE CRITERIA UNDER REV. PROC. 75-50, SECTION 4.03-2(B) FOR EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4.03-1. |
| SCHEDULE E, LINE 6A | COLUMBIA UNIVERSITY RECEIVES FEDERAL, STATE AND LOCAL GOVERNMENTAL FUNDING THAT SUPPORTS ITS CORE INSTRUCTIONAL AND RESEARCH PROGRAMS. |

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

| (a) Region | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in the region | (d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in the region | (f) Total expenditures for and investments in the region |
|--|-------------------------------------|--|--|--|--|
| See Add'l Data | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| 3a Sub-total | 32 | 4,835 | | | 6,493,706,522 |
| b Total from continuation sheets to Part I | 62 | 3,534 | | | 320,859,852 |
| c Totals (add lines 3a and 3b) | 94 | 8,369 | | | 6,814,566,374 |

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 147

| | | |
|---|---|---|
| 3 | Enter total number of other organizations or entities | 1 |
|---|---|---|

| | |
|-----------------|---|
| Part III | Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. |
|-----------------|---|

Part III can be duplicated if additional space is needed.

[illegible]

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☒ Yes ☐ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* ☒ Yes ☐ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☒ Yes ☐ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* ☒ Yes ☐ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

990 Schedule F, Supplemental Information

| Return Reference | Explanation |
|--------------------------|---|
| SUPPLEMENTAL INFORMATION | <p>SCHEDULE F, PART I, LINE 2 ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING. THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK". THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF. ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES: - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY. MOST OF THE ACTIVITY RELATES TO U.S. GOVERNMENT FUNDED PROGRAM SERVICES. OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES. NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY. THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE AND CATEGORIZE FOREIGN ACTIVITY. ----- FORM 990, SCHEDULE F, PART I, COLUMN (F) & PART II, LINE 1 COLUMBIA UNIVERSITY ACCOUNTS FOR ALL FOREIGN EXPENDITURES, INCLUDING CASH GRANTS AND NONCASH ASSISTANCE, ON THE ORGANIZATION'S FINANCIAL STATEMENTS IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES IN THE UNITED STATES. -----</p> |

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|-----------------------------------|-------------------------------------|---|--|--|-----------------------------------|
| Central America and the Caribbean | 1 | 152 | Program Services | EDUC/RESEARCH/OUTREACH | 7,550,921 |
| Central America and the Caribbean | | | Grantmaking | | 2,526,018 |

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|-----------------------------------|-------------------------------------|---|--|--|-----------------------------------|
| Central America and the Caribbean | | | Investments | | 5,672,537,433 |
| East Asia and the Pacific | 10 | 887 | Program Services | EDUC/RESEARCH/OUTREACH | 7,136,467 |

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---------------------------|-------------------------------------|---|--|--|-----------------------------------|
| East Asia and the Pacific | | | Grantmaking | | 907,921 |
| East Asia and the Pacific | | | Fundraising | | 195,828 |

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|--|-------------------------------------|---|--|--|-----------------------------------|
| East Asia and the Pacific | | | Investments | | 183,018,938 |
| Europe (Including Iceland and Greenland) | 14 | 2,709 | Program Services | EDUC/RESEARCH/OUTREACH | 13,865,734 |

| Form 990 Schedule F Part I - Activities Outside The United States | | | | | |
|---|-------------------------------------|---|--|--|-----------------------------------|
| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
| Europe (Including Iceland and Greenland) | | | Grantmaking | | 4,712,542 |
| Europe (Including Iceland and Greenland) | | | Fundraising | | 288,779 |

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|--|-------------------------------------|---|--|--|-----------------------------------|
| Europe (Including Iceland and Greenland) | | | Investments | | 593,332,199 |
| Middle East and North Africa | 7 | 590 | Program Services | EDUC/RESEARCH/OUTREACH | 4,078,863 |

| Form 990 Schedule F Part I - Activities Outside The United States | | | | | |
|---|-------------------------------------|---|--|--|-----------------------------------|
| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
| Middle East and North Africa | | | Grantmaking | | 318,461 |
| Middle East and North Africa | | | Fundraising | | 45,201 |

| Form 990 Schedule F Part I - Activities Outside The United States | | | | | |
|---|-------------------------------------|---|--|--|-----------------------------------|
| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
| Middle East and North Africa | | | Investments | | 454,252 |
| North America | | 497 | Program Services | EDUC/RESEARCH/OUTREACH | 1,339,038 |

| Form 990 Schedule F Part I - Activities Outside The United States | | | | | |
|---|-------------------------------------|---|--|--|-----------------------------------|
| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
| North America | | | Grantmaking | | 1,397,927 |
| North America | | | Fundraising | | 4,961 |

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|-------------------------------------|---|--|--|-----------------------------------|
| North America | | | Investments | | 40,500,074 |
| Russia and the Newly Independent States | 4 | 138 | Program Services | EDUC/RESEARCH/OUTREACH | 3,765,958 |

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|-------------------------------------|---|--|--|-----------------------------------|
| Russia and the Newly Independent States | | | Grantmaking | | 120,437 |
| South America | 4 | 335 | Program Services | EDUC/RESEARCH/OUTREACH | 2,205,857 |

| Form 990 Schedule F Part I - Activities Outside The United States | | | | | |
|---|-------------------------------------|---|--|--|-----------------------------------|
| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
| South America | | | Grantmaking | | 410,500 |
| South America | | | Fundraising | | 49,952 |

| Form 990 Schedule F Part I - Activities Outside The United States | | | | | |
|---|-------------------------------------|---|--|--|-----------------------------------|
| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
| South Asia | 4 | 235 | Program Services | EDUC/RESEARCH/OUTREACH | 1,815,327 |
| South Asia | | | Grantmaking | | 836,629 |

| Form 990 Schedule F Part I - Activities Outside The United States | | | | | |
|---|-------------------------------------|---|--|--|-----------------------------------|
| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
| South Asia | | | Fundraising | | 18,201 |
| South Asia | | | Investments | | 5,614 |

Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|--------------------|-------------------------------------|---|--|--|-----------------------------------|
| Sub-Saharan Africa | 50 | 2,826 | Program Services | EDUC/RESEARCH/OUTREACH | 147,653,739 |
| Sub-Saharan Africa | | | Grantmaking | | 17,297,612 |

| Form 990 Schedule F Part I - Activities Outside The United States | | | | | |
|---|-------------------------------------|---|--|--|-----------------------------------|
| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
| Sub-Saharan Africa | | | Fundraising | | 2,200 |
| Sub-Saharan Africa | | | Investments | | 106,172,791 |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 1,500,000 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 1,076,838 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 576,670 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 554,200 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | South Asia | Edu/Research | 423,864 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 466,182 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | South America | Edu/Research | 400,000 | Check/Wire | | N/A | N/A |
| | | East Asia and the Pacific | Edu/Research | 391,174 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 479,154 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 352,505 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 342,096 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 331,674 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 290,088 | Check/Wire | | N/A | N/A |
| | | East Asia and the Pacific | Edu/Research | 248,702 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 242,776 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 232,786 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 228,667 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 219,366 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 254,460 | Check/Wire | | N/A | N/A |
| | | Middle East and North Africa | Edu/Research | 209,972 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 199,955 | Check/Wire | | N/A | N/A |
| | | South Asia | Edu/Research | 187,432 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 179,017 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 129,792 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 126,877 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 123,449 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 118,993 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 115,189 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 113,130 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 107,460 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 108,621 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 97,899 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 90,870 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 90,560 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | East Asia and the Pacific | Edu/Research | 88,418 | Check/Wire | | N/A | N/A |
| | | Russia and the Newly Independent States | Edu/Research | 84,612 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 82,297 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 81,296 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 78,681 | Check/Wire | | N/A | N/A |
| | | South Asia | Edu/Research | 67,186 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 63,836 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 62,310 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 60,260 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 59,347 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Middle East and North Africa | Edu/Research | 54,650 | Check/Wire | | N/A | N/A |
| | | Middle East and North Africa | Edu/Research | 53,839 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | East Asia and the Pacific | Edu/Research | 49,706 | Check/Wire | | N/A | N/A |
| | | East Asia and the Pacific | Edu/Research | 43,215 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 42,300 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 42,019 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 39,176 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 38,880 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | South Asia | Edu/Research | 38,839 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 38,509 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 35,251 | Check/Wire | | N/A | N/A |
| | | South Asia | Edu/Research | 32,469 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 31,261 | Check/Wire | | N/A | N/A |
| | | South Asia | Edu/Research | 29,850 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | South Asia | Edu/Research | 46,989 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 28,955 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 27,249 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 26,892 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 26,404 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 26,000 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 25,981 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 25,500 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 25,350 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 24,478 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 22,722 | Check/Wire | | N/A | N/A |
| | | North America | Edu/Research | 20,090 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 19,470 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 16,400 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 15,000 | Check/Wire | | N/A | N/A |
| | | North America | Edu/Research | 15,000 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Europe | Edu/Research | 10,515 | Check/Wire | | N/A | N/A |
| | | South America | Edu/Research | 10,500 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | South Asia | Edu/Research | 10,000 | Check/Wire | | N/A | N/A |
| | | Europe | Edu/Research | 9,755 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | North America | Edu/Research | 9,563 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 5,005 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | East Asia and the Pacific | Edu/Research | 92,857 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | | | 26,910 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 64,063 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 23,330 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 8,120 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 18,193 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 408,516 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 14,077 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 40,344 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 14,077 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 18,193 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 106,842 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 24,773 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 5,100 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 18,090 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 8,400 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 100,363 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 36,596 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 17,972 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 85,766 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 99,334 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 28,475 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 5,583 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 5,583 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | | | 9,217 | Equipment | Book Value |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | | | 9,291 | Equipment | Book Value |
| | | Sub-Saharan Africa | Edu/Research | 1,333,722 | Check/Wire | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Sub-Saharan Africa | Edu/Research | 384,994 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 338,847 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|-----------------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Central America and the Caribbean | Edu/Research | 2,526,018 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 1,081,830 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Russia and the Newly Independent States | Edu/Research | 17,876 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 22,970 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 105,767 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 6,946,549 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | East Asia and the Pacific | Edu/Research | 86,706 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 32,779 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 23,082 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 52,470 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 21,334 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 861,835 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|---|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Russia and the Newly Independent States | Edu/Research | 17,949 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 327,320 | Check/Wire | | N/A | N/A |

| Form 990 Schedule F Part II - Grants or Entities Outside The United States | | | | | | | | |
|--|---|--------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | Sub-Saharan Africa | Edu/Research | 94,089 | Check/Wire | | N/A | N/A |
| | | Sub-Saharan Africa | Edu/Research | 161,137 | Check/Wire | | N/A | N/A |

Form 990 Schedule F Part II - Grants or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|---|--|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | Europe (Including Iceland and Greenland) | Edu/Research | 35,000 | CHECK/WIRE | | N/A | N/A |
| | | Europe (Including Iceland and Greenland) | Edu/Research | 17,705 | CHECK/WIRE | | N/A | N/A |

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

| | | (a) Event #1 | (b) Event #2 | (c) Other events | (d) Total events |
|-----------------|--|------------------------------------|--------------------------------------|-----------------------------|---------------------------------|
| | | AWARDS GALA (event type) | AWARDS DINNER (event type) | 35 (total number) | (add col. (a) through col. (c)) |
| Revenue | 1 Gross receipts | 1,317,000 | 967,376 | 3,553,658 | 5,838,034 |
| | 2 Less: Contributions | 534,000 | 865,226 | 3,071,577 | 4,470,803 |
| | 3 Gross income (line 1 minus line 2) | 783,000 | 102,150 | 482,081 | 1,367,231 |
| | | | | | |
| Direct Expenses | 4 Cash prizes | | | | |
| | 5 Noncash prizes | 1,097 | 3,000 | | 4,097 |
| | 6 Rent/facility costs | 76,000 | 218,705 | | 294,705 |
| | 7 Food and beverages | 160,761 | | | 160,761 |
| | 8 Entertainment | 49,325 | | | 49,325 |
| | 9 Other direct expenses | 211,828 | 59,255 | | 271,083 |
| | 10 Direct expense summary. Add lines 4 through 9 in column (d) ▶ | | | | 779,971 |
| | 11 Net income summary. Subtract line 10 from line 3, column (d) ▶ | | | | 587,260 |

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

| | | (a) Bingo | (b) Pull tabs/Instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming (add col.(a) through col.(c)) |
|-----------------|---|---|---|---|--|
| Revenue | 1 Gross revenue | | | | |
| | 2 Cash prizes | | | | |
| | 3 Noncash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| Direct Expenses | 6 Volunteer labor | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | |
| | 7 Direct expense summary. Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶ | | | | |

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

| | | | |
|------------|--|-----------------------------------|--|
| 11 | Does the organization conduct gaming activities with nonmembers? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 12 | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 13 | Indicate the percentage of gaming activity conducted in: | | |
| a | The organization's facility | 13a | % |
| b | An outside facility | 13b | % |
| 14 | Enter the name and address of the person who prepares the organization's gaming/special events books and records: | | |
| | Name ► | | |
| | Address ► | | |
| 15a | Does the organization have a contract with a third party from whom the organization receives gaming revenue? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| b | If "Yes," enter the amount of gaming revenue received by the organization ► \$ and the amount of gaming revenue retained by the third party ► \$ | | |
| c | If "Yes," enter name and address of the third party: | | |
| | Name ► | | |
| | Address ► | | |
| 16 | Gaming manager information: | | |
| | Name ► | | |
| | Gaming manager compensation ► \$ | | |
| | Description of services provided ► | | |
| | <input type="checkbox"/> Director/officer | <input type="checkbox"/> Employee | <input type="checkbox"/> Independent contractor |
| 17 | Mandatory distributions: | | |
| a | Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| b | Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ | | |

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

| Return Reference | Explanation |
|------------------|-------------|
|------------------|-------------|

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I
(Form 990)

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Open to Public
Inspection

Department of the
Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|--|---------|---------------------------------|--------------------------|-----------------------------------|---|---------------------------------------|------------------------------------|
| (1) See Additional Data | | | | | | | |
| (2) | | | | | | | |
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| (6) | | | | | | | |
| (7) | | | | | | | |
| (8) | | | | | | | |
| (9) | | | | | | | |
| (10) | | | | | | | |
| (11) | | | | | | | |
| (12) | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 353

3 Enter total number of other organizations listed in the line 1 table 1

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of noncash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of noncash assistance |
|---|--------------------------|--------------------------|----------------------------------|---|---------------------------------------|
| (1) CCS NANCY RUPP SCHOLARSHIPS | 2 | 7,000 | | N/A | N/A |
| (2) STUDENT TUITION GRANTS AND SCHOLARSHIPS | 15649 | 623,991,106 | | N/A | N/A |
| (2) | | | | | |
| (3) | | | | | |
| (4) | | | | | |
| (5) | | | | | |
| (6) | | | | | |
| (7) | | | | | |

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

| Return Reference | Explanation |
|---|--|
| DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS | FORM 990, SCHEDULE I, PART I, LINE 2 THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS. THE POLICY MANDATES MONITORING IN TWO AREAS: (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD. THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY: - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS; - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET; - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY; AND - INITIATING AUDITS, WHEN NECESSARY. |

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CORNELL UNIVERSITY MEDICAL COLLEGE 525 E 68TH ST NEW YORK, NY 10065 | 13-1623978 | 501(C)(3) | 6,240,451 | | N/A | N/A | Research |
| NEW YORK UNIVERSITY BOX 144 60 Washington Sq 02114 NEW YORK, NY 10012 | 13-5562308 | 501(C)(3) | 2,718,392 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| MASSACHUSETTS GENERAL HOSPITAL 55 Fruit St BOSTON, MA 02114 | 04-2697983 | 501(C)(3) | 2,314,547 | | N/A | N/A | Research |
| NEW YORK BLOOD CENTER 310 E 67TH St NEW YORK, NY 10065 | 13-1949477 | 501(C)(3) | 2,092,301 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF WASHINGTON Box 359505 SEATTLE, WA 98195 | 91-6001537 | 501(C)(3) | 2,078,194 | | N/A | N/A | Research |
| RSCH FNDT OF THE STATE UNI OF NY PO BOX 9 ALBANY, NY 12201 | 14-1368361 | 501(C)(3) | 2,039,186 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI 1 GUSTAVE L LEVY PL NEW YORK, NY 10029 | 13-6171197 | 501(C)(3) | 1,982,494 | | N/A | N/A | Research |
| REGENTS UC SAN DIEGO 9500 GILMAN Dr LA JOLLA, CA 92093 | 95-6006144 | 501(C)(3) | 1,959,951 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| DUKE UNIVERSITY BOX 90660 DURHAM, NC 27708 | 56-0532129 | 501(C)(3) | 1,910,246 | | N/A | N/A | Research |
| UNIVERSITY OF PITTSBURGH 128 N Craig St PITTSBURGH, PA 15260 | 25-0965591 | 501(C)(3) | 1,859,986 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF CALIFORNIA SAN FRANCISCO 220 Montgomery St SAN FRANCISCO, CA 94104 | 94-6036493 | 501(C)(3) | 1,848,189 | | N/A | N/A | Research |
| UNIVERSITY OF CALIFORNIA at BERKELEY 2195 HEARST Ave BERKELEY, CA 94720 | 94-6002123 | 501(C)(3) | 1,830,919 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| RESEARCH FOUNDATION OF THE CUNY 230 W 41ST ST NEW YORK, NY 10036 | 13-1988190 | 501(C)(3) | 1,770,044 | | N/A | N/A | Research |
| JOHNS HOPKINS UNIVERSITY 3910 Keswick Rd BALTIMORE, MD 21211 | 52-0595110 | 501(C)(3) | 1,668,875 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF PENNSYLVANIA 3451 Walnut St PHILADELPHIA, PA 19104 | 23-1352685 | 501(C)(3) | 1,459,359 | | N/A | N/A | Research |
| BAYLOR COLLEGE OF MEDICINE 1 BAYLOR PLAZA HOUSTON, TX 77030 | 74-1613878 | 501(C)(3) | 1,386,442 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| MEMORIAL SLOAN-KETTERING CANCER CENTER PO Box 27106 NEW YORK, NY 10087 | 13-1924236 | 501(C)(3) | 1,364,536 | | N/A | N/A | Research |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA 120 THEORY IRVINE, CA 92697 | 95-2226406 | 501(C)(3) | 1,283,144 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| STANFORD UNIVERSITY PO BOX 44253 SAN FRANCISCO, CA 94144 | 94-1156365 | 501(C)(3) | 1,267,090 | | N/A | N/A | Research |
| UNIVERSITY OF CINCINNATI PO BOX 210641 CINCINNATI, OH 45221 | 31-6000989 | 501(C)(3) | 1,188,374 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125 | 95-1643307 | 501(C)(3) | 1,183,646 | | N/A | N/A | Research |
| ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVE BRONX, NY 10461 | 47-2209056 | 501(C)(3) | 1,104,068 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| NEW YORK CITY HEALTH AND HOSPITALS 125 WORTH ST NEW YORK, NY 10013 | 13-2655001 | 501(C)(3) | 1,048,679 | | N/A | N/A | Research |
| UNIVERSITY OF UTAH 332 S 1400 E SALT LAKE CITY, UT 84112 | 87-6000525 | 501(C)(3) | 1,046,764 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF MARYLAND 7809 Regents Dr COLLEGE PARK, MD 20742 | 52-6002033 | 501(C)(3) | 1,034,478 | | N/A | N/A | Research |
| RUTGERS UNIVERSITY 100 Struble RD Branchville, NJ 07826 | 22-6001086 | 501(C)(3) | 1,016,260 | | N/A | N/A | Research |

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| REGENTS UCLA 10889 Wilshire Blvd LOS ANGELES, CA 90095 | 95-6006143 | 501(C)(3) | 996,775 | | N/A | N/A | Research |
| THE BRIGHAM AND WOMENS HOSPITAL INC 75 Francis St BOSTON, MA 02115 | 04-2312909 | 501(C)(3) | 970,585 | | N/A | N/A | Research |

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| ROCKEFELLER UNIVERSITY 1230 YORK AVE NEW YORK, NY 10065 | 13-1624158 | 501(C)(3) | 898,803 | | N/A | N/A | Research |
| J DAVID GLADSTONE INSTITUTES 1650 OWENS ST SAN FRANCISCO, CA 94158 | 23-7203666 | 501(C)(3) | 874,183 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| REGENTS OF THE UNIVERSITY OF MICHIGAN 500 S State St ANN ARBOR, MI 48109 | 38-6006309 | 501(C)(3) | 872,660 | | N/A | N/A | Research |
| UNIVERSITY OF ARIZONA 1303 E UNIV BLVD TUCSON, AZ 85719 | 86-6004791 | 501(C)(3) | 872,290 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| MEDICAL UNIVERSITY OF SOUTH CAROLINA 19 HAGOOD AVE CHARLESTON, SC 29425 | 57-6000722 | 501(C)(3) | 866,309 | | N/A | N/A | Research |
| UNIVERSITY OF MIAMI PO BOX 248106 CORAL GABLES, FL 33124 | 59-0624458 | 501(C)(3) | 859,057 | | N/A | N/A | Research |

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| FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVE N SEATTLE, WA 98109 | 23-7156071 | 501(C)(3) | 787,375 | | N/A | N/A | Research |
| FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH 972 BRUSH HOLLOW RD WESTBURY, NY 11590 | 11-2673595 | 501(C)(3) | 782,575 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| INDIANA UNIVERSITY 400 E 7th St BLOOMINGTON, IN 47405 | 35-6001673 | 501(C)(3) | 779,908 | | N/A | N/A | Research |
| MAYO CLINIC IN JACKSONVILLE 4500 SAN PABLO Rd JACKSONVILLE, FL 32224 | 59-3337028 | 501(C)(3) | 756,569 | | N/A | N/A | Research |

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| MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS Ave CAMBRIDGE, MA 02139 | 04-2103594 | 501(C)(3) | 752,557 | | N/A | N/A | Research |
| RUSH UNIVERSITY MEDICAL CENTER 1700 W VAN BUREN CHICAGO, IL 60612 | 36-2174823 | 501(C)(3) | 740,482 | | N/A | N/A | Research |

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| BOSTON MEDICAL CENTER 1 Boston Medical Center Place BOSTON, MA 02118 | 04-3314093 | 501(C)(3) | 732,857 | | N/A | N/A | Research |
| HEBREW HOME FOR THE AGED AT RIVERDALE 5901 PALISADE AVE RIVERDALE, NY 10471 | 13-1739971 | 501(C)(3) | 722,441 | | N/A | N/A | Research |

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| THE BROAD INSTITUTE INC 415 MAIN ST CAMBRIDGE, MA 01242 | 26-3428781 | 501(C)(3) | 719,120 | | N/A | N/A | Research |
| UNIVERSITY OF NORTH CAROLINA 103 S Building CHAPEL HILL, NC 27599 | 56-6001393 | 501(C)(3) | 708,025 | | N/A | N/A | Research |

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| PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASS AVE BOSTON, MA 02138 | 04-2103580 | 501(C)(3) | 705,015 | | N/A | N/A | Research |
| WASHINGTON UNIVERSITY ST LOUIS 1 Brookings Dr ST LOUIS, MO 63130 | 43-0653611 | 501(C)(3) | 700,532 | | N/A | N/A | Research |

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| THE RAND CORPORATION 1776 MAIN St SANTA MONICA, CA 90407 | 95-1958142 | 501(C)(3) | 697,010 | | N/A | N/A | Research |
| UNIVERSITY OF CHICAGO 5235 S Harper Court CHICAGO, IL 60615 | 36-2177139 | 501(C)(3) | 693,302 | | N/A | N/A | Research |

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| NORTHWESTERN UNIVERSITY 633 Clark St EVANSTON, IL 60208 | 36-2167817 | 501(C)(3) | 688,272 | | N/A | N/A | Research |
| CORNELL UNIVERSITY 377 PINE TREE RD ITHACA, NY 14850 | 15-0532082 | 501(C)(3) | 668,698 | | N/A | N/A | Research |

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| GEORGETOWN UNIVERSITY BOX 571164 WASHINGTON, DC 20057 | 53-0196603 | 501(C)(3) | 654,877 | | N/A | N/A | Research |
| WOODS HOLE OCEANOGRAPHIC INSTITUTION 569 WOODS HOLE Rd WOODS HOLE, MA 02543 | 04-2105850 | 501(C)(3) | 602,737 | | N/A | N/A | Research |

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| CHILDRENS HOSPITAL BOSTON 300 LONGWOOD AVE BOSTON, MA 02115 | 04-2774441 | 501(C)(3) | 578,963 | | N/A | N/A | Research |
| UNIVERSITY OF VIRGINIA 1001 N EMMET ST E CHARLOTTESVILL, VA 22904 | 54-6046419 | 501(C)(3) | 560,826 | | N/A | N/A | Research |

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| UNIVERSITY OF LOUISVILLE 2301 S 3rd St LOUISVILLE, KY 40292 | 61-1029626 | 501(C)(3) | 553,182 | | N/A | N/A | Research |
| UNIVERSITY OF COLORADO 1800 N GRANT ST DENVER, CO 80203 | 84-6000555 | 501(C)(3) | 551,094 | | N/A | N/A | Research |

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| UNIVERSITY OF PUERTO RICO PO BOX 365067 SAN JUAN, PR 00936 | 66-0433762 | 501(C)(3) | 541,053 | | N/A | N/A | Research |
| ANN & ROBERT H LURIE CHILDRENS HOSPITAL 225 E CHICAGO Ave CHICAGO, IL 60611 | 36-2170833 | 501(C)(3) | 535,194 | | N/A | N/A | Research |

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| BOSTON UNIVERSITY 595 Commonwealth Ave BOSTON, MA 02215 | 04-2103547 | 501(C)(3) | 528,672 | | N/A | N/A | Research |
| PENNSYLVANIA STATE UNIVERSITY One Old Main UNIVERSITY PARK, PA 16802 | 24-6000376 | 501(C)(3) | 527,437 | | N/A | N/A | Research |

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| UNIVERSITY OF TEXAS PO BOX 4786-750 HOUSTON, TX 77210 | 74-6000949 | 501(C)(3) | 511,644 | | N/A | N/A | Research |
| HACKENSACK UNIVERSITY MEDICAL CENTER 1350 CAMPUS PARKWAY NEPTUNE, NJ 07753 | 22-1487576 | 501(C)(3) | 487,319 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| BATTELLE PO BOX 84391 SEATTLE, WA 98124 | 31-4379427 | 501(C)(3) | 482,144 | | N/A | N/A | Research |
| OHIO STATE UNIVERSITY RESEARCH FNDT 1960 KENNY Rd COLUMBUS, OH 43210 | 31-6025986 | 501(C)(3) | 478,217 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| LA JOLLA INST FOR ALLERGY & IMMUNOLOGY 9420 ATHENA CIRCLE LA JOLLA, CA 92037 | 33-0328688 | 501(C)(3) | 471,330 | | N/A | N/A | Research |
| UNIVERSITY OF TEXAS AT AUSTIN PO Box 7458 AUSTIN, TX 78713 | 74-6000203 | 501(C)(3) | 467,534 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF ALABAMA AT BIRMINGHAM 1530 3rd Ave BIRMINGHAM, AL 35294 | 63-6005396 | 501(C)(3) | 449,916 | | N/A | N/A | Research |
| Albany Medical CenterCollege 47 NEW SCOTLAND AVE ALBANY, NY 12208 | 14-1338310 | 501(C)(3) | 417,224 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF KANSAS 2385 IRVING HILL RD LAWRENCE, KS 66045 | 48-0680117 | 501(C)(3) | 387,635 | | N/A | N/A | Research |
| UNIVERSITY OF MISSOURI PO BOX 807012 KANSAS CITY, MO 64180 | 43-6003859 | 501(C)(3) | 381,753 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF CALIFORNIA SANTA BARBARA 3201 SAASB BUILDING SANTA BARBARA, CA 93106 | 95-6006145 | 501(C)(3) | 374,748 | | N/A | N/A | Research |
| THE SCRIPPS RESEARCH INSTITUTE 10550 N Torrey Pines Rd LA JOLLA, CA 92037 | 33-0435954 | 501(C)(3) | 354,782 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| IDEO ORG 444 SPEAR ST SAN FRANCISCO, CA 94105 | 27-3755556 | 501(C)(3) | 353,578 | | N/A | N/A | Research |
| UNIVERSITY OF IOWA JESSUP HALL IOWA CITY, IA 52242 | 42-6004813 | 501(C)(3) | 352,277 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVE CLEVELAND, OH 44106 | 34-1018992 | 501(C)(3) | 348,827 | | N/A | N/A | Research |
| REGENTS OF THE UNIVERSITY OF MINNESOTA 1300 S 2ND ST MINNEAPOLIS, MN 55454 | 41-6007513 | 501(C)(3) | 335,242 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| PRINCETON UNIVERSITY 701 CARNEGIE CTR PRINCETON, NJ 08540 | 21-0634501 | 501(C)(3) | 321,624 | | N/A | N/A | Research |
| NEW YORK HOSPITAL OF QUEENS 56-45 MAIN ST FLUSHING, NY 11355 | 11-1839362 | 501(C)(3) | 320,137 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| Clinical Directors Network Inc 5 WEST 37TH ST NEW YORK, NY 10018 | 14-1717344 | 501(C)(3) | 305,867 | | N/A | N/A | Research |
| HEALTH RESEARCH INCORPORATED 150 BROADWAY MENANDS, NY 12204 | 14-1402155 | 501(C)(3) | 300,376 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| TEXAS A&M UNIVERSITY 400 HRVY MITCHEL PKWY S COLLEGE STATION, TX 77845 | 74-6000531 | 501(C)(3) | 296,636 | | N/A | N/A | Research |
| MAYO CLINIC ROCHESTER 200 FIRST ST SW ROCHESTER, MN 55902 | 41-6011702 | 501(C)(3) | 290,578 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| GEORGIA INSTITUTE OF TECHNOLOGY PO BOX 100117 ATLANTA, GA 30384 | 58-0603146 | 501(C)(3) | 285,448 | | N/A | N/A | Research |
| UNIVERSITY OF WISCONSIN MADISON 538 MILWAUKEE, WI 53278 | 39-6006492 | 501(C)(3) | 280,162 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| SAINT PETERS UNIVERSITY HOSPITAL 254 EON AVE NEW BRUNSWICK, NJ 08901 | 22-1487330 | 501(C)(3) | 266,072 | | N/A | N/A | Research |
| OREGON STATE UNIVERSITY 312 KERR ADMIN BDG CORVALLIS, OR 97331 | 61-1730890 | 501(C)(3) | 261,894 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| CARNEGIE-MELLON UNIVERSITY PO BOX 371032M PITTSBURGH, PA 15250 | 25-0969449 | 501(C)(3) | 257,205 | | N/A | N/A | Research |
| UNIVERSITY OF ILLINOIS 506 S WRIGHT St URBANA, IL 61801 | 37-6000511 | 501(C)(3) | 255,093 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| NAZARENE COMPASSIONATE MINISTRIES INC 17001 PRAIRIE STAR PKWY LENEXA, KS 66220 | 43-1550318 | 501(C)(3) | 248,326 | | N/A | N/A | Research |
| DREXEL UNIV 3141 Chestnut St PHILADELPHIA, PA 19104 | 23-1352630 | 501(C)(3) | 244,618 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| WAKE FOREST UNIVERSITY MEDICAL CTR BLVD WINSTON SALEM, NC 27157 | 56-0532138 | 501(C)(3) | 243,147 | | N/A | N/A | Research |
| GEORGE WASHINGTON UNIVERSITY 45155 RESEARCH PI ASHBURN, VA 20147 | 53-0196584 | 501(C)(3) | 240,126 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| FOX CHASE CANCER CENTER 333 Cottman Ave PHILADELPHIA, PA 19111 | 23-2003072 | 501(C)(3) | 236,317 | | N/A | N/A | Research |
| DANA FARBER CANCER INSTITUTE 450 BROOKLINE Ave BOSTON, MA 02215 | 04-2263040 | 501(C)(3) | 235,609 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| STEVENS INSTITUTE OF TECHNOLOGY 1 CASTLE PT ON HUDSON HOBOKEN, NJ 07030 | 22-1487354 | 501(C)(3) | 234,886 | | N/A | N/A | Research |
| CEDAR SINAI MEDICAL CENTER 6500 WILSHIRE BLVD LOS ANGELES, CA 90048 | 95-1644600 | 501(C)(3) | 232,381 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF CONNECTICUT 438 WHITNEY RD STORRS, CT 06269 | 58-2065579 | 501(C)(3) | 219,903 | | N/A | N/A | Research |
| UNIVERSITY OF ALASKA AT FAIRBANKS PO BOX 757880 FAIRBANKS, AK 99775 | 92-6000147 | 501(C)(3) | 215,135 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| CHILDRENS HOSPITAL OF PHILADELPHIA Civic CTR Blvd PHILADELPHIA, PA 19104 | 23-1352166 | 501(C)(3) | 850,827 | | N/A | N/A | Research |
| PUBLIC HEALTH FOUNDATION ENTERPRISES INC 13300 CROSSROADS PKWY N CITY OF INDUSTRY, CA 91746 | 95-2557063 | 501(C)(3) | 210,179 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF HOUSTON SYS PO BOX 988 HOUSTON, TX 77001 | 74-6001399 | 501(C)(3) | 206,939 | | N/A | N/A | Research |
| ALBANY COLLEGE OF PHARMACY SCIENCES 106 NEW SCOTLAND Ave ALBANY, NY 12208 | 14-1423161 | 501(C)(3) | 205,998 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF SOUTHERN CALIFORNIA 3500 S FIGUEROA St LOS ANGELES, CA 90089 | 95-1642394 | 501(C)(3) | 202,526 | | N/A | N/A | Research |
| CHRISTIANA CARE HEALTH SYSTEM 200 HYGEIA DR NEWARK, DE 19713 | 51-0103684 | 501(C)(3) | 199,706 | | N/A | N/A | Research |

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| VANDERBILT UNIVERSITY 2301 Vanderbilt Pl NASHVILLE, TN 37235 | 62-0476822 | 501(C)(3) | 198,179 | | N/A | N/A | Research |
| BRANDEIS UNIVERSITY 415 S St WALTHAM, MA 02453 | 04-2103552 | 501(C)(3) | 197,688 | | N/A | N/A | Research |

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| UNIVERSITY OF NOTRE DAME 836A GRACE HALL NOTRE DAME, IN 46556 | 35-0868188 | 501(C)(3) | 190,775 | | N/A | N/A | Research |
| AMERICAN ACADEMY OF PEDIATRICS 345 Park Blvd Itasca, IL 60143 | 36-2275597 | 501(C)(3) | 189,071 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF ROCHESTER 300 E River Rd ROCHESTER, NY 14627 | 16-0743209 | 501(C)(3) | 188,919 | | N/A | N/A | Research |
| CHILDRENS HOSPITAL OF LOS ANGELES 4650 SUNSET Blvd LOS ANGELES, CA 90027 | 95-1690977 | 501(C)(3) | 185,514 | | N/A | N/A | Research |

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| CITY COLLEGE OF NY 160 Convent Ave NEW YORK, NY 10031 | 13-6000565 | 501(C)(3) | 183,530 | | N/A | N/A | Research |
| ST LOUIS COLLEGE OF PHARMACY 4588 PARKVIEW PI ST LOUIS, MO 63304 | 43-0652675 | 501(C)(3) | 182,399 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| MDRC 16 E 34TH ST NEW YORK, NY 10016 | 23-7379473 | 501(C)(3) | 181,455 | | N/A | N/A | Research |
| YESHIVA UNIVERSITY 500 W 185th St NEW YORK, NY 10033 | 13-1624225 | 501(C)(3) | 174,127 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF MASSACHUSETTS 333 S St blank, MA 01545 | 04-3167352 | 501(C)(3) | 171,770 | | N/A | N/A | Research |
| MEDSTAR HEALTH RESEARCH INSTITUTE PO BOX 418223 BOSTON, MA 02241 | 52-6056274 | 501(C)(3) | 168,438 | | N/A | N/A | Research |

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| UNIVERSITY OF NORTH TEXAS 3500 CAMP BOWIE BLVD FORT WORTH, TX 76107 | 75-6064033 | 501(C)(3) | 166,665 | | N/A | N/A | Research |
| SDSN ASSOCIATION 475 RIVERSIDE DR NEW YORK, NY 10115 | 47-3511012 | 501(C)(3) | 160,714 | | N/A | N/A | Research |

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| TUFTS UNIVERSITY Ballou Hall Medford, MA 02155 | 04-2103634 | 501(C)(3) | 160,088 | | N/A | N/A | Research |
| NEW YORK GENOME CTR INC 101 Ave OF THE AMERICAS NEW YORK, NY 10013 | 80-0631734 | 501(C)(3) | 159,473 | | N/A | N/A | Research |

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| University of California at Santa Cruz 1156 HIGH ST SANTA CRUZ, CA 95064 | 94-1539563 | 501(C)(3) | 153,291 | | N/A | N/A | Research |
| CENTER FOR COMMUNITY CHANGE 1536 U ST NW WASHINGTON, DC 20009 | 52-0888113 | 501(C)(3) | 150,000 | | N/A | N/A | Research |

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| NAACP LEGAL DEFENSE & EDUCATIONAL FUND 40 RECTOR ST NEW YORK, NY 10006 | 13-1655255 | 501(C)(3) | 150,000 | | N/A | N/A | Research |
| UNIVERSITY OF NEW MEXICO HEALTH SCIENCES 1 UNIV OF NM ALBUQUERQUE, NM 87131 | 85-6000642 | 501(C)(3) | 144,313 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| HASTINGS CTR 21 MALCOLM GORDON Rd GARRISON, NY 10524 | 13-2662222 | 501(C)(3) | 144,005 | | N/A | N/A | Research |
| MCLAUGHLIN RESEARCH INSTITUTE 1520 23RD ST S GREAT FALLS, MT 59405 | 81-0459235 | 501(C)(3) | 138,687 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| RICE UNIVERSITY PO BOX 1892 HOUSTON, TX 77251 | 74-1109620 | 501(C)(3) | 136,518 | | N/A | N/A | Research |
| ARIZONA STATE UNIVERSITY PO BOX 876011 TEMPE, AZ 85287 | 86-0196696 | 501(C)(3) | 136,305 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| INST FOR ADVANCED STUDY 1 EINSTEIN Dr PRINCETON, NJ 08540 | 21-0634988 | 501(C)(3) | 135,788 | | N/A | N/A | Research |
| KENNEDY MEDICAL GROUP PRACTICE PC 500 MARLBORO AVE CHERRY HILL, NJ 08002 | 46-1420853 | 501(C)(3) | 135,695 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| Henry Jackson Foundation 6720-A ROCKLEDGE Dr BETHESDA, MD 20817 | 52-1317896 | 501(C)(3) | 132,851 | | N/A | N/A | Research |
| BUSARA CENTER FOR BEHAVIORAL ECONOMICS PO BOX 1340 PRINCETON, NJ 08540 | 46-2695042 | 501(C)(3) | 130,964 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| SAGE BIONETWORKS 2901 THIRD Ave SEATTLE, WA 98121 | 26-4489946 | 501(C)(3) | 129,404 | | N/A | N/A | Research |
| RESEARCH TRIANGLE INSTITUTE PO BOX 900002 RALEIGH, NC 27675 | 56-0686338 | 501(C)(3) | 128,865 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| CHILD MIND INSTITUTE 445 PARK Ave NEW YORK, NY 10022 | 80-0478843 | 501(C)(3) | 116,355 | | N/A | N/A | Research |
| UPPER ROOM AIDS MINISTRY INC 306 LENOX Ave NEW YORK, NY 10027 | 13-3841701 | 501(C)(3) | 114,092 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| NATIONAL NETWORK OF PUBLIC HEALTH 1100 POYDRAS St NEW ORLEANS, LA 70163 | 72-1505359 | 501(C)(3) | 113,021 | | N/A | N/A | Research |
| FLORIDA STATE UNIVERSITY 874 TRADITIONS WAY TALLAHASSEE, FL 32306 | 59-1961248 | 501(C)(3) | 110,509 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| THE UNIVERSITY OF WEST FLORIDA 11000 UNIVERSITY PKWY PENSACOLA, FL 32514 | 59-2976783 | 501(C)(3) | 109,316 | | N/A | N/A | Research |
| AFRICAN POPULATION HEALTH RCH CTR QUARRY LAKE DR S BALTIMORE, MD 21209 | 61-1608361 | 501(C)(3) | 108,204 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| THE UNIVERSITY OF CENTRAL FLORIDA 4000 CTRL Florida Blvd ORLANDO, FL 32816 | 59-2924021 | 501(C)(3) | 107,552 | | N/A | N/A | Research |
| MEDICAL COLLEGE OF WISCONSIN 8701 WATERTOWN PLANK RD MILWAUKEE, WI 53226 | 39-0806261 | 501(C)(3) | 107,530 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| OCHSNER CLINIC FOUNDATION 1514 JEFFERSON HGWY JEFFERSON, LA 70121 | 72-0502505 | 501(C)(3) | 107,351 | | N/A | N/A | Research |
| LOUISIANA STATE UNIVERSITY 204 THOMAS BOYD BATON ROUGE, LA 70803 | 72-6000848 | 501(C)(3) | 105,640 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| VISITING NURSE SERVICE OF NEW YORK 107 E 70th St NEW YORK, NY 10021 | 13-3189926 | 501(C)(3) | 103,530 | | N/A | N/A | Research |
| PURDUE UNIVERSITY 23510 NETWORK PI CHICAGO, IL 60673 | 35-6002041 | 501(C)(3) | 100,053 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| OREGON HEALTH AND SCIENCES UNIV 0690 SW BANCROFT PORTLAND, OR 07239 | 93-1176109 | 501(C)(3) | 98,226 | | N/A | N/A | Research |
| THOMAS JEFFERSON UNIVERSITY 1020 WALNUT ST PHILADELPHIA, PA 19107 | 23-1352651 | 501(C)(3) | 97,210 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| BATEMAN HORNE CENTER 24 S 1100 E SALT LAKE CITY, UT 84102 | 87-0687610 | 501(C)(3) | 96,840 | | N/A | N/A | Research |
| BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE Ave BOSTON, MA 02215 | 04-2103881 | 501(C)(3) | 95,220 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| BLOODWORKS 921 TERRY AVE SEATTLE, WA 98104 | 91-1019655 | 501(C)(3) | 92,351 | | N/A | N/A | Research |
| BROOKHAVEN NATIONAL LABORATORY 20 BROOKHAVEN Ave UPTON, NY 11973 | 11-1630900 | 501(C)(3) | 88,735 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| Creighton University 2500 CALIFORNIA PLZ OMAHA, NE 68178 | 47-0376583 | 501(C)(3) | 86,252 | | N/A | N/A | Research |
| FLORIDA ATLANTIC UNIVERSITY 777 Glades Rd BOCA RATON, FL 33431 | 65-0385507 | 501(C)(3) | 85,796 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| AMERICAN INSTS FOR RSCH IN THE BEHAV SCI 1000 T JEFFERSON St NW WASHINGTON, DC 20007 | 25-0965219 | 501(C)(3) | 82,903 | | N/A | N/A | Research |
| UNITED NATIONS FOUNDATION INC 1750 PENNSLYVANIA AVE NW WASHINGTON, DC 20006 | 58-2368165 | 501(C)(3) | 82,871 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| KAISER PERMANENTE 1800 HARRISON ST OAKLAND, CA 94612 | 94-1105628 | 501(C)(3) | 82,103 | | N/A | N/A | Research |
| AI4ALL PO BOX 30114 OAKLAND, CA 94604 | 82-2792979 | 501(C)(3) | 81,969 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| RIVERSIDE RESEARCH 156 WILLIAM ST NEW YORK, NY 10038 | 13-2593244 | 501(C)(3) | 81,682 | | N/A | N/A | Research |
| HOSPITAL FOR SPECIAL SURGERY 535 E 70TH St NEW YORK, NY 10021 | 13-1624135 | 501(C)(3) | 77,647 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| WESLEYAN UNIVERSITY 237 HIGH ST MIDDLETOWN, CT 06457 | 06-0646959 | 501(C)(3) | 76,957 | | N/A | N/A | Research |
| BIRMINGHAM AIDS OUTREACH INC 205 32ND ST S BIRMINGHAM, AL 35233 | 63-0948495 | 501(C)(3) | 75,520 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| UNIVERSITY OF HAWAII 2440 CAMPUS Rd HONOLULU, HI 96822 | 99-6000354 | 501(C)(3) | 74,315 | | N/A | N/A | Research |
| THE UNIVERSITY CORPORATION 18111 NORDHOFF ST NORTHRIDGE, CA 91330 | 95-9992732 | 501(C)(3) | 70,116 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| UNIVERSITY OF TEXAS SOUTHWESTERN PO BOX 841765 DALLAS, TX 75284 | 75-6002868 | 501(C)(3) | 69,743 | | N/A | N/A | Research |
| EMORY UNIVERSITY PO BOX 935084 ATLANTA, GA 31193 | 58-0566256 | 501(C)(3) | 68,721 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| STATE OF CO SCH OF MINES PO BOX 911911 DENVER, CO 80291 | 84-6000551 | 501(C)(3) | 67,117 | | N/A | N/A | Research |
| MONTEFIORE MEDICAL CENTER 111 E 210TH St BRONX, NY 10467 | 13-1740114 | 501(C)(3) | 66,644 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| COLORADO COLLEGE 14 E CACHE LA POUDRE COLORADO SPRINGS, CO 80903 | 84-0402510 | 501(C)(3) | 66,120 | | N/A | N/A | Research |
| SANFORD BURNHAM 10901 N TORREY PINES Rd LA JOLLA, CA 92037 | 51-0197108 | 501(C)(3) | 65,708 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| MONTCLAIR STATE UNIVERSITY 1 NORMAL Ave MONTCLAIR, NJ 07043 | 22-6017209 | 501(C)(3) | 64,456 | | N/A | N/A | Research |
| VERA INSTITUTE OF JUSTICE 233 BROADWAY NEW YORK, NY 10279 | 13-1941627 | 501(C)(3) | 63,149 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| UNIVERSITY OF MAINE 5717 CORBETT HALL ORONO, ME 04669 | 01-6000769 | 501(C)(3) | 61,622 | | N/A | N/A | Research |
| BIGELOW LABORATORY FOR OCEAN SCIENCES 60 BIGELOW DR E BOOTHBAY, ME 04544 | 01-6006001 | 501(C)(3) | 61,548 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| CHILDRENS HOSPITAL MEDICAL CENTER 3333 BURNET AVE CINCINNATI, OH 45229 | 31-0833936 | 501(C)(3) | 60,935 | | N/A | N/A | Research |
| MICHIGAN STATE UNIVERSITY 426 AUDITORIUM RD EAST LANSING, MI 48854 | 38-6005984 | 501(C)(3) | 57,750 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| UNI CORP FOR ATMOSPHERIC RSCH PO BOX 3000 BOULDER, CO 80307 | 84-0412668 | 501(C)(3) | 57,468 | | N/A | N/A | Research |
| MAGEE-WOMENS RESEARCH INST & FNDT 3339 WARD St PITTSBURGH, PA 15213 | 25-1462312 | 501(C)(3) | 57,335 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| COLORADO STATE UNIVERSITY 2002 CAMPUS DELIVERY FORT COLLINS, CO 80523 | 84-6000545 | 501(C)(3) | 57,095 | | N/A | N/A | Research |
| UNIVERSITY OF SOUTH FLORIDA PO BOX 864568 ORLANDO, FL 32886 | 59-3102112 | 501(C)(3) | 56,646 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| SEATTLE INST FOR BIOMED & CLINICAL RSCH 1660 S COLUMBIAN WAY SEATTLE, WA 98108 | 91-1452438 | 501(C)(3) | 56,140 | | N/A | N/A | Research |
| CALLEN-LORDE COMMUNITY HEALTH CENTER 356 W 18TH St NEW YORK, NY 10011 | 13-3409680 | 501(C)(3) | 55,787 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| FRONTIER SCIENCE AND TECHNOLOGY 4033 MAPLE RD AMHERST, NY 14226 | 16-1056814 | 501(C)(3) | 53,730 | | N/A | N/A | Research |
| ARBOR RESEARCH COLLABORATIVE FOR HEALTH 340 E HURON St ANN ARBOR, MI 48104 | 38-3289521 | 501(C)(3) | 53,652 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| UNIVERSITY OF RHODE ISLAND 70 LOWER COLLEGE Rd KINGSTON, RI 02881 | 05-6000522 | 501(C)(3) | 51,501 | | N/A | N/A | Research |
| NY HALL OF SCIENCE 47-01 111TH St QUEENS, NY 11368 | 11-2104059 | 501(C)(3) | 51,766 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| University OF SOUTH DAKOTA 501 E SAINT JOSEPH ST RAPID CITY, SD 57701 | 46-6000364 | 501(C)(3) | 50,292 | | N/A | N/A | Research |
| WAYNE STATE UNIV PO BOX 02788 DETROIT, MI 48202 | 38-6028429 | 501(C)(3) | 48,848 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| WEST VIRGINIA UNIVERSITY RESEARCH CORP PO BOX 6002 MORGANTOWN, WV 26506 | 55-0665758 | 501(C)(3) | 48,382 | | N/A | N/A | Research |
| TEXAS A&M UNIVERSITY- CORPUS CHRISTI 6300 OCEAN DR CORPUS CHRISTI, TX 78412 | 74-6000541 | 501(C)(3) | 47,315 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| NORTHERN ILLINOIS UNIVERSITY LOWDEN HALL- 203 DEKALB, IL 60115 | 36-6008480 | 501(C)(3) | 46,569 | | N/A | N/A | Research |
| NYSE ASSTN OF COUNTY HEALTH OFFCLS 1 UNITED WAY PINE W PLAZA ALBANY, NY 12205 | 14-1747742 | 501(C)(3) | 45,673 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| HUGO W MOSER RESEARCH INSTITUTE 707 NORTH BROADWAY BALTIMORE, MD 21205 | 52-1524967 | 501(C)(3) | 44,829 | | N/A | N/A | Research |
| AMERICAN UNIVERSITY OF BEIRUT 3 DAG HAMMARSKJOLD PLZ NEW YORK, NY 10017 | 13-5596846 | 501(C)(3) | 44,014 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| George Mason University 4400 UNIV Dr FAIRFAX, VA 22030 | 54-0836354 | 501(C)(3) | 43,680 | | N/A | N/A | Research |
| SOCIETY OF THORACIC SURGEONS 633 N SAINT CLAIRE ST CHICAGO, IL 60611 | 36-3022713 | 501(C)(3) | 42,906 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF DELAWARE 116 STUDENT SVCS BLDG NEWARK, DE 19716 | 51-6000297 | 501(C)(3) | 42,377 | | N/A | N/A | Research |
| UNIVERSITY OF TEXAS HSC HOUSTON PO BOX 301418 DALLAS, TX 75303 | 74-1761309 | 501(C)(3) | 41,753 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| IUP RESEARCH INSTITUTE 1179 GRANT ST INDIANA, PA 15701 | 57-1175778 | 501(C)(3) | 41,520 | | N/A | N/A | Research |
| CSU BAKERSFIELD AUXILLARY FOR SPA 9001 STOCKDALE HWY BAKERSFIELD, CA 93311 | 32-0291662 | 501(C)(3) | 40,154 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| COMMUNITIES IN SCHOOLS OF ROBESON COUNTY 2006 N PINE ST LUMBERTON, NC 28359 | 56-1792183 | 501(C)(3) | 40,000 | | N/A | N/A | Research |
| BOYS & GIRLS CLUBS OF PUERTO RICO INC PO BOX 79526 CAROLINA, PR 00984 | 66-0327584 | 501(C)(3) | 40,000 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| ENTERPRISE COMMUNITY PARTNERS 11000 BROKEN LAND PKW COLUMBIA, MD 21044 | 52-1231931 | 501(C)(3) | 40,000 | | N/A | N/A | Research |
| NORTHERN ARIZONA UNIVERSITY PO BOX 4080 FLAGSTAFF, AZ 88011 | 74-2579628 | 501(C)(3) | 39,379 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| NORTH JERSEY AIDS ALLIANCE 393 CENTRAL AVE NEWARK, NJ 07103 | 52-1592616 | 501(C)(3) | 38,842 | | N/A | N/A | Research |
| VIRGINIA INSTITUTE OF MARINE SCIENCE PO BOX 8795 WILLIAMSBURG, VA 23187 | 54-6001802 | 501(C)(3) | 37,520 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| TEXAS BIOMEDICAL RESEARCH INSTITUTE PO BOX 760549 SAN ANTONIO, TX 78245 | 74-1109630 | 501(C)(3) | 37,064 | | N/A | N/A | Research |
| TULANE UNIVERSITY 6823 ST CHARLES AVE NEW ORLEANS, LA 70118 | 72-0423889 | 501(C)(3) | 36,969 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| VIRTUA HEALTH INC 20 W STOW RD MARLTON, NY 08053 | 22-3524939 | 501(C)(3) | 36,635 | | N/A | N/A | Research |
| BARD COLLEGE 30 CAMPUS RD ANNANDALE ON HUDSON, NY 12504 | 14-1713034 | 501(C)(3) | 35,991 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| SEATTLE CHILDRENS HOSPITAL PO Box 5371 SEATTLE, WA 98124 | 91-0564748 | 501(C)(3) | 35,966 | | N/A | N/A | Research |
| GEISINGER HEALTH SYSTEM 100 N ACADEMY Ave DANVILLE, PA 17822 | 23-6291113 | 501(C)(3) | 35,683 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| COMMUNITY OPPORTUNITY FUND 144 MAIN ST COLD SPRING, NY 10516 | 81-3704165 | 501(C)(3) | 35,000 | | N/A | N/A | Research |
| BANK STREET COLLEGE OF EDUCATION 610 W 112 ST NY, NY 10025 | 13-5562167 | 501(C)(3) | 34,949 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF NEBRASKA MEDICAL CENTER PO BOX 830861 LINCOLN, NE 68583 | 47-0049123 | 501(C)(3) | 32,666 | | N/A | N/A | Research |
| MARY IMOGENE BASSETT HOPSITAL ONE ATWELL RD COOPERSTOWN, NY 13326 | 13-5596796 | 501(C)(3) | 32,482 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| North Carolina A&T State University 1601 E MARKET ST GREENSBORO, NC 27411 | 56-6000007 | 501(C)(3) | 30,154 | | N/A | N/A | Research |
| APPALACHIAN STATE UNIVERSITY PO BOX 32043 BOONE, NC 28608 | 56-1176030 | 501(C)(3) | 30,119 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| Knology Ltd 40 EXCHANGE PL NEW YORK, NY 10005 | 45-4393574 | 501(C)(3) | 29,739 | | N/A | N/A | Research |
| SAN JOSE STATE UNIVERSITY 210 N FOURTH ST SAN JOSE, CA 95112 | 94-6017638 | 501(C)(3) | 29,104 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| BURKE MED RES INST 785 MAMARONECK AVE WHITE PLAINS, NY 10605 | 13-1739937 | 501(C)(3) | 28,074 | | N/A | N/A | Research |
| DREXEL UNIVERSITY COLLEGE OF MEDICINE PO BOX 9500-1090 PHILADELPHIA, PA 19195 | 23-2979433 | 501(C)(3) | 26,725 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| TEXAS A&M UNIVERSITY AT GALVESTON 400 HARVEY MITCHELL PKWY S COLLEGE STATION, TX 77845 | 74-2125225 | 501(C)(3) | 25,710 | | N/A | N/A | Research |
| GLOBAL PRESS INSTITUTE 700 PENNSYLVANIA AVE SE WASHINGTON, DC 20003 | 20-4421980 | 501(C)(3) | 25,000 | | N/A | N/A | Research |

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| NEIGHBORHOOD REINVESTMENT CORPORATION 999 N CAPITOL St NE WASHINGTON, DC 20002 | 52-1148078 | 501(C)(3) | 24,732 | | N/A | N/A | Research |
| UNIVERSITY OF WISCONSIN SYSTEM PO BOX 500 MILWAUKEE, WI 53201 | 39-1805963 | 501(C)(3) | 24,456 | | N/A | N/A | Research |

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| NEW YORK MEDICAL COLLEGE 40 SUNSHINE COTTAGE Rd VALHALLA, NY 10595 | 13-1099420 | 501(C)(3) | 24,217 | | N/A | N/A | Research |
| NEW MEXICO INSTITUTE OF MINING 801 LEROY PI SOCORRO, NM 87801 | 85-6000411 | 501(C)(3) | 24,034 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF GEORGIA 310 EAST CAMPUS RD ATHENS, GA 30602 | 58-1353149 | 501(C)(3) | 23,153 | | N/A | N/A | Research |
| NATIONAL BUREAU OF ECONOMIC RESEARCH INC 1050 MASSACHUSETTS AVE CAMBRIDGE, MA 02138 | 13-1641075 | 501(C)(3) | 22,067 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| THE FAMILIAL HYPERCHOLESTEROLEMIA 959 E WALNUT ST PASADENA, CA 91106 | 45-4597425 | 501(C)(3) | 21,940 | | N/A | N/A | Research |
| NORTH CAROLINA STATE UNIVERSITY BOX 7214 RALEIGH, NC 27695 | 56-6000756 | 501(C)(3) | 21,620 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| CLEVELAND CLINIC PO Box 931517 CLEVALAND, OH 44193 | 34-0714585 | 501(C)(3) | 21,529 | | N/A | N/A | Research |
| MOUNT SINAI MEDICAL CTR 1 GUSTAVE L LEVY PI NY, NY 10029 | 13-1624096 | 501(C)(3) | 18,670 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| PLANETARY SCIENCE INSTITUTE 1700 E FT LOWELL Rd TUCSON, AZ 85719 | 33-0175263 | 501(C)(3) | 18,572 | | N/A | N/A | Research |
| ST JUDE CHILDRENS RES HOSPITAL PO BOX 1000 MEMPHIS, TN 38148 | 62-0646012 | 501(C)(3) | 18,404 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIV OF TEXAS AT ARLINGTON BOX 19136 ARLINGTON, TX 76019 | 75-6000121 | 501(C)(3) | 18,259 | | N/A | N/A | Research |
| UTAH STATE UNIVERSITY 1590 Old Main Hill LOGAN, UT 84322 | 87-6000528 | 501(C)(3) | 17,348 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| TUFTS MEDICAL CENTER INC 800 WASHINGTON ST BOSTON, MA 02111 | 04-3400617 | 501(C)(3) | 17,245 | | N/A | N/A | Research |
| URSINUS COLLEGE 601 EAST MAIL ST COLLEGEVILLE, PA 19426 | 23-1177930 | 501(C)(3) | 16,786 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| PLANNED PARENTHOOD LGE OF MA INC 1055 COMMONWEALTH Ave BOSTON, MA 02215 | 04-2698497 | 501(C)(3) | 16,645 | | N/A | N/A | Research |
| VIRGINIA POLYTECHNICAL INSTITUTE 300 TURNER St BLACKSBURG, VA 24061 | 54-6001805 | 501(C)(3) | 16,553 | | N/A | N/A | Research |

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| CHILDRENS NATIONAL MEDICAL CENTER 801 ROEDER Rd SILVER SPRING, MD 20910 | 52-1640403 | 501(C)(3) | 16,369 | | N/A | N/A | Research |
| COMMUNITIES IN SCHOOLS OF CAPE FEAR INC PO BOX 398 WILMINGTON, NC 28402 | 20-3385755 | 501(C)(3) | 15,884 | | N/A | N/A | Research |

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| BUCKNELL UNIVERSITY 1 DENT DR LEWISBURG, PA 17837 | 24-0772407 | 501(C)(3) | 15,169 | | N/A | N/A | Research |
| DARTMOUTH COLLEGE 11 ROPE FERRY RD HANOVER, NH 03755 | 02-0222111 | 501(C)(3) | 94,365 | | N/A | N/A | Research |

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| SAN FRANCISCO STATE UNIVERSITY 1600 HOLLOWAY AVE SAN FRANCISCO, CA 94132 | 93-1137247 | 501(C)(3) | 14,902 | | N/A | N/A | Research |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA 900 UNIV Ave RIVERSIDE, CA 92521 | 95-6006142 | 501(C)(3) | 14,619 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| HEBREW REHABILITATION CENTER 1200 CENTRE ST BOSTON, MA 02131 | 04-2104298 | 501(C)(3) | 13,491 | | N/A | N/A | Research |
| TOURO COLLEGE 500 SEVENTH AVE NEW YORK, NY 10018 | 13-2676570 | 501(C)(3) | 12,582 | | N/A | N/A | Research |

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| PUBLISEZ INC 27 PARK PI BROOKLYN, NY 11217 | 27-0923093 | | 12,500 | | N/A | N/A | Research |
| RENSSELAER POLYTECHNIC INSTITUTE 110 8TH St TROY, NY 12180 | 14-1340095 | 501(C)(3) | 11,641 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| LOMA LINDA UNIVERSITY 24887 TAYLOR St LOMA LINDA, CA 92350 | 95-1816009 | 501(C)(3) | 11,059 | | N/A | N/A | Research |
| SAINT FRANCIS UNIVERSITY 117 EVERGREEN DR LORETTO, PA 15940 | 25-1024358 | 501(C)(3) | 11,010 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| TEMPLE UNIVERSITY 1852 N 10th St PHILADELPHIA, PA 19122 | 23-1365971 | 501(C)(3) | 10,557 | | N/A | N/A | Research |
| BRIGHAM YOUNG UNIVERSITY A-261 ASB PROVO, UT 84602 | 87-0217280 | 501(C)(3) | 10,514 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| RHODE ISLAND HOSPITAL ONE HOPPIN St PROVIDENCE, RI 02903 | 05-0258954 | 501(C)(3) | 10,279 | | N/A | N/A | Research |
| SPORTS AND ARTS IN SCHOOLS FOUNDATION 58-12 QUEENS BLVD 1 WOODSIDE, NY 11377 | 11-3112635 | 501(C)(3) | 10,238 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
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| NORTHWEST ARKANSAS CHILD CARE RESOURCE 614 E EMMA AVE SPRINGDALE, AR 72764 | 71-0780981 | 501(C)(3) | 10,000 | | N/A | N/A | Research |
| COLD SPRING HARBOR LABORATORY 1 BUNGTOWN Rd COLD SPRING HAR, NY 11724 | 11-2013303 | 501(C)(3) | 9,899 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF KENTUCKY RESEARCH FNDT PO BOX 931113 CLEVELAND, OH 44193 | 61-6033693 | 501(C)(3) | 9,686 | | N/A | N/A | Research |
| ST JOHN'S UNIVERSITY 8000 UTOPIA PARKWAY QUEENS, NY 11439 | 11-1630830 | 501(C)(3) | 9,454 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| NATIONAL DEVELOPMENT RESEARCH 71 W 23RD St NEW YORK, NY 10010 | 23-7009089 | 501(C)(3) | 7,087 | | N/A | N/A | Research |
| CHESTNUT HEALTH SYSTEMS 1003 MLK DR BLOOMINGTON, IL 61701 | 37-0964629 | 501(C)(3) | 7,004 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| MILLENNIUM PROMISE ALLIANCE 475 RIVERSIDE DR NEW YORK, NY 10115 | 20-3042135 | 501(C)(3) | 6,690 | | N/A | N/A | Research |
| ST BARNABAS MED CTR 94 OLD SHORT HILLS RD LIVINGSTON, NJ 07039 | 20-0508800 | 501(C)(3) | 5,941 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| HARBOR-UCLA RESEARCH & ED INST 1124 WEST CARSON ST TORRANCE, CA 90502 | 95-2138184 | 501(C)(3) | 5,020 | | N/A | N/A | Research |
| GATEWAY BEHAVIORAL HEALTH SERVICES 600 COASTAL VILLAGE Dr BRUNSWICK, GA 31520 | 26-1406886 | GOVT | 88,310 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| KOTZEBUE IRA COUNCIL PO BOX 296 KOTZEBUE, AK 99752 | 92-0060128 | GOVT | 71,829 | | N/A | N/A | Research |
| DEPARTMENT OF THE INTERIOR MS 271 NATIONAL CTR RESTON, VA 20192 | 53-0196958 | GOVT | 21,104 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| UNIVERSITY OF LOUISIANA AT LAFAYETTE PO BOX 42570 LAFAYETTE, LA 70504 | 72-6000820 | GOVT | 17,067 | | N/A | N/A | Research |
| THE UNIVERSITY OF SOUTHERN MISSISSIPPI 118 COLLEGE DR HATTIESBURG, MS 39406 | 64-6000818 | 501(C)(3) | 14,555 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| CENTRAL MICHIGAN UNIVERSITY GRANT ACCOUNTING MT PLEASANT, MI 48859 | 38-6004447 | 501(C)(3) | 14,454 | | N/A | N/A | Research |
| BOARD OF REGENTS OF THE NSHE 2601 ENTERPRISE RD RENO, NV 89557 | 88-6000024 | 501(C)(3) | 13,251 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
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| BRONX VAMC 130 WEST KINGSBRIDGE ROAD BRONX, NY 10468 | 23-7288797 | GOVT | 10,000 | | N/A | N/A | Research |
| KANSAS STATE UNIVERSITY 2323 ANDERSON AVE MANHATTAN, KS 66502 | 48-0771751 | 501(C)(3) | 7,437 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| OREGON UNIVERSITY SYSTEM 1272 UNIVERSITY OF OREGON EUGENE, OR 97403 | 93-6001786 | GOVT | 7,034 | | N/A | N/A | Research |
| IPAS INC PO BOX 9990 CHAPEL HILL, NC 26515 | 56-1071085 | 501(C)(3) | 27,130 | | N/A | N/A | Research |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| 125TH STREET DISTRICT MGMT ASN INC 360 W 125TH ST NEW YORK, NY 10027 | 13-3740434 | 501(C)(3) | 7,500 | | N/A | N/A | Program Services |
| AATS FOUNDATION 800 CUMMINGS CTR BEVERLY, MA 01915 | 23-7288866 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| AMERICAN ACADEMY OF NURSING 1000 VERMONT AVE NW WASHINGTON, DC 20005 | 52-2213870 | 501(C)(3) | 13,600 | | N/A | N/A | Program Services |
| AMERICAN HEART ASSOCIATION 10 EAST 40TH ST NEW YORK, NY 10016 | 13-5613797 | 501(C)(3) | 12,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| AMERICAN JEWISH COMMITTEE 165 E 56TH St NEW YORK, NY 10022 | 13-5563393 | 501(C)(3) | 12,500 | | N/A | N/A | Program Services |
| ARTS AND MINDS INC 404 W 116TH St NEW YORK, NY 10027 | 27-3733512 | 501(C)(3) | 9,400 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| ASSOCIATION TO BENEFIT CHILDREN 419 EAST 86TH ST NEW YORK, NY 10028 | 13-3303089 | 501(C)(3) | 8,947 | | N/A | N/A | Program Services |
| BREAST CANCER RSCH FOUND 28 W 44TH ST NEW YORK, NY 10036 | 13-3727250 | 501(C)(3) | 6,100 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| BROADWAY MALL ASSN 2095 BROADWAY NEW YORK, NY 10023 | 13-3419786 | 501(C)(3) | 64,700 | | N/A | N/A | Program Services |
| CATHOLIC CHARITIES COMMUNIITY SRVCS 1011 1ST Ave NEW YORK, NY 10022 | 13-5562185 | 501(C)(3) | 13,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| CEREBRAL PALSY FOUNDATION INC 3 COLUMBUS CIRCLE NEW YORK, NY 10019 | 13-6093337 | 501(C)(3) | 15,000 | | N/A | N/A | Program Services |
| CHILDRENS LEARNING CTR AT MORNINGSID 90 LASALLE St NEW YORK, NY 10027 | 13-4111840 | 501(C)(3) | 13,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| COLUMBIA GREENHOUSE NURSERY SCHOOL 404 W 116TH ST NEW YORK, NY 10027 | 13-3194659 | 501(C)(3) | 9,528 | | N/A | N/A | Program Services |
| COLUMBIA UNIV PRESS 61 W 62ND ST NEW YORK, NY 10023 | 13-1623968 | 501(C)(3) | 67,232 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| COMMUNITY LEAGUE OF THE HEIGHTS INC 500 W 159TH ST NEW YORK, NY 10032 | 13-2564241 | 501(C)(3) | 10,750 | | N/A | N/A | Program Services |
| COPD FOUNDATION INC 3300 PDL BLVD CORAL GABLES, FL 33134 | 20-1048322 | 501(C)(3) | 12,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| CRUTCHES 4 KIDS INC 459 COLUMBUS Ave NEW YORK, NY 10024 | 27-1039186 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |
| DOMINICAN SUNDAY INC 175 W 107TH ST NEW YORK, NY 10025 | 13-4188781 | 501(C)(3) | 13,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| Dominican Womens Development Center 519 W 189TH ST New York, NY 10040 | 13-3593885 | 501(C)(3) | 7,500 | | N/A | N/A | Program Services |
| FRIENDS OF COL 2ND SCHOOL FOR MATH SCI ENG 603 W 115TH ST NEW YORK, NY 10025 | 26-1146491 | 501(C)(3) | 585,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| GREATER HARLEM CHAMBER OF COMMERCE CMTY FD 200A W 136TH ST NEW YORK, NY 10030 | 80-0790349 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |
| HARBORING HEARTS GALA 510 5TH Ave NEW YORK, NY 10036 | 94-3433059 | 501(C)(3) | 20,000 | | N/A | N/A | Program Services |

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| HARLEM LACROSSE AND LEADERSHIP CORP 8 W 126TH St NEW YORK, NY 10027 | 45-1634118 | 501(C)(3) | 10,100 | | N/A | N/A | Program Services |
| HIGH JUMP 59 W NORTH BLVD CHICAGO, IL 60610 | 36-4470186 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
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| HOLYROOD CHURCH IGLESIA SANTA CRUZ 715 W 179TH ST NEW YORK, NY 10033 | 13-1844844 | 501(C)(3) | 10,500 | | N/A | N/A | Program Services |
| INTL SOCIETY FOR DEV PSYCHOBIOLOGY 297 KINDERKAMACK RD ORADELL, NJ 07649 | 64-0954919 | 501(C)(3) | 10,500 | | N/A | N/A | Program Services |

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| LINCOLN CNTR 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023 | 13-1847137 | 501(C)(3) | 35,000 | | N/A | N/A | Program Services |
| LUPUS RESEARCH ALLIANCE INC 275 MADISON Ave NEW YORK, NY 10016 | 58-2492929 | 501(C)(3) | 50,000 | | N/A | N/A | Program Services |

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| MADISON COMMUNITY FOUNDATION 111 NORTH FAIRCHILD ST MADISON, WI 53703 | 39-6038248 | 501(C)(3) | 20,000 | | N/A | N/A | Program Services |
| METROPOLITAN OPERA ASSOCIATION INC 30 LINCOLN CENTER PLZ NEW YORK, NY 10133 | 13-1624087 | 501(C)(3) | 50,000 | | N/A | N/A | Program Services |

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| MISSION HOSPICE AND HOME CARE INC 1670 S AMPHLETT BLVD SAN MATEO, CA 94402 | 94-2567162 | 501(C)(3) | 15,000 | | N/A | N/A | Program Services |
| MODERN LANGUAGE ASSN 85 BROAD ST NEW YORK, NY 10004 | 13-5253530 | 501(C)(3) | 5,200 | | N/A | N/A | Program Services |

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| NEW YORK ACADEMY OF SCIENCES 250 GREENWICH ST NEW YORK, NY 10007 | 13-1773640 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |
| NEW YORK CITY CTR INC 130 W 56TH ST NEW YORK, NY 10019 | 13-2867442 | 501(C)(3) | 25,000 | | N/A | N/A | Program Services |

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| NY PRESBYTERIAN FUND INC 850 THIRD Ave NEW YORK, NY 10022 | 13-3160356 | 501(C)(3) | 27,250 | | N/A | N/A | Program Services |
| NEW YORK STEM CELL FOUNDATION 619 W 54TH ST NEW YORK, NY 10019 | 20-2905531 | 501(C)(3) | 25,000 | | N/A | N/A | Program Services |

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| NY PUBLIC RADIO 160 VARICK ST NEW YORK, NY 10013 | 13-3015230 | 501(C)(3) | 25,000 | | N/A | N/A | Program Services |
| ONE TO WORLD 307 SEVENTH Ave NEW YORK, NY 10001 | 13-3179151 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |

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| PALANTE HARLEM 1411 AMSTERDAM Ave NEW YORK, NY 10027 | 80-0209989 | 501(C)(3) | 8,500 | | N/A | N/A | Program Services |
| PROJECT ALS 801 RIVERSIDE DR NEW YORK, NY 10032 | 13-4019464 | 501(C)(3) | 60,000 | | N/A | N/A | Program Services |

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| ROCKLAND COUNTY PRIDE CENTER INC P O BOX 505 NYACK, NY 10960 | 81-1198691 | 501(C)(3) | 25,000 | | N/A | N/A | Program Services |
| SAMARITAN DAYTOP FOUNDATION INC 13802 Queens Blvd Briarwood, NY 11435 | 11-2490500 | 501(C)(3) | 7,500 | | N/A | N/A | Program Services |

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| SNACK & FRIENDS INC 316 EAST 53RD ST NEW YORK, NY 10022 | 20-5934666 | 501(C)(3) | 5,700 | | N/A | N/A | Program Services |
| ST MARYS EPISCOPAL 521 W 126TH ST NEW YORK, NY 10027 | 13-1624179 | 501(C)(3) | 17,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| THE ARNOLD P GOLD FOUNDATION 619 E PALISADE Ave EC, NJ 07632 | 22-3052098 | 501(C)(3) | 50,000 | | N/A | N/A | Program Services |
| THE BOARD OF TRUSTEES OF WHITMAN COLLEGE 345 BOYER Ave WALLA WALLA, WA 99362 | 91-0567740 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| THE GALIEN FOUNDATION INC 99 JOHN ST NEW YORK, NY 10038 | 26-4549935 | 501(C)(3) | 7,500 | | N/A | N/A | Program Services |
| THE UCLA FOUNDATION 10920 WILSHIRE BLVD LOS ANGELES, CA 90024 | 95-2250801 | 501(C)(3) | 1,352,509 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| UNIV OF CA SAN FRANCISCO FOUNDATION 220 MONTGOMERY ST SAN FRANCISCO, CA 94104 | 94-2829914 | 501(C)(3) | 15,000 | | N/A | N/A | Program Services |
| UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY Ave MADISON, WI 53726 | 39-0743975 | 501(C)(3) | 25,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| WEST SIDE CENTER FOR COMMUNITY LIFE 263 W 86TH St NEW YORK, NY 10024 | 71-0908184 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |
| American Museum of Natural History 79TH ST AT CENTRAL PARK W NEW YORK, NY 10024 | 13-6162659 | 501(C)(3) | 137,086 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| ANTI-DEFAMATION LEAGUE 605 3RD AVE NEW YORK, NY 10158 | 13-2887439 | 501(C)(3) | 7,600 | | N/A | N/A | Program Services |
| BARNARD COLLEGE 3009 BROADWAY NEW YORK, NY 10027 | 13-1628149 | 501(C)(3) | 71,344 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| BROADWAY HOUSING COMMUNITIES 583 Riverside Dr New York, NY 10031 | 13-3212867 | 501(C)(3) | 6,750 | | N/A | N/A | Program Services |
| CATHEDRAL CHURCH OF ST JOHN THE DIVINE 1047 AMSteRDAM AVE NEW YORK, NY 10025 | 13-1623934 | 501(C)(3) | 14,800 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| FORDHAM UNIVERSITY 140 W 62ND ST NEW YORK, NY 10023 | 13-1740451 | 501(C)(3) | 57,203 | | N/A | N/A | Program Services |
| JAZZ AT LINCOLN CENTER INC 3 COLUMBUS CIRCLE NEW YORK, NY 10019 | 13-3888641 | 501(C)(3) | 63,400 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| JCC ROCKLAND INC 450 W NYACK Rd W NYACK, NY 10994 | 13-3329286 | 501(C)(3) | 36,000 | | N/A | N/A | Program Services |
| NEW YORK ACADEMY OF MEDICINE 500 7TH Ave NEW YORK, NY 10018 | 13-1656674 | 501(C)(3) | 22,197 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| NEW YORK COMMON PANTRY INC 275 MADISON Ave NEW YORK, NY 10016 | 13-3127972 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |
| NORTHERN MANHATTAN IMPROVEMENT CORPORATION 45 WADSWORTH Ave NEW YORK, NY 10033 | 13-2972415 | 501(C)(3) | 12,500 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| RESEARCH FOUNDATION FOR MENTAL HYGIENE 1051 RIVERSIDE DR NEW YORK, NY 10032 | 14-1410842 | 501(C)(3) | 4,222,609 | | N/A | N/A | Program Services |
| RICHARD TUCKER MUSIC FOUNDATION 1790 BROADWAY NEW YORK, NY 10019 | 23-7431029 | 501(C)(3) | 25,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| TEACHERS COLLEGE COLUMBIA UNIVERSITY 525 W 120TH ST BOX 30 NEW YORK, NY 10027 | 13-1624202 | 501(C)(3) | 376,634 | | N/A | N/A | Program Services |
| VCS INC 77 S MAIN St NEW CITY, NY 10956 | 13-2720369 | 501(C)(3) | 150,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| WE ARE NOT AFRAID COMMUNITY RESOURCE CTR 521 W 126TH ST NEW YORK, NY 10027 | 84-3462208 | 501(C)(3) | 10,000 | | N/A | N/A | Program Services |
| WEST HARLEM ENVIRONMENTAL ACTION INC 1854 AMSTERDAM Ave NEW YORK, NY 10031 | 13-3800068 | 501(C)(3) | 100,028 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| YALE UNIVERSITY 157 CHURCH ST NEW HAVEN, CT 06510 | 06-0646973 | 501(C)(3) | 1,246,629 | | N/A | N/A | Program Services |
| 92nd Street Y 1395 Lexington Ave New York, NY 10128 | 13-1624229 | 501(C)(3) | 70,750 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| Jewish Federation of Rockland County 450 West Nyack Rd West Nyack, NY 10994 | 13-3268920 | 501(C)(3) | 75,000 | | N/A | N/A | Program Services |
| Keren Kayemeth Leisrael Inc 42 East 69th St New York, NY 10021 | 13-1659627 | 501(C)(3) | 6,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| Newport Festivals Foundation Inc 74 Martin St Rear Essex, MA 01929 | 27-4099544 | 501(C)(3) | 25,000 | | N/A | N/A | Program Services |
| WNET 825 Eighth Ave New York, NY 10019 | 26-2810489 | 501(C)(3) | 25,000 | | N/A | N/A | Program Services |

| Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. | | | | | | | |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| Knight First Amendment Inst at CU | 81-4759386 | 501(C)(3) | 1,011,472 | | N/A | N/A | Capital Contr |
| Columbia University Health Care Inc | 13-3948652 | 501(C)(3) | 11,564,712 | | N/A | N/A | Capital Contr |

| | | |
|---|--|--|
| Schedule J (Form 990) | Compensation Information | OMB No. 1545-0047 |
| | | 2019 |
| | | |
| For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees | | |
| ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. | | |
| ▶ Attach to Form 990. | | |
| ▶ Go to www.irs.gov/Form990 for instructions and the latest information. | | |
| Department of the Treasury Internal Revenue Service | Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK | Employer identification number 13-5598093 |

| Part I Questions Regarding Compensation | | Yes | No |
|--|---|---------------|----|
| 1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. | | | |
| <input checked="" type="checkbox"/> First-class or charter travel | <input checked="" type="checkbox"/> Housing allowance or residence for personal use | | |
| <input checked="" type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence | | |
| <input type="checkbox"/> Tax idemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees | | |
| <input type="checkbox"/> Discretionary spending account | <input checked="" type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) | | |
| b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | | 1b Yes | |
| 2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a? | | 2 Yes | |
| 3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. | | | |
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract | | |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study | | |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee | | |
| 4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: | | | |
| a Receive a severance payment or change-of-control payment? | | 4a | No |
| b Participate in, or receive payment from, a supplemental nonqualified retirement plan? | | 4b | No |
| c Participate in, or receive payment from, an equity-based compensation arrangement? | | 4c | No |
| If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III. | | | |
| Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9. | | | |
| 5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: | | | |
| a The organization? | | 5a Yes | |
| b Any related organization? | | 5b | No |
| If "Yes," on line 5a or 5b, describe in Part III. | | | |
| 6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: | | | |
| a The organization? | | 6a | No |
| b Any related organization? | | 6b | No |
| If "Yes," on line 6a or 6b, describe in Part III. | | | |
| 7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III. | | 7 Yes | |
| 8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III. | | 8 | No |
| 9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? | | 9 | |

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

| Return Reference | Explanation |
|---------------------------------------|--|
| SUPPLEMENTAL COMPENSATION INFORMATION | <p>NOTES TO PART I, LINE 1A FIRST CLASS OR CHARTER TRAVEL IN LIMITED CIRCUMSTANCES, CERTAIN INDIVIDUALS MAY TRAVEL FIRST CLASS WHEN THE TRAVEL IS SUBSTANTIATED AS A REASONABLE EXPENSE TO SUPPORT THE MISSION OF THE UNIVERSITY. This is not considered taxable income to any individual. Any exceptions to the University's policy are subject to review and approval. TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OF ANOTHER OFFICER OR KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES. EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR OTHER CEREMONIES OR EVENTS. IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE. THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$433,596 AND IS REPORTED AS A NON-TAXABLE BENEFIT. THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES. THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES. PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES \$6,726 IMPUTED TO DR. GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)). NOTE TO PART I, LINE 5A DR. DAVID SILVERS' COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR. NOTE TO PART I, LINE 7 NOTE TO PETER HOLLAND'S COMPENSATION THE ACTUAL AMOUNT EARNED DURING THE REPORTING PERIOD WAS \$2,923,886 FOR MR. HOLLAND. THE LARGER COMPENSATION FIGURE SHOWN IN COLUMN (E) INCLUDES DOUBLE REPORTING OF PREVIOUSLY REPORTED DEFERRED COMPENSATION THAT VESTED AND WAS PAID DURING THE REPORTING PERIOD. THE ACTUAL AMOUNT EARNED BY MR. HOLLAND IS CALCULATED BY SUBTRACTING COLUMN (F) FROM COLUMN (E). PAYMENT OF MR. HOLLAND'S PERFORMANCE BASED BONUS IS, IN EACH CASE, DEFERRED SUBJECT TO VESTING UPON CONTINUING SERVICE TO THE UNIVERSITY. COLUMNS (C) AND (E) INCLUDE DEFERRED COMPENSATION AWARDED DURING THE REPORTING PERIOD BUT SUBJECT TO FUTURE VESTING. NOTE TO LEE BOLLINGER'S COMPENSATION AMOUNTS FOR PRESIDENT BOLLINGER IN COLUMN (B)(II) INCLUDE PAYMENTS UNDER A PERFORMANCE-BASED BONUS AWARD. Generally with respect to the University's operations, this Form 990 covers the fiscal year period ending June 30, 2020 and reflects the start of the pandemic. In accordance with the Form 990 reporting requirements, compensation data is reported for the calendar year 2019 which does not include any pandemic related compensation adjustments. In response to the pandemic, the University took certain compensation-related expense management steps including a salary freeze and reduction of certain retirement benefits. These measures impacted the individuals listed on Schedule J beginning in calendar year 2020, which is not yet reportable. President Bollinger's cash compensation was reduced by approximately 15% for the calendar year 2020. Consistent with reporting requirements, These reductions will be reflected in the University's future Forms 990. NOTE TO DR. GOLDMAN'S COMPENSATION THE ACTUAL AMOUNT EARNED DURING THE REPORTING PERIOD WAS \$ 2,712,684 FOR DR. GOLDMAN. The University has agreed to provide Dr. Goldman with supplemental retirement income based on cumulative annual credits including amounts for achieving performance measures, subject to satisfying vesting conditions related to continuing services to the University. Column B(ii) includes previously reported deferred compensation that vested and was paid during the reporting period. These prior year amounts were reported on previous returns as deferred compensation and are accordingly reported in column (F). THE LARGER COMPENSATION FIGURE SHOWN IN COLUMN (E) INCLUDES DOUBLE REPORTING OF PREVIOUSLY REPORTED DEFERRED COMPENSATION THAT VESTED AND WAS PAID DURING THE REPORTING PERIOD. NOTE TO DR. SMITH'S, DR. LENKE'S, DR. RIEW'S, AND DR. LEHMAN'S COMPENSATION THE COMPENSATION OF DR. SMITH, DR. LENKE, DR. RIEW, & DR. LEHMAN IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES. UNIVERSITY'S HOSPITAL AFFILIATES.</p> |

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name and Title | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|---|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
| | | (i) Base Compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| 1LEE C BOLLINGER PRESIDENT | (i) | 1,554,198 | 700,000 | 0 | 42,355 | 459,949 | 2,756,502 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1JANE E BOOTH GENERAL COUNSEL | (i) | 798,511 | 0 | 0 | 42,355 | 10,717 | 851,583 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2JEROME DAVIS SECRETARY | (i) | 458,703 | 0 | 0 | 42,355 | 13,911 | 514,969 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3IRA KATZNELSON INTERIM PROVOST (AS OF 9/1/19) | (i) | 531,582 | 0 | 53,395 | 42,355 | 20,725 | 648,057 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4GERALD M ROSBERG SENIOR EXEC VICE PRESIDENT | (i) | 866,738 | 0 | 0 | 28,284 | 22,899 | 917,921 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE & IT | (i) | 826,365 | 0 | 0 | 28,355 | 17,581 | 872,301 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6AMELIA ALVERSON EXEC VP - UNIV DVLP&ALUM RLTS | (i) | 785,128 | 0 | 0 | 28,355 | 14,030 | 827,513 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7LEE GOLDMAN EXEC VP FOR HEALTH SCIENCES | (i) | 1,200,485 | 2,347,201 | 76,326 | 508,355 | 10,717 | 4,143,084 | 1,430,400 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8DAVID GREENBERG EXEC VP FACILITIES | (i) | 545,527 | 0 | 48 | 28,355 | 4,381 | 578,311 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9PETER HOLLAND CEO & EXEC VP OF INV MGMT | (i) | 1,032,716 | 2,757,297 | 0 | 1,584,965 | 85,014 | 5,459,992 | 2,536,106 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10RONALD ARTHUR LEHMAN JR PROFESSOR OF SURGERY | (i) | 3,484,664 | 0 | 0 | 30,983 | 33,397 | 3,549,044 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11LAWRENCE GERALD LENKE PROFESSOR OF SURGERY | (i) | 6,854,636 | 0 | 0 | 30,702 | 29,269 | 6,914,607 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12KIEHYUN DANIEL RIEW PROFESSOR OF SURGERY | (i) | 4,163,116 | 0 | 0 | 30,843 | 47,437 | 4,241,396 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13DAVID N SILVERS CLINICAL PROFESSOR | (i) | 2,991,337 | 1,379,349 | 0 | 38,789 | 19,766 | 4,429,241 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14CRAIG R SMITH PROFESSOR OF SURGERY | (i) | 2,858,930 | 0 | 0 | 38,618 | 21,409 | 2,918,957 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15JOHN COATSWORTH PROVOST (FORMER) | (i) | 806,854 | 0 | 41,146 | 28,355 | 20,725 | 897,080 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16DAVID MADIGAN EXEC VP-A&S (FORMER) | (i) | 514,217 | 0 | 72,498 | 28,355 | 217,956 | 833,026 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

| Part I Bond Issues | | | | | | | | | | | |
|--|----------------|-------------|-----------------|-----------------|----------------------------------|--------------|----|-------------------------|----|--------------------|----|
| (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased | | (h) On behalf of issuer | | (i) Pool financing | |
| | | | | | | Yes | No | Yes | No | Yes | No |
| A DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B | 14-6000293 | 649901R49 | 03-05-2003 | 122,696,491 | FUND NEW QUALIFIED PROJECTS | | X | | X | | X |
| B DORMITORY AUTHORITY OF STATE OF NEW YORK 2009A | 14-6000293 | 649905DF0 | 05-14-2009 | 117,000,000 | FUND NEW QUALIFIED PROJECTS | | X | | X | | X |
| C DORMITORY AUTHORITY OF STATE OF NEW YORK 2011A | 14-6000293 | 649906AV6 | 02-16-2011 | 312,102,192 | FUND NEW QUALIFIED PROJECTS | | X | | X | | X |
| D DORMITORY AUTHORITY OF STATE OF NEW YORK 2012A | 14-6000293 | 649906F33 | 06-07-2012 | 174,118,498 | REFUND 2008A & FUND NEW PROJECTS | | X | | X | | X |

| Part II | | Proceeds | | | | | | | |
|---------|--|-------------|----|-------------|----|-------------|----|-------------|----|
| | | A | | B | | C | | D | |
| 1 | Amount of bonds retired | 87,775,000 | | 0 | | 45,000,000 | | 25,005,000 | |
| 2 | Amount of bonds legally defeased | 0 | | 0 | | 0 | | 0 | |
| 3 | Total proceeds of issue | 123,718,793 | | 117,067,254 | | 312,258,392 | | 174,157,898 | |
| 4 | Gross proceeds in reserve funds | 0 | | 0 | | 0 | | 0 | |
| 5 | Capitalized interest from proceeds | 0 | | 0 | | 0 | | 0 | |
| 6 | Proceeds in refunding escrows | 0 | | 0 | | 0 | | 0 | |
| 7 | Issuance costs from proceeds | 802,880 | | 823,388 | | 1,678,394 | | 738,570 | |
| 8 | Credit enhancement from proceeds | 0 | | 0 | | 0 | | 0 | |
| 9 | Working capital expenditures from proceeds | 0 | | 0 | | 0 | | 0 | |
| 10 | Capital expenditures from proceeds | 122,097,219 | | 115,269,253 | | 308,005,990 | | 173,344,328 | |
| 11 | Other spent proceeds | 818,694 | | 974,613 | | 2,574,008 | | 75,000 | |
| 12 | Other unspent proceeds | 0 | | 0 | | 0 | | 0 | |
| 13 | Year of substantial completion | 2004 | | 2009 | | | | | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 | Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)? | | X | | X | | X | | X |
| 15 | Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)? | | X | | X | | X | X | |
| 16 | Has the final allocation of proceeds been made? | X | | X | | X | | X | |
| 17 | Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | X | | X | |

| Part III Private Business Use | | | | | | | | | |
|-------------------------------|--|-----|----|-----|----|-----|----|-----|----|
| | | A | | B | | C | | D | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | X | | X | | X | | X |
| 2 | Are there any lease arrangements that may result in private business use of bond-financed property? | X | | X | | X | | X | |

| Part III Private Business Use (Continued) | | A | | B | | C | | D | |
|---|--|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a | Are there any management or service contracts that may result in private business use of bond-financed property? | X | | X | | X | | X | |
| b | If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? | X | | X | | X | | X | |
| c | Are there any research agreements that may result in private business use of bond-financed property? | X | | X | | X | | X | |
| d | If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? | X | | X | | X | | X | |
| 4 | Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government | 0 % | | 0 % | | 0 % | | 0 % | |
| 5 | Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government | | | | | | | | |
| 6 | Total of lines 4 and 5 | | | | | | | | |
| 7 | Does the bond issue meet the private security or payment test? . . . | | X | | X | | X | | X |
| 8a | Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? | | X | | X | | X | | X |
| b | If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . . | | | | | | | | |
| c | If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? | | X | | X | | X | | X |
| 9 | Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? | X | | X | | X | | X | |

| Part IV Arbitrage | | A | | B | | C | | D | |
|-------------------|--|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . . | | X | | X | | X | | X |
| 2 | If "No" to line 1, did the following apply? | | | | | | | | |
| a | Rebate not due yet? | | X | | X | | X | | X |
| b | Exception to rebate? | | X | | X | | X | | X |
| c | No rebate due? | X | | X | | X | | X | |
| | If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed | | | | | | | | |
| 3 | Is the bond issue a variable rate issue? | X | | X | | | X | | X |
| 4a | Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | X | | X | | X | | X |
| b | Name of provider | 0 | | 0 | | 0 | | 0 | |
| c | Term of hedge | | | | | | | | |
| d | Was the hedge superintegrated? | | | | | | | | |
| e | Was the hedge terminated? | | | | | | | | |

Part IV Arbitrage (Continued)

| | A | | B | | C | | D | |
|--|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 5a Were gross proceeds invested in a guaranteed investment contract (GIC)? | | X | | X | | X | | X |
| b Name of provider | 0 | | 0 | | 0 | | 0 | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 6 Were any gross proceeds invested beyond an available temporary period? | | X | | X | | X | | X |
| 7 Has the organization established written procedures to monitor the requirements of section 148? | X | | X | | X | | X | |

Part V Procedures To Undertake Corrective Action

| | A | | B | | C | | D | |
|--|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations? | X | | X | | X | | X | |

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

| Return Reference | Explanation |
|-----------------------------|---|
| SCHEDULE K, PART II, LINE 3 | DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS. ----- ----- SCHEDULE K, PART II, LINE 11 OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE WITH THE EXCEPTION OF THE 2012A, 2015A, 2015B, AND 2020A BOND ISSUES. ----- ----- |

| Return Reference | Explanation |
|------------------------------------|--|
| SCHEDULE K, PART III, LINES 4-5 | FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES. AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2009A, SERIES 2011A, SERIES 2012A, SERIES 2015A, SERIES 2015B, SERIES 2016A&B, SERIES 2017A&B, 2018A&B AND 2020A ISSUES ON SCHEDULE K. ----- |

| Return Reference | Explanation |
|-----------------------------|---|
| SCHEDULE K, PART IV, LINE 2 | FOR ALL SERIES EXCEPT THE 2015A AND 2015B, THE BOND PROCEEDS ARE SLIGHTLY EXCEEDED BY THE TOTAL USES DUE TO EARNINGS IN THE CONSTRUCTION FUND HELD AT THE TRUSTEE. THE LATEST ARBITRAGE COMPUTATION FOR DASNY 2003A&B, 2009A, 2011A, 2012A, 2015A, 2015B, 2016A&B, 2017A&B AND 2018A&B WAS DECEMBER 31, 2019. |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

| Part I Bond Issues | | | | | | | | | | | |
|--|----------------|-------------|-----------------|-----------------|------------------------------------|--------------|----|-------------------------|----|--------------------|----|
| (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased | | (h) On behalf of issuer | | (i) Pool financing | |
| | | | | | | Yes | No | Yes | No | Yes | No |
| A DORMITORY AUTHORITY OF STATE OF NEW YORK 2015A | 14-6000293 | 6499077D8 | 04-23-2015 | 125,006,199 | FUND NEW QUALIFIED PROJECTS | | X | | X | | X |
| B DORMITORY AUTHORITY OF STATE OF NEW YORK 2015B | 14-6000293 | 64990BGH0 | 04-23-2015 | 56,240,112 | REFUND DASNY 2004B BONDS | | X | | X | | X |
| C DORMITORY AUTHORITY OF STATE OF NEW YORK 2016A&B | 14-6000293 | 64990BZK2 | 04-27-2016 | 491,547,535 | REFUND 2006AB AND FUND NEW PROJECT | | X | | X | | X |
| D DORMITORY AUTHORITY OF STATE OF NEW YORK 2017A&B | 14-6000293 | 64990CQE4 | 03-01-2017 | 240,921,142 | REFUND 2004C AND FUND NEW PROJECTS | | X | | X | | X |

| Part II | Proceeds | | | | | | | | |
|---------|--|-------------|----|------------|----|-------------|----|-------------|----|
| | | A | | B | | C | | D | |
| 1 | Amount of bonds retired | 0 | | 20,505,000 | | 62,775,000 | | 0 | |
| 2 | Amount of bonds legally defeased | 0 | | 0 | | 0 | | 0 | |
| 3 | Total proceeds of issue | 125,006,199 | | 56,240,112 | | 491,760,973 | | 241,400,663 | |
| 4 | Gross proceeds in reserve funds | 0 | | 0 | | 0 | | 0 | |
| 5 | Capitalized interest from proceeds | 0 | | 0 | | 0 | | 0 | |
| 6 | Proceeds in refunding escrows | 0 | | 0 | | 0 | | 0 | |
| 7 | Issuance costs from proceeds | 482,921 | | 239,228 | | 1,304,805 | | 879,101 | |
| 8 | Credit enhancement from proceeds | 0 | | 0 | | 0 | | 0 | |
| 9 | Working capital expenditures from proceeds | 0 | | 0 | | 0 | | 0 | |
| 10 | Capital expenditures from proceeds | 124,474,161 | | 0 | | 239,038,664 | | 191,140,597 | |
| 11 | Other spent proceeds | 49,116 | | 56,000,884 | | 251,417,504 | | 49,380,966 | |
| 12 | Other unspent proceeds | 0 | | 0 | | 0 | | 0 | |
| 13 | Year of substantial completion | | | 2007 | | | | | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 | Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)? | | X | X | | X | | X | |
| 15 | Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)? | | X | | X | | X | | X |
| 16 | Has the final allocation of proceeds been made? | X | | X | | X | | X | |
| 17 | Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | X | | X | |

| Part III Private Business Use | | | | | | | | | |
|-------------------------------|--|-----|----|-----|----|-----|----|-----|----|
| | | A | | B | | C | | D | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | X | | X | | X | | X |
| 2 | Are there any lease arrangements that may result in private business use of bond-financed property? | X | | X | | X | | X | |

| Part III Private Business Use (Continued) | | | | | | | | | |
|---|--|-----|----|-----|----|-----|----|-----|----|
| | | A | | B | | C | | D | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a | Are there any management or service contracts that may result in private business use of bond-financed property? | X | | X | | X | | X | |
| b | If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? | X | | X | | X | | X | |
| c | Are there any research agreements that may result in private business use of bond-financed property? | X | | X | | X | | X | |
| d | If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? | X | | X | | X | | X | |
| 4 | Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government | 0 % | | 0 % | | 0 % | | 0 % | |
| 5 | Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government | | | | | | | | |
| 6 | Total of lines 4 and 5 | | | | | | | | |
| 7 | Does the bond issue meet the private security or payment test? | | X | | X | | X | | X |
| 8a | Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? | | X | | X | | X | | X |
| b | If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . . . | | | | | | | | |
| c | If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? | | X | | X | | X | | X |
| 9 | Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? | X | | X | | X | | X | |

| Part IV Arbitrage | | | | | | | | | |
|-------------------|--|-----|----|-----|----|-----|----|-----|----|
| | | A | | B | | C | | D | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? | | X | | X | | X | | X |
| 2 | If "No" to line 1, did the following apply? | | | | | | | | |
| a | Rebate not due yet? | | X | | X | | X | | X |
| b | Exception to rebate? | | X | | X | | X | | X |
| c | No rebate due? | X | | X | | X | | X | |
| | If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed | | | | | | | | |
| 3 | Is the bond issue a variable rate issue? | | X | | X | | X | | X |
| 4a | Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | X | | X | | X | | X |
| b | Name of provider | 0 | | 0 | | 0 | | 0 | |
| c | Term of hedge | | | | | | | | |
| d | Was the hedge superintegrated? | | | | | | | | |
| e | Was the hedge terminated? | | | | | | | | |

Part IV Arbitrage (Continued)

| | A | | B | | C | | D | |
|--|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 5a Were gross proceeds invested in a guaranteed investment contract (GIC)? | | X | | X | | X | | X |
| b Name of provider | 0 | | 0 | | 0 | | 0 | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 6 Were any gross proceeds invested beyond an available temporary period? | | X | | X | | X | | X |
| 7 Has the organization established written procedures to monitor the requirements of section 148? | X | | X | | X | | X | |

Part V Procedures To Undertake Corrective Action

| | A | | B | | C | | D | |
|--|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations? | X | | X | | X | | X | |

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

| Part I Bond Issues | | | | | | | | | | | |
|--|----------------|-------------|-----------------|-----------------|------------------------------------|--------------|----|-------------------------|----|--------------------|----|
| (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased | | (h) On behalf of issuer | | (i) Pool financing | |
| | | | | | | Yes | No | Yes | No | Yes | No |
| A DORMITORY AUTHORITY OF STATE OF NEW YORK 2018A&B | 14-6000293 | 64990C6P1 | 05-16-2018 | 396,803,509 | REFUND 2008A AND FUND NEW PROJECTS | | X | | X | | X |
| B Dormitory Authority of State of NEW YORK 2020A | 14-6000293 | 64990GA85 | 03-19-2020 | 247,210,500 | Fund New Projects | | X | | X | | X |

| Part II | | Proceeds | | | | | | | |
|---------|--|-------------|----|-------------|----|-----|----|-----|----|
| | | A | | B | | C | | D | |
| 1 | Amount of bonds retired | 0 | | 0 | | | | | |
| 2 | Amount of bonds legally defeased | 0 | | 0 | | | | | |
| 3 | Total proceeds of issue | 399,034,697 | | 247,210,500 | | | | | |
| 4 | Gross proceeds in reserve funds | 0 | | 0 | | | | | |
| 5 | Capitalized interest from proceeds | 0 | | 0 | | | | | |
| 6 | Proceeds in refunding escrows | 0 | | 0 | | | | | |
| 7 | Issuance costs from proceeds | 1,296,723 | | 747,279 | | | | | |
| 8 | Credit enhancement from proceeds | 0 | | 0 | | | | | |
| 9 | Working capital expenditures from proceeds | 0 | | 0 | | | | | |
| 10 | Capital expenditures from proceeds | 193,167,811 | | 246,338,221 | | | | | |
| 11 | Other spent proceeds | 204,570,163 | | 125,000 | | | | | |
| 12 | Other unspent proceeds | 0 | | 0 | | | | | |
| 13 | Year of substantial completion | | | | | | | | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 | Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)? | X | | | X | | | | |
| 15 | Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)? | | X | | X | | | | |
| 16 | Has the final allocation of proceeds been made? | X | | X | | | | | |
| 17 | Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | | | | |

| Part III Private Business Use | | | | | | | | | | | |
|-------------------------------|--|--|--|--|--|-----|----|-----|----|-----|----|
| | | | | | | A | | B | | C | |
| | | | | | | Yes | No | Yes | No | Yes | No |
| 1 | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | | | | | X | | X | | |
| 2 | Are there any lease arrangements that may result in private business use of bond-financed property? | | | | | X | | X | | | |

Part III Private Business Use (Continued)

| | A | | B | | C | | D | |
|---|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | X | | X | | | | | |
| b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? | X | | X | | | | | |
| c Are there any research agreements that may result in private business use of bond-financed property? | X | | X | | | | | |
| d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? | X | | X | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government | 0 % | | 0 % | | | | | |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government | | | | | | | | |
| 6 Total of lines 4 and 5 | | | | | | | | |
| 7 Does the bond issue meet the private security or payment test? | | X | | X | | | | |
| 8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? | | X | | X | | | | |
| b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . . | | | | | | | | |
| c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? | | X | | X | | | | |
| 9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? | X | | X | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? | | X | | X | | | | |
| 2 If "No" to line 1, did the following apply? | | | | | | | | |
| a Rebate not due yet? | | X | X | | | | | |
| b Exception to rebate? | | X | | X | | | | |
| c No rebate due? | X | | | X | | | | |
| If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed | | | | | | | | |
| 3 Is the bond issue a variable rate issue? | | X | | X | | | | |
| 4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | X | | X | | | | |
| b Name of provider | 0 | | 0 | | | | | |
| c Term of hedge | | | | | | | | |
| d Was the hedge superintegrated? | | | | | | | | |
| e Was the hedge terminated? | | | | | | | | |

Part IV Arbitrage (Continued)

| | A | | B | | C | | D | |
|--|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 5a Were gross proceeds invested in a guaranteed investment contract (GIC)? | | X | | X | | | | |
| b Name of provider | 0 | | 0 | | | | | |
| c Term of GIC | | | | | | | | |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 6 Were any gross proceeds invested beyond an available temporary period? | | X | | X | | | | |
| 7 Has the organization established written procedures to monitor the requirements of section 148? . . . | X | | X | | | | | |

Part V Procedures To Undertake Corrective Action

| | A | | B | | C | | D | |
|--|------------|-----------|------------|-----------|------------|-----------|------------|-----------|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations? | X | | X | | | | | |

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Schedule L

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

| | |
|--|--|
| Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK | Employer identification number 13-5598093 |
|--|--|

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

| 1 | (a) Name of disqualified person | (b) Relationship between disqualified person and organization | (c) Description of transaction | (d) Corrected? | |
|---|---------------------------------|---|--------------------------------|----------------|----|
| | | | | Yes | No |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

| (a) Name of interested person | (b) Relationship with organization | (c) Purpose of loan | (d) Loan to or from the organization? | | (e) Original principal amount | (f) Balance due | (g) In default? | | (h) Approved by board or committee? | | (i) Written agreement? | |
|-------------------------------|------------------------------------|---------------------|---------------------------------------|------|-------------------------------|-----------------|-----------------|----|-------------------------------------|----|------------------------|----|
| | | | To | From | | | Yes | No | Yes | No | Yes | No |
| (1) JOHN COATSWORTH | OFFICER | HOUSING | | X | 1,475,000 | 2,022,226 | | No | Yes | | Yes | |
| (2) JOHN COATSWORTH | OFFICER | HOUSING | | X | 525,000 | 575,911 | | No | Yes | | Yes | |
| (3) DAVID MADIGAN | OFFICER | HOUSING | | X | 400,000 | 160,000 | | No | Yes | | Yes | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| Total | | | | | | 2,758,137 | | | | | | |

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of assistance | (d) Type of assistance | (e) Purpose of assistance |
|-------------------------------|---|--------------------------|------------------------|---------------------------|
| | | | | |
| | | | | |
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| | | | | |
| | | | | |

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|-------------------------------|---|---------------------------|--------------------------------|---|----|
| | | | | Yes | No |
| (1) JILL S GOLDMAN | SPOUSE OF KEY EMPLOYEE | 134,712 | EMPLOYMENT | | No |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

| Return Reference | Explanation |
|---------------------|---|
| NOTES TO SCHEDULE L | ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON. THE SPOUSE OF THE KEY EMPLOYEE LISTED IN SCHEDULE L WAS AN EMPLOYEE OF THE UNIVERSITY DURING THE REPORTING PERIOD. HER COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES. NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION. SCHEDULE L, PART II THE LOANS REPORTED FOR JOHN COATSWORTH IN PART II ARE INTEREST BEARING AND SECURED BY AN INTEREST IN A PRIMARY RESIDENCE. THE LOAN REPORTED FOR DAVID MADIGAN IN PART II IS INTEREST BEARING AND WAS MADE IN CONNECTION WITH A PRIMARY RESIDENCE. |

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SCHEDULE M
(Form 990)

Noncash Contributions

OMB No. 1545-0047
2019
Open to Public Inspection

Department of the Treasury
Internal Revenue Service

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
►Attach to Form 990.
►Go to www.irs.gov/Form990 for the latest information.

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Types of Property

| | (a) Check if applicable | (b) Number of contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determining noncash contribution amounts | | | | | | |
|---|-------------------------------|--|---|---|-----|-----|----|-----|--|----|
| 1 Art—Works of art | X | 7 | 0 | | | | | | | |
| 2 Art—Historical treasures | | | | | | | | | | |
| 3 Art—Fractional interests | | | | | | | | | | |
| 4 Books and publications | X | | 0 | | | | | | | |
| 5 Clothing and household goods | | | | | | | | | | |
| 6 Cars and other vehicles | | | | | | | | | | |
| 7 Boats and planes | | | | | | | | | | |
| 8 Intellectual property | | | | | | | | | | |
| 9 Securities—Publicly traded | X | 557 | 32,541,641 | COST/SALES PRICE | | | | | | |
| 10 Securities—Closely held stock | | | | | | | | | | |
| 11 Securities—Partnership, LLC, or trust interests | | | | | | | | | | |
| 12 Securities—Miscellaneous | | | | | | | | | | |
| 13 Qualified conservation contribution—Historic structures | | | | | | | | | | |
| 14 Qualified conservation contribution—Other | | | | | | | | | | |
| 15 Real estate—Residential | | | | | | | | | | |
| 16 Real estate—Commercial | | | | | | | | | | |
| 17 Real estate—Other | | | | | | | | | | |
| 18 Collectibles | | | | | | | | | | |
| 19 Food inventory | X | 1 | 0 | | | | | | | |
| 20 Drugs and medical supplies | | | | | | | | | | |
| 21 Taxidermy | | | | | | | | | | |
| 22 Historical artifacts | | | | | | | | | | |
| 23 Scientific specimens | | | | | | | | | | |
| 24 Archeological artifacts | | | | | | | | | | |
| 25 Other ► (<u>EQUIPMENT</u>) | X | 2 | 0 0 | | | | | | | |
| <u>EVENT</u> | X | 4 | 0 0 | | | | | | | |
| 26 Other ► (<u>FEES</u>) | | | | | | | | | | |
| <u>TRAVEL</u> | X | 1 | 0 0 | | | | | | | |
| 27 Other ► (<u>CERTIFICATES</u>) | | | | | | | | | | |
| 28 Other ► (<u> </u>) | | | | | | | | | | |
| 29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement | 29 | | | 10 | | | | | | |
| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? | | | | <table><tr><td></td><td>Yes</td><td>No</td></tr><tr><td>30a</td><td></td><td>No</td></tr></table> | | Yes | No | 30a | | No |
| | Yes | No | | | | | | | | |
| 30a | | No | | | | | | | | |
| b If "Yes," describe the arrangement in Part II. | | | | | | | | | | |
| 31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? | | | | <table><tr><td>31</td><td>Yes</td><td></td></tr></table> | 31 | Yes | | | | |
| 31 | Yes | | | | | | | | | |
| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? | | | | <table><tr><td>32a</td><td>Yes</td><td></td></tr></table> | 32a | Yes | | | | |
| 32a | Yes | | | | | | | | | |
| b If "Yes," describe in Part II. | | | | | | | | | | |
| 33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II. | | | | | | | | | | |

Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

| Return Reference | Explanation |
|---|---|
| USE OF THIRD PARTIES TO SOLICIT, PROCESS, OR SELL NONCASH CONTRIBUTIONS | SCHEDULE M, PART I, LINE 32B THE UNIVERSITY USES THIRD PARTY INVESTMENT BROKERS TO SELL NONCASH CONTRIBUTED SECURITIES. THIRD PARTIES ARE NOT USED TO SOLICIT OR PROCESS ANY CONTRIBUTIONS. |
| DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED | SCHEDULE M, PART I, LINE 33 UNDER GENERALLY ACCEPTED ACCOUNTING PRINCIPLES, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN. BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES. IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMIS. |
| NUMBER OF CONTRIBUTIONS | SCHEDULE M, PART I, COLUMN (B) THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED. |

| | | | |
|--|--|--|----------------------------------|
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| SCHEDULE O (Form 990 or 990-EZ) | Supplemental Information to Form 990 or 990-EZ Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ▶ Attach to Form 990 or 990-EZ. ▶ Go to <u>www.irs.gov/Form990</u> for the latest information. | | OMB No. 1545-0047 |
| | | | 2019 |
| Department of the Treasury Internal Revenue Service | | | Open to Public Inspection |
| Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK | | Employer identification number 13-5598093 | |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|--------------------------|--|
| SUPPLEMENTAL DISCLOSURES | <p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT VOTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY. -----</p> <p>FORM 990, PART I, LINE 19 NOTE ON PANDEMIC IMPACT ON REVENUE AND EXPENSES Line 19 on page 1 of the Form 990, presents "Revenue less Expenses" totaling \$410 million. Notably, this includes realized investment returns not available for expenditure in accordance with the endowment spending policy and applicable law, and does not include other unrealized investment losses. The University's audited financial statements, prepared on a GAAP basis, present an operating income of \$165 million, a decrease from the prior year's operating income of \$223 million. Due to the emergence of COVID-19, the University experienced lost revenue in several areas. These losses were partially, but not completely, offset by active expense management activities that included a hiring freeze along with reductions in operating expenses and capital spending. -----</p> <p>FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE NUMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALUMNI OFFICE. ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OTHER PROGRAMS. -----</p> <p>FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST ANGOLA BANGLADESH BRAZIL BURUNDI CAMEROON CHILE CHINA CONGO ETHIOPIA FRANCE GERMANY HAITI INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA KYRGYZSTAN LESOTHO MALAWI MOZAMBIQUE MYANMAR NIGERIA RWANDA SIERRA LEONE SOUTH AFRICA SOUTH SUDAN SWAZILAND TAJIKISTAN TANZANIA TUNISIA TURKEY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) UGANDA ZAMBIA ZIMBABWE -----</p> <p>FORM 990, PART V, LINES 7G AND 7H CONTRIBUTIONS OF CERTAIN TYPES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2020, THE UNIVERSITY DID NOT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLANES, OR OTHER VEHICLES. AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CONTRIBUTIONS. -----</p> <p>FORM 990, PART V, LINES 15 & 16 EXCISE TAXES Per section 4960 of the IRC and IRS Final Regulations (TD 9938), excess remuneration is calculated based on the amounts paid during the calendar year ending with or within the taxable year of the employer. During the 2019 calendar year, five employees received compensation over \$1 million that is subject to the excise tax on excess remuneration. The University has answered this question "Yes" has completed and filed Form 4720 in accordance with IRS instructions. The University has reviewed Section 4968 of the IRC a</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|-----------------------------|---|
| SUPPLEMENTAL DISCLOSURES | <p>nd IRS final regulations (TD 9917) to determine if it is subject to the excise tax on net investment income. BASED ON THIS GUIDANCE, THE UNIVERSITY DETERMINED IT DOES NOT HAVE APPLICABLE ASSETS IN EXCESS OF \$500,000 PER STUDENT (ON A FULL-TIME EQUIVALENT BASIS). THEREFORE, THE UNIVERSITY HAS ANSWERED "NO" AS IT IS NOT SUBJECT TO THIS TAX. ----- FORM 990, PART VI, LINE 5 SIGNIFICANT DIVERSION OF ASSETS THE UNIVERSITY EMPLOYS A ROBUST SYSTEM OF INTERNAL CONTROLS, INCLUDING INTERNAL AUDIT, TO PREVENT AND IDENTIFY ANY DIVERSION OF ASSETS. THROUGH THESE PROCESSES, DURING THE TAX YEAR, THE UNIVERSITY IDENTIFIED INSTANCES WHERE EMPLOYEES DIVERTED ASSETS TOTALING \$563,000. TWO UNRELATED INSTANCES REPRESENT 95% OF THIS AMOUNT. BOTH INVOLVED SINGLE EMPLOYEES CONDUCTING INAPPROPRIATE TRANSACTIONS FOR SMALL AMOUNTS OVER TIME. IN BOTH CASES, THE REQUIRED SEGREGATION OF DUTIES DESIGNED TO PREVENT FRAUD WERE COMPROMISED BY EMPLOYEES, WHO WERE NOT INVOLVED IN THE FRAUD, SHARING PERSONAL CREDENTIALS OR A CREDIT CARD WITH ANOTHER EMPLOYEE, IN VIOLATION OF UNIVERSITY POLICY, WHO THEN PERFORMED INAPPROPRIATE PURCHASING TRANSACTIONS. UPON REVIEW, THE EMPLOYEES WHO COMMITTED THE INAPPROPRIATE TRANSACTIONS WERE TERMINATED AND THESE MATTERS WERE REFERRED TO LAW ENFORCEMENT, WHICH MAY RESULT IN RESIGNATION BEING MADE. THE UNIVERSITY ROUTINELY INSTRUCTS EMPLOYEES NOT TO SHARE CREDENTIALS OR CREDIT CARDS, AND HAS INCREASED SYSTEMATIC CONTROLS SUCH AS MULTIFACTOR AUTHENTICATION. DEPARTMENTAL MONITORING PROCESSES OVER PURCHASES WERE ALSO ENHANCED. IN ADDITION, THE UNIVERSITY IDENTIFIED FOUR UNRELATED INSTANCES OF PERSONAL PURCHASES BY EMPLOYEES (IN TOTAL LESS THAN \$30,000) WHICH RESULTED IN DISCIPLINE OR TERMINATION. SUCH AMOUNTS WOULD NOT GENERALLY REQUIRE DISCLOSURE. ----- FORM 990, PART VI, LINE 11B PROCESS USED BY MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRISED OF MEMBERS OF THE TRUSTEES' AUDIT COMMITTEE REVIEWED THE FORM 990. FOLLOWING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT A MEETING ON MAY 5, 2021. THE FINAL FORM WAS POSTED ON THE SECURE WEBSITE PRIOR TO FILING. ----- FORM 990, PART VI, LINE 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF INTEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE TRUSTEES OF COLUMBIA UNIVERSITY." WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO: (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHAIR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER; AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|-----------------------------|--|
| SUPPLEMENTAL DISCLOSURES | <p>ACTIVITY. IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS. THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACTION. ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTE REST." WHEN AN OFFICER OR KEY EMPLOYEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO: (A) DISCLOSE THE CIRCUMSTANCE TO HIS OR HER SUPERVIS OR, THE TRUSTEES OR OTHERS, AS APPROPRIATE; (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECIS ION WHICH MIGHT IMPROPERLY BENEFIT THE OFFICER OR EMPLOYEE OR A FAMILY MEMBER; AND (C) REC USE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSION OR DECISIONS ABOUT THE POTENTI AL TRANSACTION OR ACTIVITY. IN ADDITION, ALL OFFICERS AND KEY EMPLOYEES ARE REQUIRED TO DI SCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS. THESE DISCLOSURES ARE REVIEWE D AND ANY DISCLOSURES THAT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPROPRIATE SENIOR OFFICER. ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED TO THE CHAIR OF THE BOARD OF TRUSTEES. -----</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|---|---|
| FORM 990, PART VI, LINES 15A AND 15B | <p>COMPENSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DESCRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES. THIS PROCESS HAS BEEN IN PLACE SINCE AT LEAST 2000. THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY THE INDEPENDENT TRUSTEES ON THE FULL BOARD. THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES. IN CONNECTION WITH THE PRESIDENT'S COMPENSATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION. WITH RESPECT TO OTHER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS. IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE COMPENSATION COMMITTEE HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION. THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS. SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS. "INDEPENDENT TRUSTEE" INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITHIN THE MEANING OF PARAGRAPH (c)(1)(iii) OF REGULATION 53.4958-6 OF THE INTERNAL REVENUE CODE. IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE USES A DIFFERENT DEFINITION. -----</p> <p>----- FORM 990, PART VI, LINE 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW.COLUMBIA.EDU. -----</p> <p>----- FORM 990, PART VII, SECTION A NOTE ON TRUSTEES' TIME DEVOTED TO POSITION (4 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR. BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES OFTEN DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION. -----</p> <p>----- FORM 990, PART VII, SECTION A NOTE ON RELATED HOURS INDIVIDUALS WITH LISTED HOURS FOR A RELATED ORGANIZATION SIT ON THE BOARD OF COLUMBIA CONTROLLED AFFILIATES AS PART OF THEIR OVERALL COLUMBIA DUTIES, WHEN AND AS NEEDED BY THE AFFILIATES AND THAT MAY NOT BE ON A REGULAR OR WEEKLY BASIS. -----</p> <p>----- FORM 990, PART VIII DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES. THE REV</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|--|---|
| FORM 990, PART VIII, LINES 15A AND 15B | <p>REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES. COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES. ----- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED. THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES. ----- FORM 990, PART IX, LINE 5 COMPENSATION PAID TO CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES COMPENSATION AMOUNTS PRESENTED AS MANAGEMENT AND GENERAL EXPENSES ARE FOR CURRENT OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES WHO ARE PART OF CENTRAL ADMINISTRATION. WHILE THESE OFFICERS AND KEY EMPLOYEES SPEND A PORTION OF THEIR TIME ON PROGRAM SERVICES AND/OR FUNDRAISING ACTIVITIES IN ADDITION TO THEIR MANAGEMENT RESPONSIBILITIES, THE UNIVERSITY HAS CHOSEN NOT TO ALLOCATE THE EXPENSES BETWEEN CATEGORIES. ----- FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS. INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES. -----</p> <p>-----</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|--------------------------------|--|
| FORM 990, PART X, LINE 7 | <p>LOAN INFORMATION LOANS RECEIVABLE FROM STUDENTS TOTAL \$76,723,000. LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$24,943,108. THE LOANS FROM NON-KEY EMPLOYEES HAVE VARIOUS INTEREST RATES RANGING FROM 1.01% TO 15.00%, WITH MATURITY DATES RANGING FROM 2020 THROUGH 2047. THE OUTSTANDING BALANCES RANGE FROM \$15,000 TO \$2,988,029 AND A PORTION OF WHICH ARE SECURED BY A PRINCIPAL RESIDENCE. -----</p> <p>----- FORM 990, PART XI, LINE 9 OTHER CHANGES IN NET ASSETS OR FUND BALANCE CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY (4,121,555) PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS 4,827,298 NET PERIOD BENEFIT COST 8,657,923 CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS (38,894,051) OTHER NON-OPERATING ADJUSTMENTS 7,028,944 ----- TOTAL OTHER CHANGES IN NET ASSETS (22,501,441) -----</p> <p>----- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR. THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP. THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF INDEPENDENT TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR.</p> |

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| See Additional Data Table | | | | | |
|---|-------------------------|--|---------------------|---------------------------|----------------------------------|
| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
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Part II

Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| See Additional Data Table | | | | | | | |
|---|-------------------------|--|----------------------------|---|----------------------------------|--|----|
| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled entity? | |
| | | | | | | Yes | No |
| | | | | | | | |
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| | | | | | | | |

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

See Additional Data Table

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income(related, unrelated, excluded from tax under sections 512- 514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? | | (i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|--|----------------------------|---|--|--|---------------------------------|--|---|----|--|---|----|--------------------------------|
| | | | | | | | Yes | No | | Yes | No | |
| | | | | | | | | | | | | |
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Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

See Additional Data Table

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of- year assets | (h) Percentage ownership | (i) Section 512(b) (13) controlled entity? | |
|--|-------------------------|---|-------------------------------------|--|---------------------------------|---|--------------------------------|---|----|
| | | | | | | | | Yes | No |
| | | | | | | | | | |
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Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

Yes

1c

No

1d

No

1e

No

1f

No

1g

No

1h

No

1i

No

1j

Yes

1k

No

1l

No

1m

No

1n

No

1o

No

1p

No

1q

No

1r

No

1s

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

See Additional Data Table

| (a) Name of related organization | (b) Transaction type (a-s) | (c) Amount involved | (d) Method of determining amount involved |
|-------------------------------------|----------------------------------|------------------------|--|
| | | | |
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Schedule R (Form 990) 2019

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. (see instructions).

| Return Reference | Explanation |
|--------------------------|---|
| SUPPLEMENTAL INFORMATION | <p>SCHEDULE R COLUMBIA UNIVERSITY OWNS AN 81.57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW. ----- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS. -----</p> <p>----- SCHEDULE R, PART I INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED. TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED AS REPORTED IN SCHEDULE R, PART IV. THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES. -----</p> <p>----- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC. TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I. -----</p> <p>----- SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE. THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP. THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990. SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII. THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2020 IS SET FORTH BELOW: ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) INCOME: \$1,017,532 SHARE OF END-OF-YEAR (GROSS) ASSETS: \$28,905,303 COLUMBIA/PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS: (\$1,041,274) SHARE OF END-OF-YEAR (GROSS) ASSETS: \$949,541 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME: \$31,497,467 SHARE OF END-OF-YEAR (GROSS) ASSETS: \$782,754,878 HARBOUR LITIGATION INVESTMENT OFFSHORE SHARE OF TOTAL (GROSS) INCOME: \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS: \$1,570,789 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) LOSS: \$3,783 SHARE OF END-OF-YEAR (GROSS) ASSETS: \$321,582 -----</p> <p>----- SCHEDULE R, PART IV AS OF JUNE 30, 2020, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 58 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK AND 2 POOLED INCOME FUNDS DOMICILED IN NEW YORK.</p> |

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule R, Part I - Identification of Disregarded Entities

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary Activity | (c) Legal Domicile (State or Foreign Country) | (d) Total income | (e) End-of-year assets | (f) Direct Controlling Entity |
|--|-------------------------|--|---------------------|---------------------------|-------------------------------------|
| COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | INVEST MGMT | NY | 0 | 0 | COLUMBIA |
| CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851 | INVEST HOLDNG | DE | 48,325,045 | 281,217,195 | COLUMBIA |
| CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854 | INVEST HOLDNG | DE | 0 | 0 | COLUMBIA |
| CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855 | INVEST HOLDNG | DE | -69,262 | 3,264,031 | COLUMBIA |
| CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856 | INVEST HOLDNG | DE | 1,890,584 | 30,840,315 | COLUMBIA |
| CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861 | INVEST HOLDNG | DE | 0 | 0 | COLUMBIA |
| CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022 13-5598093 | INVESTMENTS | DE | 0 | 0 | CIM V |
| CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857 | INVEST HOLDNG | DE | 38,369 | 15,738,953 | COLUMBIA |
| CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858 | INVEST HOLDNG | DE | 0 | 0 | COLUMBIA |
| CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859 | INVEST HOLDNG | DE | 0 | 0 | COLUMBIA |
| CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864 | INVEST HOLDNG | DE | -9,394,586 | 201 | COLUMBIA |
| CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865 | INVEST HOLDNG | DE | 0 | 0 | COLUMBIA |
| CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866 | INVEST HOLDNG | DE | 0 | 0 | COLUMBIA |
| CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498 | INVEST HOLDNG | DE | 0 | 1,431,935 | COLUMBIA |
| CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500 | INVEST HOLDNG | DE | 0 | 0 | COLUMBIA |
| DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093 | INVESTMENTS | DE | 0 | 193,699,326 | COLUMBIA |
| COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648 | PHYSICNS PRAC | NY | 1,203,123 | 2,252,287 | COLUMBIA |
| COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880 | NEW MEDIA | NY | 0 | 0 | COLUMBIA |
| HITHER PROPERTY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 27-0201222 | REAL ESTATE | DE | 0 | 2,196,179 | COLUMBIA |
| CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | COLUMBIA |

Form 990, Schedule R, Part I - Identification of Disregarded Entities

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary Activity | (c) Legal Domicile (State or Foreign Country) | (d) Total income | (e) End-of-year assets | (f) Direct Controlling Entity |
|---|-------------------------|---|---------------------|---------------------------|----------------------------------|
| ACCESS PROJECT LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTH RESCH | NY | 0 | 0 | COLUMBIA |
| MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 385,370 | COLUMBIA |
| MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 909,236 | COLUMBIA |
| MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 0 | COLUMBIA |
| MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 0 | COLUMBIA |
| MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 24,109 | COLUMBIA |
| MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 550,113 | COLUMBIA |
| MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 0 | COLUMBIA |
| MSPH KG LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | HEALTHCARE | NY | 0 | 0 | COLUMBIA |
| COLUMBIA INT'L PROJECTS LLC (KENYA) 412 LOW MEMORIAL LIBRARY 535 W 116 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | COLUMBIA |
| COLUMBIA GLOBAL CENTERS LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | COLUMBIA |
| COLUMBIA GLOBAL RESEARCH INITIATIVES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | COLUMBIA |
| CU STUDIO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093 | EDUCATION | NY | 0 | 0 | COLUMBIA |
| AFFILIATED PHYSICIANS ORGANIZATION LLC 51 AUDUBON AVENUE NEW YORK, NY 10032 82-1575764 | PHY PRCTC GRP | NY | 0 | 0 | COLUMBIA |
| CU Global North LLC 535 West 116th Street MC 4308 NEW YORK, NY 10027 | SH VEHICLE | NY | 0 | 0 | COLUMBIA |
| Columbia Worldwide LLC 535 West 116th Street MC 4308 NEW YORK, NY 10027 | SH VEHICLE | NY | 0 | 0 | COLUMBIA |
| CU Global West LLC 535 West 116th Street MC 4308 NEW YORK, NY 10027 | SH VEHICLE | NY | 0 | 0 | COLUMBIA |
| CU Global South LLC 535 West 116th Street MC 4308 NEW YORK, NY 10027 | SH VEHICLE | NY | 0 | 0 | COLUMBIA |
| Israel Global Center LLC 535 West 116th Street MC 4308 NEW YORK, NY 10027 | SH VEHICLE | NY | 0 | 0 | COLUMBIA |
| CU Global East LLC 535 West 116th St MC 4308 NEW YORK, NY 10027 | SH VEHICLE | NY | 0 | 0 | COLUMBIA |

| Form 990, Schedule R, Part I - Identification of Disregarded Entities | | | | | |
|---|-------------------------|---|---------------------|---------------------------|----------------------------------|
| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary Activity | (c) Legal Domicile (State or Foreign Country) | (d) Total income | (e) End-of-year assets | (f) Direct Controlling Entity |
| Tel Aviv Global Center LLC 535 West 116th St MC 4308 NEW YORK, NY 10027 | SH VEHICLE | NY | 0 | 0 | COLUMBIA |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations | | | | | | | |
|--|-------------------------|--|----------------------------|---|----------------------------------|---|----|
| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512 (b)(13) controlled entity? | |
| | | | | | | Yes | No |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3948652 | HEALTHCARE | NY | 501(C)(3) | 10 | COLUMBIA | Yes | |
| 630 WEST 168TH STREET NEW YORK, NY 10032 13-6162924 | FUNDRAISING | NY | 501(C)(3) | 12A | NA | | No |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3800106 | BENEFITS SUPP | NY | 501(C)(3) | 12A | COLUMBIA | Yes | |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-1623968 | ACADEMIC PUB | NY | 501(C)(3) | 12A | COLUMBIA | Yes | |
| 1051 RIVERSIDE DRIVE NEW YORK, NY 10032 13-3118570 | EDUC SUPPORT | NY | 501(C)(3) | 12A | NA | | No |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 51-0192355 | CLINICAL EDUC | NY | 501(C)(3) | 12A | COLUMBIA | Yes | |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-1611126 | EDU/CUL EXCH | NY | 501(C)(3) | 12A | COLUMBIA | Yes | |
| 535 WEST 116TH STREET NEW YORK, NY 10027 | EDUCATION | FR | N/A | N/A | REID HALL | Yes | |
| 4 RUE DE CHEVREUSE PARIS 75006 FR | EDUCATION | FR | N/A | N/A | REID HALL | Yes | |
| 400 FORRESTAL ROAD PRINCETON, NJ 08540 22-3751732 | LIBRARY COLL | NJ | 501(C)(3) | 12A | NA | | No |
| 3225 GALLOWS RD FAIRFAX, VA 22037 54-1962639 | EDUC SUPPORT | DE | 501(C)(3) | 12 | NA | | No |
| 1275 AUDOBON AVENUE GROSSE POINTE PK, MI 48230 38-3441481 | EDUC SUPPORT | MI | 501(C)(3) | 12 | NA | | No |
| 2852 BROADWAY NEW YORK, NY 10025 | RESEARCH | DR | N/A | N/A | CIP | Yes | |
| KEBELE 06 H447 PO BX 664 ADDIS ABABA 1250 ET | HEALTHCARE | ET | N/A | N/A | COLUMBIA | Yes | |
| 630 W 168TH STREET NEW YORK, NY 10032 | RESEARCH | IN | N/A | N/A | CGC LLC | Yes | |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 | FUNDRAISING | UK | N/A | N/A | COLUMBIA | Yes | |
| GPO BOX 5314 CONNAUGHT PLACE HK | FUNDRAISING | HK | N/A | N/A | COLUMBIA | Yes | |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 | HEALTHCARE | WZ | N/A | N/A | CIP | Yes | |
| AVDAG HAMMASKJOLD 32691ST FL SANTIAGO CI | RESEARCH | CI | N/A | N/A | COLUMBIA | Yes | |
| C/O 630 WEST 168TH STREET PS BOX NEW YORK, NY 10032 33-1033330 | HEALTHCARE | DE | 501(C)(3) | 12C | NA | | No |

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c) (3)) | (f) Direct controlling entity | (g) Section 512 (b)(13) controlled entity? | |
|--|-------------------------|--|-------------------------------|---|-------------------------------------|--|----|
| | | | | | | Yes | No |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 | RESEARCH | FR | N/A | N/A | COLUMBIA | Yes | |
| PO BOX 51412 NAIROBI 00100 KE | RESEARCH | KE | N/A | N/A | COLUMBIA | Yes | |
| 5 MOHD AL SAD AL-BATAYNEH STREET AMMAN 11814 JO | EDUC SUPPORT | JO | N/A | N/A | COLUMBIA | Yes | |
| 39 Lawson Av 42 Bates St Harare ZI | HLTH/RESEARCH | ZI | N/A | N/A | COLUMBIA | Yes | |
| RUA MARIA EUGENIA 90301 RIO DE JANEIRO 22261 BR | EDUCATION | BR | N/A | N/A | STUDIOGSAPP | Yes | |
| 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 81-4759386 | EDU/PMT EXPR | NY | 501(C)(3) | 12A | COLUMBIA | Yes | |
| 15 Avenue de Carthage Tunis TS | RESEARCH | DE | N/A | N/A | CIP | Yes | |
| 2711 Centerville Road Suite 400 WILMINGTON, DE 19808 | RESEARCH | DE | N/A | N/A | COLUMBIA | Yes | |
| 2711 CENTERVILLE RD SUITE 4 WILMINGTON, DE 19808 | RESEARCH | DE | N/A | N/A | CIP | Yes | |
| 2711 Centerville Road Suite 400 WILMINGTON, DE 19808 | RESEARCH | DE | N/A | N/A | CIP | Yes | |
| PLOT 817 CADASTRAL ZONE B04 JABI DISTRICT, ABUJA NI | RESEARCH | NI | N/A | N/A | CIP | Yes | |

| Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership | | | | | | | | | | | | |
|--|-------------------------|---|--|---|---------------------------------|--|--|----|--|--|----|--------------------------------|
| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income(related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of- year assets | (h) Disproprtionate allocations? | | (i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065) | (j) General or Managing Partner? | | (k) Percentage ownership |
| | | | | | | | Yes | No | | Yes | No | |
| MERIT ENGY PTR V LP 13727 NOEL RD DALLAS, TX 75240 75-2411038 | INVESTMENTS | TX | COLUMBIA | EXCLUDED | -145,609 | 3,771,570 | | No | 0 | | No | 99.209 % |
| GCM GRSVNR BLUE LP 767 FIFTH AVE NEW YORK, NY 10010 30-0773582 | INVESTMENTS | DE | COLUMBIA | EXCLUDED | 1,302,441 | 25,036,001 | | No | 0 | | No | 99.235 % |
| Q INDIA EQTY FD LTD 118 ST JEAN RD QUATRE BORNE MP 98-0494924 | INVESTMENTS | MP | COLUMBIA | EXCLUDED | 3,167,334 | 124,938,690 | | No | 0 | | No | 70.108 % |
| CANAAN RSC PTRS DRL 1101 N Broadway OKC, OK 73103 36-4777388 | INVESTMENTS | OK | COLUMBIA | EXCLUDED | 22,450,280 | 127,643,526 | | No | 0 | | No | 99.000 % |
| STAR ASIA OP FD III 125 Gaither Dr Mount Laurel, NJ 08054 98-1291896 | INVESTMENTS | HK | COLUMBIA | EXCLUDED | 228,573 | 179,341,254 | | No | 0 | | No | 98.765 % |
| Calunius Lit Risk PO 656 Trafalgar Ct Les Banques ST PETER PORT GK GY1 3P GK 98-0684876 | INVESTMENTS | GK | COLUMBIA | EXCLUDED | 0 | 7,295,418 | | No | 0 | | No | 75.608 % |
| Calunius Lit Risk 2 PO 656 Trafalgar Ct Les Banques ST PETER PORT GK GY1 3P GK 98-1162651 | INVESTMENTS | GK | COLUMBIA | EXCLUDED | -3,957,727 | 5,309,949 | | No | 0 | | No | 60.120 % |
| Calunius Lit Risk 3 PO 656 Trafalgar Ct Les Banques ST PETER PORT GK GY1 3P GK 98-1336667 | INVESTMENTS | GK | COLUMBIA | EXCLUDED | -4,315,347 | 18,219,724 | | No | 0 | | No | 63.213 % |
| OFS SSMH CI 6363 WOODWAY DR HOUSTON, TX 77057 83-0826131 | INVESTMENTS | DE | COLUMBIA | UNRELATED | -4,820,434 | 1,353,859 | | No | -4,904,182 | | No | 100.000 % |
| Strategy Capital Institutional Investors 1 First St STE 13 LOS ALTOS, CA 94022 84-2015871 | INVESTMENTS | CA | COLUMBIA | EXCLUDED | -4,685,019 | 97,606,762 | | No | 0 | | No | 99.867 % |

| Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust | | | | | | | | | |
|---|-------------------------|---|-------------------------------------|--|---------------------------------|---------------------------------------|--------------------------------|--|----|
| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership | (i) Section 512 (b)(13) controlled entity? | |
| | | | | | | | | Yes | No |
| 16 EAST 60TH STREET CORPORATION 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-3675222 | REAL ESTATE | NY | COLUMBIA | C-CORP | 0 | 0 | 100.000 % | Yes | |
| 2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1786950 | REAL ESTATE | NY | COLUMBIA | C-CORP | 0 | 0 | 100.000 % | Yes | |
| COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEW YORK, NY 10032 13-3396165 | PHYS PRACTICE | NY | COLUMBIA | C-CORP | 0 | 0 | 100.000 % | Yes | |
| FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-4054997 | NEW MEDIA | DE | COLUMBIA | C-CORP | 0 | 0 | 100.000 % | Yes | |
| FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1596696 | REAL ESTATE | NY | COLUMBIA | C-CORP | 0 | 0 | 100.000 % | Yes | |
| MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEW YORK, NY 10027 06-1430120 | REAL ESTATE | NY | COLUMBIA | C-CORP | 0 | 0 | 100.000 % | Yes | |
| COLUMBIA DOCTORS OF NEW JERSEY 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 26-4364931 | HEALTHCARE | NJ | COLUMBIA | C-CORP | 3,565,155 | 632,792 | 100.000 % | Yes | |
| BEIJING DE GA INTRNTL CONSULTING CTR LTD TOWERA TSINGHUA SCIENCEPARK CHENGFURD, HAIDIAN 100084 CH | EDUCATION | CH | COLUMBIA | FOREIGN CORP | 0 | 112,452 | 100.000 % | Yes | |
| ASM HUDSON RIVER FUND WALKER HSE87 MARY ST GEORGE TOWN, GRD CAYMAN KY1-9002 CJ | INVESTMENTS | CJ | COLUMBIA | REG MUTUAL FUND | 2,058,430 | 18,966,318 | 100.000 % | Yes | |
| COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN RD FESTIVAL SQ EDINBURGH, SCOTLAND EH3 9BY UK | INVESTMENTS | UK | COLUMBIA | FOREIGN CORP | 0 | 928,865 | 100.000 % | Yes | |
| FPCM INFLATION LINKED OPPORTUNITIES LTD WALKER HSE87 MARY ST GEORGE TOWN, GRD CAYMAN KY1-9002 CJ | INVESTMENTS | CJ | COLUMBIA | FOREIGN CORP | 0 | 281,217,195 | 100.000 % | Yes | |
| HARBOUR LITIGATION INVEST OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716 | INVESTMENTS | CJ | COLUMBIA | FOREIGN CORP | 0 | 2,352,366 | 100.000 % | Yes | |
| NCH INVESTORS FUND (CU) CORP UGLANDS CHURCHPO309 GEORGE TOWN, GD CAYMAN KY1-1104 CJ | INVESTMENTS | CJ | COLUMBIA | FOREIGN CORP | 0 | 170,629 | 100.000 % | Yes | |
| CHARITABLE REMAINDER TRUSTS (58) | N/A | NY | COLUMBIA | TRUST | 0 | 0 | | | |
| POOLED INCOME FUNDS (2) | N/A | NY | COLUMBIA | TRUST | 0 | 0 | | | |

| Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust | | | | | | | | | |
|--|-------------------------|---|-------------------------------------|--|---------------------------------|---------------------------------------|--------------------------------|--|----|
| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership | (i) Section 512 (b)(13) controlled entity? | |
| | | | | | | | | Yes | No |
| CENTERS FOR INTERNATIONAL PROGRAMS 535 WEST 116TH STREET NEW YORK, NY 10027 13-5598093 | PROGRAM SRVCS | DE | COLUMBIA | C-CORP | 0 | 1,265,355 | 100.000 % | Yes | |
| GLOBAL HEALTH RESEARCH CNTR-CE 1255 AMSTERDAM AVENUE NEW YORK, NY 10027 | PROGRAM SRVCS | DE | COLUMBIA | C-CORP | 0 | 95,838 | 100.000 % | Yes | |
| ASSOCIACAO COLUMBIA GLOBAL CENTERBRASIL RUA DA CANDELARIA 9 30 ANDAR CNT RIO DE JANEIRO BR | PROGRAM SRVCS | BR | CGC LLC | N/A | 0 | 175,289 | 100.000 % | Yes | |
| UNIVERSITY WOMEN'S REALTY CORPORATION 408 LOW MEMORIAL LIBRARY MC 4336 NEW YORK, NY 10025 13-2524803 | REAL ESTATE | NY | COLUMBIA | C-CORP | 0 | 0 | 100.000 % | Yes | |
| COLUMBIA DOCTORS OF BERGEN COUNTY 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 47-3568473 | HEALTHCARE | NJ | COLUMBIA | C-CORP | 2,806,559 | 722,856 | 100.000 % | Yes | |
| COLUMBIA GLOBAL DANISMANLIK VE ARASTIRMA MECIDITE MAH MAVI SARAY 37/7 SARIYER TU | PROGRAM SRVCS | TU | COLUMBIA | FOREIGN CORP | 11,038 | 0 | 100.000 % | Yes | |
| COLUMBIA RESEARCH AND CONSULTANCY SRVCS EXPRESS TOWERS 11TH FL NARIMAN PT MUMBAI 400021 IN | PROGRAM SRVCS | IN | CU INT'L PRJCTS | FOREIGN CORP | 0 | 0 | 99.000 % | Yes | |
| COLUMBIA RADIOLOGY INC 630 W 168TH ST NEW YORK, NY 10032 47-5288953 | HEALTHCARE | NY | COLUMBIA | C-CORP | 0 | 0 | 100.000 % | Yes | |
| COLUMBIA DOCTORS OF CONNECTICUT PC 630 W 168TH ST NUM 2-460 NEW YORK, NY 10032 82-4894259 | HEALTHCARE | CT | COLUMBIA | C-CORP | 1,858,375 | 365,676 | 100.000 % | Yes | |
| BLC Energy Fund B LP PO BOX 309 GRAND CAYMAN KY1-1104 CJ CJ 98-1458232 | INVESTMENTS | CJ | COLUMBIA | FOREIGN CORP | 0 | 6,280,000 | 83.391 % | Yes | |
| Star Asia Capital Corp Ltd 89 Nexus Way CAYMAN BAY, GRAND CAYMAN CJ 98-0525454 | INVESTMENTS | CJ | STAR ASIA III | FOREIGN CORP | 17,888,348 | 126,441,214 | 78.546 % | Yes | |
| CIM (CAYMAN) LLC PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ | INVESTMENTS | CJ | COLUMBIA | FOREIGN CORP | 910,180 | 25,221,174 | 100.000 % | Yes | |

Form 990, Schedule R, Part V - Transactions With Related Organizations

| (a) Name of related organization | (b) Transaction type(a-s) | (c) Amount Involved | (d) Method of determining amount involved |
|---|--|-------------------------------|---|
| ASM HUDSON RIVER FUND | S | 9,711,301 | FMV |
| CANAAN RESOURCE PARTNERS DRILLING FUND LP | B | 13,000,000 | FMV |
| CANAAN RESOURCE PARTNERS DRILLING FUND LP | s | 28,500,000 | FMV |
| GCM GROSVENOR BLUE LP | b | 81,026 | FMV |
| GCM GROSVENOR BLUE LP | S | 9,521,114 | FMV |
| MERIT ENERGY PARTNERS V LP | S | 990,000 | FMV |
| OFS SSMH CI LP | b | 58,824 | FMV |
| Star Asia Opportunity Fund III | S | 18,582,998 | FMV |
| Calunius Litigation Risk Fund 3 LP | B | 2,521,355 | FMV |
| BLC Energy Fund B LP | S | 3,754,156 | FMV |
| Strategy Capital Institutional Investors LP | B | 100,000,000 | FMV |
| KNIGHT FIRST AMDMT INST AT COLUMBIA | B | 1,011,472 | FMV |
| REID HALL INC | S | 386,197 | FMV |
| COLUMBIA UNIVERSITY HEALTH CARE INC | B | 11,564,712 | FMV |