

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**  
 ▶ **Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.**

OMB No 1545-0052  
**2019**  
**Open to Public Inspection**

**For calendar year 2019, or tax year beginning 02-01-2019, and ending 01-31-2020**

Name of foundation MALCOLM GIBBS FOUNDATION INC		<b>A Employer identification number</b> 13-4041340	
Number and street (or P O box number if mail is not delivered to street address) 14 EAST 60TH STREET STE 702		<b>B Telephone number</b> (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>18,544,552</u>		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>			

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	43,371			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	6,415	6,415		
	<b>4</b> Dividends and interest from securities	863,662	863,662		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	2,209,873			
	<b>b</b> Gross sales price for all assets on line 6a	5,474,272			
	<b>7</b> Capital gain net income (from Part IV, line 2)		2,209,873		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	-7,673	3,611			
<b>12 Total.</b> Add lines 1 through 11	3,115,648	3,083,561			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages	152,023	37,232		37,231
	<b>15</b> Pension plans, employee benefits	26,338	13,169		13,169
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	1,039	47		728
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	16,344			1,000
	<b>19</b> Depreciation (attach schedule) and depletion	2,927			
	<b>20</b> Occupancy	29,830			29,830
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications	407	407		
	<b>23</b> Other expenses (attach schedule)	231,910	203,588		28,322
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	460,818	254,443		110,280
	<b>25</b> Contributions, gifts, grants paid	864,750			864,750
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	1,325,568	254,443		975,030	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	1,790,080				
<b>b Net investment income</b> (if negative, enter -0-)		2,829,118			
<b>c Adjusted net income</b> (if negative, enter -0-)					

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	929,391	205,879	205,879
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	13,020,478	15,574,459	18,048,106
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	202,946	147,308	176,082
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	249,750	249,750	114,485
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	14,402,565	16,177,396	18,544,552	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds	14,402,565	16,177,396	
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	14,402,565	16,177,396		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	14,402,565	16,177,396		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	14,402,565
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	1,790,080
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	16,192,645
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	15,249
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	16,177,396

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)	
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) <span style="float:right">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>					2,209,873
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					-3,556

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	888,788	18,085,821	0.049143
2017	909,292	17,962,642	0.050621
2016	834,829	16,395,065	0.050920
2015	968,624	17,555,221	0.055176
2014	612,176	17,780,481	0.034430
2 Total of line 1, column (d)			0.240290
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			0.048058
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			18,194,186
5 Multiply line 4 by line 3			874,376
6 Enter 1% of net investment income (1% of Part I, line 27b)			28,291
7 Add lines 5 and 6			902,667
8 Enter qualifying distributions from Part XII, line 4			975,030

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 16,051.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of BRUCE ROSENBLATT Telephone no (212) 755-2445

Located at 14 EAST 60TH STREET SUITE 702 NEW YORK NY ZIP+4 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

				<b>Yes</b>	<b>No</b>
<b>5a</b>	During the year did the foundation pay or incur any amount to				
	<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
	<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
	<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
	<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
	<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.				<b>5b</b>
	Organizations relying on a current notice regarding disaster assistance check here. ▶		<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>				<b>6b</b>
					<b>No</b>
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?				<b>7b</b>
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN COLIN HERBST 14 EAST 60TH STREET NEW YORK, NY 10022	CHAIRMAN 000 00	0	0	0
LAURA COLIN KLEIN 14 EAST 60TH STREET NEW YORK, NY 10022	PRESIDENT 000 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRUCE ROSENBLATT 137 OLD HYDE ROAD WESTON, CT 06883	ACCTG/ADMIN 000 00	77,560		
<b>Total</b> number of other employees paid over \$50,000. ▶				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . .	▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	17,492,669
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	846,732
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	131,854
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	18,471,255
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	18,471,255
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	277,069
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	18,194,186
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	909,709

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	909,709
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5.	<b>2a</b>	28,291
<b>b</b>	Income tax for 2019 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	28,291
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	881,418
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	881,418
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	881,418

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	975,030
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	975,030
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	28,291
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	946,739

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				881,418
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only. . . . .			689,709	
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2019				
<b>a</b> From 2014. . . . .				
<b>b</b> From 2015. . . . .				
<b>c</b> From 2016. . . . .				
<b>d</b> From 2017. . . . .				
<b>e</b> From 2018. . . . .				
<b>f</b> Total of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>975,030</u>				
<b>a</b> Applied to 2018, but not more than line 2a			689,709	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2019 distributable amount. . . . .				285,321
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020 . . . . .				596,097
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2015. . . . .				
<b>b</b> Excess from 2016. . . . .				
<b>c</b> Excess from 2017. . . . .				
<b>d</b> Excess from 2018. . . . .				
<b>e</b> Excess from 2019. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				



**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

**Part XVII**

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
    - (1)** Cash. . . . .
    - (2)** Other assets. . . . .
  - b** Other transactions
    - (1)** Sales of assets to a noncharitable exempt organization. . . . .
    - (2)** Purchases of assets from a noncharitable exempt organization. . . . .
    - (3)** Rental of facilities, equipment, or other assets. . . . .
    - (4)** Reimbursement arrangements. . . . .
    - (5)** Loans or loan guarantees. . . . .
    - (6)** Performance of services or membership or fundraising solicitations. . . . .
  - c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .
  - d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
<b>1a(1)</b>		No
<b>1a(2)</b>		No
<b>1b(1)</b>		No
<b>1b(2)</b>		No
<b>1b(3)</b>		No
<b>1b(4)</b>		No
<b>1b(5)</b>		No
<b>1b(6)</b>		No
<b>1c</b>		No

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?  Yes  No
- b** If "Yes," complete the following schedule
- | (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****	2020-05-27	*****	May the IRS discuss this return with the preparer shown below (see instr ) <input type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>BRUCE ROSENBLATT</b>	Preparer's Signature	Date <b>2020-05-27</b>	Check if self-employed <input checked="" type="checkbox"/>	PTIN <b>P00394563</b>
	Firm's name <b>▶ BRUCE ROSENBLATT EA</b>				Firm's EIN <b>▶</b>
	Firm's address <b>▶ 14 E 60TH ST STE 702 NEW YORK, NY 100221006</b>				Phone no <b>(212) 755-2445</b>

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ABERDEEN EMERGING MKTS EQUITY INCOME	P	1997-09-17	2019-08-06
BLACKROCK ENHANCED CAPITAL & INCOME	P	2009-07-21	2019-08-19
CULLEN HIGH DIVIDEND EQ FD	P	2009-05-19	2019-08-15
FIDELITY ADVISOR NEW INSIGHTS FD	P	2013-12-16	2019-08-06
INVESCO HIGH YIELD EQTY DIV ACHIEVER	P	2016-06-23	2019-08-07
METTLER TOLEDO INTL INC	P	2003-07-17	2019-07-01
ONEOK INC NEW	P	2017-07-03	2019-08-15
THERMO FISHER SCIENTIFIC INC	P	1999-07-29	2019-09-11
AECOM	P	2010-08-03	2019-09-19
BLACKROCK ENHANCED EQUITY DIV TR	P	2006-04-19	2019-08-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,369		92,647	-42,278
93,625		79,815	13,810
56,129		45,126	11,003
50,229		42,162	8,067
139,997		121,199	18,798
254,140		11,361	242,779
100,463		76,804	23,659
72,499		3,875	68,624
68,039		44,573	23,466
113,876		101,380	12,496

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-42,278
			13,810
			11,003
			8,067
			18,798
			242,779
			23,659
			68,624
			23,466
			12,496

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
CULLEN HIGH DIVIDEND EQ FD	P	2018-08-31	2019-08-15
FIDELITY ADVISOR NEW INSIGHTSFD	P	2018-12-10	2019-08-06
INVESCO EUROPEAN SMALL CO FD	P	2014-12-15	2019-08-19
MFS SER TR I VALUE FD	P	2015-12-11	2019-08-21
OTELCO INC NEW	P	2005-05-25	2019-08-19
TREDEGAR CORP	P	2014-02-26	2019-09-10
AGENUS INC NEW	P	1988-10-27	2019-08-19
BLACKSTONE GROUP INC	P	2015-08-26	2019-08-06
DELAWARE GLOBAL & INTL EMERGING MKTS	P	2009-05-19	2019-08-19
FIDELITY INVT TR EMERGING EUROPE	P	2018-08-16	2019-08-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,443		8,127	316
5,703		5,067	636
1,780		1,636	144
6,765		5,692	1,073
11,733		76,855	-65,122
37,059		47,998	-10,939
1,667		71,074	-69,407
45,129		26,065	19,064
36,199		23,742	12,457
27,371		25,626	1,745

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			316
			636
			144
			1,073
			-65,122
			-10,939
			-69,407
			19,064
			12,457
			1,745

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
INVESCO EUROPEAN SMALL CO FD	P	2018-12-17	2019-08-19
MFS SER TR I VALUE FD	P	2018-09-27	2019-08-21
P10 INDS INC NEW	P	2001-04-27	2020-01-17
UGI CORP NEW	P	2019-08-26	2019-08-26
ALEXANDRIA REAL ESTATE EQUITIES	P	2009-01-14	2019-10-07
BNY MELLON APPRECIATION FD	P	2015-12-24	2019-08-14
DELAWARE GLOBAL & INTL EMERGING MKTS	P	2018-12-24	2019-08-19
FIRTST TR STOXX EUROPEAN SEL DIV	P	2016-06-24	2019-09-10
INVESCO TR II GLOBAL WATER	P	2011-08-02	2019-08-06
MFS SER TR V INTL NEW DISCOVERY FD	P	2007-12-18	2019-08-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
117		112	5
260		234	26
2,900		66,339	-63,439
29		29	
100		45	55
2,539		3,087	-548
242		224	18
12,530		11,350	1,180
67,698		48,764	18,934
14,007		7,996	6,011

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			26
			-63,439
			55
			-548
			18
			1,180
			18,934
			6,011



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PEOPLES UNITED FINCL INC	P	2011-05-05	2019-08-19
VANGUARD CONSUMER STAPLE ETF	P	2017-02-03	2019-08-02
ALLIANCE PHARMACEUTICAL NEW	P	1997-07-23	2019-09-01
BNY MELLON APPRECIATION FD	P	2018-10-01	2019-08-14
DELAWARE GRP CORP BOND FD	P	2013-08-23	2019-08-15
FISERV INC	P	2004-02-23	2019-08-21
INVESCO TR II S&P INTL DEV LOW VOLAT	P	2017-02-06	2019-08-06
MFS SER TR V INTL NEW DISCOVERY FD	P	2018-12-12	2019-08-21
PT TELEKOMUNAKASI INDONESIA	P	2012-02-21	2019-08-15
VICON INDUSTRIES INC	P	1998-07-22	2019-10-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,699		34,740	1,959
43,192		39,750	3,442
		4,320	-4,320
604		533	71
13,558		13,029	529
322,733		28,677	294,056
66,299		59,060	7,239
937		850	87
60,159		31,854	28,305
		7,196	-7,196

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,959
			3,442
			-4,320
			71
			529
			294,056
			7,239
			87
			28,305
			-7,196

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ALLIANZ MULTI STRATEGY GLOBA LWATER	P	2011-06-07	2019-07-02
BUNGE LTD CV PFD	P	2008-11-12	2019-08-16
DELAWARE GRP CORP BOND FD	P	2018-08-23	2019-08-15
FRANKLIN MUTUAL EUROPEAN FD	P	2015-12-21	2019-08-22
INVESCO TR WATER RES	P	2009-06-11	2019-08-06
MICRO FOCUS INTL PLC ADR	P	2017-09-13	2019-05-20
RED HAT INC	P	2000-09-01	2019-07-10
WABTEC	P	2019-02-26	2019-02-26
ALLIANZ MULTI STRATEGY GLOBA LWATER	P	2018-12-21	2019-08-14
CABOT CORP	P	2014-08-06	2019-08-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
85,369		56,994	28,375
49,974		29,524	20,450
576		532	44
4,973		4,907	66
89,337		40,248	49,089
597		3,854	-3,257
285,000		38,346	246,654
62			62
3,515		2,977	538
19,460		26,260	-6,800

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			28,375
			20,450
			44
			66
			49,089
			-3,257
			246,654
			62
			538
			-6,800

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
DENSPLY SIRONA INC	P	1999-01-04	2019-08-19
FRANKLIN MUTUAL EUROPEAN FD	P	2018-10-08	2019-08-22
IROBOT CORP	P	2012-02-09	2019-08-27
MORGAN STANLEY INDIA INVT FD	P	2017-09-15	2019-08-19
REPLIGEN CORP	P	1990-06-20	2019-08-06
WELLTOWER INC	P	2018-06-12	2019-08-14
AMERICAN NEW WORLD FUND	P	2016-01-26	2019-08-14
CARREFOUR SUPERMARCHE	P	2011-08-08	2019-08-20
DXC TECHNOLOGY CO	P	2011-02-23	2019-08-20
GENERAL MILLS INC	P	2010-12-20	2019-08-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
106,818		39,153	67,665
198		188	10
31,149		13,572	17,577
12,483		24,521	-12,038
72,759		10,335	62,424
36,920		24,782	12,138
2,707		1,948	759
25,649		39,641	-13,992
2,863		2,874	-11
52,009		38,350	13,659

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			67,665
			10
			17,577
			-12,038
			62,424
			12,138
			759
			-13,992
			-11
			13,659

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
JACOBS ENGINEERING GROUP	P	2008-12-24	2019-08-26
MOTOROLA SOLUTIONS INC	P	2018-06-08	2019-08-06
ROYCE GLOBAL VALUE TR	P	2013-10-18	2019-08-19
WOODWARD INC	P	1999-08-30	2019-08-22
AMERICAN NEW WORLD FUND	P	2018-12-24	2019-08-14
CELGENE CORP	P	2009-12-17	2019-08-06
DXC TECHNOLOGY CO	P	2019-06-17	2019-08-20
GLOBAL PARTNERS LP	P	2019-08-22	2019-01-24
JAMES SMALL CAP FD	P	2013-11-18	2019-08-07
NATIONA LGRID PLC ADR NEW 2017	P	2010-05-19	2019-08-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42,739		22,536	20,203
68,699		45,024	23,675
1,978			1,978
314,854		12,709	302,145
35		30	5
201,658		51,535	150,123
13,977		21,688	-7,711
1,672		1,619	53
4,650		6,688	-2,038
47,191		45,666	1,525

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20,203
			23,675
			1,978
			302,145
			5
			150,123
			-7,711
			53
			-2,038
			1,525

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
SEAGATE TECHNOLOGY PLC	P	2017-08-23	2019-08-06
AMERICAN WASHINGTON MUTUAL FUND	P	2015-12-21	2019-08-14
CELLDEX THERAPEUTICS INC NEW	P	2009-09-15	2019-02-11
EAGLE CAPITAL GROWTH FD	P	2007-12-20	2019-08-29
HAEMONETICS CORP MASS	P	2002-01-18	2019-08-19
JAMES SMALL CAP FD	P	2018-12-11	2019-08-07
NICE SYSTEMS LTD ADR-USD	P	1996-03-11	2019-08-06
SOURCE CAPITAL INC	P	2007-05-24	2019-08-19
AMERICA NWASHINGTON MUTUAL FUND	P	2018-09-17	2019-08-14
CENTRUS ENERGY CORP 2 50% 9/30/19	P	2010-02-23	2019-10-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44,159		31,080	13,079
6,630		6,066	564
3		56	-53
8,090		7,859	231
68,959		7,852	61,107
441		449	-8
224,995		24,229	200,766
33,859		59,061	-25,202
450		433	17
47,006		55,638	-8,632

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13,079
			564
			-53
			231
			61,107
			-8
			200,766
			-25,202
			17
			-8,632

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
EATON VANCE TAX-MANAGED BUY WRITE FD	P	2007-07-31	2019-08-30
HCP INC	P	2014-01-29	2019-08-21
JERONIMO MARTINS SGPS SA	P	2014-07-17	2019-09-04
NUANCE COMMUNICATIONS INC	P	2008-02-20	2019-08-15
STANLEY BLACK & DECKER INC	P	2008-12-12	2019-08-06
AMERIGAS PARTNERS LP	P	2017-03-29	2019-08-26
CENTRUS ENERGY CORP	P	2010-02-23	2019-08-19
EMCOR GROUP INC NEW	P	2003-07-01	2019-08-06
HEWLETT PACKARD ENTERPRISE CO	P	2011-02-23	2019-08-22
KEYCORP - NEW	P	2008-11-13	2019-08-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
35,488		33,858	1,630
68,339		71,320	-2,981
52,116		47,025	5,091
16,380		17,550	-1,170
95,023		22,312	72,711
62,606		49,593	13,013
4,201		21,634	-17,433
203,121		30,836	172,285
13,153		13,508	-355
58,756		39,285	19,471

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,630
			-2,981
			5,091
			-1,170
			72,711
			13,013
			-17,433
			172,285
			-355
			19,471

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
NUCOR CORPORATION	P	2008-12-12	2019-08-19
T ROWE PRICE INTL EMERGING MKTS STOC	P	2017-07-17	2019-08-20
ANGLO AMERICAN PLC ADR	P	2006-10-27	2019-09-03
CHORUS LTD	P	2008-03-25	2019-09-03
ENLINK MIDSTREAM LLC	P	2018-11-13	2020-01-30
HP INC	P	2011-02-23	2019-08-22
LANDEC CORPORATION	P	2010-03-22	2019-08-30
NUVEEN DOW 30 DYNAMIC OVERWRITE FD	P	2006-02-02	2019-08-19
TALLGRASS ENERGY LP	P	2018-09-04	2020-01-24
ARENA REIT	P	2015-07-17	2019-08-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,240		22,223	3,017
84,583		81,344	3,239
9,031		16,092	-7,061
9,255		7,499	1,756
15,330		33,260	-17,930
18,868		20,590	-1,722
3,300		2,209	1,091
127,349		124,791	2,558
44,662		48,850	-4,188
19,121		12,500	6,621

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,017
			3,239
			-7,061
			1,756
			-17,930
			-1,722
			1,091
			2,558
			-4,188
			6,621

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
COSTAMARE INC-USD	P	2011-10-12	2019-09-10
EPR PPTYS 5 75% PFD	P	2008-06-27	2019-09-03
INFORMA PLC	P	2011-05-11	2019-08-22
LONMIN PLC SPON ADR NEW	P	1991-08-21	2019-07-15
NUVEEN S&P 500 BUY-WRITE INC FD	P	2011-12-14	2019-08-06
TELIA AB - UNSPON ADR	P	2015-03-05	2019-09-03
AVERY DENNISON CORP	P	2008-05-21	2019-08-19
COSTAMARE INC-USD	P	2019-06-24	2019-09-10
EXPONENT INC	P	2001-07-18	2019-08-06
INVESCO BOND FUND	P	2017-08-21	2019-08-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,330		36,535	-18,205
33,599		21,357	12,242
36,399		25,790	10,609
7		12,379	-12,372
144,108		143,083	1,025
8,765		12,550	-3,785
57,989		25,088	32,901
3,055		2,525	530
134,297		2,811	131,486
49,699		48,550	1,149

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-18,205
			12,242
			10,609
			-12,372
			1,025
			-3,785
			32,901
			530
			131,486
			1,149



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
MEDTRONIC PLC	P	2015-01-27	2019-08-19
OLD REPUBLIC INTL CORP	P	2011-08-24	2019-08-19
TELPLETON GLOBAL INCOME FD	P	2004-03-11	2019-08-26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
49,816		35,905	13,911
79,397		34,516	44,881
52,608		78,794	-26,186

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			13,911
			44,881
			-26,186

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ACADIA CENTER THE 1146 WOODCREST AVENUE RIVERHEAD, NY 11901			GENERAL	15,000
AMERICAN CIVIL LIBERTIES UNION FDN 125 BROAD STREET 18TH FLOOR NEW YORK, NY 10004			GENERAL	30,000
ANIMAL CARE CENTERS OF NYC 11 PARK PLACE SUITE 805 NEW YORK, NY 10007			GENERAL	500
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ASPCA424 EAST 92ND STREET NEW YORK, NY 10128			GENERAL	5,000
BAIL PROJECTPO BOX 750 VENICE, CA 90294			GENERAL	10,000
BERKSHIRE INTL FILM FESTIVAL PO BOX 237 GREAT BARRINGTON, MA 01230			GENERAL	15,000
<b>Total . . . . . ▶ 3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BERKSHIRE MUSEUM 39 SOUTH STREET PITTSFIELD, MA 01602			GENERAL	2,000
BIDAWEE 410 EAST 38TH STREET NEW YORK, NY 10016			GENERAL	2,500
BOSTON SYMPHONY ORCHESTRA 301 MASSACHUSETTS AVENUE BOSTON, MA 02115			GENERAL	5,000
<b>Total . . . . . ▶ 3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BRADY CENTER TO PREVENT GUN VIOLENC 840 FIRST STREET NE SUITE 400 WASHINGTON, DC 20002			GENERAL	5,000
BROWN UNIVERSITYBOX 1893 PROVIDENCE, RI 02912			GENERAL	25,000
CARNEGIE HILL NEIGHBORS 1326 MADISON AVENUE NEW YORK, NY 10128			GENERAL	500
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CENTER FOR FAMILY LIFE IN SUNSET PA 443 39TH STREET BROOKLYN, NY 11232			GENERAL	5,000
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK, NY 10022			GENERAL	500
CHARLEY'S FUND 635 MAIN STREET SUITE 3 GREAT BARRINGTON, MA 01230			GENERAL	2,500
<b>Total . . . . . ▶ 3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COALITION FOR THE HOMELESS 129 FULTON STREET NEW YORK, NY 10038			GENERAL	5,000
COHME11 BROADWAY SUITE 400 NEW YORK, NY 10004			GENERAL	10,000
COMMUNITY ACCESS TO THE ARTS 40 RAILROAD STREET SUITE 6 GREAT BARRINGTON, MA 01230			GENERAL	1,000
<b>Total . . . . .</b>				864,750

▶ **3a**

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COMMUNITY ACTION SOUTHOLD TOWN 316 FRONT STREET GREENPORT, NY 11944			GENERAL	1,000
COMPASSION & CHOICES 244 5TH AVENUE NEW YORK, NY 10001			GENERAL	500
CORNELL UNIVERSITY DAY HALL LOBBY ITHACA NY, NY 14853			GENERAL	25,000
<b>Total . . . . .</b>				864,750

▶ **3a**



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
DOCTORS WITHOUT BORDERS 333 SEVENTH AVENUE 2ND FLOOR NEW YORK, NY 10001			GENERAL	30,000
EARTHJUSTICE48 WALL STREET 19TH FLOOR NEW YORK, NY 10005			GENERAL	2,500
ENGENDERHEALTH440 NINTH AVENUE SUITE 12 NEW YORK, NY 10001			GENERAL	1,500
<b>Total . . . . . ▶ 3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ENVIRONMENTAL DEFENSE FUND 257 PARK AVENUE SOUTH NEW YORK, NY 10010			GENERAL	2,500
ESPLANADE ASSOCIATION 575 BOYLSTON STREET SUITE 4R BOSTON, MA 02116			GENERAL	2,500
FRACTURED ATLAS 248 WEST 35TH STREET NEW YORK, NY 10001			GENERAL	3,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FRIENDS OF DANA-FARBER CANCER INSTI 450 BROOKLINE AVENUE SUITE 120 BOSTON, MA 02215			GENERAL	250
FRIENDS OF PS 169 110 EAST 88TH STREET NEW YORK, NY 10128			GENERAL	750
FUND FOR PARK AVENUE 445 PARK AVENUE 9TH FLOOR NEW YORK, NY 10022			GENERAL	250
<b>Total . . . . . ▶ 3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GRID ALTERNATIVES 1360 GARRISON AVENUE BRONX, NY 10474			GENERAL	2,500
HARVARD COLLEGE FUND 124 MOUNT AUBURN STREET CAMBRIDGE, MA 02138			PLEDGE	2,000
JACOBS PILLOW DANCE FESTIVAL & SCHO 358 GEORGE CARTER ROAD BECKET, MA 01223			GENERAL	28,500
<b>Total . . . . . ▶ 3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JEWISH MUSEUM 1109 5TH AVENUE NEW YORK, NY 10128			GENERAL	750
JOB PATH 256 WEST 38TH STREET NEW YORK, NY 10018			GENERAL	500
LEGAL ACTION CENTER 225 VARICK STREET SUITE 401 NEW YORK, NY 10014			GENERAL	1,250
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LSA FAMILY HEALTH SERVICE 333 EAST 115TH STREET NEW YORK, NY 10029			GENERAL	500
MADRE121 WEST 27TH STREET SUITE 301 NEW YORK, NY 10001			GENERAL	500
MASS MOCA1040 MASS MOCA WAY NORTH ADAMS, MA 01247			GENERAL	250
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028			GENERAL	600
MT SINAI MEDICAL CENTER ONE GUSTAVE LEVY PLACE BOX 1049 NEW YORK, NY 10029			PSYCHIATRY DEPT	85,000
MT SINAI ADOLESCENT HEALTH CTR 312 EAST 94TH STREET NEW YORK, NY 10128			GENERAL	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK, NY 10019			GENERAL	600
NARAL PRO-CHOICE AMERICA FDN 1156 15TH STREET NW SUITE 700 WASHINGTON, DC 20005			GENERAL	15,000
NATL INSTITUTE FOR REPRODUCTIVE HEA 14 WALL STREET SUITE 3B NEW YORK, NY 10005			GENERAL	35,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NATURAL RESOURCES DEFENSE FUND 40 WEST 20TH STREET NEW YORK, NY 10011			GENERAL	2,500
NEW DRAMATISTS 424 WEST 44TH STREET NEW YORK, NY 10036			GENERAL	500
NEW YORKERS AGAINST GUN VIOLENCE ED 87 LAFAYETTE STREET SUITE 3 NEW YORK, NY 10013			GENERAL	1,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NORTH FORK ANIMAL WELFARE LEAGUE 25 DAVIS AVENUE PORT WASHINGTON, NY 11050			GENERAL	8,000
PECONIC LAND TRUST 22600 MAIN ROAD CUTCHOGUE, NY 11935			GENERAL	500
PLANNED PARENTHOOD OF NYC 123 WILLIAM STREET 10TH FLOOR NEW YORK, NY 10038			GENERAL	25,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PLAYWRIGHTS HORIZONS 416 WEST 42ND STREET NEW YORK, NY 10036			GENERAL	2,500
RADCLIFFE CHORAL SOCIETY HOLDEN CHAPEL HARVARD UNIVERSITY CAMBRIDGE, MA 02138			GENERAL	600
RADCLIFFE INSTITUTE FOR ADVANCED ST HARVARD UNIVERSITY 10 GARDEN STREET CAMBRIDGE, MA 02138			GENERAL	20,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
RAILROAD STREET YOUTH PROJECT 60 BRIDGE STREET GREAT BARRINGTON, MA 01238			GENERAL	115,000
RETT SYNDROME RESEARCH TRUST 67 UNDER CLIFF ROAD TRUMBULL, CT 06611			GENERAL	5,000
SEEDS OF PEACE 370 LEXINGTON AVENUE SUITE 1201 NEW YORK, NY 10017			GENERAL	250
<b>Total . . . . .</b>				<b>864,750</b>

▶ **3a**

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
SHADY HILL SCHOOL 178 COOLIDGE HILL CAMBRIDGE, MA 02138			GENERAL	500
SHAKESPEARE & CO 70 KEMBLE STREET LENOX, MA 01240			GENERAL	250
SHE SHOULD RUN FOUNDATION 718 7TH STREET NW 2ND FLOOR WASHINGTON, DC 20001			GENERAL	55,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SOUTHERN ENVIRONMENTAL LAW CENTER 525 EAST BAY STREET SUITE 200 CHARLESTON, SC 29403			GENERAL	2,500
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVENUE MONTGOMERY, AL 36104			GENERAL	30,000
STELLA & CHARLES GUTTMAN COMMUNITY 50 WEST 40TH STREET NEW YORK, NY 10018			GENERAL	50,000
<b>Total . . . . . ▶ 3a</b>				864,750

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TRICKLE UP PROGRAM 104 WEST 27TH STREET 12TH FLOOR NEW YORK, NY 10001			GENERAL	1,500
UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQUARE CAMBRIDGE, MA 02138			GENERAL	2,500
UNION SETTLEMENT ASSN 237 EAST 106TH STREET NEW YORK, NY 10029			GENERAL	20,000
<b>Total . . . . .</b>				<b>864,750</b>

▶ **3a**

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UNIONDOCS322 UNION AVENUE BROOKLYN, NY 11211			GENERAL	2,000
URBAN PATHWAYS INC 902 AMSTERDAM AVENUE NEW YORK, NY 10025			GENERAL	2,500
VOLUNTEERS IN MEDICINE BERKSHIRES 777 SOUTH MAIN STREET SUITE 4 GREAT BARRINGTON, MA 01230			GENERAL	1,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750



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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
VOTE SMART1153 24TH STREET DES MOINES, IA 50311			GENERAL	500
WOOD RIVER LAND TRUST 119 E BULLION STREET HAILEY, ID 83333			GENERAL	750
WORLD WILDLIFE FUND 1250 24TH STREET NW WASHINGTON, DC 20037			GENERAL	2,500
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

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Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WSHU PUBLIC RADIO 5151 PARK AVENUE FAIRFIELD, CT 06825			GENERAL	200
YOUNG PEOPLE IN RECOVERY 150 ONEIDA STREET DENVER, CO 80220			GENERAL	125,000
<b>Total . . . . .</b> ▶ <b>3a</b>				864,750

**TY 2019 Investments Corporate Bonds Schedule****Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CORPORATE BONDS	147,308	176,082

**TY 2019 Investments Corporate Stock Schedule****Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340

## Investments Corporation Stock Schedule

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CORPORATE STOCK	15,574,459	18,048,106

**TY 2019 Investments - Other Schedule****Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
NOVOBIOTIC PHARMACEUTICALS		249,750	114,485

**TY 2019 Other Decreases Schedule****Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340

<b>Description</b>	<b>Amount</b>
PARTNERSHIP INCOME	15,249

**TY 2019 Other Expenses Schedule****Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE	21,471	9,330		12,141
TELEPHONE	3,736			3,736
OFFICE EXPENSE	9,422			9,422
RENTAL EXPENSE	2,677			2,677
CUSTODY FEES	194,258	194,258		
COMPUTER EXPENSE	346			346

**TY 2019 Other Income Schedule****Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CLASS ACTION SETTLEMENTS	3,554	3,554	
MUTUAL FUND REBATES	57	57	
PACIFIC COAST OIL TRUST	1,266		
BLACKSTONE GROUP LP	40		
CINER RESOURCES LP	1,151		
AMERIGAS PARTNERS LP	-24,854		
COMPASS DIVERSIFIED HLDGS	7,211		
FORTRESS TRANSPORTATION & INF	3,902		



**TY 2019 Other Professional Fees Schedule****Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
BASE 105 HRA	94	47		47
CT CORPORATION SYSTEM	681			681
PACIFIC COAST OIL TRUST	264			

**TY 2019 Taxes Schedule****Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
NYS DEPT OF LAW	750			750
INTERNAL REVENUE SERVICE	15,344			
NYS CORPORATION TAX	250			250

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
▶ Attach to Form 990, 990-EZ, or 990-PF  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information

OMB No 1545-0047  
**2019**

Name of the organization  
MALCOLM GIBBS FOUNDATION INC

**Employer identification number**  
13-4041340

**Organization type** (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

- 501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup> 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization  
MALCOLM GIBBS FOUNDATION INC

Employer identification number  
13-4041340

**Part I**  
**Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CYNTHIA G COLIN CHARITABLE LEAD TR 14 EAST 60TH STREET SUITE 702  NEW YORK, NY 10022	\$ 43,371	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )

Name of organization MALCOLM GIBBS FOUNDATION INC	Employer identification number 13-4041340
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<b>Part II Noncash Property</b>			
(a) No. from Part I	(b) Description of noncash property given <small>(see instructions) Use duplicate copies of Part II if additional space is needed</small>	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____

Name of organization  
MALCOLM GIBBS FOUNDATION INC

Employer identification number  
13-4041340

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	