

Form **990-PF**

**Return of Private Foundation**

OMB No 1545-0047

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2019**  
Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation  
WALTON FAMILY FOUNDATION, INC.  
Number and street (or P O box number if mail is not delivered to street address)  
P.O. BOX 1860  
City or town, state or province, country, and ZIP or foreign postal code  
BENTONVILLE, AR 72712

A Employer identification number  
13-3441466

B Telephone number (see instructions)  
(479) 464-1570

G Check all that apply  
 Initial return  
 Final return  
 Address change  
 Initial return of a former public charity  
 Amended return  
 Name change

C If exemption application is pending, check here

D 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,555,553,583.  
J Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d), must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	514,852,487.	-		
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. D.				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	146,165,103.	145,261,095.		
	5a Gross rents	606,681.	606,681.		
	b Net rental income or (loss)	606,681.			
	6a Net gain or (loss) from sale of assets not on line 10	167,725,869.			
	b Gross sales price for all assets on line 6a	812,955,391.			
	7 Capital gain net income (from Part IV, line 2)		163,964,402.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 1	4,311,409.	2,455,041.			
12 Total. Add lines 1 through 11	833,661,549.	312,287,219.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages	19,699,211.			19,699,211.
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	441,452.			441,452.
	b Accounting fees (attach schedule) ATCH 3	126,932.			126,932.
	c Other professional fees (attach schedule) [4]	25,002,493.	6,572,621.		18,429,622.
	17 Interest ATCH 5	2,419,457.	2,247,519.		
	18 Taxes (attach schedule) (see instructions) [6]	9,959,237.	3,578,810.		607.
	19 Depreciation (attach schedule) and depletion	1,203,013.			
	20 Occupancy	1,202,579.			1,202,579.
	21 Travel, conferences, and meetings	1,664,149.			1,664,149.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 7	25,500,168.	18,679,594.		1,672,640.
	24 Total operating and administrative expenses. Add lines 13 through 23	87,218,691.	31,078,544.		43,237,192.
	25 Contributions, gifts, grants paid	504,437,060.			494,437,060.
26 Total expenses and disbursements. Add lines 24 and 25	591,655,751.	31,078,544.	0.	537,674,252.	
27 Subtract line 26 from line 12	242,005,798.				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		281,208,675.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .		4,505,854.	802,893.	802,893.
	2	Savings and temporary cash investments . . . . .		78,129.	54,070.	54,070.
	3	Accounts receivable ▶ 1,500.				
		Less allowance for doubtful accounts ▶		500.	1,500.	1,500.
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ <sup>A</sup>			<sup>A</sup> 188,564,768.	ATCH 8
		Less allowance for doubtful accounts ▶		180,291,585.	188,564,768.	188,564,768.
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments - U S and state government obligations (attach schedule) . .				
	b	Investments - corporate stock (attach schedule) ATCH 9 . . . . .			19,732,762.	19,608,600.
	c	Investments - corporate bonds (attach schedule) . . . . .				
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . . ATCH 10 . . . . .		3,697,018,095.	3,914,329,427.	5,307,663,179.	
14	Land, buildings, and equipment basis ▶ 27,491,572. Less accumulated depreciation (attach schedule) ▶ 3,975,316.		23,203,521.	23,516,256.	23,516,256.	
15	Other assets (describe ▶ ATCH 11 )		15,240,511.	15,342,317.	15,342,317.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .		3,920,338,195.	4,162,343,993.	5,555,553,583.	
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ )				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.					
	24	Net assets without donor restrictions . . . . .				
	25	Net assets with donor restrictions . . . . .				
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30					
	26	Capital stock, trust principal, or current funds . . . . .				
	27	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	28	Retained earnings, accumulated income, endowment, or other funds . .		3,920,338,195.	4,162,343,993.	
29	<b>Total net assets or fund balances</b> (see instructions) . . . . .		3,920,338,195.	4,162,343,993.		
30	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		3,920,338,195.	4,162,343,993.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	1 3,920,338,195.
2	Enter amount from Part I, line 27a . . . . .	2 242,005,798.
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3 . . . . .	4 4,162,343,993.
5	Decreases not included in line 2 (itemize) ▶	5
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 29 . . . .	6 4,162,343,993.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )

		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1 a</b>	SEE PART IV SCHEDULE			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	163,964,402.
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8		<b>3</b>	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	587,727,219.	4,769,743,656.	0.123220
2017	562,381,888.	4,199,601,702.	0.133913
2016	483,668,800.	3,289,942,535.	0.147014
2015	410,097,588.	2,801,736,199.	0.146373
2014	394,300,471.	2,597,708,894.	0.151788
<b>2</b>	Total of line 1, column (d)	<b>2</b>	0.702308
<b>3</b>	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.140462
<b>4</b>	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	5,026,775,321.
<b>5</b>	Multiply line 4 by line 3.	<b>5</b>	706,070,915.
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b).	<b>6</b>	2,812,087.
<b>7</b>	Add lines 5 and 6.	<b>7</b>	708,883,002.
<b>8</b>	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	<b>8</b>	560,613,365.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows and 2 columns. Rows include: 1a Exempt operating foundations, b Domestic foundations, 2 Tax under section 511, 3 Add lines 1 and 2, 4 Subtitle A (income) tax, 5 Tax based on investment income, 6 Credits/Payments (6a-6d), 7 Total credits and payments, 8 Enter any penalty, 9 Tax due, 10 Overpayment, 11 Enter the amount of line 10 to be credited to 2020 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns (Yes/No). Rows include: 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation... 1b Did it spend more than \$100 during the year... 1c Did the foundation file Form 1120-POL... 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? 3 Has the foundation made any changes, not previously reported to the IRS... 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either... 7 Did the foundation have at least \$5,000 in assets at any time during the year? 8a Enter the states to which the foundation reports or with which it is registered... 8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General... 9 Is the foundation claiming status as a private operating foundation... 10 Did any persons become substantial contributors during the tax year?

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 regarding controlled entities, distribution to donor advised funds, public inspection requirements, books in care of, and foreign country interest.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 requirements, including disqualifying acts, taxes on failure to distribute income, and business enterprise interest.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year, did the foundation pay or incur any amount to			Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b		X
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE ATTCH 21 - 176	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 15		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 16		19,699,211.	0.	0.

Total number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 17		6,668,267.
Total number of others receiving over \$50,000 for professional services . . . . .		91

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
<b>1</b> NOT APPLICABLE	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> WALTON ARTS CENTER COUNCIL - PROVIDE FINANCING FOR THE EXPANSION OF THE WALTON ARTS CENTER	3,000,000.
<b>2</b> BUILDING CHARTERS FUND - PROVIDE FINANCING FOR CHARTER SCHOOL FACILITIES	715,000.
All other program-related investments See instructions	
<b>3</b> ALL OTHER CHARITABLE LOANS	17,694,604.
Total. Add lines 1 through 3 . . . . .	21,409,604.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	4,802,502,487.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	300,822,712.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	5,103,325,199.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	5,103,325,199.
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	76,549,878.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4 . . . . .	<b>5</b>	5,026,775,321.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	251,338,766.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	251,338,766.
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5 . . . . .	<b>2a</b>	5,624,174.
<b>b</b>	Income tax for 2019 (This does not include the tax from Part VI) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	5,624,174.
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	245,714,592.
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	15,098,962.
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	260,813,554.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	260,813,554.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26. . . . .	<b>1a</b>	537,674,252.
<b>b</b>	Program-related investments - total from Part IX-B. . . . .	<b>1b</b>	21,409,604.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	1,529,509.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	560,613,365.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b See instructions. . . . .	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	560,613,365.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7 . . . . .				260,813,554.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only. . . . .				
b Total for prior years 20 <u>17</u> , 20 <u>16</u> , 20 <u>15</u>				
3 Excess distributions carryover, if any, to 2019				
a From 2014 . . . . . 394,300,471.				
b From 2015 . . . . . 410,097,588.				
c From 2016 . . . . . 323,284,092.				
d From 2017 . . . . . 351,729,389.				
e From 2018 . . . . . 341,185,480.				
f Total of lines 3a through e . . . . .	1,820,597,020.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>560,613,365.</u>				
a Applied to 2018, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2019 distributable amount. . . . .				260,813,554.
e Remaining amount distributed out of corpus. . . . .	299,799,811.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a)) . . . . .				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,120,396,831.			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . . . .	394,300,471.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .	1,726,096,360.			
10 Analysis of line 9				
a Excess from 2015 . . . . . 410,097,588.				
b Excess from 2016 . . . . . 323,284,092.				
c Excess from 2017 . . . . . 351,729,389.				
d Excess from 2018 . . . . . 341,185,480.				
e Excess from 2019 . . . . . 299,799,811.				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4, for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon . . . . .					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

S. ROBSON WALTON, ALICE L. WALTON, JIM C. WALTON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed  
 ATCH 13

b The form in which applications should be submitted and information and materials they should include

ATCH 13

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a Paid during the year</b></p> <p>ATCH 18</p>				
<b>Total</b> . . . . . ▶ <b>3a</b>				504,437,060.
<p><b>b Approved for future payment</b></p>				
<b>Total</b> . . . . . ▶ <b>3b</b>				

Part XVI-A Analysis of Income-Producing Activities

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue, 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate, 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue, 12 Subtotal, 13 Total.

(See worksheet in line 13 instructions to verify calculations )

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.). Row 11A: AMOUNT REPRESENTS REFUNDS OF UNUSED GRANT FUNDS TREATED AS QUALIFYING DISTRIBUTIONS IN PRIOR YEARS.

# Schedule of Contributors

**2019**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization

WALTON FAMILY FOUNDATION, INC.

Employer identification number

13-3441466

**Organization type (check one)**

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **WALTON FAMILY FOUNDATION, INC.**

Employer identification number  
13-3441466

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HRW TRUST NO. 1, UAD 01/17/03 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 15,756,830.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	HRW TRUST NO.2, UAD 01/17/03 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 15,756,830.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	HRW TRUST NO. 3, UAD 01/17/03 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 13,551,159.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	HRW TRUST NO. 4, UAD 01/17/03 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 13,551,159.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
5	JTW TRUST NO. 1, UAD 09/19/02 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 38,330,326.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
6	JTW TRUST NO. 2, UAD 09/19/02 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 38,330,326.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization WALTON FAMILY FOUNDATION, INC.Employer identification number  
13-3441466**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	JTW TRUST NO.3 UAD 09/19/02 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 38,330,326.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
8	JTW TRUST NO. 4, UAD 09/19/02 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 38,330,326.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
9	JTW TRUST NO. 5, UAD 09/19/02 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 38,330,326.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
10	HRW TESTAMENTARY TRUST NO. 1 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 25,116,102.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
11	HRW TESTAMENTARY TRUST NO. 2 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 25,116,102.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
12	HRW TESTAMENTARY TRUST NO. 3 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 25,116,102.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization WALTON FAMILY FOUNDATION, INC.

Employer identification number  
13-3441466**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	HRW TESTAMENTARY TRUST NO. 4 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 25,116,102.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
14	HRW TESTAMENTARY TRUST NO. 5 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 18,429,675.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
15	HRW TESTAMENTARY TRUST NO. 6 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 18,429,675.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
16	HRW TESTAMENTARY TRUST NO. 7 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 18,429,675.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
17	HRW TESTAMENTARY TRUST NO. 8 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 18,429,675.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
18	HRW TESTAMENTARY TRUST NO. 9 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 16,806,336.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization **WALTON FAMILY FOUNDATION, INC.**

Employer identification number  
13-3441466

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19	HRW TESTAMENTARY TRUST NO. 10 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 16,806,336.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
20	HRW TESTAMENTARY TRUST NO. 11 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 16,806,336.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
21	HRW TESTAMENTARY TRUST NO. 12 8805 TAMIAMI TRAIL N, SUITE 108 NAPLES, FL 34108	\$ 16,806,336.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
22	CHRISTY R. WALTON PO BOX 1860 BENTONVILLE, AR 72712	\$ 3,344,817.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
23	STEUART L. WALTON P.O. BOX 1860 BENTONVILLE, AR 72712	\$ 9,866,381.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
24	THOMAS L. WALTON P.O. BOX 1860 BENTONVILLE, AR 72712	\$ 9,866,381.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization **WALTON FAMILY FOUNDATION, INC.**

Employer identification number  
13-3441466

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
25	BEACON VENTURES, INC.  P.O. BOX 1860  BENTONVILLE, AR 72712	\$ 98,848.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

Name of organization **WALTON FAMILY FOUNDATION, INC.**

Employer identification number

13-3441466

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
23	82,500 SHARES OF WALMART STOCK	\$ 9,866,381.	12/26/2019
24	82,500 SHARES OF WALMART STOCK	\$ 9,866,381.	12/26/2019
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization **WALTON FAMILY FOUNDATION, INC.**

Employer identification number

13-3441466

**Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions ) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS) .....							<u>163964402.</u>	

WALTON FAMILY FOUNDATION, INC.  
 FORM 990-PF  
 13-3441466  
 12/31/2019

**PART IV, CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	NET GAIN/(LOSS)
NORTHERN TRUST - ENDOWMENT	VARIOUS STOCKS	VARIOUS	VARIOUS	11,300,067	30,830,338	19,530,271
NORTHERN TRUST - R1000	VARIOUS STOCKS	VARIOUS	VARIOUS	38,253,412	80,173,737	41,920,325
NORTHERN TRUST - R1000	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	42,175	42,175
NORTHERN TRUST - AJO GLOBAL	VARIOUS STOCKS	VARIOUS	VARIOUS	177,614,885	174,144,580	(3,470,305)
NORTHERN TRUST - AJO GLOBAL	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	44,557	44,557
QM COMMON EAFE FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	62,523,946	75,058,813	12,534,867
QM COMMON EAFE FUND	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	2,327,596	480,164	(1,847,432)
QM COMMON EMERGING MARKET EQUITY FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	208,761	192,613	(16,148)
QM COMMON EMERGING MARKET EQUITY FUND	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	3,838,390	-	(3,838,390)
NTGI FRONTIER MARKET COMMON FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	177,002	154,678	(22,324)
NTGI FRONTIER MARKET COMMON FUND	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	45,864	45,864
ARIEL FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	177,864,146	196,686,124	18,821,978
ARIEL FUND	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	8,591	8,591
SETANTA FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	7,468,302	7,377,447	(90,855)
TIMES SQUARE	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	12	12
NORTHERN TRUST - CASH EQUITIZATION	VARIOUS STOCKS	VARIOUS	VARIOUS	3,949,180	3,949,840	660
NORTHERN TRUST - CASH EQUITIZATION	SECTION 1256 GAIN	VARIOUS	VARIOUS	-	27,734,747	27,734,747
NORTHERN TRUST - GS	VARIOUS BONDS	VARIOUS	VARIOUS	118,012,733	119,301,922	1,289,189
NORTHERN TRUST - GS	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	12	12
GOLDMAN SACHS - PUT FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	2,976,427	11,804,292	8,827,865
GOLDMAN SACHS - CALL FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	38,714,675	37,583,607	(1,131,068)
PASS-THROUGH GAIN/LOSS	VARIOUS	VARIOUS	VARIOUS	-	43,579,811	43,579,811
<b>PART 1, LINE 6b &amp; COLUMN (b), LINE 7 - TAX BASIS GAIN</b>				<b>645,229,522</b>	<b>809,193,924</b>	<b>163,964,402</b>

WALTON FAMILY FOUNDATION, INC.  
 FORM 990-PF  
 13-3441466  
 12/31/19

**PART 1, LINE 6b & COLUMN (a), LINE 6a - BOOK BASIS GAIN**

	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST	PROCEEDS	NET GAIN/(LOSS)
NORTHERN TRUST - ENDOWMENT	VARIOUS STOCKS	VARIOUS	VARIOUS	11,300,067	30,830,338	19,530,271
NORTHERN TRUST - R1000	VARIOUS STOCKS	VARIOUS	VARIOUS	38,253,412	80,173,737	41,920,325
NORTHERN TRUST - R1000	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	42,175	42,175
NORTHERN TRUST - AJO GLOBAL	VARIOUS STOCKS	VARIOUS	VARIOUS	177,614,885	174,144,580	(3,470,305)
NORTHERN TRUST - AJO GLOBAL	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	44,557	44,557
QM COMMON EAFE FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	62,523,946	75,058,813	12,534,867
QM COMMON EAFE FUND	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	2,327,596	480,164	(1,847,432)
QM COMMON EMERGING MARKET EQUITY FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	208,761	192,613	(16,148)
QM COMMON EMERGING MARKET EQUITY FUND	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	3,838,390	-	(3,838,390)
NTGI FRONTIER MARKET COMMON FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	177,002	154,678	(22,324)
NTGI FRONTIER MARKET COMMON FUND	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	45,864	45,864
ARIEL FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	177,864,146	196,686,124	18,821,978
ARIEL FUND	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	8,591	8,591
SETANTA FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	7,468,302	7,377,447	(90,855)
TIMES SQUARE	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	12	12
NORTHERN TRUST - CASH EQUITIZATION	VARIOUS STOCKS	VARIOUS	VARIOUS	3,949,180	3,949,840	660
NORTHERN TRUST - CASH EQUITIZATION	SECTION 1256 GAIN	VARIOUS	VARIOUS	-	27,734,747	27,734,747
NORTHERN TRUST - GS	VARIOUS BONDS	VARIOUS	VARIOUS	118,012,733	119,301,922	1,289,189
NORTHERN TRUST - GS	CAPITAL GAIN/(LOSS) DISTRIBUTION	VARIOUS	VARIOUS	-	12	12
GOLDMAN SACHS - PUT FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	2,976,427	11,804,292	8,827,865
GOLDMAN SACHS - CALL FUND	VARIOUS STOCKS	VARIOUS	VARIOUS	38,714,675	37,583,607	(1,131,068)
PASS-THROUGH GAIN/LOSS	VARIOUS	VARIOUS	VARIOUS	-	47,341,278	47,341,278
<b>PART 1, LINE 6b &amp; COLUMN (a), LINE 6a - BOOK BASIS GAIN</b>				<b>645,229,522</b>	<b>812,955,391</b>	<b>167,725,869</b>

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RECOVERIES OF PRIOR YEAR GRANTS	1,412,540.	
OTHER INCOME - PASS THROUGH	2,668,375.	2,541,796.
PASS THROUGH SECTION 988 GAIN/LOSS	-604,142.	-604,220.
NORTHERN TRUST SECTION 988 GAIN/LOSS	17,984.	17,984.
ROYALTY INCOME - PASS THROUGH	705,538.	388,367.
NORTHERN TRUST - OTHER INCOME	111,114.	111,114.
TOTALS	<u>4,311,409.</u>	<u>2,455,041.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	441,452.			441,452.
TOTALS	<u>441,452.</u>			<u>441,452.</u>



ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	126,932.			126,932.
TOTALS	<u>126,932.</u>			<u>126,932.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	6,572,821.	6,572,621.	
EDUCATION PROGRAM CONSULTING	5,747,865.		5,747,865.
EVALUATION PROGRAM CONSULTING	2,866,083.		2,866,033.
COMMUNICATION CONSULTING	1,801,151.		1,801,151.
ENVIRONMENT PROGRAM CONSULTING	2,820,055.		2,820,055.
HOME REGION PROGRAM CONSULTING	862,390.		862,390.
PPG PROGRAM CONSULTING	2,275,971.		2,275,971.
STRATEGIC PLANNING CONSULTING	1,533,908.		1,533,908.
PEOPLE/RECRUITING FEES	434,181.		434,181.
OTHER PROFESSIONAL FEES	88,068.		88,068.
TOTALS	<u>25,002,493.</u>	<u>6,572,621.</u>	<u>18,429,622.</u>

ATTACHMENT 5

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHERN TRUST - INTEREST EXP.	1,712.	1,712.
PASS THROUGH - INTEREST EXP.	2,417,745.	2,245,807.
TOTALS	<u>2,419,457.</u>	<u>2,247,519.</u>

ATTACHMENT 6

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
EXCISE TAX	6,365,000.		
SALES AND USE TAX	607.		607.
NORTHERN TRUST - FOREIGN TAXES	2,628,343.	2,628,343.	
PASS THROUGH - FOREIGN TAXES	965,287.	950,467.	
TOTALS	<u>9,959,237.</u>	<u>3,578,810.</u>	<u>607.</u>

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
SUPPLIES	222,009.		222,009.
TELEPHONE AND COMMUNICATIONS	219,728.		219,728.
POSTAGE AND EXPRESS MAIL	6,175.		6,175.
DUES AND SUBSCRIPTIONS	293,059.		293,059.
REPAIRS AND MAINTENANCE	33,249.		33,249.
TRAINING EXPENSES	187,389.		187,389.
RELOCATION EXPENSE	2,498.		2,498.
MISC. EXPENSES	62,905.		62,905.
GRANTEE CONVENINGS	158,144.		158,144.
SITE VISITS	89,182.		89,182.
STAFF RETREATS	351,330.		351,330.
SERVICE AGREEMENT EXPENSE	16,582.		16,582.
EMPLOYEE RELATIONS	30,390.		30,390.
PASS THRU - PORTFOLIO EXPENSES	23,752,766.	18,674,774.	
NT-OTHER - INVESTMENT EXPENSE	4,820.	4,820.	
PASS THRU - NONDEDUCTIBLE EXP.	69,942.		
TOTALS	<u>25,500,168.</u>	<u>18,679,594.</u>	<u>1,672,640.</u>

ATTACHMENT 8

FORM 990PF, PART II - OTHER NOTES AND LOANS RECEIVABLE

BORROWER: BRIGHTER CHOICE FOUNDATION  
 ORIGINAL AMOUNT: 10,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 05/04/2004  
 MATURITY DATE: 04/30/2019  
 REPAYMENT TERMS: FULL PRINCIPAL DUE - EXTENDED TO 4/30/19  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: SUPPORT CONSTRUCTION FINANCING FOR CHARTER SCHOOLS  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 10,000,000.

BEGINNING BALANCE DUE ..... 10,000,000.

ENDING BALANCE DUE ..... \_\_\_\_\_

ENDING FAIR MARKET VALUE ..... \_\_\_\_\_

BORROWER: EXALT EDUCATION  
 ORIGINAL AMOUNT: 900,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 07/31/2011  
 MATURITY DATE: 07/31/2019  
 REPAYMENT TERMS: \$150,000 ANNUALLY BEGINNING 7/31/2018  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: WORKING CAPITAL LOAN  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 900,000.

BEGINNING BALANCE DUE ..... 825,000.

ENDING BALANCE DUE ..... 712,253.

ENDING FAIR MARKET VALUE ..... 712,253.

ATTACHMENT 8 (CONT'D)

BORROWER: CHARTER FUND, INC.  
 ORIGINAL AMOUNT: 10,000,000.  
 INTEREST RATE: 3.0000 %  
 DATE OF NOTE: 07/30/2007  
 MATURITY DATE: 12/31/2022  
 REPAYMENT TERMS: EXTENDED TO 12/31/2022 FROM ORIGINAL 10 YR PERIOD  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: REVOLVING CHARTER SCHOOL FACILITIES FINANCING FUND  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 10,000,000.

BEGINNING BALANCE DUE ..... 2,099,651.  
 ENDING BALANCE DUE ..... 2,250,000.  
 ENDING FAIR MARKET VALUE ..... 2,250,000.

BORROWER: IFF  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 11/28/2007  
 MATURITY DATE: 12/31/2025  
 REPAYMENT TERMS: EXTENDED ORIGINAL DUE DATE FOR SEVEN YEARS  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: LOAN FUNDS TO 3 CHARTER SCHOOLS IN WI, MO, IN  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 5,000,000.

BEGINNING BALANCE DUE ..... 4,000,000.  
 ENDING BALANCE DUE ..... 4,000,000.  
 ENDING FAIR MARKET VALUE ..... 4,000,000.

ATTACHMENT 8 (CONT'D)

BORROWER: PRESBYTERIAN CHURCH (U.S.A)  
 ORIGINAL AMOUNT: 2,396,698.  
 INTEREST RATE: 1.0000 %  
 DATE OF NOTE: 01/25/2007  
 MATURITY DATE: 07/25/2022  
 REPAYMENT TERMS: PAYMENT DUE IN FULL UPON MATURITY - RENEWAL OPTION  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: BUILD NEW CHURCHES AND RENOVATE EXISTING CHURCHES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 2,984,694.

BEGINNING BALANCE DUE ..... 2,950,594.  
 ENDING BALANCE DUE ..... 2,984,695.  
 ENDING FAIR MARKET VALUE ..... 2,984,695.

BORROWER: PRESBYTERIAN CHURCH (U.S.A.)  
 ORIGINAL AMOUNT: 2,396,698.  
 INTEREST RATE: 1.3400 %  
 DATE OF NOTE: 01/25/2007  
 MATURITY DATE: 07/25/2022  
 REPAYMENT TERMS: PAYMENT DUE IN FULL UPON MATURITY -RENEWAL OPTION  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: BUILD NEW CHURCHES AND RENOVATE EXISTING CHURCHES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 3,174,344.

BEGINNING BALANCE DUE ..... 3,131,726.  
 ENDING BALANCE DUE ..... 3,174,344.  
 ENDING FAIR MARKET VALUE ..... 3,174,344.



ATTACHMENT 8 (CONT'D)

BORROWER: PACIFIC CHARTER SCHOOL DEVEL.  
 ORIGINAL AMOUNT: 10,000,000.  
 INTEREST RATE: 1.0000 %  
 DATE OF NOTE: 07/13/2017  
 MATURITY DATE: 06/30/2027  
 REPAYMENT TERMS: ORIGINAL LOAN AMENDED - DUE DATE EXTENDED 10 YEARS  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: CONSTRUCTION OF CHARTER SCHOOL FACILITIES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 10,000,000.

BEGINNING BALANCE DUE ..... 4,000,000.  
 ENDING BALANCE DUE ..... 4,000,000.  
 ENDING FAIR MARKET VALUE ..... 4,000,000.

BORROWER: E-STEM PUBLIC CHARTER SCHOOLS  
 ORIGINAL AMOUNT: 3,200,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 04/07/2008  
 MATURITY DATE: 12/22/2025  
 REPAYMENT TERMS: DUE DATE EXTENDED SEVEN YEARS  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: TO RENOVATE CHARTER SCHOOL BUILDING.  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 3,200,000.

BEGINNING BALANCE DUE ..... 2,576,292.  
 ENDING BALANCE DUE ..... 2,496,739.  
 ENDING FAIR MARKET VALUE ..... 2,496,739.

ATTACHMENT 8 (CONT'D)

BORROWER: LOCAL INITIATIVES SUPPORT CORP  
 ORIGINAL AMOUNT: 2,700,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 04/26/2008  
 MATURITY DATE: 04/26/2021  
 REPAYMENT TERMS: PAYMENT DUE IN FULL UPON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: SUPPORT CHARTER SCHOOL FACILITIES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 2,700,000.

BEGINNING BALANCE DUE ..... 2,700,000.  
 ENDING BALANCE DUE ..... 2,700,000.  
 ENDING FAIR MARKET VALUE ..... 2,700,000.

BORROWER: LOCAL INITIATIVES SUPPORT CORP  
 ORIGINAL AMOUNT: 10,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 12/21/2011  
 MATURITY DATE: 12/21/2022  
 REPAYMENT TERMS: PAYMENT DUE IN FULL UPON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: NATIONAL CHARTER SCHOOL LOAN FUND  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 10,000,000.

BEGINNING BALANCE DUE ..... 10,000,000.  
 ENDING BALANCE DUE ..... 10,000,000.  
 ENDING FAIR MARKET VALUE ..... 10,000,000.

ATTACHMENT 8 (CONT'D)

BORROWER: SOUTHERN BANCORP CAPITAL PARTNERS  
 ORIGINAL AMOUNT: 10,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 03/05/2009  
 MATURITY DATE: 12/31/2025  
 REPAYMENT TERMS: REPAYMENT TERMS EXTENDED SEVEN YEARS  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: ESTABLISH ARKANSAS EDUCATION FACILITIES LOAN FUND  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 10,000,000.

BEGINNING BALANCE DUE ..... 5,332,514.  
 ENDING BALANCE DUE ..... 4,963,321.  
 ENDING FAIR MARKET VALUE ..... 4,963,321.

BORROWER: SOUTHERN BANCORP CDC  
 ORIGINAL AMOUNT: 8,500,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 08/13/2009  
 MATURITY DATE: 12/22/2025  
 REPAYMENT TERMS: REPAYMENT TERMS EXTENDED SEVEN YEARS  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: PURCHASE REAL ESTATE FOR A CHARTER SCHOOL IN AR  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 8,500,000.

BEGINNING BALANCE DUE ..... 5,078,504.  
 ENDING BALANCE DUE ..... 4,774,504.  
 ENDING FAIR MARKET VALUE ..... 4,774,504.

ATTACHMENT 8 (CONT'D)

BORROWER: SOUTHERN BANCORP CAPITAL PARTNERS  
 ORIGINAL AMOUNT: 500,000.  
 INTEREST RATE: 1.0000 %  
 DATE OF NOTE: 12/10/2009  
 MATURITY DATE: 12/10/2029  
 REPAYMENT TERMS: PAYMENT DUE IN FULL UPON MATIRITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: REDEVELOP DOWNTOWN HELENA, AR  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 500,000.

BEGINNING BALANCE DUE ..... 500,000.  
 ENDING BALANCE DUE ..... 500,000.  
 ENDING FAIR MARKET VALUE ..... 500,000.

BORROWER: IFF  
 ORIGINAL AMOUNT: 3,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 12/08/2010  
 MATURITY DATE: 12/31/2025  
 REPAYMENT TERMS: REPAYMENT TERMS EXTENDED SEVEN YEARS  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: PARENTAL CHOICE PROGRAM IN MILWAUKEE, WI  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 3,000,000.

BEGINNING BALANCE DUE ..... 3,000,000.  
 ENDING BALANCE DUE ..... 3,000,000.  
 ENDING FAIR MARKET VALUE ..... 3,000,000.

ATTACHMENT 8 (CONT'D)

BORROWER: CHARTER SCHOOL FINANCING PARTNERSHIP  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 12/20/2010  
 MATURITY DATE: 12/31/2022  
 REPAYMENT TERMS: PAYMENT DUE IN FULL UPON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: ASSIST CHARTER SCHOOLS WITH ACCESS TO BOND MARKET  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 5,000,000.

BEGINNING BALANCE DUE ..... 1,651,116.  
 ENDING BALANCE DUE ..... 1,276,208.  
 ENDING FAIR MARKET VALUE ..... 1,276,208.

BORROWER: BUILDING HOPE  
 ORIGINAL AMOUNT: 3,300,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 12/01/2011  
 MATURITY DATE: 11/01/2021  
 REPAYMENT TERMS: PAYMENT DUE IN FULL UPON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: DC CHARTER SCHOOL FACILITIES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 3,300,000.

BEGINNING BALANCE DUE ..... 3,300,000.  
 ENDING BALANCE DUE ..... 3,300,000.  
 ENDING FAIR MARKET VALUE ..... 3,300,000.

ATTACHMENT 8 (CONT'D)

BORROWER: PACIFIC CHARTER SCHOOL DEV.  
 ORIGINAL AMOUNT: 4,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 11/07/2012  
 MATURITY DATE: 12/01/2022  
 REPAYMENT TERMS: PAYMENT IN FULL UPON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: FUNDING CHARTER SCHOOLS IN THE BES NETWORK  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 4,000,000.

BEGINNING BALANCE DUE ..... 4,000,000.  
 ENDING BALANCE DUE ..... 4,000,000.  
 ENDING FAIR MARKET VALUE ..... 4,000,000.

BORROWER: HAGEDORN LITTLE VILLAGE  
 ORIGINAL AMOUNT: 3,418,850.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 02/01/2013  
 MATURITY DATE: 06/30/2019  
 REPAYMENT TERMS: SEMI-ANNUAL PAYMENTS \$262,988 STARTING JUNE 2013  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: ASSIST WITH REPAYMENT OF CIVIC FACILITY BONDS  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 3,418,850.

BEGINNING BALANCE DUE ..... 262,988.  
 ENDING BALANCE DUE ..... \_\_\_\_\_  
 ENDING FAIR MARKET VALUE ..... \_\_\_\_\_

ATTACHMENT 8 (CONT'D)

BORROWER: EXCELLENT EDUCATION DEVELOPMENT, INC.  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 11/22/2013  
 MATURITY DATE: 12/31/2021  
 REPAYMENT TERMS: \$2,000,000 ON 10/31/2018, BALANCE DUE ON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: PROVIDE FACILITY FINANCING FOR LA CHARTER SCHOOLS  
 DESCRIPTION AND FMV OF CONSIDERATION: \$5,000,000 IN CASH  
 5,000,000.

BEGINNING BALANCE DUE ..... 3,000,000.  
 ENDING BALANCE DUE ..... 3,000,000.  
 ENDING FAIR MARKET VALUE ..... 3,000,000.

BORROWER: CHARTER SCHOOLS DEVELOPMENT CORPORATION  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 10/31/2014  
 MATURITY DATE: 12/31/2024  
 REPAYMENT TERMS: BALANCE DUE ON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: FINANCE CHARTER SCHOOLS IN LOW-INCOME COMMUNITIES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH  
 5,000,000.

BEGINNING BALANCE DUE ..... 5,000,000.  
 ENDING BALANCE DUE ..... 5,000,000.  
 ENDING FAIR MARKET VALUE ..... 5,000,000.

ATTACHMENT 8 (CONT'D)

BORROWER: CHARTER SCHOOL SUPPORT SERVICES, INC.  
 ORIGINAL AMOUNT: 1,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 12/08/2014  
 MATURITY DATE: 12/12/2021  
 REPAYMENT TERMS: BALANCE DUE ON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: RENOVATE SCHOOL BUILDING AND PURCHASE MODULAR  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 1,000,000.

BEGINNING BALANCE DUE ..... 1,000,000.  
 ENDING BALANCE DUE ..... 1,000,000.  
 ENDING FAIR MARKET VALUE ..... 1,000,000.

BORROWER: PACIFIC CHARTER SCHOOL DEVELOPMENT  
 ORIGINAL AMOUNT: 4,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 10/31/2014  
 MATURITY DATE: 10/31/2024  
 REPAYMENT TERMS: BALANCE DUE AT MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: SUPPORT CHARTER SCHOOL FACILITIES IN LOS ANGELES  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 4,000,000.

BEGINNING BALANCE DUE ..... 4,000,000.  
 ENDING BALANCE DUE ..... 4,000,000.  
 ENDING FAIR MARKET VALUE ..... 4,000,000.



ATTACHMENT 8 (CONT'D)

BORROWER: LOCAL INITIATIVES SUPPORT CORP  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 10/14/2014  
 MATURITY DATE: 12/01/2025  
 REPAYMENT TERMS: BALANCE DUE AT MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: INVEST IN THE NATIONAL SCHOOL LOAN FUND  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 5,000,000.

BEGINNING BALANCE DUE ..... 5,000,000.  
 ENDING BALANCE DUE ..... 5,000,000.  
 ENDING FAIR MARKET VALUE ..... 5,000,000.

BORROWER: SOUTHERN BANCORP COMMUNITY PARTNERS  
 ORIGINAL AMOUNT: 750,000.  
 INTEREST RATE: 1.0000 %  
 DATE OF NOTE: 12/05/2016  
 MATURITY DATE: 12/15/2026  
 REPAYMENT TERMS: \$250,000 PER YEAR BEGINNING DECEMBER 15, 2024  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: SUPPORT ECONOMIC DEVELOPMENT IN THE DELTA REGION  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 750,000.

BEGINNING BALANCE DUE ..... 750,000.  
 ENDING BALANCE DUE ..... 750,000.  
 ENDING FAIR MARKET VALUE ..... 750,000.

ATTACHMENT 8 (CONT'D)

BORROWER: HAAS HALL ACADEMY  
 ORIGINAL AMOUNT: 950,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 06/10/2016  
 MATURITY DATE: 05/01/2019  
 REPAYMENT TERMS: SEMI-ANNUAL PAYMENTS BEGINNING FEBRUARY 1, 2017  
 PURPOSE OF LOAN: ACQUIRE AND RENOVATE BLDG FOR A CHARTER SCHOOL  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 950,000.

BEGINNING BALANCE DUE ..... 950,000.  
 ENDING BALANCE DUE ..... 950,000.  
 ENDING FAIR MARKET VALUE ..... 950,000.

BORROWER: EXCELLENT EDUCATION DEVELOPMENT CORP.  
 ORIGINAL AMOUNT: 2,500,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 06/06/2016  
 MATURITY DATE: 06/01/2023  
 REPAYMENT TERMS: PAYABLE IN FULL UPON MATURITY  
 SECURITY PROVIDED: NONE  
 PURPOSE OF LOAN: FUND DEVELOPMENT OF CHARTER SCHOOLS IN LOS ANGELES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 2,500,000.

BEGINNING BALANCE DUE ..... 2,500,000.  
 ENDING BALANCE DUE ..... 2,500,000.  
 ENDING FAIR MARKET VALUE ..... 2,500,000.

ATTACHMENT 8 (CONT'D)

BORROWER: E-STEM PUBLIC CHARTER SCHOOLS  
 ORIGINAL AMOUNT: 12,472,376.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 08/31/2016  
 MATURITY DATE: 09/01/2022  
 REPAYMENT TERMS: PAYABLE IN FULL UPON MATURITY  
 SECURITY PROVIDED: LEASEHOLD INTEREST OF BORROWER  
 PURPOSE OF LOAN: RENOVATE BUILDING FOR USE AS A CHARTER SCHOOL  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH  
 12,472,376.

BEGINNING BALANCE DUE ..... 12,128,955.  
 ENDING BALANCE DUE ..... 11,716,495.  
 ENDING FAIR MARKET VALUE ..... 11,716,495.

BORROWER: DENVER PFS, LLC  
 ORIGINAL AMOUNT: 1,000,000.  
 INTEREST RATE: 2.1100 %  
 DATE OF NOTE: 02/12/2016  
 MATURITY DATE: 06/30/2021  
 REPAYMENT TERMS: BASED ON SUCCESS RATE OF PROGRAM  
 SECURITY PROVIDED: ASSIGNMENT OF BORROWERS RIGHTS UNDER AGREEMENTS  
 PURPOSE OF LOAN: PROVIDE SUPPORTIVE HOUSING TO HOMELESS INDIVIDUALS  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH  
 853,427.

BEGINNING BALANCE DUE ..... 665,668.  
 ENDING BALANCE DUE ..... 853,427.  
 ENDING FAIR MARKET VALUE ..... 853,427.

ATTACHMENT 8 (CONT'D)

BORROWER: CSGF FACILITY FUND III, LLC  
 ORIGINAL AMOUNT: 10,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 10/17/2016  
 MATURITY DATE: 12/31/2025  
 REPAYMENT TERMS: PAYABLE IN FULL UPON MATURITY  
 SECURITY PROVIDED: CASH LOAN RESERVE AND BORROWER'S ASSETS  
 PURPOSE OF LOAN: LOW MARKET FINANCING FOR CHARTER SCHOOL FACILITIES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 7,166,667.

BEGINNING BALANCE DUE ..... 7,166,667.  
 ENDING BALANCE DUE ..... 7,166,667.  
 ENDING FAIR MARKET VALUE ..... 7,166,667.

BORROWER: ARKANSAS ARTS ACADEMY  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0001 %  
 DATE OF NOTE: 07/13/2017  
 MATURITY DATE: 06/15/2047  
 REPAYMENT TERMS: ANNUAL INSTALLMENTS THOURGH 6/15/2047  
 SECURITY PROVIDED: MORTGAGE  
 PURPOSE OF LOAN: CONSTRUCT AND RENOVATE EXISTING SCHOOL FACILITIES  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 4,806,246.

BEGINNING BALANCE DUE ..... 2,955,390.  
 ENDING BALANCE DUE ..... 4,806,246.  
 ENDING FAIR MARKET VALUE ..... 4,806,246.

ATTACHMENT 8 (CONT'D)

BORROWER: CHARTER SCHOOL GROWTH FUND - CAMDEN  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 11/28/2017  
 MATURITY DATE: 12/22/2022  
 REPAYMENT TERMS: PRINCIPAL BALANCE DUE ON 12/22/2022  
 PURPOSE OF LOAN: PROVIDE BELOW MARKET FINANCING FOR CHARTER SCHOOLS  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 5,000,000.

BEGINNING BALANCE DUE ..... 5,000,000.

ENDING BALANCE DUE ..... 5,000,000.

ENDING FAIR MARKET VALUE ..... 5,000,000.

BORROWER: CHARTER SCHOOL GROWTH FUND - MEMPHIS  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 12/18/2017  
 MATURITY DATE: 12/22/2022  
 REPAYMENT TERMS: PRINCIPAL BALANCE DUE ON 12/22/2022  
 PURPOSE OF LOAN: PROVIDE BELOW MARKET FINANCING FOR CHARTER SCHOOLS  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 5,000,000.

BEGINNING BALANCE DUE ..... 5,000,000.

ENDING BALANCE DUE ..... 5,000,000.

ENDING FAIR MARKET VALUE ..... 5,000,000.

ATTACHMENT 8 (CONT'D)

BORROWER: HAAS HALL  
 ORIGINAL AMOUNT: 697,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 08/22/2017  
 MATURITY DATE: 07/31/2027  
 REPAYMENT TERMS: ANNUAL INSTALLMENTS THROUGH 7/31/2027  
 PURPOSE OF LOAN: RENOVATION OF HAAS HALL SPRINGDALE CAMPUS  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 697,000.

BEGINNING BALANCE DUE ..... 627,300.  
 ENDING BALANCE DUE ..... 557,600.  
 ENDING FAIR MARKET VALUE ..... 557,600.

BORROWER: MONTESSORI TRAINING CENTER  
 ORIGINAL AMOUNT: 500,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 07/26/2017  
 MATURITY DATE: 07/31/2022  
 REPAYMENT TERMS: PRINCIPAL BALANCE DUE ON 7/31/2022  
 PURPOSE OF LOAN: ACQUISITION AND IMPROVMENTS OF SCHOOL PROPERTY  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 500,000.

BEGINNING BALANCE DUE ..... 500,000.  
 ENDING BALANCE DUE ..... 500,000.  
 ENDING FAIR MARKET VALUE ..... 500,000.

ATTACHMENT 8 (CONT'D)

BORROWER: PACIFIC CHARTER SCHOOL DEVELOPMENT  
 ORIGINAL AMOUNT: 5,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 03/17/2017  
 MATURITY DATE: 12/23/2023  
 REPAYMENT TERMS: PRINCIPAL BALANCE DUE IN FULL ON 12/31/2023  
 PURPOSE OF LOAN: FINANCE THREE CHARTER SCHOOLS IN OAKLAND, CA  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 5,000,000.

BEGINNING BALANCE DUE ..... 3,000,000.  
 ENDING BALANCE DUE ..... 5,000,000.  
 ENDING FAIR MARKET VALUE ..... 5,000,000.

BORROWER: BUILDING EQUITY INITIATIVE, INC.  
 ORIGINAL AMOUNT: 5,832,811.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 11/30/2017  
 MATURITY DATE: 11/30/2024  
 REPAYMENT TERMS: PRINCIPAL AMOUNT DUE AND PAYABLE ON 11/30/2024  
 PURPOSE OF LOAN: SUPPORT CHARTER SCHOOLS SUPPORTED BY UNITRANCHE

BEGINNING BALANCE DUE ..... 5,196,000.  
 ENDING BALANCE DUE ..... 11,153,205.  
 ENDING FAIR MARKET VALUE ..... 11,153,205.

ATTACHMENT 8 (CONT'D)

BORROWER: E-STEM CHARTER INVESTMENT (CHASE NMTC)  
 ORIGINAL AMOUNT: 12,525,100.  
 INTEREST RATE: 1.2500 %  
 DATE OF NOTE: 06/29/2017  
 MATURITY DATE: 06/29/2024  
 REPAYMENT TERMS: PRINCIPAL DUE IN FULL 6/29/2024  
 PURPOSE OF LOAN: CONSTRUCTION OF E-STEM CHARTER SCHOOL IN LR, AR  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 12,625,100.

BEGINNING BALANCE DUE ..... 12,525,100.  
 ENDING BALANCE DUE ..... 12,525,100.  
 ENDING FAIR MARKET VALUE ..... 12,525,100.

BORROWER: TWAIN INVESTMENT FUND 227, LLC  
 ORIGINAL AMOUNT: 4,480,250.  
 INTEREST RATE: 1.3000 %  
 DATE OF NOTE: 06/08/2017  
 MATURITY DATE: 06/08/2024  
 REPAYMENT TERMS: PRINCIPAL BALANCE DUE IN FULL 6/08/2024  
 PURPOSE OF LOAN: RENOVATION OF BUILDING INTO CHARTER SCHOOL  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 4,480,250.

BEGINNING BALANCE DUE ..... 4,480,250.  
 ENDING BALANCE DUE ..... 4,480,250.  
 ENDING FAIR MARKET VALUE ..... 4,480,250.



ATTACHMENT 8 (CONT'D)

BORROWER: HATCHERY MASTER TENANT, LLC  
 ORIGINAL AMOUNT: 4,200,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 01/17/2018  
 MATURITY DATE: 12/15/2024  
 REPAYMENT TERMS: ANNUAL REPAYMENT OF \$100,000 BALANCE AT MATURITY  
 PURPOSE OF LOAN: SUPPORT DEVELOPMENT OF A FOOD PROCESSING INCUBATOR  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 4,200,000.

BEGINNING BALANCE DUE ..... 4,200,000.

ENDING BALANCE DUE ..... 4,200,000.

ENDING FAIR MARKET VALUE ..... 4,200,000.

BORROWER: GARLAND BUILDING, LLC  
 ORIGINAL AMOUNT: 6,876,085.  
 INTEREST RATE: 2.9100 %  
 DATE OF NOTE: 08/28/2018  
 MATURITY DATE: 08/28/2048  
 REPAYMENT TERMS: PRINCIPAL DUE AT MATURITY  
 PURPOSE OF LOAN: PROVIDE FINANCING FOR CHARTER SCHOOL IN LR, AR  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 6,876,085.

BEGINNING BALANCE DUE ..... 6,876,085.

ENDING BALANCE DUE ..... 6,876,085.

ENDING FAIR MARKET VALUE ..... 6,876,085.

ATTACHMENT 8 (CONT'D)

BORROWER: FRIENDSHIP EDUCATION FOUNDATION  
 ORIGINAL AMOUNT: 9,300,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 03/17/2018  
 MATURITY DATE: 04/10/2023  
 REPAYMENT TERMS: PRINCIPAL DUE AT MATURITY  
 PURPOSE OF LOAN: FINANCING FOR CHARTER SCHOOLS IN PINE BLUFF, AR  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH  
 OF CONSIDERATION: 8,957,484.

BEGINNING BALANCE DUE ..... 3,654,754.  
 ENDING BALANCE DUE ..... 8,957,484.  
 ENDING FAIR MARKET VALUE ..... 8,957,484.

BORROWER: CITY OF FAYETTEVILLE  
 ORIGINAL AMOUNT: 1,651,125.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 03/08/2018  
 MATURITY DATE: 02/19/2023  
 REPAYMENT TERMS: ANNUAL PRINCIPAL PAYMENTS OF \$275,188  
 PURPOSE OF LOAN: ACQUIRE 228 ACRES OF GREENSPACE FOR PRESERVATION  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH  
 OF CONSIDERATION: 1,651,125.

BEGINNING BALANCE DUE ..... 1,375,938.  
 ENDING BALANCE DUE ..... 1,100,750.  
 ENDING FAIR MARKET VALUE ..... 1,100,750.

ATTACHMENT 8 (CONT'D)

BORROWER: CIVIC NYC FUND, INC.  
 ORIGINAL AMOUNT: 6,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 12/31/2017  
 MATURITY DATE: 12/31/2026  
 REPAYMENT TERMS: PRINCIPAL DUE AT MATURITY  
 PURPOSE OF LOAN: PROVIDE FINANCING FOR CHARTER SCHOOLS IN NEW YORK  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 6,000,000.

BEGINNING BALANCE DUE ..... 4,970,360.  
 ENDING BALANCE DUE ..... 6,000,000.  
 ENDING FAIR MARKET VALUE ..... 6,000,000.

BORROWER: CHARTER FACILITIES SOLUTIONS  
 ORIGINAL AMOUNT: 10,000,000.  
 INTEREST RATE: 1.2500 %  
 DATE OF NOTE: 11/28/2017  
 MATURITY DATE: 02/28/2027  
 REPAYMENT TERMS: PRINCIPAL AND PLUS UNPAID INTEREST AT MATURITY  
 PURPOSE OF LOAN: PROVIDE CHARTER SCHOOL FINANCING IN DENVER, CO  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 1,000,000.

BEGINNING BALANCE DUE ..... 1,000,000.  
 ENDING BALANCE DUE ..... 1,000,000.  
 ENDING FAIR MARKET VALUE ..... 1,000,000.

ATTACHMENT 8 (CONT'D)

BORROWER: E-STEM PUBLIC CHARTER SCHOOLS  
 ORIGINAL AMOUNT: 10,469,961.  
 INTEREST RATE: 1.2500 %  
 DATE OF NOTE: 06/29/2017  
 MATURITY DATE: 06/28/2024  
 REPAYMENT TERMS: UNPAID PRINCIPAL DUE AT MATURITY  
 PURPOSE OF LOAN: PROVIDE FINANCING FOR CHARTER SCHOOL IN LR, AR  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 9,999,242.

BEGINNING BALANCE DUE ..... 9,925,099.  
 ENDING BALANCE DUE ..... 9,999,242.  
 ENDING FAIR MARKET VALUE ..... 9,999,242.

BORROWER: IFF  
 ORIGINAL AMOUNT: 1,000,000.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 12/20/2018  
 MATURITY DATE: 12/31/2025  
 REPAYMENT TERMS: PRINCIPAL DUE IN SEVEN YEARS  
 PURPOSE OF LOAN: SUPPORT FACILITY LOANS TO PUBLIC CHARTER SCHOOLS  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 1,000,000.

BEGINNING BALANCE DUE ..... 1,000,000.  
 ENDING BALANCE DUE ..... 1,000,000.  
 ENDING FAIR MARKET VALUE ..... 1,000,000.

ATTACHMENT 8 (CONT'D)

BORROWER: FRIENDSHIP EDUCATION FOUNDATION  
 ORIGINAL AMOUNT: 625,153.  
 INTEREST RATE: 0.0000%  
 DATE OF NOTE: 06/16/2018  
 MATURITY DATE: 07/01/2024  
 REPAYMENT TERMS: PRINCIPAL BALANCE DUE AT MATURITY  
 PURPOSE OF LOAN: CAPITAL FOR PURCHASE OF FF&E FOR CHARTER SCHOOL  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 625,153.

BEGINNING BALANCE DUE ..... 435,634.  
 ENDING BALANCE DUE ..... 625,153.  
 ENDING FAIR MARKET VALUE ..... 625,153.

BORROWER: WALTON ARTS CENTER COUNCIL  
 ORIGINAL AMOUNT: 3,000,000.  
 INTEREST RATE: 1.0000 %  
 DATE OF NOTE: 05/21/2019  
 MATURITY DATE: 01/31/2027  
 REPAYMENT TERMS: 20-YR. AMORT. ANNUAL PAYMTS BEG. 1/31/21  
 PURPOSE OF LOAN: SUPPORT RENOVATION OF AR MUSIC PAVILION  
 DESCRIPTION AND FMV OF CONSIDERATION: CASH 3,000,000.

ENDING BALANCE DUE ..... 3,000,000.  
 ENDING FAIR MARKET VALUE ..... 3,000,000.

ATTACHMENT 8 (CONT'D)

BORROWER: BUILDING CHARTERS FUND  
 ORIGINAL AMOUNT: 715,000.  
 DATE OF NOTE: 02/07/2019  
 MATURITY DATE: 12/31/2026  
 REPAYMENT TERMS: BALANCE DUE IN FULL - 12/31/2026  
 PURPOSE OF LOAN: TO PROVIDE GENERAL OPERATING SUPPORT  
 DESCRIPTION AND FMV CASH  
 OF CONSIDERATION: 715,000.

ENDING BALANCE DUE ..... 715,000.

ENDING FAIR MARKET VALUE ..... 715,000.

TOTAL BEGINNING OTHER NOTES AND LOANS RECEIVABLE 180,291,585.

TOTAL ENDING BOOK - OTHER NOTES AND LOANS RECEIVABLE 188,564,768.

TOTAL ENDING FMV - OTHER NOTES AND LOANS RECEIVABLE 188,564,768.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WALMART STOCK	19,732,762.	19,608,600.
TOTALS	<u>19,732,762.</u>	<u>19,608,600.</u>

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WALTON ENTERPRISES, LLC	90,299,569.	90,299,112.	762,551,460.
LIMITED LIABILITY CO. UNITS	210,931.	210,932.	402,000.
ROB-WAL, TULSA 28, LLC			
NORTHERN TRUST MANAGED INCOME ACCOUNTS	2,395,232,398.	2,834,109,704.	3,383,383,966.
RUSSELL 1000	73,273,529.	43,049,578.	109,198,915.
QM COMMON DAILY EAFE FUND	191,622,139.	134,198,155.	178,002,053.
QM COMMON DAILY EMERGING MKTS	167,793,937.	169,064,511.	211,332,581.
DIVERSIFIED FRONTIER MKTS FUND	66,773,294.	70,513,991.	79,384,241.
ARIEL FUND	154,059,579.	172,253.	173,336.
GOLDMAN SACHS PUT FUND	173,994,389.	186,428,150.	189,416,701.
GOLDMAN SACHS CALL FUND	59,427,806.	58,928,933.	61,922,007.
AJO GLOBAL	81,793,479.	79,961,383.	86,534,109.
SETANTA INTERNATIONAL	242,537,045.	247,392,725.	245,361,810.
TOTALS	<u>3,697,018,095.</u>	<u>3,914,329,427.</u>	<u>5,307,663,179.</u>



FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 11

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PROGRAM RELATED INVESTMENT- (SOUTHERN DEVELOPMENT BANK CO)	3,300,030.	3,300,030.	3,300,030.
PROGRAM RELATED INVESTMENT- (NEIGHBORHOOD BANCORP.)	100,000.	100,000.	100,000.
CONSTRUCTION IN PROGRESS DEPOSITS	82,486. 160,264.	96,250. 98,307.	96,250. 98,307.
PROGRAM RELATED INVESTMENT (MELJOY FUND)	422,910.	572,910.	572,910.
INVESTMENT IN KLS MM	7,248,425.	7,248,425.	7,248,425.
INVESTMENT GARLAND BLDG. MM	3,926,396.	3,926,395.	3,926,395.
<b>TOTALS</b>	<u>15,240,511.</u>	<u>15,342,317.</u>	<u>15,342,317.</u>

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR QUALIFYING DISTRIBUTION

DONOR ADVISED FUNDS

AS PART OF ITS STRATEGIC GRANTMAKING ACTIVITIES, THE WALTON FAMILY FOUNDATION (FOUNDATION) MADE TWO SEPERATE GRANTS TOTALING \$2,730,000 TO TWO DIFFERENT DONOR ADVISED FUNDS. THE GRANT FUNDS ARE USED TO PROVIDE SUPPORT TO VARIOUS CHARITABLE ORGANIZATIONS. THE FOUNDATION HAS ADVISORY PRIVILEGES OVER FUND DISTRIBUTIONS. THE FOUNDATION HAS TREATED THE GRANTS AS QUALIFYING DISTRIBUTIONS BECAUSE THEY FUND CHARITABLE PROGRAMS AND ACTIVITIES, AND THEREFORE ACCOMPLISH A CHARITABLE PURPOSE DESCRIBED IN IRC SECTION 170(C)(2)(B).

ATTACHMENT 13

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

BRENDA DEAN  
P.O. BOX 2030  
BENTONVILLE, AR 72712  
479-464-1570

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

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GRANTS TO ORGANIZATIONS: WRITTEN REQUESTS ONLY. SEE FOUNDATION WEBSITE AT [WWW.WALTONFAMILYFOUNDATION.ORG](http://WWW.WALTONFAMILYFOUNDATION.ORG).

FORM 990PF, PART VII-A, LINE 16 - LIST OF FOREIGN COUNTRIES

CANADA  
CHINA  
UNITED KINGDOM  
JAPAN  
DENMARK  
ISRAEL  
KOREA, REPUBLIC OF (SOUTH)

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
S. ROBSON WALTON P.O. BOX 1860 BENTONVILLE, AR 72712	DIRECTOR 5.00	0.	0.	0.
ALICE L. WALTON P.O. BOX 1860 BENTONVILLE, AR 72712	DIRECTOR 5.00	0.	0.	0.
CARRIE W. PENNER P.O. BOX 1860 BENTONVILLE, AR 72712	DIRECTOR; CHAIRPERSON 6.00	0.	0.	0.
JAMES M. WALTON P.O. BOX 1860 BENTONVILLE, AR 72712	DIRECTOR 5.00	0.	0.	0.
LUKAS T. WALTON P.O. BOX 1860 BENTONVILLE, AR 72712	DIRECTOR 5.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 16

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
WALTON ENTERPRISES, LLC P.O. BOX 1860 BENTONVILLE, AR 72712 REPORTED AS AUTHORIZED UNDER IRS ANNOUNCEMENT 2001-33. NO INDIVIDUAL LISTED RECEIVED COMPENSATION FROM WALTON ENTERPRISES, LLC OR ANY OTHER SOURCE FOR SERVICES TO THE FOUNDATION.		19,699,211.

TOTAL COMPENSATION 19,699,211.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 17

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
GOLDMAN SACHS ASSET MGT. 71 SOUTH WACKER DRIVE CHICAGO, IL 60606	INVESTMENT ADVISORY	1,304,473.
NORTHERN TRUST 50 SOUTH LA SALLE STREET CHICAGO, IL 60603	INVESTMENT SERVICES	1,704,178.
GENERAL ATLANTIC SERVICE COMPAMY 55 EAST 52ND STRRET NEW YORK, NY 10055	INVESTMENT FEES	1,751,001.
ERNST & YOUNG LLP 214 NORTH FAYETTE STREET ALEXANDRIA, VA 22314	CONSULTING	968,658.
SETANTA 1000 W. 3RD STREET LITTLE ROCK, AR 72201	INVESTMENT SERVICES	939,957.
	TOTAL COMPENSATION	<u>6,668,267.</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 18

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHMENT 20

494,437,060.

CATENA FOUNDATION

PF

GRANT TO PRIVATE NON OPERATING FOUNDATION NOT

P. O. BOX 994

TREATED AS A QUALIFYING DISTRIBUTION UNDER IRC

10,000,000.

CARBONDALE, CO 81623

SECTION 4942 (G) (3) BY THE GRANTOR.

TOTAL CONTRIBUTIONS PAID

504,437,060.



FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 19

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
RECOVERY OF PRIOR YEAR GRANTS					1,412,540.
TOTALS					<u>1,412,540.</u>

Recipient name	Recipient address	Recipient City	Recipient State	Zipcode	Relation to Recipient	Foundation Status of Recipient	Purpose of Grant	Amount Paid
100 Black Men of Metro New Orleans, Inc.	6600 Pritz Drive	New Orleans	LA	70127		PC	The Five Guiding Principles of Excellence, Access and Success for All Children 4.0	200,000
3DE National LLC	3565 Piedmont Rd NE Building One, Suite 460	Atlanta	GA	30305		PC	Support for the Regional Expansion of 3DE Schools	150,000
4 D Schools	643 Magazine, Suite 206	New Orleans	LA	70130		PC	4 D Schools Proposal	1,350,000
50CAN, Inc	1675 K Street NW, Suite 600	Washington	DC	20005		PC	50CAN National and State Advocacy Initiatives	4,415,012
Aaron E. Henry Community Health Center	P. O. Box 1216	Clarksdale	MS	38614		PC	Healthcare Moving Up	45,000
Academy of Seminoles, Inc	P. O. Box 31	Seminole	OK	74818		PC	School Start-Up Grant	375,000
Achievement First, Inc	495 Blake Street	New Haven	CT	6515		PC	AF Accelerate - Navigator	471,931
Active Transportation Alliance	9 W Hubbard St, Suite 402	Chicago	IL	60654		PC	Chicago Trail Connection Project	30,000
Advances Illinois NFP	303 East Wacker Drive	Chicago	IL	60601		PC	Support for Funding Reform, Accountability, and Teacher Pipeline	400,000
Advanced Conservation Strategies	204 William Bankdale	Williamsburg	VA	23185		PC	Improving and Expanding a TURF Reserve Program in Central Chile	169,482
African Parks Foundation of America	21 West 46th Street	New York	NY	10036		PC	Five-year expansion plans for 20 parks	1,000,000
AfterSchool Alliance	1616 H St, NW, Suite 820	Washington	DC	20006		PC	America After 3PM Special Project on Rural Communities	75,000
Ag Technology and Environmental Stewardship Foundation, Inc	1255 SW Prairie Trail Pkwy	Ashley	IA	50023		PF	Iowa Soil and Water Infrastructure Fund Pilot	1,339,967
AJL Learner Fund	1390 Lawrence Street	Denver	CO	80204		PC	Colorado's Career-Connected Continuum	1,200,000
Alder Graduate School of Education	7946 Broadway Street, Suite B	Redwood City	CA	94062		PC	Alder Graduate School of Education: Growth to Sustainability	400,000
All Blues Welcome	11 Center St, Ste 330	Fayetteville	AR	72701		PC	Gift Fast	25,000
Alliance for School Choice, Inc	1020 19th Street, NW, Suite 675	Washington	DC	20036		PC	Improve Environments for Strong School Choice Programs Across the Country	2,400,000
Alpha Phi Alpha Fraternity, Inc	2313 Saint Paul Street	Baltimore	MD	21218		NC	Alpha Phi Alpha Fraternity 2019 General Convention	100,000
Alzheimer's Disease and Related Disorders Association, Inc	10201 W. Markham Street, Suite 100	Little Rock	AR	72205		PC	2019 Walk to End Alzheimer's Northwest Arkansas	5,000
Amama Academy	285 S Main Street	Alpharetta	GA	30004-1917		GOV	O-S Schools	375,000
Amber Charter School	220 East 106th Street (& Manhattan)	New York	NY	10029		PC	O-S Schools	375,000
Ambitious Initiatives, Inc	1404 Park Avenue, SE	Atlanta	GA	30315		PC	AmbitiousX Emerging Leaders	150,000
America Achieves, Inc	100 West 33rd Street, Suite 917, Mailbox 900	New York	NY	10001		PC	Education-to-Employment Funder Collaborative	750,000
America Succeeds	1390 Lawrence St	Denver	CO	80204		PC	General Operating Support	360,000
American Enterprise Institute for Public Policy Research	1789 Massachusetts Avenue, NW	Washington	DC	20036		PC	How New School Models are Examples of Institutional and Community Renewal	150,000
American Farmland Trust	1150 Connecticut Ave NW, Suite 600	Washington	DC	20036		PC	Advancing Conservation Cropping Systems to Address Nutrient Losses in Illinois	400,000
American Heart Association, Inc	2928 S. 48th Street	Tempe	AZ	85282		PC	CycleNation Northwest Arkansas	7,500
American Institutes for Research in Behavioral Sciences	1000 Thomas Jefferson St, NW	Washington	DC	20007		PC	Evaluation of Endowments to University of Arkansas	15,006
American Montessori Society, Inc	116 E. 16th Street	New York	NY	10003		PC	American Montessori Society 2020 Conference	15,000
American Rivers, Inc	1101 14th Street N W	Washington	DC	20005		PC	Colorado River Program	712,730
American Whitewater	P. O. Box 1540	Cullowhee	NC	28723		PC	Colorado River Stewardship Project	50,000
America's Promise - The Alliance for Youth	1110 Vermont Ave, NW	Washington	DC	20005		PC	America's Promise Alliance 2019 Promise Night Awards Gala	25,000
Amperand Education, LLC	100 Grant Ave, Suite 2202	Oakland	CA	94612		NC	Advocacy and Policy Support	35,000
Amplify Education	55 Washington Street	Brooklyn	NY	11201		NC	Exploring a Flexible Assessment System Designed for Teachers & Students	168,037
Aquaculture Stewardship Council	P. O. 19107	The Netherlands				NC	Proving and Promoting Aquaculture Improvement in Indonesia	198,318
ARD, Inc. dba TerraTech ARD	159 Bank Street, Suite 300	Burlington	VT	5401		NC	SEA Supplemental Technical Assistance (STA) Project	877,201
ARENA, Inc	655 Knight Way	Stanford	CA	94305		PC	O-S Schools	375,000
Arizona Chamber Foundation	3200 N. Central Avenue	Phoenix	AZ	85012		PC	Arizona Chamber Foundation and A for Arizona Education Advocacy	797,132
Arizona State University Foundation for a New American University	P. O. Box 2260	Tempe	AZ	85280-2260		PC	ASU MLT Teachers College: Designing the Next Education Workforce	249,665
Arkansas for Education Reform Foundation	1401 West Capitol Avenue, Suite 260	Little Rock	AR	72201-2936		PDF	Operational Support	700,000
Arkansas Advanced Initiative for Math and Science, Inc	University of Arkansas at Little Rock	Little Rock	AR	72204-1099		PC	Empowering AP students and teachers in NW AR and in the AR Delta	158,190
Arkansas Arts Academy	506 W Poplar Street	Rogers	AR	72756		PC	Academic Growth and Improvement	136,300
Arkansas Coalition of Marshallese, Inc	614 East Emma Ave	Springdale	AR	72764		PC	Uplift Cohort	21,666
Arkansas Committee of the National Museum of Women in the Arts	P. O. Box 8962	Pine Bluff	AR	71611		PC	General Operating Grant	2,000
Arkansas Community Foundation, Inc	5 Allied Drive, Suite 51110	Little Rock	AR	72202		PC	TRUE Northwest Arkansas	250,000
Arkansas Department of Education	4 State Capitol Mall	Little Rock	AR	72201		GOV	General Operating Grant/ Arkansas Teacher of the Year	30,000
Arkansas Fashion Week	3024 N Oak Bailey Dr	Fayetteville	AR	72703		PC	Educational Programming	148,000
Arkansas Game and Fish Commission	2 Natural Resources Dr	Little Rock	AR	72205		GOV	Northwest Arkansas Nature Center	446,834
Arkansas Governor's Mansion Association	1800 Center Street	Little Rock	AR	72201		PC	General Operating Grant	1,000
Arkansas Justice Collective	2022 W Sunset Ave	Springdale	AR	72762		PC	NWA Immigration Roundtable	30,000

Resigant name	Resigant address	Resigant City	Resigant State	Zipcode	Foundation Status of Resigant	Purpose of Grant	Amount Paid
Arkansas Natural Heritage Commission	1100 North Street	Little Rock	AR	72201	GOV	Searles Prairie Preservation	180,000
Arkansas Policy Foundation	111 Center Street, Suite 1200	Little Rock	AR	72201	PC	General Operating Grant	10,000
Arkansas Public School Resource Center, Inc	1401 W. Capitol, Suite 315	Little Rock	AR	72201	PC	Operational Support	2,087,000
Arkansas Regional Innovation Hub, Inc.	P. O. Box 351618	Little Rock	AR	72225-1618	PC	TMI2EP Pilot - Targeted Maker/Micro-Entrepreneurship Program Pilot	86,324
Arkansas Sports Hall of Fame, Inc	Three Veridon Arena Way	North Little Rock	AR	72114	PC	General Operating Grant	1,000
Arkansas State Council on Economic Education	PO Box 3447	Little Rock	AR	72203-3447	PC	General Operating Grant	20,000
Arkansas United Community Coalition	PO Box 2031	Rogers	AR		PC	Uplift Cohort	20,000
Arkansas Women's Hall of Fame	100 Main Street	North Little Rock	AR	72114	PC	Arkansas Women's Hall of Fame Induction Ceremony	5,700
Arts Center of the Ozarks	214 S. Main Street	Springdale	AR	72764-4446	PC	General Operating Grant	6,000
Arspace Project, Inc	250 Third Avenue North, Suite 400	Minneapolis	MN	55401	PC	Work on creative space and mixed-use agiti housing development in Bentonville	385,395
Ascend Learning, Inc	205 ROCKAWAY PKWY	Brooklyn	NY	11212	PC	Accelerating Emerging Charter Networks Grants Program	425,000
Aspen Center for Environmental Studies	100 Puppy Smith Street	Aspen	CO	81611-1451	PC	General Operations / Program Support	250,000
Aspen Country Day School, Inc.	85 Country Day Way	Aspen	CO	81611	PC	Capital Campaign / Character Building Programming	90,000
Asociacion Mundo Azul	Francisco del Castillo 506, 15047	Lima, Peru			NC	Regata Cabo Blanco	34,924
Associated Professional Educators of Louisiana	7907 Wrenwood Blvd Suite B	Baton Rouge	LA	70809	PC	Educator/School Autonomy Support (Regional)	80,000
Association for Education Finance and Policy, Inc	6703 Madison Creek	Columbia	MO	65203	PC	AEPF Annual Conference	51,000
Association Montesson Interationale	Koninginneweg 161, 1075 ON	Amsterdam	AR		NC	Strategic Plan Support for Capacity, Outreach and Legacy	453,000
Association of American Educators Foundation	25909 Pala Plaza, Suite 330	Mission Viejo	CA	92691-7963	PC	ASTA Growing Good to Great	549,300
Association of Black Foundation Executives, Inc	333 Seventh Ave, Floor 14	New York	NY	10001	PC	Membership	10,000
Association of Missouri Charter Schools	1306 Poplin Street	St. Louis	MO	63103	PC	General Operating Support	250,000
Atlanta Dream Academy	2275 Marietta Blvd NW Ste 270-378	Atlanta	GA	30318	PC	OS Schools	315,000
Atlanta Volunteer Lawyers Foundation, Inc	235 Peachtree Street, North Tower	Atlanta	GA	30303	PC	AVLF Annual Fund Sponsorship	10,000
Atlantic Salmon Trust	11 Rutland Square	Edinburgh, Scotland			NC	The Uthly Suspects Framework	50,000
Austville Aquaculture, LLC	One Austville Way	Turners Falls	MA	1376	NC	Greener Grazing - Sealing Red Seaweed Aquaculture to Reduce Enteric Methane Emissions	290,000
Bank Street Institute of Education	610 W 112th Street	New York	NY	10025	PC	Teaching English to Speakers of Other Languages (TESOL) Residency Program	250,000
Barry Goldwater Institute for Public Policy Research	500 East Coronado Road	Phoenix	AZ	85004	PC	Empowering Families and Advancing School Choice 2018-2019	125,000
BE NOLA, Inc	9511 Chef Menteur Hwy	New Orleans	LA	70127	PC	Communications Grant - BECE - Black Education for Excellence, Equity, and Empowerment	274,341
Beacon Center of Tennessee	PO Box 198646	Nashville	TN	37219	PC	Expanding Educational Horizons in Tennessee	125,380
Beaver Watershed Alliance	614 E. Emma Avenue, Suite M438	Springdale	AR	72764	PC	Beaver Lake Watershed Mapping, LID Implementation, and Pond BMP Research Project	69,700
Bella Vista Foundation	P. O. Box 5261	Bella Vista	AR	72714	PC	2019 Regional Tree Planting	124,254
Bella Vista Historical Society	1885 Bella Vista Way	Bella Vista	AR	72714	PC	General Operating Grant	2,000
Bellweather Education Partners, Inc	1426 9th Street NW, Suite 200	Washington	DC	20001	PC	Research and Commentary - Teacher Survey Project	569,263
Beloved Community	1700 S. Rampart Street	New Orleans	LA	70113	PC	Assessing Diversity, Equity, and Inclusion in Schools	303,306
Benton County	215 East Central	Bentonville	AR	72712	GOV	2019 Regional Tree Planting	20,974
Benton County Historical Society	PO Box 1034	Bentonville	AR	72712	PC	General Operating Grant	3,000
Bentonville Advertising and Promotion Commission	104 East Central	Bentonville	AR	72712	GOV	Public Bite and Scooter Share (coordination and engagement process)	287,300
Bentonville Child Care and Development Center, Inc.	1701 NE Wildcat Way	Bentonville	AR	72712	PC	Early Education Matters - A Proposal from the Helen R. Walton Children's Enrichment Center	700,000
Bentonville Information	960 N. Main Street	Bentonville	AR	72712	PC	MCBV Upgrades	25,000
Bentonville Islamic Center	PO Box 2256	Bentonville	AR	72712	PC	Uplift Cohort	20,000
Bentonville Library Foundation	405 S. Main	Bentonville	AR	72712	PC	General Operating Grant	5,000
Bentonville Parks Conservancy, Inc	1408 NW Angel Falls Road	Bentonville	AR	72712	PC	2019 Regional Tree Planting	43,775
Bentonville Public School District	500 Tiger Boulevard	Bentonville	AR	72712	GOV	Ignite Professional Studies Expansion Project	718,999
Bentonville Public Schools Foundation	500 Tiger Boulevard	Bentonville	AR	72712	PC	2019 September Annual Grant/IB Program	20,000
Bentonville-Bella Vista Chamber of Commerce Foundation, Inc	300 East Central	Bentonville	AR	72712	PC	Inclusive Entrepreneurship - Developing African American Entrepreneurship in NW AR	705,000
Best Friends Animal Society	5001 Angel Canyon Road	Kanab	UT	84741	PC	Pet Resource Center - Design	203,050
Beyond Conflict	30 Winter Street	Boston	MA	02108	PC	Beyond Conflict Innovation Lab	2,500,000
Bicycle Coalition of the Ozarks	411 W. Holly St	Fayetteville	AR	72703	PC	2019 Operations and Capacity Building	1,150,800
Big Picture Company, Inc	225 Public Street	Providence	RI	02905	PC	Big Picture Learning - Walton Cohort - An Affinity System for Greater Impact	1,852,479
Blizzle Group	PO Box 54261	Atlanta	GA	30308	PC	Grassroots Leaders & Authentic Learning Labs	50,000
Blue Engine, Inc	75 Broad Street	New York	NY	10004	PC	New York City Teacher Pipeline - Preparing Teachers to Support Diverse Learners	300,204

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Blue Ventures Conservation	Omnibus Business Centre, 39 to 41 North Road	London, United Kingdom		N7 9DP	PC	Developing a Replicable Model for Effective Management of Small Fisheries in Indonesia	637,286
Bluetrust Consulting LTD	Bluetrust 23	Zurich, Switzerland		8045	PC	Impact Blue Fisheries Transition Project Development Mexico	200,000
Bonneville Environmental Foundation	1500 SW First Avenue, Suite 885	Portland	OR	97201	PC	Leveraging Corporate and Business Influence to Achieve CRC Goals	1,056,892
Boston College Trustees	140 Commonwealth Ave	Chestnut Hill	MA	02467	PC	Lynch Leadership Academy Professional Development for Alumni and Leadership Teams	51,618
Boston Collegiate Charter School	11 Mayhew Street	Dorchester	MA	02125	PC	Boston Collegiate Fund for Teaching Excellence	100,000
Boston Schools Fund, Inc	31 Heath St	Boston	MA	02130	PC	Expanding Boston School Finder	350,000
Boy Scouts of America/Westark Area Council	1401 Old Greenwood Road	Fox Smith	AR	72901-4251	PC	General Operating Grant	10,000
Boys & Girls Club of the Mississippi Delta	516 E Canal St	Yazoo City	MS	39194	PC	Boys & Girls Clubs of the Mississippi Delta Coahoma County Club Initiative	189,500
Boys & Girls Clubs of Puerto Rico, Inc	501 Roberto H Todd Ave	San Juan	Puerto Rico	907	PC	Start-Up Funding for The Virental School	200,000
Boys and Girls Club of Benton County	P. O. Box 448	Bentonville	AR	72712	PC	General Operating Grant	25,000
Bridge Preparatory Charter School	715 Ocean Terrace, Building E	Staten Island	NY	10301	PC	O-S Schools	325,000
Bronx Charter School for the Arts	1440 Story Avenue	Bronx	NY	10473	PC	Accelerating Emerging Charter Networks Grants Program	150,000
Brooke School Foundation	190 Cummins Highway	Rehoboth	MA	02131	PC	Teacher Development Program	150,000
Brookings Institution	1775 Massachusetts Avenue, N.W	Washington	DC	20036-2188	PC	Parents and Choice	336,996
Building Excellent Schools, Inc	31 Milk St, 6th Floor	Boston	MA	02109	PC	Fellowship, School Startup, and Strategic Operational Support	5,450,000
Building Hope	910 17th Street NW, Suite 1100	Washington	DC	20006	PF	Texas Facilities Technical Assistance and Support	220,000
Business Forward Foundation	1155 Connecticut Ave NW	Washington	DC	20036	PC	Business Support for Education Reform	490,000
California Charter School Association	250 East First Street, Suite 1000	Los Angeles	CA	90012-3811	PC	Advancing Charter Schools in California	6,750,000
California Community Foundation	721 S. Figueroa St., Suite 400	Los Angeles	CA	90012	PC	English Learner Success Consortium Working Group	177,625
California Council of Land Trusts	1029 J Street, Suite 120	Sacramento	CA	95814	PC	3rd Annual Chile California Conservation Exchange Conference	25,000
Cambiar Education	4653 Carmel Mountain Road No. 308-97	San Diego	CA	92130	PC	Accelerating Educational Entrepreneurs	1,144,500
Camden Enrollment, Inc	1865 Harrison Ave	Camden	NJ	8105	PC	Unified Enrollment System Operations *	100,000
Cambridge Ventures, Inc	643 Magazine Street, Ste 206	New Orleans	LA	70130	PC	Building Capacity for Innovation & High Quality Schools	800,000
Camp War Eagle, Inc.	P. O. Box 506	Rogers	AR	72756	POF	General Operating Support	8,560,484
Canopy NWA	2925 Old Missouri Road	Fayetteville	AR	72703	PC	Refugee Integration in Northwest Arkansas	103,501
Caras of the Americas	No. 8 Floral Park Street	San Juan	Puerto Rico	917	PC	Startup Funding for Escueta Alianza Caras	200,000
Carmegie Foundation for the Advancement of Teaching	51 Vista Lane	Stanford	CA	94305	POF	2020 Summit on Improvement in Education	25,000
Carroll and Madison Public Library Foundation	106 Spring Street	Berryville	AR	72616	PC	Kington Public Library Expansion	15,000
CASA of Northwest Arkansas, Inc	3825 Cawood Lane	Springdale	AR	72762	PC	General Operating Grant	2,000
Catalyst Public Schools	603 Klitchkat Place SE	Bainbridge Island	Washington	98110	PC	O-S Schools	325,000
Catholic Diocese of Little Rock	P. O. Box 7565	Little Rock	AR	72217-7565	PC	Uplift Cohort	25,000
Causa Nabura, A C	Chicago 140, Oficina PB2	Chicago	DC	C.P. 03810	PC	Improving fisheries policies through transparency, participation and accountability	175,000
Center for Educational Excellence in Alternative Settings	1361 Locust Rd. NW	Washington	DC	20012	PC	Welcoming Project and Replication Planning	300,788
Center for Planning Excellence, Inc	100 Lafayette Street	Baton Rouge	LA	70801	PC	Building Resilience through State Agency Coordination	125,000
Center for Resilience	2603 Baronne St	New Orleans	LA	70113	PC	Center for Resilience Non-profit Transition and Expansion	347,000
Center for Rural Affairs	145 Main Street	Lyns	NE	68738	PC	Advancing conservation and crop insurance improvements through farm bill implementation	785,000
Center of Investigation and Public Policy	1500 C/Antoniani Suite L-Collaboratio	San Juan	Puerto Rico	00912-3150	PC	Education Gap Analysis Case Study Approach	75,000
Central Queens Academy Charter School	55-30 Junction Boulevard	Elmhurst	NY	11373	PC	Accelerating Emerging Charter Networks Grants Program	153,662
Central Valley Community Foundation	5260 N Palm Ave No. 122	Fresno	CA	93704	PC	360 Accelerator Education Partners	225,000
Centro Pesca Sustentable	Irretrabal 2401, Office 908	Santiago Chile	MA	8370000	NC	Improvement of small scale fisheries applying adaptive management strategies	100,000
Ceres, Inc	99 Chauncy Street	Boston	MA	2111	PC	Cultivating Investor and Corporate Action on Sustainable Agriculture in the Mississippi River Basin	500,000
Chalkbeat, Inc	1239 Broadway, Suite 703B	New York	NY	10001	PC	Supporting Regional and National News Coverage of K-12 Education	435,000
Charter Board Partners	PO Box 73215	Washington	DC	20056	PC	Supporting Strong Governance for the Charter Ecosystem to Improve Public Education	445,000
Charter Family Solutions	1390 Lawrence Street, Suite 200	Denver	CO	80204	PC	General Operating Grant	100,000
Charter Fund, Inc	416/s Charter School Growth Fund	Broomfield	CO	80021	POF	National Fund III	8,475,000
Chicago Charter School Foundation	228 S. Wabash, Suite 600	Chicago	IL	60604	PC	CICS Education Incubator	354,000
Chiefs for Change	1455 Pennsylvania Ave NW, Suite 400-311	Washington	DC	20004	PC	2018-2020 Operating Support for Chiefs for Change	700,000
Children Now	1404 Franklin Street, Suite 700	Oakland	CA	94612	PC	Promoting Key Education Reforms at the State Level and locally in Los Angeles and Oakland	210,000
Children's Museum of Northwest Arkansas	1009 Museum Way	Bentonville	AR	72712	PC	LevelUp: Building the Next Gen Creative Learning Community	1,290,754
Choate Rosemary Hall Foundation, Inc	333 Christian Street	Wallingford	CT	06492-3800	PC	Opportunity to Lead Endowment: Georgetown Family Student Athletic Fund / Capital Campaign	380,000

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Chopra Foundation	2013 Costa Del Mar Road	Carlsbad	CA	92009		PC	Sejes & Selenitus 2019 Sponsorship	100,000
Circle of Life	901 Jones Road	Springdale	CA	92762		PC	General Operating Grant	5,000
Citizens of the World Charter Schools	5371 Whittier Boulevard	Los Angeles	CA	90036		PC	Preparation to Launch a CWC Fellowship to Expand Intentionally Diverse School Models	130,000
City of Bella Vista	P O Box 5655	Bella Vista	AR	72715		GOV	Merry Way Bridge + Blowing Springs to Metfield Trail	4,218,711
City of Bentonville	117 West Central Boulevard	Bentonville	AR	72712		GOV	8th Street Gateway Park	2,780,797
City of Centerton	P O Box 208	Centerton	AR	72719		GOV	2019 Regional Tree Planting	131,220
City of Clarksville	P O Box 940	Clarksville	MS	38614		GOV	Blight Eradication Phase III	207,500
City of Decatur	P O Box 287	Decatur	AR	71722		GOV	Regional Tree Planting Project	157,691
City of Fayetteville	113 W Mountain Street	Fayetteville	AR	72701		GOV	City of Fayetteville Cultural Arts Corridor	1,865,714
City of Geny	101 West Main Street	Geny	AR	72734		GOV	2019 Regional Tree Planting	89,587
City of Gravette	604 First Avenue SE	Gravette	AR	72736		GOV	2019 Regional Tree Planting	35,416
City of Helena-West Helena	226 Perry Street	Helena	AR	72342		GOV	Phillips County Youth Teen Court Diversion Program	147,000
City of Lowell	216 N Lincoln Street	Lowell	AR	72465		GOV	Lowell Trailhead & Connector Trail to Razorback Regional Greenway	238,520
City of Pea Ridge	227 E Hickens Rd , Suite A	Pea Ridge	AR	72751		GOV	2019 Regional Tree Planting	28,339
City of Rogers	301 W Chestnut	Rogers	AR	72756		GOV	Northern Loop Trail - Phase 2b	1,597,921
City of Sileam Springs	400 N Broadway	Sileam Springs	AR	72761		GOV	East Main Street Complete Street Design	157,206
City of Springdale	201 North Spring Street	Springdale	AR	72764		GOV	Dean 1 Trail Phase 2-3A	2,110,000
City Sessions, Inc	306 Cross Lane	Bentonville	AR	72712		PC	City Sessions Scale Up	113,940
CityBridge Education	600 New Hampshire Avenue NW, 9th Floor	Washington	DC	20037		PC	CityBridge School Incubation and Career Pathways	425,000
Civic Symphony of Benton County Guild, Inc	P O Box 1723	Bentonville	AR	72712		PC	General Operating Grant	10,000
Cherokee Public Schools Foundation, Inc	PO Box	Cherokee	OK	74018		PC	General Operating Grant	5,000
Clarksville Downtown Development Association	PO Box 1030	Clarksville	MS	38614		PC	2019 Luke Joint Festival & 2020 Clarksville Film Festival	40,000
ClientEarth	The Hobhouse	London, England	NA	E8 3QW		PC	Spanish Sustainable Seafood	388,650
Climb Higher Colorado	711 Park Ave West, Suite 330	Denver	CO	80205		PC	Climb Higher Colorado Systems Impact Institute	60,995
Coahoma Community College	3240 Filare Point Road	Clarksdale	MS	38614		GOV	Workforce Training Innovative Technologies Program	271,000
Coastal Communities Consulting, Inc	925 Behrman Highway, Suite 15	Gretna	LA	70056		PC	Coastal Economic Adaptation Planning Program	150,000
Collegiate Academies	7901 Dwyer Road	New Orleans	LA	70126		PC	Opportunities Academy Expansion	250,000
Collegiate Education	2017 Mc Bee St	Austin	TX	78733		PC	Rescue Model Expansion	150,000
Collegiate Hall, Inc	1142 E 56th St	Tulsa	OK	74105		PC	Oklahoma Teacher Academy	107,000
Colorado Children's Campaign, Inc	1580 Lincoln Street	Denver	CO	80203		PC	K-12 Education Advocacy	100,000
Colorado League of Charter Schools	2696 South Colorado Blvd	Denver	CO	80222		PC	Capacity Building to Expand the Sector	673,718
Colorado Music Coalition	1727 Tremont Place	Denver	CO	80202		PC	Take Note Colorado	75,000
Colorado Nonprofit Development Center	789 Sherman St	Denver	CO	80203		PC	Roland Martin's School Choice is the Black Choice	905,753
Colorado Outward Bound School	5161 Sheridan Blvd	Denver	CO	80212		PC	T4 Training, Tools, Technology, and Transference from the field back home	50,000
Colorado River Water Users Association	1001 S Valley View Blvd	Las Vegas	NV	89153		PC	2019 CRWUA Sponsorship	10,000
Colorado Seminary db/a University of Denver	2199 South University Blvd	Denver	CO	80208		PC	2019 Colorado Latino Hall of Fame Gala	15,000
Colorado State University Foundation	PO Box 1870	Fort Collins	CO	80522-1870		PC	Alternatives to Permanent Following Research Synthesis and Workshops	57,379
Colorado Success	1390 Lawrence St	Denver	CO	80204		PC	General Operating Support	350,000
Colorado Water Congress	1580 Logan Street, Suite 700	Denver	CO	80203		GOV	Sponsorship for Colorado Water Congress Annual Convention	2,000
Colorado Water Trust, Inc	1470 Ogden Street, Suite A2	Denver	CO	80218		PC	Taking Streamflow Restoration to Scale	300,000
Colorado Watershed Assembly	P O Box 460736	Glendale	CO	80246		PC	2019 Annual "Sustaining Colorado Watersheds" Conference	5,000
Commit2Dallas	3800 Maple Avenue	Dallas	TX	75219		PC	Statewide Coalition Development and School Finance Reform Advocacy	100,000
Common Application, Inc	3003 Washington Blvd, Suite 1000	Arlington	VA	22201		PC	College Signing Day 2019	10,000
Commonwealth Scientific and Industrial Research Organisation	PO Box 1538	Hobart	VA	7001		PC	Improving operational monitoring and management of tuna fisheries in Indonesia	82,092
Communications Inc Limited	23 Kingsley Road	London	AR	NW6 7RH		NC	COP 715 Communications Outreach	40,000
Community Agriculture Alliance, Inc	PO Box 774134	Steamboat Springs	CO	80477		PC	Yampa River Stakeholder Engagement	100,000
Community Development Corporation of Bentonville, Bella Vista	808 N Main Street	Bentonville	AR	72712		PC	Biarwood ADUs Design Excellence	19,916
Community Foundation of North Texas	306 West 7th, Suite 1045	Fort Worth	TX	76102		PC	Ft Worth Literary Partnership	183,000
Community Radio for Northern Colorado	1901 56th Ave, Ste 200	Greeley	CO	80634		PC	Building a water literate public within Colorado	100,000
Community Venture Foundation	1 East Center Street	Fayetteville	AR	72701		PC	Kiva Community Hub Northwest Arkansas	686,313

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Compass Rere Education, Inc	8005 Outer Circle Road	San Antonio	TX	78235		PC	D-5 Schools	375,000
Comunidad y Biodiversidad, A.C.	Calle Lila del Pinar No 215	Guzmas	Sonora	85448		PC	Sustainable Fisheries in Mexico, Moving from Demonstration to Scale	900,000
Coney Island Preparatory Public Charter School	5/0 NYC Charter Center, 111 Broadway, Suite 604	New York	NY	10005		PC	Accelerating Emerging Charter Networks Grants Program	125,000
Congress for the New Urbanism	1720 N St, NW	Washington	DC	20036		PC	CNU 27 Louisville	25,000
Congressional Black Caucus Foundation, Inc	1720 Mass Ave N W	Washington	DC	20036-1903		PC	2019 Annual Legislative Conference	85,000
Congressional Black Caucus Political Education and Leadership Institute	413 New Jersey Ave, SE	Washington	DC	20003		NC	Expanding the Political Leadership Development Program	430,000
Congressional Hispanic Caucus Institute, Inc	1128 16th Street, NW	Washington	DC	20036		PC	CHCI's 2019 Hispanic Heritage Month Events	173,000
Consejo Mexicano de Promocion de los Productores Pesqueros y Acuicolas	Manuel Lopez Collia Ext. 1131 Int 4, Colonia del Valle Centro	Ciudad de Mexico, Mexico	CD	03100		NC	Progressing toward a Latin American movement for sustainable seafood	483,500
Conservation Colorado Education Fund	1536 Wynkoop Street	Denver	CO	80202		PC	Keeping Colorado's Rivers Healthy and Flowing	335,000
Conservation International Foundation	2011 Crystal Drive, Suite 500	Arlington	VA	22202		PC	Guiding Sustainable Aquaculture Implementation Policy and Management Guidance	2,340,092
Conservation Law Foundation Inc	63 Summer Street	Boston	MA	02110		PC	New England Fisheries Enforcement Project	100,000
Conservation Legacy	701 Camino del Rio, Suite 101	Durango	CO	81301		PC	Monitoring Riparian Restoration in the Colorado River Basin	465,810
Conservation Strategy Fund	1656 R Street, NW	Washington	DC	20009		PC	Economic tools for Conservation Diversity Scholarships	50,000
Conservative Leaders for Education	8643 Mt. Vernon Hwy	Alexandria	VA	22309		PC	Conservative Leaders for Education	198,000
Consultative Group on Biological Diversity	P O Box 29361	San Francisco	CA	94129		PC	BFG Support: Building the Environmental DEI Field	85,000
Contrerose: Montessori Elementary	1611 Ames Avenue	St. Paul	MN	55106		PC	AMI Elementary Mentor	20,000
Coro Northern Californis, Inc	233 Sansome Street, Suite 400	San Francisco	CA	94104		PC	Water Solutions Network, Colorado River Basin Leaders Project	150,000
Costa Humboldt	Canonigo Madariaga 570, Nunoa	Santiago, Chile		7501467		PC	Marine and Coastal Areas for Indigenous Peoples Network	145,102
Council for Economic Education	122 East 42nd Street, Suite 1012	New York	NY	10168		PC	General Operating Grant	20,000
Council of Chief State School Officers	One Massachusetts Avenue, NW	Washington	DC	20001		PC	Advancing Innovative Assessments and Increasing Access to High-quality Educational Options	326,430
Council on Foundations, Inc	2121 Crystal Drive, Suite 200	Arlington	VA	22202		PC	2019 HR Summit: Building a Culture of Equity	5,000
Council on Regional Economic Expansion and Educational Development	4110 Rio Bravo Ste 103	El Paso	Texas	79902		PC	Parent Advocacy Incubator	200,000
Crystal Bridges Museum of American Arts, Inc	600 Museum Way	Bentonville	AR	72712		PC	The Momentary Endowment	15,815,077
CSUSB Philanthropic Foundation	5900 University Parkway Room CE_305	San Bernardino	CA	92407		PC	CSUSB LEAD Summit X - EVERYONE COUNTS!	5,000
Curators of the University of Missouri	Office of Sponsored Programs	Columbia	MO	65211-0001		GOV	National Analysis of Relative and Longitudinal School Integration	317,041
D.E.L.T.A STEAM Academy	7131 Mt. Vernon Road	Upland Springs	GA	30122		PC	D-5 Schools	325,000
Data Quality Campaign	1341 G St, NW	Washington	DC	20005		PC	ESSA State Network	200,000
DC Policy Center	1310 L St, NW	Washington	DC	20005		PC	D.C. Policy Center Education Policy Initiative	100,000
DC Preparatory Academy	707 Edgewood St, NE	Washington	DC	20017		PC	DC Prep Organizing and Advocacy SY 18-19	70,000
DC Public Education Fund	3407 14th Street, NW	Washington	DC	20010		PC	Student Based Budgeting	695,000
DeLaSalle Education Center	3737 Troost Avenue	Kansas City	MO	65109		PC	SchoolSmartKC Reconstitution Efforts	150,000
Delta Eta Boule Foundation	1741 High Street	Denver	CO	80218		PC	11th Annual Demim and Dazle Gala	10,000
Delta Institute	35 E. Weber Drive	Chicago	IL	60601		PC	Increasing Adoption Of Land Stewardship Activities in Illinois and The Midwest	112,500
Denver Foundation	55 Madison Street, 8th Floor	Denver	CO	80206		PC	Advocating for a Better Colorado	250,000
Denver Public Schools Foundation	1860 Lincoln Street, 9th Floor	Denver	CO	80203		PC	Achieve Gala 2019	35,000
Digital Promise	1001 Connecticut Avenue NW	Washington	DC	20036		PC	A Diverse Teacher Workforce: Increasing Pipeline and Retention of Teachers of Color	327,621
Diverse Charter Schools Coalition, Inc	1400 Fifth Avenue STE TH-C1	New York	NY	10026		PC	Diverse Fellows and Advocacy Capacity	800,000
Diversified Communications	121 Free Street	Portland	ME	04101		NC	Transition Seafood Summit to Industry Leadership	100,000
DonorChoose.org	134 W. 37th Street	New York	NY	10018		PC	2019 June Annual Grant/ Economics Arkansas	100,000
Dove Scholals, Inc	4230 N. Santa Fe Avenue	Oklahoma City	OK	73118		PC	Expansion Grant	1,000,000
Downowr: Bentonville, Inc	PO Box 708	Bentonville	AR	72712		PC	Downtown Bentonville Inc. Core Operating Expenses Grant	150,000
Downowr Springdale Alliance	224 W Huntsville Ave	Springdale	AR	72764		PC	Luther George Park Renovation and Expansion	470,219
DREAM Charter School	232 East 103rd Street	New York	NY	10079		PC	Accelerating Emerging Charter Networks Grants Program	442,967
Dreres for Success Northwest Arkansas	100 N. Dieland Road Suite B8	Rogers	AR	72757		PC	General Operating Support	5,000
Driving Force Group Inc	11140 Rockville Pike Suite 100-237	Rockville	MD	20852		PC	Driving Force Group Donor Meet and Learn Sessions	75,000
DSU Heritage Foundation	230 8th Ave W	Dickinson	ND	58601		PC	Lowman-Walton Scholarships	1,000
Ducts Unlimited, Inc	193 Business Park Drive, Suite E	Ridgeland	MS	39157		PC	Farm Bill Program Outreach and Implementation in the Mississippi Alluvial Valley	470,953
Duke University	2200 West Main St	Durham	NC	27705		PC	Strengthen the Governance of Fishing Orgs in Mexico	150,000
eRepublic, Inc	100 Blue Ravine Road	Folsom	CA	95630		NC	Governing and Walton Family Foundation Engagement and Outreach Campaign	46,000
Eagle Academy Foundation	31 West 125th Street	New York	NY	10027		PC	Strategic Planning for EAF Expansion	165,000

Recipient name	Recipient address	Recipient City	Recipient State	Zipcode	Relation ship	Foundation Status of Recipient	Purpose of Grant	Amount Paid
East Harlem Scholars Academy	2050 Second Avenue New York	New York	NY	10079	PC	PC	O-S Schools	375,000
Easter Seals Arkansas	3820 Woodland Heights Road Little Rock	Little Rock	AR	72212-1935	PC	PC	2019 Arkansas of the Year, Honoring Walter E. Hussman, Jr	7,500
Echoing Green, Inc.	462 Seventh Avenue, Thirteenth Floor New York	New York	NY	10018	PC	PC	2019 Echoing Green Big Bold Benefit	15,000
EdBuild, Inc.	140 Bay Street, Suite 2 Jersey City	Jersey City	NJ	7302	PC	PC	State Engagement and Policy Research	346,618
EdChoice, Inc.	111 Monument Circle, Suite 2650 Indianapolis	Indianapolis	IN	46204	PC	PC	Building New Partnerships to Advance Broad Scale School Choice	415,000
EdConnect, Inc.	3149 Founders Way Douglasville	Douglasville	GA	30135	PC	PC	Grassroots Leaders & Policy Survey	75,000
Eddie Mae Herron Center	P O Box 1193 Pouahonias	Pouahonias	AR	72455	PC	PC	General Operating Grant	4,000
EdEffi Indiana	7007 Royal Oakland Dr Indianapolis	Indianapolis	IN	46236	PC	PC	EdEffi Education Empowerment Program and Leadership Institute	150,000
Edgescombe County Public Schools	2311 N. Main Street Tarboro	Tarboro	NC	27886	GOV	GOV	O-S Schools	375,000
Editorial Projects in Education, Inc.	6935 Arlington Road, Suite 100 Bethesda	Bethesda	MD	20814	PC	PC	Coverage of Parent Empowerment for Education Week and edweek.org	280,000
EdNavigator, Inc.	612 Andrew Higgins Blvd New Orleans	New Orleans	LA	70130	PC	PC	Increased Access to Navigation Services	400,000
Educate78	2323 Broadway Oakland	Oakland	CA	94612	PC	PC	Increasing Quality and Equity in Oakland's Public Education Ecosystem	450,000
Education Forward DC	1895 7th Street NW 6th Floor Washington	Washington	DC	20001	PC	PC	High-quality Schools and Options	2,327,500
Education Pioneers, Inc.	360 22nd Street Oakland	Oakland	CA	94612	PC	PC	EP Charter and Innovative Schools Fellows & EP Financial Sustainability	350,000
Education Quality Outcomes Standards Inc	2 Shaw Alley San Francisco, CA 94105 San Francisco	San Francisco	CA	94105	PC	PC	Quality Assurance Pilot Opportunity Pathways	250,000
Education Reform Now, Inc	222 Broadway, 19th Floor New York	New York	NY	10038	PC	PC	Building Eco-Systems of Support Among Democratic Influences	4,890,000
Education Reimagined	1133 19th St. NW, Ste 410 Washington	Washington	DC	20036	PC	PC	Education Reimagined	500,000
Education Resource Strategies, Inc	480 Pleasant Street Watertown	Watertown	MA	2472	PC	PC	588 Toolkit & district convening	67,500
Education Service Center Region 13	5701 Springdale Road Austin	Austin	TX	78773	PC	PC	Texas System of Great Schools	500,000
Education Writers Association	1825 K Street NW Washington	Washington	DC	20006	PC	PC	Education Writers Association Support	467,800
Educators for Excellence	60 Pine Street, 28th Floor New York	New York	NY	10005	PC	PC	Teacher Voice Organizing and Advocacy Efforts	1,200,000
Evidence Institute for Research and Education	1107 9th Street, #680 Sacramento	Sacramento	CA	95814	PC	PC	Multi-Year General Operating Support	240,000
EL Education, Inc.	247 West 35th Street, 8th Floor New York	New York	NY	10001	PC	PC	EL Education 2019 National Conference	25,000
El Niño Community School	PO Box 50967 Los Angeles	Los Angeles	CA	90050	PC	PC	O-S Schools	375,000
Empower Illinois	200 North LaSalle Street Chicago	Chicago	IL	60601	PC	PC	SGO Capacity Building and Advocacy	500,000
Empower Schools, Inc.	24 School Street, 3rd Floor Boston	Boston	MA	02108	PC	PC	Supporting and Cultivating Innovation Management Organizations in Texas	150,000
Enactus	1959 East Kerr Street Springfield	Springfield	MO	65803-4775	PC	PC	General Operating Grant	150,000
Endeavor Foundation	800 Founders Park Drive Springfield	Springfield	AR	72762	PC	PC	Catalyst Fund & Housing Community Liaison	67,500
Endeavor Global, Inc.	900 Broadway New York	New York	NY	10003	PC	PC	Endeavor Northwest Arkansas	700,000
Energy Foundation	301 Battery Street San Francisco	San Francisco	CA	94111	PC	PC	Wholesale Market Middle Game Strategy	750,000
Enroll Indy, Inc.	120 E Walnut Street Indianapolis	Indianapolis	IN	46204	PC	PC	Operating Support for Enroll Indy	250,000
Environment News Trust	256 Wagon Trail Antonito	Antonito	CO	81120	PC	PC	Production and Distribution of Video News Clips and This American Land Episodes	120,000
Environmental Defense Fund, Inc	257 Park Avenue South New York	New York	NY	10010	PC	PC	Accelerating the spread of sustainable agriculture across the UMAB	12,648,133
Environmental Grantmakers Association	475 Riverside Drive, Suite 960 New York	New York	NY	10010	PC	PC	Membership Dues Renewal 2019 and 2020	35,000
Environmental Law and Policy Center of the Midwest	35 East Wacker Drive, Suite 1600 Chicago	Chicago	IL	10115	PC	PC	Great Lakes Midwest Clean Water Protection Initiative	150,000
Environmental Law Institute, Inc.	1750 M St. NW, Suite 700 Washington	Washington	DC	66601	PC	PC	Supporting Effective Long Term Restoration in the Gulf of Mexico	225,000
Environmental Working Group	1436 U Street NW, Suite 100 Washington	Washington	DC	20036	PC	PC	Stemming Water Pollution from Agricultural Operations	300,000
Equable Institute	28-07 Jackson Avenue, 9th Floor Long Island City	Long Island City	NY	20009	PC	PC	National Pension Reform Initiative	2,850,150
Equitable Facilities Fund	21 W 46th Street, 3th Floor New York	New York	NY	11101	SOI	SOI	Revolving Loan Fund Operating Grant 2017-2019	4,250,000
Eureka Springs Parks and Recreation Commission	532 Spring Street Eureka Springs	Eureka Springs	AR	10036	GOV	GOV	Eureka Springs Trail Manager and Equipment	37,368
Excellence Community Schools, Inc.	2090 7th Avenue Los Angeles	Los Angeles	CA	10027	PC	PC	Accelerating Emerging Charter Networks Grants Program	375,000
Excellent Education Development	11858 LaGrange Avenue, 2nd Floor Little Rock	Little Rock	AR	90075	PC	PC	Promoting Positive Relationships and Policy Change for Charters in Los Angeles	150,000
Exodus Life	3700 West 65th Street Little Rock	Little Rock	AR	90075	PC	PC	General Operating Grant/ The Exodus Project	20,000
FaithEds for Education, Inc	285 Fairfield Avenue Bridgeport	Bridgeport	CT	06604	PC	PC	Organizing for Big Wins	400,000
Families Empowered	7447 Harwin Dr Houston	Houston	TX	77036	PC	PC	Expanding Equity and Access	450,000
Families Helping Families of Southeast Louisiana, Inc	7240 Crowder Blvd New Orleans	New Orleans	LA	70127	PC	PC	Special Populations Support	70,000
Families In Schools	1545 Wilshire Blvd No 700 Los Angeles	Los Angeles	CA	90017	PC	PC	Alliance Summit 2019	5,000
Family Life Academy Charter School	14 West 170th Street Bronx	Bronx	NY	10452	PC	PC	O-S Schools	375,000
Family Star, Inc	2246 Federal Blvd Denver	Denver	CO	80211	PC	PC	AMI Curriculum Training	40,000
Fathers Incorporated	2394 Mt. Vernon Road Dunwoody	Dunwoody	GA	30338	PC	PC	The Blueprint	60,000

Walton Family Foundation  
 FEIN: 13-3441468  
 Detail for Part XV, Line 3a - Grants and Contributions Paid During the Calendar Year Ended December 31, 2019

Recipient Name	Recipient Address	Recipient City	Recipient State	Zip Code	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount Paid
Fayetteville Advertising and Promotion Commission	P.O. Box 4157 Committee on Public Education	Fayetteville	AR	72702		GOV	Fayetteville Cyclocross Series	565,309
Federal City Council		Washington	DC	20005		PC	Federal City Council	15,000
Fellowship BMCEC, Inc	d/b/a The Fellowship BMCEC for Social Justice	Philadelphia	PA	19104		PC	3rd Annual National Black Male Educators Convening (BMCEC)	25,000
Fellowship of Christian Athletes	P.O. Box 434	Lowell	AR	72745		PC	General Operating Grant	5,000
Fernhoff Camp and Conference Center	1720 Fernhoff Road	Little Rock	AR	72223-9977		PC	General Operating Grant	10,000
Fidelity Investments Charitable Gift Fund	200 Seagort Boulevard	Boston	MA	02210		PC	Community Development	2,685,000
Field to Market	777 N. Capitol Street NE, Suite 803	Washington	DC	20002		PC	Increasing Farmer and Company Engagement in Improving Environmental Outcomes	360,000
First Deacons	3001 Brighton Blvd	Denver	CO	80216		PC	General Operating Support	125,000
First Presbyterian Church of Bentonville	901 NE 1 Street	Bentonville	AR	72712		PC	General Operating Support	19,000
Firstline Schools, Inc	300 N. Broad Street	New Orleans	LA	70119		PC	Diverse School Design Transition for S. J. Green Charter School	145,000
FishChoice, Inc	P.O. Box 531	Fort Collins	CO	80522		PC	FishChoice Sustainable Seafood Tools and Platforms	400,000
Fishery Networks Limited	Blk 712 Pasir Ris St 72	Singapore		510712		NC	Development of Sustainable Asian Moon Scallop Aquaculture for Indonesia	124,814
Folk School of Fayetteville, Inc	1 E Mountain St	Fayetteville	AR	72701		PC	2019 Fayetteville Boats Festival	281,250
Food Conservancy Inc	1975 55th Street	Bronson	KS	66716		PC	NWA Food Systems Aggregation and Distribution	253,000
Foreast Public Artworks	2300 Myrtle Avenue	Saint Paul	MN	55114		PC	Public Art in NW Arkansas	101,160
Forest School	1065 Hood Road	Fayetteville	GA	30214		PC	O-S Schools	325,000
Forward Arkansas	116 Ottenheimer Plaza	Little Rock	AR	72201		PC	Forward Arkansas 2019-2021 Work Plan	600,000
Foundation for Excellence in Education, Inc	215 S. Memore Street, Suite 420	Tallahassee	FL	32301		PC	2018-2019 General Operations	2,917,400
Franklin W. Olin College of Engineering, Inc	1000 Olin Way, MH 256	Nedham	MA	02492		PC	Shifting Rhythms Enrichment Program	100,000
Freedom Preparatory Academy, Inc	5132 Jonetta Street	Memphis	TN	38109		PC	Freedom Fellows Institute	425,000
Friends of Choice in Urban Schools	1436 U Street N.W. Suite 204	Washington	DC	20009		PC	2019 FOCUS Gala	10,000
Friends of the Verde River	PO Box 2535	Cottonwood	AZ	86376		PC	Verde Watershed Conservation Initiative	461,247
Friends of the Yampa, Inc	PO Box 771854	Steamboat Springs	CO	80477		PC	Yampa River Stakeholder Engagement	45,000
Friendship Education Foundation	1351 Nicholson Street NW	Washington	DC	20011		PC	School Expansion	1,771,000
Fundacion Chile	Parque Antonio Babbat Sur 6165	Santiago, Chile		N/A		NC	Scaling Improved Management of Small Scale Fisheries in Chile	300,000
Fundacion Ceute Conservacion Sur	Independencia 090, Office 4	Puerto Varas, Mexico		5550000		NC	Improving Fisheries Policy and Implementation in Chile	195,000
Fundación para la Promoción del Desarrollo Sustentable - Fundación	Av. Bustamante 24 Opio 1	Santiago, Chile		8320000		NC	Strengthening Public Policies for the Recovery of Fisheries in Chile - Phase 2	45,000
Fuse Box Austin	2023 E. Cesar Chavez St	Austin	TX	78702		PC	Live in America	369,750
Future School	5001 N. O Street	Fort Smith	AR	72504		PC	Feasibility Study	35,000
Genesys Works	8230 Leesburg Pike	Vienna	DC	22182		PC	Genesys Works DC Charter Partnerships	60,000
Georgetown University	Box 571253	Washington	DC	20057-1253		PC	Advancing Student Based Allocation in an Era of Financial Transparency	937,742
Georgia Charter Schools Association, Inc	211 Perimeter Center Parkway, Suite 1000	Atlanta	GA	30346		PC	Georgia Charter Schools Association Strategic Plan Support	800,000
Georgia Public Policy Foundation, Inc	3200 Cobb Galleria Parkway	Atlanta	GA	30339		PC	Georgia Education Policy Initiative	90,000
Gidsons International	P.O. Box 1211	Bentonville	AR	72712		PC	General Operating Grant	1,000
Gila Watershed Partnership of Arizona	P.O. Box 1614	Thatcher	AZ	85552		PC	Upper Gila Riparian Restoration	259,932
Girl Scouts - Diamonds of Arkansas Oklahoma and Texas	Northwest Arkansas Regional Office	Rogers	AR	72758		PC	General Operating Grant	10,000
Global Fishing Watch, Inc	1025 Connecticut Avenue, NW	Washington	DC	20036		PC	Expanding the Global Picture of the Pattern of Fishing	656,445
Global GreenGrants Fund, Inc	2840 Wilberforce Place, Suite A	Boulder	CO	80301		PC	Working to Conserve and Manage Local Marine Resources in Chile and Peru	100,000
Go Public Schools	134 Linden Street	Ostland	CA	94607		PC	Supporting and Growing a Statewide Network of Community Advocates for Kids	450,000
Good Reason Houston, Inc	3131 Eastside St	Houston	TX	77098		PC	Houston Supply, Community Engagement & Communications	650,000
Granada Hills Charter	17081 Devonshire St	Northridge	CA	91325		PC	O-S Schools	325,000
Grand River Dam Authority	9933 E 16th Street	Tulsa	OK	74178		GOV	Illinois River Whitewater Park (WOKA)	1,017,512
Grand Staircase-Escalante Partners, Inc	310 S. 100 East, No. 7	Kanab	UT	84741		PC	Escalante Watershed Restoration	200,000
Grand View Group Consulting	600 Cameron Street	Alexandria	VA	22314		NC	Water Conservation Partnership	87,500
Grantmakers for Education	851 SW 6th Avenue, Suite 350	Portland	OR	97204		PC	Membership, Conference, Communications Support	135,000
Grantmakers for Effective Organizations	1310 L Street NW, Suite 650	Washington	DC	20005		PC	2020 GEO National Conference	63,000
Grantmakers in the Arts	4055 21st Ave, W Ste 100	Seattle	WA	98199		PC	Grantmakers in the Arts 2019 Denver Conference	20,000
Great Hearts America-Texas	824 Broadway St	San Antonio	TX	78215		PC	Great Hearts Texas - Fort Worth Expansion	2,528,334
Great Oaks Foundation, Inc	200 Broadway, 3rd Fl	New York	NY	10038		PC	O-S Schools	325,000
Great Outdoors Foundation	PO Box 8071	Des Moines	IA	50301		PC	Advancing Water Quality in Iowa	150,000



Recipient name	Recipient address	Recipient City	Recipient State	Zipcode	Relation to Recipient	Foundation Status of Recipient	Purpose of Grant	Amount Paid
Great Public Schools Now	1150 S Olive Street	Los Angeles	CA	90015		PC	Supply, Unified Enrollment, and Communications	3,575,000
Great School Choices, Inc	342 E 118th St, Ste. 3A	New York	NY	10035		PC	Media and Comm for Oakland Teacher Strike	194,000
Greater Kansas City Community Foundation	1055 Broadway Blvd, Ste 130	Kansas City	MO	64105		PC	Great Schools Fund SchoolsSmartKC	5,000,000
Greater New Orleans Development Foundation	1100 Poydras Street, Suite 3475	New Orleans	LA	70163		PC	Advancing the Restoration Economy, Leadership, Investment, Communications	300,000
GreatSchools	1999 Harrison Street, Suite 1100	Oakland	CA	94612		PC	Navigating Organizational Transition Towards Increased Sustainability	700,000
GreenLalinos	801 Pennsylvania Ave NW, Suite 1010	Washington	DC	20004		PC	2019 National GreatLalinos Summit	10,000
Griot Arts, Inc	278 Sunflower Ave	Clarksdale	MS	38614		PC	Griot Arts	156,500
Grove Park Foundation, Inc	1562 Donald Lee Hollowell Pkwy NW	Atlanta	GA	30318		PC	Woodson Park Academy Communications and Community Engagement in Grove Park	60,000
GSY Summit, LLC	875 N Michigan Avenue, Suite 3520	Chicago	IL	60611		MC	2020 ASU GSY Summit Sponsorship	275,000
Gulf Restoration Network	330 Carondelet Street	New Orleans	LA	70130		PC	Resilience Through Restoration	75,000
HATCH Accelerator Building, Ltd	778 Liberty Street, Centre, Cork, T12 TB5H	Ireland				NC	Aquaculture Capacity Building and Technology Mapping	60,000
Head and Heart Philanthropy	1101 15th St, NW Ste 1000	Washington	DC	20005		NC	African American Funders Curation	55,000
Hellenic Classical Charter School	646.. 5th Avenue	Brooklyn	NY	11215		PC	O-S Schools	325,000
High Tech High Graduate School of Education	2150 Cushing Road	San Diego	CA	92106		PC	High Tech High New School Creation Fellowship	1,500,000
Higher Purpose Co	130 Doroto Ave Suite 10	Clarksdale	MS	38614		PC	Increasing Access to Capital Options for Disadvantaged Entrepreneurs in the Mississippi Delta	262,500
Hindu Association of Northwest Arkansas	PO Box No 262	Bentonville	AR	72712		PC	Uplift Cohort	15,000
Hispanic Women's Organization of Arkansas	PO Box 6132	Springdale	AR	72756		PC	Uplift Cohort	34,000
Hispanics in Philanthropy	414 13th Street, Suite 200	Oakland	CA	94612		PC	HIP Membership	15,000
Historic Preservation Alliance of Arkansas, Inc	P O Box 305	Little Rock	AR	72203		PC	General Operating Grant	2,000
Horses for Healing, Inc	14673 Daniels Road	Bentonville	AR	72712		PC	General Operating Grant	2,000
House of Songs Alliance	702 Rio Grande St	Austin	TX	78701		PC	The House of Song Ozarks Full Year Expansion Program	787,300
IDEA Public Schools	2115 W Pike Blvd	Westaco	TX	78596		PC	IDEA Tarrant County Expansion 2017-2019	2,926,000
IFF	333 South Wabash Ave, Suite 2800	Chicago	IL	60604		PC	Operating Support for Indianapolis IFF Office FY18-21	205,000
Illinois Network of Charter Schools	150 N Michigan Ave, Suite 430	Chicago	IL	60601		PC	Illinois Charter School Advocacy Agenda: The Path for Further Growth	1,750,000
Illinois River Watershed Partnership	P O Box 205	Cave Springs	AR	72718		PC	Priority Subwatersheds of the Illinois River, Sustainable Stormwater Conference	154,270
Illinois Stewardship Alliance	230 Broadway St, Suite 200	Springfield	IL	62701		PC	Advancing Conservation and Soil Health in Illinois	225,000
ImpactAssets, Inc	7315 Wisconsin Ave, Suite 1000W	Bethesda	MD	20814		PC	System level changes to improve fisheries in Chile and Peru	1,684,763
In Our Backyards Inc	540 President Street	Brooklyn	NY	11215		PC	Market research for crowd funding platform	
Indaba Education Fund, Inc	275 Madison Avenue	New York	NY	10016		PC	AMI Accredited Montessori Teacher Training and ECD Research	300,000
Independence Institute	727 East 16th Ave	Denver	CO	80203		PC	Shaping Educational Choice and Reform in Colorado	125,000
Independent Sector	1602 J Street NW	Washington	DC	20036		PC	Independent Sector Membership Support 2019-2020	17,500
Independent Women's Forum	4 Weems Lane, #312	Winchester	VA	22601		PC	Independent Women's Forum 2019 Education Project	100,000
Indianapolis Public Schools	120 E Walnut St	Indianapolis	IN	46204		GOV	Capacity Building Investment for IPS	472,000
Innovate Public Schools	1400 Parkmoor Avenue, Suite 240	San Jose	CA	95126		PC	General Operating Grant 2018-2019	1,000,000
Innovation Network, Inc	1625 K Street NW, Suite 1050	Washington	DC	20006		PC	Center for Evaluation Innovation, 2020-21 Evaluation Roundtable	25,000
Inspiration Point Fine Arts Colony, Inc	P O Box 127	Eureka Springs	AR	72632		PC	Campus Maintenance and New Campus Master Plan	385,177
Institute Dalvolerology Indonesia	Menara Rajawali, Level 7J, Jl. DR. Ir. Soekarno, Gedung Sate Agung	Jakarta Raya, Indonesia		12950		NC	Support for the Ministry of Marine Affairs and Fisheries, Satu Data Initiative	125,000
Institute For Justice	901 North Glebe Road	Arlington	VA	22203		PC	School Choice Strategic Public Interest Litigation	500,000
Institute for Quality Education, Inc	101 W Ohio Street, Suite 700	Indianapolis	IN	46204		PC	General Operations Proposal	750,000
Institute for Strategic and Equitable Development	600 West 116th Street, No. 7D	New York	NY	10027		PC	The President's Forum on Racial Equity in Philanthropy	50,000
Instituto de Fomento Pesquero	Blanco 839 Vajalpar-Ho	Santiago, Chile		8320000		PC	Ecosystem assessment kelpfishery and Marine and Coastal Protected Area of Magallanes Region, Chile	100,546
Instituto Nueva Escuela	1101 Ave Ponce de Leon	Rio Piedras	Puerto Rico			PC	A New Community Through New School	400,000
International Conservation Caucus Foundation	1200 Potomac St. NW	Washington	DC	20007		PC	Indonesia Ocean Caucus	510,011
International Mountain Bicycling Association	P O Box 20280	Boulder	CO	80302		PC	Trail Labs program, Trail Rating, Designations services, & Construction Contractor Leader	1,153,225
International Pole & Line Foundation	1 London Street	Reading, England		RG1 4QW		PC	Securing the Sustainability of Indonesia's Pole-and-line and Handline Tuna fisheries	250,000
Iowa Agriculture Water Alliance	1255 SW Prairie Trail Pkwy	Ankeny	IA	50013		PC	Advancing Conservation Infrastructure	75,000
Iowa Environmental Council	921 E Louisa St., Suite 220	Des Moines	IA	50309		PC	Reducing Agricultural Pollution in Iowa	160,000
Iowa State University of Science and Technology	Office of Sponsored Programs Administration	Ames	IA	50011 2207		PC	Improved Water Quality, Soil Conservation and Biodiversity for Iowa Farms	167,213
ISEAL Alliance	The Wenlock Centre	London, UK		M1 7EU		PC	Credible Progress Act - Move A Sustainability Standards Improvement Framework	150,000
Izaak Walton League of America, Inc	707 Conservation Lane	Gallatinburg	MD	20878		PC	Leveraging the Farm Bill for Water Quality in the Upper Mississippi	100,000

Recipient name	Recipient address	Recipient City	Recipient State	Zipcode	Relation to Recipient	Foundation Status of Recipient	Purpose of Grant	Amount Paid
James B. Hunt, Jr. Institute for Educational Leadership & Policy	4000 Centregreen Way	City	NC	27513		PC	Hunt-Kean Leadership Fellows	194,190
John W. Rex Charter Elementary School, Inc	500 W. Sheridan Avenue	Oklahoma City	OK	73102		PC	School Expansion Grant	240,000
Jones Center for Families, Inc	922 E. Emma Avenue	Springdale	AR	72764		PC	General Operating Grant	20,000
Jones Trust	922 E. Emma Avenue	Springdale	AR	72764		POF	Feasibility Study: Center for Food and Agriculture	2,668
Junior Auxiliary of Rogers	P.O. Box 52	Rogers	AR	72757-0052		PC	General Operating Grant	4,000
Kansas City Teacher Residency, Inc	615 Delaware St.	Kansas City	MO	64105		PC	Kansas City Teacher Residency Programming	542,072
Kensie Academy, Inc	47 South Meridian Street	Indianapolis	IN	46204		NC	Kensie Academy - Opportunity Pathways	150,000
Keystone Center	1628 Sts John Road	Keystone	CO	80435		PC	Colorado Water Plan Funding	800,000
Kids First Chicago for Education	21 S. Clark St., Suite 4301	Chicago	IL	60603		PC	New Schools for Chicago: General Operating Support	700,000
Kindred, Inc	3431 Oakwood Ter NW	Washington	DC	20010		PC	Building and Sustaining Parent Voice in Education	200,000
KIPP Colorado Schools	1390 Lawrence Street, 2nd Floor	Denver	CO	80204		PC	The Puentes Scholarship: Cohorts 4 and 5	432,827
KIPP Delta Public Schools	415 Ohio Street	Helena	AR	72342		PC	Delta College Attainment Network	1,525,690
KIPP Foundation	135 Main Street, Suite 1700	San Francisco	CA	94105		PC	2020 Strategic Plan	6,237,945
KIPP Metro Atlanta Collaborative, Inc	504 Fair Street, SW	Atlanta	GA	30313		PC	Are You Smarter Than A KIPPster? Event Sponsorship	10,000
KIPP Texas Public Schools	10711 KIPP Way	San Antonio	TX	77099		PC	Parent Advocacy Initiative	50,000
KIPP Tulsa Academy College Preparatory	1661 East Virgin Street	Tulsa	OK	74106		PC	School Expansion Grant	500,000
Klumba Management, Inc	300 Broadcrest Drive, Suite 150	Bloomfield	NJ	07003		NC	NYC Emerging Network Support Cycle 2	525,500
Kiva Microfunds	875 Howard Street, Suite 340	San Francisco	CA	94103		PC	Kiva Matching Loan Fund for Northwest Arkansas	172,500
LA Coalition for Excellent Public Schools	11420 Santa Monica Blvd No. 251552	Los Angeles	CA	90025		PC	LA Coalition for Excellent Public Schools: Advocacy Grant: 2019-2021	75,000
Lake County School District	107 Spruce Street	Leadville	CO	80461		GOV	Lake County School District Partnership Collaborative	230,000
Lake Pontchartrain Basin Foundation	P.O. Box 6965	Metairie	LA	70009		PC	MIBO: Restore the Mississippi River Delta Campaign - From Planning to Implementation	586,300
Lamad Academy Charter School	780 Schenckstead Avenue	Brooklyn	NY	11203		PC	O-5 Schools	375,000
Land Stewardship Project	821 E 35th Street, Suite 200	Minneapolis	MN	55407		PC	Bridge to Soil Health Initiative	188,154
Latinx Circle, Inc	745 Atlantic Avenue	Boston	MA	02111		PC	Latinx Circle Expansion of Amplify Latinx Initiative and Implementation of Strategic Plan	100,000
Lauros for Education, Inc	275 Payson Road	Beimont	MA	2478		PC	Catalyze Latino Leadership and Advocacy in the Education	601,458
Learning Policy Institute	1530 Page Mill Rd	Palo Alto	CA	94304		PC	Reimagining College Access	700,000
Learning Rights Law Center	205 S Broadway, Ste 608	Los Angeles	CA	90012		PC	Parent Advocacy Training Enhancement	100,000
LeBron James Family Foundation	3620 Embassy Parkway	Alzou	OH	44333		PC	1 Promise School	200,000
Lee Montessori Public Charter School	1638 R St, NW Suite 300	Washington	DC	20009		PC	O-5 Schools	375,000
Legatum Institute Foundation	1536 East Lancaster Ave	Papill	PA	19301		PC	LIF Planning Grant	75,000
Level Field Charter Partners, LLC	3752 DCELYN ST, NW	Washington	DC	20015		NC	Technical Assistance: Charter School Facilities	300,000
Lewis and Clark Community College	5800 Godfrey Road	Godfrey	IL	62035		GOV	The West Great Rivers Research, Ecological Observatory and Great Lakes to Gulf Virtual Observatory-Phase	350,000
Life Styles Foundation, Inc	P.O. Box 1114	Fayetteville	AR	72702		PC	General Operating Grant	2,000
Lighthouse	PO Box 913739	Denver	CO	81435		PC	Aerial Campaigns to Advance Colorado River Policy and Communication	150,000
Lincoln Institute of Land Policy	11010 N Tatum Blvd	Phoenix	AZ	85028		POF	Water & Tribes Initiative / Colorado River Basin	172,500
Literacy Council of Benton County, Inc	205 NW A Street	Bentonville	AR	72712		PC	General Operating Grant	5,000
Little Scholars of Arkansas Foundation	10825 Frandall Centre Plwy, Suite 360	Little Rock	AR	72211		PC	Expansion Grant - NWA	1,275,000
Local Initiatives Support Corporation	28 Liberty St., 3rd Floor	New York	NY	10005		PC	Charter Facility Planning Grants in Opportunity Zones	1,796,100
Longline Environment Ltd	88 Wood Street	London, UK	EC2V 7J5			NC	AquaSense Carrying Capacity and Aquaculture Insurance Guidelines	300,000
Los Angeles Urban League	4401 Crestshaw Blvd	Los Angeles	CA	90043		PC	Los Angeles Educational Reform Project -	100,000
Los Feliz Charter School for the Arts	2709 Media Center Drive	Los Angeles	CA	90065		PC	O-5 Schools	325,000
Louisiana Association of Public Charter Schools	PO Box 24807	New Orleans	LA	70184-4807		PC	2018-2020 Brand, Sustainability, and Quality Schools	567,500
Louisiana State University and Agricultural and Mechanical College	104 J. Norman Efferson Hall	Baton Rouge	LA	70803		PC	Outreach Plan for Fishing Industry Adaptation Workshops in Coastal Louisiana	318,802
Lycee Francais de la Nouvelle Orleans	126 S. Mural Street	New Orleans	LA	70119		PC	O-5 Schools	325,000
Lyra	1390 Lawrence Street, #400	Denver	CO	80204		PC	Innovation Zone Expansion to Diverse Communities	275,000
Management Leadership for Tomorrow, Inc	7201 Wisconsin Avenue	Bethesda	MD	20814		PC	Management Leadership for Tomorrow 15th Anniversary Sponsorship	65,000
Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue	New York	NY	10017		PC	Planning Grant for New MI President Rehan Salam	84,800
Marlan University	3200 Cold Spring Road	Indianapolis	IN	46222		PC	Support for Marlan EducatorEdCo -9, 2 College	600,000
Marine Change Limited	2406, 9 Queens Road	Hong Kong, China				NC	Investments Aimed at Restoring Tuna Fisheries for Healthier Oceans and Communities in Indonesia	50,000
Marine Stewardship Council	1 Snow Hill	London, UK	N/A	EC1A2DH		PC	Build the Pool of Certified Fisheries in Core Geographies and Improve Traceability for Certified Fish	2,633,161

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Marquette University	PO Box 1981	Milwaukee	WI	53201	PC	Institute for the Transformation of Learning	383,150
Massachusetts Business Alliance for Education, Inc.	400 Atlantic Avenue	Boston	MA	02110	PC	Building Organizational Strength/Setting a New Bar for High School Graduation	217,500
Massachusetts Charter Public School Association, Inc.	43 Broad Street, Suite C601	Hudson	MA	01749	PC	Strategic Shift and Capacity Building	600,000
Massachusetts Institute of Technology	77 Massachusetts Avenue, 4-240	Cambridge	MA	02139	PC	Using Unified Enrollment Data to Grade School Effectiveness	221,400
Massachusetts Parents United, Inc.	31 Union Square	Somerville	MA	02143	FC	Massachusetts Parents United 2018	800,000
MDRC	16 E 34th Street 19th floor	New York	NY	10016	FC	Improving Participation and Engagement in Elementary School Selection Among Under-served Families (Ph	263,666
Media Impact Funders, Inc.	200 West Washington Square	Philadelphia	PA	19106	FC	2019 Membership	20,000
Meridian Institute	P O Box 1829	Dillon	CO	80435	FC	The Mexico Sustainable Fisheries Collective Impact Initiative	1,423,162
Met Care Foundation, Inc.	4619 S Granite Ave	Tulsa	OK	74135	FC	Met Care Foundation Grant Renewal	200,000
Metro IAF, Inc.	85-18 61st Road	Rego Park	NY	11374	PC	Organizing Families, Educators and the Community for More High Quality Schools in the South East Bronx	154,967
Mid-America Arts Alliance	2018 Ballinger	Kansas City	MO	64108	PC	Artists Initiative	238,000
Mighty River Rafting	850 Ridge Lake Blvd	Memphis	TN	38120	PC	Big River Trail Extension	410,700
Migrational Policy Institute	1400 16th Street NW	Washington	DC	20036	PC	Supporting State Based Community Organizations Education Advocacy Efforts	199,540
Millennia Action Project	1875 Connecticut Ave NW	Washington	DC	20009	PC	2019 Future Summit	5,000
Milwood Enrichment Foundation	6744 Martin Luther King Ave	Oklahoma City	OK	73111	PC	School Startup Grant	325,000
Minnesota Foundation	80 S 8th Street	Minneapolis	MN	55402	PC	Social Impact - Social Finance Innovation Research	297,660
Mission Investors Exchange, Inc.	107 Spring Street	Seattle	WA	98104	PC	Event and Programmatic Support	90,000
Mississippi News and Information Corp dba Mississippi Today	750 Woodlands Parkway	Ridgeland	MS	39104	PC	Education in the Delta A Focus on Solutions	112,500
Mississippi Public School Consortium for Educational Access	110 Commerce Loop	Forest	MS	39074	MC	Advance Placement (AP) access and programming to students in rural Mississippi Delta communities	390,687
Mississippi River Cities & Towns Initiative	1520 Market Street	St. Louis	MO	63103	PC	Mississippi River Cities & Towns Initiative Clean Water Program	225,000
Mississippi State University	Office of Sponsored Projects	Mississippi State	MS	39762-9656	PC	Conservation on Private Lands in the Lower Mississippi River Alluvial Valley	125,000
Mississippi's Lower Delta Partnership	P O Box 214	Rolling Fork	MS	39159	PC	Encouraging Conservation and Reforestation in the Mississippi Delta II	75,000
Mongabay.org Corporation dba Mongabay.org	PO Box 0791	Merle Park	CA	94026-0791	PC	Mongabay-Latam Marine Reporting Program	92,287
Monterey Bay Aquarium Foundation	886 Cannery Row	Monterey	CA	93940	PC	Informing and incentivizing improvements in Sustainability of Global Fisheries and Aquaculture	450,000
Montessorini Public Policy Initiative	206 North Washington Street, Ste 330	Alexandria	VA	22314	PC	MPII Implementation Grant	500,000
Montessorini Training and Research Center of Dallas	13612 Midway Road	Dallas	TX	75244	PC	MINT Training of Trainers Sponsorship Fund	100,000
Montessorini Training Center of Minnesota, Inc.	1611 Amer Avenue	St. Paul	MN	55106	PC	Operations and Capacity Building	200,000
Monument Academy, Inc.	2238 Q Street NW	Washington	DC	20008	GOV	Partnership with Friendship Public Charter School	350,000
Moonshot edVentures	3840 York Street	Denver	CO	80205	PC	Moonshot edVentures School Launcher Fellowship	150,000
Mosholn Montefiore Community Center	3450 Debalb Avenue	Bronx	NY	10467	PC	MMCC Annual Dinner Sponsorship	10,000
Matt Haven Academy Charter School	170 Brown Place	Bronx	NY	10454	PC	Dissemination Project - Strategic Planning & Pilots	150,000
Moving Picture Institute	Tribea Film Center	New York	NY	10013	PC	Miss Virgilia Film	300,000
Museum of Native American History, Inc.	202 SW O St	Bentonville	AR	72712	PCF	2019 Museum of Native American History Programming	80,000
National Alliance for Public Charter Schools	1101 15th Street NW, Suite 1010	Washington	DC	20005	PC	Two Years of General Operating Support	4,308,749
National Association for the Advancement of Colored People	4825 Mount Hope Drive	Baltimore	MD	21215-3797	PC	110th NAAACP Annual Convention	50,000
National Association of Black Journalists	1100 Knight Hall, Suite 3100	College Park	MD	20742	PC	No NABJ19 Convention & Career Fair	75,000
National Association of Charter School Authorizers	105 W Adams Street, Suite 1900	Chicago	IL	60603-6253	PC	2019-2022 Strategic Plan Support	2,150,000
National Association of Conservation Districts, Inc.	509 Capitol Court, NE	Washington	DC	20002	PC	Farm and Conservation Policy Coalition	103,500
National Association of Hispanic Journalists	1050 Connecticut Ave, NW 5th floor	Washington	DC	20036	PC	Excellence in Journalism Conference 2019	25,000
National Association of State Boards of Education	333 John Carlyle Drive, Suite 530	Alexandria	VA	22314	PC	2019 Annual Conference	7,000
National Audubon Society, Inc.	225 Vairick Street, 7th Floor	New York	NY	10014	PC	Restoring the Gulf of Mexico Building Momentum for the Best Projects	4,295,780
National Caucus of Environmental Legislators	1228 172 31st Street NW	Washington	DC	20007	PC	Mississippi River	75,000
National Center for Family Philanthropy, Inc.	1667 K Street, NW	Washington	DC	20006	PC	Leadership Circle 2019 National Forum on Family Philanthropy	10,000
National Center for Learning Disabilities, Inc.	1 Thomas Circle NW	Washington	DC	20005	PC	NLD Measuring & Mobilizing for Success in the 21st Century	584,490
National Center for Special Education in Charter Schools, Inc.	420 Lexington Avenue	New York	NY	10170	PC	Building Charter School Capacity to Educate Students with Disabilities	455,897
National Charter Collaborative	605 SW 108th Street	Seattle	WA	98146	PC	National Charter Collaborative Replication Fellowship	266,112
National Committee for Responsive Philanthropy	1900 L Street NW Suite 825	Washington	DC	20036	PC	2019 Membership	50,000
National Congress of American Indians Fund	1516 P Street NW	Washington	DC	20005	PC	NCAI 76th Annual Convention & Marketplace	25,000
National Corn Growers Association Foundation	632 Ceppi Drive	Chesterfield	MO	63005	PC	The Soil Health Partnership Partnering to Enhance Scalable Environmental, Economic and Crop Yield Outc	370,000
National Council on Teacher Quality	5614 Connecticut Avenue, NW	Washington	DC	20015-2604	PC	Leveraging State and Local Data to Strengthen Teacher Policies and Practices	778,500

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National Fish and Wildlife Foundation	1133 15th Street, NW Suite 1000	Washington	DC	20005	PC	PC	Lower MS Alluvial Valley Restoration Partnership II	1,600,000
National Governors' Association Center for Best Practices	444 North Capitol Street, Suite 267	Washington	DC	20001	PC	PC	Governors' Energy Advisors Training	130,000
National Indian Education Association	1516 P St, NW Suite B	Washington	DC	20005	PC	PC	50th Convention and Trade Show	15,000
National Interscholastic Cycling Association	2414 6th Street	Berkeley	CA	94710	PC	PC	NICA AR (\$55K) and National (\$100K)	197,000
National Marine Sanctuary Foundation	8601 Georgia Ave, Suite 510	Silver Spring	MD	20910	PC	PC	National Marine Sanctuary Foundation	25,000
National Public Education Support Fund	1900 L St, NW	Washington	DC	20036	PC	PC	Grantmakers for Thriving Youth	20,000
National Public Radio, Inc.	1111 North Capitol St, NE	Washington	DC	20002	PC	PC	NPR Journalism	1,000,000
National Urban League, Inc.	80 Pine Street	New York	NY	10005	PC	PC	NUL 2019 Annual Conference Sponsorship	125,000
National Urban Technology Center, Inc.	25 Broadway	New York	NY	10004	PC	PC	Urban Tech 2019 Gala Sponsorship for Dignity for All Building Prevention	12,500
National Wildlife Federation	11100 Wildlife Center Drive	Reston	VA	20190	PC	PC	Restore the Mississippi River Delta Coalition	2,817,314
National Young Farmers Coalition	P O Box 1074	Hudson	NY	12534	PC	PC	Building Grassroots Power & Young Farmer Leaders for Supportive Conservation Policy in the Colorado River	104,126
Native American Journalists Association	NAJA - OU Gaylord College	Norman	OK	73019	PC	PC	2019 National Native Media Conference	30,000
Natural Resources Defense Council, Inc.	40 West 20th Street	New York	NY	10011	PC	PC	Strengthening U.S. Traceability Closing Seafood Import Monitoring Program Implementation Gaps	583,500
Nature and Culture International	1400 Walden Lane	Del Mar	CA	92014	PC	PC	Creating a Pilot Co-Management Program for Fisher People in Northern Peru	130,000
NCEMMS Management Services, Inc.	303 Center Green Dr, Ste 150	Boulder	CO	80301	PC	PC	Data for the American Dream	250,000
Net Impact	1333 Broadway Street, Suite 250	Oakland	CA	94612	PC	PC	2019 Net Impact Conference	75,000
New Dawn Charter High School II	89-25 161st Street	Jamaica Queens	NY	11432	GOV	GOV	O-S Schools	375,000
New Jersey Charter Public Schools Association	1 AAA Drive, Suite 206	Hamilton	NJ	8691	PC	PC	NICSA Advocacy Work	150,000
New Leaders	30 West 26th Street	New York	NY	10010	PC	PC	Alumni New School Launch Program	200,000
New Leaders Council	4005 Wisconsin Ave, NW	Washington	DC	20016	PC	PC	NIC National Convention 2019	100,000
New Profit, Inc.	225 Franklin Street, Ste 350	Boston	MA	02110	PC	PC	Postsecondary Innovation for Equity (PIE) Fund	500,000
New Schools for Baton Rouge	100 Lafayette St	Baton Rouge	LA	70801	PC	PC	Expanding Excellent School Options in Baton Rouge, LA	1,520,000
New Schools for New Orleans, Inc.	1555 Poydras Street, Suite 781	New Orleans	LA	70112	PC	PC	Championing Quality in New Orleans Public Schools	1,800,000
New Venture Fund	1201 Connecticut Ave, NW, Suite 300	Washington	DC	20036	PC	PC	Colorado River Sustainability Campaign	3,772,200
New York University	25 West 4th Street	New York	NY	10003	PC	PC	Steinhardt Teacher Residency - New York City Expansion Grant	196,141
NewSchools Venture Fund	1616 Franklin St	Oakland	CA	94612	PC	PC	NewSchools Venture Fund Teacher Diversity RFP	8,619,080
Nest Generation Men, Inc.	115 MLK Jr Drive SW Suite 304	Atlanta	GA	30303	PC	PC	Next Gen Men & Women Leaders & Summit Sponsorship	75,000
Noble Network of Charter Schools	1 North State St	Chicago	IL	60602	PC	PC	Diverse Leaders Fellowship	50,000
Noble Research Institute, LLC	2510 Sim Noble Pkwy	Ardmore	OK	73401	PC	PC	Ecosystem Services Market Program	400,000
Nonprofit Knowledge Works, Inc.	1600 Constance Street	New Orleans	LA	70130	PC	PC	Tracking the Coastal Restoration Economy	150,000
North American Council for Online Learning	1924 Old Gallows Road No 350	Vienna	VA	22182	PC	PC	2019 INACOL Symposium Sponsorship	25,000
North Midwest Institute	1875 Connecticut Avenue NW, Tenth Floor	Washington	DC	20009	PC	PC	Mississippi River Policy Program	135,000
Northwest Arkansas Council Foundation	14100 Vaughn Rd	Bentonville	AR	72712	PC	PC	General Operating Grant	25,000
Northwest Arkansas Children's Shelter, Inc.	1 College Drive	Bentonville	AR	72712	PC	PC	NWA Regional Robotics Training Corps Academy	275,000
Northwest Arkansas Community College	One College Drive	Bentonville	AR	72712	PC	PC	Brightwater - A Center for the Study of Food	535,040
Northwest Arkansas Community College Foundation, Inc.	One College Drive	Bentonville	AR	72712	PC	PC	OUT and UPAC - Age Reinforcing Our Foundation and Growing our Arts Impact	177,393
Northwest Arkansas Community Creative Center	505 West Spring Street	Fayetteville	AR	72701	PC	PC	Northwest Arkansas Operating Grant	4,015,720
Northwest Arkansas Council Foundation	4100 Corporate Drive, Suite 205	Springdale	AR	72762	PC	PC	Arkansas Crisis Center	5,000
Northwest Arkansas Crisis Intervention Center	614 E Emma Street, Ste 213	Springdale	AR	72764	PC	PC	Making the Case for Universal Screening in Gifted Identification in Arkansas	107,482
Northwest Arkansas Education Service Cooperative	4 North Double Spring Road	Farmington	AR	72730	GOV	GOV	Uplift Cohort	10,000
Northwest Arkansas Equality, Inc.	PO Box 179	Fayetteville	AR	72702	PC	PC	Food to Encourage (F2E)	121,899
Northwest Arkansas Food Bank, Inc.	1378 June Self Drive	Bethel Heights	AR	72764	PC	PC	Northwest Arkansas Jazz Society Project Year 3	74,000
Northwest Arkansas Jaz Society, Inc.	40 East Elm St	Fayetteville	AR	72703	PC	PC	Conservation, Stewardship & Resiliency	468,000
Northwest Arkansas Land Trust	1725 Smokehouse Trail	Fayetteville	AR	72701	PC	PC	Regional Tree Planting Project	84,500
Northwest Arkansas Regional Airport	One Airport Blvd, Suite 100	Bentonville	AR	72712	PC	PC	CityBuilders Symposium 2019 Study Tour	69,000
Northwest Arkansas Regional Planning Commission	1311 Clayton Street	Springdale	AR	72762	GOV	GOV	NWEA Through-Year Program	400,000
Northwest Evaluation Association	121 NW Everett Street	Portland	OR	97209	PC	PC	NWA Gives 2020 Communications Sponsorship	50,000
NWA Gives Organization	2894 McFee Circle	Fayetteville	AR	72703	PC	PC	NWA Trail Building Projects	29,919,762
NWA Trailblazers	P O Box 2821	Bentonville	AR	72712	PC	PC	Defending Sustainable Fisheries in the Gulf of Mexico and Nationally to Ensure Healthier Oceans and Conif	890,000
Ocean Conservancy, Inc.	1300 19th Street NW 8th Floor	Washington	DC	20036	PC	PC	Seafood Supply Chain Education and Engagement	92,875
Ocean Strategies	1172 E Pike St	Seattle	WA	98122	NC	NC		

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Oceana, Inc.	1035 Connecticut Ave., NW	Washington	DC	20036	PC	PC	Ensuring Responsible Fisheries Management in the United States	1,050,000
Ohio Excebt	41 S. High Street, Suite 2240	Columbus	OH	43215	NC	NC	Support for the Launch of Ohio Excebt	150,000
Oklahoma Education Excellence Initiative, Inc.	330 NE 10th St.	Oklahoma City	OK	73104	PC	PC	Renewal Support for OEEI	150,000
Oklahoma Hall of Fame	1400 Classen Drive	Oklahoma City	OK	73106	PC	PC	General Operating Grant	5,000
Overwood Gardens and Learning Center	2505 N Avenue	Midland City	CA	91950	PC	PC	Salary Support and Special Projects	75,000
One City Schools, Inc	2012 Fisher Street	Madison	WI	53713	PC	PC	O-5 Schools	325,000
OneCommunity Inc	614 E Emma Ave, Suite M414	Springdale	AR	72764	PC	PC	Uplift Cohort	15,000
Opportunity America Educational Fund	737 8th St SE Suite 201	Washington	DC	20003	PC	PC	Inhabiting a CTE charter school and CTE charter standards	92,000
Opportunity Institute	2001 Center St	Berkeley	CA	94704	PC	PC	Charter School Policy Impact	50,000
Our Turn, Inc	1415 North 14th Street No. 885	Arlington	VA	22201	NC	NC	Our Daily Planet Content and Social Media Distribution Services	43,750
Our Voice Nuestra Voz	116 Nassau Street Suite 519	New York	NY	10038	PC	PC	Elevating Student Voices in Advocacy	400,000
Ozark Natural Science Center, Inc	200 Tremé St No. 201	New Orleans	LA	70112	PC	PC	Building Parent Voice	275,000
Ozark Off Road Cyclists	1905 Madison 1305 P O Box 8981-0017	Huntsville	AR	72740	PC	PC	General Operating Grant	78,023
Para Los Niños	5000 Hollywood Blvd	Los Angeles	CA	90027	PC	PC	Van Buren Colley Park Trail System	173,978
Parent Revolution, Inc	315 West 9th Street, Suite 904	Los Angeles	CA	90013	PC	PC	Community Engagement program	105,000
Parents for Great Camden Schools	116 N 2nd Street, Suite 201	Camden	NJ	08102	PC	PC	Parent Power Organizing	790,000
Partnership for a New American Economy Research Fund	c/o Geller Advisors, P O Box 1510	New York	NY	10150	PC	PC	Parents for Great Camden Schools	150,000
Partnership for Los Angeles Schools	1055 Wilshire Boulevard, Suite 1850	Los Angeles	CA	90017	PC	PC	Looking for America Northwest Arkansas	32,000
Partnership with Children, Inc	299 Broadway No 1300	New York	NY	10007	PC	PC	Partnership for Los Angeles Schools Access and Equity Subgrant Program	494,500
PAVE, Inc	1805 7th St, NW 64	Washington	DC	20001	PC	PC	Charter School Pilot	200,000
PEAK Grantmaking, Inc	1666 K Street, NW	Washington	DC	20006	PC	PC	PAVE Growth	535,000
Pedal It Forward NWA	15504 5th Street	Rogers	AR	72756	PC	PC	2019 PEAK Grantmaking Membership and Conference Sponsorship	44,450
Pelican Institute for Public Policy	400 Pryor Street, Suite 900	New Orleans	LA	70130	PC	PC	Program Expansion Operations, Staffing and Building Renovations	4,133
Phi Delta Kappa International, Inc	1525 Wilson Boulevard	Arlington	VA	22209	PC	PC	*A School that Fits Campaign for Louisiana	250,000
Philanthropy Roundtable	1120 20th Street NW	Washington	DC	20036	PC	PC	The Grade 2019-2020	50,000
Philanthropy Southwest	1910 Pacific Avenue, Suite 13500	Dallas	TX	75201	PC	PC	Support for The Philanthropy Roundtable's K&C&A - 8&12 Education Program	225,000
Phillips Community College of the University of Arkansas	1000 Campus Drive	Hebena	AR	72342	GOV	GOV	Philanthropy Southwest Membership	17,500
Phoenix International Academy	1613 W. Salt Catherine Ave	Phoenix	AZ	85041	PC	PC	Transitional Technical Opportunity Expansion Program	125,000
Policy Innovators in Education Network, Inc	510 1st Avenue North, Suite 408	Minneapolis	MN	55403	PC	PC	O-5 Schools	325,000
Pontificia Universidad Católica	Alameda 340	Santiago Chile	CL		PC	PC	Maximizing the Impact of Advocacy Organizations	450,000
PR Education Foundation	P O Box 354029	San Juan, Puerto Rico	PR		PC	PC	Socio-Ecological Research to Support IUI Assessments and Systematically Identify and Learn from TURF	110,000
Practical Farmers of Iowa	1615 Golden Aspect Dr	Ames	IA	50010	PC	PC	Building Strategic Capacity for Public Education in Puerto Rico	400,000
Profile Rivers Network	1605 South State St, Suite 1	Champaign	IL	61820	PC	PC	Growing Grassroots for Advocacy and Soil Health	289,413
President and Fellows of Harvard College	1033 Massachusetts Avenue, 5th Floor	Cambridge	MA	2138	PC	PC	Advancing water quality in Illinois	100,000
ProDePhibus	Jose Gaber 7806, Miraflores	Lima	PE		PC	PC	Continuation Grant for Education Next	1,091,468
Profound Gentlemen	2701c Freedom Drive	Charlotte	NC	28208	PC	PC	Promoting Sustainable Fisheries in Peru through Development of Local Capacities	200,000
Project for Public Spaces	740 Broadway	New York	NY	10003	PC	PC	Capacity building to increase support for male educators of color	300,000
Promises54	3535 W. Ingomar Road	Pittsburgh	PA	15237	PC	PC	3rd International Placemaking Week	15,000
Pronatura Nocestris AC	Calle Declina N 60 (Est. Iverson)	Baja California, Mexico	N/A	CP 21800	PC	PC	FY19 FY20 Support for Promise54	200,000
Proper America	1615 Pyramia	New Orleans	LA	70160	PC	PC	Restoration of the Colorado River Delta 2018-2020	1,049,212
Prospect Schools, Inc	3002 Fort Hamilton Parkway	Brooklyn	NY	11218	PC	PC	Support Propet	250,000
PT Hatfield Indonesia	Jalan Silwangi No. 46 Unit B5 B7	Bogor 16131, West Java, Indonesia	ID	16710	NC	NC	Accelerating Emerging Charter Networks Grants Program	112,356
Public Affairs Research Council of Louisiana, Inc	4664 Jamestown Avenue, Suite 300	Baton Rouge	LA	70898	PC	PC	Improve Shrimp Farming and Develop Capacity Certification of Sustainable Indonesian Aquaculture Product	152,815
Public Policy Institute of California	500 Washington Street	San Francisco	CA	94111	PC	PC	Supporting the Louisiana Coastal Restoration Investments	112,500
Public Preparatory Network, Inc	441 East 148th St	Bronx	NY	10455	PC	PC	Annual Sponsorship	20,000
Purdue University	155 South Grant St	West Lafayette	IN	47907-2114	PC	PC	O-5 Schools	450,000
Queens Library Foundation, Inc	89-11 Merrick Boulevard	Jamaica	NY	11432	PC	PC	Assessing the strengths and limitations of voluntary conservation to modify agricultural practices	100,000
R Street Institute	1212 New York Ave NW Suite 900	Washington	DC	20005	PC	PC	2020 Queens Library Gala	15,000
RARE	1310 N Courthouse Road, Suite 110	Arlington	VA	22201-2594	PC	PC	Advancing Conservative Reforms for Agriculture Issues	317,900
							Financing the Blue Economy at Scale	926,132

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Re-Ve Cultural Foundation, Inc	2001 NE Obview Cove	Bentonville	AR	72712	PC	PC	2019 Grant Application	7,500
Reaching At-Risk Students Association, Inc	2611 Temple Heights Drive, Suite A	Oceanside	CA	92056	PC	PC	2019 Alternative Accountability Policy Forum	15,000
Reading Roadmap	117 SW 6th Avenue	Topoka	KS	66603	PC	PC	Mississippi Residing Roadmap	200,000
Reason Foundation	5737 Mesmer Ave	Los Angeles	CA	90230	PC	PC	Center for School Funding Portability	300,000
Red Bridge Education	8 Tenth St #1045	San Francisco	CA	94103	PC	PC	O-S Schools	375,000
Redes - Sostenibilidad Pesquera	Las Amapolas Miz F, Lote 22, Dpto. 401, Urb. Santa Maria del Pinar	Piura, Peru		20000	PC	PC	Identification of Incentives for Sustainability in Both Value Chain Ends of Peruvian Artisanal Fisheries	300,000
Reef Fish Conservation and Education Foundation Inc	1902 Wharf Rd	Gilberton	TX	77550	PC	PC	Gulf of Mexico Fishing Industry Capacity-Building and Catch Share Preservation	150,444
Reform Alliance, Inc	411 South Victory, Suite 202	Little Rock	AR	72201	PC	PC	Success Scholarship/Community - Parent Pod Model	713,200
Reformed, Inc	3445 Peachtree Road NE Suite 175	Atlanta	GA	30326	PC	PC	Georgia Coalition Support	31,664
Regents of the University of California at San Diego	9500 Gilman Drive	La Jolla	CA	92093	PC	PC	Science and data communication. Moving towards open access data and sustainable fisheries in Mexico	144,276
Regents of the University of California /Bo University of California	5200 North Lake Road	Merced	CA	95343	PC	PC	The Gateway Scholars Program. Opening Doors to Success for Golden Valley High School Students	256,519
Regents of the University of Colorado	1800 N Grant St. Ste 600	Denver	CO	80203	PC	PC	Arkansas Pre-K Quality Research	177,239
Regents of the University of Minnesota	650 Mckumara Alumni Center	Minneapolis	MN	55455	GOV	GOV	Green Leads Blue Waters. Increasing Continuous Living Cover in the Upper Midwest	570,048
Relay Graduate School of Education	40 W 20th St, 7th Floor	New York	NY	10011	PC	PC	Dallas Fort Worth Regional Expansion	125,000
Renaissance Charter School 2	35-59 81 Street	Jackson Heights	NY	11372	PC	PC	O-S Schools	375,000
ReSchool	1701 7th Street	Denver	CO	80202	PC	PC	ReSchool Learner Advocate Network Pilot	50,000
Research Foundation for the State University of New York	PO Box 9	Albany	NY	12201	PC	PC	Active Ingredients Pilot Project	209,075
Resource Central	2639 Spruce St	Boulder	CO	80302	PC	PC	Unearth the Turf. Scaling a New Model to Help Protect the Colorado River	105,850
Resources Legacy Fund	555 Capitol Mall, Suite 1095	Sacramento	CA	95814	PC	PC	Defending U.S. Federal Fisheries - Enhanced Coordination of the Federal Fisheries Coalition and its Allies	473,017
Responsive Education Solutions	1301 Waters Ridge Drive	Lewisville	TX	75057	PC	PC	Arkansas Charter School Initiative	187,133
Restauramos El Colorado	Av. Costa Rica No 273, Interior 1	Mexicali	Baja California	21200	PC	PC	Restoring flows and habitat in the Colorado River Delta	949,780
Restore or Retreat	P O Box 2048-NSU	Thibodaux	LA	70310	PC	PC	Funding Coastal Restoration	332,794
Results in Education Foundation	511 Old Town Rd #548	Richester	MI	48308-9998	POF	POF	Building Capacity for Ed Reform Advocates	1,050,000
Resurrection Project	1818 S. Paulina	Chicago	IL	60608	PC	PC	Community Ownership in Education	200,000
Rex and Margaret Fortune School of Education	2890 Gateway Oaks Dr	Sacramento	CA	95833	PC	PC	Fortune Advocacy	200,000
RISE	P O Box 586	Shelby	MS	38774	PC	PC	Increasing certified teacher pipeline in the Delta	125,000
River Network	PO Box 21387	Boulder	CO	80308	PC	PC	Water transactions workshops and Tampa SMP	253,070
Robin Hood Foundation	826 Broadway 9th Floor	New York	NY	10003	PC	PC	NYC High Quality Schools Fund	2,500,000
RocketHub Philanthropy Advisors, Inc	6 West 48th Street, 10th Floor	New York	NY	10036	PC	PC	Launching a Water Hub	377,331
Rocketship Public Schools	350 Twin Dolphin Drive	Redwood City	CA	94065	GOV	GOV	Rocketship 10 School Expansion to Fort Worth & Tarrant County	66,667
Rogers Little Theater	P O Box 285	Rogers	AR	72757	PC	PC	Programming Expansion at The Wigony	179,842
Rogers Public Schools	500 W Walnut	Rogers	AR	72756	GOV	GOV	Rogers Honors Academy. College Admission and matriculation	269,750
Ronald Reagan Presidential Foundation and Institute	407 Presidential Drive	Sierra Valley	CA	93065	PC	PC	Advancing Bipartisan Support for Education Reform	175,000
RootED	1390 Lawrence St	Denver	CO	80204	PC	PC	RootED Grantmaking	1,625,000
RootED Northwest Arkansas	6108 E Emma Ave	Springdale	AR	72764	PC	PC	Family engagement and outreach (RootED Northwest Arkansas)	225,000
Sailors for the Sea Japan	6/o Inter Continental Yokohama Grand	Yokohama, Japan		N/A	PC	PC	Increasing awareness for the sustainable seafood consumption	160,000
Sam J Johns School	1454 Ashford Avenue	San Juan	PR	00907	PC	PC	Building and Sustaining a Public-Private Collaborative of School Leaders	100,000
Salvation Army of NWA	219 W 15th Street	Fayetteville	AR	72701	PC	PC	Bentonville Salvation Army in Kind Donation	10,000
San Antonio Area Foundation	303 Pearl Pkwy Ste 114	San Antonio	TX	78215	PC	PC	San Antonio High Quality Options & Human Capital	400,000
San Antonio Charter Moms	708 W Summit Ave	San Antonio	TX	78212	PC	PC	San Antonio Charter Moms Parent Engagement 2.0	106,113
San Antonio Communities Organized for Public Service	1511 Saltillo Street	San Antonio	TX	78207	PC	PC	COPS Metro Learning Communities and Choice	50,000
San Antonio Foundation for Excellence in Education, Inc	141 LaVaca	San Antonio	TX	78210	PC	PC	San Antonio ISO 1 System of Great Schools. Scaling the Network Principal Initiative	1,550,000
San Diego State University Foundation	5290 Campanile Dr	San Diego	CA	92182-1901	PC	PC	Trail User Count Study	106,330
Sand County Foundation Inc	131 W Wilson Street, Suite 610	Madison	WI	53703	PC	PC	Building Agriculture-Municipal Partnerships on Water Quality	353,826
Sarara Institute	43 Tamalpais Avenue	San Anselmo	CA	94960	PC	PC	Montessori Samburu and Montessori Kenya	62,035
Save the Children Federation, Inc	501 Kings Highway East, Suite 600	Fairfield	CA	06835	PC	PC	Early Steps to School Success in Delta (Helena, Arkansas)	108,356
ScholarShare Educational Services	P O Box 247686	Little Rock	AR	72223	PC	PC	ScholarShare Achievement Place	625,000
School Board Partners	PO Box 11347	Memphis	TN	38111	PC	PC	School Board Partners	400,000
School Leader Lab	1875 Connecticut Ave NW, 10th Floor	Washington	DC	20009	PC	PC	Developing school leaders in DC	225,000
Schoolhouse Connection	4401A Connecticut Ave NW #145	Washington	DC	20008	PC	PC	Improving Outcomes for Students Experiencing Homelessness in Charter Schools	177,785

Detail for Part XV, Line 34 - Grants and Contributions Paid During the Calendar Year Ended December 31, 2019

Recipient Name	Recipient Address	Recipient City	Recipient State	Zipcode	Relation	Foundation Status of Recipient	Purpose of Grant	Amount Paid
Sésoarsal Community Development Corporation	302 Southwest 25th Street	Oklahoma City	OK	73109	PC	PC	ChoiceMatters Renewal	419,821
Seafood Harvesters of America Education Fund	3033 Wilson Blvd	Arlington	VA	22201	PC	PC	Supporting Fishermen Champions for Sustainable Fisheries	150,000
Seafood Legacy Co., Ltd	No 804 2-14-6 Tsukiji	Tokyo, Japan	VA	104-0045	NC	NC	For Profit-Grantee Japan Seafood Legacy Project 2018-2020	350,000
Seafood Nutrition Partnership	1001 North 19th Street No 1200	Arlington	VA	22209	PC	PC	Increase Awareness and Recommendation of Consuming Sustainable Seafood for Improved Human Health	60,000
Seattle Foundation	1601 5th Avenue	Seattle	WA	98101	PC	PC	Equitable Evaluation Initiative	25,000
Seion Education Partners	1562 First Avenue No 205 2219	New York	NY	10028-4004	PC	PC	Accelerating Emerging Charter Networks Grants Program	125,000
Share our Strength, Inc	1030 15th Ave NW	Washington	DC	20005	PC	PC	No Kid Hungry Arkansas	2,500
Silicon Schools Fund, Inc.	827 Broadway, Suite 300	Oakland	CA	94607	PC	PC	Innovative school supply through Fund II	1,158,334
SmartFish Rescue de Vabor, AC	Miguel L. De Lepe399 760, Colonia Centro, La Paz	La Paz, Baja California Sur, Mexico	Baja California Sur	23000	PC	PC	Supporting the Development of a Mexican Market for Sustainable Seafood	540,000
Smithsonian Institution	PO Box 9016	Pittsfield	MA	01202-9951	PC	PC	Supporting the Development of a Mexican Market for Sustainable Seafood	280,000
Social Entrepreneurs of New Orleans	4035 Washington Ave, Suite 105	New Orleans	LA	70125	PC	PC	Propeller Educational Equity and Innovation	250,000
Sociedad de Historia Natural Niguzale A C	Revolución de 1910 No. 430, Colonia Estero C P 230	La Paz, BCS, Mexico	Baja California Sur	23050	PC	PC	Sustainable Fisheries Planning ahead for the Challenges and Opportunities of a New Administration	345,000
Sociedad Peruana de Derecho Ambiental	Prolongacion Arconales 437	San Isidro	Lima	15073	PC	PC	Sustainable Peruvian Fisheries through Improved Policy and growing local capacities	699,284
Society for Ecological Restoration	1133 15th St. NW	Washington	DC	20005	PC	PC	Sponsorship of SEA 2019 Global Conference	10,000
Society of Environmental Journalists	1629 K Street NW	Washington	DC	20006	PC	PC	Coastal Diet	517,641
Solididad Network Asia Limited	Room 1316-20 Hollywood Plaza, 610 Nathan Road	Hong Kong, China	AR	30303	PC	PC	The Indonesian Aquaculture Innovation Challenge	55,000
Southeastern Council of Foundations, Inc	100 Peachtree Street, Suite 2080	Atlanta	GA	30303	PC	PC	SECF Membership	9,990
Southern Bancorp Capital Partners	8924 Kennis Road	Little Rock	AR	72205	PC	PC	Spring Initiative for Financial Capability	51,525
Southwings Inc	35 Haywood St	Asheville	NC	28801	PC	PC	Gulf Conservation Flight Program	75,000
Sovereign Community School Foundation	12600 North Kelley Avenue	Oklahoma City	OK	73112	PC	PC	School Start-Up Grant	335,000
Specht X, LLC	3116 Federal Ave	Los Angeles	CA	90066	NC	NC	Specht X Los Angeles and DC Pilot	350,000
Spring Creek Charter Academy	2501 Vada Road	Bainbridge	GA	39817	GOV	GOV	D-5 Schools	325,000
Spring Initiative, Inc	P O Box 1759	Chatsdale	MS	38614	PC	PC	Spring Initiative Transformation in the Mississippi Delta through holistic, long-term intervention	125,000
Springdale School District	P O Box 8	Springdale	AR	72765	GOV	GOV	Arkansas Simulators - SHE Can STEW Summer Camp	160,000
St. Louis University	3500 Lindell Boulevard	Saint Louis	MO	63103	PC	PC	Missouri Education Research Consortium	3,45,533
State Parks and Recreation Foundation of Arkansas	425 W. Capitol Ave Ste 3800	Little Rock	AR	72201	PC	PC	Devil's Den Trails	4,281,957
State Policy Network	1655 N Fort Myer Dr., Suite 360	Arlington	VA	22209	PC	PC	Workplace Freedom & Education Policy	1,100,000
Step Up for Students, Inc	4655 Salisbury Road, Suite 400	Jacksonville	FL	32256	PC	PC	ESA Implementation Platform	500,000
Stichting IDH Sustainable Trade Initiative	Arthur van Schendellaan 500	Utrecht, The Netherlands	IL	60642	PC	PC	Bringing the Investment Guidelines for Sustainable Aquaculture to Life	231,454
Stilleisen Stockholm International Water Institute	Linnagan 87A, 100 55	Stockholm, Sweden	Stockholm, Sweden	100 55	NC	NC	World Water Week Sponsorship	40,000
Stockholm Resilience Centre	Stockholm University	Stockholm, Sweden	Stockholm, Sweden	106 09	NC	NC	The Sealios Project and the Keystone Dialogue Initiative	300,000
Stratford Preparatory Charter School for Boys	2033 Burr Avenue, No 68	Bronx	NY	10461	PC	PC	D-5 Schools	325,000
StudentsFirst New York Institute	345 Seventh Avenue, Suite 501	New York	NY	10011	PC	PC	Creating the Conditions for NYC Charter School Growth	460,000
Subico Academy	405 North Subico Avenue	Subico	AR	72865-9798	PC	PC	General Operating Grant	10,000
Success Academy Charter Schools, Inc	95 Pine St. 6th Floor	New York	NY	10005	PC	PC	Campaign for Excellent Schools	500,000
Summit Public Schools	780 Broadway	Reewood City	CA	94063	PC	PC	Career Assessment Pilot Program	400,000
Surge Institute	935 W Chestnut	Chicago	IL	60642	PC	PC	The Surge Fellowship City Expansion and Alumni Convening	885,000
Sustainable Fisheries Partnership Foundation	4348 Weisbae Avenue No. 692	Honolulu	HI	96816	PC	PC	Harnessing the Power of the Supply Chain to Improve Fisheries Management Policies	2,269,163
Sustainable Fishery Advocates dba FishWise	P O Box 233	Santa Cruz	CA	95061	PC	PC	Increasing adoption of innovations in crop supply chain to build soil for productivity and water quality	300,000
Sustainable Food Lab	3 Linden Road	Hartland	VT	05048	PC	PC	Our Ocean Youth Leadership Summit	162,838
Sustainable Ocean Alliance	1355 Market St Suite 488	San Francisco	CA	94103	PC	PC	SKSW 2020	50,000
SKSW, LLC	400 Bowtie St	Austin	TX	78703	NC	NC	Excellence and Engagement	109,000
Symphony Orchestra of Northwest Arkansas	P O Box 1243	Fayetteville	AR	72702	PC	PC	2019 Toner Prize Celebration	95,000
Syracuse University	215 University Place	Syracuse	NY	13244	PC	PC	Colorado River Basin Riparian Health Initiative	28,000
Tailwind Aviation Foundation, Inc	15480 See St, Rogers	Rogers	AR	72756	PC	PC	Thudon Field Turf Runway Improvement	57,210
Tamarisk Coalition dba RiversEdge West	P O Box 1907	Grand Junction	CO	81502	PC	PC	Colorado River Basin Riparian Health Initiative	350,000
Teach for Common Sense	651 Pennsylvania Avenue SE	Washington	DC	20003	PC	PC	Colorado River Basin Riparian Health Initiative	200,000
Teach for America, Inc	25 Broadway, 12th Floor	New York	NY	10004	PC	PC	Agriculture Policy Reform Project	17,321,662
Teach For America, Inc Colorado	1391 Speer Blvd	Denver	CO	80204	PC	PC	TFA Support for FY 2019-2021	250,000
Teach Plus Incorporated	One Beacon Street	Boston	MA	02108	PC	PC	Teacher-Driven Education Policy Reform in California	310,000

Recipient name	Recipient address	Recipient City	Recipient State	Zipcode	Relation	Foundation Status of Recipient	Purpose of Grant	Amount Paid
Teen Action and Support Center	2535 W. New Hope Road	Rogers	AR	72758		PC	Arts and Cultural Programming at The Station	252,356
Tennessee Charter School Center	209 10th Avenue South, Suite 416	Nashville	TN	37203		PC	TCSO Policy & Operations Grant	741,696
Tennessee State Collaborative on Reforming Education	1207 18th Ave S, Suite 326	Nashville	TN	37212		PC	2018-19 Support of SCORE	600,000
Texas Charter Schools Association	700 Lavaca Street, Suite 930	Austin	TX	78701		PC	Advocacy, Communication, Growth and Support	825,000
Texas Public Policy Foundation	901 Congress Avenue	Austin	TX	78701		PC	School Finance Reform A Bold Campaign for our Children's Futures	200,000
Texas Tribune, Inc	919 Congress Avenue, Sixth Floor	Austin	TX	78701		PC	Sponsorship of the 2019 Texas Tribune Festival	20,000
Thaden School	410 SE Staggerwing Ln	Bentonville	AR	72712		PC	Thaden School Design Excellence Phase III	2,106,185
The FA Media, Inc	222 Broadway, 39th Floor	New York	NY	10038		PC	Reach, Impact, Credibility, Growing The 74	1,000,000
The Administrators of the Tulane Educational Fund	6823 St. Charles Avenue	New Orleans	LA	70118		PC	Restoration Implementation Tulane Institute on Water Resources Law & Policy	125,000
The Arizona Charter Schools Association	12639 N 32nd Street	Phoenix	AZ	85032		PC	Leadership Transition and Communications	300,000
The Aspen Institute, Inc	2300 N St., NW, No. 700	Washington	DC	20037-1122		PC	Weave The Social Fabric Project-Weavers In Schools	250,000
The Atlantic Monthly Group, LLC	600 New Hampshire Ave. NW	Washington	DC	20037		NC	2019 Aspen Ideas Festival	400,000
The Board of Trustees of the Leiland Stanford Junior University	Office of Sponsored Research	Redwood City	CA	94063		PC	CREDO SQM 4.0	779,958
The Board of Trustees of the University of Illinois	1901 S. First Street	Champaign	IL	61820-7406		PC	Extension of the Nutrient Reduction Measurement Framework for the Mississippi/Atchafalaya River Basin	223,347
The Center for Advancing Innovation, Inc	9508 Burning Tree Rd	Bethesda	MD	20817		PC	Future of Supply Chain and Logistics Innovation Challenge	799,650
The Century Foundation, Inc	1 Whitehall St	New York	NY	10004		PC	Reshaping Reform Narratives Language and Equity	800,036
The Children's Scholarship Fund	8 West 38th Street, 9th Floor	New York	NY	10018-6239		PC	Two Year Scholarship Support	5,900,000
The City Fund	6312 Seven Corners Center #354	Falls Church	VA	22044		PC	The City Fund	1,500,000
The Coalition to Restore Coastal Louisiana	6160 Perkins Road, Suite 225	Baton Rouge	LA	70808		PC	Restore the Mississippi River Delta Coalition	611,300
The Communications Network, Inc	1717 N. Naper Blvd., Suite 102	Naperville	IL	60563		PC	The Communications Network 2019 Annual Conference	35,000
The Community Foundation for Greater Atlanta, Inc	191 Peachtree Street NE, Suite 1000	Atlanta	GA	30303		PC	redefineED Atlanta operating support	775,000
The Conservation Fund	1655 N Fort Myer Drive	Arlington	VA	22209		PC	Conservation Finance Network Assessing NRCS Conservation Innovation Grants	150,000
The Department of Arkansas Heritage	141 Cherry Street	Helena	AR	72342		GOV	The ECC Arts and Culture Education Program	87,500
The Door - A Center of Alternatives, Inc	121 Avenue of the Americas	New York	NY	10013		PC	Brow Replication Planning	100,000
The Drexel Fund	606 E Juneteau Avenue No. 510835	Milwaukee	WI	53203		PC	Fund II Investment	2,612,270
The Education Trust	1501 K Street NW	Washington	DC	20005		PC	Equity Coalition Building in New York State	1,450,000
The Henry S. Simson Center	1211 Connecticut Avenue, NW	Washington	DC	20036		PC	Ensuring Expansion and Quality Implementation of SIMP	175,000
The Land Institute	2440 East Water Well Road	Salina	KS	67401		PC	Perennial Grains Development	100,000
The Match Foundation, Inc.	50 Mills Street, Suite 600	Boston	MA	2109		PC	Match Beyond	200,000
The Memphis Lift Parent Institute	1637 Britton Street	Memphis	TN	38108		PC	The Memphis Lift	375,000
The Mind Trust, Inc	1650 N Meridian St., Suite 450	Indianapolis	IN	46204		PC	Support for The Mind Trust High-Quality Schools Plan	2,750,000
The Muses, Inc	102 Meadowbrook Way	Hot Springs	AR	71913		PC	General Operations	5,000
The National Center for Montessori in the Public Sector	125 Whiting Lane	West Hartford	CT	6119		PC	The Montessori Data Repository	431,014
The Nature Conservancy	42415 N. Fairfax Drive, Suite 100	Arlington	VA	22203		PC	Colorado River Program	7,839,662
The Nature Conservancy - Arizona	7600 N 15th Street, Suite 100	Phoenix	AZ	85020-4330		PC	Hog Farm / Buffalo River	605,000
The New School	72 Fifth Ave, 6th Floor	New York	NY	10011		PC	InsideSchools Brown Outreach	150,016
The New York City Center for Charter School Excellence	111 Broadway	New York	NY	10006		PC	Building Support for Charter Growth in New York City	200,000
The Northwest Arkansas Ballet cba NWA Ballet Theatre	416 Oak Manor Circle	Springdale	AR	72764		PC	Dance Anywhere/Everywhere	253,325
The Oasis Academy, Inc	2301 North Park Avenue	Indianapolis	IN	46205		PC	Indiana School Leader Fellowship	75,000
The Peet Compton Foundation	312 N. Main Street	Bentonville	AR	72712		POF	2019 Budget Peet Mansion Operations & Repairs	1,354,733
The Regents of the University of California	Sponsored Projects Office	Berkeley	CA	94710-1749		PC	Long-Run Impacts of Students' Teacher Ethnographic Watch in New York City	170,056
The Seminar Network, Inc	1320 N Courthouse Rd Ste 220	Arlington	VA	22201		PC	Out-of-System Education Innovation Fund	1,000,000
The Third Way Foundation, Inc	1200 New Hampshire Avenue NW No. 575	Washington	DC	20036		PC	Reinventing America's Schools Project Phase 2	250,000
The Water Institute of the Gulf	1110 River Road South	Baton Rouge	LA	70802		PC	Assessing Risk and Resilience in Coastal Louisiana	250,000
The Wetlands Initiative	53 West Jackson Blvd	Chicago	IL	60604		PC	Advancing use of on-farm wetland practices in Illinois	100,000
Theatre Squared, Inc	PO Box 4188	Fayetteville	AR	72702		PC	New Theatre Construction	1,508,682
Theodore Roosevelt Conservation Partnership, Inc	529 14th Street NW, Suite 500	Washington	DC	20045		PC	Advancing Nature-Based Infrastructure in the Mississippi River Basin	917,500
Thomas B. Fordham Institute	1016 16th St. NW, 8th Floor	Washington	DC	20036		PC	Rebuilding the Case for Results-based Accountability	600,000
Thrive, Inc.	415 Ohio Street	Helena	AR	72342		PC	Thrive Center Program Expansion	153,710
Thurgood Marshall College Fund, Inc	901 F Street, NW, Suite 900	Washington	DC	20004		PC	Center for Advancing Opportunity - First Annual Seminar on Education Policy, Choice & Opportunity	250,000



Recipient Name	Recipient Address	Recipient City	Recipient State	Zipcode	Relation ship	Foundation Status of Recipient	Purpose of Grant	Amount Paid
Tides Center	1010 Torrey Avenue	San Francisco	CA	94119		PC	Emerging Practitioners in Philanthropy (EPIP)	17,500
Tides Foundation	P.O. Box 29198	San Francisco	CA	94119-0198		PC	The Intentionally Good Project & Grassroots Leaders	75,000
TINTP, Inc	500 7th Avenue, 8th Floor	New York	NY	10018		PC	Supporting TINTP's Talent Engagements and Strategic Priorities	2,895,000
Transtend, Inc	159 Lincoln Ave	Hastings-on-Hudson	NY	10706		PC	Accelerating School Model Innovation	900,000
Translata USA	190 Harrison Street Ste 1700	Oakland	CA	94612		PC	Mexico fisheries outreach and standards revision and alignment	125,000
Tricycle Theatre for Youth	PO Box 2537	Bentonville	AR	72712		PC	General Operating Grant	468,665
Trinity University	One Trinity Place No. 49	San Antonio	TX	78212-7200		PC	Trinity School Design Network	1,169,056
Trout Unlimited, Inc	1777 North Kent Street, Suite 100	Arlington	VA	22209		PC	Colorado River Program	1,074,072
Trust for Conservation Innovation	405 14th Street, Suite 164	Oakland	CA	94612		PC	WaterNow Alliance 2019-2020	2,677,335
Trustees of Boston University	881 Commonwealth Avenue	Boston	MA	02215-1300		PC	Providing Strategic and Legal Project Support to WFF and its Investment Clites	423,560
Trustees of Columbia University in the City of New York	615 West 131st Street	New York	NY	10027-7972		PC	Using Behavioral Science to Help Students Thrive	614,825
Trustees of the University of Pennsylvania	Life Science RBD (formerly Walnut Business Office), Goddard 212	Philadelphia	PA	19104-6205		PC	Expansion Grant	426,116
Tulsa Ballet Theater, Inc	1212 E 45th Street	Tulsa	OK	74105-4563		PC	General Operating Grant	10,000
Tulsa Honor Academy	209 S Lakewood Ave	Tulsa	OK	73112		PC	Expansion Grant	500,000
Tulsa Legacy Charter School, Inc	105 East 63rd Street North	Tulsa	OK	74126		PC	Charter Expansion	90,000
Turpentine Creek Foundation Inc	239 Turpentine Creek Lane	Eureka Springs	AR	72632		PC	General Operations	10,000
U.S. Farmers & Ranchers Alliance	16200 Swingley Ridge Road	Chesterfield	MO	63017		PC	Bringing gaps between row crop and animal production systems	251,235
UAMS Foundation Fund	1125 N College Ave	Fayetteville	AR	72703		PC	Reproductive Health Outreach Assistance	89,000
UII Foundation	2001 L Street NW, Suite 200	Washington	DC	20036		PC	UII INWA Building Capacity Grant	188,820
Ultraviolet Education Fund	PO Box 34736	Washington	DC	20043		PC	Power Rising Summit	50,000
Unadilla Forks Baptist Church	400 Wharton Creek Rd	Edmeston	NY	13335		PC	OS Schools	30,000
UndoUS	1126 16th Street, NW, Suite 600	Washington	DC	20036		PC	UndoUS 2019 Annual Conference	75,000
United Cerebral Palsy of Central Arkansas	9720 N Rodney Parham Road	Little Rock	AR	72227		PC	General Operating Grant	5,000
United Negro College Fund, Inc	1805 7th Street, NW	Washington	DC	20001		PC	UNCF K-12 Initiative	1,500,947
United States Conference of Mayors	1620 Eye Street	Northwest Washington	DC	20006		PC	2020 Winter Meeting	200,000
United States Endowment for Forestry and Communities, Inc	908 E North Street	Greenville	SC	29601		PC	Environmental Impact Bond Challenge	175,063
United Way of Northwest Arkansas, Inc	100 Parkwood Street, Suite C	Lowell	AR	72745		PC	General Operating Grant/October	75,000
University of Arizona Foundation	P.O. Box 21010	Tucson	AZ	85721-0109		PC	Colorado River Conversations/Science Agenda	113,480
University of Arkansas	1 University of Arkansas	Fayetteville	AR	72701		GOV	IAAW LTRW TLW Center for Farm and Food System Entrepreneurship, Phase 1	3,465,927
University of Arkansas Fayetteville Campus Foundation, Inc	535 Research Center Blvd, Suite 120	Fayetteville	AR	72701		PC	Arkansas Academy for Educational Equity	3,425,000
University of Arkansas Foundation, Inc	535 W Research Center Blvd, Suite 120	Fayetteville	AR	72701		PC	Digitalization Project w/ the Center for Arkansas and Regional Studies	1,290,971
University of Chicago	5801 S Ellis Avenue	Chicago	IL	60637-5418		PC	Postsecondary and Civic Outcomes of K-12 Students in Chicago	86,286
University of Colorado Foundation	1800 Grant Street	Denver	CO	80203		PC	Geaches Wilkinson Center, Colorado River	80,370
University of Hartford	200 Bloomfield Avenue	West Hartford	CT	06117		PC	Montessori Bachelor/Ed.-B.S. Program	1,099,400
University of New Orleans	2000 Lakeshore Drive	New Orleans	LA	70148		GOV	WWNO Coastal Desk 2019-2021	150,000
University of Notre Dame Du Lac	ACE - Alliance for Catholic Education ACE Office	Notre Dame	IN	46556		PC	School Quality Metrics Indianapolis - SQM 4.0	673,000
University of Rhode Island Foundation	719 Upper College Road	Kingston	RI	02881		PC	URI Sponsorship	25,000
University of the Ozarks	415 North College Avenue	Clarksville	AR	72830		PC	2019 January and October, Annual Grant/ Hazel Carr Robson Scholarship	136,955
University of Washington	School of Aquatic and Fishery Science	Seattle	WA	98195		GOV	Improving Fisheries Management Capacity and Performance in Indonesia, Mexico, Chile and Peru	153,883
University of Washington Foundation	407 Greberding Hall	Seattle	WA	98195-1210		PC	Thought Leadership and Research Projects	496,655
University Prep Public Charter Schools	470 Jackson Avenue	Brook	NY	10455		PC	OS Schools	450,000
Untapped Potential Project	200 N LA SALLE ST STE 2310	Chicago	Illinois	60601		PC	Future of Illinois	500,000
Uplift Education	1825 Market Center Blvd	Dallas	TX	75207		PC	Uplift Tarrant County Expansion 2017 - 2019	1,588,500
Urban Institute	500 L Enfant Plaza SW	Washington	DC	20024		PC	Data Visualization of Measures of School Segregation	98,696
Urban League of Louisiana	4640 S Carrollton Ave, Suite 210	New Orleans	LA	70119		PC	Urban League Education Empowerment Program	1,000,000
Urban League of the State of Arkansas, Inc	3805 W 12th Street, Suite 205	Little Rock	AR	72204		PC	Uplift Cohort	12,500
Urban Teacher Center	1800 Washington Blvd, Suite 411	Baltimore	MD	21230		PC	DC Teacher Residency Program	400,000
Utah State University	1415 Old Main Hill	Logan	UT	84322-1415		PC	Future of the Colorado River	71,338
Valley Settlement	520 S 3rd Street	Carbondale	CO	81623		PC	"Family, Friends & Neighbors" and Partner Mentor Programs	100,000
Valor Collegiate Academies	4527 Nolensville Pike	Nashville	TN	37211		PC	Amplifying Valor's Impact	519,000



**WALTON FAMILY FOUNDATION, INC.**  
**FORM 990-PF**  
**13-3441466**  
**DECEMBER 31, 2019**

**ATTACHMENT 21**

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PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee – The Walton Family Foundation, Inc. owns common stock in the following entity as a program-related investment.  
  
Southern Bancorp Capital Partners  
8924 Kanis Road  
Little Rock, AR 72205
- (2) Dates of Investment (1987-1) - September 1, 1987 - \$300,000  
(1998-940) - July 2, 1998 - \$3,000,030
- (3) Purpose – The purpose of this grant is to provide Southern Bancorp Capital Partners (“SBCP”) with funds to promote its purpose of implementing a new approach to the development of economically depressed rural communities in Arkansas, communities which suffer from high unemployment and which are not being adequately served by the existing financial institutions in the area. SBCP and its related companies are operating programs designed to increase job opportunities for residents of these depressed communities. SBCP’s programs emphasize the development of small business and self-employment activities in rural areas by providing capital, market data, technical support, and business planning counsel to that sector of the local economy. SBCP aggressively supports the creation of jobs through the creation and development of local business enterprises.
- (4) Amount Expended by Grantee – The initial investment of \$300,000 made on September 1, 1987 and an additional investment of \$3,000,030 made on July 2, 1998, continues to be utilized for the purpose of the program related investment as stated in (3) above.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the program-related investment has been used for anything other than its intended charitable purpose.
- (6) Date of Reports from Grantee – July 29, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation a letter stating that it has complied with the terms of the Foundation's program related investment through its most recent year-ending December 31, 2019. In addition, the grantee has provided the Foundation with an independent audit report of its financial statements for the year-ending December 31, 2019. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

**WALTON FAMILY FOUNDATION, INC.**  
**FORM 990-PF**  
**13-3441466**  
**DECEMBER 31, 2019**

**ATTACHMENT 22**

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PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee - The Walton Family Foundation, Inc. owns common stock in the following entity as a program-related investment.  
  
Neighborhood Bancorp  
1727 Sweetwater Road, Suite J  
National City, CA 91951
- (2) Date of Investment (1996-464) - May 31, 1996 - \$100,000
- (3) Purpose - To provide Neighborhood Bancorp ("NB") with funds to form or acquire a bank which will seek to provide banking services to customer groups that are currently underserved in its geographic market area. These groups include individuals, affordable housing advocates, non-profit corporations and other community-based organizations, and religious organizations. The groups, or the individuals represented by the groups: (i) earn less than 100% of the median family income for San Diego County, (ii) are ethnically and racially diverse, (iii) do not have ready access to traditional financial institutions and systems, and (iv) rent or lease dwellings rather than own them.
- (4) Amount Expended by Grantee – The \$100,000 investment made on 5/31/96 continues to be utilized for the purpose of the program related investment as stated in (3) above.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the Grantee, no part of the program-related investment has been used for anything other than its intended charitable purpose.
- (6) Date of Report from Grantee – August 11, 2020.
- (7) Grantor Verification - The Grantee has provided the Foundation with a letter stating that it has complied with the terms of the Foundation's grant through its most recent year-ending December 31, 2019. In addition, the grantee has provided the Foundation with an independent audit report of its financial statements for the year-ended December 31, 2019. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B. LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Borrower -  
  
Building Hope  
910 17<sup>th</sup> Street NW, Suite 1100  
Washington, D.C. 20006
- (2) Date and amount of program-related investment loan (2011-1068) - December 15, 2011 - \$1,500,000  
- June 28, 2012 - \$1,800,000  
  
Amounts represent advances against a \$3.3 million revolving program related investment, which is a non-interest bearing loan.
- (3) Purpose – The purpose of the program-related investment loan is to continue to serve the facility needs of quality public charter schools in the District of Columbia.
- (4) Amount Expended by Borrower – Borrower has provided financial reports to the Foundation related to the program related investment loan funds indicating that \$1,500,421 of the loan funds received on December 15, 2011 and June 28, 2012 have been repaid to Building Hope by the various charter schools and remain outstanding as of December 31, 2019. The borrower has a pipeline of charter schools that they intend to deploy funds to in calendar 2020 in accordance with the purpose of the loan as stated in the terms of the program related investment loan agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the Borrower, no part of the program-related investment loan funds has been used for anything other than its intended charitable purpose.
- (6) Date of Report from Borrower – August 8, 2020.
- (7) Borrower Verification - The Borrower has provided the Foundation with a report stating that it has complied with the terms of the Foundation's loan through its most recent year-ending December 31, 2019. In addition, the Borrower has provided the Foundation with a copy of its audited financial statements for the year-ended December 31, 2019. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d)

(1) Borrower -

Charter Fund, Inc. dba Charter School Growth Fund  
350 Interlocken Blvd., Suite 390  
Broomfield, CO 80021

(2) Date and amount of program-related investment loan (2007-750) - December 27, 2007 - \$2,500,000  
- September 29, 2011 - \$2,500,000  
- August 22, 2013 - \$2,500,000  
- December 18, 2014 - \$2,500,000

Amount represents advances against a \$10.0 million program related investment-revolving loan, which is a non-interest bearing loan.

(3) Purpose – The purpose of the program-related investment loan is to provide streamlined facility financing to charter school management organizations (CMOs) for the acquisition, construction, renovation and leasehold improvement of quality public charter school facilities.

(4) Amount Expended by Borrower – The Borrower has provided financial reports to the Foundation related to the program related investment loan of \$2,500,000, \$2,500,000, \$2,500,000, \$2,500,000 made on December 27, 2007, September 29, 2011, August 22, 2013 and December 18, 2014 respectively, indicating that all loan proceeds have been used in accordance with the purpose of the loan as stated in the terms of the program related investment loan agreement. The Borrower has repaid all the amounts advanced.

(5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the Borrower, no part of the program-related investment loan will be used for anything other than its intended purpose.

(6) Date of Report from Borrower – May 19, 2020.

(7) Borrower Verification - The Borrower has provided the Foundation a letter stating that it has complied with the terms of the Foundation's loan through its most recent year-ending December 31, 2019. In addition, the Borrower has provided the Foundation with a copy of its audited financial statements for the year-ended December 31, 2019. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.

(8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

(1) Borrower -

Charter School Financing Partnership, LLC  
One Washington Mall, 12<sup>th</sup> Floor  
Boston, MA 02108

(2) Date and amount of program-related investment loan (2010-261) - December 22, 2010 – \$2,850,000  
- December 15, 2011 - \$650,000

Amounts represent advances against a \$3.5 million program related investment, which is a non-interest bearing loan.

(3) Purpose – The purpose of the program-related investment loan is to help charter schools access the bond market to finance or refinance their facilities.

(4) Amount Expended by Borrower – Based on the annual report, the Borrower has deployed all loan proceeds received on December 22, 2010 and December 15, 2011 as stated in the terms of the program related investment loan agreement. As of December 31, 2019, the Borrower has repaid \$1,848,885 of the amounts advanced.

(5) Date of Report from Borrower – August 31, 2020.

(6) Diversion - To the knowledge of the Foundation, and based on the information furnished by the Borrower, no part of the loan has been used for anything other than its intended purpose.

(7) Borrower Verification - The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary. In addition, the Borrower has provided the Foundation with an independent audit report of its financial statements for the year-ended December 31, 2019.

(8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Borrower -  
  
CSGF Camden Facility Fund LLC  
10901 W. 120<sup>th</sup> Ave, Suite 450  
Broomfield, CO 80021
- (2) Date and amount of program-related investment loan (2017-1126) – December 14, 2017 - \$5,000,000
- (3) Purpose – This non-interest bearing loan is to provide affordable facility financing for high-performing charter schools in Camden, NJ.
- (4) Amount Expended by Borrower – Based on the annual report, the Borrower has deployed \$1,318,120 of the loan funds received on December 14, 2017, in accordance to the terms of the program related investment loan agreement.
- (5) Date of Report from Borrower – July 15, 2020.
- (6) Diversion - To the knowledge of the Foundation, and based on the information furnished by the Borrower, no part of the loan has been used for anything other than its intended purpose.
- (7) Borrower Verification - The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary. In addition, the Borrower has provided the Foundation with an independent audit report of its financial statements for the year-ended December 31, 2019.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Borrower -  
  
CSGF Memphis Facility Fund LLC  
10901 W. 120<sup>th</sup> Ave, Suite 450  
Broomfield, CO 80021
- (2) Date and amount of program-related investment loan (2017-1158) – December 29, 2017 - \$5,000,000
- (3) Purpose – This non-interest bearing loan is to provide affordable facility financing for high-performing charter schools in Memphis, TN.
- (4) Amount Expended by Borrower – Based on the annual report, the borrower has deployed \$353,906 of the loan funds received on December 29, 2017, in accordance with the terms of the program related investment loan agreement.
- (5) Date of Report from Borrower – July 15, 2020.
- (6) Diversion - To the knowledge of the Foundation, and based on the information furnished by the borrower, no part of the loan has been used for anything other than its intended purpose.
- (7) Borrower Verification - The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary. In addition, the borrower has provided the Foundation with an independent audit report of its financial statements for the year-ended December 31, 2019.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Borrower -  
  
CSGF Facility Fund III LLC  
10901 W. 120<sup>th</sup> Ave , Suite 450  
Broomfield, CO 80021
- (2) Date and Amount of Loan (2016-2061) – December 8, 2016 - \$866,667  
– July 6, 2017 - \$1,500,000  
– December 14, 2017 - \$2,300,000  
– July 13, 2018 - \$2,500,000
- (3) Purpose – This non-interest bearing loan is to support Borrower's tax-exempt mission in providing below market financing for the pre-development, acquisition, construction, renovation and leasehold improvement of charter school facilities.
- (4) Amount Expended by Borrower – The Borrower has provided financial reports to the Foundation showing the borrower has deployed all \$866,667 of the loan funds received on December 8, 2016, all \$1,500,000 of the loan funds received July 6, 2017, all \$2,300,000 of the loan funds received on December 14, 2017 and \$1,684,889 of the loan funds received on July 13, 2018, in accordance with the purpose of the loan as stated in the terms of the program related investment loan agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the Borrower, no part of the program-related investment loan funds has been used for anything other than its intended charitable purpose.
- (6) Date of Report from Borrower – July 15, 2020.
- (7) Borrower Verification - The grantee has provided the Foundation with a letter stating that it has complied with the terms of the Foundation's loan through its most recent year-ending December 31, 2019. In addition, the Borrower has provided the Foundation with a copy of its audited financial statements for the year-ended December 31, 2019. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Borrower -  
  
Denver Pay For Success, LLC  
249 Fifth Avenue  
Pittsburgh, PA 15222
- (2) Date and Amount of Loan (2016-898) - Various 2018 - \$200,537  
-Various 2019 - \$187,759
- (3) Purpose – The purpose of this program related investment is to provide housing and supportive case management services to at least 250 homeless individuals who frequently use the city's emergency services, including police, jail, the courts and emergency rooms.
- (4) Amount Expended by Borrower - The Borrower has provided financial reports to the Foundation showing the Borrower has deployed all remaining (\$118,527) in loan funds received in 2018, and \$56,995 of the loan funds received in 2019, in accordance with the purpose of the loan as stated in the terms of the program related investment loan agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the Borrower, no part of the loan has been used for anything other than its intended purpose.
- (6) Date of Report from Borrower – September 15, 2020.
- (7) Borrower Verification - The Borrower has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports, therefore, further verification by the Foundation was deemed unnecessary. In addition, the borrower has provided the Foundation with an independent audit report of its financial statements for the year-ended December 31, 2019.
- (8) Foundation Status – Not Classified.

**WALTON FAMILY FOUNDATION, INC.**  
**FORM 990-PF**  
**13-3441466**  
**DECEMBER 31, 2019**

**ATTACHMENT 30**

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PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Borrower -  
  
e-STEM Public Charter Schools  
200 River Market Drive, Suite 225  
Little Rock, AR 72201
- (2) Date and Amount of program related investment (2008-627) - Various 2008 – \$2,909,000  
- March 31, 2009 – \$28,342
- (3) Purpose – The purpose of this non-interest bearing loan is to provide funding to renovate certain real property located at 112 West Third Street, Little Rock, AR to be used as a new charter school.
- (4) Amount Expended by Borrower – The Borrower has provided financial reports to the Foundation and the loan proceeds of \$2,937,342 have been and continue to be used in accordance with the purpose of the loan as stated in the terms of the program related investment loan agreement. As of December 31, 2019, the Borrower has repaid \$440,602 of the amounts advanced.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the borrower, no part of the program related investment has been used for anything other than its intended charitable purpose.
- (6) Date of Report from Borrower – May 4, 2020.
- (7) Borrower Verification - The Borrower has provided the Foundation a letter stating that it has complied with the terms of the Foundation's loan through December 31, 2019. In addition, the borrower has provided the Foundation with a copy of its audited financial statements for the year-ended June 30, 2019. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grants.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Borrower -  
Exalt Education  
1818 N. Taylor Street, PMB #353  
Little Rock, AR 72207
- (2) Date and Amount of program related investment (2010-739) – July 31, 2011 – \$600,000
- (3) Purpose – The purpose of this non-interest bearing loan is to provide working capital to allow borrower to improve its business model and operations.
- (4) Amount Expended by Borrower – Based on the financial reports provided to the Foundation, the borrower has deployed all the loan proceeds received on July 31, 2011 as stated in the terms of the program related investment loan agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the Borrower, no part of the loan has been used for anything other than its intended purpose.
- (6) Date of Report from Borrower – September 4, 2020.
- (7) Borrower Verification - The Foundation has no reason to doubt the accuracy or reliability of the above reports, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Borrower  
  
Exalt Education  
1818 N Taylor Street, Suite B  
Little Rock, AR 72207
- (2) Date and Amount of program related investment (2010-738) – July 14, 2011 – \$300,000
- (3) Purpose – The purpose of this non-interest bearing loan is to provide working capital to allow the borrower to improve its business model and operations.
- (4) Amount Expended by Borrower – Based on the financial reports provided to the Foundation all loan proceeds have been used in accordance with the purpose of the loan as stated in the terms of the program related investment loan agreement. The Borrower has repaid all the amounts advanced.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the Borrower, no part of the loan has been used for anything other than its intended purpose.
- (6) Date of Report from Borrower – September 22, 2020.
- (7) Borrower Verification - The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Arkansans for Education Reform Foundation  
1401 West Capitol Avenue, Suite 260  
Little Rock, AR 72201-3438
- (2) Date and Amount of Grant (2016-831) – March 15, 2019 - \$350,000  
– October 25, 2019 - \$350,000
- (3) Purpose – The purpose of the grant is to provide support to Grantee to continue its work in Arkansas to uphold the four pillars of Arkansas education reform outlined by its board of directors.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$308,342 of the grant funds received on March 15, 2019 and none of the grant funds received on October 25, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d)

- (1) Grantee -  
  
Association Montessori Internationale  
Koninginneweg 161  
Amsterdam, The Netherlands 1075CN
- (2) Date and Amount of Grant (2015-1465) - October 13, 2016 - \$190,795
- (3) Purpose – The purpose of the grant is to develop a strategic plan and engage in early implementation of projects that will produce within five years, triple the number of AMI-prepared and supported adults working with families and children to achieve significant social impact in the United States.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$11,243 of the remaining (\$44,367) grant funds received on October 13, 2016, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Association Montessori Internationale  
Koninginneweg 161  
Amsterdam, The Netherlands 1075CN
- (2) Date and Amount of Grant (2016-2148) – March 15, 2018 - \$85,415
- (3) Purpose – The purpose of the grant is to support the recruitment and placement of an AMI Chief of Staff (COS).
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all the remaining grant funds (\$39,474) received on March 15, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 15, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53 4945-5(d):

- (1) Grantee -  
Association Montessori Internationale  
Koninginneweg 161  
Amsterdam, The Netherlands 1075CN
- (2) Date and Amount of Grant (2017-2105) – December 14, 2017 - \$93,566  
– December 6, 2018 - \$118,217
- (3) Purpose – The purpose of the grant is to create a long-term sustainable model for educating children in sympathy with the nomadic lifestyle of the Samburu community, with the goal of preserving the magnificent cultural and natural heritage of the Samburu people and lands.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$47,523 of the remaining (\$50,004) grant funds received on December 14, 2017 and none of the grant funds received on December 6, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Australis Aquaculture, LLC  
One Australia Way  
Turner Falls, MA 01376
- (2) Date and Amount of Grant (2017-2190) – February 21, 2019 - \$250,000
- (3) Purpose – The purpose of the grant is to support work to unlock all of the constraints to reliably reproduce and cultivate *Asparagopsis taxiformis* ('AT') at scale with a focus on solving the key challenges needed to initiate commercial scale production. The project also holds significant potential to enhance the sustainability of tropical marine aquaculture by providing an additional high value crop, which can be integrated with finfish cultivation while removing dissolved nutrients and sequestering CO<sub>2</sub>.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all the grant funds received on February 21, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 24, 2020
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Building Hope  
910 17<sup>th</sup> Street NW, Suite 1100  
Washington, D.C. 20006
- (2) Date and Amount of Grant (2019-236) – June 21, 2019 - \$220,000
- (3) Purpose – The purpose of the grant is to provide facilities technical assistance to Texas charter schools, assisting operators in San Antonio, Houston and across the state with facilities planning that will allow the charter sector to grow.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$94,577 of the grant funds received on June 21, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 12, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee -  
  
Camp War Eagle, Inc.  
P.O. Box 506  
Rogers, AR 72756
- (2) Date and Amount of Grant - Various 2019 - \$8,560,484
- (3) Purpose – The purpose of this grant is to provide Camp War Eagle, Inc. (“Camp War Eagle”) with funds to be used to operate a summer camp in Northwest Arkansas for the benefit of children of moderate to below moderate means. Camp War Eagle is a coeducational, non-denominational, multi-purposed activity; residential summer camp for children ages 7-17 of all ethnic and cultural backgrounds.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all the grant funds ( \$8,560,484) of grant funds received in 2019 in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee - Date of annual report was November 8, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation a letter stating that it has complied with the terms of the Foundation's grant through its most recent year-ending December 31, 2019. In addition, the grantee has provided the Foundation with a copy of its audited financial statements for the year-ended December 31, 2019. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B. LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d).

- (1) Grantee -  
Charter Facility Solutions  
1390 Lawrence Street, Suite 200  
Denver, CO 80204
- (2) Date and Amount of Grant (2017-505) – July 18, 2019 - \$100,000
- (3) Purpose – The purpose of the grant is to provide general operating support related to charter school facility-related lending in Denver, CO.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on July 18, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the Grantee, no part of the grant will be used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 20, 2020.
- (7) Grantor Verification - The Grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above reports, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee –  
  
Charter Fund, Inc. dba Charter School Growth Fund  
350 Interlocken Blvd., Suite 390  
Broomfield, CO 80021
- (2) Date and Amount of Grant (2014-812) – September 30, 2018 - \$1,482,000
- (3) Purpose – The purpose of the grant is to continue support of a six-year initiative to grow high-performing charter schools in Louisiana.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$200,440) received on September 30, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 30, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Charter Fund, Inc. dba Charter School Growth Fund  
350 Interlocken Blvd , Suite 390  
Broomfield, CO 80021
- (2) Date and Amount of Grant (2015-332) – December 28, 2018 - \$10,500,000  
– January 4, 2019 - \$3,475,000  
– December 20, 2019 - \$3,500,000
- (3) Purpose – The purpose of the grant is to support the creation and expansion of high-quality charter management organizations (CMOs) nationally and direct funds to qualified CSGF portfolio members to cover their school-level startup costs (WFF Startup Program).
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$8,070,911 of the remaining grant funds (\$8,145,109) received on December 28, 2018, all of the funds received on January 4, 2019, and \$1,750,000 of the funds received on December 20, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the Grantee, no part of the grant will be used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 30, 2020.
- (7) Grantor Verification - The Grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.



PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Charter Fund, Inc. dba Charter School Growth Fund  
10901 W. 120<sup>th</sup> Ave, Suite 450  
Broomfield, CO 80021
- (2) Date and Amount of Grant (2009-954) – December 8, 2015 - \$7,000,000
- (3) Purpose – The purpose of the grant is to support the creation and expansion of public charter school networks and management companies nationally.
- (4) Amount Expended by Grantee – Based on its annual report, the grantee has expended \$260,790 of the remaining grant funds (\$1,253,146) received on December 8, 2015 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the Grantee, no part of the grant will be used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 30, 2020.
- (7) Grantor Verification – The Grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Charter Fund, Inc. dba Charter School Growth Fund  
350 Interlocken Blvd., Suite 390  
Broomfield, CO 80021
- (2) Date and Amount of Grant (2012-182) – December 22, 2016 - \$7,125,000
- (3) Purpose – The purpose of the grant is to help establish the "CSGF Startup Fund," which Grantee will use to help its non-KIPP portfolio members cover school-level startup costs. With help from the Startup Fund, Grantee projects its non-KIPP portfolio members would open more than 150 high-performing schools with capacity to serve more than 60,000 students.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all the remaining grant funds received on December 22, 2016 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 30, 2020.
- (7) Grantor Verification - The Grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
  
Charter Fund, Inc. dba Charter School Growth Fund  
10901 W. 120<sup>th</sup> Ave, Suite 450  
Broomfield, CO 80021
- (2) Date and Amount of Grant (2016-1963) November 1, 2018 - \$1,500,000
- (3) Purpose – The purpose of the grant is to identify, develop, and train school leaders of color with proven educational track records who have a high potential for success in starting their own charter management organization.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$653,488 of the remaining grant funds (\$1,252,266) received on November 1, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 30, 2020.
- (7) Grantor Verification - The Grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Charter Fund, Inc dba Charter School Growth Fund  
10901 W. 120<sup>th</sup> Ave, Suite 450  
Broomfield, CO 80021
- (2) Date and Amount of Grant (2019-102) – July 8, 2019 - \$1,500,000
- (3) Purpose – The purpose of the grant is to support the second round of the Emerging Charter Management Organization Leaders Program (ECMO), providing funds for the final two years of the five-year fund.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$1,080,995 of the grant funds received on July 8, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the Grantee, no part of the grant will be used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 30, 2020.
- (7) Grantor Verification - The Grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above reports, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed loan.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Equitable Facilities Fund, Inc.  
(FKA – Charter Enhanced Facilities Fund)  
21 W 46<sup>th</sup> St, Suite 503  
New York, NY 10036
- (2) Date and Amount of Grant (2017-1474) – January 18, 2018 - \$2,582,690  
– October 18, 2018 - \$1,350,000  
– March 29, 2019 - \$2,000,000  
– October 18, 2019 - \$2,250,000
- (3) Purpose – The purpose of the grant is to support the first 28 months of operating expenses for a charter facilities Revolving Loan Fund.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$419,881) received on January 18, 2018, all of the grant funds received on October 18, 2018, \$1,788,148 of the grant funds received on March 29, 2019 and none of the grant funds received on October 18, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the Grantee, no part of the grant will be used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 3, 2020.
- (7) Grantor Verification - The Grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received public charity status as a Type I supporting organization subsequent to date of grant.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee -  
Coahoma Collective  
P.O. Box 2065  
Clarksdale, MS 38601
- (2) Date and Amount of Grant (2017-1123) – December 15, 2017 - \$382,000  
– June 5, 2018 - \$43,000
- (3) Purpose – The purpose of the grant is to support the start-up of an arts-driven, community-inclusive revitalization project in downtown Clarksdale, Mississippi.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining grant funds (\$21,785) received on December 15, 2017 and all of the grant funds received on June 5, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – January 20, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Fundación Terram  
Av. Bustamante 24 dpto I  
Santiago, Chile
- (2) Date and Amount of Grant (2017-343) – August 9, 2018 - \$100,000
- (3) Purpose – The purpose of this grant is to build the Grantee's capacities to engage in fisheries policy and management as well as conduct nonpartisan education and outreach on sustainable fisheries management to decision makers.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all the remaining (\$66,848) in grant funds received August 9, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 26, 2019.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
International Pole & Line Foundation  
1 London Street  
Reading, England RG1 4QW
- (2) Date and Amount of Grant (2017-756) – February 1, 2018 - \$250,000  
– November 21, 2018 - \$300,000  
– June 7, 2019 - \$250,000
- (3) Purpose – The purpose of this grant is to transform Indonesia's pole-and-line and handline tuna sector into an internationally recognized source of sustainable fish.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$14,999) grant funds received on February 1, 2018, all of the remaining (\$300,000) grant funds received on November 21, 2018, and all of the grant funds received on June 7, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – December 7, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received PC equivalency determination status subsequent to the receipt of the listed grant.



PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Luminary Learning Network  
1390 Lawrence St, Ste. #400  
Denver, CO 80204
- (2) Date and Amount of Grant (2016-1646) – July 20, 2017 - \$225,000
- (3) Purpose – The purpose of the grant is to support the development and launch of an innovation zone in Denver.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining grant funds (\$16,735) received on July 20, 2017, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 14, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d).

- (1) Grantee -  
  
Montessori Center of Washington  
826 N. Steele St.  
Tacoma, WA 98403
- (2) Date and Amount of Grant (2015-1734) - January 7, 2016 - \$22,256
- (3) Purpose - The purpose of the grant is to support phase I planning costs of John Wesley Academy, a Montessori learning center to open in fall 2016
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has not expended any of the remaining grant funds (\$1,900) received on January 7, 2016.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose
- (6) Date of Report from Grantee – April 29, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Project Gatehouse Endowment  
1110 Post Oak Place  
Westlake, TX 76262
- (2) Date and Amount of Grant (2013-1045) – October 24, 2013 - \$1,250,000  
– July 31, 2014 - \$1,250,000  
– September 3, 2015 - \$1,250,000  
– August 25, 2016 - \$1,250,000
- (3) Purpose – The purpose of the grant is to provide matching funds to support the Project Gatehouse Endowment (“Endowment”). The Endowment shall support the operations and programming of “The Gatehouse” living community for women and children in crisis.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has established various investment accounts which are directed by the Investment Committee of the Board of Directors to maintain the endowment funds in accordance with the terms of the endowment grant.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status - Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d)

- (1) Grantee -  
  
Pronatura Noroeste A.C.  
Calle Décima No. 60, (Esq Ryerson) Zona Centro Ensenada  
Baja California, Mexico CP 22800
- (2) Date and Amount of Grant (2017-664) – May 17, 2018 - \$250,000
- (3) Purpose – The purpose of the grant is to launch fishery improvement projects (FIPs) in at least four previously assessed coastal small-scale fisheries and conduct assessments for a minimum of five additional fisheries in Mexico.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all the remaining grant funds (\$116,820) received on May 17, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 14, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received PC equivalency determination status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Restauremos el Colorado  
Av. Costa Rica #273, Interior 1  
Mexicali, Baja California, Mexico 21200
- (2) Date and Amount of Grant (2017-1633) – December 6, 2018 - \$700,000  
– July 8, 2019 - \$349,780
- (3) Purpose – The purpose of the grant is to acquire and manage water rights for restoration projects throughout the Colorado River Delta, work with partner organizations to implement the Minute 323 agreement between Mexico and the U.S , continue development of capacity and governance for the Grantee and its water trust functions, and complete restoration of 155 acres at the El Chausse restoration site.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining grant funds (\$700,000) received on December 6, 2018, and all of the funds received on July 8, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 24, 2020.
- (7) Grantor Verification - The Grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received PC equivalency determination status subsequent to the receipt of the listed grant.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee -  
  
Sociedad Peruana de Derecho Ambiental  
Prolongación Arenales 437, San Isidro  
Lima, Peru
- (2) Date and Amount of Grant (2017-1478) – November 15, 2018 - \$349,996
- (3) Purpose – The purpose of the grant is to continue to provide the Foundation and its on-the-ground partners with the legal and policy information necessary to support sustainable management of Peru's fisheries.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$297,420) of the grant funds received on November 15, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – July 31, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received PC equivalency determination status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
State Parks and Recreation Foundation of Arkansas  
425 W. Capitol Ave., Suite 3800  
Little Rock, AR 72201
- (2) Date and Amount of Grant (2017-1002) – July 20, 2017 - \$155,000  
– April 19, 2019 - \$155,000
- (3) Purpose – The purpose of the grant is to launch the State Parks Foundation, a centralized funding agency that would support maintenance and other infrastructural elements related to the state’s 52-park system. This grant would provide critical seed funding to enable the State Parks Foundation to hire an Executive Director and pay operating expenses for years 1-3.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining grant funds (\$4,311) received on July 20, 2017 and all of the grant funds received on April 19, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 19, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee -  
  
The Century Foundation, Inc.  
1 Whitehall St., 15<sup>th</sup> Floor  
New York, NY 10004
- (2) Date and Amount of Grant (2017-134) – July 6, 2018 - \$250,000
- (3) Purpose – The purpose of the grant is to support the growth of the diverse charter school sector by directly engaging with school leaders, journalists, and policymakers to around the opening of new schools, expansion of existing schools, and creation of policies that support diverse charter growth
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$148,535) received on July 6, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.



PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee -  
  
The Sarara Institute  
1031 33<sup>rd</sup> Street  
Denver, CO 80207
- (2) Date and Amount of Grant (2017-2108) – December 15, 2017 - \$274,735  
– December 6, 2018 - \$103,488  
– December 13, 2019 - \$62,035
- (3) Purpose – The purpose of the grant is to create a long-term sustainable model for educating children in sympathy with the nomadic lifestyle of the Samburu community, with the goal of preserving the magnificent cultural and natural heritage of the Samburu people and lands.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$33,796) received on December 15, 2017 and all of the remaining grant funds (\$103,488) received on December 6, 2018, and all of the grant funds received on \$62,035, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 21, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
YouthForce NOLA  
1100 Poydras Street, Suite 1405  
New Orleans, LA 70130
- (2) Date and Amount of Grant (2016-769) – May 17, 2018 - \$300,000
- (3) Purpose – The purpose of this grant is to connect New Orleans charter high schools to the business community through work-based learning experiences and career and technical education programming, with the objective of preparing students for high-wage, high demand jobs based on local economic needs.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining grant funds (\$197,000) received on May 17, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 23, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
The Builders Initiative, Inc.  
1209 Orange Street  
Wilmington, DE 19801
- (2) Date and Amount of Grant (2017-1317) – August 18, 2017 - \$10,000,000
- (3) Purpose – The purpose of the grant is to provide an Endowment Grant to provide funding for the Grantee’s grant-making, mission-related investments and other programs in connection with the Grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has not expended any of the funds received August 18, 2017.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose
- (6) Date of Report from Grantee – September 3, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee – The Walton Family Foundation, Inc. owns an interest in the following entity as a program-related investment.  
  
Meloy Fund I GP, LLC  
1310 N. Courthouse Road, Suite 110  
Arlington, VA 22201
- (2) Dates of Investment – (2017-1242) August 3, 2017 - \$250,000  
December 6, 2017 - \$200,000  
April 26, 2019 - \$50,000  
September 25, 2019 - \$100,000
- (3) Purpose – the Foundation is making the Investment to support investments in enterprises in the Philippines, Indonesia and other countries as determined by the Fund that will compel the adoption of sustainable fisheries strategies in order to protect, preserve and sustain valuable marine ecosystems, combat ecologically harmful overfishing and promote an ecologically balanced and sustainable approach to fishery practices in order to protect and sustain the livelihood and well-being of fishers and vulnerable coastal communities in these areas (the “Charitable Purpose”).
- (4) Amount Expended by Grantee – The following investments in the amount of \$250,000 made August 3, 2017, \$200,000 made December 6, 2017, \$50,000 made April 26, 2019 and \$100,000 made September 25, 2019 continue to be utilized for the purpose of the program related investment as stated in (3) above.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the program-related investment has been used for anything other than its intended charitable purpose.
- (6) Date of Reports from Grantee – May 27, 2020.
- (7) Grantor Verification - The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee –  
Ohio Excels  
41 S. High Street, Suite 2240  
Columbus, Ohio 43215
- (2) Date and Amount of Grant (2018-1152) – December 13, 2018 - \$150,000  
– August 29, 2019 - \$150,000
- (3) Purpose – The purpose of the grant is to support the launch of Ohio Excels, a new statewide advocacy organization whose objective is to engage the Ohio business community in education policy.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on December 13, 2018 and \$6,533 of the grant funds received on August 29, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 14, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

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PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
BE Nola, Inc.  
9511 Chef Menteur Hwy., Suite 109  
New Orleans, LA 70127
- (2) Date and Amount of Grant (2018-741) – August 9, 2018 - \$224,341  
– July 8, 2019 - \$224,341
- (3) Purpose – The purpose of the grant is to advance the growth of high-quality schools by more effectively integrating Black community members towards the goal of increasing equity in schools and to support Black-led single-site schools and the redesign of a school through a community-driven model.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$176,003) received on August 9, 2018 and \$122,480 of the grant funds received on July 8, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 20, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
BE Nola, Inc.  
9511 Chef Menteur Hwy., Suite 109  
New Orleans, LA 70127
- (2) Date and Amount of Grant (100028) – August 8, 2018 - \$50,000
- (3) Purpose – The purpose of the grant is to support communication efforts and to strengthen the capacity of BE Nola, Inc. leadership.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the funds received on August 8, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 31, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Marine Change Limited  
2406 9 Queens Road  
Hong Kong, China
- (2) Date and Amount of Grant (2018-633) – September 27, 2018 - \$100,000  
– October 11, 2019 - \$50,000
- (3) Purpose – The purpose of the grant is to build a coordination platform for all organizations engaged in tuna fisheries management activities in Indonesia's archipelagic waters to engage in open information sharing, dialogue, and collaboration on tuna management and reform efforts; and to conduct trials to improve bait fish management on Indonesian pole and line fishing vessels.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$49,787) of the grant funds received on September 27, 2018 and \$12,537 of the grant funds received on October 11, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 4, 2020
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d)

- (1) Grantee -  
e.Republic, Inc.  
100 Blue Ravine Road  
Folsom, CA 95630
- (2) Date and Amount of Grant (2019-252) – May 31, 2019 - \$46,000
- (3) Purpose – The purpose of the grant is to host events and produce content to increase awareness of issues related to WFF's theory of change
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on May 31, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 21, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Equitable Institute (formerly Retirement Security Foundation)  
28-07 Jackson Avenue, 9<sup>th</sup> Floor  
Long Island City, NY 11101
- (2) Date and Amount of Grant (2018-25) – October 11, 2018 - \$449,750  
– February 7, 2019 - \$1,000,000  
– August 1, 2019 - \$1,850,250
- (3) Purpose – The purpose of the grant is to execute a strategy for policy change across three states that are ripe for pension reform, as well as to invest in cultivating six additional states for reform in the near future.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$384,288) received on October 11, 2018, all of the grant funds received on February 7, 2019, and \$551,463 of the grant funds received on August 1, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
World Resources Institute Indonesia  
Jalan Wijaya I No. 63  
South Jakarta, Indonesia, 12160
- (2) Date and Amount of Grant (2018-437) – June 21, 2018 - \$75,000  
– February 14, 2019 - \$75,000
- (3) Purpose – The purpose of the grant is to undertake a systematic economy-wide assessment and modeling exercise that identifies the Indonesian policies and investments required to achieve long-term sustainable fisheries; identify the socioeconomic impacts of such policies and investments; and bring together stakeholders from government, the private sector, the international community and civil society to advance the identified policies and investments
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (20,928) received on June 21, 2018 and all of the grant funds received February 14, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 21, 2019.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Sailors for the Sea Japan  
c/o Inter Continental Yokohama Grand  
1-1-1 Minato Mirai, Yokohama, Kanagawa, Japan
- (2) Date and Amount of Grant (2018-168) – June 13, 2019 - \$160,000
- (3) Purpose – The purpose of the grant is to build support for sustainable seafood in two influential categories, the business sector and Japanese society more broadly, in order to raise awareness around the importance of sustainable and traceable seafood.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on June 13, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 14, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
The Latina Circle  
745 Atlantic Avenue, Suite 800  
Boston MA 02111
- (2) Date and Amount of Grant (2018-1107) – December 13, 2018 - \$150,000  
– September 26, 2019 - \$100,000
- (3) Purpose – The purpose of the grant is to grow the Latina Circle’s Amplify Latinx-branded programming across the state of Massachusetts, expand its network of civically engaged Latinx leaders, and develop a sustainable coalition of partners serving the Latinx community in the state.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$115,442 of the remaining (\$146,800) grant funds received on December 13, 2018 and none of the grant funds received on September 26, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose
- (6) Date of Report from Grantee – April 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B. LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Alpha Phi Alpha Fraternity, Inc.  
2313 Saint Paul Street  
Baltimore, MD 21218
- (2) Date and Amount of Grant (2018-1038) – October 11, 2018 - \$10,000  
– October 11, 2019 - \$100,000
- (3) Purpose – The purpose of the grant is to support the Grantee's 95th General Convention with a focus on training and learning opportunities around K-12 education reform issues.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$4,290) received on October 11, 2018 and all of the grant funds received on October 11, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 5, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Farm Journal, Inc.  
1600 Market Street, Suite 1530  
Philadelphia, PA, 19103
- (2) Date and Amount of Grant (2018-1142) – November 15, 2018 - \$100,000
- (3) Purpose – The purpose of the grant is to improve the environmental performance of agriculture in central Illinois and, by extension, improve water quality in the Mississippi River and its tributaries. The grant will do this by educating farmers on the environmental and economic benefits of conservation practices through the Grantee's publications. This grant will also result in the Grantee developing a replicable model to use their existing print and social media networks to encourage farmers to seek support for practices that reduce nutrient runoff and prioritize cover crops in central Illinois. This model and the learning from developing it will then be shared with the Foundation and other grantees.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$66,668) of the grant funds received on November 15, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 3, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Pastorek Partners, LLC  
8913 Darby Lane  
River Ridge, LA 70123
- (2) Date and Amount of Grant (2018-254) – April 5, 2018 - \$25,000
- (3) Purpose – The purpose of the grant is to support transportation and other expenses for up to 30 individuals from WFF communities to travel to Puerto Rico in order to offer testimony and other forms of communications and messaging support for Puerto Rico’s K-12 charter and other public school reform efforts.
- (4) Amount Expended by Grantee –Grantee has returned the remaining funds (\$9,265), in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – November 11, 2019
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
American Soybean Association  
12125 Woodcrest Executive Drive, Suite 100  
St. Louis, MO 63141
- (2) Date and Amount of Grant (2018-1227) – November 21, 2018 - \$200,000
- (3) Purpose – The purpose of the grant is to support the development of an environmental sustainability program focused on improving water quality while more broadly encouraging adoption of farm conservation practices and building farmer champions for agricultural conservation.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$188,550) received on November 21, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 10, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Ag Technology and Environmental Stewardship Foundation, Inc.  
1255 SW Prairie Trail Pkwy  
Ankeny, IA 50023
- (2) Date and Amount of Grant (2018-1247) – November 15, 2018 - \$271,033  
– July 18, 2019 - \$133,967
- (3) Purpose – The purpose of the grant is to develop a model to dramatically advance watershed planning and implementation to improve water quality in Iowa.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$237,306) funds received on November 15, 2018 and all of the funds received on July 18, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
PRENDA, Inc.  
1646 N Chestnut Cir  
Mesa, AZ 85213
- (2) Date and Amount of Grant (2018-791) – August 24, 2018 - \$325,000
- (3) Purpose – The purpose of the grant is to support the start-up of a public charter school located in Mesa, AZ.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$274,220) received on August 24, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 1, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Mile High Strategies, LLC  
2525 15<sup>th</sup> Street, Suite 2C  
Denver, CO 80211
- (2) Date and Amount of Grant (2018-255) – April 12, 2018 - \$250,000  
– October 25, 2018 - \$250,000
- (3) Purpose – The purpose of the grant is to support States Pave the Way, a project that will produce a policy and implementation catalogue with innovative pathways to advance state education reform and related initiatives.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$4,121) of the grant funds received on April 12, 2018 and all of the remaining grant funds (\$250,000) received on October 25, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 7, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d).

- (1) Grantee -  
  
Aquaculture Stewardship Council  
27 old Gloucester Street  
London, UK WC1N 3AX
- (2) Date and Amount of Grant (2018-1348) – December 13, 2018 - \$300,000  
– December 6, 2019 - \$198,318
- (3) Purpose – The purpose of the grant is to develop aquaculture improvement project guidelines for Indonesian shrimp farms, implementing pilot projects, trainings on the guidelines and promoting certifications of sustainable shrimp farms
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$124,279 of the remaining grant funds (\$293,684) and none of the grant funds received on December 6, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Institute Deliverology Indonesia  
Menara Rajawali, Level 7 – 1  
Jl. DR Ide Anak Agung Gde Agung  
Jakarta Raya, Indonesia 12950
- (2) Date and Amount of Grant (2018-1072) – October 25, 2018 - \$125,000  
– May 3, 2019 - \$125,000
- (3) Purpose – The purpose of this grant is to support the Ministry of Marine Affairs and Fisheries Delivery Unit in finalizing and adopting the One Data, or Satu Data, Initiative and to ensure its implementation is fully transitioned to the Ministry's Center for Data, Statistics, and Information (Pusdatin).
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$52,543) received on October 25, 2018 and \$123,587 of the grant funds received on May 3, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
The Peel Compton Foundation  
312 N. Main Street  
Bentonville, AR 72712
- (2) Date and Amount of Grant (2018-44) – January 17, 2019 - \$94,170.59
- (3) Purpose – The purpose of the grant is to provide support for Peel Mansion, Peel Garden Operations, Professional Fees, the Peel Conference Center, and Compton Garden's Operations.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the grant funds received on January 17, 2019, in accordance with the terms of the grant agreements.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose
- (6) Date of Report from Grantee – July 27, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
  
PT Hatfield Indonesia  
LIPI Building 3<sup>rd</sup> Floor, Jl. Ir H Juanda No.18  
Bogor 16122, West Java, Indonesia
- (2) Date and Amount of Grant (2018-992) – August 9, 2018 - \$112,675  
– April 5, 2019 - \$112,675
- (3) Purpose – The purpose of this grant is to support a blended learning curriculum that will help shrimp smallholders learn about best practices towards sustainability and environmental and social improvements.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining grants funds (\$92,021) received on August 9, 2018, and \$13,722 of the grant funds received on April 5, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 5, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B. LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Association Montessori Internationale  
Konunginneweg 161  
Amsterdam, The Netherlands 1075CN
- (2) Date and Amount of Grant (2018-761) – June 8, 2018 - \$265,000  
– August 15, 2019 - \$453,000
- (3) Purpose – The purpose of the grant is to support AMI Global in capitalizing on the opportunities identified in the Bold Goal project, provide services to the Montessori community to enable access to affordable Montessori resources and to build up AMI's internal capacity to meet the demands of an increased network of schools, members and training.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$145,223 of the remaining grant funds (\$225,985) received on June 8, 2018 and none of the grant funds received on August 15, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
Asociación Mundo Azul  
Francisco del Castillo 506  
Lima, Miraflores, Peru 15047
- (2) Date and Amount of Grant (2019-440) – June 27, 2019 - \$34,924
- (3) Purpose – The purpose of the grant is to support the Regata Cabo Blanco and celebration of coastal fishing communities in Peru.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on June 27, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 19, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Causa Natura, A.C.  
Ave. Insurgentes 601, Oficina 14-115  
Colonia Nápoles  
Delegación Benito Juárez, Mexico C.P. 03810
- (2) Date and Amount of Grant (2018-256) – May 25, 2018 - \$175,000  
– June 13, 2019 - \$175,000
- (3) Purpose – The purpose of the grant is to increase transparency, accountability and public participation in fisheries management in Mexico.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$92,689) in grant funds received on May 25, 2018 and \$5,472 of the grant funds received on June 13, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 24, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d).

- (1) Grantee -  
  
The Atlantic Monthly Group, LLC  
600 New Hampshire Ave., NW  
Washington, DC 20037
- (2) Date and Amount of Grant (2019-64) – March 29, 2019 - \$400,000
- (3) Purpose – The purpose of the grant is to support the 2019 Aspen Ideas festival, in accordance with the grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on March 29, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – February 28, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Yayasan Masyarakat Dan Perikanan Indonesian (formerly Masyarakat dan Perikanan Indonesian)  
Istana Regency Blok S7, Jalan Bypass Ngurah Rai, Pesanggaran, 80223  
Bali, Indonesia
- (2) Date and Amount of Grant (2018-75) – March 29, 2018 - \$372,660  
– July 1, 2019 - \$372,660
- (3) Purpose – The purpose of the grant is to ensure that good data and data systems exist to sustainably manage small-scale tuna fisheries in Indonesia, and that this data informs policymaking in a way that is collaborative and inclusive of the needs of the small scale fisheries sector.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$128,392) in grant funds received March 29, 2018 and \$228,912 of the grant funds received on July 1, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received PC equivalency determination status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee -  
Mississippi Public School Consortium  
110 Commerce Loop  
Forest, MS 39074
- (2) Date and Amount of Grant (2018-450) – January 17, 2019 - \$175,687
- (3) Purpose – The purpose of the grant is to support the first two years of a three-year Advanced Placement pilot program.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$174,292 of the grant funds received on January 17, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 10, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d).

- (1) Grantee -  
  
Congressional Black Caucus Political Education and Leadership Institute  
413 New Jersey Ave, SE  
Washington, DC 20003
- (2) Date and Amount of Grant (2018-535) – October 25, 2018 - \$100,000  
– June 7, 2019 - \$280,000  
– October 11, 2019 - \$150,000
- (3) Purpose – The purpose of the grant is to expand the Grantee's Political Leadership Development Program.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$31,123) in grant funds received on October 25, 2018 and all of the grant funds received on June 17, 2019 and October 11, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – July 7, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Museum of Native American History, Inc.  
202 SW 0 St.  
Bentonville, AR 72712
- (2) Date and Amount of Grant (2018-1367) – February 7, 2019 - \$80,000
- (3) Purpose – The purpose of the grant is to support programming at the museum, located in Bentonville, Arkansas.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on February 7, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose
- (6) Date of Report from Grantee – January 20, 2020
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
The University of Amsterdam  
PO Box 15629 1001 NC  
Amsterdam, Netherlands
- (2) Date and Amount of Grant (2018-359) – March 29, 2018 - \$200,050
- (3) Purpose – The purpose of the grant is to support research by the Amsterdam Institute for Social Science Research (AISSR), to more deeply understand the phenomenon of "policy transfer" (or "policy learning") study tours of sustainable transportation from matured cycling environments to emerging cycling environments, and the tours' impact on both short-term project delivery and systemic, long-term institutional change.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$6,382 of the remaining grant funds (\$176,183) received on March 29, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 19, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d).

- (1) Grantee -  
  
Coahoma County Chamber of Commerce  
P.O. Box 160 1540 DeSoto Ave  
Clarksdale, MS 38614
- (2) Date and Amount of Grant (2018-280) – May 10, 2018 - \$25,000
- (3) Purpose – The purpose of the grant is to fund the 10-seat expansion of the community-based youth leadership and development program for ages 14-16 and additional operating funds for enhanced, technology-based program design and cultural immersion learning field lessons.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$3,418 of the grant funds received on May 10, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 24, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
Environmental Justice Foundation  
1 Amwell Street  
London, United Kingdom EC1R 1UL
- (2) Date and Amount of Grant (2018-1226) – December 13, 2018 - \$275,000
- (3) Purpose – The purpose of the grant is to support the work of a coalition of non-government organizations (NGOs) in the European Union (E.U.) to align E.U. import control requirements with the U.S. and Japan, and to transition the E.U. catch documentation system from paper to electronic.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$180,106 of the grant funds received on December 13, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 12, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Instituto de Fomento Pesquero  
Blanco 839 Valparaiso  
Region de Valparaiso, Chile
- (2) Date and Amount of Grant (2017-1974) – September 7, 2018 - \$75,000  
– April 5, 2019 - \$50,546
- (3) Purpose – The purpose of the grant is to improve fishery management plans (Planes de Manejos, or PdMs) for four benthic fisheries as tools for change toward sustainable fisheries – reducing the risk of failed implementation by enhancing the scientific knowledge used in management of each fishery; building capacity among stakeholders; and incorporating the ecosystem-based approach.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$46,098) in grant funds received on September 7, 2018, and all of the grant funds received April 5, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 27, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received PC equivalency determination status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
ARD, Inc. dba TetraTech ARD  
159 Bank Street, Suite 300  
Burlington, VT 05401
- (2) Date and Amount of Grant (2018-1164) – November 21, 2018 - \$100,000  
– March 1, 2019 - \$70,000  
– March 29, 2019 - \$70,000
- (3) Purpose – The purpose of the grant is to assess and design capacity-building efforts to improve the Indonesian Ministry of Marine Affairs and Fisheries (MMAF) fisheries stock assessment and vessel licensing and registration systems, two fundamental components of fisheries management.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$85,850) received on November 21, 2018 and all of the grant funds received on March 1, 2019. The grantee has also expended \$57,201 of the grant funds received on March 29, 2019 and returned the remaining (\$12,799) in grant funds, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 16, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
ARD, Inc. dba TetraTech ARD  
159 Bank Street, Suite 300  
Burlington, VT 05401
- (2) Date and Amount of Grant (2019-174) – June 27, 2019 - \$700,000
- (3) Purpose – The purpose of the grant is to advance the capacity of the Ministry of Marine Affairs and Fisheries and the National Commission for Fish Stock Assessment (Komisi Nasional Pengkajian Sumber Daya Ikan or Komnas Kajiskan) to improve stock assessment science and its links to management in support of sustainable fisheries in Indonesia.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$185,703 of the grant funds received on June 27, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 21, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Consejo Mexicano De Promocion De Los Productos Pesqueros Y Aquicolas A.C.  
Calle Jose Maria Coss Pte. Ext. 8 Int. 1  
Col. Ignacio Zaragoza Zitacuaro, Mexico CP 61516
- (2) Date and Amount of Grant (2018-587) – June 21, 2018 - \$213,000  
– March 15, 2019 - \$241,000
- (3) Purpose – The purpose of the grant is to execute the #PescaConFuturo campaign, designed to promote the consumption of sustainable Mexican seafood, and expand the campaign strategy to communicate the importance of the sector for social development, economic growth and the future health of Mexico's oceans.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$30,000) in grant funds received on June 21, 2018 and all of the grant funds received on March 15, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 31, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
We the People Public Schools  
7925 E. Ring St.  
Long Beach, CA 90808
- (2) Date and Amount of Grant (2018-796) – August 30, 2018 - \$375,000
- (3) Purpose – The purpose of the grant is to support We the People High School.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$135,200 of the remaining \$290,787 in grant funds received August 30, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose
- (6) Date of Report from Grantee – April 9, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Solidaridad Network Asia Limited  
Room 1318-20 Hollywood Plaza  
610 Nathan Road, Mong Kok  
Kowloon, Hong Kong, China
- (2) Date and Amount of Grant (2018-946) –September 27, 2018 - \$160,000  
–September 19, 2019 - \$55,000
- (3) Purpose – The purpose of the grant is to implement the 2nd Annual Aquaculture Innovation Challenge in Indonesia in 2018-2019 to promote environmental sustainability with a focus on the shrimp sector.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$102,574 of the remaining (109,422) in grant funds received on September 27, 2018 and none of the grant funds received on September 19, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 28, 2019.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
PT Hatfield Indonesia  
LIPi Building 3<sup>rd</sup> Floor, JL. Ir H. Juanda No. 18  
Bogor 16122, West Java, Indonesia, 16710
- (2) Date and Amount of Grant (2018-1341) – December 13, 2018 - \$149,500
- (3) Purpose – The purpose of this grant is to support the coordination of portfolio projects, organizing travel, organizing and facilitating three convenings of all aquaculture grantees for learning and information sharing, and developing an M&E framework for the grants portfolio
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining (\$145,937) in grant funds received on December 13, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 28, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B. LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
PT Hatfield Indonesia  
LIPI Building 3<sup>rd</sup> Floor, JL. Ir. H. Juanda No. 18  
Bogor 16122, West Java, Indonesia, 16710
- (2) Date and Amount of Grant (2019-188) – June 21, 2019 - \$40,150
- (3) Purpose – The purpose of this grant is to support the establishment of the secretariat and a series of foundational meetings for an Indonesian Tuna Management Institution.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended \$31,528 of the grant funds received on June 21, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 24, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
POLITICO  
1000 Wilson Blvd 8<sup>th</sup> Floor  
Arlington, VA 22209
- (2) Date and Amount of Grant (2018-330) – April 26, 2018 - \$200,000
- (3) Purpose – The purpose of the grant is to support the Playbook University event series.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining grant funds (\$189,000) received on April 26, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Fishery Networks Limited  
Blk 712 Pasir Ris St. 72, #15-47  
Singapore, Singapore 510712
- (2) Date and Amount of Grant (2018-1196) – November 21, 2018 - \$124,815  
– November 8, 2019 - \$124,814
- (3) Purpose – The purpose of the grant is to pilot and document the feasibility of developing a sustainable Asian moon scallop (*Amusium pleuronectes*) aquaculture system for Indonesia and to determine its commercial viability.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining (\$108,098) in grant funds received on November 21, 2018 and \$33,100 of the grant funds received on November 8, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 28, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Kitamba Management, Inc.  
300 Broadacres Drive, Suite 150  
Bloomfield, NJ 07003
- (2) Date and Amount of Grant (2018-773) – August 2, 2018 - \$174,000  
– March 22, 2019 - \$166,500
- (3) Purpose – The purpose of the grant is to build out a strategy to bridge the gap between K-12 and post-secondary systems (college and career).
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining (33,785) in grant funds received August 2, 2018, \$115,501 of the grant funds received on March 22, 2019 and returned (\$51,000) in remaining unused funds received on March 22, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 20, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
Forever Our Rivers Foundation  
601 Rambling Road  
Grand Junction, Colorado 81507
- (2) Date and Amount of Grant (2018-494) – May 17, 2018 - \$74,915
- (3) Purpose – The purpose of the grant is to develop an innovative, royalties-based income framework that supports river conservation and restoration.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$61,161) in grant funds received on May 17, 2018 in accordance with the terms of the grant agreement
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 28, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d)

- (1) Grantee -  
  
Harriet Tubman School of Science and Tech  
5616 Jamerson Drive  
Atlanta, GA 30349
- (2) Date and Amount of Grant (2018-693) – December 13, 2018 - \$325,000
- (3) Purpose – The purpose of the grant is to support the opening of the Harriet Tubman School of Science & Tech.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$325,000) received on December 13, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 23, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Promise54  
2414 herring Rd SW # 42967  
Atlanta, GA 30311
- (2) Date and Amount of Grant (2018-1146) – December 13, 2018 - \$300,000  
– August 8, 2019 - \$200,000
- (3) Purpose – The purpose of the grant is to support the academic performance of all students by ensuring the schools and organizations that support them are operationally sustainable, inclusive, and representative of the students they seek to serve.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$261,996) in grant funds received on December 13, 2018 and \$11,214 of the grant funds received on August 8, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 14, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
ReformED, Inc  
3445 Peachtree Road NE, Suite 175  
Atlanta, GA 30326
- (2) Date and Amount of Grant (2018-1156) – May 17, 2019 - \$30,000  
– October 11, 2019 - \$1,664.17
- (3) Purpose – The purpose of the grant is to develop concepts, support services, and outreach efforts in support of a variety of WFF grantees pursuing stronger policies related to charter schools, private school choice, pension reform, and student-based budgeting.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on May 17, 2019 and October 11, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 24, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Head and Heart Philanthropy  
5111 Telegraph, 146  
Oakland, CA 94609
- (2) Date and Amount of Grant (2018-1201) – December 6, 2018 - \$100,000  
– June 15, 2019 - \$55,000
- (3) Purpose – The purpose of the grant is to support a series of convenings that will serve to both cultivate African American funders and engage African American influencers in K-12 education
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$83,250) in grant funds received on December 6, 2018 and none of the grant funds received June 15, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 22, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
Ladies AllRide  
2285 NW Torrey Pines Dr.  
Bend, OR 97703
- (2) Date and Amount of Grant (2018-42) – February 8, 2018 - \$6,000
- (3) Purpose – The purpose of the grant is to promote accessibility to this year's Mountain Bike Camp that will take place in Bentonville, Arkansas, by providing scholarships to offset the cost of the female mountain bike camp.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$2,910) in grant funds received on February 8, 2018, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 17, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
  
Zea Mays Foundation  
14129 Carole Drive  
Bloomington, IL 61705
- (2) Date and Amount of Grant (2018-1144) – November 21, 2018 - \$150,000  
– November 22, 2019 - \$150,000
- (3) Purpose – The purpose of the grant is to continue the first-time user cover cropping programs and develop a pilot five-year transition program for farmers to scale up conservation practices, mainly cover crops, to their entire farm.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$150,000) in grant funds received on November 21, 2018 and \$81,090 of the grant funds received on November 22, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 31, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Fundación Geute Conservación Sur  
Independencia 050, Office 4  
Puerto Varas, Chile 5550000
- (2) Date and Amount of Grant (2018-1267) – December 6, 2018 - \$200,000  
– November 22, 2019 - \$195,000
- (3) Purpose – The purpose of the grant is to build capacity to improve fisheries management in Chile by identifying opportunities to strengthen the policies that govern fisheries management as well as to improve the agency charged with administering and enforcing existing fisheries sustainability programs.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$183,237 of the remaining funds (\$187,192) received on December 6, 2018 and none of the funds received on November 22, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 30, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Ag Technology and Environmental Stewardship Foundation, Inc.  
1255 SW Prairie Trail Pkwy.  
Ankeny, IA 50023
- (2) Date and Amount of Grant (2018-325) – August 2, 2018 - \$225,000
- (3) Purpose – The purpose of the grant is to support the development of a feasibility study exploring the potential for three Iowa cities to pay farmers to implement best management practices to improve water quality and reduce flooding for these communities.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$193,494) in grant funds received on August 2, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 6, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Ag Technology and Environmental Stewardship Foundation, Inc.  
1255 SW Prairie Trail Pkwy.  
Ankeny, IA 50023
- (2) Date and Amount of Grant (2019-603) – November 15, 2019 - \$500,000
- (3) Purpose – The purpose of the grant is to complete the structuring and establishment of the Iowa Soil and Water Infrastructure (Fund) and deploy a pilot project that will culminate in the close of a first “outcomes transaction” by year-end 2020.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$88,196 of the grant funds received on November 15, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 1, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Ag Technology and Environmental Stewardship Foundation, Inc.  
1255 SW Prairie Trail Pkwy.  
Ankeny, IA 50023
- (2) Date and Amount of Grant (2019-693) – December 6, 2019 - \$240,000
- (3) Purpose – The purpose of the grant is to help entrepreneurs launch businesses growing seed for cover crop and planting cover crops for farmers who do not have the equipment to do it themselves.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$492 of the grant funds received on December 6, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – February 28, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Level Field Charter Partners, LLC  
PO Box 76920  
Washington, DC 20013
- (2) Date and Amount of Grant (2018-830) – November 1, 2018 - \$75,000  
– February 21, 2019 - \$300,000
- (3) Purpose – The purpose of the grant is to provide high-quality technical assistance to charter school operators in the areas of facilities development and facilities financing.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$26,280) in grant funds received on November 1, 2018 and \$292,884 of the grant funds received on February 21, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Lincoln Institute of Land Policy  
11010 N. Tatum Boulevard, Suite D101  
Phoenix, AZ 85028
- (2) Date and Amount of Grant (2018-1260) – November 15, 2018 - \$37,500  
– March 7, 2019 - \$37,500
- (3) Purpose – The purpose of the grant is to support the Grantee's Babbitt Center to host the 2019 Journalists Forum in Phoenix, Arizona, which will highlight and explore pressing issues impacting the sustainability of the Colorado River.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the funds received on November 15, 2018 and all of the funds received on March 7, 2019 in accordance with the terms of the grant agreement
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 13, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Seafood Legacy Co., Ltd.  
#201 8-14-9 Ginza, Chuo-Ku  
Tokyo, Japan 104-0061
- (2) Date and Amount of Grant (2018-52) – March 29, 2018 - \$300,000  
– February 21, 2019 - \$350,000
- (3) Purpose – The purpose of the grant is to engage with various organizations in Japan regarding environmental sustainability of the oceans resources.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$134,812) grant funds received on March 29, 2018 and all of the grant funds received on February 21, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 25, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Catena Foundation  
P.O. Box 994  
Carbondale, CO 81623
- (2) Date and Amount of Grant (2018-505) – April 5, 2018 - \$10,000,000
- (3) Purpose – The purpose of the grant is to provide operational support for the Grantee’s grant-making, mission-related investments and other programs in connection with the Grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$6,828,912) received on April 5, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 11, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d)

- (1) Grantee -  
Catena Foundation  
P O. Box 994  
Carbondale, CO 81623
- (2) Date and Amount of Grant (2019-614) – September 20, 2019 - \$10,000,000
- (3) Purpose – The purpose of the grant is to provide operational support for the Grantee’s grant-making, mission-related investments and other programs in connection with the Grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on September 20, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 15, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
  
Grand View Group Consulting  
600 Cameron Street, Suite 309  
Alexandria, VA 22314
- (2) Date and Amount of Grant (2018-1257) – December 6, 2018 - \$87,500  
– February 7, 2019 - \$87,500
- (3) Purpose – The purpose of the grant is to more directly support on-the-ground agricultural infrastructure and practices needed to respond to ongoing drought conditions in the Colorado River Basin.
- (4) Amount Expended by Grantee – After repeated efforts, the grantee has not provided the Foundation with the required annual report.
- (5) Diversion – To the knowledge of the Foundation, no part of the funds has been diverted to a non-charitable use.
- (6) Date of Report from Grantee – N/A.
- (7) Grantor Verification – To date, the grantee has not provided the Foundation with the required reports. The Foundation will discontinue any future funding to this organization.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Ampersand Education, LLC  
100 Grant Ave, Suite 2202  
Oakland, CA 94612
- (2) Date and Amount of Grant (2018-1185) – March 22, 2019 - \$35,000
- (3) Purpose – The purpose of the grant is to provide strategic advice and facilitation support for the DC Charter Advocacy Coalition and to conduct a national private school supply landscape analysis.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received March 22, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 4, 2019.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified



PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Kitamba Management, Inc.  
300 Broadacres Drive, Suite 150  
Bloomfield, NJ 07003
- (2) Date and Amount of Grant (2018-675) – July 26, 2018 - \$350,000  
– February 21, 2019 - \$75,000
- (3) Purpose – The purpose of the grant is to accelerate the Texas Education Agency’s efforts to identify and support districts implementing the Texas System of Great Schools theory of action.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining (\$600) in grant funds received July 26, 2018 and all of the grant funds received on February 21, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – July 30, 2019.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Kitamba Management, Inc.  
300 Broadacres Drive, Suite 150  
Bloomfield, NJ 07003
- (2) Date and Amount of Grant (2019-28) – April 26, 2019 - \$130,000  
– November 22, 2019 - \$130,000
- (3) Purpose – The purpose of the grant is to support opportunities to grow smaller, high-performing charter networks in New York City.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the grant funds received on April 26, 2019 and November 22, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 7, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
International Center for Living Aquatic Resources Management  
Jalan Batu Maung, Batu Maung, 11960 Bayan Lepas  
Penang, Malaysia
- (2) Date and Amount of Grant (2017-2191) – January 18, 2018 - \$200,000
- (3) Purpose – The purpose of the grant is to support the exploration of mitigation strategies associated with revised policies and practices for reducing the environmental impact of the aquaculture industry and how these will affect the consequences of 'Indonesian Aquaculture Futures'; and to project the required suitable space for different scenarios that take improved production practices and policies into account to support meaningful coastal spatial planning for a more sustainable growth in aquaculture.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended \$66,179 of the remaining (\$69,425) grant funds received on January 18, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 31, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
The Builders Initiative, Inc.  
1209 Orange Street  
Wilmington, DE 19801
- (2) Date and Amount of Grant (2018-1374) – December 13, 2018 - \$1,000,000
- (3) Purpose – The purpose of the grant is to provide operational support for the Grantee’s grant-making, mission-related investments and other programs in connection with the Grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$696,696 of the remaining (\$1,000,000) grant funds received on December 13, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 3, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
The Builders Initiative, Inc.  
1209 Orange Street  
Wilmington, DE 19801
- (2) Date and Amount of Grant (2018-713) – June 5, 2018 - \$418,847
- (3) Purpose – The purpose of the grant is to provide operational support for the Grantee’s grant-making, mission-related investments and other programs in connection with the Grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining grant funds (\$418,847) received on June 5, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 25, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Costa Humboldt  
Canonigo Madariaga 570  
Nunoa, Santiago, Chile 7501467
- (2) Date and Amount of Grant (2018-483) – June 21, 2018 - \$169,898  
– May 31, 2019 - \$145,102
- (3) Purpose – The purpose of the grant is to create the first Marine and Coastal Areas for Indigenous People network, which will support sustainable management of nearshore fisheries.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended the remaining \$65,800 of the grant funds received June 21, 2018 and all of the grant funds received May 31, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Lincoln Institute of Land Policy  
11010 N. Tatum Boulevard, Suite D101  
Phoenix, AZ 85028
- (2) Date and Amount of Grant (2018-202) – April 12, 2018 - \$60,000  
– February 21, 2019 - \$30,000
- (3) Purpose – The purpose of the grant is to develop a shared agenda and build relationships between philanthropic interest and representatives of the 29 Colorado River basin tribes, who hold the rights to significant water resources within the Colorado River basin.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$27,689) grant funds received on April 12, 2018 and all of the grant funds received on February 21, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 13, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
Diversified Communications  
121 Free Street  
Portland, ME 04103
- (2) Date and Amount of Grant (2018-277) – February 21, 2019 - \$100,000
- (3) Purpose – The purpose of the grant is to host Seafood Summits and increase industry ownership of both the content and the financial support for the Summits.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on February 21, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 11, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Pontifica Universidad Catolica  
Alameda 340, Santiago, Chile
- (2) Date and Amount of Grant (2018-1268) – December 13, 2018 - \$200,000  
– November 22, 2019 - \$110,000
- (3) Purpose – The purpose of the grant is to support the Center of Applied Ecology and Sustainability to help advance scientific research of territorial use rights in fisheries systems in Chile and provide the government with an understanding of the drivers of illegal, unregulated and unreported IUU fishing.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$179,440 of the remaining grant funds (\$198,000) received on December 13, 2018 and none of the grant funds received on November 22, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 7, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Stitching Seafood Trade Intelligence Portal  
't Goylaan 85, 3525AA  
Utrecht, The Netherlands
- (2) Date and Amount of Grant (2018-1337) – December 13, 2018 - \$69,500
- (3) Purpose – The purpose of the grant is to use the leading international publication in aquaculture to highlight other Indonesian sustainable aquaculture projects.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the remaining (\$56,032) grant funds received on December 13, 2018 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 31, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
The Peel Compton Foundation  
312 N. Main Street  
Bentonville, AR 72712
- (2) Date and Amount of Grant (2018-215) – February 22, 2018 - \$114,000
- (3) Purpose – The purpose of the grant is to engage a consultant to support the transition of the Coler and Osage park properties into Grantee’s portfolio.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended \$3,560 of the remaining (\$10,509) grant funds received on February 22, 2018, grantee returned \$6,949 in unused funds, in accordance with the terms of the grant agreement
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – July 24, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee -  
  
The Peel Compton Foundation  
312 N. Main Street  
Bentonville, AR 72712
- (2) Date and Amount of Grant (2019-48) – Various items in 2019 - \$1,205,563
- (3) Purpose – The purpose of the grant is to support the 2019 Peel Mansion operations and repairs including the Mansion, the Garden, Garden operations, and the Conference Center, to further the Grantee’s philanthropic mission.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the grant funds received in 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
The Peel Compton Foundation  
312 N. Main Street  
Bentonville, AR 72712
- (2) Date and Amount of Grant (2019-720) – December 13, 2019 - \$55,000
- (3) Purpose – The purpose of the grant is to support improvement to and additional sidewalks on the Peel Mansion property.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the grant funds received December 13, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – July 24, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d)

- (1) Grantee -  
  
HATCH Accelerator Holding, Ltd.  
7/8 Liberty St.  
Cork, Ireland
- (2) Date and Amount of Grant (2018-1018) – August 13, 2018 - \$125,310  
– March 1, 2019 - \$60,000
- (3) Purpose – The purpose of the grant is to support the production of a shrimp farm technology supply chain report that could provide a first-time scan of challenges and technology solutions to shrimp farm management and supply chain challenges and pathways towards greater uptake of technology solutions.
- (4) Amount Expended by Grantee - Based on the annual report, the grantee has expended all of the remaining grant funds (\$46,210) received on August 13, 2018, and all of the grant funds received on March 1, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion - To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 24, 2019.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above reports; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Zoma Foundation.  
100 Jackson Street, Suite 202  
Denver, CO 80206
- (2) Date and Amount of Grant (2018-953) – October 19, 2018 - \$46,000,000
- (3) Purpose – The purpose of the grant is to provide Endowment support for the Grantee’s grant-making, mission-related investments and other programs in connection with the Grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has not expended any of the grant funds received October 19, 2018.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 15, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Carnegie Foundation for the Advancement of Teaching  
51 vista Lane  
Stanford, CA 94305
- (2) Date and Amount of Grant (100045) – August 22, 2019 - \$25,000
- (3) Purpose – The purpose of the grant is to support the 2020 Summit on Improvement in Education.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the funds received on August 22, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 18, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.



PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Lincoln Institute of Land Policy  
11010 N. Tatum Boulevard, Suite D101  
Phoenix, AZ 85028
- (2) Date and Amount of Grant (100012) – July 12, 2019 - \$105,000
- (3) Purpose – The purpose of the grant is to leverage the collective voice of the Ten Tribes Partnership to secure a more inclusive process for Colorado River management negotiations.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$9,200 of the grant funds received on July 12, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 13, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Ag Technology and environmental Stewardship Foundation, Inc.  
1255 SW Prairie Trail Pkwy  
Ankeny, IA 50023
- (2) Date and Amount of Grant (90064) – November 6, 2019 - \$465,000
- (3) Purpose – The purpose of the grant is to advance support for conservation advocacy and action in Iowa.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$21,066 of the grant funds received November 6, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 4, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Non-Operating Foundation.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Fundacion para la Promocion del Desarrollo Sostenable – Fundacion Terram  
Av. Bustamente 24 dtpo I  
Santiago, Santiago Metropolitan Region, 8320000 Chile
- (2) Date and Amount of Grant (90011) – December 13, 2019 - \$45,000
- (3) Purpose – The purpose of the grant is to improve fisheries recovery through strengthening the use of science for public fishery regulations in Chile.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$3,879 of the grant funds received December 13, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 13, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
GSV Summit, LLC  
875 N. Michigan Ave, Suite 3520  
Chicago, IL 60611
- (2) Date and Amount of Grant (100081) – October 11, 2019 - \$275,000
- (3) Purpose – The purpose of the grant is to support the 2020 ASU GSV Summit.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$250,000 of the grant funds received on October 11, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – July 17, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B. LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
San Antonio Communities Organized for Public Service  
1511 Saltillo Street  
San Antonio, TX 78207
- (2) Date and Amount of Grant (100087) – December 13, 2019 - \$50,000
- (3) Purpose – The purpose of the grant is to organize parents and families via authentic community engagement to increase parent agency around advocating for high-quality schools, including state and district authorized charter schools, and support education efforts that serve San Antonio parents' right to choose the best school option for their children.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the funds received on December 13, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – July 28, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
  
Results in Education Foundation  
511 Olde Town Road #548  
Rochester, MI 48308-9998
- (2) Date and Amount of Grant (100133) – November 6, 2019 - \$1,000,000
- (3) Purpose – The purpose of the grant is to build the capacity of and mobilize communications activists both regionally and nationally.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$253,451 of the grant funds received on November 6, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 12, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d).

- (1) Grantee -  
  
Results in Education Foundation  
P O. Box 498  
Oak Forest, IL 60452
- (2) Date and Amount of Grant (2019-164) – May 3, 2019 - \$50,000
- (3) Purpose – The purpose of the grant is to support the development of a multi-year strategic plan.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received May 3, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 13, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Private Operating Foundation.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Lyra  
1390 Lawrence Street, #400  
Denver, CO 80204
- (2) Date and Amount of Grant (100180) – November 15, 2019 - \$275,000
- (3) Purpose – The purpose of the grant is to improve education in Colorado by strengthening autonomous zone policy and funding in DPS, creating proof points for autonomous district schools and enabling structures in other parts of Colorado, and making a stronger path for autonomous zones through advocacy.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received November 15, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 14, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Kenzie Academy, Inc.  
47 South Meridian Street  
Indianapolis, IN 46204
- (2) Date and Amount of Grant (100196) – December 13, 2019 - \$150,000
- (3) Purpose – The purpose of the grant is to support a pilot initiative the Grantee will launch with Indianapolis Public Schools (IPS).
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$8,863 of the grant funds received December 13, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 21, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Center of Investigation and Public Policy  
1500 C/Antonsanti suite K  
Colaboratorio, Puerto Rico US 00912-3150
- (2) Date and Amount of Grant (100215) – November 15, 2019 - \$75,000
- (3) Purpose – The purpose of the grant is to conduct a comparative school quality study across 10 public schools in Puerto Rico
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has not expended any of the funds received on November 15, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 26, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
Kitamba Management, Inc.  
300 Broadacres Drive, Suite 150  
Bloomfield, NJ 7003
- (2) Date and Amount of Grant (101348) – November 22, 2019 - \$75,000
- (3) Purpose – The purpose of the grant is to identify opportunities to expand Pharr San Juan Alamo independent School district's (PSJA) innovative, high impact programs for students with special needs to other districts across the state of Texas.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$25,000 of the grant funds received on November 22, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 25, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Centro Pesca Sostenible  
Irrazabal 2401, Office 908  
Santiago, 8320000, Chile
- (2) Date and Amount of Grant (101411) – November 22, 2019 - \$100,000
- (3) Purpose – The purpose of the grant is to improve the management of Patagonian Octopus, Southern Stone Crab and Kelp Fisheries in Chile.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$18,462 of the grant funds received November 22, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 15, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Women in the World Media LLC  
1040 First Avenue 3378  
New York, NY 10022
- (2) Date and Amount of Grant (101490) – December 13, 2019 - \$300,000
- (3) Purpose – The purpose of the grant is to support the 2020 Women in the World Summit in connection with the Grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the funds received on December 13, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 2, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Communications, Inc. Limited  
23 Kingsley Road  
London, UK NW6 7RH
- (2) Date and Amount of Grant (101600) – November 8, 2019 - \$40,000
- (3) Purpose – The purpose of the grant is to support the training of journalists to report on ocean and climate in preparation for COP25 in Chile
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$4,020 of the grant funds received November 8, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – February 22, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
SXSU, LLC  
400 Bowie St  
Austin, TX 78703
- (2) Date and Amount of Grant (2019-46) – March 7, 2019 - \$55,000
- (3) Purpose – The purpose of the grant is to celebrate the convergence of the interactive, film, and music industries, in connection with the Grantee’s charitable mission.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on March 7, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – February 10, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
SXSU, LLC  
400 Bowie St.  
Austin, TX 78703
- (2) Date and Amount of Grant (101654) – December 13, 2019 - \$54,000
- (3) Purpose – The purpose of the grant is to support the Climate Track at SXSU 2020 in Austin, TX.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has not expended any of the grant funds received December 13, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – February 20, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
ProDelphinus  
Jose Galvez 780E  
Miraflores, Lima Peru
- (2) Date and Amount of Grant (2019-107) – September 12, 2019 - \$200,000
- (3) Purpose – The purpose of the grant is to support the efforts in improving catch management of industrial anchoveta fleets, working with the fishing communities in San Jose, Chiclayo to improve management of their fisheries; and promote networking among fishers to support their efforts in building capacity as well as gathering and sharing data.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$36,418 of the grant funds received September 12, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 11, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Our Daily Media LLC  
1415 North Taft Street #885  
Arlington, VA 22201
- (2) Date and Amount of Grant (2019-134) – August 8, 2019 - \$43,750
- (3) Purpose – The purpose of the grant is to educate the public by highlighting the work and accomplishments of the Walton Family Foundation’s Ocean Program and all its grantees.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$19,661 of the grant funds received on August 8, 2019, in accordance with the terms of the grant agreement
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 14, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
  
Amplify Education, Inc.  
55 Washington Street, Suite 800  
Brooklyn, NY 11201
- (2) Date and Amount of Grant (2019-148) – June 13, 2019 - \$113,037  
– December 6, 2019 - \$55,000
- (3) Purpose – The purpose of the grant is to support the planning and development of a proposed flexible assessment system designed to better meet the needs of teachers, students and families.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$31,324 of the grant funds received on June 13, 2019 and none of the funds received on December 6, 2019 in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose
- (6) Date of Report from Grantee – April 17, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53 4945-5(d):

- (1) Grantee -  
  
Special X, LLC  
3116 Federal Ave.  
Los Angeles, CA 90066
- (2) Date and Amount of Grant (2019-207) – December 6, 2019 - \$350,000
- (3) Purpose – The purpose of the grant is to support low-income families in navigating special education and related services for their children with disabilities through a pilot expansion in Los Angeles and Washington, DC.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has not expended any of the funds received on December 6, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 26, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Agile Learner Fund  
1390 Lawrence St., Suite 200  
Denver, CO 80204
- (2) Date and Amount of Grant (2019-231) – October 4, 2019 - \$1,200,000
- (3) Purpose – The purpose of the grant is to promote and implement new career and workforce pathways across the state.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all the grant funds received on October 4, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 15, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
REDES – SOSTENIBILIDAD PESQUERA  
Las Amapolas Mz. F, Lote 22, Dpto. 401,  
Urb. Santa Maria del Pinar, 2 Etapa, Distito de Piura-Piura, Peru 20000
- (2) Date and Amount of Grant (2019-319) – October 4, 2019 - \$300,000
- (3) Purpose – The purpose of the grant is to develop a domestic buyer engagement program and support the community of Isla Lobos de Afuera (ILA) in strengthening the management of their benthic resources.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$21,789 of the grant funds received October 4, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 28, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Stiftelsen Stockholm International Water Institute  
Linnegatan 87A, 100 55  
Stockholm, Sweden
- (2) Date and Amount of Grant (2019-338) – June 13, 2019 - \$40,000
- (3) Purpose – The purpose of the grant is to support of the foundation’s participation in World Water Week an international forum for expert discussion and exchange of knowledge around governance and management of water resources.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received June 13, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – May 13, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
Longline Environmental Ltd  
88 Wood St.  
London, England EC2V 7RS
- (2) Date and Amount of Grant (2019-450) – June 21, 2019 - \$300,000
- (3) Purpose – The purpose of the grant is to support AquaScape Carrying Capacity and Aquaculture insurance Guidelines.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$227,583 of the grant funds received June 21, 2019, in accordance with the terms of the grant agreement
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – June 15, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
  
Consejo Mexicano De Promocion De Los Productos Pesqueros Y Aquicolas A.C.  
Calle Jose Maria Coss Pte. Ext. 8 Int. 1  
Col. Ignacio Zaragoza Zitacuaro, Mexico CP 61516
- (2) Date and Amount of Grant (2019-473) – August 8, 2019 - \$242,500
- (3) Purpose – The purpose of the grant is to expand the #PescaConFuturo campaign to Chile and Peru, and to expand the strategy to communicate the importance of the sector for social development, economic growth and the future health of the oceans
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$204,200 of the grant funds received on August 8, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 23, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d)

- (1) Grantee -  
  
The Arena, Inc  
220 Montgomery St, Suite 433  
San Francisco, CA 94104
- (2) Date and Amount of Grant (2019-544) – August 29, 2019 - \$325,000
- (3) Purpose – The purpose of the grant is to provide support for the launch of Arena, a private school in San Francisco
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$76,500 of the grant funds received August 29, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 19, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec 53.4945-5(d):

- (1) Grantee -  
  
Red Bridge Education  
2265 Third St.  
San Francisco, CA 94107
- (2) Date and Amount of Grant (2019-546) – August 23, 2019 - \$375,000
- (3) Purpose – The purpose of the grant is to provide support for the launch of Red Bridge, a school in San Francisco, CA.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$214,328 of the funds received on August 23, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 24, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Blueyou Consulting LTD  
Binzstrasse 23  
Surich, Switzerland 8045
- (2) Date and Amount of Grant (2019-6) – April 26, 2019 - \$200,000
- (3) Purpose – The purpose of the grant is to pilot a program called Impact Blue in Mexico.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$150,000 of the funds received on April 26, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 12, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B: LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
All Bikes Welcome  
I E Center St, Ste 330  
Fayetteville, AR 72701
- (2) Date and Amount of Grant (2019-633) – October 18, 2019 - \$25,000
- (3) Purpose – The purpose of the grant is to support the launch of the inaugural Grit MTB festival-a three day gathering at Mt. Kessler in Fayetteville where women will ride, camp, share meals, and learn through skill development sessions.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on October 18, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – February 24, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
NWA Gives Organization  
2894 McKee Circle, Ste 112  
Fayetteville, AR 72703
- (2) Date and Amount of Grant (2019-727) – December 13, 2019 - \$50,000
- (3) Purpose – The purpose of the grant is to develop additional communications outreach and training to promote the third annual day of giving in Northwest Arkansas, scheduled for April 2, 2020.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has not expended any of the grant funds received December 13, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 14, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Atlantic Salmon Trust  
11 Rutland Square  
Edinburgh, EH1 2AS, UK
- (2) *Date and Amount of Grant (2019-75) – March 15, 2019 - \$50,000*
- (3) Purpose – The purpose of the grant is to provide support to the Likely Suspects framework, an initiative to understand and identify solutions to the decline of salmon.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received March 15, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – August 5, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Ocean Strategies  
1122 E Pike St., Suite 761  
Seattle, WA 98122
- (2) Date and Amount of Grant (2019-388) – August 22, 2019 - \$92,875
- (3) Purpose – The purpose of the grant is to work with key actors in the seafood industries improving the educational opportunities for industry officials related to the importance of ocean conservation - in particular the economic and ecological importance of sustainable fisheries.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$57,450 of the grant funds received August 22, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 20, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report, therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.



PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Stockholm Resilience Centre  
Stockholm University Kraftriket 2B  
Stockholm, Sweden 10609
- (2) Date and Amount of Grant (2018-1371) – March 22, 2019 - \$300,000
- (3) Purpose – The purpose of the grant is to support the creation of the SeaBOS Secretariat as an independent non-profit organization; develop a robust reporting framework to track progress against commitments made at the Soneva Dialogue in November of 2016; provide scientific support to the secretariat to help inform strategies to meet commitments and identify emerging issues in the field; and finally, to complete research with the intent of publication in a peer-reviewed journal on the role of multinational corporations in governance reform and the benefits and risks of large companies working pre-competitively.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$246,182 of the grant funds received March 22, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 12, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Collegiate Edu-Nation  
2017 Mc Bee St.  
Austin, TX 78723
- (2) Date and Amount of Grant (2018-1313) – February 7, 2019 - \$50,000
- (3) Purpose – The purpose of the grant is to scale the Roscoe Collegiate P-20 model to other districts across the state of Texas.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received on February 7, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – April 2, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Received confirmation of public charity status subsequent to the receipt of the listed grant.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
  
Fundacion Chile  
Parque Antonio Rabat Sur, 6165  
Vitacura, Santiago, Chile 10000
- (2) Date and Amount of Grant (2018-1304) – April 12, 2019 - \$300,000
- (3) Purpose – The purpose of the grant is to scale sustainable management of small-scale fisheries throughout Chile, issuing mid-sized sub-grants in support of up to six projects.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$82,240 of the grant funds received April 12, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – March 9, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B, LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

**WALTON FAMILY FOUNDATION, INC.**  
**FORM 990-PF**  
**13-3441466**  
**DECEMBER 31, 2019**

**ATTACHMENT 174**

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- (1) Grantee -  
  
Jones Trust  
922 E. Emma Ave  
Springdale, AR 72764
- (2) Date and Amount of Grant (2018-823) – February 7, 2019 - \$2,068.18
- (3) Purpose – The purpose of the grant is to support a feasibility study for the Center for Food and Agriculture.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended all of the grant funds received February 7, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – February 12, 2019.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d).

- (1) Grantee -  
  
ISEAL ALLIANCE  
The Green House, 244-254  
Cambridge Heath Road  
London, UK –E2 9DA
- (2) Date and Amount of Grant (2019-39) – June 13, 2019 - \$150,000
- (3) Purpose – The purpose of the grant is to develop tools for eco-labels to better implement and work with improvement strategies that help build a pathway to certification (i.e , through fishery improvement projects).
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has expended \$46,610 of the grant funds received June 13, 2019, in accordance with the terms of the grant agreement.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – September 19, 2020.
- (7) Grantor Verification - The grantee has provided the Foundation with the required report. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.

PART VII-B; LINE 5(c) - EXPENDITURE RESPONSIBILITY

Statement Pursuant to Reg. Sec. 53.4945-5(d):

- (1) Grantee -  
Mississippi Public School Consortium  
110 Commerce Loop  
Forest, MS 39074
- (2) Date and Amount of Grant (2019-665) – November 22, 2019 - \$215,000
- (3) Purpose – The purpose of the grant is to provide Advance Placement access and programming to students in rural Mississippi Delta communities.
- (4) Amount Expended by Grantee – Based on the annual report, the grantee has not expended any of the grant funds received November 22, 2019.
- (5) Diversion – To the knowledge of the Foundation, and based on the information furnished by the grantee, no part of the grant has been used for anything other than its intended purpose.
- (6) Date of Report from Grantee – October 22, 2020
- (7) Grantor Verification - The grantee has provided the Foundation with the required reports. The Foundation has no reason to doubt the accuracy or reliability of the above report; therefore, further verification by the Foundation was deemed unnecessary.
- (8) Foundation Status – Not Classified.