

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 08-01-2016 , and ending 07-31-2017

Name of foundation ROHAUER COLLECTION FOUNDATION INC		A Employer identification number 13-3578176	
Number and street (or P O box number if mail is not delivered to street address) Room/suite 1311 MAMARONECK AVE		B Telephone number (see instructions) (914) 698-8670	
City or town, state or province, country, and ZIP or foreign postal code WHITE PLAINS, NY 10605		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 3,592,931		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	20,912	20,912		
	4 Dividends and interest from securities	103,114	103,114		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-5,673			
	b Gross sales price for all assets on line 6a 896,400				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	118,353	124,026	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	30,000	12,000	18,000	18,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,000	1,200	1,800	1,800
	c Other professional fees (attach schedule)	23,370	9,348	14,022	14,022
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	6	2	4	4
	24 Total operating and administrative expenses. Add lines 13 through 23	56,376	22,550	33,826	33,826
	25 Contributions, gifts, grants paid	63,500			63,500
26 Total expenses and disbursements. Add lines 24 and 25	119,876	22,550	33,826	97,326	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-1,523				
b Net investment income (if negative, enter -0-)		101,476			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	41,632	89,899	89,899
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	987,302	907,587	1,226,718
	c Investments—corporate bonds (attach schedule)	557,298	316,947	316,291
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,699,638	1,968,216	1,960,023
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,285,870	3,282,649	3,592,931	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29 Retained earnings, accumulated income, endowment, or other funds	3,285,870	3,282,649		
30 Total net assets or fund balances (see instructions)	3,285,870	3,282,649		
31 Total liabilities and net assets/fund balances (see instructions) .	3,285,870	3,282,649		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	3,285,870
2 Enter amount from Part I, line 27a		2	-1,523
3 Other increases not included in line 2 (itemize) ▶ _____		3	0
4 Add lines 1, 2, and 3		4	3,284,347
5 Decreases not included in line 2 (itemize) ▶ _____		5	1,698
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		6	3,282,649

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)		
1a See Additional Data Table						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
a See Additional Data Table						
b						
c						
d						
e						
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a See Additional Data Table						
b						
c						
d						
e						
2 Capital gain net income or (net capital loss)					2	-5,673
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }						
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)					3	
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8						

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	86,597	4,177,433	0 020730
2014	103,767	3,497,384	0 029670
2013	107,198	3,413,870	0 031401
2012	118,620	3,385,084	0 035042
2011	115,051	3,420,708	0 033634
2 Total of line 1, column (d)			2 0 150477
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 030095
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 3,507,735
5 Multiply line 4 by line 3			5 105,565
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,015
7 Add lines 5 and 6			7 106,580
8 Enter qualifying distributions from Part XII, line 4			8 97,326

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes sections 1a through 11, with sub-sections 6a-6d. Values include 2,030, 0, 2,030, 0, 2,030, 61, 2,091.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes sections 1a through 10. Columns for 'Yes' and 'No' responses. Includes instructions for attaching documents and specific forms like Form 1120-POL and Form 990-T.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of FOUNDATION Telephone no (914) 698-8670
Located at C/O E GRAINGER 480 MAMARONECK AVE HARRISON NY ZIP+4 10528
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
EDMUND C GRAINGER III 109 HIGHVIEW DRIVE CARMEL, NY 10512	TRUSTEE 2 00	30,000	0	0
KATHRYN G HOBBS 75 OAKLAND BEACH AVE RYE, NY 10580	TRUSTEE 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	3,362,946
b	Average of monthly cash balances.	1b	198,206
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,561,152
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,561,152
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	53,417
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,507,735
6	Minimum investment return. Enter 5% of line 5.	6	175,387

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	175,387
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	2,030
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,030
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	173,357
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	173,357
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	173,357

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	97,326
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	97,326
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	97,326

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				173,357
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	27,220			
b From 2012.	120,086			
c From 2013.	108,525			
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	255,831			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>97,326</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				97,326
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	76,031			76,031
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	179,800			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	179,800			
10 Analysis of line 9				
a Excess from 2012.	71,275			
b Excess from 2013.	108,525			
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				63,500
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code...
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash
(2) Other assets
b Other transactions
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code...
b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Sign Here
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
Signature of officer or trustee: ***** Date: 2018-06-14 Title: *****

May the IRS discuss this return with the preparer shown below (see instr)? [X] Yes [] No

Paid Preparer Use Only
Print/Type preparer's name: SAM BURRUANO CPA
Preparer's Signature
Date
Check if self-employed []
PTIN: P00234623
Firm's name: BURRUANO DOLAN LLP
Firm's EIN: 06-1138540
Firm's address: 200 BUSINESS PARK DRIVE - STE 307 ARMONK, NY 10504
Phone no: (914) 273-5300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3000 SHS CITIZENS FINANCIAL GRP	P		2017-12-31
500 SHS CITIZENS FINANCIAL CORP	P		2017-12-31
835 75 SHS PIONEER SERIES TRIV	P		2017-12-31
576 679 SHS PIONEER SERIES TRIV	P		2017-12-31
150000 GOLDMAN SACHS GRP INC	P		2017-12-31
75000 GOLDMAN SACHS CRP INC	P		2017-12-31
1000 BANK OF AMERICA	P		2017-12-31
1000 BANK OF AMERICA	P		2017-12-31
2000 CAPITAL ONE FIN CORP	P		2017-12-31
3565 SENIOR HOUSING 6 25	P		2017-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
72,120		64,500	7,620
12,020		13,000	-980
8,876		9,661	-785
6,124		6,799	-675
150,000		150,000	0
75,000		75,000	0
25,712		25,000	712
25,709		25,000	709
50,712		50,000	712
90,549		89,000	1,549

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			7,620
			-980
			-785
			-675
			0
			0
			712
			709
			712
			1,549

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
435 SENIOR HOUSING 6 25	P		2017-12-31
30000 HALLIBURTON CO	P		2017-12-31
75000 CONOCOPHILLIPS	P		2017-12-31
20000 NUVEEN HIGH INCOME	P		2017-12-31
AC# 5884 CALL OPTIONS	P		2017-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,064		11,000	64
33,006		33,005	1
82,828		78,418	4,410
199,946		200,000	-54
52,734		71,690	-18,956

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			64
			1
			4,410
			-54
			-18,956

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN MUSEUM OF MOVING IMAGE 36-01 35TH AVE QUEENS, NY 11106	NONE	501C(3)	UNRESTRICTED	5,000
ANGELS GATE 3601 S GAFFEY ST SAN PEDRO, CA 90731	NONE	501C(3)	UNRESTRICTED	2,000
AUTRY MUSEUM OF WESTERN HERITAGE 4700 WESTERN HERITAGE WAY LOS ANGELES, CA 90027	NONE	501C(3)	UNRESTRICTED	2,500
BIDE A WEE 401 E 38TH STREET NEW YORK, NY 10016	NONE	501C(3)	UNRESTRICTED	1,500
FILM FORUM 209 WEST HOUSTON STREET NEW YORK, NY 10014	NONE	501C(3)	UNRESTRICTED	6,000
Total ▶ 3a				63,500


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GEORGE EASTMAN HOUSE 900 EAST AVE ROCHESTER, NY 14607	NONE	501C(3)	UNRESTRICTED	10,000
GUIDING EYES FOR THE BLIND 611 GRANITE SPRING ROAD YORKTOWN HEIGHTS, NY 10598	NONE	501C(3)	UNRESTRICTED	3,500
JRT RESEARCH FOUNDATION PO BOX 548 DOUGLAS, WY 82633	NONE	501C(3)	UNRESTRICTED	5,000
LAST POST FUND 401-505 RENE LEVESQUE BLVD MONTREAL, CANADA CA	NONE	501C(3)	UNRESTRICTED	5,000
NATIONAL DISASTER SEARCH DOG FOUNDATION 6800 WHEELER CANYON ROAD SANTA PAULA, CA 93060	NONE	501C(3)	UNRESTRICTED	2,500
Total 3a				63,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW YORKERS FOR COMPANION ANIMALS INC 1324 LEXINGTON AVE NEW YORK, NY 10128	NONE	501C(3)	UNRESTRICTED	1,500
PACIFIC FILM ARCHIVE 2155 CENTER ST BERKELEY, CA 94720	NONE	501C(3)	UNRESTRICTED	2,500
PET RESCUE INC 24 W RAILROAD AVE TENAFLY, NJ 07670	NONE	501C(3)	UNRESTRICTED	2,500
SHARK RESEARCH INSTITUTE PO BOX 40 PRINCETON, NJ 08542	NONE	501C(3)	UNRESTRICTED	5,000
UCLA FILM & TELEVISION ARCHIVE 302 E MEINTZ LOS ANGELES, CA 90095	NONE	501C(3)	UNRESTRICTED	2,500
Total ▶ 3a				63,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VERO BEACH MUSEUM OF ART 3001 RIVERSIDE PARK DR VERO BEACH, FL 32963	NONE	501C(3)	UNRESTRICTED	1,500
WEXNER CENTER FOR THE ARTS 1871 N HIGH STREET COLUMBUS, OH 43210	NONE	501C(3)	UNRESTRICTED	5,000
Total 				63,500
3a				

TY 2016 Accounting Fees Schedule**Name:** ROHAUER COLLECTION FOUNDATION INC**EIN:** 13-3578176

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,000	1,200	1,800	1,800

TY 2016 Investments Corporate Bonds Schedule**Name:** ROHAUER COLLECTION FOUNDATION INC**EIN:** 13-3578176

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DOW CHEMICALS CO	125,000	125,050
CONOCOPHILLIPS	30,000	30,162
KINDER MORGAN ENERGY PART	31,947	33,245
FORD MOTOR CREDIT	30,000	29,775
WELLS FARGO	100,000	98,059

TY 2016 Investments Corporate Stock Schedule**Name:** ROHAUER COLLECTION FOUNDATION INC**EIN:** 13-3578176

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1120 SHS ABBOTT LABORATORIES	40,216	55,082
620 SHS ABBVIE INC	17,080	43,344
1415 SHS AT&T	39,832	55,185
1050 SHS BRISTOL MYERS SQUIBB	32,549	59,745
1050 SHS COCO COLA CO	31,347	41,508
845 SHS ELI LILLY & CO	33,250	69,848
300 SHS GENERAL MILLS INC	12,311	16,698
64 SHS HALYARD HEALTH INC	1,403	2,574
515 SHS INTEL CORP	12,602	18,267
535 SHS JOHNSON & JOHNSON	34,389	71,005
815 SHS KIMBERLEY CLARKE	65,103	100,375
600 SHS MACDONALD CORP	52,937	93,084
800 SHS PHILIP MORRIS	57,206	93,368
PROCTOR & GAMBLE CO	34,467	47,681
PROSHARE TRUST	148,719	105,323
REALTY INCOME CORP	32,131	57,345
750 SHS THE SOUTHERN COMPANY	33,769	35,948
VERIZON COMMUNICATIONS COM	49,397	60,452
VODAFONE GROUP PLC	32,805	18,995
200 SHS CVS HEALTH	20,218	15,986
300 SHS KELLOGG COMPANY	20,345	20,400
310 SHS JP MORGAN CHASE	19,131	28,458
100 SHS GENERAL DYNAMICS CORP	14,753	19,633
1000 SHS MICROSOFT CORP	51,461	72,700
200 SHS UNITED TECHNOLOGIES	20,166	23,714

TY 2016 Investments - Other Schedule**Name:** ROHAUER COLLECTION FOUNDATION INC**EIN:** 13-3578176

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BLACKROCK FUNDS II	AT COST	109,298	110,586
OPPENHEIMER SR	AT COST	320,227	293,461
OPPENHEIMER INTL DIV	AT COST	59,450	72,170
PIMCO	AT COST	104,200	98,349
PIONEER SERIES TR	AT COST	208,201	206,258
PUTNAM ABSOLUTE RETURN	AT COST	126,846	132,561
BANK OF AMERICA	AT COST	100,000	98,477
COHEN & STEERS PFD	AT COST	104,967	111,904
PACIFIC FUNDS	AT COST	100,000	99,608
PUTNAM DIVERSIFIED	AT COST	100,000	99,149
PUTNAM ABSOLUTE RETURN	AT COST	90,000	91,868
PUTNAM ABSOLUTE RETURN	AT COST	20,027	20,657
ALLOANZGI CONV	AT COST	75,000	76,125
EATON VANCE FLOATING	AT COST	200,000	200,400
EATON VANCE HIGH INCOME	AT COST	150,000	152,550
RIVERNORTH DOUBLELINE	AT COST	100,000	95,900

TY 2016 Other Decreases Schedule**Name:** ROHAUER COLLECTION FOUNDATION INC**EIN:** 13-3578176

Description	Amount
CALL OPTION UNEXPIRED	1,698

TY 2016 Other Expenses Schedule**Name:** ROHAUER COLLECTION FOUNDATION INC**EIN:** 13-3578176**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	6	2	4	4

TY 2016 Other Professional Fees Schedule**Name:** ROHAUER COLLECTION FOUNDATION INC**EIN:** 13-3578176

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO SECURITIES INVEST ADVISORY FEES	23,370	9,348	14,022	14,022