

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE CHAZEN FOUNDATION		A Employer identification number 13-3229474	
Number and street (or P O box number if mail is not delivered to street address) C/O CITRIN COOPERMAN 529 FIFTH AVE		B Telephone number (see instructions) (212) 888-7800	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 8,166,505		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	13	13		
	4 Dividends and interest from securities	183,656	183,656		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-211,239			
	b Gross sales price for all assets on line 6a	2,448,153			
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	221	221			
12 Total. Add lines 1 through 11	-27,349	183,890			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	4,000	0		4,000
	b Accounting fees (attach schedule)	25,500	8,500		17,000
	c Other professional fees (attach schedule)	20,000	7,500		12,500
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	27,758	4,175		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	85,724	0		85,724
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	129,780	128,383		1,397
	24 Total operating and administrative expenses. Add lines 13 through 23	292,762	148,558		120,621
	25 Contributions, gifts, grants paid	354,964			354,964
26 Total expenses and disbursements. Add lines 24 and 25	647,726	148,558		475,585	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-675,075				
b Net investment income (if negative, enter -0-)		35,332			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	335,782	152,140	152,140
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	5,576,440	7,025,414	7,447,754
	c Investments—corporate bonds (attach schedule)	2,303,199	399,551	381,775
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	187,534	154,322	174,032
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	10,804	10,804	10,804	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,413,759	7,742,231	8,166,505	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29 Retained earnings, accumulated income, endowment, or other funds	8,413,759	7,742,231		
30 Total net assets or fund balances (see instructions)	8,413,759	7,742,231		
31 Total liabilities and net assets/fund balances (see instructions) .	8,413,759	7,742,231		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,413,759
2 Enter amount from Part I, line 27a	2	-675,075
3 Other increases not included in line 2 (itemize) ▶ _____	3	3,558
4 Add lines 1, 2, and 3	4	7,742,242
5 Decreases not included in line 2 (itemize) ▶ _____	5	11
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	7,742,231

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-211,239
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	961,176	8,670,474	0.110856
2014	1,034,067	9,756,380	0.105989
2013	1,556,073	10,579,531	0.147083
2012	2,074,225	15,220,310	0.136280
2011	10,005,793	17,724,195	0.564527
2 Total of line 1, column (d)			1.064735
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.212947
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			7,945,121
5 Multiply line 4 by line 3			1,691,890
6 Enter 1% of net investment income (1% of Part I, line 27b)			353
7 Add lines 5 and 6			1,692,243
8 Enter qualifying distributions from Part XII, line 4			475,585

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	707
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	707
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	707
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	2,500
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	2,500
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	21
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	1,772
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 1,772 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a		No
b		No
c		No
d		
e		
2		No
3		No
4a		No
b		
5		No
6	Yes	
7	Yes	
8a		
b	Yes	
9		No
10		No

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 529 FIFTH AVENUE NEW YORK NY ZIP+4 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JEROME CHAZEN 150 EAST 58TH STREET NEW YORK, NY 10155	TRUSTEE 0 25	0	0	0
SIMONA CHAZEN 150 EAST 58TH STREET NEW YORK, NY 10155	TRUSTEE 0 25	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	7,703,997
b	Average of monthly cash balances.	1b	162,488
c	Fair market value of all other assets (see instructions).	1c	199,628
d	Total (add lines 1a, b, and c).	1d	8,066,113
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	8,066,113
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	120,992
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,945,121
6	Minimum investment return. Enter 5% of line 5.	6	397,256

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	397,256
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	707
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	707
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	396,549
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	396,549
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	396,549

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	475,585
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	475,585
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	475,585

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				396,549
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	9,142,403			
b From 2012.	1,323,902			
c From 2013.	1,118,431			
d From 2014.	558,243			
e From 2015.	551,199			
f Total of lines 3a through e.	12,694,178			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 475,585				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				396,549
e Remaining amount distributed out of corpus	79,036			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,773,214			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0		
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	9,142,403			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,630,811			
10 Analysis of line 9				
a Excess from 2012.	1,323,902			
b Excess from 2013.	1,118,431			
c Excess from 2014.	558,243			
d Excess from 2015.	551,199			
e Excess from 2016.	79,036			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	354,964
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
JPM DIRECT CORPORATE FINANCE	P		
OZ DOMESTIC PARTNERS, LP	P		
WHITNEY STRATEGIC PARTNERS IV, LP	P		
PUBLICLY TRADED SECURITIES			
PUBLICLY TRADED SECURITIES			
LANSDOWNE EUROPEAN EQUITY FUND	P		
CAPITAL GAIN (LOSS) FROM PASSTHROUGHS	P		
CAPITAL GAIN FROM PASSTHROUGHS	P		
GENESIS PARTNERS II LLC LP	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,026			1,026
26,543			26,543
5,202			5,202
509,547		512,721	-3,174
1,656,542		2,146,356	-489,814
2,520			2,520
		315	-315
2,264			2,264
11,584			11,584
232,925			232,925

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,026
			26,543
			5,202
			-3,174
			-489,814
			2,520
			-315
			2,264
			11,584
			232,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
92ND STREET 1395 LEXINGTON AVE NEW YORK, NY 10128	NONE	PUBLIC CHARITY	GENERAL SUPPORT	4,200
AMERICAN FRIENDS OF BEIT HATFUTSOT 633 3RD AVE NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
AMERICAN RED CROSS IN GREATER NY 520 WEST 49TH STREET NEW YORK, NY 10036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
BADGER ADVOCATES 10 E DOTY ST SUITE 836 MADISON, WI 53703	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Total ▶ 3a				354,964

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTER FOR SAFETY & CHANGE 9 JOHNSONS LANE NEW CITY, NY 10956	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,500
CITYMEALS ON WHEELS 355 LEXINGTON AVENUE NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
COLUMBIA BUSINESS SCHOOL 3022 BROADWAY NEW YORK, NY 10027	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
COMMUNITY IMPACT 105 EARL HALL 2980 BROADWAY NEW YORK, NY 10027	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
COUNCIL FOR ECONOMIC EDUCATION 122 EAST 42ND STREET SUITE 2600 NEW YORK, NY 10168	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total ▶				354,964
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
GIRL SCOUT COUNCIL OF GREATER NEW YORK 613 MIDLAND AVENUE STATEN ISLAND, NY 10306	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
GLIMMERGLASS OPERA 7300 STATE HIGHWAY 80 COOPERSTOWN, NY 13326	NONE	PUBLIC CHARITY	GENERAL SUPPORT	15,000
JEWISH EDUCATION PROJECT 520 EIGHT AVENUE 15TH FLOOR NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
JEWISH NATIONAL FUND 42ND EAST 69TH STREET NEW YORK, NY 10021	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total 3a				354,964

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LOUIS ARMSTRONG HOUSE MUSEUM 34-56 107TH ST CORONA, NY 11368	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000
MUSEUM AT ELDRIDGE STREET 12 ELDRIDGE STREET NEW YORK, NY 10002	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
MUSEUM OF ARTS AND DESIGN 2 COLUMBUS CIRCLE NEW YORK, NY 10019	NONE	PUBLIC CHARITY	GENERAL SUPPORT	21,000
NATIONAL JAZZ MUSEUM IN HARLEM 58 W 129TH STREET HARLEM NEW YORK, NY 10027	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Total ▶ 3a				354,964

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW YORK PUBLIC RADIO (WNYC) 160 VARICK STREET 8TH FLOOR NEW YORK, NY 10013	NONE	PUBLIC CHARITY	GENERAL SUPPORT	27,500
NEWPORT FESTIVALS FOUNDATION INC PO BOX 3865 NEWPORT, RI 02840	NONE	PUBLIC CHARITY	GENERAL SUPPORT	26,000
PENGUIN REP THEATER 7 CRICKETOWN RD STONY POINT NEW YORK, NY 10980	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,350
PEOPLE TO PEOPLE 2405 GRAND BOULEVARD SUITE 500 KANSAS CITY, MO 64108	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
PROJECT PERICLES INC 551 5TH AVENUE NEW YORK, NY 10176	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total ▶				354,964
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROCKEFELLER UNIVERSITY 1230 YORK AVE NEW YORK, NY 10065	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,000
ROCKLAND COUNTRY PRIDE CENTER 28 S FRANKLIN ST NYACK NEW YORK, NY 10960	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
ROCKLAND COMMUNITY FOUNDATION PO BOX 323 NEW CITY, NY 10956	NONE	PUBLIC CHARITY	GENERAL SUPPORT	3,200
ROUNABOUT THEATER COMPANY 231 WEST 39TH STREET SUITE 1200 NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	4,500
SHAW FESTIVAL FOUNDATION PO BOX 628 LEWISTON, NY 140920628	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total ▶ 3a				354,964

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TANENBAUM 254 W 31ST STREET FL 7 NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
THE REFORM TEMPLE OF ROCKLAND 330 NORTH HIGHLAND AVE NEW YORK, NY 10960	NONE	PUBLIC CHARITY	GENERAL SUPPORT	4,294
THIRTEENWNET 825 8TH AVENUE NEW YORK, NY 10019	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
UNITED HOSPICE OF ROCKLAND 11 STOKUM LANE NEW YORK, NY 10956	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,000
UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVENUE MADISON, WI 53726	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,500
Total ▶ 3a				354,964

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
URBAN GLASS 126 13TH ST BROOKLYN, NY 11215	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
UW FOUNDATION CHAZEN MUSEUM OF ART 1848 UNIVERSITY AVENUE MADISON, WI 53726	NONE	PUBLIC CHARITY	GENERAL SUPPORT	7,000
VARIOUS ORGANIZED CHARITIES - 1000 AND UNDER VARIOUS NEW YORK, NY 10010	NONE	PUBLIC CHARITY	GENERAL SUPPORT	32,095
VCS INC 77 SOUTH MAIN STREET NEW YORK, NY 10956	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,825
Total 3a				354,964

TY 2016 Accounting Fees Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OUTSIDE ACCOUNTING & TAX SERVICES	25,500	8,500		17,000

TY 2016 Investments Corporate Bonds Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Name of Bond	End of Year Book Value	End of Year Fair Market Value
10260 UNITS ISHARES S&P PEF STK ETF	399,551	381,775
7933.773 UNITS SEQUOIA FUND	0	0
9011.715 UNITS FIDELITY CHINA REGION FUND	0	0

TY 2016 Investments Corporate Stock Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Name of Stock	End of Year Book Value	End of Year Fair Market Value
14275 UNITS ISHARES CORE S&P 500 ETF	2,730,839	3,211,732
5617 UNITS ISHARES RUSSELL 2000 GROWTH ETF	815,971	864,681
8930 UNITS VANGUARD FTSE EMERGING MARKETS ETF	0	0
4684 UNITS POWERSHARES BUYBACK ACHIEVERS	206,674	236,355
8310 UNITS DEUTSCHE X-TRACKERS MSCI EAFE	254,618	233,179
16539 UNITS WISDOMTREE EUROPE HEDGED EQUITY	937,445	949,339
10535 UNITS VANGUARD GLOBAL EX-U.S. REAL ESTATE	599,863	522,220
7392 UNITS VANGUARD REIT ETF	646,822	610,062
8439 UNITS VANGUARD MID-CAP VALUE INDEX FUND	833,182	820,186

TY 2016 Investments - Other Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
JPM POOLED CORPORATE FINANCE LLC	AT COST	127,422	38,013
JPM POOLED VENTURE CAPITAL PRIVATE INVESTORS LLC	AT COST	26,264	18,119
WHITNEY STRATEGIC PARTNERS IV L.P.	AT COST	0	0
COMVEST ABSOLUTE RETURN ENHANCED FUND	AT COST	636	636
OZ DOMESTIC PARTNERS LP	AT COST	0	113,580
JPM DIRECT CORPORATE FIN. PRIV. INV. LLC	AT COST	0	3,684

TY 2016 Legal Fees Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MCLAUGHLIN & STERN, LLP- LEGAL FEES	4,000	0		4,000

TY 2016 Other Assets Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	10,804	10,804	10,804

TY 2016 Other Decreases Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Description	Amount
NONDEDUCTIBLE EXPENSES FROM PASSTHROUGHS	11

TY 2016 Other Expenses Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT & CUSTODIAN FEES	125,000	125,000		0
OFFICE SUPPLIES & EXPENSES	1,122	0		1,122
OTHER EXPENSES FROM PASSTHROUGHS	3,383	3,383		0
NYS FILING FEE	275	0		275

TY 2016 Other Income Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INVESTMENT CLAIM RECOVERY	221	221	221

TY 2016 Other Increases Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Description	Amount
OTHER INCREASE- BOOK/TAX TIMING DIFFERENCE	3,558

TY 2016 Other Professional Fees Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING & ADMINISTRATION	20,000	7,500		12,500

TY 2016 Taxes Schedule**Name:** THE CHAZEN FOUNDATION**EIN:** 13-3229474

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	4,175	4,175		0
FEDERAL EXCISE TAX	23,583	0		0