

EXTENDED TO NOVEMBER 15, 2018

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2017 or tax year beginning

, and ending

Name of foundation
THE OAK FOUNDATION, U.S.A.
C/O R. FARR PRICEWATERHOUSECOOPERS LLP

Number and street (or P.O. box number if mail is not delivered to street address)
THREE EMBARCADERO CENTER

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
SAN FRANCISCO, CA 94111

A Employer identification number
13-3321196

B Telephone number
4154985000

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:
☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 60,658,161.

J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	31,828,369.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	281,096.	281,313.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-14,405,280.			
b	Gross sales price for all assets on line 6a	1,958,279.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	2,145.	7,455.	0.	STATEMENT 2
12	Total Add lines 1 through 11	17,706,330.	288,768.	0.	
13	Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
14	Other employee salaries and wages	1,017,755.	0.	0.	1,017,755.
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees STMT 3	101,788.	105,123.	0.	0.
17	Interest				
18	Taxes				
19	Depreciation and depletion	37,647.	0.	0.	
20	Occupancy	122,906.	0.	0.	122,906.
21	Travel, conferences, and meetings	120,995.	0.	0.	120,995.
22	Printing and publications				
23	Other expenses STMT 4	191,138.	1,325.	0.	189,813.
24	Total operating and administrative expenses. Add lines 13 through 23	1,592,229.	106,448.	0.	1,451,469.
25	Contributions, gifts, grants paid	6,078,670.			6,078,670.
26	Total expenses and disbursements. Add lines 24 and 25	7,670,899.	106,448.	0.	7,530,139.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	10,035,431.			
b	Net investment income (if negative, enter -0-)		182,320.		
c	Adjusted net income (if negative, enter -0-)			0.	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			33,943,480.	60,390,491.	60,390,491.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			31,543.	44,160.	44,160.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock			1,470,771.	0.	0.
	c Investments - corporate bonds					
Liabilities	11 Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other	STMT 5		216,529.	178,956.	178,956.
	14 Land, buildings, and equipment basis ▶	232,600.				
	Less: accumulated depreciation ▶	188,046.		74,079.	44,554.	44,554.
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			35,736,402.	60,658,161.	60,658,161.
	17 Accounts payable and accrued expenses			31,893.	63,006.	
	18 Grants payable			75,000.	75,000.	
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			106,893.	138,006.	
Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			35,629,509.	60,520,155.	
30 Total net assets or fund balances			35,629,509.	60,520,155.		
31 Total liabilities and net assets/fund balances			35,736,402.	60,658,161.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,629,509.
2 Enter amount from Part I, line 27a	2	10,035,431.
3 Other increases not included in line 2 (itemize) ▶ <u>UNREALIZED GAINS FROM INVESTMENTS</u>	3	14,855,215.
4 Add lines 1, 2, and 3	4	60,520,155.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	60,520,155.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PASS-THROUGH INVESTMENTS	P		12/31/17
b PASS-THROUGH INVESTMENTS	P		11/17/17
c PUBLICALLY TRADED SECURITIES	P		11/29/17
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 14,038.			14,038.
b 56,919.		56,919.	0.
c 1,901,360.		15,140,796.	-13,239,436.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			14,038.
b			0.
c			-13,239,436.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-13,225,398.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	10,862,459.	26,167,232.	.415117
2015	23,476,653.	34,939,322.	.671926
2014	28,276,741.	55,776,816.	.506962
2013	37,480,304.	80,927,974.	.463132
2012	48,329,422.	117,877,336.	.409998

2 Total of line 1, column (d)	2	2.467135
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.493427
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	41,034,714.
5 Multiply line 4 by line 3	5	20,247,636.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,823.
7 Add lines 5 and 6	7	20,249,459.
8 Enter qualifying distributions from Part XII, line 4	8	7,530,139.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments.

a 2017 estimated tax payments and 2016 overpayment credited to 2017

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be. Credited to 2018 estimated tax

4,255. | Refunded

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions.

ME, NC

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.OAKFND.ORG</u>	X	
14 The books are in care of ► <u>ROBERT D FARR</u> Telephone no. ► <u>415-498-5000</u> Located at ► <u>C/O PWC LLP, THREE EMBARCADERO CENTER, SAN FRANCISCO</u> ZIP+4 ► <u>94111</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► <u>UNITED KINGDOM</u>	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
 (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
 (3) Provide a grant to an individual for travel, study, or other similar purposes?
 (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
 (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No
☐ Yes ☒ No
☐ Yes ☒ No
☐ Yes ☒ No
☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
 Organizations relying on a current notice regarding disaster assistance, check here

N/A
☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STACY PARKER-FISHER - C/O PRICEWATERHOUSECOOPERS THREE	PROGRAM DIRECTOR 40.00	152,728.	24,000.	0.
ANNE HENSHAW - C/O PRICEWATERHOUSECOOPERS THREE	PROGRAM OFFICER 40.00	131,240.	12,000.	0.
DANA BRINSON - C/O PRICEWATERHOUSECOOPERS THREE	PROGRAM OFFICER 40.00	92,724.	9,272.	0.
KAREN PHAIR - C/O PRICEWATERHOUSECOOPERS THREE	PROGRAM ASSISTANT 40.00	83,357.	16,700.	0.
MILLIE BROBSTON - C/O PRICEWATERHOUSECOOPERS THREE	PROGRAM OFFICER 40.00	89,311.	0.	0.
Total number of other employees paid over \$50,000			0	

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
	0.

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,455,152.
b	Average of monthly cash balances	1b	39,984,513.
c	Fair market value of all other assets	1c	219,943.
d	Total (add lines 1a, b, and c)	1d	41,659,608.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	41,659,608.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	624,894.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	41,034,714.
6	Minimum investment return. Enter 5% of line 5	6	2,051,736.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,051,736.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	3,646.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,646.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,048,090.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,048,090.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,048,090.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,530,139.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,530,139.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,530,139.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2017)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				2,048,090.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	41,772,701.			
b From 2013	33,579,549.			
c From 2014	26,381,806.			
d From 2015	21,548,593.			
e From 2016	9,554,097.			
f Total of lines 3a through e	132,836,746.			
4 Qualifying distributions for 2017 from Part XII, line 4. ► \$ 7,530,139.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				2,048,090.
e Remaining amount distributed out of corpus	5,482,049.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	138,318,795.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	41,772,701.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	96,546,094.			
10 Analysis of line 9:				
a Excess from 2013	33,579,549.			
b Excess from 2014	26,381,806.			
c Excess from 2015	21,548,593.			
d Excess from 2016	9,554,097.			
e Excess from 2017	5,482,049.			

THE OAK FOUNDATION, U.S.A.

Form 990-PF (2017)

C/O R. FARR PRICEWATERHOUSECOOPERS LLP

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

HEATHER GRAHAM, 919-951-0585

55 VILCOM CENTER DRIVE, SUITE 340, CHAPEL HILL, NC 27514

b The form in which applications should be submitted and information and materials they should include:

ATTACHMENT 9

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ATTACHMENT 9

THE OAK FOUNDATION, U.S.A.

Form 990-PF (2017)

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
STATEMENT 7				6,078,670.
Total			3a	6,078,670.
b Approved for future payment				
STATEMENT 8				2,871,618.
Total			3b	2,871,618.

Form 990-PF (2017)

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
---------------	---

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Name of the organization

THE OAK FOUNDATION, U.S.A.
C/O R. FARR PRICEWATERHOUSECOOPERS LLP

Employer identification number

13-3321196

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization

THE OAK FOUNDATION, U.S.A.

C/O R. FARR PRICEWATERHOUSECOOPERS LLP

Employer identification number

13-3321196

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	OAK FIDUCIARY SERVICES LTD AS TRUSTEE TO OAK TRUST JERSEY LA MOTTE CHAMBERS, ST. HELIER, JERSEY	\$ 1,460,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	FOREST TRUST C/O ROBERT FARR, 3 EMBARCAEDERO CENTER 20TH FL PRICEWATERHOUSECOOPERS. LLP SAN FRANCISCO, CA 94111	\$ 10,541,174.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	VIKING TRUST C/O ROBERT FARR, 3 EMBARCAEDERO CENTER 20TH FL PRICEWATERHOUSECOOPERS. LLP SAN FRANCISCO, CA 94111	\$ 19,827,195.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Employer identification number

THE OAK FOUNDATION, U.S.A.

C/O R. FARR PRICEWATERHOUSECOOPERS LLP

13-3321196

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization THE OAK FOUNDATION, U.S.A. C/O R. FARR PRICEWATERHOUSECOOPERS LLP	Employer identification number 13-3321196
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
PASS-THROUGH INVESTMENTS	PURCHASED		11/17/17	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
56,919.	1,222,763.	0.	0.	-1,165,844.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
PUBLICALLY TRADED SECURITIES			11/29/17	
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,901,360.	15,140,796.	0.	0.	-13,239,436.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	-14,405,280.

FORM 990-PF

OTHER INCOME

STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INCOME FROM PASS THROUGH ACTIVITIES	0.	5,310.	0.
INCOME FROM FOREIGN CURRENCY TRANSACTIONS	2,145.	2,145.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	2,145.	7,455.	0.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT 3	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FROM PASS-THRU ENTITIES	0.	3,335.	0.	0.
PROFESSIONAL FEES	88,134.	88,134.	0.	0.
OTHER PROFESSIONAL FEES	13,654.	13,654.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	101,788.	105,123.	0.	0.

FORM 990-PF	OTHER EXPENSES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
POSTAGE & TELEPHONE	10,866.	0.	0.	10,866.
COMPUTER RELATED	10,592.	0.	0.	10,592.
GRANT MONITORING AND EVALUATION	132,865.	0.	0.	132,865.
GENERAL AND ADMINISTRATION	9,604.	0.	0.	9,604.
SUPPLIES AND MISC	25,886.	0.	0.	25,886.
BANKING FEES	1,325.	1,325.	0.	0.
TO FORM 990-PF, PG 1, LN 23	191,138.	1,325.	0.	189,813.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 5	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
TERRA FIRMA DEUTSCHE ANNINGTON	COST	178,956.	178,956.	
TOTAL TO FORM 990-PF, PART II, LINE 13		178,956.	178,956.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 6

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CAROLINE TURNER PRICewaterhouseCOOPERS LLP THREE EMBARCADERO CENTER SAN FRANCISCO, CA 94111	PRESIDENT/TREASURER/TRUSTEE 1.00	0.	0.	0.
HEATHER GRAHAM PRICewaterhouseCOOPERS LLP THREE EMBARCADERO CENTER SAN FRANCISCO, CA 94111	VICE PRESIDENT 1.00	0.	0.	0.
JAMES ZHA PRICewaterhouseCOOPERS LLP THREE EMBARCADERO CENTER SAN FRANCISCO, CA 94111	SECRETARY 1.00	0.	0.	0.
KRISTIAN PARKER PRICewaterhouseCOOPERS LLP THREE EMBARCADERO CENTER SAN FRANCISCO, CA 94111	TRUSTEE 1.00	0.	0.	0.
NATALIE PARKER PRICewaterhouseCOOPERS LLP THREE EMBARCADERO CENTER SAN FRANCISCO, CA 94111	TRUSTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

ORGANIZATION NAME	ORGANIZATION ADDRESS	CITY	STATE	ZIP	FOUNDATION STATUS	GRANT PURPOSE	GRANT AMOUNT
BIG BROTHERS BIG SISTERS OF AMERICA	2502 N ROCKY POINT DRIVE, SUITE 550	TAMPA	FL	33607	PC	ENHANCING CHILD SAFETY	54,045
BOOK HARVEST	2501 UNIVERSITY DRIVE	DURHAM	NC	27707	PC	CORE SUPPORT	100,000
CARE USA	151 ELLIS STREET, NE	ATLANTA	GA	30303	PC	ENHANCING COMMUNITY PARTICIPATION IN LOCAL GOVERNANCE IN SRI LANKA	437,200
CARNEGIE MELLON UNIVERSITY	5000 FORBES AVE	PITTSBURGH	PA	15213	PC	CENTER FOR HUMAN RIGHTS SCIENCE	101,066
CENTER FOR COMMUNITY CHANGE	1536 U STREET, N W	WASHINGTON	DC	20009	PC	CORE GRANT	160,000
CHINA ENERGY GROUP, LAWRENCE BERKELEY NATIONAL LABORATORY	1 CYCLOTRON ROAD, MAIL STOP 90R2002	BERKELEY	CA	94720-8130	PC	TECHNICAL ANALYSIS ON NATIONAL COAL CONSUMPTION CAP IN CHINA	145,000
CITIZENS HOUSING AND PLANNING ASSOCIATION	18 TREMONT STREET, SUITE 401	BOSTON	MA	2108	PC	CORE GRANT	200,000
CITY YEAR	287 COLUMBUS AVENUE	BOSTON	MA	2116	PC	SCHOOL DESIGN DIVISION AND COMPASS ACADEMY	162,860
DUKE UNIVERSITY	700 W MAIN STREET SUITE 110	DURHAM	NC	27701	PC	PROGRAM IN ENVIRONMENTAL AND ENERGY POLICY	900,000
PORTIFY RIGHTS	P O BOX 110	BELFAST	ME	4915	PC	CORE SUPPORT	134,570
GUIDING LIGHTS	3724 NATIONAL DRIVE, SUITE 130	RALEIGH	NC	27612	PC	CORE GRANT	82,000
INTERNATIONAL CENTER FOR RESEARCH ON WOMEN	1120 20TH STREET SUITE 500 N	WASHINGTON	DC	20036	PC	PROMOTING EFFECTIVE INVESTMENT IN WOMEN'S EMPOWERMENT	45,047
INUIT CIRCUMPOLAR COUNCIL-ALASKA	3900 ARCTIC BOULEVARD, SUITE 203	ANCHORAGE	AK	99503	PC	ORGANIZATIONAL CAPACITY AND SUPPORT FOR SUSTAINABILITY ADVISOR	75,000
KAWERAK, INC	PO BOX 948, 504 SEPPALA DRIVE	NOME	AK	99762	PC	EKIMO WALRUS COMMISSION - STEWARDSHIP, COLLABORATION AND COMMUNICATION	72,767
KIDZU CHILDREN'S MUSEUM	123 W FRANKLIN STREET, SUITE B	CHAPEL HILL	NC	27516	PC	RISE TO THE CHALLENGE A COMMUNITY CHALLENGE GRANT FOR KIDZU CHILDREN'S MUSEUM'S NEW FACILITY	250,000
MARINE FISH CONSERVATION NETWORK	1725 DESALES STREET	WASHINGTON	DC	20036	PC	DEFENDING NATIONAL FISHERIES POLICY IN THE US	122,198
MUHLENBERG COLLEGE	2400 W CHEW ST	ALLENTOWN	PA	18104	PC	LEADERS FOR CHANGE CHANGING BRAINS, LEARNING, AND ATTITUDES	95,194
NATIONAL ALLIANCE TO END HOMELESSNESS	1518 K ST , NW, SECOND FLOOR	WASHINGTON	DC	20005	PC	CORE GRANT	300,000
NATIONAL LOW INCOME HOUSING COALITION	1000 VERMONT AVE, SUITE 500	WASHINGTON	DC	20005	PC	CORE GRANT	300,000
NATIVE AMERICAN RIGHTS FUND	745 W 4TH AVENUE, SUITE 502	ANCHORAGE	AK	99501-1736	PC	ADVANCING TRIBAL RIGHTS IN PROTECTING THE BERING SEA	23,557
NEW SCHOOLS FOR NEW ORLEANS	1555 POYDRAS STREET, SUITE 781	NEW ORLEANS	LA	70112	PC	IMPLEMENTING A SPECIAL EDUCATION BLUEPRINT AND EXPLORING PERSONALIZED LEARNING	159,000
NEW VENTURE FUND	1201 CONNECTICUT AVE , SUITE 300	WASHINGTON	DC	20036	PC	TAR SANDS SOLUTIONS NETWORK	508,049
PEACE DEVELOPMENT FUND	P O BOX 40250	SAN FRANCISCO	CA	94140-0250	PC	ARCTIC ADVISED FUND ADVANCING MARINE CONSERVATION AT THE ARCTIC COUNCIL THROUGH THE CIRCUMPOLAR CONSERVATION UNION	119,000
PEW CHARITABLE TRUSTS	901 E STREET, NW	WASHINGTON	DC	20004	PC	OCEANS NORTH - CORE PROGRAMME SUPPORT	175,000
PHILADELPHIA ASSOCIATION OF COMMUNITY DEVELOPMENT CORPORATIONS	1315 WALNUT STREET, SUITE 1600	PHILADELPHIA	PA	19107	PC	CORE GRANT	200,000
PHYSICIANS FOR HUMAN RIGHTS	256 WEST 38TH STREET, 9TH FLOOR	NEW YORK	NY	10018	PC	CORE SUPPORT (2015-2018)	500,000
UNIVERSITY OF ALASKA-ANCHORAGE	DR HERB SCHROEDER, 3211 PROVIDENCE DRIVE, ANSEP 200D1	ANCHORAGE	AK	99508	PC	ADVANCING CAREERS IN NATURAL RESOURCE MANAGEMENT AND SCIENCE FOR ALASKA NATIVES	183,334
UNIVERSITY OF CALIFORNIA BERKELEY	HUMAN RIGHTS CENTER AT UC BERKELEY SCHOOL OF LAW, 2850 TELEGRAPH AVE , SUITE 500	BERKELEY	CA	94705	PC	HUMAN RIGHTS CENTER - CORE SUPPORT	150,000

UNIVERSITY OF MASSACHUSETTS FOUNDATION	1 BEACON STREET	BOSTON	MA	2108	PC	BUILDING OPPORTUNITY AND PREVENTING FAMILY HOMELESSNESS IN MASSACHUSETTS	166,710
YOUTHBUILD USA	58 DAY ST	SOMERVILLE	MA	2144	PC	SUPPORTING SUCCESSFUL TRANSITIONS INTO COLLEGE AND CAREERS FOR OPPORTUNITY YOUTH WITH LEARNING DIFFERENCES	157,073
TOTAL GRANTS PAID DURING THE YEAR							6,078,670

ORGANIZATION NAME	ORGANIZATION ADDRESS	CITY	STATE	ZIP	FOUNDATION STATUS	GRANT PURPOSE	GRANT AMOUNT
BOOK HARVEST	2501 UNIVERSITY DRIVE	DURHAM	NC	27707	PC	BOOK HARVEST - CORE SUPPORT	200,000
CARE USA	151 ELLIS STREET	ATLANTA	GA	30303-2440	PC	ENHANCING COMMUNITY PARTICIPATION IN LOCAL GOVERNANCE IN SRI LANKA	169,374
DURHAM'S PARTNERSHIP FOR CHILDREN	1201 S BRIGGS AVE , SUITE 210	DURHAM	NC	27703	PC	EARLY CHILDHOOD TRAINING INSTITUTE	56,894
FIRELIGHT FOUNDATION	740 FRONT STREET, SUITE #380	SANTA CRUZ	CA	95060	PC	GENERATING LEARNING TO ENHANCE COMMUNITY-BASED CHLD PROTECTION MECHANISMS	62,501
INUIT CIRCUMPOLAR COUNCIL-ALASKA	3900 ARCTIC BOULEVARD, SUITE 203	ANCHORAGE	AK	99503	PC	ORGANIZATIONAL CAPACITY AND SUPPORT FOR SUSTAINABILITY ADVISOR	75,000
KAWERAK, INC.	PO BOX 948, 504 SEPPALA DRIVE	NOME	AK	99762	PC	THE CALEB PUNGOWIYI SCHOLARSHIP PROGRAM	452,902
KAWERAK, INC	PO BOX 948, 504 SEPPALA DRIVE	NOME	AK	99762	PC	ESKIMO WALRUS COMMISSION - STEWARDSHIP, COLLABORATION AND COMMUNICATION	72,913
MARINE FISH CONSERVATION NETWORK	C/O 4816 24TH ROAD N	ARLINGTON	VA	22207	PC	DEFENDING NATIONAL FISHERIES POLICY IN THE US	122,198
NATIONAL ALLIANCE TO END HOMELESSNESS	1518 K ST , NW, SECOND FLOOR	WASHINGTON	DC	20005	PC	CORE GRANT	600,000
NATIONAL LOW INCOME HOUSING COALITION	727 15TH STREET NW #600	WASHINGTON	DC	20005	PC	CORE FUNDING 2015	900,000
UNIVERSITY OF MASSACHUSETTS FOUNDATION	225 FRANKLIN STREET	BOSTON	MA	2110	PC	ON SOLID GROUND -- BUILDING OPPORTUNITY AND PREVENTING FAMILY HOMELESSNESS IN MASSACHUSETTS A COLLABORATIVE, CROSS-POLICY RESEARCH AND ACTION INITIATIVE	159,836
						TOTAL GRANTS APPROVED DURING THE YEAR	2,871,618