

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 02-01-2018, and ending 01-31-2019

Name of foundation MALCOLM GIBBS FOUNDATION INC		A Employer identification number 13-4041340
Number and street (or P O box number if mail is not delivered to street address) 14 EAST 60TH STREET STE 702	Room/suite	B Telephone number (see instructions)
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>17,867,439</u>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

	Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,415	6,415		
	4 Dividends and interest from securities	759,259	759,259		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	743,711			
	b Gross sales price for all assets on line 6a	1,821,832			
	7 Capital gain net income (from Part IV, line 2)		743,711		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	-79,280				
12 Total. Add lines 1 through 11	1,430,105	1,509,385			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages	148,397	74,198		74,199
	15 Pension plans, employee benefits	25,336	12,668		12,668
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	819	46		449
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,607			750
	19 Depreciation (attach schedule) and depletion	4,970			
	20 Occupancy	28,361			28,361
	21 Travel, conferences, and meetings				
	22 Printing and publications	373	373		
	23 Other expenses (attach schedule)	224,093	200,491		23,602
	24 Total operating and administrative expenses. Add lines 13 through 23	441,956	287,776		140,029
	25 Contributions, gifts, grants paid	760,975			760,975
26 Total expenses and disbursements. Add lines 24 and 25	1,202,931	287,776		901,004	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	227,174				
b Net investment income (if negative, enter -0-)		1,221,609			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	966,941	929,391	929,391
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	12,663,184	13,020,478	16,592,798
	c Investments—corporate bonds (attach schedule)	289,933	202,946	210,238
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	249,750	249,750	135,012
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	14,169,808	14,402,565	17,867,439	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	14,169,808	14,402,565		
30 Total net assets or fund balances (see instructions)	14,169,808	14,402,565		
31 Total liabilities and net assets/fund balances (see instructions) .	14,169,808	14,402,565		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,169,808
2 Enter amount from Part I, line 27a	2	227,174
3 Other increases not included in line 2 (itemize) ▶ _____	3	5,583
4 Add lines 1, 2, and 3	4	14,402,565
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	14,402,565

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	743,711
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-8

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	909,292	17,962,642	0.050621
2016	834,829	16,395,065	0.050920
2015	968,624	17,555,221	0.055176
2014	612,176	17,780,481	0.034430
2013	560,962	16,264,378	0.034490

2 Total of line 1, column (d)	2	0.225637
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.045127
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	18,085,821
5 Multiply line 4 by line 3	5	816,159
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	12,216
7 Add lines 5 and 6	7	828,375
8 Enter qualifying distributions from Part XII, line 4	8	901,004

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and credits/payments. Total amount owed is 3,104.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, unrelated business income, and substantial contributors. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, donor advised funds, public inspection requirements, and books in care of.

Located at 14 EAST 60TH STREET SUITE 702 NEW YORK NY ZIP+4 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in or authority over a bank, securities, or other financial account in a foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.		<input type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?				
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN COLIN HERBST 14 EAST 60TH STREET NEW YORK, NY 10022	CHAIRMAN 000 00	0	0	0
LAURA COLIN KLEIN 14 EAST 60TH STREET NEW YORK, NY 10022	PRESIDENT 000 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRUCE ROSENBLATT 137 OLD HYDE ROAD WESTON, CT 06883	ACCTG/ADMIN 000 00	132,428		
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	17,107,037
b	Average of monthly cash balances.	1b	1,105,211
c	Fair market value of all other assets (see instructions).	1c	148,992
d	Total (add lines 1a, b, and c).	1d	18,361,240
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	18,361,240
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	275,419
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	18,085,821
6	Minimum investment return. Enter 5% of line 5.	6	904,291

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	904,291
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	12,216
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	12,216
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	892,075
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	892,075
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	892,075

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	901,004
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	901,004
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	12,216
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	888,788

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				892,075
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			698,638	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>901,004</u>				
a Applied to 2017, but not more than line 2a			698,638	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				202,366
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				689,709
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a
b <i>Approved for future payment</i>				
Total				▶ 3b

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 ABERDEEN EMERGING MKTS EQUITY INC F	P	1997-09-17	2018-05-01
1 FERRO CORP	P	2010-03-29	2018-06-08
LAZARD EMERGING MKTS PORT	P	2017-08-22	2018-07-11
TOPBUILD CORP	P	2009-01-23	2018-06-12
ABIOMED INC	P	2000-03-06	2018-06-07
FIRST EAGLE FDS AMERICA CL I	P	2016-12-15	2018-07-05
LAGACY RESERVES LP	P	2013-07-23	2018-06-08
TUESDAY MORNING CORP	P	2003-10-14	2018-06-08
AECOM	P	2010-08-03	2018-06-12
FIRST EAGLE FDS AMERICA CL I	P	2017-12-14	2018-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16		13	3
52,324		22,644	29,680
35		38	-3
86,758		26,932	59,826
199,997		17,204	182,793
2,262		2,088	174
36,712		27,688	9,024
2,850		33,107	-30,257
28,677		18,520	10,157
227		226	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			29,680
			-3
			59,826
			182,793
			174
			9,024
			-30,257
			10,157
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
METTLER TOLEDO INTL INC	P	2003-07-17	2018-06-11
1 U S STEEL 7 375% 4/1/20	P	2011-10-13	2018-12-03
AKZO NOBEL NV ADR NEW	P	2012-03-07	2019-01-28
FIRST EAGLE FDS SOGEN OVERSEAS	P	2013-12-18	2018-06-06
MONSANTO CO NEW	P	1999-10-14	2018-06-07
USANA HEALTH SCIENCES	P	2004-07-30	2018-06-12
BAYTEX ENERGY CORP	P	2009-09-18	2018-06-12
FIRST EAGLE FDS SOGEN OVERSEAS	P	2017-12-14	2018-06-06
NATIONAL BK GREECE S A	P	2008-05-12	2018-04-27
WAYERS CORP	P	2003-09-18	2018-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
148,248		9,457	138,791
36,858		32,987	3,871
22		16	6
10,615		9,350	1,265
64,000		14,716	49,284
59,024		7,485	51,539
4,270		22,977	-18,707
384		383	1
1		21,448	-21,447
206,849		29,743	177,106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			138,791
			3,871
			6
			1,265
			49,284
			51,539
			-18,707
			1
			-21,447
			177,106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
BEACON POWER CORP NEW	P	2001-03-15	2018-09-24
1 FREQUENCY ELECTRONICS INC	P	2003-05-22	2018-06-08
NETSCOUT SYS INC	P	2015-04-06	2018-06-12
WILHELMINA INTL INC	P	1996-08-16	2018-06-07
BIOPURE CORP CL A NEW	P	2002-01-15	2018-09-24
FRONTIER COMMUNICATIONS CORP	P	2008-12-31	2018-06-08
PEABODY ENERGY CORP	P	2008-10-03	2018-06-08
WILLIAMS COMPANIES	P	2018-08-13	2018-08-13
CHEMED CORP	P	2014-05-15	2018-06-07
GARTNER INC NEW	P	2017-04-06	2018-06-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		33,854	-33,854
48,000		52,032	-4,032
28,425		43,750	-15,325
245		6,384	-6,139
		11,537	-11,537
2,428		31,599	-29,171
2,048		21,276	-19,228
25		26	-1
10,692			10,692
31,464		25,568	5,896

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-33,854
			-4,032
			-15,325
			-6,139
			-11,537
			-29,171
			-19,228
			-1
			10,692
			5,896

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PJT PARTNERS INC	P	2015-08-26	2018-06-07
1 WILLIAM PARTNERS LP NEW	P	2009-11-02	2018-08-13
COMSCORE INC	P	2007-05-15	2018-06-12
HIGH INCOME SECURITIES FUND	P	2005-02-01	2018-12-12
PURPOSE MULTI-ASSET ETF INCOME FD	P	2010-01-28	2018-05-11
WINDSTREAM HLDGS INC	P	2008-10-24	2018-05-29
DELAWARE INT LVALUE EQ CL I	P	2007-12-27	2018-06-06
HUNTINGTON BANCSHARES INC	P	2008-04-18	2018-02-23
QIAGEN NV NEW	P	2017-01-25	2018-06-12
DELAWARE INTL VALUE EQ CL I	P	2017-12-26	2018-06-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,390		95	1,295
41,155		4,404	36,751
42,693		23,031	19,662
74,021		63,840	10,181
15		16	-1
4		29	-25
1,752		1,168	584
99,584		60,175	39,409
35,805		3,377	32,428
30		30	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,295
			36,751
			19,662
			10,181
			-1
			-25
			584
			39,409
			32,428

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
INDYMAC CAPITAL TR PFD 6%	P	2003-01-28	2019-01-16
1 QUALITY CARE PROPERTIES INC	P	2016-11-01	2018-06-12
DXC TECHNOLOGY CO	P	2011-02-23	2018-06-07
INVESCO DEVELOPING MKTS FD	P	2012-06-11	2018-08-23
RICEBRAN TECHNOLOGIES NEW	P	2007-04-20	2018-06-08
EATON VANCE TAX MANAGED GLOBAL BUY W	P	2010-05-14	2018-10-23
INVESCO DEVELOPING MKTS FD	P	2017-12-14	2018-08-23
SEACOAST BANKING CORP FLA	P	2000-04-19	2018-06-12
ENERCARE INC	P	2008-06-30	2018-09-11
LATIN AMERICA DISCOVERY FUND	P	2009-05-20	2018-11-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		78,747	-78,747
8,682		6,500	2,182
967		2,807	-1,840
3,177		2,986	191
63		24,932	-24,869
97,354		103,596	-6,242
31		37	-6
35,882		43,131	-7,249
109,928		59,638	50,290
33,699		36,403	-2,704

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-78,747
			2,182
			-1,840
			191
			-24,869
			-6,242
			-6
			-7,249
			50,290
			-2,704

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
STAN,LEY BLACK & DECKER INC	P	2008-12-12	2018-06-07
1 EXPONENT INC	P	2001-07-18	2018-06-07
LAZARD EMERGING MKTS PORT	P	2012-12-26	2018-07-11
TEEKAY TANKERS LTD	P	2010-06-18	2018-06-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
65,015		17,236	47,779
103,699		2,811	100,888
1,700		1,533	167
1,730		18,551	-16,821

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			47,779
			100,888
			167
			-16,821

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN CIVIL LIBERTIES UNION FDN 125 BROAD STREET 18TH FLOOR NEW YORK, NY 10004			GENERAL	30,000
AMERICAN FRIENDS OF BATSHEVA 1501 CHERRY STREET PHILADELPHIA, PA 19102			GENERAL	1,875
ANIMAL CARE CENTER OF NYC 11 PARK PLACE SUITE 805 NEW YORK, NY 10007			GENERAL	500
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
ASPCA 424 EAST 92ND STREET NEW YORK, NY 10128				5,000
BERKSHIRE INTL FILM FESTI PO BOX 237 GREAT BARRINGTON, MA 01230				25,000
BIDWELL HOUSE 100 ART SCHOOL ROAD PO BOX 537 MONTEREY, MA 01245				12,250
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOSTON SYMPHONY ORCHESTRA 301 MASSACHUSETTS AVENUE BOSTON, MA 02115				8,000
BRADY CAMPAIGN TO PREVENT 840 FIRST STREET NE SUITE 400 WASHINGTON, DC 20002				5,000
BROWN UNIVERSITY BOX 1893 PROVIDENCE, RI 02912				25,000
Total				760,975

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARNEGIE HILL NEIGHBORS 1326 MADISON AVENUE NEW YORK, NY 10128			GENERAL	500
CENTER FOR FAMILY LIFE IN SUNSET PA 443 39TH STREET BROOKLYN, NY 11232			GENERAL	5,000
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK, NY 10022			GENERAL	500
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHARLEYS FUND635 MAIN STREET SUITE 3 GREAT BARRINGTON, MA 01230			GENERAL	2,500
COALITION FOR THE HOMELES 129 FULTON STREET NEW YORK, NY 10038			GENERAL	5,000
COMMUNITY ACCESS TO THE ARTS 40 RAILROAD STREET SUITE 6 GREAT BARRINGTON, MA 01230			GENERAL	1,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMUNITY ACTION SOUTHOLD TOWN 316 FRONT STREET GREENPORT, NY 11944			GENERAL	750
COMPASSION CHOICES 244 5TH AVENUE NEW YORK, NY 10001			GENERAL	500
CORNELL UNIVERSITYDAY HALL LOBBY ITHACA NY, NY 14853			GENERAL	25,000
Total				760,975

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOCTORS WITHOUT BORDERS 333 SEVENTH AVENUE 2ND FLOOR NEW YORK, NY 10001			GENERAL	25,000
EARTHJUSTICE48 WALL STREET 19TH FLOOR NEW YORK, NY 10005			GENERAL	2,500
ENGENDERHEALTH440 NINTH AVENUE SUITE 12 NEW YORK, NY 10001			GENERAL	1,500
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ENVIRONMENTAL DEFENSE FUND 257 PARK AVENUE SOUTH NEW YORK, NY 10010			GENERAL	2,500
FRACTURED ATLAS 248 WEST 35TH STREET NEW YORK, NY 10001			GENERAL	250
FRESH AIR FUND 633 3RD AVENUE SUITE 14 NEW YORK, NY 10017			GENERAL	1,250
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF PS 169 110 EAST 88TH STREET NEW YORK, NY 10128			GENERAL	750
FUND FOR PARK AVENUE 445 PARK AVENUE 9TH FLOOR NEW YORK, NY 10022			GENERAL	250
HARVARD COLLEGE FUND 124 MOUNT AUBURN STREET CAMBRIDGE, MA 02138			PLEDGE	2,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOP BROOK CLUB 273 WESTLEDGE RD WEST SIMSBURY, CT 06092			GENERAL	250
HUDSON GUILD 459 WEST 26TH STREET NEW YORK, NY 10001			GENERAL	5,000
JACOBS PILLOW 358 GEORGE CARTER ROAD BECKET, MA 01223			GENERAL	15,500
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEWISH MUSEUM 1109 5TH AVENUE NEW YORK, NY 10128			GENERAL	1,000
JOB PATH 256 WEST 38TH STREET NEW YORK, NY 10018			GENERAL	500
KETCHUM SUN VALLEY FIREMA PO BOX 1 KETCHUM, ID 83353			GENERAL	750
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
LEGAL ACTION CENTER 225 VARICK STREET SUITE 401 NEW YORK, NY 10014			GENERAL	1,250
LEGAL AID SOCIETY 199 WATER STREET NEW YORK, NY 10038			GENERAL	1,000
LSA FAMILY HEALTH SERVICE 333 EAST 115TH STREET NEW YORK, NY 10029			GENERAL	500
Total				760,975

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MADRE121 WEST 27TH STREET SUITE 301 NEW YORK, NY 10001			GENERAL	500
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028			GENERAL	3,000
MT SINAI MEDICAL CENTER ONE GUSTAVE LEVY PLACE BOX 1049 NEW YORK, NY 10029			PSYCHIATRY DEPT	85,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MT SINAI ADOLESCENT HEALTH CTR 312 EAST 94TH STREET NEW YORK, NY 10128			GENERAL	5,000
NARAL PRO-CHOICE AMERICA FDN 1156 15TH STREET NW SUITE 700 WASHINGTON, DC 20005			GENERAL	15,000
NATIONAL RESOURCES DEFENSE FUND 40 WEST 20TH STREET NEW YORK, NY 10011			GENERAL	2,500
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATL INSTITUTE FOR REPRODUCTIVE HEA 14 WALL STREET SUITE 3B NEW YORK, NY 10005			GENERAL	35,000
NEW YORK COMMON PANTRY 8 EAST 109TH STREET NEW YORK, NY 10029			GENERAL	1,000
NEW YORKERS AGAINST GUN VIOLENCE 87 LAFAYETTE STREET SUITE 3 NEW YORK, NY 10013			GENERAL	1,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NORTH SHORE ANIMAL LEAGUE 25 DAVIS AVENUE PORT WASHINGTON, NY 11050			GENERAL	2,500
PASCAL RIOULT DANCE THEAT 246 WEST 38TH STREET NEW YORK, NY 10018			GENERAL	500
PLANET WALK NYC 139 WEST 82ND STREET SUITE 6E NEW YORK, NY 10024			GENERAL	1,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLANNED PARENTHOOD OF NYC 123 WILLIAM STREET 10TH FLOOR NEW YORK, NY 10038			GENERAL	25,000
PLAYWRIGHTS HORIZONS 416 WEST 42ND STREET NEW YORK, NY 10036			GENERAL	2,500
PUBLIC POLICY INSTITUTE OF NYS 152 WASHINGTON AVENUE ALBANY, NY 12210			GENERAL	50,000
Total				760,975

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RADCLIFFE CHORAL SOCIETY HOLDEN CHAPEL HARVARD UNIVERSITY CAMBRIDGE, MA 02138			GENERAL	600
RADCLIFFE INSTITUTE FOR ADVANCED ST HARVARD UNIVERSITY 10 GARDEN STREET CAMBRIDGE, MA 02138			GENERAL	20,000
RAILROAD STREET YOUTH PROJECT 60 BRIDGE STREET GREAT BARRINGTON, MA 01238			GENERAL	11,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RED HOOK ART PROJECT 183 RICHARDS STREET BROOKLYN, NY 11231			GENERAL	1,000
RETT SYNDROME RESEARCH TRUST 67 UNDER CLIFF ROAD TRUMBULL, CT 06611			GENERAL	5,000
SEEDS OF PEACE 370 LEXINGTON AVENUE SUITE 1201 NEW YORK, NY 10017			GENERAL	250
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHADY HILL SCHOOL 178 COOLIDGE HILL CAMBRIDGE, MA 02138			GENERAL	1,000
SHE SHOULD RUN FOUNDATION 718 7TH STREET NW 2ND FLOOR WASHINGTON, DC 20001			GENERAL	27,500
SOUTHERN POVERTY LAW CTR 400 WASHINGTON AVENUE MONTGOMERY, AL 36104			GENERAL	30,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STELLA CHARLES GUTTMAN COMMUNITY 50 WEST 40TH STREET NEW YORK, NY 10018			GENERAL	53,000
THE CLARK225 SOUTH STREET WILLIAMSTOWN, MA 01267			GENERAL	250
TRICKLE UP PROGRAM 104 WEST 27TH STREET 12TH FLOOR NEW YORK, NY 10001			GENERAL	1,500
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRIPLE SHADOW INC 40C OCHRANE AVENUE HASTINGSONHUDSON, NY 10706			GENERAL	13,500
UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQUARE CAMBRIDGE, MA 02138			GENERAL	2,500
UNION SETTLEMENT ASSN 237 EAST 106TH STREET NEW YORK, NY 10029			GENERAL	20,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WOOD RIVER LAND TRUST 119 EAST BULLION STREET HAILEY, ID 83333			GENERAL	750
WORLD WILDLIFE FUND 1250 24TH STREET NW PO BOX 97180 WASHINGTON, DC 20090			GENERAL	2,500
YOUNG CONCERT ARTISTS 250 WEST 57TH STREET SUITE 1222 NEW YORK, NY 10107			GENERAL	1,000
Total ▶ 3a				760,975

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUNG PEOPLE IN RECOVERY 150 ONEIDA STREET DENVER, CO 80220			GENERAL	125,000
Total ▶ 3a				760,975

TY 2018 Investments Corporate Bonds Schedule**Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	202,946	210,238

TY 2018 Investments Corporate Stock Schedule**Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	13,020,478	16,592,798

TY 2018 Investments - Other Schedule**Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
NOVOBIOTIC PHARMACEUTICALS		249,750	135,012

TY 2018 Other Expenses Schedule**Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE	23,063	10,260		12,803
TELEPHONE	3,376			3,376
OFFICE EXPENSE	4,304			4,304
RENTAL EXPENSE	2,812			2,812
CUSTODY FEES	190,231	190,231		
COMPUTER EXPENSE	307			307

TY 2018 Other Income Schedule**Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PACIFIC COAST OIL TRUST	1,960		
ENERGY TRANSFER PARTNERS LP	-195		
BLACKSTONE GROUP LP	143		
LEGACY RESERVES LP	-66,872		
WILLIAMS PARTNERS LP	-14,457		
CINER RESOURCES LP	141		

TY 2018 Other Increases Schedule**Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340

Description	Amount
PARTNERSHIP INCOME	5,583

TY 2018 Other Professional Fees Schedule**Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BASE 105 HRA	92	46		46
CT CORPORATION SYSTEM	403			403
PACIFIC COAST OIL TRUST	324			

TY 2018 Taxes Schedule**Name:** MALCOLM GIBBS FOUNDATION INC**EIN:** 13-4041340

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPT OF LAW	750			750
INTERNAL REVENUE SERVICE	8,857			