

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

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 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2019
Open to Public Inspection

For calendar year 2019, or tax year beginning 12-01-2019, and ending 11-30-2020

Name of foundation The Hauser Foundation Inc c/o Mrs Rita Hauser % RITA HAUSER		A Employer identification number 11-0016142
Number and street (or P.O. box number if mail is not delivered to street address) 700 Park Ave Ste 8 FL 2	Room/suite	B Telephone number (see instructions) (212) 956-3645
City or town, state or province, country, and ZIP or foreign postal code New York, NY 100210104		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>36,848,056</u>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	3,000,000			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	16	16		
	4 Dividends and interest from securities	587,901	567,901		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	527,480			
	b Gross sales price for all assets on line 6a	8,908,644			
	7 Capital gain net income (from Part IV, line 2)		527,480		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	396	396			
12 Total. Add lines 1 through 11	4,115,793	1,095,793			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages	134,000	67,000		67,000
	15 Pension plans, employee benefits	18,677	9,338		9,338
	16a Legal fees (attach schedule)	8,581	4,290	0	4,290
	b Accounting fees (attach schedule)	36,050	18,025	0	18,025
	c Other professional fees (attach schedule)	20,755	19,741		1,014
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	28,001	5,126		5,126
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	7,257			7,257
	22 Printing and publications	9,336	4,668		4,668
	23 Other expenses (attach schedule)	32,135			32,135
	24 Total operating and administrative expenses. Add lines 13 through 23	294,792	128,188	0	148,853
	25 Contributions, gifts, grants paid	6,487,500			6,487,500
26 Total expenses and disbursements. Add lines 24 and 25	6,782,292	128,188	0	6,636,353	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-2,666,499				
b Net investment income (if negative, enter -0-)		967,605			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	67,273	255,751	255,751
	2 Savings and temporary cash investments	1,038,468	96,197	96,542
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	7,671,764	5,333,821	5,537,715
	b Investments—corporate stock (attach schedule)	12,507,138	13,153,395	19,496,346
	c Investments—corporate bonds (attach schedule)	11,253,459	11,032,439	11,425,773
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ _____ 293,395 Less: accumulated depreciation (attach schedule) ▶ 293,395			
15 Other assets (describe ▶ _____)	35,929	35,929	35,929	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	32,574,031	29,907,532	36,848,056	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	32,574,031	29,907,532		
30 Total liabilities and net assets/fund balances (see instructions) .	32,574,031	29,907,532		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	32,574,031
2 Enter amount from Part I, line 27a	2	-2,666,499
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	29,907,532
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	29,907,532

Part IV Capital Gains and Losses for Tax on Investment Income

	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69		
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	527,480
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part. Yes No

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,192,573	35,701,038	0.033404
2017	1,073,616	33,227,350	0.032311
2016	377,267	33,227,350	0.011354
2015	308,269	31,428,527	0.009809
2014	1,512,979	31,738,009	0.047671
2 Total of line 1, column (d)			2 0.134549
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.02691
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 34,529,904
5 Multiply line 4 by line 3			5 929,200
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 9,676
7 Add lines 5 and 6			7 938,876
8 Enter qualifying distributions from Part XII, line 4			8 6,636,353

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and credits/payments. Total amount owed is 13,388.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of RITA HAUSER Telephone no. (212) 956-3645
Located at 700 PARK AVE STE 8 FL 2 NEW YORK NY ZIP+4 100210104
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:			Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			5b
	Organizations relying on a current notice regarding disaster assistance check here.		<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	6b
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			7b
				No
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RITA HAUSER 700 Park Ave Ste 8 FL 2 New York, NY 100210104	PRESIDENT 5.0	0	4,654	0
GUSTAVE HAUSER 700 Park Ave Ste 8 FL 2 New York, NY 100210104	VP, SEC, TRE 1.0	0	4,654	0
RONALD J STEIN STROOCK STROOCK LAVAN 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR 1.0	0	0	0
ETTA BRANDMAN STROOCK STROOCK LAVAN 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR 1.0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PATRICIA A WEINSTEIN KEW GARDENS NEW YORK, NY 11415	BOOKKEEPER 40.0	134,000	9,368	

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	34,418,399
b	Average of monthly cash balances.	1b	601,412
c	Fair market value of all other assets (see instructions).	1c	35,929
d	Total (add lines 1a, b, and c).	1d	35,055,740
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	35,055,740
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	525,836
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	34,529,904
6	Minimum investment return. Enter 5% of line 5.	6	1,726,495

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,726,495
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	9,676
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	9,676
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,716,819
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,716,819
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,716,819

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	6,636,353
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,636,353
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	9,676
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,626,677

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,716,819
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 2017, 2016, 2015		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	0			
b From 2015.	0			
c From 2016.	0			
d From 2017.	0			
e From 2018.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>6,636,353</u>				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				1,716,819
e Remaining amount distributed out of corpus	4,919,534			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	4,919,534			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,919,534			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	4,919,534			
10 Analysis of line 9:				
a Excess from 2015.	0			
b Excess from 2016.	0			
c Excess from 2017.	0			
d Excess from 2018.	0			
e Excess from 2019.	4,919,534			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

RITA HAUSER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT ATTACHED see statment attached new york, NY 10065	none		charitable contribution	6,487,500
Total				▶ 3a 6,487,500
b <i>Approved for future payment</i>				
Total				▶ 3b

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Table with 3 columns: Question, Yes, No. Rows include 1a(1) Cash, 1a(2) Other assets, 1b(1) Sales of assets, 1b(2) Purchases of assets, 1b(3) Rental of facilities, 1b(4) Reimbursement arrangements, 1b(5) Loans or loan guarantees, 1b(6) Performance of services, and 1c Sharing of facilities.

a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash.
(2) Other assets.
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with 4 columns: (a) Line No., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: ***** Date: 2021-04-15 Title: *****

May the IRS discuss this return with the preparer shown below (see instr.) [X] Yes [] No

Paid Preparer Use Only

Table for paid preparer information including: Print/Type preparer's name (Michael Sales), Preparer's Signature, Date, Check if self-employed, PTIN (P01770943), Firm's name (ERNST & YOUNG US LLP), Firm's address (99 WOOD AVENUE SOUTH, ISELIN, NJ 08830), and Firm's EIN.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
950,000 SHS AMAZON.COM INC	P	2019-11-14	2019-12-03
975,000 SHS CREDIT SUISSE AG NEW YORK 3.0% DUE 10/29/21	P	2019-11-04	2019-12-03
1,000,000 FEDERAL HOME LOAN BANKS 2.375% DUE 9/10/21	P	2016-10-18	2019-12-03
1,000,000 SHELL INTERNATIONAL FINANCE BV 2% CALLABLE 10/7/24	P	2019-11-15	2019-12-03
1,000,000 US TREASURY NOTE 1.875% DUE 12/31/19	P	2019-06-20	2019-12-03
1,000,000 IBM CORP 2.250% DUE 2/19/21	P	2019-02-19	2020-03-06
300,000 COSTCO WHOLESALE CORP 2.3% CALLABLE 4/18/22	P	2017-05-11	2020-04-17
400,000 WELLS FARGO AND CO 3.75% CALLABLE 12/22/23		2019-10-11	2020-05-21
1,230,000 METROPOLITAN T BE 2.168% DUE 7/1/20	P	2018-05-31	2020-07-01
200,000 COMCAST CORP 2.75% CALLABLE 2/1/23	P	2019-11-15	2020-09-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
986,575		984,185	2,390
994,393		992,682	1,711
1,014,000		1,017,468	-3,468
1,000,090		996,150	3,940
1,000,117		999,023	1,094
1,007,925		1,000,327	7,598
310,479		299,001	11,478
430,188		420,179	10,009
1,230,000		1,216,396	13,604
211,432		203,503	7,929

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,390
			1,711
			-3,468
			3,940
			1,094
			7,598
			11,478
			10,009
			13,604
			7,929

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
250,000 GENERAL DYNAMICS 3.875% DUE 7/15/21	P	2015-08-13	2020-11-02
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
254,015		252,250	1,765
			469,430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,765

TY 2019 Accounting Fees Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCT FEES TO ERNST & YOUNG LLP	36,050	18,025		18,025

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	1994-10-31	1,376	1,376	M5					
CELLULAR TELEPHONE	1996-11-01	1,048	1,048	M7					
COMPUTER	1997-08-15	2,656	2,656	M5					
PRINTER	1997-08-15	800	800	M5					
HEATER	2000-08-31	974	974	M7					
COMPUTER	2002-11-18	2,082	2,082	M5					
COMPUTER	2002-12-16	500	500	M5					
COMPUTER	2008-09-15	5,844	5,844	M5					
FURN & FIXTURES	2011-01-18	136,810	136,810	M7					
FURN & FIXTURES	2012-02-14	139,787	139,787	M7					
COMPUTER	2014-04-15	1,518	1,518	M5					

TY 2019 Investments Corporate Bonds Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INTEL CORP 2.350%	999,390	1,028,190
COSTCO WHOLESALE CORP 2.300%	697,669	719,572
GENERAL DYNAMICS 3.875%	352,800	355,096
DUPONT EI NEMOUR 4.625%	502,980	506,855
IBM CORP 2.250%	0	0
MASTERCARD INC 2.00%	988,680	1,015,280
BANK OF NY MELLON CORP 2.200%	716,535	784,515
WELLS FARGO 3.750%	420,523	434,548
VISA INC 2.200%	987,750	1,000,660
PEPSICO INC REGS 3.100%	997,500	1,041,690
NORTHERN TRST CO 3.375%	1,001,297	1,022,850
UNITEDHEALTH GROUP INC 2.375%	847,944	898,259
AMAZON INC 2.800%	0	0
COMCAST CORP 2.750%	0	0
PHILLIP MORRIS INL 3.250%	839,249	880,968
ROYAL BANK OF CANADA 1.95%	849,949	879,130
HSBC HOLDINGS PLC 3.6%	830,173	858,160

TY 2019 Investments Corporate Stock Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MSIF GLOBAL FRANCHISE 18 SHS	369	565
MSIF GLOBAL FRANCHISE 162K SHS	2,786,295	5,060,821
MSIF GLOBAL FRANCHISE 22K SHS	398,759	672,514
MSIF GLOBAL FRANCHISE 2K SHS	42,217	66,959
MSIF GLOBAL FRANCHISE 2K SHS	38,562	60,332
MSIF GLOBAL FRANCHISE 9K SHS	170,733	267,119
MSIF GLOBAL FRANCHISE 10K SHS	189,665	296,737
MSIF GLOBAL FRANCHISE 3K SHS	63,648	90,532
MSIF GLOBAL FRANCHISE 8K SHS	154,952	243,775
MSIF GLOBAL FRANCHISE 1K SHS	21,540	31,966
MSIF GLOBAL FRANCHISE 3K SHS	53,979	80,107
MSIF GLOBAL FRANCHISE 20K SHS	402,501	633,228
MSIF GLOBAL FRANCHISE 1K SHS	21,842	31,426
MSIF GLOBAL FRANCHISE 4K SHS	73,001	110,227
MSIF GLOBAL FRANCHISE 9K SHS	190,027	286,926
MSIF GLOBAL FRANCHISE 7K SHS	138,994	212,760
MSIF GLOBAL FRANCHISE 71 SHS	1,538	2,212
MSIF GLOBAL FRANCHISE 21K SHS	307,433	887,927
MSIF GLOBAL FRANCHISE 3K SHS	68,914	105,488
MSIF GLOBAL FRANCHISE 2K SHS	26,118	73,468
MSIF GLOBAL FRANCHISE 342 SHS	8,105	10,640
MSIF GLOBAL FRANCHISE 9K SHS	221,527	278,136
MSIF GLOBAL FRANCHISE 3K SHS	62,697	78,719
MSIF GLOBAL FRANCHISE 579 SHS	14,361	18,031
MSIF GLOBAL FRANCHISE 215 SHS	5,517	6,700
MSIF GLOBAL FRANCHISE 2K SHS	49,234	59,791
MSIF GLOBAL FRANCHISE 1K SHS	29,111	55,294
MSIF GLOBAL FRANCHISE 753 SHS	18,848	31,696
MSIF GLOBAL FRANCHISE 1K SHS	37,177	62,521
MSIF GLOBAL FRANCHISE 80K SHS	1,299,809	3,349,749

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DELAWARE VALUE FUND 232K SHS	5,000,000	4,898,047
DELAWARE VALUE FUND 1K SHS	22,824	21,922
MSIF GLOBAL FRANCHISE 3K SHS	68,679	91,504
MSIF GLOBAL FRANCHISE 11 SHS	252,594	336,540
DELAWARE VALUE FUND 10K SHS	189,759	211,132
DELAWARE VALUE FUND 1K SHS	20,621	22,944
DELAWARE VALUE FUND 670 SHS	12,743	14,179
DELAWARE VALUE FUND 1K SHS	21,179	21,030
DELAWARE VALUE FUND 1K SHS	21,266	20,900
DELAWARE VALUE FUND 1.2K SHS	23,993	26,639
DELAWARE VALUE FUND 1.8K SHS	27,541	39,607
MSIF GLOBAL FRANCHISE 10K SHS	286,797	314,790
MSIF GLOBAL FRANCHISE 617 SHS	17,505	19,213
MSIF GLOBAL FRANCHISE 3K SHS	79,593	87,361
DELAWARE VALUE FUND 7K SHS	154,284	146,785
DELAWARE VALUE FUND 1.1K SHS	24,892	23,683
DELAWARE VALUE FUND 488 SHS	10,844	10,318
DELAWARE VALUE FUND 1.1K SHS	20,808	23,386

TY 2019 Investments Government Obligations Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

**US Government Securities - End
of Year Book Value:**

8,647

**US Government Securities - End
of Year Fair Market Value:**

8,704

**State & Local Government
Securities - End of Year Book
Value:**

5,325,174

**State & Local Government
Securities - End of Year Fair
Market Value:**

5,529,011

**TY 2019 Land, Etc.
Schedule**

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER	1,376	1,376		
CELLULAR TELEPHONE	1,048	1,048		
COMPUTER	2,656	2,656		
PRINTER	800	800		
HEATER	974	974		
COMPUTER	2,082	2,082		
COMPUTER	500	500		
COMPUTER	5,844	5,844		
FURN & FIXTURES	136,810	136,810		
FURN & FIXTURES	139,787	139,787		
COMPUTER	1,518	1,518		

TY 2019 Legal Fees Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	8,581	4,290		4,290

TY 2019 Other Assets Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK	35,929	35,929	35,929

TY 2019 Other Expenses Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSES	11,710			11,710
MEMBERSHIP DUES	5,423			5,423
SUI	70			70
OTHER INSURANCE	539			539
REPAIR & MAINTENANCE	14,393			14,393

TY 2019 Other Income Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Income	396	396	

TY 2019 Other Professional Fees Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES TO MSDW	20,755	19,741		1,014

TY 2019 Taxes Schedule

Name: The Hauser Foundation Inc
c/o Mrs Rita Hauser

EIN: 11-0016142

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FICA	10,251	5,126		5,126
FEDERAL TAXES PAID	17,750			

Hauser Foundation 11/30/2020 Grant List

Donee Name and Address	Amount	Purpose	Type
AMERICAN ACADEMY OF ARTS & SCIENCES Norton's Woods 136 Irving Street Cambridge, Massachusetts 02138-1996	5,000	Educational	PC
BRIDGEHAMPTON CHAMPER MUSIC FESTIVAL 135 East 57th Street, 14th Floor New York, New York 10022	1,000	Arts/Cultural	PC
CENTRAL PARK CONSERVANCY 14 East 60th Street New York, New York 10022	1,000	Community	PC
CHAMBER MUSIC SOCIETY OF LINCOLN CENTER 70 Lincoln Center Plaza, 10th Floor New York, New York 10023-6582	200,000	Arts/Cultural	PC
COLUMBUS JEWISH FOUNDATION 1175 College Avenue Columbus, Ohio 43209	1,500	Community	PC
COUNCIL ON FOREIGN RELATIONS 58 East 68th Street New York, New York 10065	275,000	Foreign Affairs	PC
CURTIS INSTITUTE OF MUSIC 1726 Locust Street Philadelphia, Pennsylvania 19103	150,000	Arts/Cultural	PC
FOOD FOR NEEDY, INC. Attention: Ernie Bogen 5055 Collins Avenue Miami Beach, Florida 33140	2,500	Community	PC
THE FOUNDATION FOR A CIVIL SOCIETY The Iran Project 25 East End Avenue, 1B New York, New York 10028	1,500	Foreign Affairs	PC
HEBREW HOME AT RIVERDALE 5901 Palisade Avenue Riverdale, New York 10471	250,000	Community	PC
THE HUNTER COLLEGE FOUNDATION, INC 695 park Avenue, East 1313 New York, New York 10065	2,500,000	Educational	PC

Hauser Foundation 11/30/2020 Grant List

Donee Name and Address	Amount	Purpose	Type
NEW YORK PHILHARMONIC David Geffen Hall 10 Lincoln Center Plaza New York, New York 10023	50,000	Arts/Cultural	PC
NYU DAILY GIFT ACCOUNT 40 Washington Square South New York, New York 10012	3,000,000	Educational	PC
THE PALEY CENTER FOR MEDIA 25 West 52nd Street New York, New York 10001	50,000	Arts/Cultural	PC

Total Grants

6,487,500
