Unrelated business taxable income Subtract line 30 from line 29

923701 01-27-20 LHA For Paperwork Reduction Act Notice, see instructions.

			EXTENDED TO AUG	GUSI	r 16, 202 f	39319	80	80(00 1
Form	990-T/C&	F / E	zzempi Organization bus	11116	22 HICOHIG I	ax Returi	า	OMB N	o 1545-0047
	- (332	Farca	(and proxy tax und		ction 6033(e))	•		2	N1 0
	tment of the Treasury		► Go to www.irs.gov/Form990T for in Do not enter SSN numbers on this form as it may	structio	ons and the latest inform	nation	_		ublic Inspection for
A [Check box if address changed		Name of organization (Check box if name c			, , , , , , , , , , , , , , , , , , ,	D Emp		ication number
B E	xempt under section	Print	CARNEGIE CORPORATION OF NEW YORK					13-162	8151
X	501(c /0) 3) 408(e) 220(e)	or Type	Number, street, and room or suite no. If a P.O. box	x, see II	nstructions.			lated busine instructions	ess activity code
	408A 530(a)		City or town, state or province, country, and ZIP o	r foreig	n postal code		5259	90	
C Bo	ok value of all assets and of year		F Group exemption number (See instructions.)	<u> </u>					7.01
U En	3,564,024,		G Check organization type X 501(c) corp tion's unrelated trades or businesses.	oration 2			a) trust		Other trust
	de or business here	,				the only (or first) u , complete Parts I-V			i
			ce at the end of the previous sentence, complete Pa	rts I an					,
	siness, then complete								
			oration a subsidiary in an affiliated group or a parer	nt-subs	idiary controlled group?	•	Y	es X] No
			ifying number of the parent corporation.				10.05	11 2000	
			OBERT J. SEMAN de or Business Income		(A) Income	one number > 2			(C) Net
	Gross receipts or sale		le of Business meetine	Ι	(A) moonie	Backle Market		175 M	HALLES CAT /A
	Less returns and allow		c Balance	1c				***	
2	Cost of goods sold (S			2		CATTANA T NA		STIMES.	5 / S. P. L. T. T.
3	Gross profit. Subtract			3		Marie I Topp			7
4 a	Capital gain net incom			4a	4,527,025.	300 Lean Land	15.77		4,527,025.
			art II, line 17) (attach Form 4797)	4b		四八年。了是		ĺ	
	Capital loss deduction			4c		初生 と 門 過 方			
5	Income (loss) from a	partners	hip or an S corporation (attach statement)	5	11,922,265.				1,922,265.
6	Rent income (Schedu	le C)		6				ĺ	
7	Unrelated debt-finance	ed incon	ne (Schedule E)	7	1,525,242.			i	1,525,242.
8	Interest, annuities, roy	alties, a	nd rents from a controlled organization (Schedule F)	8		1		<u> </u>	
9	Investment income of	a sectio	n 501(c)(7), (9), or (17) organization (Schedule G)	9				ļ	
10	Exploited exempt activ	vity inco	me (Schedule I)	10					
11	Advertising income (S	Schedule	J)	11				<u> </u>	
12	Other income (See ins	struction	s; attach schedule)	12,		TROUGHT.	AS AND		
	Total. Combine lines	3 throu	gh 12	13	17,974,532.			1	7,974,532.
P,a	(Deductions	must b	t Taken Elsewhere (See instructions to be directly connected with the unrelated busin	ess inc	come)		1		
14		icers, dii	ectors, and trustees (Schedule K)	{	PRO PRO CONTRACTOR		14	ļ	
15	Salaries and wages			١,	ンドルはハロスは	The state of the s	15		
16	Repairs and mainten	ance	•	13	B JUL 1 2 20	a S	16		
17	Bad debts			Ì	305 12 70	21 2	17	-	197,101.
18	Interest (attach sche	aule) (se	ee instructions)		OGDEN, U		18		76,413.
19	Taxes and licenses	C 45	(00)	-		<u>'</u>	19	-	70,413.
20	Depreciation (attach		•		20			1	
21	•	urnea or	Schedule A and elsewhere on return		21a	 	21b		
22	Depletion	rrod oo	manastian along				22		
23 24	Contributions to defe Employee benefit pro		npensation plans				23		
24 25	Excess exempt exper	_	hedule I\				25		
25 26	Excess exempt exper						26	 	
26 27	Other deductions (at				SEE STATEMEI	NT 2	27	1	8,217,362.
2 <i>1</i> 28	Total deductions. A				-7		28		8,490,876.
29	<i>y</i>		icome before net operating loss deduction. Subtract	t line 28	3 from line 13		29		-516,344.
29 30	,		oss arising in tax years beginning on or after Januar					<u> </u>	
 ,	(see instructions)	a.m/y 1	Journ boginning on or area banda	, ,, 2,0	SEE STATEMEI	NT 3	30		0.
31	,	axable ır	come Subtract line 30 from line 29				31		-516,344.
92370			work Reduction Act Notice, see instructions.			<u> </u>		Form	990-T (2019)

Total Unrelated Business Taxable Income Total of unrelated business taxable income computed from all unrelated trades or businesses (see instructions) Amounts paid for disallowed fringes Charitable contributions (see instructions for limitation rules) STMT 6 STMT 7 Total unrelated business taxable income before pre-2018 NOLs and specific deduction Subtract line 34 from the sum of lines 32 and 33 Deduction for net operating loss arising in tax years beginning before January 1, 2018 (see instructions)	32 33	0
Amounts paid for disallowed fringes Charitable contributions (see instructions for limitation rules) STMT 6 STMT 7 Total unrelated business taxable income before pre-2018 NOLs and specific deduction Deduction for net operating loss arising in tax years beginning before January 1, 2018 (see instructions)		
Charitable contributions (see instructions for limitation rules) STMT 6 STMT 7 Total unrelated business taxable income before pre-2018 NOLs and specific deduction Deduction for net operating loss arising in tax years beginning before January 1, 2018 (see instructions)	33	
Total unrelated business taxable income before pre-2018 NOLs and specific deduction Subtract line 34 from the sum of lines 32 and 33 Deduction for net operating loss arising in tax years beginning before January 1, 2018 (see instructions)	34	0
Deduction for net operating loss arising in tax years beginning before January 1, 2018 (see instructions)	35	
	36	
Total of unrelated business taxable income before specific deduction. Subtract line 36 from line 35	37	
Specific deduction (Generally \$1,000, but see line 38 instructions for exceptions)	38	1,000
Unrelated business taxable income. Subtract line 38 from line 37. If line 38 is greater than line 37,		
enter the smaller of zero or line 37	39	0
irt IV Tax Computation		
Organizations Taxable as Corporations. Multiply line 39 by 21% (0.21) Trusts Taxable at Trust Rates. See instructions for tax computation, income tax on the amount on line 39 from:	40	0
Trusts Taxable at Trust Rates. See instructions for tax computation. Income tax on the amount on line 39 from: Tax rate schedule or Schedule D (Form 1041)	41	
Proxy tax. See instructions	42	
Alternative minimum tax (trusts only)	43	
Tax on Noncompliant Facility Income. See instructions	44	
Total. Add lines 42, 43, and 44 to line 40 or 41, whichever applies	45	0
rt \bigvee ∥∖Tax and Payments		
Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116)		
b Other credits (see instructions)	ŀ	
c General business credit. Attach Form 3800		
d Credit for prior year minimum tax (attach Form 8801 or 8827)		
e Total credits. Add lines 46a through 46d	46e	
Subtract line 46e from line 45 Other taxes. Check if from: Form 4255 Form 8611 Form 8697 Form 8866 Other (attach schedule)	47	0,
Other taxes. Check if from: Form 4255 Form 8611 Form 8697 Form 8866 Other (attach schedule) Total tax. Add lines 47 and 48 (see instructions)	48 49	0,
2019 net 965 tax liability paid from Form 965-A or Form 965-B, Part II, column (k), line 3	50	0,
a Payments: A 2018 overpayment credited to 2019		
b 2019 estimated tax payments 51b		
c Tax deposited with Form 8868		
d Foreign organizations; Tax paid or withheld at source (see instructions)		
e Backup withholding (see instructions) 51e 51e		
f Credit for small employer health insurance premiums (attach Form 8941)		
g Other credits, adjustments, and payments: Form 2439		
Form 4136 X Other 531,750. Total 531,750.		
Total payments. Add lines 51a through 51g SEE STATEMENT 5	52	731,750.
Estimated tax penalty (see instructions). Check if Form 2220 is attached	53	
Tax due. If line 52 is less than the total of lines 49, 50, and 53, enter amount owed Overpayment. If line 52 is larger than the total of lines 49, 50, and 53, enter amount overpaid	54	731,750.
Coverpayment: If line 52 is larger than the total of lines 49, 50, and 53, enter amount overpaid	56	231,750.
art VI Statements Regarding Certain Activities and Other Information (see instructions)	Ť	
At any time during the 2019 calendar year, did the organization have an interest in or a signature or other authority	·	Yes No
over a financial account (bank, securities, or other) in a foreign country? If "Yes," the organization may have to file		
FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If "Yes," enter the name of the foreign country		
here SEE STATEMENT 14		x
During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a foreign trust?		. х
If "Yes," see instructions for other forms the organization may have to file.		
Enter the amount of tax-exempt interest received or accrued during the tax year > \$	45.1.43	
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge correct, and complete Declaration of perparer (other than taxpayer) is based on all information of which preparer has any knowledge	je and belief, it	is true,
		ss this return with
The line	preparer shown ructions)? X	
Print/Type preparer's name Preparer's synature Date Check if		1100 140
	' '''	
id //, 1, 1 - h/a/had self-employed	1	
eparer LYNNE JOHNSON Self- employed	200757	7336

Phone no. 212-371-1000 Form 990-T (2019)

4 TIMES SQUARE

Firm's address NEW YORK, NY 10036

Schedule A - Cost of Good	s Sold. Enter	method of invento	ory valuation N/A				
1 Inventory at beginning of year	1		6 Inventory at end of year	-	6		
2 Purchases	2		7 Cost of goods sold. Su	btract line 6			
3 Cost of labor	3		from line 5. Enter here	and in Part I,			
4a Additional section 263A costs			line 2		7		
(attach schedule)	4a		8 Do the rules of section	263A (with respect to		Yes	No
b Other costs (attach schedule)	4b		property produced or a	cquired for resale) apply to			.
5 Total. Add lines 1 through 4b	5		the organization?				
Schedule C - Rent Income (see instructions)	(From Real	Property and	Personal Property L	eased With Real Pro	perty)		
1. Description of property							
(1)							
(2)	-						
(3)			4-54-5				
(4)							
	<u></u>	ed or accrued		3(a) Deductions direc	tly connecte	ad with the income i	
(a) From personal property (if the per rent for personal property is mor 10% but not more than 50%	e than	of rent for per	d personal property (if the percentag rsonal property exceeds 50% or if is based on profit or income)	ge columns 2(a)	and 2(b) (at	tach schedule)	
(1)							
(2)							
(3)							
(4)							
Total	0.	Total		0.			
(c) Total income. Add totals of columns here and on page 1, Part I, line 6, column	n (A)	•		(b) Total deductions. Enter here and on page 1, Part I, line 6, column (B)	•		0.
Schedule E - Unrelated Del	ot-Financed	Income (see if	nstructions)				
			2. Gross income from	 Deductions directly control to debt-final 			
1. Description of debt-f	nanced property		or allocable to debt- financed property	(a) Straight line depreciation (attach schedule)		(b) Other deduction (attach schedule)	
(1) THE ENERGY & MINERALS G	ROUP FUND II	LP	1,683,490.				
(2)							
(3)							
(4)							
4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	of or debt-fina	adjusted basis allocable to inced property h schedule)	6. Column 4 divided by column 5	7. Gross income reportable (column 2 x column 6)		8. Allocable deduct olumn 6 x total of co 3(a) and 3(b))	
(1) 1,257,666.		1,388,112.	90.60%	1,525,24	2.		
(2)			%				
(3)			%				
(4)			%				
STATEMENT 7	STATEM	ENT 8		Enter here and on page 1, Part I, line 7, column (A)		nter here and on pag art I, line 7, column	
Totals			▶	1,525,24	2.		0.
Total dividends-received deductions	ncluded in columi	n 8			ightharpoonup		0.

			Exempt (Controlled O	rganizatio	ons				
1. Name of controlled organiz	ident	mployer afication amber		elated income instructions)	4 . Tota paym	al of specified nents made	includ	rt of column 4 t led in the contr ation's gross i	olling	6. Deductions directly connected with income in column 5
(1)	-	-								
2)			<u> </u>							
(3)										
(4)			 					_		· ·
lonexempt Controlled Organ	nizations		<u> </u>				<u> </u>			
7. Taxable Income	8. Net unrelated inco (see instruction		9. Total	of specified pays made	nents	10. Part of colur in the controlli gross	nn 9 tha ng orgar income	nization's		ductions directly connecte nincome in column 10
(1)			1							
(2)	*****								-	
(3)			1							· ·
(4)					<u> </u>					
						Add colum Enter here and line 8, c		1, Part I,	Enter h	dd columns 6 and 11 nere and on page 1, Part I, line 8, column (B)
_{otals} Schedule G - Investm	ent Income of a	Section	501617	7). (9). or (<u>▶</u> 17) Ora	anization		υ.		
	erit income or a	Jection	. 50 1(6)(7	<i>,</i> , (<i>>)</i> , Oi (.,, ວາງ	unization!				•
1. De	scription of income		•	2. Amount of	income	3. Deduction directly conne (attach sched	cted	4. Set- (attach s		5. Total deductions and set-asides (col 3 plus col 4)
(1)	<u> </u>			· · · · · ·						
(2)										
(3)										
(4)					1					
				Enter here and Part I, line 9, co			à		•	Enter here and on page Part I, line 9, column (B
otals			>		0.				·,•	
Schedule I - Exploited (see inst	I Exempt Activit	y Incom	e, Other	Than Adv	ertisin	g Income				
Description of exploited activity	2. Gross unrelated business income from trade or business	directly with pi of ur	xpenses connected roduction related ss income	4. Net incomfrom unrelated business (cominus columingain, compute through	I trade or Ilumn 2 n 3) If a e cols 5	5. Gross inco from activity t is not unrelat business inco	hat ed	6. Exp attributi colur	able to	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4)
(1)										
(2)										
(3)				<u> </u>						
(4)	1	1						ľ		
	Enter here and on page 1, Part I, line 10, col (A)	page	ere and on 1, Part I,), col (B)		•	•				Enter here and on page 1, Part II, line 25
otals	<u>→</u> 0	<u> </u>	0.	<u> </u>	٠					
Schedule J - Advertis Part I Income From	Periodicals Rep	orted o		solidated	Basis					, , , , , , , , , , , , , , , , , , ,
		T						<u> </u>	1	7 -
1. Name of periodical	2. Gross advertising income	.	3. Direct vertising costs			5. Circulat income		6. Reade cost		7. Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)									•	
(2)	-			7						
(3)		- -		\dashv				 	~	
(4)		\dashv				-				
<u> </u>					•	 		 	-	
otals (carry to Part II, line (5))		0.	C).						Form 990-T (20

Form 990-T (2019) CARNEGIE CORPORATION OF NEW YORK Part II Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis)

1. Name of periodical		2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col 2 minus col 3) If a gain, compute cols 5 through 7	5. Circulation income	6. Readership costs	Excess readership costs (column 6 minus column 5, but not more than column 4)
(1)							
(2)							,
(3)							
(4)							
Totals from Part I	▶	0.	0.				0.
		Enter here and on page 1, Part I, line 11, col (A)	Enter here and on page 1, Part I, line 11, col (B)				Enter here and on page 1, Part II line 26
Totals, Part II (lines 1-5)	▶	0.	0.	<u> </u>		-	0.

Schedule K - Compensation of Officers, Directors, and Trustees (see instructions)

1. Name	2. Title	3. Percent of time devoted to business	Compensation attributable to unrelated business
(1)		%	
(2)		%	
(3)		%	
(4)		%	
Total. Enter here and on page 1, Part II, line 14		>	0.

Form 990-T (2019)

SCHEDULE D (Form 1120)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.

▶ Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No 1545-0123

Name

Employer identification number

	CARNEGIE CORPORATION OF NEW	YORK			13-	1628151	
Did	the corporation dispose of any investmen	nt(s) in a qualified opportur	nity fund during the tax ye	ear?		ightharpoons	Yes X No
If "Y	es," attach Form 8949 and see its instruc	tions for additional require	ements for reporting your	gain or loss			
P	art I Short-Term Capital Gai	ns and Losses (See	instructions.)				
to e	instructions for how to figure the amounts nter on the lines below. form may be easier to complete if you	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gai or loss from Form(s) 894 Part I, line 2, column (c	19.	column (e)	or (loss) Subtract from column (d) and result with column (g)
rour	d off cents to whole dollars.	(Select price)	(6 68/6 220/0)		<u>"</u>		(9)
1a	Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b			,			
1b	Totals for all transactions reported on						
_	Form(s) 8949 with Box A checked						
2	Totals for all transactions reported on						
_	Form(s) 8949 with Box B checked						
3	Totals for all transactions reported on						
_	Form(s) 8949 with Box C checked						-6,697.
4	Short-term capital gain from installment sales	from Form 6252, line 26 or 3	7		4		
5	Short-term capital gain or (loss) from like-kind	l exchanges from Form 8824			5	<u> </u>	
6	Unused capital loss carryover (attach computa	ition)			6	()
7	Net short-term capital gain or (loss). Combine	lines 1a through 6 in column	ı h		7		-6,697.
P	art II Long-Term Capital Gair	ns and Losses (See	nstructions.)				
See	instructions for how to figure the amounts	(d)	(e)	(g) Adjustments to gai	_	(h) Gara	or (loss) Subtract
This	form may be easier to complete if you add off cents to whole dollars.	Proceeds (sales price)	(e) Cost (or other basis)	or loss from Form(s) 894 Part II, line 2, column (g	9,	column (e)	from column (d) and result with column (g)
8a	Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				•		
8b	Totals for all transactions reported on						
	Form(s) 8949 with Box D checked						
9	Totals for all transactions reported on						
	Form(s) 8949 with Box E checked						
10	Totals for all transactions reported on						
	Form(s) 8949 with Box F checked						3,491,288.
11	Enter gain from Form 4797, line 7 or 9				11		1,042,434.
12	Long-term capital gain from installment sales	from Form 6252, line 26 or 3	7		12		
	Long-term capital gain or (loss) from like-kind				13		
	Capital gain distributions	· ·			14		
	Net long-term capital gain or (loss). Combine	lines 8a through 14 in colum	n h		15		4,533,722.
						•	
		l II					
16	art III Summary of Parts I and		ul loss (line 15)		16		
	art III Summary of Parts I and Enter excess of net short-term capital gain (lin	e 7) over net long-term capita		e 7)	16 17		4,527,025.
17	art III Summary of Parts I and	e 7) over net long-term capita capital gain (line 15) over net	t short-term capital Joss (line	e 7)	16 17 18		4,527,025. 4,527,025.

LHA

Form **8949**

Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to www.irs.gov/Form8949 for instructions and the latest information.
File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2019

Attachment Sequence No 12A

Name(s) shown on return

Social security number or taxpayer identification no.

13-1628151 CARNEGIE CORPORATION OF NEW YORK Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check Part I Short-Term. Transactions involving capital assets you held 1 year or less are generally short-term (see instructions) For long-term transactions, see page 2 Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a, you aren't required to report these transactions on Form 8949 (see instructions) You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. ctions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above) (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS X (C) Short-term transactions not reported to you on Form 1099-B Adjustment, if any, to gain or loss. If you enter an amount (d) (e) (h) (b) (c) Proceeds Cost or other Gain or (loss). Description of property Date acquired Date sold or in column (g), enter a code in column (f). See instructions (sales price) Subtract column (e) basis See the (Example 100 sh XYZ Co) [(Mo . dav. vr) [disposed of

(Example 100 sh XYZ Co)	(Mo , day, yr)	disposed of (Mo , day, yr)	(calco price)	Note below and see Column (e) In the instructions	<u> </u>	(g) Amount of adjustment	from column (d) & combine the result with column (g)
S-T CAPITAL LOSS - SEE		ĺ				•	
STATEMENT 10	VARIOUS	09/30/20					<6,697.>
	ļ						ļ
							
				 			
				-			
	1	-		 			
	 						
-							
-							
			<u> </u>				
				ļ			
							-
	 	<u> </u>					
				-			-
	_						<u> </u>
	 						
		·					
2 Totals. Add the amounts in colu	.mns (d) (a) (a) a	nd (h) (subtract					
negative amounts) Enter each t							
Schedule D, line 1b (if Box A at							
above is checked), or line 3 (if I						i	<6,697.>

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Social security number or taxpayer identification no.

						taxpayer ide	ntification no.
CARNEGIE CORPORATION	OF NEW YORK					13-16	528151
Before you check Box D, E, or F belo statement will have the same informa broker and may even tell you which b	ation as Form 10	you received any 99-B Either will s	Form(s) 1099-B c show whether you	or substitute statem r basis (usually you	ent(s) from yo r cost) was re	our broker A sul ported to the IF	bstitute IS by your
Part II Long-Term. Transaction		ıl assets vou held n	nore than 1 year are	generally long-term (s	ee instructions)	For short-term to	ransactions.
see page 1 Note: You may aggregate al							
codes are required Enter the	totals directly on S	Schedule D, line 8a	, yoù aren't required	to report these trans	actions on Form	n 8949 (see instru	ctions)
You must check Box D, E, or F below. O							each applicable box
(D) Long-term transactions rep	orted on Form(s) 1099-B showing	g basis was repor	ted to the IRS (see	Note above	·)	
(E) Long-term transactions rep	orted on Form(s	1099-B showing	g basis wasn't re	ported to the IRS			
X (F) Long-term transactions not	t reported to you	on Form 1099-B					
1 (a)	(b)	(c)	(d)	(e)		f any, to gain or enter an amount	(h)
Description of property	Date acquired	Date sold or	Proceeds (sales price)	Cost or other basis See the			Gain or (loss). Subtract column (e)
(Example 100 sh XYZ Co)	(Mo , day, yr)	disposed of	(Sales price)	Note below and	column (f). S	ee instructions.	from column (d) &
		(Mo., day, yr)		see Column (e) in	(f)	(g) Amount of	combine the result
				the instructions	Code(s)	adjustment	with column (g)
L-T CAPITAL GAIN - SEE							
STATEMENT 10	VARIOUS	09/30/20					3,491,288.
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2 Totals. Add the amounts in colum							
negative amounts) Enter each to		-					
Schedule D, line 8b (If Box D abo		_					2 401 202
above is checked), or line 10 (if E					L		3,491,288.
Black It you obsolved Hoy I) above t	NUT THE BACK FOR	anda to the IUC i	Mac incorract ant	er in collimn (e) the	nacic ac rena	orred to the IRS	and enter an

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

Form **8827**

(Rev May 2020)
Department of the Treasury
Internal Revenue Service

Credit for Prior Year Minimum Tax - Corporations

► Attach to the corporation's tax return.

► Go to www.irs.gov/Form8827 for the latest information.

OMB No 1545-0123

2019

Name	Employe	er identification number
CARNEGIE CORPORATION OF NEW YORK	13	-1628151
1 Minimum tax credit carryforward from 2018 Enter the amount from line 9 of the 2018 Form 8827	1	531,750.
2 Enter the corporation's 2019 regular income tax liability minus allowable tax credits (see instructions)	2	
3 Enter the refundable minimum tax credit (see instructions)	3	531,750.
4 Add lines 2 and 3	4	531,750.
5a Enter the smaller of line 1 or line 4 If the corporation had a post-1986 ownership change or has		
pre-acquisition excess credits, see instructions	5a	531,750.
b Current year minimum tax credit. Enter the smaller of line 1 or line 2 here and on Form 1120,		
Schedule J, Part I, line 5d (or the applicable line of your return). If the corporation had a post-1986		
ownership change or has pre-acquisition excess credits, see instructions. If you made an entry on line		
3, go to line 5c Otherwise, skip line 5c	_5b	·
c Subtract line 5b from line 5a. This is the current year refundable minimum tax credit. Include this	1 1	
amount on Form 1120, Schedule J, Part III, line 20c (or the applicable line of your return)	5c	531,750.
6 Minimum tax credit carryforward. Subtract line 5a from line 1 Keep a record of this amount to carry		j
forward and use in future years	6	1

2

SCHEDULE M (Form 990-T)

Unrelated Business Taxable Income from an Unrelated Trade or Business

OMB No 1545-0047

2019

Department of the Treasury Internal Revenue Service For calendar year 2019 or other tax year beginning OCT 1, 2019, and ending SEP 30, 2020

Go to www.irs.gov/Form990T for instructions and the latest information.

Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

Name	of the organization CARNEGIE CORPORATION OF NEW YORK			1	lentification i 528151	number
	Jurelated Business Activity Code (see instructions) ► 525990			1		
	Describe the unrelated trade or business UBTI FROM VAR	DE FU	UND			
Pa	TI Unrelated Trade or Business Income		(A) Income	(B) Expens	ses	(C) Net
1a	Gross receipts or sales					
ь	Less returns and allowances c Balance ▶	1c				
2	Cost of goods sold (Schedule A, line 7)	2				
3	Gross profit Subtract line 2 from line 1c	3				
4 a	Capital gain net income (attach Schedule D)	4a				
b	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	4b				
С	Capital loss deduction for trusts	4c				
5	Income (loss) from a partnership or an S corporation (attach					
	statement)	5_	-1,116.	STMT 1	1	-1,116.
6	Rent income (Schedule C)	6				
7	Unrelated debt-financed income (Schedule E)	7				
8	Interest, annuities, royalties, and rents from a controlled					
	organization (Schedule F)	8				
9	Investment income of a section 501(c)(7), (9), or (17)					
	organization (Schedule G)	9				
10	Exploited exempt activity income (Schedule I)	10				
11	Advertising income (Schedule J)	11				
12	Other income (See instructions, attach schedule)	12				
<u>13</u>	Total. Combine lines 3 through 12	13	-1,116.			-1,116.
Pa	Deductions Not Taken Elsewhere (See instruction directly connected with the unrelated business in			ictions.) (De	eductions	must be
14	Compensation of officers, directors, and trustees (Schedule K)				14	
15	Salaries and wages				15	
16	Repairs and maintenance				16	·
17	Bad debts			•	17	
18	Interest (attach schedule) (see instructions)				18	
19	Taxes and licenses		1 1		19	
20	Depreciation (attach Form 4562)		20		⊣—	
21	Less depreciation claimed on Schedule A and elsewhere on return		21a		21b	
22	Depletion				22	
23	Contributions to deferred compensation plans				23	
24	Employee benefit programs				24	
25	Excess exempt expenses (Schedule I)				25	
26	Excess readership costs (Schedule J)				26	
27	Other deductions (attach schedule)				27	
28	Total deductions. Add lines 14 through 27				28	0.
29	Unrelated business taxable income before net operating loss deduced			3	29	-1,116.
30	Deduction for net operating loss arising in tax years beginning on o	r after	January 1, 2018 (see	amar ^		_
	instructions)			STMT 9	30	0.
31	Unrelated business taxable income Subtract line 30 from line 29				31	-1,116.

LHA For Paperwork Reduction Act Notice, see instructions.

Schedule M (Form 990-T) 2019

FORM 990-T	INCO	ME (LOSS) FROM PA	ARTNERSHIPS	STATEMENT 1
DESCRIPTIO	N			NET INCOME OR (LOSS)
INCOME (LO	SS) FROM LIMITED PA	ARTNERSHIPS - SEI	E STATEMENT 10	11,922,265
TOTAL INCL	UDED ON FORM 990-T	, PAGE 1, LINE 5		11,922,265
FORM 990-T		OTHER DEDUCTI	ONS	STATEMENT 2
DESCRIPTIO	N			AMOUNT
10	TIONS FROM LIMITES	D PARTNERSHIPS -	SEE STATEMENT	17,870,650 346,712
TOTAL TO F	ORM 990-T, PAGE 1,	LINE 27		18,217,362

FORM 990-T	NET	OPERATING LOSS I	DEDUCTION	STATEMENT 3
	NET LOSS SUSTAINED	OPERATING LOSS I LOSS PREVIOUSLY APPLIED	DEDUCTION LOSS REMAINING	STATEMENT 3 AVAILABLE THIS YEAR
TAX YEAR		LOSS PREVIOUSLY	LOSS	AVAILABLE
FORM 990-T TAX YEAR 09/30/19 NOL CARRYO	LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING	AVAILABLE THIS YEAR
TAX YEAR 09/30/19	LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING 4,031,403.	AVAILABLE THIS YEAR
TAX YEAR 09/30/19 NOL CARRYO	LOSS SUSTAINED 4,031,403. VER AVAILABLE THIS	LOSS PREVIOUSLY APPLIED	LOSS REMAINING 4,031,403.	AVAILABLE THIS YEAR
TAX YEAR 09/30/19 NOL CARRYO FORM 990-T	LOSS SUSTAINED 4,031,403. VER AVAILABLE THIS	LOSS PREVIOUSLY APPLIED 0.	LOSS REMAINING 4,031,403.	AVAILABLE THIS YEAR 4,031,403.
TAX YEAR 09/30/19	LOSS SUSTAINED 4,031,403. VER AVAILABLE THIS OTH	LOSS PREVIOUSLY APPLIED 0.	LOSS REMAINING 4,031,403.	AVAILABLE THIS YEAR 4,031,403. 4,031,403. STATEMENT 4
TAX YEAR 09/30/19 NOL CARRYO FORM 990-T DESCRIPTION FORM 8827,	LOSS SUSTAINED 4,031,403. VER AVAILABLE THIS OTH	LOSS PREVIOUSLY APPLIED 0. YEAR ER CREDITS AND PA	LOSS REMAINING 4,031,403. 4,031,403.	AVAILABLE THIS YEAR 4,031,403. 4,031,403. STATEMENT 4 AMOUNT

FORM 990-T	CONTRIBUTIONS	STATEMENT 5	
DESCRIPTION/KIND OF PROPERTY	METHOD USED TO DETERMINE FMV	TUUOMA	
50% CASH ONLY	N/A	165,234,841.	
TOTAL TO FORM 990-T, PAGE 2, L	INE 34	165,234,841.	

FORM 990-T	CONTRIBUTIONS SUMMA	RY	STATEMENT 6
	CONTRIBUTIONS SUBJECT TO 100% LIMIT		
~			
FOR TAX Y	OF PRIOR YEARS UNUSED CONTRIBUTIONS YEAR 2014 156,707,079		
FOR TAX 1	·		
FOR TAX Y			
FOR TAX Y			
FOR TAX Y			
TOTAL CARRY	OVER	— 785,310,964	
	ENT YEAR 10% CONTRIBUTIONS	165,234,841	
TOTAL CONTR	RIBUTIONS AVAILABLE	950,545,805	_
TAXABLE INC	COME LIMITATION AS ADJUSTED	0	
EXCESS CONT	RIBUTIONS	950,545,805	_
	CONTRIBUTIONS	0	
TOTAL EXCES	SS CONTRIBUTIONS	950,545,805	_
ALLOWABLE C	CONTRIBUTIONS DEDUCTION		0
TOTAL CONTR	RIBUTION DEDUCTION		0

TOTAL TO FORM 990-T, SCHEDULE E, COLUMN 5

	IVITY MBER	AMOUNT OF OUTSTANDIN DEBT 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING FIRST MONTH BEGINNING SECOND MONTH BEGINNING THIRD MONTH BEGINNING FOURTH MONTH BEGINNING FIFTH MONTH BEGINNING SIXTH MONTH BEGINNING SEVENTH MONTH BEGINNING EIGHTH MONTH BEGINNING NINTH MONTH BEGINNING TENTH MONTH BEGINNING TENTH MONTH BEGINNING TENTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT FORM 990-T SCHEDULE E, COLUMN 4	1	1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING SECOND MONTH BEGINNING THIRD MONTH BEGINNING FOURTH MONTH BEGINNING FIFTH MONTH BEGINNING SIXTH MONTH BEGINNING SEVENTH MONTH BEGINNING EIGHTH MONTH BEGINNING TENTH MONTH BEGINNING TENTH MONTH BEGINNING TENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT FORM 990-T SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING THIRD MONTH BEGINNING FOURTH MONTH BEGINNING FIFTH MONTH BEGINNING SIXTH MONTH BEGINNING SEVENTH MONTH BEGINNING EIGHTH MONTH BEGINNING NINTH MONTH BEGINNING TENTH MONTH BEGINNING TEVENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING FOURTH MONTH BEGINNING FIFTH MONTH BEGINNING SIXTH MONTH BEGINNING SEVENTH MONTH BEGINNING EIGHTH MONTH BEGINNING NINTH MONTH BEGINNING TENTH MONTH BEGINNING TENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING FIFTH MONTH BEGINNING SIXTH MONTH BEGINNING SEVENTH MONTH BEGINNING EIGHTH MONTH BEGINNING NINTH MONTH BEGINNING TENTH MONTH BEGINNING TENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT FOTALS TO FORM 990-T, SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING SIXTH MONTH BEGINNING SEVENTH MONTH BEGINNING EIGHTH MONTH BEGINNING NINTH MONTH BEGINNING TENTH MONTH BEGINNING ELEVENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING SEVENTH MONTH BEGINNING EIGHTH MONTH BEGINNING NINTH MONTH BEGINNING TENTH MONTH BEGINNING ELEVENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING EIGHTH MONTH BEGINNING NINTH MONTH BEGINNING TENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 1,257,66 1,257,66
BEGINNING NINTH MONTH BEGINNING TENTH MONTH BEGINNING ELEVENTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 1,257,66 15,091,99
BEGINNING TENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4		1,257,66 1,257,66 1,257,66 15,091,99
BEGINNING ELEVENTH MONTH BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4 ONE SCHEDULE E - UNRELATED DEBT-FINANCED INCOME		1,257,66 1,257,66 15,091,99
BEGINNING TWELFTH MONTH TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT COTALS TO FORM 990-T, SCHEDULE E, COLUMN 4 CORM 990-T SCHEDULE E - UNRELATED DEBT-FINANCED INCOM		1,257,66
TOTAL OF ALL MONTHS NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4 ORM 990-T SCHEDULE E - UNRELATED DEBT-FINANCED INCOM		15,091,99
NUMBER OF MONTHS IN YEAR AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4 ORM 990-T SCHEDULE E - UNRELATED DEBT-FINANCED INCOM		12
AVERAGE AQUISITION DEBT OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4 ORM 990-T SCHEDULE E - UNRELATED DEBT-FINANCED INCOM		
OTALS TO FORM 990-T, SCHEDULE E, COLUMN 4 ORM 990-T SCHEDULE E - UNRELATED DEBT-FINANCED INCOM		1,257,66
ORM 990-T SCHEDULE E - UNRELATED DEBT-FINANCED INCOM		
	E	STATEMENT 8
	CTIVITY NUMBER	Y _
HE ENERGY & MINERALS GROUP FUND II LP	1	- AMOUNT
VERAGE ADJUSTED BASIS OF PROPERTY FIRST DAY OF YEAR VERAGE ADJUSTED BASIS OF PROPERTY LAST DAY OF YEAR		1,388,11
VERAGE ADJUSTED BASIS OF PROPERTY FOR THE YEAR		1,388,11

SCHEDULE M NET OPERATING LO			DEDUCTION	STATEMENT 9	
TAX YEAR	LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING	AVAILABLE THIS YEAR	
09/30/19	825.		825.	825.	
NOL CARRYOV	ER AVAILABLE THIS	YEAR	825.	825.	

CARNEGIE CORPORATION OF NEW YORK Fiscal Year Ended - 9/30/2020

13-1628151

FORM 990-T, PART VI, LINE 57 FOREIGN COUNTRIES REPORTED IN YEAR 2019 FBAR FORM 114

CAYMAN ISLANDS, CHILE, GHANA, GREECE, MAURITIUS, POLAND, SOUTH KOREA, TUNISIA, TURKEY

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to www.irs.gov/Form8949 for instructions and the latest information. File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D. OMB No 1545-0074

Name(s) shown on return

Social security number or taxpayer identification no.

13-1628151 CARNEGIE CORPORATION OF NEW YORK Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check Part I | Short-Term. Transactions involving capital assets you held 1 year or less are generally short-term (see instructions) For long term transactions, see page 2 Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a, you aren't required to report these transactions on Form 8949 (see instructions) You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above) (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS (C) Short-term transactions not reported to you on Form 1099-B Adjustment, if any, to gain or (h) (e) (d) (a) (b) (c) loss. If you enter an amount Proceeds Gain or (loss). Description of property Date acquired Date sold or Cost or other in column (g), enter a code in (sales price) basis See the Subtract column (e) (Example 100 sh XYZ Co.) (Mo, day, yr) disposed of column (f). See instructions. Note below and from column (d) & (Mo, day, yr) (g) Amount of combine the result see Column (e) ır Code(s) with column (g) the instructions adjustment 12/31/19 842,420, M -65 888. VARIOUS 776,532 AVAILABLE UPON REQUEST 2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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776,532.

842,420.

-65,888.

above is checked), or line 3 (if Box C above is checked)

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on page 1

Social security number or

						taxpayer ide	mineation no.
CARNEGIE CORPORATION	OF NEW YORK					13-162	8151
Before you check Box D, E, or F belo statement will have the same informa broker and may even tell you which b	ition as Form 10	you received any 99-B Either will s	Form(s) 1099-B o show whether you	r substitute statem r basis (usually you	ent(s) from r cost) was	your broker A su reported to the IF	bstitute IS by your
Part II Long-Term. Transaction		al assets you held n	nore than 1 year are	generally long-term (s	ee instructio	ns) For short term t	ransactions,
see page 1 Note You may aggregate all codes are required Enter the	long-term transact	tions reported on F	orm(s) 1099-B show	ng basis was reported	to the IRS	and for which no adi	ustments or
You must check Box D, E, or F below. C	theck only one bo	X. If more than one b	ox applies for your long-	term transactions, compl	ete a separate	Form 8949, page 2, for	each applicable box.
(D) Long-term transactions than will					-		
(E) Long-term transactions rep	,	•	•	•	HOLE and	,ve)	
(E) Long-term transactions rep	•	•	_	ported to the into			
1 (a)	(b)	(c)	(d)	(e)	Adjustmen	t, if any, to gain or	(h)
Description of property	Date acquired	Date sold or	Proceeds (sales price)	Cost or other basis See the	loss. If yo	u enter an amount	Gain or (loss).
(Example 100 sh XYZ Co)	(Mo , day, yr)	disposed of			column (f)	(g), enter a code in . See instructions.	Subtract column (e)
		(Mo , day, yr)		Note below and see Column (e) in	(f)	(g)	from column (d) & combine the result
				the instructions	Code(s)	Amount of adjustment	with column (g)
AVAILABLE UPON REQUEST	VARIOUS	12/31/19	2,405,717.	2,799,440.	М	aajaaanone	-393,723.
			, , , -	,, <u>,</u>			,
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2 Totals. Add the amounts in colum	nns (d) (s) (a) a	nd (h) (subtract					···
negative amounts) Enter each to							
Schedule D, line 8b (if Box D abo		-		-			
above is checked), or line 10 (if E	·-	-	2,405,717.	2,799,440.			-393,723.

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Go to www.irs.gov/Form8949 for instructions and the latest information. File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D. OMB No 1545-0074

Attachment Sequence No 12A

Name(s) shown on return

Social security number or taxpayer identification no.

CARNEGIE CORPORATION	OF NEW YORK					13-162	8151
Before you check Box A, B, or C be statement will have the same inform broker and may even tell you which	ation as Form 109	you received any 99-B Either will s	/ Form(s) 1099-B c show whether you	or substitute statem basis (usually you	ent(s) from r cost) was	your broker A su reported to the IF	bstitute SS by your
Part I Short-Term. Transac		al assets you held	1 year or less are ger	nerally short term (see	instructions	s) For long-term	
transactions, see page 2 Note: You may aggregate a	ıll short-term transac	tions reported on I	Form(s) 1099-B show	ing basis was reporte	d to the IRS	and for which no ac	ljustments or
codes are required Enter the You must check Box A, B, or C below.							
If you have more short-term transactions than w	ill fit on this page for on	e or more of the boxes	s, complete as many forn	ns with the same box che	cked as you no	eed	each applicable box.
(A) Short-term transactions re	eported on Form(s	s) 1099-B showin	g basıs was repor	ted to the IRS (see	Note abo	ove)	
(B) Short-term transactions re	eported on Form(s	s) 1099-B showin	g basıs wasn't re	ported to the IRS			
X (C) Short-term transactions ne	ot reported to you	on Form 1099-l					
1 (a)	(b)	(c)	_ (d)	(e)		t, if any, to gain or ou enter an amount	(h)
Description of property	Date acquired	Date sold or	Proceeds (sales price)	Cost or other basis. See the Note below and	ın column	(g), enter a code in	Gain or (loss). Subtract column (e)
(Example 100 sh. XYZ Co)	(Mo , day, yr)	disposed of			column (f). See instructions.		from column (d) &
		(Mo , day, yr)		see Column (e) in	(f)	(g) Amount of	combine the result
				the instructions	Code(s)	adjustment	with column (g)
AVAILABLE UPON REQUEST	VARIOUS	12/31/19	7,926,944.	7,669,916.	М	4,716,889.	4,973,917.
		ļ. <u>.</u>					
			,				
				<u> </u>			
2 Totals. Add the amounts in colunegative amounts) Enter each to Schedule D, line 1b (if Box A ab	otal here and ınclı	ude on your					
above is checked), or line 3 (if E	Box C above is ch	necked)	7,926,944.	7,669,916.		4,716,889.	4,973,917.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

above is checked), or line 3 (if Box C above is checked)

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on page 1

Social security number or

						taxpayer ide	ntification no.
CARNEGIE CORPORATION	OF NEW YORK					13-162	8151
Before you check Box D, E, or F belo statement will have the same informa broker and may even tell you which	ation as Form 109	you received any 99-B Either will :	Form(s) 1099-B o show whether you	r substitute statem r basis (usually you	ent(s) from y r cost) was re	our broker A sur eported to the IF	bstitute S by your
Part II Long-Term. Transactions see page 1 Note: You may aggregate al	ons involving capita						
codes are required Enter the	totals directly on S	Schedule D, line 8a	, you aren't required	to report these trans	actions on For	m 8949 (see instru	ctions)
If you have more long-term transactions than will (D) Long-term transactions rep	fit on this page for one	or more of the boxes	complete as many form	s with the same box ched	cked as you need	1	васп аррисавіе вох
(E) Long-term transactions rep	•	•	- '	•	Note above	c)	
X (F) Long-term transactions no	t reported to you	on Form 1099-E	3				
1 (a) Description of property	(b) (c) Date acquired Date sold or	(c) Date sold or	(d) Proceeds (sales price)	(e) Cost or other basis See the	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See instructions.		Gain or (loss).
(Example 100 sh XYZ Co.)	(Mo, day, yr)	disposed of					
		(Mo , day, yr)		Note below and see Column (e) In the instructions	(f) Code(s)	(g) Amount of adjustment	combine the result with column (g)
AVAILABLE UPON REQUEST	VARIOUS	12/31/19	31,602,482.	34,381,698.	м	-4,525,049.	-7,304,265.
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2 Totals. Add the amounts in colu	mns (d), (e), (g), a	nd (h) (subtract					
negative amounts) Enter each to							
Schedule D, line 8b (if Box D ab				1			
above is checked), or line 10 (if I	Box F above is cl	hecked)	31,602,482.	34,381,698.	4	1,525,049.>	-7,304,265.

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment